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Relapse Prevention in Schizophrenia: A Systematic Review and Meta-Analysis of Second-Generation Antipsychotics versus First-Generation Antipsychotics

Taishiro Kishimoto, MD¹, Vishesh Agarwal, MD², Taro Kishi, MD¹, Stefan Leucht, M.D.³, John M. Kane, MD^{1,4,5,6}, and Christoph U. Correll, MD^{4,5,6}

¹The Zucker Hillside Hospital, Psychiatry Research, North Shore - Long Island Jewish Health System, Glen Oaks, New York, USA

²Albert Einstein Medical Center, Philadelphia, PA, USA

³Technische Universität München, Klinikum rechts der Isar, Department of Psychiatry and Psychotherapy, München, Germany

⁴Albert Einstein College of Medicine, Bronx, New York, USA

⁵The Feinstein Institute for Medical Research, Manhasset, New York, USA

⁶Hofstra North Shore LIJ School of Medicine, Hempstead, NY, USA

Abstract

Few controlled trials compared second-generation antipsychotics (SGAs) with first-generation antipsychotics (FGAs) regarding relapse prevention in schizophrenia. We conducted a systematic review/meta-analysis of randomized trials, lasting 6 months comparing SGAs with FGAs in schizophrenia. Primary outcome was study-defined relapse; secondary outcomes included relapse

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Corresponding author: Christoph U. Correll, MD, Division of Psychiatry Research, The Zucker Hillside Hospital, 75-59 263rd Street, Glen Oaks, NY 11004 (ccorrell@lij.edu).

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at 3, 6 and 12 months, treatment failure, hospitalization, and dropout due to any cause, non-adherence and intolerability. Pooled relative risk (RR) [\pm 95% CIs] was calculated using random-effects model, with numbers-needed-to-treat (NNT) calculations where appropriate. Across 23 studies ($n=4,504$, mean duration= 61.9 ± 22.4 weeks), none of the individual SGAs outperformed FGAs (mainly haloperidol) regarding study-defined relapse, except for isolated, single trial-based superiority, and except for risperidone's superiority at 3 and 6 months when requiring ≥ 3 trials. Grouped together, however, SGAs prevented relapse more than FGAs (29.0% vs. 37.5%, RR=0.80, CI:0.70–0.91, $p=.0007$, $I^2=37\%$; NNT=17, CI:10–50, $p=.003$). SGAs were also superior regarding relapse at 3, 6 and 12 months ($p=.04$, $p<.0001$, $p=.0001$), treatment failure ($p=.003$) and hospitalization ($p=.004$). SGAs showed trend-level superiority for dropout due to intolerability ($p=.05$). Superiority of SGAs regarding relapse was modest (NNT=17), but confirmed in double-blind trials, first- and multi-episode patients, using preferentially or exclusively raw or estimated relapse rates, and for different haloperidol equivalent-comparator doses. There was no significant heterogeneity or publication bias. The relevance of the somewhat greater efficacy of SGAs over FGAs on several relevant outcomes depends on whether SGAs form a meaningful group and whether mid- or low-potency FGAs differ from haloperidol. Regardless, treatment selection needs to be individualized considering patient- and medication-related factors.

Keywords

Schizophrenia; Antipsychotics; Relapse Prevention; Maintenance; Long-term treatment; Meta-analysis

Introduction

As psychopathology and social functioning can worsen with repeated relapses in schizophrenia patients (1), relapse prevention is a critical issue in managing this illness. Since clozapine, the first second-generation antipsychotic (SGA) introduced in 1971 (marketed in the US in 1990) and risperidone, introduced in 1994, a total of 8 SGAs are now available in the USA, which are widely used (2). SGAs are better tolerated than first-generation antipsychotics (FGAs) regarding acute extrapyramidal side effects (EPS) (3) and tardive dyskinesia (TD) (4). However, there is growing concern about metabolic side effects, such as body weight gain, insulin resistance and dyslipidemia (5;6). Combined with the lack of significant superiority in efficacy and/or effectiveness observed in large, pragmatic trials (7–10), the advantages of non-clozapine SGAs over FGAs have been challenged. Less attention has been focused on relapse prevention. A meta-analysis comparing SGAs to FGAs was published in 2003 (11), but since then, there have been twelve additional relevant trials.

Materials and Methods

Search

We conducted a search using MEDLINE/PubMed, the Cochrane library, PsycINFO (last search date January 2011) for randomized, controlled trials of relapse prevention or maintenance treatment of schizophrenia and related disorders lasting ≥ 6 months. Studies had

to be published in English in peer-reviewed journals. Search terms included antipsychotic(s), neuroleptic(s), individual names of SGAs and FGAs, schizophrenia, random, randomly, randomized, and maintenance, relapse, or long-term. The electronic search was supplemented by hand search of reference lists of relevant studies and reviews. Authors and companies were contacted to provide missing information and unpublished data.

Inclusion Criteria

Trials included in this analysis were randomized, head-to-head comparisons of oral SGAs versus oral FGAs for relapse prevention or maintenance treatment in adults with schizophrenia. We only included trials with a minimum duration of 6 months [one study included patients with a range of 22–84 weeks completion (12)]. We also only included trials providing relapse-related information, such as study-defined relapse or re-hospitalization. Trials were included irrespective of whether randomization occurred during the acute or maintenance phase. However, when patients were randomized in the acute phase, we only used data from patients for whom information was available after they had responded, remitted or were discharged.

Data extraction and Outcomes

Data were extracted independently by 2 reviewers (T.Kishimoto, V.A., T.Kishi, C.C.). Any disagreements were resolved by consensus.

The primary outcome measure was study-defined relapse at endpoint, preferentially based on survival curves, which we believe yield more accurate data for relapse than raw relapse rates, as the bias of unequal follow-up duration is minimized. If the estimated relapse rate was not available, we used raw relapse rate. While we utilized study-defined relapse, when there was no definition of relapse or the authors' definition was regarded as inappropriate, we utilized the next most appropriate outcome for our analysis; which predominantly was re-hospitalization.

As secondary outcomes, relapse rates at 3, 6 and 12 months, “treatment failure” (defined as relapse and/or all-cause discontinuation, depending on whether data were available for both outcomes), hospitalization and dropout due to any cause, non-adherence and intolerability were examined.

Data Analysis

All outcomes were dichotomous and SGAs were compared to FGAs both individually and as separate groups for each outcome. We applied a “once-randomized-analyzed” endpoint analysis. Pooled relative risk (RR) [+/-95% confidence intervals (CIs)], and risk differences were calculated, using random-effects models by DerSimonian and Laird (13), which is more conservative than fixed effects models. Number-needed-to-treat (NNT) was calculated where appropriate. To reduce a potential type I error, we considered the meta-analytic results to be significant only if they were based on 3 studies.

Heterogeneity between studies was explored with a chi-square test of homogeneity ($p < 0.1$) together with the I^2 -statistic, with an $I^2 \geq 50\%$ indicating significant heterogeneity (14).

In addition to the primary and secondary outcome analyses, we also conducted *a priori* defined sensitivity analyses of the primary outcome comparing SGAs with FGAs, seeking to identify potential methodological biases and whether the findings extended to clinically relevant sub-populations and treatment groups. The examined variables included: a) treatment concealment (open vs. blinded), b) sponsorship (industry vs. academia), c) publication year (before 2000 vs. 2000 and later), d) clozapine vs. non-clozapine SGAs, e) randomization time point (acute vs. maintenance phase), f) determination of patient stability (>4 weeks vs. <4 weeks), g) first- vs. multi-episode patients, and h) haloperidol equivalent comparator dose level (<5 mg vs. 5 mg and <10 mg vs. 10 mg), calculated for non-haloperidol medications using established conversion factors (15). We also assessed the generalizability of the primary outcome results, using alternative ways to calculate relapse, i.e., utilizing preferentially raw over estimated relapse rates, and using only raw or estimated rates instead of preferring estimated relapse rate. Finally, to test if the results could be reversed in favor of FGAs, we performed a “best case scenario” analysis for FGAs. We pooled all studies where the RR for study defined relapse was ≥ 1.0 , or >1.0 i.e., we excluded all studies where SGAs had any effect (significant or non-significant) that was larger than for FGAs.

All data were entered into a funnel graph (trial effect against trial size) to investigate the likelihood of overt publication bias (16). Data were double entered (T.Kishimoto, V.A.) into Revman 5.0.25, a program developed by the Cochrane Collaboration for systematic reviews.

Results

Search and Study Characteristic

We included 18 publications of 23 randomized, active drug controlled studies with 4,504 participants (Supplemental Figure 1).

The number of participants per study ranged from 32–690 (median: 147), and mean maximum study duration was 61.9+/-22.4 (range: 40–104) weeks (Table 1). There were 6 studies with first episode and 17 with multiple episode patients. Five studies were open-label, 17 were double blind, and one study was rater-masked (17). The number of studies with each individual SGA were: amisulpride=3; aripiprazole=2; clozapine=4; iloperidone=3; olanzapine=6; quetiapine=1; risperidone=6; sertindole=1; ziprasidone=1. Haloperidol was the comparator in 21/23 studies; one study used chlorpromazine (45,46) and one used mixed FGAs (19). Mean haloperidol equivalent dose was 11.6+/-8.3 (range: 2.9–28.5) mg/day. Eighteen studies (78.3%) randomized patients in acute phase, and only 5 studies (21.7%) randomized patients in the maintenance phase. Eight studies (34.8%) determined patients' stability for >4 weeks, and 15 studies (65.2%) determined patients' stability <4 weeks or cross-sectionally.

Relapse definitions varied. In 9 studies, relapse was not defined. In 4 of these (10;18–20), we used hospitalization rate. In the remaining 5, we utilized “failure to maintain response” (21), “psychotic exacerbation” (22), “failed to maintain improvement” (23), “dropout due to decompensation” (17). In another study, very strict, pre-defined relapse criteria resulted in

no relapse in either group (24). Therefore, the authors employed “marked clinical deterioration” post-hoc, which we also utilized.

Endpoint relapse rate

Two single studies of SGAs yielded significant superiority over FGA. These included sertindole (n=203, RR=0.29, CI:0.10–0.84, p=0.02) and ziprasidone (n=66, RR=0.35, CI: 0.16–0.79, p=0.01). When requiring 3 trials per individual antipsychotic, neither risperidone (n=1124, RR=0.75, CI:0.56–1.00, p=0.05, I²=55%), clozapine (n=355, RR=0.72, CI:0.47–1.10, p=0.12, I²=0%) or olanzapine (n=1140, RR=0.88, CI:0.70–1.10, p=0.27, I²=22%) were statistically superior to FGAs in preventing relapse (Figure 1). However, when grouped together, SGAs were significantly superior to FGAs without significant heterogeneity (N=19, n=4206, 29.0% vs. 37.5%, RR=0.80, CI:0.70–0.91, p=.0007, I²=37%; NNT=17, CI:10–50, p=0.003) (Figure 2).

Relapse rate at 3, 6 and 12 months

Several individual SGAs were associated with significantly lower relapse rates at specific time points. This included clozapine at 3 months (p=0.03), 6 months (p=0.006), olanzapine at 6 months (p=0.0003) and sertindole (p=0.02) as well as ziprasidone (p=0.01) at 12 months. Requiring 3 analyzable trials, only risperidone showed significant superiority over FGAs at both 6-months (p=0.004) and 12-months (p<0.0001) (Supplemental Figures 2–4). Pooled SGAs, however, were superior to FGAs at all pre-specified time points, i.e., 3-months: 13.8% vs. 17.4%, p=.04; 6-months: 21.0% vs. 28.1%, p<.0001; 12-months: 31.4% vs. 37.1%, p=.0001).

Treatment failure, hospitalization and dropout due to any cause, non-adherence and intolerability

Individually, only olanzapine was superior to FGAs (p=.03) regarding treatment failure defined as relapse and/or all-cause discontinuation, but pooled together, SGAs significantly outperformed FGAs (p=.003) (Figure 2). Except for single study superiority of sertindole and ziprasidone (p=0.03 each), none of the individual SGAs was superior to FGAs in preventing hospitalization (Figure 3). However, pooled together, SGAs were superior to FGAs (12.1% vs. 16.9%, p=.004).

Dropout rates for reasons other than relapse varied widely from 9.1%–68.2% (median: 34%, 13 studies with data). Except for single study-based lower dropout for non-adherence with sertindole (p=0.02), no significant superiority was found for any individual SGA for dropout due to any reason, non-adherence or intolerability. Even when pooled together, SGAs had only trend-level superiority over FGAs regarding dropout due to any cause (p=.06) (Supplemental Figure 5), non-adherence (fewer data points were available, p=.20) (Supplemental Figure 6) and intolerability (p=.05) (Supplemental Figure 7).

Sensitivity and subgroup analyses (Table 2)

The superiority of grouped SGAs regarding preventing relapse remained significant in blinded studies (N=18, n=3519, p=.003), pharmaceutical company-sponsored studies (N=15, n=3250, p<.00001), studies published before and after 2000 (N=8, n=1282, p=.0002; N=14,

n=2774, p=.03, respectively), non-clozapine SGA studies (N=18, n=3701, p=.002), both randomization time points (acute phase: N=17, n=3326, p=.001; maintenance phase: N=5, n=730, p<.00001), studies with < 4 weeks or cross-sectionally assessed stability (N=14, n=2454, p=.0006), and in first- and multi-episode patients (N=6, n=1207, p=.02; N=16, n=2849, p=.0009, respectively). Results remained significant regardless of the haloperidol comparator dose. Academia-sponsored studies (N=6, n=767, p=.05); and studies requiring validated patient stability for 4 weeks showed trend-level superiority of SGAs (N=8, n=1602, p=.08). SGAs remained significantly superior over FGAs independent of whether raw relapse rates were used preferentially over estimated relapse rates (p=.005), and whether only estimated rates or raw rates were used (p=.0003; p=.02, respectively). Finally, performing a “best case scenario” analysis for FGAs, we pooled all studies where the RR for study defined relapse was ≥ 1.0 . In this subsample, SGAs were not inferior to FGAs (N=9, n=836, RR=1.08 (CI:0.97–1.35), p=0.50, I squared=0%). The same was true when removing the two studies with an RR=1.0, i.e., when analyzing only studies that had an RR >1.0 that disfavored SGAs (N=7, n=719, RR=1.11 (CI:0.96–1.44, p=0.46, I squared=0%).

Other outcomes

Changes in psychopathology and side effects could not be formally meta-analyzed, as most studies did not provide these data separately for the stabilized subgroup in which relapse was examined.

Publication bias

The symmetrical funnel-plot did not suggest overt publication bias (Supplemental Figure 8).

Discussion

This is the largest meta-analysis to date directly comparing relapse rates in schizophrenia patients treated with SGAs or FGAs followed for 6 months. We found that while in some single-studies individual SGAs were associated with significantly lower relapse rates and isolated other superiority regarding secondary outcomes, this was no longer the case when requiring at least three studies providing data for the meta-analysis of individual drug effects. The exception was risperidone, which showed significant superiority over FGAs at both 6-months (p=0.004) and 12-months (p<0.0001) when requiring 3 analyzable trials.

Of note, however, there was no instance where individual FGAs were superior to individual SGAs, either at a trial level or compared to all trials with a specific SGA. Moreover, when grouped together, SGAs as a group were superior to FGAs. Although the NNT of 17 is modest, the results were bolstered in that they were confirmed in a number of relevant sensitivity and subgroup analyses and also extended to overall treatment failure and hospitalization, the latter of which is known to be less sensitive than relapse (25). Therefore, we consider these findings are relevant when choosing long-term treatments in clinical practice. Although SGAs were not significantly superior to FGAs regarding all-cause discontinuation, discontinuation for intolerability or non-adherence, results trended in favor of SGAs (p=0.05–0.20), and the analyzable samples for these outcomes included only 18%–

50% of all patients. However, these results need to be considered in the context of the cost-effectiveness discussion regarding SGAs vs. FGAs (9;26–28).

Our report included 23 studies, involving 4,504 participants. This extends the similar findings from the earlier meta-analysis (11) that included 11 studies with 2032 patients. The inclusion criteria and methodology were similar, except that we preferred survival curve-estimated relapse rates over raw rates as our primary outcome, which we believe is a better measure, since the shorter follow-up durations often found with FGAs can bias the results against SGAs, which often have more follow-up and observation time during which relapse can occur. Actually, in both the prior and current meta-analyses, differences were smaller when raw relapse rates were used, but the results were not affected by the methods used to calculate relapse. Furthermore, compared to the prior meta-analysis (11), we were able to include 4 additional SGAs in our analyses, i.e., aripiprazole, iloperidone, quetiapine and ziprasidone, we included 6 first episode studies, and we were able to extend the analyses by investigating multiple secondary outcomes and conducting previously unavailable sensitivity analyses that confirmed and extended the primary results. This included superiority of SGAs compared to FGAs dosed below 5 mg/day (haloperidol equivalents), whereas the comparatively high haloperidol doses used in the earlier studies had been a major shortcoming in the previously available data base.

Nevertheless, relapse rates were substantially different between prior and current analyses, even when taking into the account that we preferentially used survival analyses-based rates. In the prior analysis (11), relapse rates at 1 year were 15% vs. 23% for SGAs and FGAs compared to 31.4% vs. 37.1% in our analysis. It appears that the low threshold definition of relapse in some more recent, large trials accounts for this difference, but SGAs demonstrated superiority regardless of whether study defined relapse, treatment failure or hospitalization was used.

There has been much recent debate about the relative merits of SGAs over FGAs (8–10;27–31). Increasingly, the heterogeneity of SGAs and FGAs with need for individualization of treatment is being stressed (8;25–30). Nevertheless, different drug classes are usually determined by distinctly different mechanisms, and SGAs and FGAs differ regarding potentially relevant receptor binding profiles. Moreover, the grouping has some historical relevance because of previous reviews and clinical trials of efficacy, effectiveness, relapse, EPS and TD. Although we think the strict dichotomy has outlived its usefulness, we now have a larger series of studies comparing SGAs to haloperidol (once the leading drug worldwide), suggesting that there are modest differences regarding relapse prevention, a prevailing long-term goal in schizophrenia, regardless of high, medium or low haloperidol comparator dose and, possibly, dropout due to intolerability and non-adherence. The fact that we found relatively consistent differences favoring SGAs, though modest, also has heuristic implications in that some patients relapse despite adequate dopamine antagonism provided by FGAs and SGAs. Therefore, an understanding of what other mechanisms might be relevant for relapse (even in a subset of patients) is important.

However, results of this study have to be interpreted in the context of several limitations. The data base, though larger than in the previous meta-analysis, is still limited, especially

regarding individual SGAs as well as FGA comparators other than haloperidol. This limitation does not allow for a conclusive comparison of individual SGAs, which needs to be addressed by the conduct of additional studies. Another important limitation is the inconsistent definition of relapse. As noted, we utilized each study-defined relapse measure, and if no definition of relapse was available, or if the study-defined relapse criteria were considered inappropriate, we used what we judged to be the most appropriate relapse-related outcome, i.e., predominantly psychiatric hospitalization. The problem of heterogeneously defined relapse is not surprising, since there is no universally accepted definition. On the other hand, this heterogeneity and broad-based definition of the primary outcome could also serve to enhance the generalizability of the results.

A further limitation is the methodological variability of the studies. For example, in many trials randomization occurred in the acute phase. To deal with this problem, we only used the subpopulation of patients who were judged to be responders or who were stable enough to be discharged, so that this subpopulation could be considered “at risk” for relapse, having demonstrated clear improvement as well as subsequent, clear exacerbation from that new baseline state. The concern is that by including studies, which randomized acutely exacerbated patients, we would include only patients at risk for relapse who had responded to that specific medication for acute treatment. This could lead to a selection bias toward patients who experienced less side effects or experienced more improvement on the allocated medication. If we were limited to the studies randomizing patients in the maintenance phase, only five studies would have been eligible for this meta-analysis. Furthermore, we also wanted to utilize the same inclusion criteria as in the previous meta-analysis (11). Moreover, this apparent bias applied to both SGAs and FGAs and mirrors clinical practice, in that maintenance treatment is utilized in patients who tolerate a given treatment and who do reasonably well on it.

Another limitation is the paucity of available data on potentially relevant factors, such as EPS and adherence, as well as the use of the high-potency FGA haloperidol in 21/23 studies, which precluded subgroup analyses for mid- or low-potency FGAs. The possibility that higher EPS rates could contribute to the higher rate of relapse, either directly or indirectly via non-adherence, should be considered. For example, the possibility exists that akathisia or severe akinesia might have mimicked or contributed to apparent psychotic exacerbation. However, it is unlikely that in a maintenance study involving relatively stable patients, there would be a sufficiently sudden or dramatic increase in EPS to trigger a clinical or rating scale threshold of relapse. This is of particular importance because haloperidol was a comparator in most studies and therefore might have facilitated an apparent advantage for SGAs due to its higher EPS risk. However, SGAs were superior regardless of the haloperidol comparator dose. There were insufficient data to carry out a meta-analysis on EPS or adherence, but in the studies with data (17;18;24;32–34), SGAs showed either significant or trend level superiority in some of the EPS-related outcomes. Some studies provided non-adherence rates and some provided data on discontinuation for non-adherence, but these measures were generally crude. Nevertheless, we did not find significantly more non-adherence with FGAs in the 9 studies with relevant data. In addition, other studies have not consistently shown that adherence with SGAs is sufficiently superior to explain the differences in relapse rates observed in our meta-analysis (35;36).

Assuming that we have identified a true difference between SGAs and FGAs for relapse prevention, unconfounded with differences in adherence or EPS, possible explanations for this finding deserve consideration. Differences in receptor binding profiles might play some role in relapse prevention. Clearly dopamine receptor antagonism alone is insufficient to prevent all relapses as evidenced by the roughly 20% of patients who relapse within a year on long-acting injectable antipsychotics (37). It is possible that SGAs are associated with less DA receptor upregulation [as evidenced by lower rates of tardive dyskinesia (4)] and that this might also impact rates of psychotic relapse. At the same time, SGAs have outperformed FGAs on measures of subjective well being and quality of life, raising the possibility that these might also be mediating factors in relapse risk (38). However, a detailed discussion of these possibilities is beyond scope this report.

Finally, we acknowledge that other long-term costs of FGA and SGA treatment, such as the risk for tardive dyskinesia (4;39) and for cardiovascular adverse effects (3;6;40) require careful consideration in the individualized choice of treatments for schizophrenia patients.

Thus, while the results might appear somewhat confusing in that only several individual SGAs separated from the FGA comparator, whereas in pooled analyses SGAs were clearly superior to FGAs, we believe that our results indicate that this disconnect is likely due to a lack of power. This interpretation of the results is based on the following: First, despite inclusion of heterogeneous SGAs and study populations, there is no evidence that FGAs are superior to SGAs. Even when we restricted the analyses removing all individual studies that showed results in the direction of favoring SGAs (i.e., best case scenario for FGAs in that all RRs were ≥ 1.0 or >1.0), the p-value for the comparison of these 9 and 7 studies was 0.50 and 0.43, respectively. Second, the superiority of combined SGAs vs. FGAs was widespread, generalizing to almost all examined efficacy outcomes.

In conclusion, results from this meta-analysis suggest that, while individually SGAs were not consistently superior to FGAs, as a group, SGAs were associated with less study-defined relapse, overall treatment failure and hospitalization than FGAs, having a modest but clinically relevant effect size. Future relapse prevention studies should carefully assess EPS and adherence. Moreover, additional studies with a variety of SGAs using non-haloperidol FGA comparators at low-medium doses that do not produce significantly greater EPS than SGAs (41) are needed to extend these findings. In particular, sufficiently large data sets are needed to allow the examination of the relative merits of individual SGAs and to guide an individualized and evidence-based maintenance treatment selection in schizophrenia.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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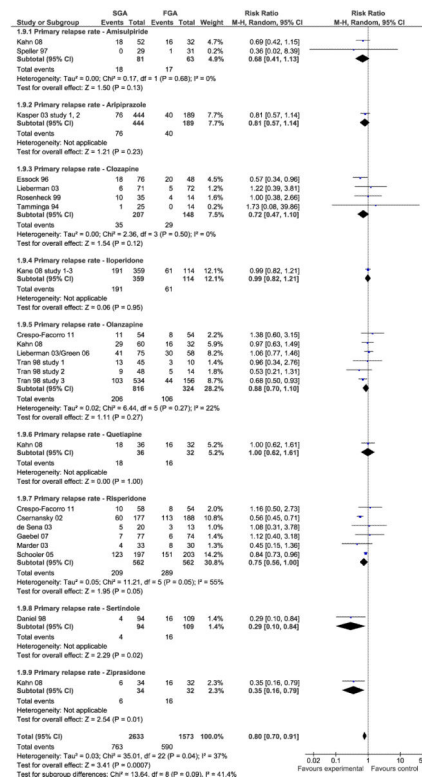


Figure 1.
Primary Outcome: Study-defined Relapse

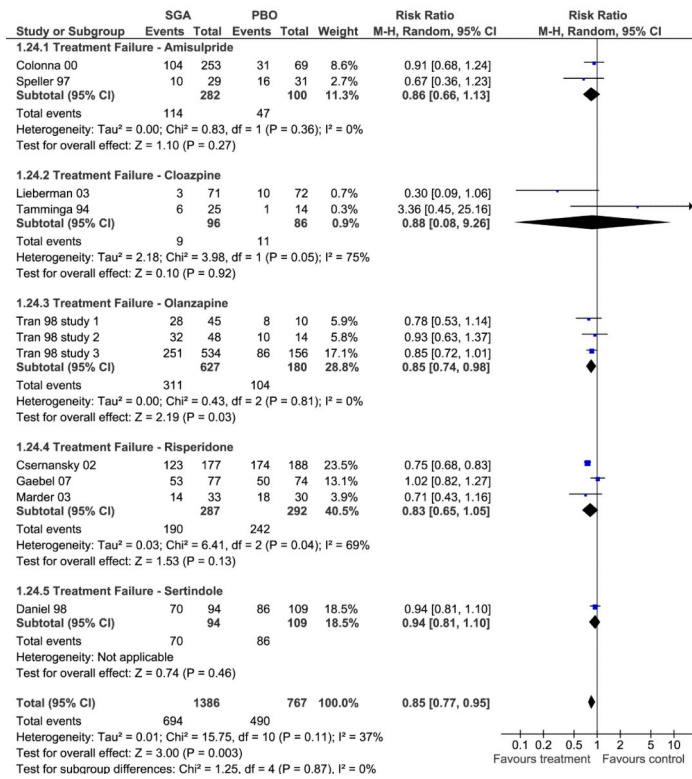


Figure 2.
Overall Treatment Failure

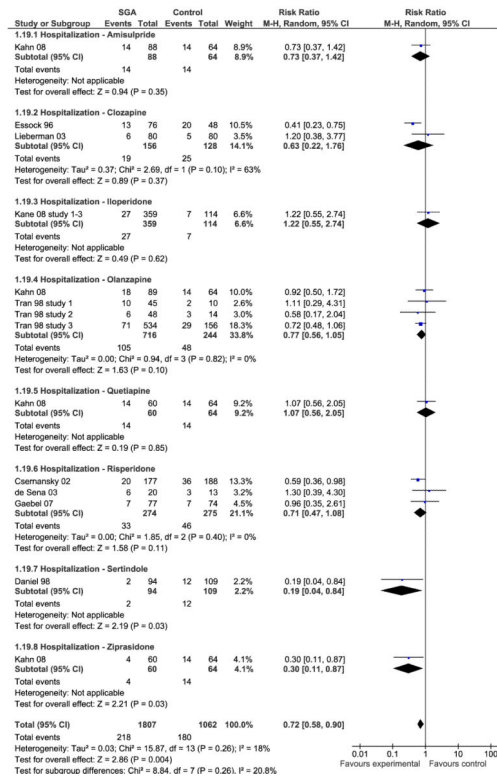


Figure 3.
Hospitalization

Table 1

Description of included studies

Study/Country	Total # of Patients	Study Design	Duration (week)	Patient population included in analysis	Definition of Relapse-Related Outcome	Mean Age (year)	% Male	% White	% S _z AD	# of Pts per Arm	Mean Dose (range/ fixed (mg/day)
Tamminga et al. '94(17)/USA	32	Rate Masked	52	OPs with TD stabilized for 1–6 months before randomization	Relapse ^e : study discontinuation due to decompensation	35.5	62.5	62.5	0	CLO: 19 HAL: 13	293.8 28.5
Essock et al. '96(19) ^a /USA	124	OL	104	IPs in state hospital with FDA criteria for CLO use who were discharged	Relapse ^e : rehospitalization	41.2	60.8	NR	NR	CLO: 76 FGA: 48	496 1386 ^g
Spiegel et al. '97(33)/UK	60	DB	52	IPs on rehabilitation wards with moderate to severe negative symptoms, with combined score of 4 on the negative subscale of Manchester Scale	Relapse: when psychotic exacerbation (increase of 3 on the combined score for the thought disturbance and paranoia items of BPRS) could not be controlled with dose increase	63 ^f	76.6	NR	0	AMI: 29 HAL: 31	NR (100–800) NR (3–20)
Tran et al. '98(12) ^b -study 1/North America	55	DB	46	Responders to acute phase therapy (BPRS-T decreased 40% from baseline or 18.) and have been OPs	Relapse: hospitalization for psychopathology	37.0	64.8	NR	11.5	OLA: 5 HAL: 10	12.1 (12) 14.0 (14.0)
Tran et al. '98(12) ^b -study 2/International	62	DB	46	Responders to acute phase therapy (BPRS-T decreased 40% from baseline or 18.) and have been OPs	Relapse: hospitalization for psychopathology	37.0	64.8	NR	11.5	OLA: 48 HAL: 14	11.5 (12) 16.4 (16)
Tran et al. '98(12) ^b -study 3/International	690	DB	22–84 ^d	Responders to acute phase therapy (BPRS-T decreased	Relapse: hospitalization for psychopathology	37.0 37.0	64.8 64.8	NR NR	11.5 11.5	OLA: 534 BMA: 556	13.9 (5–20) 13.9 (5–20)

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Study/Country	Total # of Patients	Study Design	Duration (week)	Patient population included in analysis	Definition of Relapse-Related Outcome	Mean Age (year)	% Male	% White	% SzAD	# of Pts per Arm	Mean I (range) (mg/d)
				40% from baseline or 40% from baseline or 18.) and have been OPs							
Daniel et al. '98(18)/USA	203	DB	52	Medication-responsive OPs stable for 3 months but had hospitalization or decompensation within last 5 years. CGI-S 4	Relapse ^c : hospitalization Treatment failure: 1) hospitalization; 2) 20% deterioration in BPRS-T; 3) discontinuation due to lack of efficacy or noncompliance; 4) use of other antipsychotics	37.0	75.4	60.1	0	HALL: 109 HAL: 109	13.2 (2.9-28.2)
Rosenheck et al. '99(23)/USA	49	DB	52	Treatment refractory patients whose PANSS-T decreased 20% in the initial 6 week treatment	Relapse ^c : failed to maintain improvement	43.9	99.2	70.2	0	CLO: 35 HAL: 14	628 (100-28.2)
Colonna et al. '00(42)/France	322	OL	52	Responders after 1 month of acute phase treatment (BPRS-T decrease 20%)	Treatment failure: all discontinuation + cannot maintain response (BPRS-T 20% decrease)	37.5	67.0	97	0	AMI: 253 HAL: 69	626 (200-15.1)
Csernansky et al. '02(32)/USA	361	DB	52	OPs judged stable by the principal investigator; stable dose of antipsychotics and same residence for 30 days	Relapse: 1) psychiatric hospitalization; 2) psychiatric care increase and 25% increase in PANSS-T, including 10 points increase; 3) self-injury, suicidal, homicidal ideation, violence; 4) CGI-C 6	40.2	69.9	47.7	17.8	RIS: 177 HAL: 184	4.9 (2.0-11.7)
de Sena et al. '03(43)/Brazil	33	OL	52	Hospitalized due to an acute exacerbation	Relapse: first rehospitalization after discharge	27.7	18.0	18.9	0	RIS: 20 HAL: 13	4.0 (flex) 1.0 (flex)
Kasper et al. '03(21) ^a / study 1,2/USA (study 1), International (study 2)	633	DB	52	Acute phase patients who responded (30% reduction in PANSS-T) and not having any	Relapse ^c : fail to maintain response Relapse ^c : fail to maintain response	37.1 37.1	58.6 58.6	NR NR	0 0	ARI: 444 HALL: 449	29.0 (flex) 289 (flex)

Study/Country	Total # of Patients	Study Design	Duration (week)	Patient population included in analysis	Definition of Relapse-Related Outcome	Mean Age (year)	% Male	% White	% SzAD	# of Pts per Arm	Mean I (range)/ (mg/d)
Lieberman et al. '03(20)/China	143	DB	40	FEPs who discharged from 12 weeks of hospitalization for acute phase treatment	Relapse ^c : rehospitalization after week 12	28.7	52.0	0	0	HAL: 189	600 ^b (14)
											400 ^f (16)
Marder et al. '03(22)/USA	63	DB	104	Treated as OPs for 1 month but had 2 episodes of acute schizophrenic illness or having 2 years of continuing psychotic symptoms	Relapse ^e : psychotic exacerbation (1) 4points increase on the sum of BPRS cluster scores for thought disturbance and hostile-suspiciousness; 2) 3points increase on one of these clusters with one item 4	43.5	92.1	44.4	0	HAL: 30	5.7 (6)
											4.5 (6)
Schooler et al. '05(44)/International	400	DB	104	FEPs who achieved clinical improvement (20% decrease in PANSS total score)	Relapse: 1) 25% increase in PANSS-T, including 10 points increase; 2) CGI-C 6; 3) deliberate self-injury; 4) suicidal or homicidal ideation or suicide; 5) violent behavior	25.5	71.4	74.4	7.6	HAL: 203	3.3 (up)
											2.9 (up)
Lieberman et al. '03(45)/Green et al. '06(46)/USA, Europe	133	DB	104	FEPs who remitted (PANSS P1, 2, 3, 5, 6; S 3 for 4-week)	Relapse: failed to maintain remission	23.8	81.8	52.9	9.9	OLA: 75	10.2 (5)
											4.82 (2)
Gaebel et al. '07(24)/Germany	151	DB	52	Successfully completed acute therapy (CGI-C 3) in the first illness episode	Relapse: 10 increase of PANSS positive + CGI-C 6 + GAF 20 decrease; ^h Marked clinical deterioration: 1) single fulfillment of relapse criteria, 2) 7 increase in PANSS positive + 15 decrease in GAF	31.6	58.3	NR	0	RIS: 77	4.2 (2)

Study/Country	Total # of Patients	Study Design	Duration (week)	Patient population included in analysis	Definition of Relapse-Related Outcome	Mean Age (year)	% Male	% White	% SzAD	# of Pts per Arm	Mean I (range)/ (mg/d)
Kane et al. '08(34) study 1 – 3 pooled/International	473	DB	46	Completed initial 6 wks with 20% decrease of PANSS-total score and CGI-C 4	Relapse: 1) 25% increase in PANSS-T, including 10 points increase; 2) discontinuation due to lack of efficacy; 3) aggravated psychosis with hospitalization; 4) 2 increase in CGI-S	34.7	63.4	45.6	6.3	HAL: 790 ILO: 3562	4.1 (2-11.8 (4-13.2 (5-13.2 (5-450.8 (200-12.6 (5-498.6 (250-107.2 (400-3.0 (1-10.4 (5-3.4 (3-2.9 (3-
Kahn et al. '08(10)/Europe and Israel	351	OL	52	FEPs within 2 years since the onset of positive symptoms and had 14days of antipsychotic exposure	Relapse ^e : admitted to hospital after randomization	26.0	60.0	94.0	7.0	AMI: 88 OLA: 89 QUE: 60 ZIP: 60 HAL: 64	450.8 (200-12.6 (5-498.6 (250-107.2 (400-3.0 (1-10.4 (5-3.4 (3-2.9 (3-
Crespo-Facorro et al. '10(47)/Spain	166	OL	52	FEPs who have improved by study medication to CGI-S 4, 30% decrease of BPRS-T, all BPRS item 3 for 4 weeks	Relapse: 1) any key BPRS item 5, 2) CGI-S 6, CGI-G 6, 3) psychotic hospitalization, 4) complete suicide	27.4	62.0	NR	2.4	OLA: 54 RIS: 58 HAL: 54	10.4 (5-3.4 (3-2.9 (3-

Abbreviations: AMI=amisulpride, ARI=aripiprazole, BPRS=Brief Psychiatric Rating Scale, BPRS-T=BPRS total score, CGI-C=Clinical Global Impressions scale-change score, CGI-S=Clinical Global Impressions scale-severity score, CLO=clozapine, CPZ=chlorpromazine, DB =double blind, FEPS=first-episode patients, FGA=first-generation antipsychotics, GAF=Global Assessment of Functioning scale, HAL=haloperidol, ILO=iloperidone, IPs=inpatients, NR=not reported, OL=open label, OLA=olanzapine, OPs=outpatients, PANSS=Positive and Negative Syndrome Scale, PANSS-T=PANSS total score QUE=quetiapine, RIS=risperidone, SER=sertindole, SzAD=schizoaffective disorder, ZIP=ziprasidone

^a Subpopulation of responded or remitted patients used in this meta-analysis, but demographic data was obtained from study original total population

^b Demographic data was obtained from study 1,2,3 pooled data.

^c 100% of patients discharged after randomization.

^d Subjects completed between 22 and 84 weeks of double blind therapy.

^e Original study didn't have relapse definition, mentioned outcome utilized as relapse in the analysis

^f Reported median

^g Chlorpromazine equivalent dose

Utilized marked clinical deterioration as relapse in analysis
/

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Table 2

Sensitivity analyses and subgroup analyses

Variables	Relative Risk					Risk Difference					NNT		
	N	n	RR	95% CI	I ² %	P	RD	95% CI	NNT	95% CI	I ² %	P	
Blinding status													
Open label studies	4	537	0.78	0.57, 1.06	9	.11	0.06	0.06, 0.18	NA	NA	43	.34	
Blinded studies	18	3519	0.79	0.68, 0.92	44	.003	0.06	0.02, 0.10	16.7	10, 50	58	.009	
Sponsorship													
Pharmaceutical study	15	3250	0.81	0.75, 0.89	52	<.00001	0.07	0.04, 0.10	14.3	10, 25	57	<.00001	
Academic study	6	767	0.77	0.60, 1.00	0	.05	0.03	-0.03, 0.09	NA	NA	30	.29	
Publication year													
before 2000	8	1282	0.65	0.51, 0.82	0	.0002	0.07	0.02, 0.12	14.3	8.3, 50	13	.003	
after 2000	14	2774	0.84	0.72, 0.98	48	.03	0.05	-0.01, 0.11	NA	NA	67	.09	
Clozapine/non-clozapine													
Clozapine studies	4	355	0.72	0.47, 1.10	0	.12	0.02	-0.09, 0.13	NA	NA	55	.68	
Non-clozapine SGAs studies	18	3701	0.80	0.69, 0.92	45	.002	0.07	0.02, 0.11	14.3	9.1, 50	53	.002	
Randomization time point													
During acute phase	17	3326	0.86	0.79, 0.94	0	.001	0.04	0.01, 0.07	25	14.3, 100	13	.02	
During maintenance phase	5	730	0.54	0.43, 0.68	0	<.00001	0.10	-0.02, 0.22	NA	NA	85	.12	
Patient stability determination													
Cross sectional stabilization	14	2454	0.84	0.77, 0.93	0	.0006	0.05	0.01, 0.09	20	11.1, 100	15	.01	
Stabilized 4 weeks	8	1602	0.74	0.53, 1.03	63	.08	0.07	-0.02, 0.15	NA	NA	76	.12	
First/multi episode													
First episode	6	1207	0.87	0.78, 0.98	0	.02	0.02	-0.04, 0.08	NA	NA	43	0.52	
Multi episode	16	2849	0.71	0.58, 0.87	44	.0009	0.08	-0.03, 0.13	12.5	7.7, 33	53	.002	
Haloperidol comparator dose													
<5mg/day	7	1187	0.86	0.77, 0.97	0	.01	0.04	-0.02, 0.09	NA	NA	32	.16	
5mg/day	15	2869	0.73	0.59, 0.90	47	.003	0.07	0.01, 0.13	14.3	7.7, 100	61	.01	
<10mg/day	10	1963	0.86	0.77, 0.96	0	.007	0.03	-0.01, 0.07	NA	NA	21	.12	
10mg/day	12	2093	0.70	0.54, 0.90	55	.006	0.09	0.16, 0.02	11.1	6.3, 50	58	.01	
Relapse rate calculation													

Variables	Relative Risk					Risk Difference					NNT		
	N	n	RR	95% CI	I ² %	P	RD	95% CI	I ² %	P	95% CI	NNT	P
Using preferentially raw over estimated rates	22	4449	0.81	0.71, 0.94	25	.005	0.04	0.01, 0.07	25	.004	14.3, 100	25	.004
Using only estimated rates	16	3631	0.76	0.66, 0.88	48	.0003	0.09	0.04, 0.14	48	.0002	7.1, 25	11.1	.0002
Using only raw rates	20	3753	0.82	0.70, 0.96	29	.02	0.04	0.01, 0.07	29	.01	14.3, 100	25	.01

p-values <.05 bolded to indicate statistical significance