

Article

Phylogenetic Assignment of the Fungicolous Hypoxylon invadens (Ascomycota, Xylariales) and Investigation of its Secondary Metabolites

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Abstract: The ascomycete Hypoxylon invadens was described in 2014 as a fungicolous species growing on a member of its own genus, *H. fragiforme*, which is considered a rare lifestyle in the Hypoxylaceae. This renders *H. invadens* an interesting target in our efforts to find new bioactive secondary metabolites from members of the Xylariales. So far, only volatile organic compounds have been reported from H. invadens, but no investigation of non-volatile compounds had been conducted. Furthermore, a phylogenetic assignment following recent trends in fungal taxonomy via a multiple sequence alignment seemed practical. A culture of H. invadens was thus subjected to submerged cultivation to investigate the produced secondary metabolites, followed by isolation via preparative chromatography and subsequent structure elucidation by means of nuclear magnetic resonance (NMR) spectroscopy and high-resolution mass spectrometry (HR-MS). This approach led to the identification of the known flaviolin (1) and 3,3-biflaviolin (2) as the main components, which had never been reported from the order Xylariales before. Assessment of their antimicrobial and cytotoxic effects via a panel of commonly used microorganisms and cell lines in our laboratory did not yield any effects of relevance. Concurrently, genomic DNA from the fungus was used to construct a multigene phylogeny using ribosomal sequence information from the internal transcribed spacer region (ITS), the 28S large subunit of ribosomal DNA (LSU), and proteinogenic nucleotide sequences from the second largest subunit of the DNA-directed RNA polymerase II (RPB2) and β -tubulin (TUB2) genes. A placement in a newly formed clade with *H. trugodes* was strongly supported in a maximum-likelihood (ML) phylogeny using sequences derived from well characterized strains, but the exact position of said clade remains unclear. Both, the chemical and the phylogenetic results suggest further inquiries into the lifestyle of this unique fungus to get a better understanding of both, its ecological role and function of its produced secondary metabolites hitherto unique to the Xylariales.

Keywords: Ascomycota; chromatography; multigene phylogeny; naphthoquinones; taxonomy; Xylariales

1. Introduction

Hypoxylon is the largest genus within the fungal family Hypoxylaceae (order Xylariales, Ascomycota) with more than 230 described species [1]. The stromata (fruiting bodies) of *Hypoxylon* are often rather conspicuous and can frequently be found on dead wood in all forested areas of the world, but the mycelia of these fungi are also among the most frequently isolated endophytes of seed plants. This underlines the ecological importance of the genus [2,3]. Recent taxonomical



advances revolved on the reorganization of *Hypoxylon* and allies, using a polyphasic concept combining morphological, molecular phylogenetics with special emphasis on multi-locus genealogies and chemotaxonomic approaches. This ultimately led to the resurrection of the Hypoxylaceae itself and the segregation of genera like *Hypomontagnella* and *Jackrogersella* [2,3], while increasing chemotaxonomical knowledge supported the treatment of genera like *Annulohypoxylon* as an own genus where sequence information derived from the widely used Internal transcribed spacer region (ITS) alone did not give a conclusive resolution [4,5]. Members of the Hypoxylaceae are also known to produce an astonishing amount of diverse secondary metabolites, making them valuable targets for natural product researchers [6]. This research branch is further supported by the increasing number of high-quality genomes available [7], expanding the classical screening approaches of natural product chemistry by the possibility for genome mining and biosynthesis studies of promising bioactive compounds.

The monotypic species *Hypoxylon invadens* was recently reported from France as a fungicolous species growing on stromata of a member of its own genus, *H. fragiforme* [8]. Only a few cases of this apparently mycophilic lifestyle are known from the genus *Hypoxylon* and other genera that now belong to the Hypoxylaceae (i.e., *Chlorostroma* and *Thuemenella* [9], of which no mycelial cultures are presently available in the public domain), and it was not possible to evaluate whether the stromata just grow coincidentally on the stromata of their relatives, or whether there is some form of parasitism or mutualism involved. We were able to obtain a culture from the ascospores of the holotype specimen of *H. invadens* that can now be studied in-depth for its physiological and ecological traits, including secondary metabolite production. In a previous study we have checked the cultures of *H. invadens* and *H. macrocarpum* [8], and the only reported metabolites from those species are several volatile organic compounds (VOC) [10,11] that were investigated by GC-MS analysis and total synthesis after observation of a strong odor originating from mycelia grown on oatmeal agar [8].

The current study is dedicated to assessing the phylogenetic affinities of *H. invadens* via a four-locus phylogeny, as well as the investigation of its secondary metabolites, followed by evaluation of the cytotoxicity and antimicrobial activity of the newly isolated compounds.

2. Materials and Methods

2.1. General

Electrospray mass (ESI-MS) spectra were recorded with an UltiMate[®] 3000 Series UHPLC (Thermofisher Scientific, Waltman, MA, USA) utilizing a C18 Acquity[®] UPLC BEH column (2.1 × 50 mm, 1.7 μ m; Waters, Milford, MA, USA), connected to an amaZon speed[®] ESI-Iontrap-MS (Bruker, Billerica, MA, USA). HPLC parameters were set as follows: solvent A: H₂O+0.1% formic acid, solvent B: acetonitrile (ACN)+0.1% formic acid; gradient: 5% B for 0.5 min, increasing to 100% B in 19.5 min, keeping 100% B for further 5 min; flowrate 0.6 mL/min, DAD detection 200–600 nm.

High resolution electrospray mass (HR-ESI-MS) spectra were obtained with an Agilent 1200 Infinity Series HPLC (Agilent Technologies, Santa Clara, CA, USA) connected to a maXis[®] electrospray time-of-flight mass spectrometer (ESI-TOF-MS; Bruker; HPLC conditions same as for ESI-MS measurements).

Nuclear magnetic resonance (NMR) spectra were recorded with an Avance III 500 spectrometer (Bruker, ¹H NMR: 500 MHz, ¹³C NMR: 125 MHz). UV/vis spectra were taken with a UV-2450 spectrophotometer (Shimadzu, Kyoto, Japan).

2.2. Fungal Material and Molecular Phylogeny

A culture from the holotype specimen of *Hypoxylon invadens* was used in this study. A well-grown culture of *H. invadens* on YM 6.3 agar was used for genomic DNA (gDNA) extraction using a commercially available kit as described by Kuhnert et al. [12]. The obtained gDNA was used to amplify four different loci: the internal transcribed spacer region (ITS), the 28S large subunit of ribosomal DNA (LSU), the second largest subunit of the DNA-directed RNA polymerase II (RPB2) and β -tubulin

(TUB2) with primers as described elsewhere [13–17]. PCR programs, subsequent amplicon purification and sequencing were conducted as described previously [9,18].

Sequence assembly and analysis was done following Wendt et al. [2] with the program package Geneious[®] 7.1.9 (http://www.geneious.com, [19]). Taxon selection and complementation of sequences was based on the dataset of Sir et al. [20], which comprised many type strains, as well as authentic representatives of all phylogenetic lineages of the genus *Hypoxylon* and allied Hypoxylaceae. The GenBank accession numbers of the sequences used are given in Table 1. Loci were aligned using the in-built version of the program MAFFT 7.017 with settings for the G-INS-I algorithm set to default [21]. The resulting alignment was filtered for phylogenetic informative positions via the server version of Gblocks (Castresana Labs, [22]), low stringency settings with options for smaller final blocks and gap positions within final blocks, see Talavera and Castresana [23] and Wendt et al. [2]. Molecular phylogenetic inference followed using the client version of IQTree (Version 2.0-RC2, [24]) with a partitioned dataset [25] and ModelFinder for model selection [26]. Non-parametric bootstrap (BS, \geq 50%, majority consensus [27]) and Shimodaira-Hasegawa approximate likelihood (ML) tree.

Species	Status	Strain	Origin	GenBank Acc. No. of DNA Loci				References
openio	Status	ottuin	o ngm	ITS	LSU	RPB2	TUB2	Kererences
Annulohypoxylon annulatum	ET	CBS 140775	Texas	KY610418	KY610418	KY624263	KX376353	[2,30]
A. annulatum		DSM 107931	Texas	MK287534 AJ390397	MK287546	MK287559	MK287572	[20]
A. moriforme		CBS 123579	Martinique	KX376321	KY610425	KY624289	KX271261	[2,31]
A. nitens		MFLUCC 12.0832	Thailand	KJ934991	KJ934992	KJ934994	KJ934993	[32]
A. stygium		MUCL 54601	French Guinea	KY610409	KY610475	KY624292	KX271263	[2]
A. truncatum	ET	CBS 140778	Texas	KY610419	KY610419	KY624277	KX376352	[2,30]
A. truncatum		DSM 107925	Texas	MK287531	MK287543	MK287556	MK287569	[20]
Daldinia concentrica		CBS 113277	Germany	AY616683	KY610434	KY624243	KC977274	[2,12,33]
D. eschscholtzii		MUCL 45435	Benin	JX658484	KY610437	KY624246	KC977266	[2,12,34]
D. petriniae	ET	MUCL 49214	Austria	AM749937	KY610439	KY624248	KC977261	[2,12,35]
D. placentiformis		MUCL 47603	Mexico	AM749921	KY610440	KY624249	KC977278	[2,12,35]
Entonaema liquescens		ATCC 46302	USA	KY610389	KY610443	KY624253	KX271248	[2]
Graphostroma platystoma	HT	CBS 270.87	France	JX658535	DQ836906	KY624296	HG934108	[2,34,36,37]
Hypom. barbarensis	HT	STMA 14081	Argentina	MK131720	MK131718	MK135891	MK135893	[3]
Hypom. monticulosa	ET	MUCL 54604	French Guiana	KY610404	KY610487	KY624305	KX271273	[2]
Hypom. submonticulosa		CBS 115280	France	KC968923	KY610457	KY624226	KC977267	[2,12]
Hypoxylon carneum		MUCL 54177	France	KY610400	KY610480	KY624297	KX271270	[2]
H. cercidicola		CBS 119009	France	KC968908	KY610444	KY624254	KU684189	[2,12]
H. croceum		DSM 107926	Texas	MK287535	MK287547	MK287560	MK287573	[20]
H. croceum		DSM 107932	Texas	MK287532	MK287544	MK287557	MK287570	[20]
H. crocopeplum		CBS 119004	France	KC968907	KY610445	KY624255	KC977268	[2,12]
H. fendleri		MUCL 54792	French Guinea	KF234421	KY610481	KY624298	KF300547	[2,12]
H. fragiforme	ET	MUCL 51264	Germany	KC477229	KM186295	MK887342	KX271282	[2,20,32,38]
H. fuscum	ET	CBS 113049	France	KY610401	KY610482	KY624299	KX271271	[2]
H. griseobrunneum	Т	CBS 331.73	India	KY610402	KY610483	KY624300	KC977303	[2,12]
H. guilanense	HT	MUCL 57726	Iran	MT214997	MT214992	MT212235	MT212239	[39]
H. haematostroma	ET	MUCL 53301	Martinique	KC968911	KY610484	KY624301	KC977291	[2,12]
H. howeanum		MUCL 47599	Germany	AM749928	KY610448	KY624258	KC977277	[2,12,35]
H. hypomiltum		MUCL 51845	Guadeloupe	KY610403	KY610449	KY624302	KX271249	[2]

Table 1. Sequence accession numbers, corresponding isolates, and literature reference of sequences used for the molecular phylogenetic inference. Species-type specimen are given the corresponding label (HT = holotype; ET = Epitype). The newly sequenced *H. invadens* isolate is highlighted in bold.

Species	Status	Strain	Origin	GenBank Acc. No. of DNA Loci				Poforoncoc
				ITS	LSU	RPB2	TUB2	Kererences
H. invadens	НТ	MUCL 51475	France	MT809133	MT809132	MT813037	MT813038	This Study
H. investiens		CBS 118183	Malaysia	KC968925	KY610450	KY624259	KC977270	[2,12]
H. lateripigmentum	HT	MUCL 53304	Martinique	KC968933	KY610486	KY624304	KC977290	[2,12]
H. lenormandii		CBS 119003	Ecuador	KC968943	KY610452	KY624261	KC977273	[2,12]
H. lienhwacheense		MFLUCC 14-1231	Thailand	KU604558	MK287550	MK287563	KU159522	[20,40]
H. musceum		MUCL 53765	Guadeloupe	KC968926	KY610488	KY624306	KC977280	[2,12]
H. olivaceopigmentum	Т	DSM 107924	Texas	MK287530	MK287542	MK287555	MK287568	[20]
H. papillatum	HT	ATCC 58729	USA	KC968919	KY610454	KY624223	KC977258	[2,12]
H. perforatum		CBS 115281	France	KY610391	KY610455	KY624224	KX271250	[2]
H. petriniae	HT	CBS 114746	France	KY610405	KY610491	KY624279	KX271274	[2,30]
H. pilgerianum		STMA 13455	Martinique	KY610412	KY610412	KY624308	KY624315	[2]
H. porphyreum		CBS 119022	France	KC968921	KY610456	KY624225	KC977264	[2,41]
H. pulicicidum	HT	CBS 122622	Martinique	JX183075	KY610492	KY624280	JX183072	[2,42]
H. rickii	ET	MUCL 53309	Martinique	KC968932	KY610416	KY624281	KC977288	[2,12]
H. rubiginosum	ET	MUCL 52887	Germany	KC477232	KY610469	KY624266	KY624311	[2,38]
H. samuelsii	ET	MUCL 51843	Guadeloupe	KC968916	KY610466	KY624269	KC977286	[2,12]
H. texense	Т	DSM 107933	Texas	MK287536	MK287548	MK287561	MK287574	[20]
H. ticinense		CBS 115273	France	JQ009317	KY610471	KY624272	AY951757	[2,4]
H. trugodes	ET	MUCL 54794	Sri Lanka	KF234422	KY610493	KY624282	KF300548	[2,12]
H. vogesiacum		CBS 115273	France	KC968920	KY610417	KY624283	KX271275	[2,12]
Jackrogersella cohaerens		CBS 119126	Germany	KY610396	KY610497	KY624270	KY624314	[2]
J. minutella		CBS 119015	Portugal	KY610381	KY610424	KY624235	KX271240	[2]
J. multiformis	ET	CBS 119016	Germany	KC477234	KY610473	KY624290	KX271262	[2,12,30]
Pyrenopolyporus hunteri	ET	MUCL 52673	Ivory Coast	KY610421	KY610472	KY624309	KU159530	[2,30]
P. laminosus	HT	MUCL 53305	Martinique	KC968934	KY610485	KY624303	KC977292	[2,12]
P.nicaraguensis		CBS 117739	Burkina Faso	AM749922	KY610489	KY624307	KC977272	[2,12,35]
Rhopalostroma angolense		CBS 126414	Ivory Coast	KY610420	KY610459	KY624228	KX271277	[2]
Thamnomyces dendroidea	HT	CBS 123578	French Guinea	FN428831	KY610467	KY624232	KY624313	[2,43]
Xylaria hypoxylon	ET	CBS 122620	Sweden	KY610407	KY610495	KY624231	KX271279	[2,44]

Table 1. Cont.

2.3. Cultivation, Extraction, and Isolation

For cultivation, seed cultures were prepared by adding three mycelial plugs of ca. 0.25 mm² size each from well-grown yeast-malt agar plates (YM 6.3 [45], supplemented with 20 g/L agar) into 250 mL shaking flasks containing 100 mL of liquid YM 6.3 medium. Seed cultures were inoculated on a rotary shaker (Infors, Bottmingen, Switzerland) for 13 d (23 °C, 140 rpm). For preparation of the submerged cultures, 20 × 500 mL shaking flasks containing 200 mL of ZM- $\frac{1}{2}$ medium [45] were inoculated with 3 mL of seed culture. The flasks were incubated on a rotary shaker under the aforementioned conditions and consumption of glucose was monitored by using glucose test stripes (Macherey-Nagel, Düren, Germany) daily. Besides glucose, a visual estimation of the red color of the culture broth was taken into account to determine the termination of fermentation. Accordingly, three days after the glucose test stripes indicated that the glucose had been consumed (and the color was of an intense red), the cultures were harvested and extracted.

After termination of fermentations, supernatant and mycelium were separated by centrifugation and subsequent gauze filtration. The mycelium was extracted two times, first using 1 L of ethyl acetate (EtOAc) and then 1 L of acetone as organic phases at 40 °C in an ultra-sonic bath for one hour. Both organic phases were individually extracted twice with ca. 1 L of deionized water using a separatory funnel and the aqueous phases discarded.

The organic phases of the two extractions of the $ZM-\frac{1}{2}$ medium were combined and dried in vacuo to yield the crude extract (526 mg). An aliquot of this extract was dissolved in DMSO and subjected to HPLC-DAD/MS analysis (see Figure S1). The crude extract of the culture prepared in $ZM-\frac{1}{2}$ medium was gradually separated using two subsequent steps of chromatography to yield the pure compounds 1 and 2. As a first step, the crude extract was dissolved in 4 mL ACN:H₂O (75:25) and transferred to an open solid phase cartridge (Strata® X 33 µm Polymeric Reversed Phase Tube, 1 g/12 mL, SN 8B-S100-JDG; Phenomenex, Aschaffenburg, Germany). Elution was achieved by using low vacuum (ca. 800 mbar) and a step-gradient of H₂O:ACN (90:10, 60:40, 30:70, 100:0; 4 mL per step). The effluents of the gradient steps 90:10 and 60:40 were combined according to ESI-MS results and dried in vacuo, yielding 221 mg of extract. The resulting crude extract was further separated by dissolution in ca. 5 mL of ACN:H₂O (60:40) and subjection to a PLC 2250 HPLC system (Gilson, Middleton, WI, USA), utilizing a Nucleodur[®] C18ec column (125×40 mm, 7 µm; Macherey-Nagel, Düren, Germany) and solvents: A: H₂O+0.1% formic acid, B: ACN+0.1% formic acid, flow: $45 \text{ mL} \times \text{min}^{-1}$, fractionation: 15 mL, gradient: isocratic conditions at 10% B for 10 min, followed by an increase to 70% B in 60 min, then increase from 70% B to 100% B in 5 min, followed by isocratic conditions of 100% B for 5 min. The fractions were combined according to the DAD chromatogram of the HPLC system: flaviolin (1): $t_{\rm R} = 29.5 - 31.0$ min, 18.2 mg; 3,3'-biflaviolin (2): $t_{\rm R} = 38.0 - 40.0$ min, 19.2 mg. Chromatograms, DAD and MS traces of the pure 1 and 2 are depicted in Figure S2.

Furthermore, other growth media were checked for presence of the isolated compounds 1–2, as well as other compounds of a chemotaxonomic interest. *H. invadens* was thus additionally grown in submerged Q6- $\frac{1}{2}$, YM 6.3 (both [45]), MMK2 [46], and HLX [47], as well as the solid medium BRFT [45]. For this purpose, culture conditions as described above were applied, with the difference of only one 500 mL shaking flask being incubated per medium (the solid BRFT medium was also not agitated during cultivation). Extraction of mycelia was conducted as mentioned above, but with only 200 mL of EtOAc being used for ultra-sonication and the acetone ultra-sonication step omitted. Afterwards, the organic EtOAc phase was extracted with ca. 200 mL of water as described above. The supernatant, in turn, was directly extracted with ca. 200 mL of EtOAc each. In the case of BRFT, no separation of supernatant and mycelium was possible due to the solid nature of the medium, hence it was treated like the other mycelia. HPLC-DAD/MS results of both supernatants and mycelia are depicted in Figure S3.

2.4. Antimicrobial Acticity and Cytotoxicity Assay

Compounds 1–2 were dissolved in MeOH (1 mg/mL) for the bioactivity assays. The solvent was also used as negative control.

Minimum inhibitory concentrations (MIC) were determined in a serial dilution assay as described previously [48]. Various test organisms of fungal and bacterial origin were tested to cover a broad range of microorganisms: bacteria: *Bacillus subtilis, Staphylococcus aureus, Micrococcus luteus, Chromobacterium violaceum, Escherichia coli, Pseudomonas aeruginosa;* mycobacteria: *Mycolicibacterium smegmatis;* fungi: *Candida albicans, Schizosaccharomyces pombe, Mucor hiemalis, Pichia anomala* and *Rhodotorula glutinis.*

The MTT cytotoxicity assay was performed against the cell lines L929 (mouse fibroblasts) and KB 3.1 (human papillomavirus-related endocervical adenocarcinoma) as described previously [49].

2.5. Spectral Data

2.5.1. Flaviolin

(1): Red solid. MW = 206.16 Da, MF = $C_{10}H_6O_5$. NMR (acetone- d_6 , ¹H NMR: 500 MHz, ¹³C NMR: 125 MHz): see Table S1; UV/vis (c = 0.01 mg/mL, ACN): λ_{max} (ε) = 214 (4.41), 262 (3.94), 301 (3.97) nm; ESI–MS: m/z 206.92 [M+H]⁺, 204.79 [M–H]⁻; HR–ESI–MS: m/z 207.0284 [M+H]⁺ (calculated for $C_{10}H_7O_5$, 207.0288); t_R = 4.8 min.

2.5.2. 3,3'-Biflaviolin

(2): Red solid. MW = 410.29 Da, MF = $C_{20}H_{10}O_{10}$. NMR (acetone- d_6 , ¹H NMR: 500 MHz, ¹³C NMR: 125 MHz): see Table S1; UV/vis (c = 0.01 mg/mL, ACN): λ_{max} (ε) = 211 (4.56), 266 (4.39), 300 (4.15) nm; ESI–MS: m/z 411.02 [M+H]⁺, 408.92 [M–H]⁻; HR–ESI–MS: m/z 411.0345 [M+H]⁺ (calculated for $C_{20}H_{11}O_{10}$, 411.0347); t_R = 6.8 min.

3. Results

3.1. Molecular Phylogeny of H. invadens

The newly generated sequences of *H. invadens* and the dataset presented in Figure 1 were aligned as described above and curated via Gblocks, which lead to four alignments spanning 347, 1113, 815 and 1047 positions (ITS, LSU, RPB2, and TUB2, respectively; cf. Table S3). In total, 1015 positions were shown as parsimony informative, while 2049 positions represented constant sites. The partitioned analysis (see Table S3 for a list of selected best-fit models following Bayesian-information criterion, BIC) inferred a ML-tree with a log-likelihood (lLn) score of -40,100.7533 (Figure 1). The tree was rooted to the outgroup consisting of representatives of the Graphostromataceae and Xylariaceae (O) with the Hypoxylaceae receiving maximum BS and 99% SH-aLRT support, with H. papillatum appearing basal to all other clades (Hx1). The Hypoxylaceae furthermore show a paraphyly of Hypoxylon, split into one strongly supported clade (Hx2, 98% SH-aLRT / 95% BS) and one strongly supported sister clade (78% BS, Hx7) (85%/56%) put next to Annulohypoxylon (A, 100%) and Jackrogersella (J, 98%/100%). Furthermore, one non-supported clade containing *H. fragiforme* and allies (Hx3) was placed as sister group next to a clade containing mostly other genera like Pyrenopolyporus (P, 100% support), Daldinia and allies (99%/100%; E, D, R, T), Hypomontagnella (Hy, 100%) and other nested sequences with non- or low supported positions of Hypoxylon and Entonaema (E, Hx4, Hx5, Hx6) with moderate BS and high likelihood-ratio support (95%/58%). These patterns were already described by Wendt et al. [2], Sir et al. [20], and Pourmoghaddam et al. [39]. The H. invadens-derived sequences were placed next to *H. trugodes* with maximum support (Hx5).



Figure 1. Inferred maximum-likelihood (ILn = -40,100.7533) tree of a molecular phylogeny of sequenced loci of ribosomal origin (ITS, LSU) and proteinogenic genes (RPB2, TUB2), with partition-wise assigned evolutionary models. SH-aLRT (>85%) and BS (>50%) support is given at first and second position at respective branches. The labeled clades correspond to the genera *Annulohypoxylon* (A), *Daldinia* (D), *Entonaema* (E), *Hypoxylon* (Hx 1–7), *Hypomontagnella* (Hy), *Jackrogersella* (J), *Pyrenopolyporus* (P), *Rhopalostroma* (R), *Thamnomyces* (T) and the rooted outgroup (O). The clades have been highlighted by alternative grey and light blue background to allow for easier distinction. The position of *H. invadens* is highlighted in bold. Note: The branch length of *H. lienhwacheense* has been truncated, as indicated. Branch length equals substitutions per site.

3.2. Structure Elucidation of Flaviolin (1) and 3,3'-Biflaviolin (2) and Analysis of Extracts from other Culture Media

Two secondary metabolites were isolated from an extract (cf. Figure S1) of cultures of *H. invadens* in ZM- $\frac{1}{2}$ medium, which were ultimately identified as the known naphthoquinones flaviolin (1) and its dimer, 3,3'-biflaviolin (2) (see Figure 2). A combination of 1D and 2D NMR, as well as a direct comparison of UV/vis spectral data with reference data [50] was applied.



Figure 2. Chemical structures of flaviolin (1) and 3,3'-biflaviolin (2), secondary metabolites isolated from cultures of *Hypoxylon invadens*.

Flaviolin (1) was isolated as a red solid and shown to possess a molecular formula (MF) of $C_{10}H_6O_5$ by HR-ESI-MS. Analysis of 1D and 2D NMR data led to the structure proposal of **1**. The complete structure could be confirmed when different long range coupling constants in ¹H/¹³C heteronuclear multiple-bond correlation spectroscopy (¹H/¹³C HMBC) experiments (CNST 13 = 2 Hz, 6 Hz) were used, which allowed for ³*J*, as well as ⁴*J* correlations to be observed. Taken together, these correlations allowed the confirmation of the isolated compound as flaviolin (**1**).

For the red solid 3,3'-biflaviolin (2), a MF $C_{20}H_{10}O_{10}$ was calculated. Its structure was confirmed via NMR spectroscopy to be a symmetrical dimer of **1**. This was deduced by its molecular formula, which represented a duplication of atoms compared to **1** with a formal loss of two hydrogens, highly similar UV/vis spectra (Figure S2), and the similarity of proton and carbon signals in NMR data of **1–2**. The bridging atom in 3,3'-biflaviolin was derived to be C-3/C-3', as the ¹H NMR signals of 3-H were missing in **2** as compared to **1**.

NMR data, key correlations, as well as 1D and 2D spectra can be found in Table S1, Figure S4 and Figures S5–S16, respectively.

The HPLC-DAD/MS chromatograms derived from five screening cultures in six different media in which *H. invadens* had been fermented were checked for the presence of compounds **1** and **2**, as well other chemotaxonomically relevant secondary metabolites. Aside from the $ZM-\frac{1}{2}$ medium, where **1** and **2** were major components, we were only able to detect them in HLX medium (cf. Figure S3B). Notably, compounds **1** and **2** were not found in extracts from the YM 6.3 medium, which was the standard medium in a comprehensive chemotaxonomic study by Bitzer et al. [35] (Figure S3C). Furthermore, they were neither present in the extracts from submerged MMK2 and Q6- $\frac{1}{2}$ media, nor in the solid, rice-containing BRFT medium (Figure S3D–F). No known compounds from related species (including mellein derivatives, which were present in extracts from submerged cultures in YM medium of almost all *Hypoxylon* sp. previously studied [35], could be assigned to the large number of peaks present in the extracts.

3.3. Antimicrobial and Cytotoxic Activities of Flaviolin (1) and 3,3'-Biflaviolin (2)

The antimicrobial and cytotoxic activities of flaviolin (1) and 3,3'-biflaviolin (2) were evaluated as described in the methods section and are summarized in Table S2.

Antibacterial activity of **1** against *Staphylococcus aureus* with a minimum inhibitory concentration (MIC) of 66.7 μ g × mL⁻¹ was determined, which was very weak in comparison to the reference oxytetracycline (MIC = 0.4 μ g × mL⁻¹). No activity of **1–2** against any of the other microbial test organisms was observed. Evaluation of the cytotoxic effects of **1–2** on mammalian cell lines resulted in **1** exhibiting a half-maximal inhibitory concentration (IC₅₀) of 92.2 μ M against L929 mouse fibroblasts. The reference epothilone B, in turn, exhibited an IC₅₀ of 0.00006 μ M against L929. Other cytotoxic effects were not observed against the chosen cell lines.

4. Discussion

In this work, we report the isolation of the first non-volatile secondary metabolites from the fungicolous fungus *Hypoxylon invadens*. To the best of our knowledge, the naphthoquinone polyketides flaviolin (1) and its dimer, 3,3'-biflaviolin (2) have so far neither been described from the genus *Hypoxylon*, nor from any other species of the order Xylariales. During the isolation procedure it became obvious that 1 and 2, besides some minor components probably representing congeners of those (as inferred from similar DAD and mass spectra), were responsible for the red color of the culture broth. Even though HPLC-DAD/MS analysis of the $ZM-\frac{1}{2}$ medium after separation of the mycelium revealed presence of 1 and 2, quantities were substantially lower than in the mycelium, which was hence used for isolation efforts. Analysis of extracts from other growth media for presence of 1 and 2 revealed that they were also produced in HLX medium. A large number of other peaks were observed in the chromatograms from other cultivation media (Figure S3), but those could not be assigned to known structures solely by analytical methods. Substantial work involving scale up of production and subsequent chromatography and spectral analytics remain necessary to identify these metabolites.

Flaviolin (1) was first described in 1953 by Astill and Roberts [51] as a secondary metabolite from *Aspergillus citricus*, and its structure was elucidated shortly thereafter [52]. In 1979, **1** was deduced to be a melanin biosynthesis shunt product that accumulated when tricyclazole, a fungicide known to inhibit melanin biosynthesis, was added to cultures of *Thielaviopsis basicola* (currently *Berkeleyomyces basicola*) [53]. Biosynthesis of 3,3'-biflaviolin (**2**) was reported to occur when an albino mutant of the fungus was fed with flaviolin (**1**) [50]. In the case of *H. invadens* reported herein, **1–2** were produced in substantial amounts without intervention in metabolic pathways. This indicates a specific synthesis of **1–2** by a polyketide synthase (PKS).

Assessment of the antimicrobial and cytotoxic activity of compounds **1–2** (which was conducted in this study for the first time) showed no significant bioactivities. Only weak antibacterial activities of **1** against *Staphylococcus aureus*, as well as very weak cytotoxicity against the cell line L929 (mouse fibroblasts) was observed. In comparison, no activity against KB 3.1 (human adenocarcinoma) cells was exhibited by **1**, the reason for the differing activities against both eukaryotic cell lines remains unclear. However, the weak bioactivities observed exclude **1–2** from further investigation as drug candidates. Extensive assessment of its applicative potential in other assays is currently under way and may reveal more interesting biological effects in the future.

Generally, naphthoquinones are known to be toxic to mammalian cells due to (a) their reducibility by biological systems, causing generation of harmful reactive oxygen species (ROS), and (b), their ability to covalently bind nucleophiles (such as thiolates of proteins) [54]. While the first effect may readily take place in **1–2** due to the 1,4-naphthoquinone moiety, the latter one is unlikely due to the C-2 hydroxyl moiety in **1** and steric hindrance by the fused ring system in **2** [55]. From an ecological perspective, the role of the herein described secondary metabolites remains obscure for the time being.

Molecular phylogenetic assessment via a multi-locus supermatrix approach led to the placement of *H. invadens* next to *H. trugodes*, (cf. Figure 1). However, the exact topological position of the newly formed clade inside the genus *Hypoxylon* lacked statistical support. There are at least 20 species with similar morphology and secondary metabolite profiles as *H. trugodes* (which is a member of the *Hypoxylon rubiginosum* complex) that were not yet subjected to DNA sequencing, and to accomplish this could be an interesting task for the future. With the upcoming genomic era in the study of this diverse genus, *H. invadens* may turn out to become an interesting model species for further ecological, physiological and phylogenomic assessment. Another task for the future would be the biological characterization of the volatile secondary metabolites, which had not been included in the previous study [11]. Notably, these volatiles were identified as terpenoids (e.g., α -muurolene, α -amorphene and α -cadinene), and chlorinated aromatic compounds, but no naphthoquinone derivatives were found among the volatile metabolites of *H. invadens*. [11].

5. Conclusions

In this work, we reported the first description of non-volatile secondary metabolites from the fungicolous *Hypoxylon invadens*. Moreover, these compounds were not found in over 50 other related species that were studied before, albeit slightly different growth conditions were examined in a previous chemotaxonomic study of related species [35]. However, the large number of unassignable peaks found in extracts of *H. invadens* under different conditions suggests isolation efforts of additional secondary metabolites, which could, in turn, be used for chemotaxonomic studies and characterized for biological activities. It is remarkable that—despite the fact that several hundreds of secondary metabolites have previously been reported from the Xylariales [6]—the present study is the first to reveal such naphthoquinone derivatives as major components of one of their species.

Moreover, we herein described the phylogenetic position of *H. invadens* in the genus *Hypoxylon*, based on a multi-locus phylogeny. Placement of *H. invadens* next to *H. trugodes* was well-supported by the applied supermatrix approach (i.e., a new phylogenetic method, which was employed for the Xylariales in this study for the first time), but the exact position of this new clade remains unclear. Further studies, also relying on the newly arising high quality genome sequences that can be generated by third generation sequencing techniques, such as Oxford nanopore [7], are called to further study the ecological role of this interesting fungus and clarify the natural function of its secondary metabolites.

Supplementary Materials: The following are available online at http://www.mdpi.com/2076-2607/8/9/1397/s1, Figure S1: HPLC-UV/vis Chromatogram of the Crude Extract from Cultures of *H. invadens* in ZM-¹/₂ medium used for Isolation of Flaviolin (1) and 3,3'-Biflaviolin (2); Figure S2: HPLC-UV/vis Chromatograms and DAD/MS Traces of pure 1–2. Figure S3: HPLC-UV/vis Chromatograms of Crude Extracts from Screening Cultures of *H. invadens* in different Media. Table S1: ¹H and ¹³C NMR data of 1–2; Figure S4: Key NMR correlations of 1; Table S2: Antimicrobial and Cytotoxic Activities of 1–2; Figures S5–S10: 1D and 2D NMR spectra of 1; Figures S11–S16: 1D and 2D NMR spectra of 2; Table S3: Flanking Positions used for phylogenetic inference as determined by Gblocks and selected evolutionary models. MAFFT alignments of ITS, LSU, RPB2, and TUB2 from *H. invadens* are attached as separate FASTA files.

Author Contributions: K.B.: resources, investigation, conceptualization, analysis & interpretation of chemical data, visualization, drafting of the paper, critical review of the draft. C.L.: resources, investigation, conceptualization, analysis and interpretation of taxonomical data, data curation, visualization, drafting of the paper, critical review of the draft. J.W.: resources, investigation, analysis and interpretation of data. M.S.: conceptualization, supervision, critical review of the draft. All authors have read and agreed to the published version of the manuscript.

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