# **BMJ Open** Epidemiological characterisation of asymptomatic carriers of COVID-19 in Colombia: a cross-sectional study

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## ABSTRACT

**Introduction** Asymptomatic carriers (AC) of the new SARS-CoV-2 represent an important source of spread for COVID-19. Early diagnosis of these cases is a powerful tool to control the pandemic. Our objective was to characterise patients with AC status and identify associated sociodemographic factors.

**Methods** Using a cross-sectional design and the national database of daily occurrence of COVID-19, we characterised both socially and demographically all ACs. Additional correspondence analysis and logistic regression model were performed to identify characteristics associated with AC state (OR, 95% Cl).

**Results** 76.162 ACs (12.1%; 95% CI 12.0% to 12.2%) were identified, mainly before epidemiological week 35. Age $\leq$ 26 years (1.18; 1.09 to 1.28), male sex (1.51; 1.40 to 1.62), cases imported from Venezuela, Argentina, Brazil, Germany, Puerto Rico, Spain, USA or Mexico (12.6; 3.03 to 52.5) and autochthonous cases (22.6; 5.62 to 91.4) increased the risk of identifying ACs. We also identified groups of departments with moderate (1.23; 1.13 to 1.34) and strong (19.8; 18.6 to 21.0) association with ACs. **Conclusion** Sociodemographic characteristics strongly associated with AC were identified, which may explain its epidemiological relevance and usefulness to optimise mass screening strategies and prevent person-to-person transmission.

## INTRODUCTION

In 6 March 2020, Colombia reported the first case of COVID-19, and as of 22 September more than 700 000 cases have been confirmed nationwide.<sup>1</sup> Asymptomatic carriers (AC) may be associated with the accelerated growth of cases in the initial phases of the pandemic, inadvertently spreading the infection to close contacts. In this case, transmission can only be limited until a diagnosis of SARS-CoV-2 infection is rendered after (1) isolation due to symptom onset, (2) contact tracing or (3) identification during massive screening strategies.<sup>23</sup>

AC and presymptomatic cases are epidemiologically relevant since they represent a silent

# Strengths and limitations of this study

- Cross-sectional studies are useful to identify possible variables associated with asymptomatic carriers (ACs).
- Weekly surveillance of potential cases reduced selection and classification bias of ACs.
- The large number of COVID-19 ACs included in this study allowed to draw precise estimates.
- The ongoing epidemic phase of COVID-19 in Colombia decreases the uncertainty of invisible subgroup occurrences.
- Estimates and characteristics associated with ACs may improve epidemiological surveillance in other countries.

source of spread in various public settings (eg, public transportation, emergency rooms, supermarkets, shelters).<sup>4–6</sup> The proportion of ACs has been estimated at 15%–25%, but seroprevalence studies have reported values of up to 43.2% (95% CI 32.2% to 54.7%). Nonetheless, many presymptomatic patients are wrongfully classified as ACs during the incubation phase; to later become paucisymptomatic or develop respiratory manifestations ranging from pneumonia to respiratory failure, or exhibit any other clinical symptoms within the COVID-19 spectrum.<sup>4–8</sup>

Epidemiological predictive models have been developed and updated to incorporate silent mobility through AC phenotype in anticipation for the second and third epidemic waves of COVID-19. Such is the case for the Susceptible, Exposed, Infected and Recovered (SEIR) model, recently updated to Susceptible, Exposed, Asymptomatic, Infected and Recovered.<sup>9</sup> In China, estimates indicate that 60%–65% of ACs remained undetected. Therefore, under the SEIR model and applying machine-learningbased transmission simulators, including

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Dr Juan David Ramírez; juand.ramirez@urosario.edu.co the number of undetected AC within its parameters and assuming 15 close contacts per day, estimates suggest that as of 15 April 2020, the USA—the country contributing the majority of cases imported to Colombia—could have presented 277 641–495 128 latent cases of COVID-19, potentially increasing the spread of the virus.<sup>10</sup>

The assessment of ACs and the identification of sociodemographic characteristics associated with this subpopulation could be useful to estimate sample calculations in massive screening studies, as well as adjust control and mitigation measures—especially the intensity of isolation. Therefore, the objective of our study was to characterise ACs demographically and socially, as well as to identify individual characteristics in interaction models associated with ACs.

## **METHODOLOGY**

# **Design and data selection**

We performed a cross-sectional study with information from the National Institute of Health (INS) database on COVID-19 cases updated until 31 August 2020 (https:// www.ins.gov.co/Noticias/Paginas/coronavirus-casos. aspx). By INS protocol, suspected AC cases remained in quarantine for 7 days while monitoring the appearance of symptoms on a daily basis; on the eighth day, a nasal swab sample was collected to identify or rule out AC state. Records without health status information (symptomatic, asymptomatic) were excluded. The database is public, with deidentified patient data and IRB approval was thus exempt.

# Patient and public involvement statement

This research was done without patient involvement. Patients were not invited to comment on the study design and were not consulted to develop patient relevant outcomes or interpret the results. Patients were not invited to contribute to the writing or editing of this document for readability or accuracy.

## **Database and variables**

We used variables such as date of diagnosis, age, sex, country of origin, department, case type (imported, related), care setting (home, nursing home, hospital, intensive care unit) and outcome (recovered, convalescent, deceased). The date of diagnosis was adjusted into epidemiological weeks (EW), which were later grouped according to the pattern of AC occurrence (online supplemental figure S1) in EW 10–34,  $\geq$ 35; additionally, the variable AC (yes, no) was established.

### **Statistical analysis**

Data are presented in medians or proportions estimated with 95% CI due to the lack of massive screening for COVID-19 in certain areas of the country; additionally, we estimated AC rates per 100 000 population by departments using Colombian demographic estimates for 2020 from the National Administrative Department of Statistics (DANE). The geographical origin and destination of imported cases were represented with a Sankey Plot (SankeyMATIC (BETA)). Cumulative trends and case charts were created with the number of daily cases by Epid\_weeks (RStudio V.1.2.5042). In addition, a heatmap analysis was included to depict a dynamic representation of daily cases by Department from 6 March through 3 August 2020 (Orange Data Mining & Fruitful Fun, V.3.25). The proportion of asymptomatic and symptomatic patients and the median age were compared with the Z and U Mann-Whitney tests, respectively (significant p value<0.05, two tails; Addinsoft. 2020. XLSTAT statistical and data analysis solution. New York, USA. https://www. xlstat.com). Age was dichotomised between 0–26 and  $\geq 27$ years due to its association with asymptomatic and symptomatic states, respectively (preliminary exploratory analysis not shown).

Countries of origin and departments associated with ACs were identified, respectively, with a correspondence analysis (CA) and factorial analysis of mixed data using PCAmix. Raw data were used for CA while symptomatic and AC rates per 100 000 population were used for PCAmix . Additionally, with principal coordinates (PC) obtained with both CA (PC-CA) and PCAmix (PC-P-CAmix), groups with a variable level of association with ACs were created (Addinsoft. 2020. XLSTAT statistical and data analysis solution. New York, USA. https://www.xlstat.com).

To estimate the association between sociodemographic characteristics with ACs (OR 95%), two logistic regression models (LRM) were performed, the first to establish the main effects and the second a step-backward interaction model of the second level (p value in <0.05; p-value out: >0.1), which used the lowest Akaike criteria to select the best model (JASP Team (2020). JASP (V.0.12.2))

#### RESULTS

#### **General characteristics**

We identified 76 162 ACs (12.1%; 12.0%–12.2%) out of 626 887 cases reported in the database. Four cases were excluded due to lack of health status information. The occurrence of AC state in relation to symptomatic presented a continuous growth phase between EW 10 and 17, and a peak at EW 18, followed by a newly increase between EW 19 and 34, and a steady state after EW 34 (figure 1A and B, online supplemental figure S1). Daily cases ranged from 1 to 4386 per day, and EW 34 registered the highest number of cases per day: 4141 and 4386.

Additionally, we report department clusters with a high occurrence of daily COVID-19 cases, which follow different dynamic patterns for ACs and symptomatic patients (figure 1C,D).

Throughout April, AC reports in Meta and Amazonas peaked; in May they peaked in Cartagena, Antioquia and Bogota, with Bogota's peak lasting until August 31st; in June–July, AC cases peaked in Atlantico, Barranquilla and Cordoba; and in August, they peaked in Santander



Figure 1 Daily accumulation and distribution of asymptomatic carriers (AC) by epidemiological week in Colombia. (A) The yaxis represents the number of cumulative ACs transformed into a base 10 logarithm. The number of cumulative cases per day is located in points that increase in colour intensity according to the occurrence of cases. (B) The y-axis represents the number of daily ACs transformed into a base 10 logarithm. The number of daily cases per day is located in boxplots. (C) Heatmap showcasing the number of ACs (top) and (B) symptomatic patients (bottom) diagnosed in every Colombian department until 31 August 2020.

and Cundinamarca. Overall, the frequency of ACs in Colombia has followed a dichotomic trend as shown in the lateral cluster of figure 1C: AC occurrences are distributed between the highly frequent profile in Bogota during most of the epidemic and the intermittent peak occurrences of the rest of Colombian departments.

More than half of the imported ACs came from Europe, specifically Spain, followed by North and South America. Those that arrived from Spain and USA were distributed mainly in Bogotá, Cundinamarca, Antioquia and Valle del Cauca. Amazonas department only received imported ACs from South American countries. The origin and distribution of imported symptomatic patients were more diverse; however, most cases originated from Spain, USA, Ecuador, Mexico, Brazil, or Panama, and were mainly distributed across Bogotá, Antioquia and Valle del Cauca (figure 2).

More than 90% of ACs were located in Bogotá, Atlantico and Meta. However, Bogota, Amazonas and Putumayo reported the highest AC rates per 100 000 population (table 1, online supplemental table S1). Median age was 37 years old, lower than the symptomatic patients. Most of them were males (table 1). By 31 August, most ACs were classified as recovered (85.8%; 85.6% to 86.1%) or in domiciliary isolation (13.6%; 13.4% to 13.8%), and 356 patients (0.46%; 0.42% to 0.52%) were diagnosed during their stay in ICUs (80 patients), general hospitalisation services (185 patients) or in postmortem phase (91 deceased). These 356 cases may have been treated for symptoms unrelated to COVID-19 or perhaps RT-PCR results arrived late, with some arriving even after the patient had already passed away.

#### **Factors associated with AC condition**

Using the PC-CA and PC-PCAmix, a group of six countries and three groups of departments were associated with AC state (figure 3). To execute LRMs, the variables 'age group 0-26 years' and 'male sex' were transformed into dummi (0/1). With a preliminary LRM, a higher  $\beta$ coefficient was estimated in relation to cases imported from countries associated with ACs; therefore, the variable 'geographical origin' was created, composed of the categories 'imported from countries associated with symptomatic' (imported CAS-referent), 'imported from countries associated with ACs' (imported CA-ACs) and 'related cases'. Additionally, a variable was created for the departments grouped with the PCAmix (departments with low association-referent) and for the EW (EW 10-34—referent). The first LRM (main effects) identified a significant association of all index sociodemographic categories with ACs state (online supplemental table S2). The second model explores the following interactions:



Figure 2 Origin and destination of imported asymptomatic and symptomatic cases. The left and right figures, respectively, represent the country of origin and destination department of asymptomatic carriers (AC) and symptomatic patients. The thickness of the link tapes corresponds to the number of reported cases.

(1) geographical origin and grouped departments, (2) geographical origin and EW, (3) age group (0–26 years) and gender, (4) grouped departments and gender; and (5) age (0–26 years) and EW. We identified interactions between the variables 'gender' (males), 'age' (0–26 years), and 'EW' and the grouped departments; and between the variables 'gender' (males) and 'age' (0–26 years; table 2).

Variables 'age' (0–26 years), 'gender' (male), 'departments with moderate or strong association', 'imported CA-ACs' and 'related cases' were found to increase the risk of identifying ACs state. It was also determined that the risk increased for males (0–26 years), especially for those located in departments with a strong or moderate association since EW 35. However, it should be noted that the risk of identifying ACs has decreased since EW 35 when only taking isolated estimates into account (table 2).

As this is a cross-sectional study, the Strengthening the Reporting of Observational Studiesin Epidemiology checklist was followed and can be revised in online supplemental table S3.

## DISCUSSION

We found that, in an isolated fashion, age <27 years old, imported cases from a group of 6 countries, autochthonous cases and the occurrence in groupings of departments were associated with AC state. Additionally, the risk of being a male AC was only identified in departments with moderate or strong risk, and the risk was variable in the groupings of departments throughout specific epidemiological periods.

Additionally, our results show that the proportion of ACs in Colombia lays between 12% and 12.2% (table 1), a lower estimate than previously described in other case series or mass screening studies with reported proportions between 5% and 80%.<sup>11–14</sup> Given the inclusion of presymptomatic patients or the unification of AC with non-critical symptoms in some reports, we cannot rule out that a non-differential classification bias influenced these estimates. An adapted definition for AC in Colombia may address this limitation.

Figure 1 shows that the majority of imported cases to Colombia came from Spain and USA, where AC rates have been estimated at 2.5% and 25%, respectively.<sup>14 15</sup> Although imported cases carry a distinctive genetic load that, populationwise, could manifest itself as a particular phenotype,<sup>16</sup> currently there are no reports of genetic variants associated with AC in general or for any of the four AC subtypes described in the literature.<sup>17</sup> Subsequent research should be conducted on the possible association between ACs and phylogenetic variants (or other variables) to support the differential risk identified in imported cases from different regions of the world.

We identified that imported cases from a group of six countries were strongly associated with AC (figure 2, online supplemental file 2, table 2), and although no interaction was established between the country of import

	Asymptomatic	tic Symptomatic		
Variables	N: 76162	N: 550 725	P value	
	25 (25 40)	27 (07 - 50)	<0.001	
Age, years	35 (25-49)	37 (27-52)	<0.001	
0-20	21310 (27.9)	129529 (23.5)	<0.001	
227	54852 (72.0)	421 196 (76.4)	<0.001	
Sex				
Male	38836 (50.9)	283068 (51.4)	0.035	
Female	37326 (49.0)	267657 (48.6)	0.035	
Geographical source				
Related*	76108 (99.9)	549789 (99.8)	<0.001	
Imported	54 (0.07)	936 (0.170)	<0.001	
Departments†				
Bogotá	68143 (89.4)	148258 (26.9)	<0.001	
Atlántico	1455 (1.91)	26059 (4.73)	<0.001	
Meta	836 (1.10)	8532 (1.55)	< 0.001	
Barranquilla	737 (0.97)	34262 (6.22)	<0.001	
Cundinamarca	690 (0.91)	23222 (4.22)	< 0.001	
Imported cases‡	53	905	958	
Spain	19 (35.8)	246 (27.2)	0.170	
USA	14 (26.4)	208 (22.9)	0.565	
Brazil	8 (15.1)	53 (5.86)	0.007	
Mexico	3 (5.66)	51 (5.64)	0.994	
Venezuela	3 (5.66)	8 (0.88)	0.002	
Argentina	1 (1.89)	4 (0.44)	0.156	
France	1 (1.89)	19 (2.10)	0.916	
Germany	1 (1.89)	11 (1.22)	0.669	
Peru	1 (1.89)	24 (2.65)	0.734	
Puerto Rico	1 (1.89)	12 (1.33)	0.732	
Turkey	1 (1.89)	27 (2.98)	0.645	
Unknow	1	31	NA	

 Table 1
 Sociodemographic characteristics of the asymptomatic and symptomatic patients

\*Cases that appeared spontaneously in Colombia.

†Top 5 of the departments with the highest frequency of asymptomatic carriers.

<sup>‡</sup>The total number of imported asymptomatic and symptomatic cases was used as the denominator to estimate proportions by country of origin.

NA, Not applicable.

and the destination department (data not shown), we observed that departments strongly associated with AC had less diversity of import origin. Such is the case of Meta and Amazonas, which exclusively imported cases from USA and Brazil/Peru, respectively (figure 2).

Among the demographic characteristics, the association between AC state and patients under 27 years of age stands out. Possible explanations for this observation include: (1) the lower presence of comorbid conditions and baseline health issues within this age group and (2) the higher risk of exposure through work activities which are greater in this age group.<sup>18</sup> However, clinical or social environment could also explain this finding, as a study in skilled nursing facility residents showed a high proportion of AC in those over 70 years of age; however, this was a premature finding since most patients were later reclassified as presymptomatic or paucisymptomatic.<sup>11</sup>

We identified a higher frequency of men infected with COVID-19 consistent with reports from other countries around the world, except in Spain and Switzerland, where women ranked first.<sup>19</sup> Frequent occupations performed by men, as well as certain immunological and genetically susceptible backgrounds have been associated with this finding.<sup>19 20</sup> In particular, the risk of being an AC was higher in men, and increased in geographical areas associated with AC. This interaction is not uncommon given that professions regularly carried out by men, including those such as taxi driving, private security or prison guarding, among other work settings, can be distributed asymmetrically within countries, a pattern that would explain our findings.<sup>20</sup>

The phases on the occurrence of cases throughout EWs and the interaction with groupings within departments associated with AC have been previously described in Chongqing, China, where researchers identified significant changes in the frequency of cases after implementation of geographical isolation measures. The dynamic changes in the detection and distribution of ACs throughout EWs could be explained by the surveillance strategy executed in Colombia kwon as 'PRASS' (in Spanish, tests, surveillance, and sustainable selective isolations); this can be particularly observed from EW 30 onwards (online supplemental figure S1).<sup>21</sup> In Wuhan, a study showed that one group of ACs was linked to imported cases while others were linked mostly to autochthonous cases from geographically isolated areas of Wuhan.<sup>22</sup> We identified that in addition to being associated with a travel history to foreign countries, ACs were also associated with cases that appear spontaneously (related), occurring differentially as measures of geographical and social isolation were applied.

The lack of mass screening for COVID-19 in Colombia is the main limitation of our study since the actual AC ratio and the distribution of specific characteristics may differ from those estimated in this report. On the other hand, although a cross-sectional design is not ideal to identify risk factors, to the best of our knowledge this is the first study aimed at identifying factors associated with AC state with population data unbiased by the inclusion of pre-symptomatic cases.<sup>23</sup>

The COVID-19 pandemic has had serious socioeconomic implications, including a collapse of healthcare systems, bankruptcy of companies as well as increasing trends in unemployment and crime rates.<sup>24–27</sup> This has forced countries with limited resources—such as Colombia—to perform massive screenings in order to prematurely lift quarantine and isolation measures despite the latent risk of successive outbreaks caused by a potential silent spread of COVID-19 through cases in the presymptomatic phase or AC state.<sup>28 29</sup>



Figure 3 Groups of countries and departments associated with asymptomatic carrier (AC) state.(A) shows the group of countries associated with AC state identified with positive values of associated to asymptomatics. (B) shows departments grouped according to three intervals of CCC-CA: low association (CCC-CA: negative values), moderate association (CCC-CA: >0-<0.05), and strong association (CCC-CA:  $\ge 0.5$ ).

ACs transmit COVID-19 more efficiently than symptomatic patients for up to 21 days after the presumed date of infection.<sup>30 31</sup> This led to their inclusion in mathematical models intended to estimate the probability or expected number of person-to-person infections on repatriation trips from Wuhan, China.<sup>7 32</sup> Since then, ACs have become the target of mass screening in Asian and European countries effectively reducing economical losses due to unnecessary hospital care, controlling the spread in public or in-hospital settings, and allowing the execution of safe plans of social and work reintegration after quarantine and isolation.<sup>28 33–37</sup> To date, testing of asymptomatic individuals' rests at the discretion of physicians when justified on a case-by-case basis. On the other hand, the utility of SARS-CoV-2 testing for broad screening of asymptomatic individuals remains to be determined given the limited sensitivity data available for most commercially available test kits.<sup>38</sup>

# CONCLUSION

Together, our findings demonstrate sociodemographic trends strongly associated with COVID-19 AC state in Colombia at a departmental and national level. We

Table 2         Factors associated with asymptomatic carrier (AC) state in Colombia				
	Interaction model			
Variable	β	OR (95% CI)	P value	
Intercept	-7.316	-	<0.001	
Age				
>26 years	Ref.	-	-	
0–26 years	0.172	1.188 (1.096 to 1.287)	<0.001	
Sex				
Female	Ref.	-	-	
Male	0.414	1.513 (1.408 to 1.625)	<0.001	
Department				
Low association (1)	Ref.	-	-	
Moderate association (2)	0.211	1.234 (1.137 to 1.340)	<0.001	
Strong association (3)	2.986	19.81 (18.61 to 21.08)	<0.001	
Geographical source				
Imported CAS*	Ref.	-	-	
Imported CA-AC† (1)	2.536	12.62 (3.034 to 52.54)	<0.001	
Related cases‡ (2)	3.121	22.67 (5.620 to 91.47)	<0.001	
EW				
10–34	Ref.	-		
≥35	-1.008	0.365 (0.320 to 0.415)	<0.001	
0-26 years+male	0.047	1.048 (1.010 to 1.089)	0.014	
0-26 years+department (2)	0.174	1.190 (1.069 to 1.325)	0.001	
0-26 years+department (3)	-0.005	0.995 (0.919 to 1.077)	0.898	
Department (2)+ male	-0.387	0.679 (0.615 to 0.749)	<0.001	
Department (3)+ male	-0.377	0.686 (0.637 to 0.737)	<0.001	
EW ≥35 + department (2)	-0.862	0.422 (0.315 to 0.567)	<0.001	
EW ≥35 + department (3)	2.217	9.182 (8.045 to 10.47)	<0.001	

\*CAS countries associated with symptomatic patients.

†CA-AC countries associated with AC.

‡Spontaneous cases.

EW, epidemiological weeks; .

believe that the implementation of massive screening campaigns to detect AC and presymptomatic patients is paramount to further characterise this phenomenon and adequately guide public health measures of containment and prevention. Additional molecular analysis of viral and host genotypic characteristics should be conducted to determine possible associations with AC state.

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