#### **RESEARCH PAPER**

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# A combination of fecal calprotectin and human beta-defensin 2 facilitates diagnosis and monitoring of inflammatory bowel disease

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#### ABSTRACT

Inflammatory bowel disease (IBD) and irritable bowel syndrome (IBS) show a large overlap in clinical presentation, which presents diagnostic challenges. As a consequence, invasive and burdensome endoscopies are often used to distinguish between IBD and IBS. Here, we aimed to develop a noninvasive fecal test that can distinguish between IBD and IBS and reduce the number of endoscopies.

We used shotgun metagenomic sequencing to analyze the composition and function of gut microbiota of 169 IBS patients, 447 IBD patients and 1044 population controls and measured fecal Calprotectin (FCal), human beta defensin 2 (HBD2), and chromogranin A (CgA) in these samples. These measurements were used to construct training sets (75% of data) for logistic regression and machine learning models to differentiate IBS from IBD and inactive from active IBD. The results were replicated on test sets (remaining 25% of the data) and microbiome data obtained using 16S sequencing.

Fecal HBD2 showed high sensitivity and specificity for differentiating between IBD and IBS (sensitivity = 0.89, specificity = 0.76), while the inclusion of microbiome data with biomarkers (HBD2 and FCal) showed a potential for improvement in predictive power (optimal sensitivity = 0.87, specificity = 0.93). Shotgun sequencing–based models produced comparable results using 16S-sequencing data. HBD2 and FCal were found to have predictive power for IBD disease activity (AUC  $\approx$  0.7).

HBD2 is a novel biomarker for IBD in patients with gastro-intestinal complaints, especially when used in combination with FCal and potentially in combination with gut microbiome data.

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Gut microbiome; inflammatory bowel disease; biomarkers; human beta defensin 2; noninvasive diagnosis; machine learning

#### Introduction

Inflammatory bowel disease (IBD) and irritable bowel syndrome (IBS) are two of the most common gastrointestinal (GI) disorders, affecting 0.3–0.5% and 7–21% of the population, respectively.<sup>1–3</sup> Patients with IBD and IBS often present with similar symptoms, such as diarrhea, constipation, bloating, abdominal pain, and abdominal discomfort, but their pathophysiology differs.

IBD is characterized by chronic intestinal inflammation in which flare-ups in disease activity, which cause gut complaints including diarrhea and bloody stools, are followed by quiescent periods in which patients have fewer gut complaints. The main clinical types of IBD are Crohn's disease (CD) which can affect any part of the GI tract and ulcerative colitis (UC), which is typically limited to the colon. Unlike IBD, IBS is defined as a combination of GI symptoms without a causative anatomical or biochemical abnormality that can be used to make a definitive diagnosis.<sup>2,4</sup> In the absence of known biochemical tests, IBS diagnosis is based on the presence of a combination of symptoms described by the Rome committee.<sup>4</sup>

Since IBD and IBS often show overlap in their symptoms, gastroenterologists face diagnostic dilemmas on a daily basis. These include how to

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diagnose IBS without missing a diagnosis of IBD and how to correctly diagnose an exacerbation in IBD patients while avoiding unnecessarily invasive, burdensome, and costly endoscopic testing.<sup>5</sup> Although the Rome committee emphasizes that IBS is not a diagnosis of exclusion, and should be based on symptom criteria, invasive diagnostic procedures like colonoscopy are still frequently used in daily clinical practice to exclude other GI disorders like IBD, before the IBS diagnosis is made.<sup>2</sup>

The relapsing-remitting nature of IBD makes it very important to quickly and accurately diagnose flare-ups as these disease episodes require a quick escalation in anti-inflammatory treatments.<sup>1</sup> Diagnosing IBD exacerbations can, however, be complicated because clinical disease activity scores and currently used biomarkers, such as C-reactive protein in blood and fecal Calprotectin (FCal) often do not accurately capture inflammatory activity in IBD.<sup>6–8</sup>

FCal is a well-known fecal biomarker that is used to help both distinguish IBD from IBS and to determine whether an IBD patient has an exacerbation.<sup>9</sup> During intestinal inflammation, FCal is secreted by neutrophils in the gut, leading to an increase in FCal levels in fecal samples.<sup>9</sup> While FCal is currently used in patient care, it is far from a perfect test, with an area under the curve (AUC) of 0.73 for distinguishing IBD from IBS and a sensitivity of 0.80 and a specificity of 0.82 for detecting exacerbations in IBD (for an FCal cutoff of 250  $\mu$ g/g).<sup>3,10</sup> Moreover, FCal is degraded in the gut and is therefore not a good predictor of ileal inflammation, which makes it less reliable in CD in which the terminal ileum is commonly inflamed.<sup>11</sup>

The lesser known fecal protein chromogranin A (CgA) and human beta-defensin 2 (HBD2) have only been used as biomarkers for IBD and IBS in scientific research settings. CgA is a member of the granite peptides, which are secreted in nervous, endocrine, and immune cells under stress. In the gut, CgA is secreted by entero-endocrine cells and shows co-expression with serotonin, suggesting it has a role in the gut-brain axis, and elevated levels of fecal CgA have been associated with IBS and with ulcerative colitis (UC).<sup>12–15</sup> HBD2 is a human antimicrobial peptide produced by intestinal epithelial cells in response to bacteria and inflammatory cytokines. Reduced levels of HBD2 have been reported

in IBD patients compared to healthy controls, whereas elevated levels of HBD2 have been reported in patients with IBS.<sup>15,16</sup>

In addition to the aforementioned fecal proteins, the gut microbiota composition can also be used as a biomarker because it has been shown to differ between IBD patients, IBS patients, and the general population.<sup>3,17</sup> We previously found that the gut microbiome composition and function significantly differs between IBD patients and IBS patients, with IBD patients displaying strong dysbiosis in the gut.<sup>3</sup> While we also observed significant alterations in gut microbiota between patients with CD and UC, these differences were less pronounced than in IBD-associated dysbiosis.<sup>3</sup> We also demonstrated that the gut microbiome can distinguish IBD from IBS, and that a predictive model combining FCal with gut microbiota composition increases the diagnostic yield of FCal.<sup>3</sup>

In this study, we expand on these results by increasing the size of the IBD cohort to 447 patients, newly measuring fecal biomarkers CgA and HBD2 alongside FCal in 447 IBD patients,<sup>18</sup> 169 IBS patients,<sup>15</sup> and 1044 population controls,<sup>19</sup> evaluating diagnostic yield of these biomarkers, and combining them with different layers of gut microbiome data to construct diagnostic models for noninvasive discrimination between IBD and IBS. We also evaluate the performance of fecal protein and microbiome biomarkers for noninvasive monitoring of IBD activity. Finally, we test the microbiome models constructed using shotgun metagenomic sequencing on the 16S sequencing data we generated for the same cohorts to estimate if this, less expensive method of profiling microbiome provides a viable approach for the implementation of gut microbiome measurements in the clinical environment. Our ultimate goal is to improve noninvasive diagnostic yields in order to reduce the number of unnecessary colonoscopies.

### **Methods**

### Cohorts

This study used data pooled from three Dutch cohorts: the 1000IBD cohort consisting of patients with IBD from the University Medical Center Groningen (UMCG),<sup>18</sup> the Lifelines-Deep cohort

(LLD) representative of the general population of the northern the Netherlands,<sup>19</sup> and the Maastrich IBS cohort (MIBS) consisting of clinically diagnosed patients with IBS and their age and sexmatched healthy controls from the Maastricht University Medical Center.<sup>15</sup> The results of 16S sequencing of LLD and 1000IBD Cohorts were used as replication data for testing the IBD prediction models. Detailed descriptions of these cohorts have been published previously,<sup>15,18,19</sup> and the cohorts are summarized in Table 1.

# Disease assessment and determination of IBD disease activity

Diagnosis of IBD was made after expert evaluation by gastroenterologists based on endoscopic, histopathological, and radiological characteristics. Diagnosis of IBS was made by gastroenterologists after extensive follow-up to exclude other explanations for the GI complaints in these patients. We defined IBD activity based on the expert opinion of a gastroenterologist as established in our previous work.<sup>20</sup> This assessment was based on IBDassociated complaints, clinical disease activity scores (Harvey–Bradshaw index (HBI)<sup>21</sup> or Simple Clinical Colitis Activity Index (SCCAI)<sup>22</sup>), visits to the outpatient clinic, examination of the inflammatory markers C-reactive protein, FCal, and leucocytes derived from blood, medication prescriptions such as the start of corticosteroids and results of endoscopy and pathology.

### Sample collection, DNA extraction, and sequencing

Our protocol for fecal sample collection and profiling of gut microbiota was previously published.<sup>3</sup> In short, participants produced, collected, and stored stool samples at home in a freezer or refrigerator. The samples collected and transported to the UMCG facility and stored at  $-80^{\circ}$ C until DNA extraction. Fecal DNA was isolated using the

**Table 1.** Summary of cohorts. Summary statistics of the data used in this study. Summary is sub-divided based on diagnosis, and parameters that are significantly different from control group are indicated by \* (FDR < 0.05 for Mann–Whitney U test or Chi-Squared test). Abbreviations: IBD = Inflammatory bowel disease patients, CD = Crohn's disease patients, UC = patients with Ulcerative colitis, IBD-U = patients with undetermined type of IBD, IBS = patients with irritable bowel syndrome, BMI = body mass index, NSAIDs = non-steroid anti-inflammatory drugs, PPIs = proton-pump inhibitors, SSRIs = selective serotonin reuptake inhibitors, IBS-C = constipation-dominated IBS, IBS-D = diarrhea-dominated IBS, IBS-M = mixed-presentation IBS, N/A: data not available.

Variable	IBD	CD	UC	IBD-U	IBS	IBS-C	IBS-D	IBS-M	Healthy Controls	Total
Sample size	447	250	168	29	169	30	61	69	1044	1660
Anthropometrics										
Ageª	44	38	46	41	44	44	46	43	46	44
	[33; 56]	[30; 49] *	[35; 57]	[27; 50]	[29; 58]	[25;	58] [3 58		[35; 56]	[33; 56]
Sex (Male) <sup>b</sup>	41% *	34% *	50%	48%	30% *	27%			47%	44%
BMI <sup>a</sup>	24.5	23.8	25.2	24.3	24.5	24.1	25	23.6	24.5	24.5
	[22;	[21.7;	[22.7;	[23.3;	[21.7;	[21	.2; [22;	29] [21;28]	[22;	[22.1;
	27.6]	27.4]	28.2]	27.5]	28]	26.			27.2]	27.4]
Medication use										
Laxatives (Y) <sup>b</sup>	4.7% *	6.4% *	3.0%	3.4%	18.3% *	43.3%*	8.20%	14.50%	1.1%	3.9%
NSAIDs (Y) <sup>b</sup>	4.8%	7.2%	1.2%	6.9%	11.8% *	6.60%	13.10%	14.50%	4.4%	5.3%
PPIs (Y) <sup>b</sup>	16.3%	18.9% *	12.4%	17.2%	24.9% *	23.30%	21.30%	28.90%	6.5%	11.0%
SSRIs (Y) <sup>b</sup>	1.0%	0.8%	1.8%	6.9%	17.8% *	6.60%	14.80%	24.60%	2.5%	3.8%
Immunosuppressive medication (Y) <sup>b</sup>	44.5% *	49.4% *	37.3% *	27.6% *	0	0	0	0	0	11.70%
Antidiarrheal (Y) <sup>6</sup>	10.2% *	14.5% *	4.1% *	3.4% *	4.7% *	0	8.20%	4.30%	0	3.10%
Antibiotics (Y) <sup>b</sup>	1.4%	1.2%	1.8%	0	0.6%	0.00%	3.30%	0.00%	1.1%	1.1%
IBD phenotypes										
Active disease (Y) <sup>b</sup>	12.10%	10.40%	14.80%	10.30%	N/A	N/A	N/A	N/A	N/A	N/A
lleal resection (Y) <sup>b</sup>	6.50%	11%	0	4.20%	N/A	N/A	N/A	N/A	N/A	N/A
Colonic resection (Y) <sup>b</sup>	8.90%	11.70%	5.30%	4.20%	N/A	N/A	N/A	N/A	N/A	N/A
lleocecal resection (Y) <sup>b</sup>	21.6% *	37.1% *	0	4.20%	N/A	N/A	N/A	N/A	N/A	N/A
Bowel movements per day	2.6	2.8	2.5	2.5	N/A	N/A	N/A	N/A	1.4 [1;2]	1.8
	[1; 3]*	[1; 3] *	[1; 3] *	[1; 3] *						[1;2]
Active IBD	4.0	3.5	4.3	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	[1; 6]*	[1; 4] *	[2; 6] *							
Inactive IBD	2.5	2.2	2.7	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	[1; 3]	[1; 3]	[1; 3]							

AllPrep DNA/RNA Mini Kit (Qiagen; cat. # 80204) with the addition of bead-beating as previously described.<sup>23</sup> Library preparation for metagenomic sequencing was performed at the Broad Institute of Harvard and MIT (Cambridge, Massachusetts, USA), and DNA was sequenced using the Illumina HiSeq platform, generating mean coverage of 30 million reads (3 Gb) per sample.

The 16S rRNA gene sequencing of the V4 variable region was performed on separate aliquots of these samples. Sequencing was done on the Illumina MiSeq platform, as previously reported,<sup>19</sup> and generated 175 bp paired-end reads.

# Profiling of microbiome composition and function using metagenomic sequencing

The KneadData toolkit (v0.5.1) was used to trim the raw metagenomic reads to PHRED quality 30 and to remove Illumina adapters. The KneadDataintegrated Bowtie2 tool (v2.3.4.1)<sup>24</sup> was used to remove trimmed reads that aligned to the human genome (GRCh37/hg19), and the quality of the processed metagenomes was examined using the FastQC toolkit (v0.11.7).<sup>25</sup> The taxonomic composition of metagenomes was profiled by the  $(v2.7.2)^{26}$ MetaPhlAn2 tool using the MetaPhlanAn database of marker genes (v. mpa\_v20\_m200). Profiling of genes encoding microbial biochemical pathways was performed using the HUMAnN2 pipeline (v0.11.1)<sup>27</sup> integrated with the DIAMOND alignment tool (v0.8.22),<sup>28</sup> UniRef90 protein database  $(v0.1.1)^{29}$ and ChocoPhlAn pangenome database (v0.1.1). Analyses were performed using locally installed tools and databases from CentOS (release 6.9) on high-performance the UMCG computing infrastructure.

# *Profiling of microbiome composition using 16S rRNA gene sequencing*

Profiling of results of 16S sequencing was performed using the mothur pipeline<sup>30</sup> (v.1.40.0), following a protocol based on the mothur standard operating procedure guidelines (<u>https://mothur.</u> <u>org/wiki/454\_SOP</u>): 1) duplicate input 16S sequences (in FASTA format) were discarded, 2) unique sequences were aligned to the SILVA database<sup>31</sup> (release 132), 3) sequences that aligned to the SILVA database with less than 90% of the total length were discarded, 4) columns in the alignment that contained missing data were removed, 5) aligned sequences were pre-clustered and chimeric sequences were removed using the chimera.uchime tool and the SILVA-aligned version of the gold database (release 132), 6) aligned sequences were assigned taxonomical lineage by the mothur Bayesian classifier utilizing the mothur trainset (v.16\_022016) with a classification cutoff of 80, 7) potential contaminants were removed by discarding all sequences classified as mitochondria, chloroplasts, archaea, eukaryote, or unknown taxa, and 8) sequences were clustered into operational taxonomical units based on a mothur-calculated distance matrix with a cutoff of 0.15 and each cluster was assigned taxonomy based on the "majority-consensus" taxon.

### Measurements of fecal biomarkers

FCal concentrations were measured in fecal samples at the UMCG using the commercial enzymelinked immunosorbent assay (ELISA, Bühlmann Laboratories, Switzerland). CgA levels in fecal samples were measured using the commercial radioimmunoassay (RIA, Euro-Diagnostica, Sweden) and HBD2 levels in fecal samples were measured using a commercial enzyme-linked immunosorbent assay (ELISA, Immunodiagnostik AG, Germany) at the Medische Laboratoria Dr Stein & Collegae, the Netherlands.

# Filtering, covariate selection, and data normalization

Data was prepared for modeling as follows:

(1) Samples with 50% or higher abundance of "unclassified" microbial taxa were deemed low quality and discarded. Samples from patients with a stoma or pouch of the gut were discarded.<sup>32</sup> Self-reported IBS and IBD cases in the general population cohort were also discarded from further analysis as these samples lacked clinical diagnosis but were considered a potential source of bias. In total, 1660 samples (1044 population-based controls, 169 IBS cases, and 447 IBD cases) were used for modeling.

- (2) Microbiome features (taxa and pathways) detected in less than 10% of samples and features with a mean relative abundance lower than 0.01 were deemed unreliable and discarded from further analysis. A total of 244 taxa (131 species, 55 genera, 29 families, 14 orders, 10 classes, and 5 phyla) and 277 pathways were then used as covariates for training the prediction models.
- (3) The distributions of the relative abundances of microbial taxa and microbial pathways were normalized using the arcsine square root transformation. Normalized abundances were corrected using multivariate linear regression for the potential confounding effect of population characteristics (sex, BMI, and age), use of medications known to affect the gut microbiota (proteinpump inhibitors (PPIs), antibiotics, and laxatives)<sup>12</sup> and sequencing depth. Fecal FCal, HBD2, and CgA values were normalized by natural logarithm transformation. All covariates were scaled and centered by subtracting the mean of the covariate and dividing values by the standard deviation of the covariate.

### Model training, optimization, and testing

A training set was established that includes a randomly sampled pool of 75% of the data (both patient and healthy), which left a test set made up the remaining 25% of the data. The training set was used for construction and optimization of prediction models and assessment of predictive power by internal cross-validation while the test set was used for testing of model performance.

Two different machine-learning procedures were used: a multivariate logistic regression model and a support vector machine model with radial kernel function. Model training and optimization were performed using three repeats of 10fold internal cross-validations on the training set, with the goal of optimizing Cohen's Kappa value, a balanced metric of positive and negative predictive values.<sup>33</sup> The models were optimized by recursive feature elimination, with resampling to minimize potential overfitting bias and maximize performance.<sup>34</sup> The optimized set of variables was selected as the set with the minimum number of variables that achieved 98% of the maximum Kappa value observed during recursive feature elimination. Learning curves (plots of the performance of the model on test and training sets versus training set size) were used to evaluate the model training and assess potential over-fitting. Optimized models were tested on the test set composed of 25% of the total cases and controls not used in model training, and the performance of classification was reported as area under receiver-operator curve (AUC), sensitivity, and specificity. All prediction performance metrics in the main manuscript are reported for the test set. All metrics for internal cross-validation and the test set are reported in Supplement S2.

Models were trained, optimized, and tested using the *Caret* package (v.6.0–80, https://topepo.github. io/caret/) for the statistical programming language R (v.3.4.3; https://www.r-project.org/). Source codes are available at https://github.com/ GRONINGEN-MICROBIOME-CENTRE /Groningen-Microbiome/tree/master/Projects/ IBD\_Predict.

# ROC analysis and determination of biomarker cutoffs

To determine optimal values of biomarkers for separation of IBD and IBS, we performed receiver operating curve (ROC) analysis using the *pROC* package for R and selected the value with the highest combination of specificity and sensitivity as the optimal biomarker cutoff. These values were validated by examining regression and classification tree (CART) models with a maximum depth 1 (for single biomarkers) or 2 (for combination of biomarkers). CART models were trained using 1000 bootstraps of the input data.

# Comparison of performance of machine learning models

Areas under the ROC curves of prediction models were compared using DeLong's test, nonparametric test for comparing AUCs of ROC curves implemented in *roc.test* function from *pROC* package for R.

## **Evaluation of impact of sequencing technology on IBD diagnosis models**

To evaluate if models constructed on microbiome data generated by shotgun metagenomic sequencing retain predictive power when applied on 16S sequencing data, we tested models for identification of IBD using the 16S sequencing generated from our cohorts of healthy controls and IBD patients. We constructed an IBD-diagnosis model using the relative abundances of microbiome genera of 447 IBD patients and 1044 healthy controls and tested this model using 16S sequencing data of the same cohorts.

#### Results

#### **Cohort description**

This study included 1660 participants: 1044 population-based controls from the LLD cohort<sup>19</sup> and healthy controls from the MIBS cohort,<sup>15</sup> 169 clinically diagnosed IBS patients from the MIBS cohort<sup>15</sup> and 447 patients with IBD from the UMCG 1000IBD cohort.<sup>18</sup> The average age of CD patients was significantly lower than controls, and patients with IBD or IBS had a higher proportion of females compared to controls, but no significant differences were observed in BMI of patient groups and controls (Table 1). In addition, the use of prescription drugs was higher in disease cohorts compared to the healthy controls. Patients with IBS showed increased use of five drugs (laxatives, non-steroid anti-inflammatory drugs (NSAIDs), selective serotonin reuptake inhibitors (SSRIs), proton-pump inhibitors (PPIs), and antidiarrheal drugs) compared to controls. Patients with IBD showed increased use of laxatives, immunosuppressants, and antidiarrheal drugs (Table 1). IBD patients also had higher use of immunosuppressants and laxatives and lower use of SSRIs than IBS patients (FDR < 0.05 for Chi-Squared tests).

#### Fecal biomarker HBD2 is a strong predictor of IBD

For all 1660 participants in this study, we measured fecal concentrations of HBD2, CgA, and FCal (Figure 1) and determined the taxonomical and

functional profiles of gut microbiota using shotgun metagenomic sequencing of fecal samples. Biomarker measurements are summarized in Table 2. Summary statistics of the gut microbiome profiling are shown in Supplement S1. Concentrations of FCal and HBD2 were significantly elevated in IBD patients as compared to healthy controls or IBS patients (Mann-Whitney U test, p-values <1.0e-5, Figure 1). The levels of both biomarkers were significantly higher in each subgroup of IBD (CD, UC, and IBDU) compared to the control cohort (Table 2). HBD2 was also found to have a significant decrease in IBS patients (Mann-Whitney U test p-value 0.004) as compared to healthy controls and IBD patients. The concentration of CgA was, however, only found to be elevated in IBS cases compared to controls (Mann–Whitney U test *p*-value 3.0e-5). No relation between IBD and CgA was identified. Within IBD cases, we identified an increase in FCal in patients with IBD with active disease. We also observed a significant negative correlation between HBD2 and the number of bowel movements per day (adjusted R-squared of linear model = 0.014, F-Test p-value = 0.006), which suggests that the lower HBD2 in patients with active IBD might be a result of lower colonic transit time and looser stools, which can dilute the fecal concentration of the biomarker. We also examined associations between medication use and biomarker levels in IBD patients (Table 2) and found that CgA levels are significantly decreased in laxative users.

Next, we evaluated the predictive power of these biomarkers for discrimination between IBD and IBS (Figure 2). We constructed multivariate logistic regression models in the randomly selected 75% of the data and used these models to classify the FCal remaining 25% of the data. (Sensitivity = 0.76, Specificity = 0.67, AUC = 0.78), and HBD2 (Sensitivity = 0.89, Specificity = 0.76, AUC = 0.91) were found to be highly accurate in separating patients with IBD from patients with IBS, while the predictive power of CgA was considerably lower (Sensitivity = 0.73, Specificity = 0.05, AUC = 0.59). A model comprised age, sex, and BMI - factors known not to be predictive of  $IBD^3$  – was used as a negative control to identify potential bias in the data and showed no predictive power (AUC  $\approx$  0.5). Combining FCal

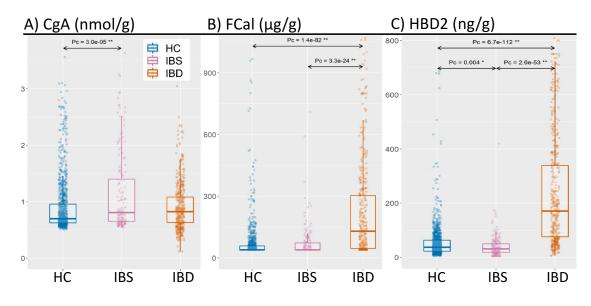


Figure 1. Fecal biomarker measurements. Biomarker measurements in fecal samples from healthy controls (blue), IBS patients (pink), and IBD patients (orange). Statistically significant differences (Mann–Whitney U test, adjusted for multiple testing by Bonferroni correction) are shown in the plots.

and HBD2 led to a considerable increase in predictive power when compared to single-biomarker models (Sensitivity = 0.86, Specificity = 0.83, AUC = 0.93) while adding CgA to the FCal and HBD2 models did not further improve the predictive power (AUC  $\approx$  0.93). We also constructed binary classification models using biomarker cutoffs obtained by ROC analysis and training classification and regression tree models to determine the optimal separation of IBD and IBS cases. Optimal values for IBD diagnosis using a single biomarker were found to be FCal ≥111 µg/g (Sensitivity = 0.86, Specificity = 0.58) or HBD2  $\geq 41$ ng/g (Sensitivity 0.9, = Specificity = 0.64), while a classification tree using HBD2 and FCal achieved Sensitivity = 0.9 and Specificity = 0.72 with a simple decision tree that classifies a sample as IBD if HBD2  $\geq$ 41 ng/g or FCal ≥377 µg/g.

# Inclusion of microbiome has potential to increase optimal specificity and sensitivity of fecal biomarker tests

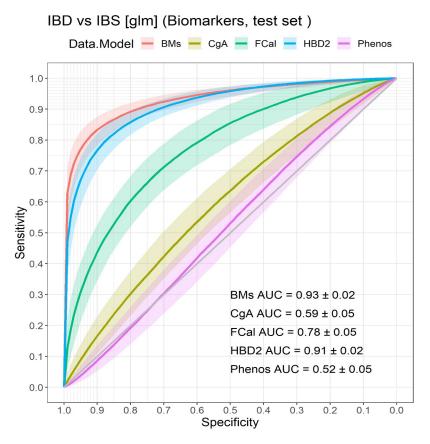
To assess if the gut microbiome can be used to diagnose IBD in patients with gut complaints, we trained prediction models for identification of IBD using gut microbiome profiles obtained by metagenomic sequencing of these samples. We trained separate prediction models using relative abundances of microbial phyla, genera, species, biochemical pathways, and combinations of these profiles. We then compared these models to the models using our biomarker panel (FCal, HBD2, and CgA). We also assessed the additive value of the gut microbiome in the biomarker panel. Training was performed using a randomly selected training set of 336 IBD cases and 127 IBS cases. Testing was performed on the remainder of the data (111 IBD cases and 42 IBS cases).

A comparison of the models showed that the predictive power of the model increases when the microbiome is profiled at a lower taxonomic level (e.g. genus- or species-level as opposed to phylumlevel). The use of bacterial biochemical pathways did not improve predictive power (Figure 3/A), and no significant difference was observed between the support vector machine model and multivariate logistic regression model (Supplement S2). Examination of these models identified that optimal performance was achieved using 20 bacterial genera (Supplement S3/A) or 23 bacterial species (Supplement S3/B). Of these, the strongest signal was observed for the species Eubacterium rectale, Collinsella aerofaciens, Lactobacillus delbrueckii, Odoribacter sp. and Ruminococcus sp. and for gen-Eubacterium, Collinsella, Sutterellaceae, era Adlercreutzia, and Streptococcus. Our microbiome**Table 2.** Summary of biomarker measurements. Summary statistics of biomarker measurements, grouped per diagnosis. Measurements significantly different from healthy control group (Mann–Whitney U test or Chi-Squared test) are marked as \* for *p*-value <0.05 and as \*\* for *p*-value < 1.0E-5. Control groups are Healthy controls (for comparison with IBS patients, all IBD cases, UC cases, CD cases, and IBD-U cases), male group (for Sex), positive cases for binary variables (IBD activity and resections), and lowest class for Montreal classifications and HBI/SCCAI values. Abbreviations: M: Montreal classification, HBI: Harvey–Bradshaw index, SCCAI: Simple Clinical Colitis Activity Index.

Variable	Sample size	FCal (µg/g) median [Q1;Q3]	p-value	HBD2 (ng/g) median [Q1;Q3]	p-value	CgA (nmol/g) median [Q1;Q3]	p-value
IBS patients	169	40 [40; 73.2] *	0.0076	31.6 [19.1; 50.0]*	5.10E-04	0.82 [0.65; 1.50] *	< 1.0e-5
IBS-D	61	40 [40;75.9]	0.24	25.9 [15; 46.9]	0.24	0.9 [0.67; 1.55]	0.36
IBS-C	30	40.1 [40; 73.6]	0.91	30.8 [21.9; 37.1]	0.91	0.77 [0.69; 1.23]	0.64
IBS-M	69	40 [40; 74.3]	0.21	33.9 [20.7; 52.1]	0.21	0.83 [0.633; 1.4]	0.6
all IBD cases	447	150 [50; 380] **	< 1.0e-5	172 [77; 342] **	< 1.0e-5	0.83 [0.63; 1.08]	0.1
Sex: Male	183	160 [56.5; 458]	0.31	189 [89; 390]	0.11	0.81 [0.62; 1.1]	0.49
Sex: Female	264	142 [49.5; 320]	N/A	161 [71; 314]	N/A	0.83 [0.65; 1.1]	N/A
IBD activity: Active	54	340 [104; 822] **	4.00E-04	106 [57; 214] *	0.01	0.81 [0.63; 1]	0.51
IBD activity: Inactive	393	135 [48; 335]	N/A	185 [86; 348] *	N/A	0.83 [0.64; 1.1]	N/A
Ileal resection(s): N	343	150 [50.5; 405]	N/A	176 [80.5; 334]	N/A	0.84 [0.66; 1.1]	N/A
Ileal resection(s): Y	24	167 [58.5; 275]	0.77	119 [66.6; 179]	0.11	1.02 [0.78; 1.26]	0.11
Colonic resection(s): N	337	150 [50; 390]	N/A	176 [77.6; 333]	N/A	0.84 [0.66; 1.11]	N/A
Colonic resection(s): Y	33	180 [54; 575]	0.63	156 [72.4; 203]	0.4	0.99 [0.76; 1.36]	0.09
lleocecal resection(s): N	290	158 [54.2; 411]	N/A	185 [87; 356]	N/A	0.84 [0.66; 1.07]	N/A
lleocecal resection(s): Y	80	125 [44.1; 366]	0.27	139 [64.3; 222] *	0.018	0.93 [0.73; 1.32] *	0.017
IBD location: colon	215	155 [52.1; 390]	N/A	188 [84; 379]	N/A	0.80 [0.63; 1.05]	N/A
IBD location: ileum	86	123 [44; 242]	0.07	169 [90.7; 285]	0.39	0.87 [0.64; 1.12]	0.27
IBD location: ileocolonic	100	192 [63.2; 475]	0.42	164 [60.2; 303]	0.08	0.88 [0.65; 1.17]	0.12
IBD: Laxative use: Y	22	148 [78; 229]	0.60	176 [76; 345]	0.3	0.67 [0.47; 0.80] *	0.0015
IBD: NSAID use: Y	22	152 [71; 285]	0.92	135 [83; 392]	0.63	0.79 [0.54; 0.91]	0.11
IBD: PPI use: Y	73	255 [125; 500] *	0.005	176 [94; 440]	1.00	0.73 [0.6; 0.94]	0.3
IBD: Immunosuppresives: Y	194	132 [40; 340]	0.14	165 [83; 332]	0.91	0.84 [0.67; 1.1]	0.051
IBD: Antidiarrheal drugs: Y	44	175 [60; 475]	0.55	141 [63; 303]	0.32	0.89 [0.7; 1.2]	0.11
Crohn's disease cases	249	157 [57; 359] **	< 1.0e-5	176 [74; 344] **	< 1.0e-5	0.85 [0.66; 1.14]*	6.60E-04
Active CD	26	178 [98.5; 730]	0.09	104 [32.7; 213] *	0.03	0.83 [0.70; 1.05]	0.62
Inactive CD	223	150 [52.5; 330]	N/A	187 [80; 361]	N/A	0.86 [0.66; 1.15]	N/A
M/B3 (Penetrating disease)	31	225 [83.5; 390]	0.27	158 [77.2; 223]	0.36	1.01 [0.73; 1.43]	0.04
M/B2 (Stricturing disease)	85	155 [61; 310]	0.79	187 [68; 303]	0.37	0.85 [0.66; 1.27]	0.21
M/B1 (Uncomplicated CD)	133	160 [44; 340]	N/A	185 [79.7; 387]	N/A	0.83 [0.64; 1.05]	N/A
HBI 10 or higher	20	305 [165; 684] *	0.02	133 [59.5; 270]	0.22	0.88 [0.71; 1.14]	0.76
HBI 6–9	25	125 [70; 340]	0.99	127 [49.1; 297]	0.19	0.85 [0.67; 1.02]	0.75
HBI 5 or less	202	145 [51.2; 336]	N/A	187 [80.6; 378]	N/A	0.84 [0.65; 1.14]	N/A
Ulcerative colitis cases	169	133 [41; 420] **	< 1.0e-5	170 [80; 331] **	< 1.0e-5	0.80 [0.60; 1.02]	0.87
Active UC	25	385 [115; 750] *	0.005	150 [60.8; 209]	0.18	0.77 [0.70; 1.05]	0.62
Inactive UC	144	111 [40; 336]	N/A	178 [91.3; 337]	N/A	0.86 [0.66; 1.15]	N/A
M/S0-1 (Mild UC or remission)	63	90.2 [40; 265]	N/A	170 [86.2; 340]	0.18	0.77 [0.62; 0.93]	N/A
M/S2 (Moderate UC)	74	195 [64.7; 462] *	0.04	184 [69.2; 375]	0.99	0.81 [0.546; 1.04]	0.77
M/S3 (Severe UC)	29	170 [48; 505]	0.19	127 [76.9; 207]	0.1	0.86 [0.635; 1.07]	0.22
SCCAI 0–1	127	130 [44; 333]	N/A	180 [92.3; 380]	N/A	0.80 [0.62; 1.03]	N/A
SCCAI 2–4	47	130 [44.5; 610]	0.87	167 [85.8; 292]	0.3	0.80 [0.59; 1.02]	0.49
SCCAI 5 or higher	18	562 [374; 1060] *	3.00E-04	96.2 [58; 177] *	0.01	0.87 [0.67; 0.98]	0.51
Undetermined IBD cases	29	155 [91; 440] **	< 1.0e-5	157 [90; 345] **	< 1.0e-5	0.82 [0.65; 1.14]	0.46
Healthy Controls	1044	40 [40; 58.3]	N/A	38 [23; 64]	N/A	0.70 [0.62; 0.97]	N/A
Sex: Male	489	40 [40; 57.5]	0.5	40 [25; 72] *	1.00E-04	0.70 [0.62; 1.03]	0.75
Sex: Female	555	40 [40; 58.9]	N/A	35 [22; 57]	N/A	0.7 [0.63; 0.93]	N/A

based IBD prediction performance was comparable to that found by previous studies using 16S sequencing to predict pediatric IBD<sup>35</sup> or using metagenomics sequencing to predict IBD in American<sup>36</sup> and Chinese<sup>37</sup> cohorts.

Models trained on the fecal biomarkers and microbiome data were found to be more accurate than models utilizing biomarkers or microbiome alone (Figure 3/B). The increase in performance was significant in cross-validation test for biomarker models combined with bacterial species, bacterial genera, or bacterial species and pathway (DeLong's test *p*-value <0.05, Supplements S2 and S3/E). The difference was not statistically significant on the test sets, possibly due to the limited sample sizes of the test sets. However, the models combining biomarkers and the microbiome showed increased optimal specificity and sensitivity and required fewer features to achieve high predictive power. For example, the biomarker-only model showed optimal Sensitivity = 0.90 and Specificity = 0.74, while the model combining biomarkers with five microbiome genera (Collinsella, Coprococcus, Ruminococcus, Methanobrevibacter,



**Figure 2.** Model for differentiation of IBD from IBS using fecal biomarkers. Receiver operating characteristics (ROC) and area under the curve (AUC) of logistic regression models are trained to separate IBD and IBS cases based on age, sex, and BMI (Phenos), individual biomarkers (CgA, FCal, and HBD2) and a combination of HBD2 and FCal (BM). The predictive power of these models was calculated on a test set of 25% of the samples (n = 153) not used for model training and optimization. The shaded area represents one standard deviation of the ROC obtained using 1000 bootstraps.

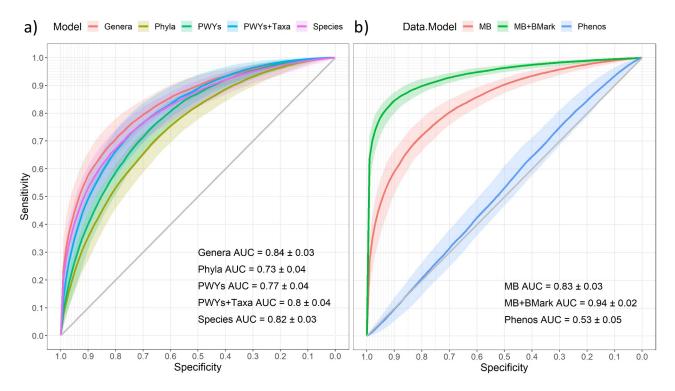
and Alistipes) had optimal Sensitivity = 0.93 and Specificity = 0.87 (Supplement S3/C). The biomarker and species model showed optimal performance (Sensitivity = 0.93, Specificity = 0.81) when HBD2, and eight bacterial species FCal, (Eubacterium rectale, Veillonella atypica, Lachnospiraceae sp., Collinsella aerofaciens, Streptococcus sanguinis, longicatena, Dorea *Clostridium nexile*, Bacteroides fragilis; and Supplement S3/D) were included into the model.

# Models based on metagenomics sequencing– generated data are compatible with 16S rRNA sequencing

To evaluate if simpler and less expensive gut microbiome profiling using 16S rRNA sequencing of fecal samples is a viable strategy for diagnosis of IBD, we performed a method replication experiment where the IBD-prediction model was trained on wholegenome shotgun metagenomic sequencinggenerated microbiome profiles of 447 IBD patients and 1044 population controls and then tested using 16S rRNA tag sequencing-generated microbiome profiles of the same cohort. The replication experiment identified that the model trained on 21 bacterial Genera (Supplement S4) successfully separated the IBD from controls using 16S microbiome profiles (Figure 4), with an only minor loss of accuracy compared to metagenomic data (Sensitivity = 0.9, Specificity = 0.63, AUC = 0.86for metagenomic test set; Sensitivity = 0.82, Specificity = 0.65, AUC = 0.83 for 16S test set).

# Microbiome and HBD2 are limited predictors of IBD activity

To determine if our biomarker panel and microbiome data can be used to monitor the IBD disease course, we evaluated disease activity in our IBD



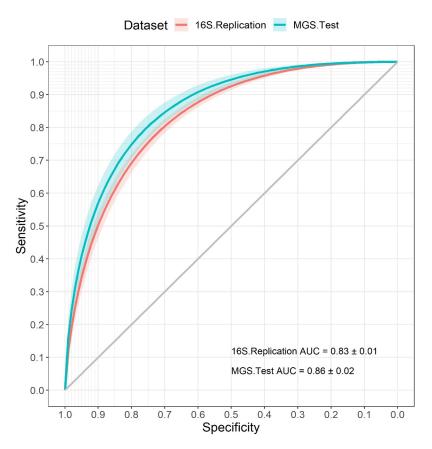
**Figure 3.** IBD vs IBS prediction models based on microbiome and fecal biomarkers. A) Comparison of ROC curves and areas under the curves (AUC) of microbiome-based models for prediction of IBD built on different levels of taxonomical and functional profiling of gut microbiome: microbial Phyla, Genera, Species, Metacyc pathways (PWYs), and combination of Pathways and taxonomy (PWYs+Taxa). B) Comparison of models trained on the gut microbiome profiled at the genus level (MB), a combination of microbial Genera and biomarkers (MB+BMark) and only age, sex and BMI (Phenos model). The ROC curves were calculated on a test set of 415 samples not used for model training and optimization. Shaded area represents one standard deviation of ROC, obtained using 1000 bootstraps.

cohort using clinical records, colonoscopy, FCal, and the expert opinion of the gastroenterologist using the methodology we previously employed in the analysis of flare-ups in CD.<sup>20</sup> Comparison of biomarkers in patients with active IBD at the moment of fecal sampling (N = 54) and patients with inactive disease (N = 393) identified that the concentration of FCal was significantly elevated (Mann–Whitney U test FDR = 0.001) in patients with active disease, that the concentration of HBD2 was significantly decreased in patients with active IBD (FDR = 0.03), and that CgA was not significantly different between groups (Figure 5).

The predictive power of FCal and HBD2 was found to be significant but limited (AUC = 0.7 for logistic regression model with both biomarkers; AUC  $\Box$  0.6 for individual biomarkers), whereas CgA and the model using age, sex, and BMI were not predictive for IBD activity (AUC  $\cong$  0.5). Additionally, combining the biomarkers with the microbiome did not significantly increase the predictive power of these models (Supplement S2).

### **Discussion and conclusions**

We analyzed the predictive potential of the fecal biomarkers FCal, HBD2, and CgA and the gut microbiome in order to: 1. distinguish patients with IBD from healthy controls, 2. distinguish patients with IBD from individuals with GI complaints, e.g., patients with IBS, and 3. distinguish active from inactive disease within patients with IBD. We identified that fecal HBD2 is highly elevated in patients with IBD and better distinguishes IBD from controls and IBS patients than the currently used FCal. We also discovered that gut microbiome composition and function are predictive of IBD, and the predictive power is increased when the microbiome profile is combined with HBD2 and FCal.



**Figure 4.** Performance of 16S data on the MGS model of IBD. Comparison of ROC curves of IBD prediction models trained on bacterial genera profiled using MGS sequencing of fecal samples of 447 IBD patients and 1044 population controls (green) to ROC curves constructed by testing the MGS model on the 16S-sequencing generated profile of the same cohort (red).

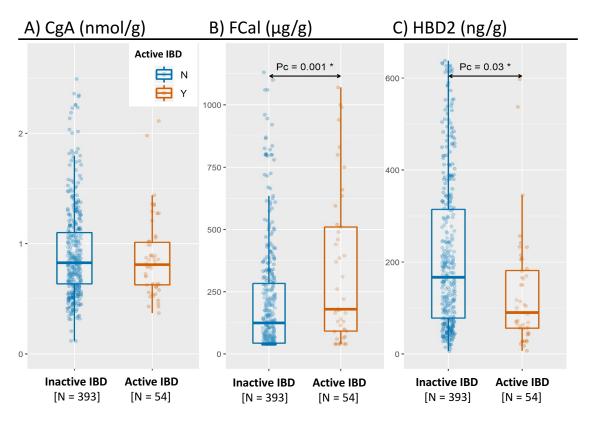
Noninvasive diagnosis of IBD in patients with bowel complaints and monitoring of IBD disease activity are currently unsolved clinical problems. While colonoscopy performed by skilled medical practitioners is highly successful in both diagnosing IBD and identifying disease activity, it entails considerable patient burden and high healthcare costs.<sup>2,5</sup> The only biomarker currently available in clinical practice is the FCal test, which is based on the detection of calprotectin protein secreted by neutrophils in the inflamed gut.<sup>9</sup> This test, while rapid and inexpensive compared to colonoscopy, suffers from multiple limitations. Its performance is far from perfect, with a reported AUC in the range of 0.75,<sup>3,10</sup> which results in a high rate of false positives when the test is calibrated for high sensitivity. It is also not a good predictor of ileal inflammation as FCal is degraded in the gut and tends to generate false positives in patients with colonic polyps, diverticulitis, infectious diarrhea, and colorectal neoplasia.<sup>38</sup> Furthermore, a comparison of different commercial FCal kits has found up to

5-fold differences in the reported levels of FCal, leading to concerns about the between-assay stability of FCal test results.<sup>39–41</sup> Given these limitations, supplementation of the FCal test with other independent biomarkers is a potential strategy to increase the diagnostic power of noninvasive diagnosis of IBD.

In this study, we focussed on HBD2, CgA, and the gut microbiome as potential biomarkers for enhancing the FCal test based on previously described relations of these biomarkers to IBD,<sup>42</sup> availability of commercial assays for CgA and HBD2, and our previous research on the gut microbiome, which identified striking changes in the gut microbiota of patients with IBD<sup>3</sup> and, independently, a strong association of CgA with the composition of the gut microbiome in a population-based cohort.<sup>12</sup>

# HBD2 is a potential novel fecal biomarker for diagnosis of IBD

HBD2 is an antibiotic peptide expressed in response to inflammation and infection. Its basal



**Figure 5.** Fecal biomarkers in patients with active and inactive IBD. Biomarker measurements in fecal samples from IBD patients with active disease (red) and patients with inactive IBD (blue). Statistically significant differences (Mann–Whitney U test, adjusted for multiple testing by Bonferroni correction) are shown.

expression level is very low in the colon, but it was found to be induced during inflammation of the gastrointestinal epithelium, which makes it a potential biomarker for IBD.42 We identified that IBD patients had significantly increased fecal concentrations of HBD2 compared to healthy controls or patients with clinically diagnosed IBS (Figure 1), and this increase was observed for both CD and UC patients (Table 2). These results are consistent with previous studies that show induction of colonic expression of HBD2 in the gut of patients with IBD.<sup>43</sup> Increased levels of HBD2 have also been observed in fecal samples of pediatric patients with IBD<sup>44</sup> and in colonic biopsies of adults with IBD.<sup>43</sup> Notably, HBD2 is not increased in the serum of IBD patients,<sup>45,46</sup> possibly because it is degraded before reaching systemic circulation at levels sufficient for detection.

When tested for predictive power, HBD2 showed high sensitivity and specificity for IBD in our crossvalidation and test sets (Supplement S2, Figure 2), with predictive power further increased when HBD2 was combined with FCal (Figure 2),

suggesting that HBD2 is a promising candidate biomarker for IBD. Furthermore, these results showed an additive value of HBD2 on top of FCal, indicating that the HBD2 signal is independent of the FCal signal. In our cohort, HBD2 calibrated at HBD2  $\geq$  41 ng/g for IBD classification outperformed the FCal test in both sensitivity (0.9 for HBD2 vs 0.86 for FCal) and specificity (0.64 for HBD2 vs 0.58 for FCal), while the logistic regression model using both biomarkers further improved these values to a sensitivity of 0.86 and a specificity of 0.83. While there are no minimal predictive power values defined for medical biomarkers, the ROC values obtained in this study can be considered "acceptable" for FCal (ROC > 0.7), "excellent" for HBD2 (ROC > 0.8) and "outstanding" for the combination of biomarkers (ROC > 0.9).<sup>47</sup>

When translated to potential clinical benefits, our results imply that combining HBD2 and FCal has the potential to considerably ( $\approx$ 40%) decrease proportion of false-positive test results, overcoming what is currently one of the major weaknesses of the FCal test. As patients with suspected IBD who have high FCal levels (>200 considered positive) require colonoscopy to confirm the IBD diagnosis, this reduction in false positives would lead to a  $\approx 40\%$  reduction in unnecessary colonoscopies. This could result in reduced patient burden and healthcare costs. For test comparison, we assumed that 20% of the tested population (patients with GI complaints suspected of IBD or IBS) have IBD, that all patients who test positive undergo colonoscopy, commercial FCal and HBD2 test cost of ≈€50 and colonoscopy cost of ≈€1,000.48 Based on these assumptions, the FCal test from our models (Sensitivity = 0.79, Specificity = 0.44) results in 406 unnecessary colonoscopies per 1000 FCal tests and the average cost of  $\approx 656$  per patient due to the high rate of false positives in the tested population. In comparison, our proposed test utilizing HBD2 and FCal would result in ≈167 unnecessary colonoscopies per 1000 tests and an average cost of ≈€466 per patient, resulting in considerable reduction in patient burden and healthcare costs (detailed cost and benefit calculations and assumptions are provided in Supplement S2/C). It should be noted, though, that commercial HBD2 kits are currently not available for medical use and our proposed tests need further standardization, testing, and validation.

# No significant change in fecal CgA levels is observed in IBD patients

CgA is a member of the granin family of neuroendocrine secretory proteins that is used as a serum biomarker for diagnosis and monitoring of carcinoid tumors and other neuroendocrine tumors.<sup>49</sup> Previous studies have suggested that interactions between the enteric nervous system and the immune system play important roles in the pathophysiology of IBD, and that CgA could potentially be used as a biomarker for IBD.<sup>50</sup> Additionally, CgA levels in serum,<sup>51</sup> plasma,<sup>52</sup> and fecal samples<sup>53</sup> show mixed values in patients with IBD. These values have been observed to be elevated in patients with IBD, especially in patients with active disease, but there are other studies that have shown no relation between CgA levels and patients with IBD.53 Furthermore, our previous work identified that CgA has a strong association with the composition of the gut microbiota.<sup>12</sup> In this study, we observed a significant increase in CgA levels in fecal samples of clinically diagnosed IBS patients, but not in IBD patients (Table 2).

# The gut microbiome has potential to supplement biomarkers for diagnosis of IBD

Gut microbiome changes have been associated with IBD in numerous studies, with strong microbiome signals reported in both major subtypes of IBD (UC and CD), in pediatric<sup>54</sup> as well as adult<sup>3</sup> patient groups, and in treatment-naïve<sup>55</sup> as well as in treated patients of different ethnicities.<sup>37</sup> We previously reported that the gut microbiome has the potential to be used as a biomarker for distinguishing patients with non-IBD gut complaints, such as IBS, from patients with IBD.<sup>3</sup> Here, we expand this research by building predictive models using multiple fecal biomarkers, integrating these biomarkers with gut microbiome data, and replicating the results using microbiome profiles obtained using the 16S sequencing of fecal samples.

Our results suggest that inclusion of a limited number of microbiome signals into a diagnostic model based on biomarkers has the potential to increase the power of biomarker tests for IBD. In our cohort, inclusion of five bacterial genera into a biomarker model using FCal and HBD2 increased the specificity of the test from 0.89 (biomarker test) to 0.92 and the sensitivity from 0.76 to 0.87 (Supplement S2). This increase in predictive power over FCal and HBD2 test would translate into approximately a doubling of the true positive rate of the test, potentially leading to a reduction in unnecessary colonoscopies. Assuming the 20% prevalence of IBD in the test group and healthcare costs listed above, the inclusion of bacterial genera into HBD+FCal test would reduce the number of unnecessary colonoscopies from ≈167 per 1000 patients (HBD+FCal test) to  $\approx 90$  per 1000 patients, considerably reducing the patient burden. The microbiome test would, however, lead to an  $\approx 10\%$ increase in average cost per patient (Supplement S2/C) and potential delays in test results due to the need for microbiome sequencing. While the shotgun metagenomic sequencing (MGS) is unlikely to be implemented in healthcare in the near future due to the added costs and the need for specialized bioinformatics infrastructure expertise, our results show that 16S rRNA sequencing provides a feasible alternative to MGS (Figure 4) at a fraction of the MGS sequencing and data processing costs. Quantitative PCR test for limited number of bacterial taxa (for example, genera Collinsella, Coprococcus, Ruminococcus, Methanobrevibacter, and Alistipes, which were found to be strongest predictors of IBD in our data) would provide another option for fast, low cost and high precision microbiome test, but such test would have to be rigorously tested in clinical environment to ensure precision and reproducibility.

# Monitoring disease activity in IBD with fecal biomarkers

We also identified that FCal and HBD2 have predictive potential for monitoring disease activity in patients with IBD (Supplement 2). While these results suggest that microbiome, FCal and HBD2 could be used for the identification of active IBD, our study was limited by the small number of patients with active IBD at the time of sampling (54 patients with active IBD). Larger training and test sets will therefore be necessary to achieve the full predictive potential of these biomarkers. In our previous work, we identified that the biochemical functionality of the gut microbiome changes before the onset of the IBD flare,<sup>20</sup> which suggests that longitudinal sampling of the microbiota should increase the predictive power of microbiomebased models for prediction of IBD exacerbations before the onset.

## **Study limitations**

The nature of our study does pose some limitations. First, we were not able to perform replication analyses on an independent cohort because the combination of gut microbiome data and biomarkers derived from fecal samples were not available for any other cohort. Even though we did use separate training and test sets in our prediction models, with no overlapping samples, and replicated the results using 16S sequencing technology of our cohorts, it is important to replicate our findings in an independent cohort. This would ascertain whether differences in ethnicity, diet, geography, or the different techniques used for determining these biomarkers influence the results, thereby providing more insight into the possibility for widespread use. This is especially notable for our results for HBD2 while this biomarker shows very high diagnostic yield in our study, especially when combined with FCal, this is the first time the fecal HBD2 was evaluated for the prediction of IBD, and potential differences in this biomarker in different populations and potential impacts of laboratory kits for measurement of HBD2 should be evaluated before it can be implemented in the clinic. In addition to the lack of replication cohorts, a limitation introduced by our splitting of data into a training and test sets is the limited sample size of the test set, which might have been insufficient to establish if models combining biomarkers and microbiome have higher predictive power than biomarker-only models. Combined models showed a significant increase in performance on cross-validation sets, but while they showed an increase in AUC, specificity, and sensitivity in test sets, these differences were not statistically significant in test sets. Therefore, larger test sets will be needed to evaluate whether combining the microbiome and biomarkers warrants the cost of adding microbiome sequencing and the expertise required to generate these and analyze these data.

Second, cohorts containing patients with IBD had all been previously diagnosed and treated for their IBD. However, we do have detailed information about these previous treatments and current medication use. To better validate our models for diagnosing IBD, new-onset patients with IBD should be included as they are treatment-naive and the target group for diagnostic testing.

Third, due to the cross-sectional nature of this study, we were not able to determine the stability of the measured biomarkers and microbiome. Longitudinal profiling of the gut microbiome and biomarkers by collecting multiple fecal samples for each individual to capture the microbiome dynamics before, during and after the onset of IBD flare-up is required.

Finally, the use of the microbiome as a biomarker requires there be a standardized protocol (DNA isolation, sample collection, sample storage, and sequencing platform or quantification of bacterial taxa) because variations in methodology are known to affect the readout of the fecal microbiome.<sup>56</sup> While our study demonstrates that 16S sequencing is a viable alternative to shotgun metagenomics for use in models for the diagnosis of IBD, a clinical fecal microbiome test would ideally use a quantitative approach rather than the currently used relative abundance measurements produced by 16S and metagenomic sequencing. This could be achieved using qPCR specific for limited number of bacterial taxa. These novel qPCR tests will require new testing and replication studies before clinical implementation.

### Conclusions

We evaluated the diagnostic potential of noninvasive fecal FCal, HBD2, and CgA, as well as the gut microbiome profiles, for the diagnosis of IBD in patients with gut complaints. HBD2 is a promising novel biomarker for IBD, with a predictive power comparable to FCal test. Combining the HBD2 with FCal test has potential to considerably increase the noninvasive diagnostic yield of IBD tests. The gut microbiome has limited predictive power in comparison to FCal and HBD2, but could potentially supplement biomarker provide tests to a decrease in false-positive rates.

### **Author contributions:**

R.G. conducted the study, interpreted the data, and drafted the manuscript. A.V.V., V.C., A.K., M.D.V., E.A.M.F. assisted in statistical analyses, interpretation of data and drafting of the manuscript. Z.M., C.W., D.M.A.E.J., G.D., J.F., A.Z. collected data, assisted in study planning and critically reviewed the manuscript. F.I. and R.K.W. planned, coordinated, and supported the study. All authors approved the manuscript.

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#### **Data availability:**

The raw metagenomic data that support these findings are openly available in European Genome-phenome Archive (EGA) at https://ega-archive.org/studies/EGAS00001002702 for IBD samples and at https://www.ebi.ac.uk/ega/datasets/ EGAD00001001991 for healthy controls. Summary statistics and other supporting data, with the exception of participant personal data, are available within the article and/or its supplementary materials and on 1000IBD data portal (https:// 1000ibd.org/menu/main/home). Software and codes are publicly available at https://github.com/GRONINGEN-MICROBIOME-CENTRE/Groningen-Microbiome/tree/mas ter/Projects/IBD\_Predict.

The IBD patient metadata that supports the findings of this study are available on request from the corresponding author F.I. The patient data are not publicly available due to their containing information that could compromise the privacy of research participants. The metadata for healthy controls are available from Lifelines Biobank. Restrictions apply to the availability of these data due to privacy of research participants and data were used with the permission of Lifelines for this study. These data are available from R.K.W. with the permission of Lifelines.

### **Conflicts of interest:**

The authors declare no conflicts of interest.

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