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RESEARCH ARTICLE

Pseudohyphal differentiation in Komagataella phaffii: investigating the FLO gene family

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One sentence summary: Two novel members of the FLO gene family, FLO400 and FLO5-1, are involved in pseudohyphal growth in *Komagataella phaffii*. **Editor**: Vivien Measday

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ABSTRACT

Many yeasts differentiate into multicellular phenotypes in adverse environmental conditions. Here, we investigate pseudohyphal growth in *Komagataella phaffii* and the involvement of the flocculin (FLO) gene family in its regulation. The *K. phaffii* FLO family consists of 13 members, and the conditions inducing pseudohyphal growth are different from *Saccharomyces cerevisiae*. So far, this phenotype was only observed when *K. phaffii* was cultivated at slow growth rates in glucose-limited chemostats, but not upon nitrogen starvation or the presence of fusel alcohols. Transcriptional analysis identified that FLO11, FLO400 and FLO5-1 are involved in the phenotype, all being controlled by the transcriptional regulator Flo8. The three genes exhibit a complex mechanism of expression and repression during transition from yeast to pseudohyphal form. Unlike in *S. cerevisiae*, deletion of FLO11 does not completely prevent the phenotype. In contrast, deletion of FLO400 or FLO5-1 prevents pseudohyphae formation, and hampers FLO11 expression. FAIRE-Seq data shows that the expression and repression of FLO400 and FLO5-1 are correlated to open or closed chromatin regions upstream of these genes, respectively. Our findings indicate that *K. phaffii* FlO400 and/or Flo5-1 act as upstream signals that lead to the induction of FLO11 upon glucose limitation in chemostats at slow growth and chromatin modulation is involved in the regulation of their expression.

Keywords: Komagataella phaffii; pseudohyphal growth; specific growth rate; FAIRE-Seq; epigenetics; FLO genes; Pichia pastoris

INTRODUCTION

Yeasts possess the ability to exhibit morphological and physiological differentiations upon experiencing adverse environmental conditions. Such differentiations can give rise to multiple subpopulations of cells exhibiting different phenotypes from a homogeneous population, thus increasing chances of survival either of individual cells or an entire subpopulation. Depending on the environmental cue, such differentiations may

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include switching from budded yeast form to filamentous form, flocculation, sporulation and programmed cell death (Schneper et al. 2004; Zaman et al. 2008). Cell differentiation is driven by interconnected complex signaling networks regulated by a plethora of transcription factors and chromatin regulators like histone-modifying enzymes and chromatin remodelers (Jaiswal et al. 2017). Both pathogenic and non-pathogenic yeasts feature such cell differentiation. For example, in the fungal pathogen Candida albicans, signals such as neutral pH, body temperature, serum, nutrient availability, etc. can trigger a switch from yeast to filamentous form, which is necessary for its virulence (Biswas et al. 2007). Also, baker's yeast Saccharomyces cerevisiae is known to exhibit differentiation in response to nutrient limitation and other environmental triggers. Such phenotypic differentiation is often driven by families of genes encoding cell-surface proteins, such as the ALS gene family in C. albicans or the FLO gene family in S. cerevisiae.

The FLO gene family of S. cerevisiae is known to have five dominant members: FLO1, FLO5, FLO9, FLO10 and FLO11 (Teunissen and Steensma 1995; Caro et al. 1997). Out of these, FLO1, FLO5, FLO9 and FLO10 share sequence homology, are located adjacent to telomeres and are known to promote cell-cell adhesion, commonly called flocculation (Guo et al. 2000). FLO11 on the other hand is known to be responsible for filamentous growth whereby cells divide but remain adhered to each other, thus forming a long chain of filament-like structures (Lambrechts et al. 1996; Lo and Dranginis 1998). Filamentous growth can either result in filaments spreading on the surface, a phenomenon termed as pseudohyphal growth, or downward extension of filaments into the solid substrate, known as invasive growth. While both haploid and diploid cells can exhibit filamentous growth, pseudohyphal growth is more prevalent in diploid cells and invasive growth is more prevalent in haploid cells of S. cerevisiae (Wright et al. 1993; Cullen and Sprague 2000; Song and Kumar 2012).

In S. cerevisiae, flocculation is triggered by external stressors like antimicrobials and other chemical agents, temperature or pH variations (Smukalla *et al.* 2008). Pseudohyphae formation or invasive growth is triggered by nitrogen and/or glucose starvation or exposure to fusel alcohols (Gimeno *et al.* 1992; Dickinson 1996). Depending on the genetic background and external trigger, the expression of one or more of the FLO family members may be affected. The commonly used laboratory strain S288C is impaired in pseudohyphal growth, flocculation, invasive growth and biofilm formation because it carries a nonsense mutation in Flo8p, which is a key transcriptional activator of the FLO genes (Liu *et al.* 1996). Most of the S. *cerevisiae* studies related to adhesion have been carried out in the \sum 1278b strain that has an intact functional Flo8p and in which only FLO11 is active, while the other FLO genes are telomerically silenced (Halme *et al.* 2004).

Not much was known about flocculation and pseudohyphae forming behavior in the methylotrophic yeast and popular protein production host *Komagataella phaffii* (syn. Pichia pastoris) until Rebnegger *et al.* reported that *K. phaffii* switches to pseudohyphal growth and exhibits surface adherence when cultivated at slow growth rates in glucose-limited chemostats (Rebnegger *et al.* 2014, 2016). The authors observed that at growth rates of $\mu = 0.075 \ h^{-1}$ or below, some of the cells changed their morphological appearance adopting an elongated shape or occasionally a branched pseudohyphae. As the elongated phenotype persisted after switching back to faster growth rates, they speculated that the transition of *K. phaffii* from yeast to pseudohyphal form might be epigenetically regulated (Rebnegger *et al.* 2014).

Komagataella phaffii also has a FLO gene family but its members and their functions are yet to be explored. The proteins encoded by these genes show very low sequence similarity to each other or to the *S. cerevisiae* FLO proteins making it difficult to predict which of them participate in imparting pseudohyphal growth or other adhesion-related phenotypes. As seen from studies in *S. cerevisiae* (Govender *et al.* 2008; Moreno-García *et al.* 2018; Westman *et al.* 2018), knowledge about these genes can open up paths for engineering strains that are more suited for industrial production processes. Prompted by the observations reported in the abovementioned studies by Rebnegger *et al.*, we decided to take a closer look at the FLO gene family in *K. phaff*ii in order to identify the gene or genes responsible for conferring this pseudohyphal phenotype.

MATERIALS AND METHODS

Strains and strain creation

All K. phaffii strains used in this study (Table 1) are based on the CBS7435 wild-type strain. The CBS7435 flo8∆ used here was the same strain described in Rebnegger et al. (Rebnegger et al. 2016). All the deletion strains were created using the split-marker cassette method adapted for K. phaffii (Fairhead et al. 1996; Gasser et al. 2013). Using this method, the target genes were replaced by an expression cassette encoding an antibiotic selection marker flanked by around 1000 bp of homologous regions for integration. The homologous flanking regions for creating the deletion cassette for flo11 Δ and flo5-1 Δ were amplified from the K. phaffii genomic DNA using primers containing BsaI restriction sites and also fusion sites (FS) for Golden Gate Cloning (FS A-B for 5' region and FS C-D for 3' region) (Prielhofer et al. 2017). The marker sequences were amplified from available plasmids using primers for introduction of BsaI and FS B-C. In the case of Δ flo400, the homologous region contained multiple BsaI restriction sites. Therefore, the primers used for the amplification of these regions were designed to contain BpiI restriction sites and fusion sites FS 1-2 and FS 3-4 for 5' and 3' regions, respectively. Accordingly, the marker sequence was amplified with primers to introduce BpiI restriction sites and FS 2-3. All marker cassettes were flanked by loxP sites for marker recycling using Cre recombinase. Golden Gate cloning was then carried out using BsaI or BpiI to assemble a vector containing the entire deletion cassette. The vector was used as a template for PCR to generate two fragments overlapping in the marker gene sequence. Finally, 500 ng of these two fragments were transformed into electrocompetent K. phaffii to construct a single deletion or CBS7435 \triangle flo11 cells to construct double deletions using the transformation protocol described previously (Gasser et al. 2013). Correct deletions were identified by colony PCR using forward and backward primers located outside the flanking homologous regions. For the flo8 Δ and flo11 Δ strains, the marker cassette was removed by transforming the cells with 300 ng of circular pKTAC_Cre_hph encoding the Cre recombinase as described by Marx, et al. (Marx et al. 2008). All enzymes used in this study were purchased from New England Biolabs (Frankfurt, Germany) except for BpiI which was purchased from Thermo Fisher Scientific (Vienna, Austria).

To create the FLO11-eGFP, FLO400-eGFP and FLO5-1-eGFP strains, CRISPR/Cas9 mediated homology-directed repair was employed (Gassler et al. 2019). Since all the three proteins contain a signal peptide pre-sequence and a signal peptidase cleavage site, the enhanced green fluorescent protein (eGFP) sequence was added internally after the pre-sequence at the amino-terminal as described for *S. cerevisiae* Flo11 (Lo and Dranginis 1998). To generate the 5' homologous region, the region around 1000 bp upstream including the start codon and the pre-sequence was selected and amplified by PCR using genomic DNA as template. Similarly, for the 3' homologous

Table 1. Strains used in this study.

Strain	Genotype	Resistance	
CBS7435	Wild type		
CBS7435 flo8∆	flo8ƻ	None	Rebnegger et al. (2016)
CBS7435 flo11∆	flo11∆::loxP-natMX-loxP	Nourseothricin	This study
CBS7435 flo400∆	flo400∆::loxP-kanMX-loxP	Geneticin	This study
CBS7435 flo5-1∆	flo5-1∆::loxP-kanMX-loxP	Geneticin	This study
CBS7435 flo11∆ flo400∆	flo11∆ flo400∆::loxP-kanMX-loxP ^b	Geneticin	This study
CBS7435 flo11∆ flo5-1∆	flo11∆ flo5-1∆::loxP-kanMX-loxP ^b	Geneticin	This study
CBS7435 FLO11-eGFP	pFLO11-5′FLO11(69bp)-linker-eGFP-linker-FLO11 ^c	None	This study
CBS7435 FLO400-eGFP	pFLO400-5′FLO400(54bp)-linker-eGFP-linker-FLO400 ^c	None	This study
CBS7435 FLO5-1-eGFP	pFLO5-1-5′FLO5-1(78bp)-linker-eGFP-linker-FLO5-1°	None	This study

aflo8 \triangle strain has a disrupted PP7435_Chr4-0252 locus with 221 bp of the promoter directly upstream of the ORF and the first 228 bp (76 amino acids) deleted. bflo11 \triangle flo400 \triangle and flo11 \triangle flo5-1 \triangle strains have a loxP-kanMX-loxP cassette in the FLO400 and FLO5-1 locus, respectively, and an additional loxP sequence in the FLO11 locus.

^cThese strains were constructed using CRISPR-Cas9 technique to add the enhanced green fluorescent protein (eGFP) sequence in the native locus after the cleavage site of the pre-sequence.

region 1000 bp of the gene sequence after the pre-sequence was amplified. A vector containing the eGFP sequence was used as a template to amplify the eGFP fragment. The primers used for this purpose were designed to add a AAA linker before and after the eGFP sequence. As described above, all the fragments contained BsaI sites in case of FLO5-1 or BpiI sites in case of FLO400. Additionally, the 5' end and the 3' end of the donor DNA also contained an additional restriction site (SapI for FLO5-1-eGFP, and BsmI for FLO400-eGFP constructs) to enable excision of the donor DNA from the vector. Golden Gate assembly was used to assemble a BB3 vector containing the eGFP coding sequence flanked by the 5' and 3' homologous regions. The assembled vector was transformed into chemically competent Escherichia coli cells. Sufficient amount of plasmid was extracted from the obtained E. coli clones and sequencing was carried out to confirm that the donor sequence is correct. The donor was then excised out of the vector. Human codon-optimized Cas9 under the control of K. phaffii LAT1 promoter and a guide RNA targeting the region directly upstream of the start codon under the control of the pGAP were expressed from an episomal plasmid vector (Gassler et al. 2019) and 500 ng of this vector was transformed along with the donor DNA for integration of the eGFP sequence. Correct integration was checked by PCR using primers binding outside the homologous regions and sequencing of the locus.

All primers used to generate the strains are listed in Table S6 (Supporting Information).

Cultivations and sampling

All media components used in this work were purchased from Carl Roth (Karlsruhe, Germany) and Merck (Darmstadt, Germany) unless specified otherwise. Yeast cells were grown in standard yeast peptone (YP) medium (10 gL⁻¹ yeast extract, 20 gL⁻¹ soy peptone) containing 2% glucose as carbon source (YPD) and antibiotics in case of strains containing a selection marker. All shake flask cultures were grown at 25°C and 180 rpm. Cultures for cryostocks were grown overnight in 100 mL flasks containing 10 mL YPD with or without antibiotics. 10% vol/vol glycerol was added to 1 mL of overnight culture and stored at -80°C. Precultures for inoculation of chemostats were grown by thawing one cryostock of the required strain and adding to 1000 mL flasks containing 100 mL YPD with or without antibiotics.

For the nitrogen limitation experiments, minimal medium agar plates were prepared containing (L^{-1}) 0.25 g MgSO₄*7H₂O,

0.40 g KCl, 0.0134 g CaCl₂*2H₂O, 11 g citric acid monohydrate, 735 µL trace element solution, 1 mL biotin stock solution (0.1 gL⁻¹), supplemented with 2% glucose as carbon source. The trace salt solution contained (L^{-1}) 5.0 g FeSO₄ ·7H₂O, 20.0 g ZnCl₂, 6.0 g CuSO₄·5H₂O, 3.36 g MnSO₄·H₂O, 0.82 g CoCl₂*6H₂O, 0.2 g Na2MoO4·2H2O, 0.08 g NaI, 0.02 g H3BO3 and 5.0 mL H2SO4 (95-98% w/w). The amount of (NH₄)₂HPO₄ was adjusted depending on the target concentration of nitrogen (1.58 g for standard conditions of 24 mM and 0.0033 g for final nitrogen concentration of 50 μ M). The pH was adjusted to 5.5 with KOH. The medium was sterile filtered and 250 mL of 4% agar was added under sterile conditions prior to use. For plating of the cells, precultures were diluted to an OD_{600} units of 0.1, washed with sterile water to remove residual nutrients and spread on the required plate (YP agar for glucose limitation and low nitrogen agar for nitrogen limitation). As control, cells were simultaneously also plated on YPD agar plates and standard minimal agar plates. The plates were incubated at 25°C for 3 days and observed for morphological changes by microscopy.

For cultivation in the presence of fusel alcohols, 10 mL of YPD medium was inoculated with cells to a final OD_{600} units of 0.1 and either 100 μ L of butanol (final concentration of 1%) or 150 μ L of isoamyl alcohol (final concentration of 1.5%) was added. The flasks were incubated at 25°C for 3 days with shaking at 180 rpm and the cultures were checked daily for morphological changes by microscopy.

Glucose-limited chemostat cultivation was carried out as described in Rebnegger *et al.* (Rebnegger *et al.* 2016). Two different dilution rates (0.1 h⁻¹ and 0.05 h⁻¹) were used and the cultivations were carried out in 1 L DASGIP benchtop bioreactors (SR07000DLS; Eppendorf, Hamburg, Germany). Precultures were grown overnight as described in the previous section. Cells were then harvested, washed, resuspended in sterile demineralized water and finally used to inoculate bioreactors at a final OD₆₀₀ units of 2. The chemostat medium contained (L⁻¹) 2 g citric acid monohydrate, 44 g glucose, 17.4 g (NH4)₂HPO₄, 0.8 g MgSO₄*7H₂O, 2 g KCl, 0.03 g CaCl₂*2H₂O, 1.94 g trace element solution, 0.5 g Pluronic and 3.2 g biotin stock solution (0.1 gL⁻¹). The pH was set to 5.85 with 20% HCl. The trace salt solution used was the same as described before.

After completion of the batch phase, which was indicated by a sharp rise in dissolved oxygen concentration, the chemostat was initiated at a dilution rate of 0.1 h^{-1} , then switched to 0.05 h^{-1} and finally switched back to 0.1 h^{-1} . The chemostat was run for five resident times at each dilution rate set point to attain steady state conditions. The working volume was kept steady at 400 mL by means of a level sensor. Cultures were stirred at 700 rpm and supplied with a constant airflow of 25 SLh⁻¹ to keep the dissolved oxygen concentration above 20%. The culture temperature was set to 25°C and the pH was kept at 5.85 by the addition of 12.5% ammonia solution. At every sampling time point (Fig. 3A), samples were collected for the required analyses such as OD₆₀₀ measurement, FAIRE-Seq, transcriptomics (qRT-PCR and RNA-Seq) and microscopy. Since the flo8 \triangle strain did not show any phenotype at the slow growth rate, RNA-Seq samples for this strain were taken only initially at the fast growth rate and then after switching to the slow growth rate. No samples for RNA-Seq were taken for this strain after switching back to the fast growth rate.

For formaldehyde fixation of samples for FAIRE-Seq, first the OD₆₀₀ of the culture was measured. Then, fixation solution was prepared in a 500 mL shake flask that contained 100 mL PBS with 1% formaldehyde per 50 OD₆₀₀ units of cells to be fixed. The required amount of bioreactor culture was drawn and directly added to the fixation solution. The flask was shaken at room temperature for ~30 min, after which the formaldehyde was quenched by addition of 500 mM Tris–Cl (pH 8.0) and shaking for 5 min. Finally, cells were harvested, washed with PBS and stored at -80° C.

For fixing of cells for transcriptomics studies, samples were added in a 2:1 ratio to precooled fixing solution containing 5% (vol/vol) phenol in absolute ethanol. Samples were then centrifuged for 1 min at 10 000 \times g and 4°C, afterward the supernatant was removed and the pellet was stored at -80° C until further processing.

FAIRE-Seq

The FAIRE-Seq protocol was adapted from protocols published in the study by Nagy et al and Simon et al (Nagy et al. 2003; Simon et al. 2012). The formaldehyde-fixed cells were thawed and resuspended in 1 mL lysis buffer and transferred to 2 mL screwcap tubes containing 500 µL of acid-washed 500 µm glass beads. Cells were lysed in a FastPrep®-24 equipment (MP Biomedicals, CA, USA) for 5 \times 40 s cycles, with 2 min rest in between. The lysate was transferred to a 15 mL conical tube. The glass beads were washed with an additional 600 μ L lysis buffer, which was then added to the same conical tube. The lysate was sonicated in a Bioruptor® Plus (Diagenode, Liège, Belgium) for 10 cycles (30 s on/30 s off) at high power setting. The sonicated lysate was clarified by centrifugation at 10 $000 \times g$ for 5 min and around 150 μ L of this clarified lysate was removed for preparation of input control DNA. The remaining lysate was aliquoted into fresh 1.5 mL tubes. 1 volume of phenol/chloroform/isoamyl alcohol (Thermo Fisher Scientific, Vienna, Austria) was added to them, vortexed vigorously and centrifuged at full speed for 10 min and the top layer was transferred to a fresh tube. This was repeated one more time after which 200 µL of chloroform/isoamyl alcohol (Thermo Fisher Scientific, Vienna, Austria) was added to each tube to remove any remnant phenol. The tubes were vortexed, centrifuged and the aqueous layer transferred to a fresh tube. 1/10 volume 3M sodium acetate (pH 5.2), 2 volumes 95% ethanol and 1 µL 20 mg/mL glycogen was added to each tube and the tubes were incubated at -80°C overnight. The tubes were centrifuged at full speed for 20 min to precipitate the DNA, after which the pellets were washed with 75% ice-cold ethanol and centrifuged again for another 10 min. Finally, the ethanol was removed and the pellets dried and resuspended in 50 μL 10 mM Tris-HCl (pH 7.4). These FAIRE samples were treated with DNase-free RNase A (30 min, 37° C), Proteinase K (1 h, 55° C) and finally incubated overnight at 65° C for decrosslinking.

For preparation of input control DNA, the 150 µL clarified lysate removed after sonication was first treated with DNasefree RNase A, Proteinase K and decrosslinked overnight at 65°C before proceeding with Phenol/Chloroform/Isoamyl alcohol extraction as described for the FAIRE samples. Both the FAIRE DNA and input control DNA were further purified using MinElute PCR purification kit (Qiagen, Hilden, Germany), quantified and run on an agarose gel to check proper sonication efficiency.

Library preparation and DNA sequencing (Illumina HiSeq 2000, paired-end, 50 bp read length) was performed at the VBCF NGS unit (www.vbcf.ac.at). Raw reads were filtered of adapter sequence and low-quality reads. Mapping over the K. phaffii CBS7435 genome was carried out with the BWA tool using default settings (Langmead and Salzberg 2012). Reads with mapping quality <20 were filtered out. The mapped data was uploaded on the public server usegalaxy.org (Afgan et al. 2018) and peak calling was carried out using MACS2 tool (Zhang et al. 2008) with setting the P-value cut-off as 1e-10. The replicate data was pooled and input control was used for peak calling. Comparison between the wild-type samples at different conditions and between the wild-type and the knock-out strains was done by using the 'Join' tool from 'Operate on Genomic Intervals' in Galaxy. To determine the genomic location of the peaks, the feature list for the K. phaffii CBS7435 strain was downloaded from http://www.pichiagenome.org (Valli et al. 2016) and the same join tool was used to identify which genes are located upstream or downstream closest to the peaks. Peaks were visualized with Integrated Genome Browser (IGB) software (Nicol et al. 2009).

RNA extraction

The ethanol/phenol fixed cells were resuspended in 1 mL TRI reagent (Sigma-Aldrich, Darmstadt, Germany), lysed using glass beads as described in the previous section and RNA was extracted according to the TRI reagent protocol. DNase treatment was carried out using the Ambion DNA-free kit (Thermo Fisher Scientific, Vienna, Austria). RNA concentration and integrity were analyzed using the Nanodrop spectrophotometer and Bioanalyzer (Agilent, Vienna, Austria). cDNA was synthesized using Oligo(dt)₂₃ primers (NEB, Frankfurt, Germany) and Biozym cDNA synthesis kit (Biozym, Vienna, Austria). Quantitative PCR was carried out using Biozym Blue Probe qPCR kit on a Rotor Gene Q instrument (Qiagen, Hilden, Germany). Changes in transcript levels were calculated relative to the reference sample, after normalization to ACT1 (PP7435-Chr3-0993) expression, using the threshold cycle method of the Rotor Gene software.

RNA sequencing

The poly-A, single read RNA Sequencing of the five samples, each with three replicates, was conducted at the Vienna Bioinformatics Core Facility NGS Unit (www.vbcf.ac.at) on an Illumina HiSeq V4. Sorting of the received unsorted and unaligned BAM files was performed by using samtools sort v1.5 (Li *et al.* 2009). Subsequently the conversion into FASTQ files was executed with picard tools v2.17.3 SamToFastq (Broad Institute 2018). Afterward the reads were quality checked and trimmed by utilizing TrimGalore v0.4.2 (Krueger 2012), which itself relies on FastQC v0.11.5 (Andrews and Fast 2010) and Cutadapt (Martin 2010). As the count quantification with kallisto depends on a transcript index of the reference sequences, this index was created with kallisto index v0.43.1 (Bray *et al.* 2016) and the latest K. *phaffii* CBS7435 annotation (FR839628.1, FR839629.1, FR839630.1, FR839631.1, FR839632.1). Accordingly, the count data of all sample reads was calculated with kallisto quant v0.43.1. At the same time pseudobam files were created within the same run for each sample using the gtf file of K. *phaffii* CBS 7435 (Komagataella phaffii.cbs.7435.PicPas_Mar2011.38.gtf.gz, October 2016) from Ensembl Fungi (Kersey *et al.* 2018) as reference.

Differential expression analysis (Love *et al.* 2014) for each sample comparison was performed with several R v3.3.2 packages, which are tximport and tximportData v1.2.0 (Soneson *et al.* 2015), readr v1.1.1 (Wickham, Hester and Francois 2015) and DESeq2 v1.14.1 (Gentleman *et al.* 2004; Love *et al.* 2014; Huber *et al.* 2015). Transcripts with a log2 fold-change below –1 and above 1, combined with an adjusted P-value below 0.05 were considered to be significantly expressed.

Microscopy

Microscopy was performed with a Zeiss Axio Observer microscope using a LCI Plan-Neofluar 63X (numerical aperture 1.3) water immersion objective in differential interference contrast (DIC) mode and using the 38 HE eGFP shift free (E) filter set (Carl Zeiss, Oberkochen, Germany). Images were processed using ImageJ 1.52i software (Schneider *et al.* 2012)

RESULTS

The FLO gene family of K. phaffii

In K. phaffii CBS7435 (Sturmberger et al. 2016; Valli et al. 2016), there are seven genes annotated as FLO genes, namely FLO11 (PP7435_Chr2-0267), FLO5-1 (PP7435_Chr3-1389), FLO5-2 (PP7435_Chr1-1228), FLO100 (PP7435_Chr1-1587), FLO200 (PP7435_Chr3-1226), FLO300 (PP7435_Chr4-1020) and FLO400 (PP7435_Chr4-0865). Their annotation was based on the fact that the proteins they encode carry conserved domains typical to flocculin proteins such as flocculin_t3, PA14/GLEYA and FLO11 (Fig. 1A; Table S1, Supporting Information). Thereof, Flo11 is the putative homolog of S. cerevisiae Flo11, while the other proteins have only low sequence similarity to the S. cerevisiae FLO proteins. Based on reciprocal BLASTp, two of the proteins had significant sequence similarity with Flo5, and are thus named Flo5-1 and Flo5-2, while for the others no clear S. cerevisiae homolog could be designated and the names Flo100-400 were chosen. Additionally, there are six other genes-BSC1 (PP7435_Chr1-1549), PP7435_Chr1-2104, PP7435_Chr2-0004, PP7435_Chr3-1237, PP7435_Chr4-0629 and PP7435_Chr4-1013that encode for proteins that share low sequence similarity with flocculin proteins (Valli et al. 2016). Among these genes, even though the protein product of PP7435_Chr2-0004 shares a low similarity with Flo5-1 and Flo5-2, no conserved domains, tandem repeats or glycosylphosphatidylinositol (GPI) anchors were identified in the protein and hence it was excluded from Fig. 1A.

Flo11 and Bsc1 carry N-terminal FLO11 flocculin domains (Fig. 1A) like their S. *cerevisiae* homologs while the majority of the other Flo proteins carry a PA14/GLEYA domain, which is a carbohydrate binding domain found in fungal adhesins, containing a conserved motif G(M/L)(E/A/N/Q) (Linder and Gustafsson 2008). In Flo5-1, Flo5-2, Flo100 and Flo200 the domain is located closer to the N-terminus, while in Flo300 and in the protein encoded by PP7435_Chr4-1013 it is located toward the center or closer to the C-terminus, respectively. Here, among the PA14 domain containing Flo proteins, Flo5-1 and Flo5-2 have a

predicted N-terminal signal peptide, while the others do not. Additionally, all of these proteins except for Flo5-1 carry a GPI anchor. Almost all the other remaining Flo proteins, namely Flo11, Flo400, Bsc1 and the protein products of PP7435_Chr1-2104 and PP7435_Chr4-0629 carry an N-terminal signal peptide and a C-terminal GPI anchor. Here, an exception is PP7435_Chr3-1237, which has a predicted GPI anchor but no N-terminal signal peptide. Additionally, some of the proteins encoded by these FLO genes, namely Flo11, Flo5-1, Flo400 and the protein products of PP7435_Chr1-2104, PP7435_Chr3-1237 and PP7435_Chr4-1013 harbor a flocculin_t3 repeat, which is a repeat found in S. cerevisiae Flo9 close to its C-terminus (Marchler-Bauer et al. 2011). Some of the other Flo proteins, namely, Flo5-2, Bsc1 and the protein product of PP7435_Chr4-0629 carry a Herpes_BLLF1 domain close to their C-termini. As characteristic of flocculin proteins, most of the K. phaffii Flo proteins have a central domain containing multiple tandem repeats that are primarily rich in threonine and proline.

Analysis of the amino acid sequences show that Flo5-1 and Flo5-2 share >50% sequence similarity. Apart from this, the Flo proteins share very little sequence similarity to each other or to any of the S. cerevisiae FLO gene products. Like in S. cerevisiae, many of the K. phaffii FLO genes are located adjacent to telomeres, except for FLO11, FLO5-1, FLO400, PP7435_Chr1-2104 and PP7435_Chr4-0629 (Fig. 2). In S. cerevisiae, telomeric silencing of FLO genes has been described during standard growth conditions (Halme et al. 2004). Therefore, we analyzed the expression levels of all FLO genes in RNA sequencing data of K. phaffii cultivated in shake-flasks in minimal medium containing 5 g L⁻¹ glucose that was previously carried out in our lab (Ata et al. 2018). In this condition, some of the FLO genes, namely FLO5-1, FLO5-2, FLO300, PP7435_Chr1-2104, PP7435_Chr3-1237, PP7435_Chr4-0629 and PP7435_Chr4-1013 are clearly expressed (Table S2, Supporting Information), which indicates that the expression of these genes in standard growth media is independent of their telomeric localization, contrary to S. cerevisiae.

Komagataella phaffii also possesses a putative Flo8 homolog (PP7435_Chr4-0252) that contains an N-terminal LisH domain similar to the S. cerevisiae FLO gene master regulator Flo8p. Homology to the S. cerevisiae Flo8p is low outside of the LisH domain (Fig. 1B), and in K. phaffii there is no homolog of the second FLO transcriptional activator Mss11p, which forms a heterodimer with Flo8p in S. cerevisiae (Gagiano et al. 2003). In contrast to S. cerevisiae Flo8p, the K. phaffii Flo8 contains two predicted nuclear localization signals (NLS) and a putative SSDP domain at position 568-585 based on amino acid sequence homology to fungal Flo8/Som homologs (Lin et al. 2015; Bui et al. 2019). As described for these fungal species, we conclude that K. phaffii Flo8 is also probably forming homodimers to act as a transcriptional activator. Accordingly, in a K. phaffii strain lacking PP7435_Chr4-0252/Flo8, surface growth was much less pronounced and also pseudohyphal growth at slow growth rates was absent (Rebnegger et al. 2016).

Komagataella phaffii CBS7435 forms pseudohyphae and displays surface adherence only at slow growth rates in glucose-limited chemostats but not during cultivation under glucose/nitrogen limitation or in presence of fusel alcohols

Reported triggers of pseudohyphal growth in S. *cerevisiae* are glucose or nitrogen limitation as well as the presence of fusel alcohols such as butanol or isoamyl alcohol (Gimeno *et al.* 1992; Dickinson 1996; Cullen and Sprague 2000; Lorenz *et al.* 2000). To investigate whether these conditions provoke the same



Figure 1. Schematic representation of the structures of the K. phaffii FLO family proteins. (A) Line diagrams representing the Flo proteins with their predicted N-terminal signal peptides, identified conserved domains and GPI anchor. (B) Representation of Flo8, master transcription regulator controlling several FLO genes harboring an N-terminal Lis homology (LisH) domain, a putative single-stranded DNA-binding protein (SSDP) domain and a nuclear localization signal (NLS).



Figure 2. Location of the FLO genes on the chromosomes of K. phaffii CBS7435. // indicates the localization of the centromeres.

response in K. phaffii, we exposed K. phaffii CBS7435 as well as S. cerevisiae Σ 1278b (serving as a control) to such triggers and analyzed their morphology by microscopy.

We observed that cultivation on YP agar (without glucose) was unable to induce pseudohyphae formation in both S. cerevisiae and K. phaffii (Figure S1A, Supporting Information). Cultivation on minimal agar medium containing 24 mM ammonia induced pseudohyphal growth in S. cerevisiae but not in K. phaffii. Furthermore, when grown on minimal agar where the nitrogen content was severely reduced (50 µM ammonia) K. phaffii still did not display pseudohyphae formation, while the S. cerevisiae strain was unable to grow under these conditions at all (Figure S1B, Supporting Information). Additionally, when cultivated in the presence of butanol or isoamyl alcohol, K. phaffii again did not display any pseudohyphae formation contrary to S. cerevisiae

(Figure S1C and D, Supporting Information). Since these observations indicated that the conditions that trigger pseudohyphal growth in *S. cerevisiae* are unable to provoke a similar response in *K. phaffii*, we based further experiments on the conditions where pseudohyphal growth was observed before, namely at low dilution rates in glucose-limited chemostats (Rebnegger et al. 2014).

We ran a glucose-limited chemostat first at a high dilution rate (corresponding to $\mu = 0.1 \text{ h}^{-1}$) for ~ 5 residence times (50 h) corresponding to \sim 7 generations, which serves as a control setpoint. Here, residence time refers to the amount of time taken to change the entire volume of the chemostat. At this point all the cells had an ovoid shape (S1 in Fig. 3A). Then the chemostat was switched to a low dilution rate ($\mu = 0.05 \text{ h}^{-1}$). At this condition, after \sim 2 residence times (corresponding to 3 generations), some cells in the population started to look more elongated (S2 in Fig. 3A). With increasing number of residence times at this low dilution rate, the effect became more pronounced. Additionally, some cells adopted a branched pseudohyphal appearance (S3 in Fig. 3A). Upon switching to the low dilution rate, cells also started growing on the surface of the bioreactors, which became even more pronounced with increasing number of residence times (Figure S2, Supporting Information). Strikingly, when the dilution rate was switched back to $\mu=$ 0.1 h^{-1} , ~17% of the cells in the population still exhibited an elongated or pseudohyphal phenotype even after 7 generations/5 residence times (S4 & S5 in Fig. 3A). This is striking, as at S5 over 99% of the cells never experienced the slow pseudohyphaetriggering growth rate ($\mu = 0.05 \text{ h}^{-1}$) thus indicating that pseudohyphae formation upon slow growth in K. phaffii is a heritable phenotype.



Figure 3. Cultivation at low dilution rate (corresponding to $\mu = 0.05 \text{ h}^{-1}$) in glucose-limited chemostat triggers pseudohyphal growth in K. *phaffii*, which seems to be connected to the expression of FLO11. (A) Growth rate profile of the glucose-limited chemostat experiments, sampling time points (S1–S5) and cell morphology of the wild-type and the *flo8* Δ strains. (B) The plot shows the transcript level of FLO11 in the wild-type and the *flo8* Δ strains, measured by qRT-PCR, relative to the reference sample S1 in the wild type.

Pseudohyphal growth of K. *phaffii* is dependent on the presence of Flo8

As mentioned before, the S. *cerevisiae* laboratory strain S288C does not show any adhesion-related phenotype because of a single nonsense mutation in FLO8 (Liu *et al.* 1996). To confirm that the pseudohyphal phenotype in K. *phaffii* is also dependent on the putative Flo8 homolog, we cultivated the K. *phaffii* flo8 Δ strain (Gasser *et al.* 2015) at the same conditions as the wild type. In contrast to the wild-type strain, the flo8 Δ strain showed no pseudohyphal phenotype and only very reduced surface growth (Fig. 3A; Figure S2, Supporting Information). Hence the samples from the flo8 Δ cultivations were used as controls for further analysis.

For ease of understanding, henceforth the chemostat samples will be referred to using their sample names as given in Fig. 3A (S1, S2, S3, etc.).

FLO11 is induced in conditions of pseudohyphal growth, but FLO11 deletion does not prevent pseudohyphae formation in K. phaffii

In S. cerevisiae, expression of FLO11 is required for pseudohyphal growth and biofilm formation (Rupp et al. 1999; Cullen and Sprague 2012) and strains lacking the FLO11 gene are unable to form pseudohyphae (Lo and Dranginis 1998). To verify whether the same gene also plays a crucial role in conferring the pseudohyphal phenotype in K. phaffii, we analyzed the expression profile of FLO11 during different stages of the chemostat cultivations by employing quantitative real-time PCR (qRT-PCR) (Fig. 3B). Compared to the reference sample S1 at 0.1 h⁻¹, FLO11 expression significantly increased at S2 and S3, concurrent with the induction of pseudohyphal growth. Consistent with our observation that with an increasing number of residence times at the low dilution rate the pseudohyphal phenotype became more pronounced, expression of FLO11 also got stronger. Upon

switching the dilution rate back to 0.1 h^{-1} (sample S4), the expression of FLO11 quickly dropped. However, even after five residence times (sample S5), the FLO11 expression remained 1.5-fold higher compared to S1 (P-value < 0.05). In the flo8 Δ strain, the FLO11 expression remained below detection level, indicating that activation of the gene is under control of Flo8.

Based on the quantitative PCR results, we considered the possibility that, like in S. cerevisiae, FLO11 is also the sole gene responsible for conferring the pseudohyphal phenotype in K. phaffii. To verify this, we constructed a flo11∆ strain and cultivated it in biological duplicates applying the same chemostat cultivation strategy as described before. As a control, we simultaneously cultivated the wild-type strain. However, we observed that unlike in S. cerevisiae, deleting FLO11 did not inhibit pseudohyphal growth, although a cell count to compare the percentage of pseudohyphal (elongated) to non-pseudohyphal (ovoid) cells in the wild-type and the flo11∆ strains revealed that deletion of FLO11 impairs pseudohyphal growth (Fig. 4). After five residence times at a dilution rate of 0.05 h^{-1} , ~17% of the wildtype population showed an elongated or pseudohyphal phenotype, while only 7.5% of the flo11∆ population switched to such a phenotype (Fig. 4) revealing that unlike in S. cerevisiae, FLO11 is not the only player in conferring the pseudohyphal phenotype in K. phaffii.

Quantification of mRNA levels of FLO genes by qRT-PCR and RNA-Seq

To check the expression patterns of the other FLO genes at fast and slow growth, we measured their transcript levels at the different growth rates in the wild-type as well as the $flo8\Delta$ strains by qRT-PCR and RNA-Seq.

qRT-PCR data showed that out of the analyzed genes, BSC1, FLO5-1 and FLO400 seemed to be under the control of Flo8 and showed no expression in the *flo*8 Δ strain, while FLO5-2, FLO200 and PP7435_Chr4-0629 were unaffected by the lack of Flo8 (Fig. 5).



Figure 4. Microscope pictures of both the wild-type and the flo11 \triangle strains at different sampling time points. The graph shows the percentage of pseudohyphal cells in the population for both the wild-type and the flo11 \triangle strains (n = 3).



Figure 5. qRT-PCR data showing expression pattern of several FLO genes relative to the reference sample (wild type in S1). Asterisks above the bars denote statistical significance of gene expression levels in comparison with the reference sample (P-values calculated by Student's t-test; **P-value < 0.01 and ***P-value < 0.001).

Four of the genes, BSC1, FLO5-1, FLO200 and FLO400 were downregulated at S3 in comparison to the reference sample S1. These genes stayed repressed even at S5. Considering that >99% of the cells present at S5 never experienced the slow pseudohyphaetriggering growth rate, it is speculated that these genes underwent stable repression.

Next, RNA-Seq was performed on the samples collected during the chemostats of the wild-type at S1, S3 and S5 and the flo8 Δ strains at S1 and S3. Since the pseudohyphal phenotype is observed only in the wild-type strain but not in $flo8\Delta$, we first looked at the genes that are differentially regulated between these strains. As mentioned in Materials and methods, transcripts with a log_2 fold-change below -1 and above 1, combined with an adjusted P-value < 0.05 were considered to be significant. Using these criteria, it was seen that 107 genes were upregulated in the wild-type strain compared to the flo8 strain (Table S3, Supporting Information). Among the FLO genes this included FLO11, FLO5-1, FLO400 and PP7435_Chr3-1237 (Table 2). On the other hand, only 21 genes were downregulated in the wild-type strain compared to the flo8∆ strain (Table S3, Supporting Information). Analyzing the Gene Ontology terms enriched in this list of differentially regulated genes using the open source software GO::TermFinder (Ashburner et al. 2000; Boyle et al. 2004), we found that most of them are related to cellular response to pheromone, reproductive processes and multi-organism processes. Interestingly, we also found a big group of unannotated genes (63 genes) in this list, which are not characterized so far. The enriched GO terms and the genes belonging to these GO terms are listed in Table S4 (Supporting Information).

We then looked specifically into the RNA-Seq data of the FLO genes in the wild-type strain. Table 2 shows the average transcripts per million (tpm) of the three biological replicates of each sample. The data for ACT1 is also given for comparison. FLO400, FLO5-1 and PP7435_Chr1-2104 were expressed at levels even higher than that of ACT1. While the expression of PP7435_Chr1-2104 did not undergo significant variation at the different stages of the chemostat cultivation, the expression of FLO400 and FLO5-1 changed upon switching to the slow growth rate. The highest expression levels for these two genes was reached initially at S1. At S3, both genes were repressed and remained in their repressed state even after switching back to the fast growth rate (S5) confirming the pattern of gene expression that we observed in the qRT-PCR data. PP7435_Chr3-1237 follows a similar expression and downregulation pattern as FLO400 and FLO5-1 but its expression level, even at S1, is much lower.

FLO11 reached its highest expression during the slow growth rate, but even then, the expression level was much lower than the highest expression levels of FLO400 and FLO5-1. The expression level of FLO11 was again downregulated after the growth rate was switched back, but remained >2-fold higher in S5 than in S1, which reflected the pattern shown by the qRT-PCR data. In the flo8 Δ strain the expression of FLO400, FLO5-1, FLO11 and PP7435_Chr3-1237 genes was highly hampered, confirming that they are under the regulation of Flo8. PP7435_Chr4-0629 was stably expressed at a low level independent of the dilution rate. Even though expressed at lower levels compared to FLO400 and FLO5-1, FLO300 seems to be upregulated at the slow growth rate and shows even higher expression upon switching back to the fast growth rate, however, this expression pattern is similar in the flo8 Δ strain.

We additionally created three different reporter strains expressing eGFP-tagged versions of Flo11, Flo400 and Flo5-1, respectively, and carried out similar glucose-limited chemostats with these strains. The purpose of these reporter strains was to

		Wild type $\mu = 0.05$	5		
Gene name	Wild type $\mu = 0.1 \ h^{-1}$ S1	h ⁻¹ S3	Wild type $\mu = 0.1 \ h^{-1}$ S5	$\begin{array}{c} \textit{flo8} \Delta \ \mu = 0.1 \ h^{-1} \\ \text{S1} \end{array}$	$flo8\Delta \mu = 0.05 h^{-1}$ S3
FLO5-1	2156.4	666.3	419.5	47.5	47.9
FLO400	3431.2	1776.4	1029.1	339.4	113.5
BSC1	4.8	4.4	6.1	6.3	4.2
FLO5-2	16.4	12.0	10.7	14.9	12.7
FLO100	0.3	5.3	33.7	0.2	0.0
FLO200	8.1	5.1	5.7	9.7	6.3
FLO300	8.0	42.3	161.0	9.3	25.6
PP7435_Chr1-2104	2942.1	3308.8	3697.5	3977.6	3642.0
PP7435_Chr3-1237	36.0	13.1	17.7	3.1	7.9
PP7435_Chr2-0004	10.9	8.1	7.4	9.9	8.2
PP7435_Chr4-1013	16.3	13.8	14.5	17.8	12.9
PP7435_Chr4-0629	74.5	73.7	67.4	89.6	86.3
ACT1	1726.9	1601.2	1616.6	1704.7	1483.7

Table 2. RNA-Seq data of all FLO genes showing the average transcripts per million (tpm) values of the FLO genes.

follow the change in expression pattern of these proteins and to find out if these proteins are expressed in the entire population or only in a subpopulation, since pseudohyphal cells only represent a fraction of the population. As expected, both Flo400-eGFP and Flo5-1-eGFP were detected only in the S1 sample. Additionally, while Flo400-eGFP is produced in all the cells of the population, Flo5-1-eGFP was expressed only in a fraction of cells, which was ~11% of the population (Figure S3, Supporting Information). Even though a similar N-terminal internal GFP tagging of Flo11 has been described in *S. cerevisiae* (Lo and Dranginis 1996), unfortunately the Flo11-eGFP expressing *K. phaffii* strain showed no observable fluorescence, which was most probably due to the general low expression levels of this protein.

FAIRE-Seq analysis indicates involvement of chromatin modulation in the regulation of FLO5-1 and FLO400

It has been reported that in S. cerevisiae some of the members of the FLO gene family are epigenetically silenced (Halme et al. 2004). The most well studied member in that regard is FLO11. The FLO11 promoter, one of the largest described in S. cerevisiae with a size of around 3.5 kb, has binding sites for many different regulators and its expression is controlled by multiple levels of conventional and epigenetic regulation (Madhani and Fink 1997; Bumgarner et al. 2009; Octavio et al. 2009). The epigenetic regulation of FLO11 involves chromatin modulation via histone modifications and nucleosome remodeling via the Rpd3L and Swi/Snf complex (Barrales et al. 2012). To investigate if and how changes in chromatin accessibility occur at a genome-wide level of fast and slow growing K. phaffii, we carried out Formaldehyde Assisted Isolation of Regulatory Elements (FAIRE-Seq) analysis (Giresi and Lieb 2009) of samples S1, S3 and S5. FAIRE-Seq analysis allows for the identification of open (nucleosome-free) chromatin regions, which include regulatory regions, at a genomewide level during each condition.

Peaks in the FAIRE-Seq data correspond to open chromatin or nucleosome-free regions. Upon comparison and visualization of FAIRE-seq peaks between S1, S3 and S5 using the genome browser IGB, four different patterns were observed, namely, peaks that were detected at the same level in S1, S3 and S5 (indicating no chromatin modulation), peaks that were detected only at S3 (indicating open chromatin only at slow growth rate) and peaks that underwent stable changes between the samples S1 and S3, and remained in the same state in S5. These peaks were either detected in both S3 and S5 but not in S1 (Category I), or only detected in S1 but not in S3 and S5 (Category II). Screenshots of IGB showing representative chromosome regions with the different peak patterns are shown in Figure S4A–D (Supporting Information).

Regions corresponding to stable changes occurring in the chromatin after switching to the slow growth rate and returning to the fast growth rate (S1 vs S3 & S5) according to the FAIRE-Seq data were further analyzed. As explained above, Category I includes regions that were stably closed (nucleosomebound) upon switching to the slow growth rate, while Category II contains regions that were stably opened (nucleosome-free) upon switching to the slow growth rate (Table S5 and Figure S4E and F, Supporting Information). The number of peaks in category I was much lower (around 25) compared to category II (around 350). In category I, peaks upstream of two flocculin genes, namely, FLO400 and FLO5-1 were detected, which means, the peaks upstream of these genes were detected only in sample S1 but not in S3 or S5, which indicate that the regions upstream of FLO400 and FLO5-1 undergo stable changes upon exposure to slow growth rate conditions. Screenshots of the MACS peak calling data for these regions as visualized on IGB for the wild-type sample are shown in Fig. 6A and B (Stein et al. 2002; Zhang et al. 2008). This is in accordance with the changes in transcript levels of these two genes as seen from the qRT-PCR and RNA-Seq data where the expression levels of both the genes are significantly downregulated in S3 and S5 (P-value $< 10^{-6}$) compared to S1. Other than FLO400 and FLO5-1, no peaks were identified in the proximity of FLO11 (Fig. 6C) or any of the other FLO genes.

The regions in category II, even though being many more than category I, did not yield any significantly enriched GO terms. We looked at the genes that were proximal to these regions to specifically find genes that might be connected to the pseudohyphal phenotype. While we did find some genes like MSB2, GTR2, MIT1, RSR1, KSS1 and MIG1-1 that are connected to the regulation of filamentous growth in S. cerevisiae, on correlating these genes with the RNA-Seq data no significant upregulation of these genes in S3 compared to S1 was seen.

Finally, the FAIRE-Seq data of the $flo8\Delta$ strain was compared with that of the wild-type strain at each time point but no significant differences between the open chromatin regions in the wild-type and the $flo8\Delta$ strains were observed. This indicates



Figure 6. Open chromatin regions identified in the proximity of FLO genes by FAIRE-Seq analysis. Screenshot from IGB showing differential peaks upstream of FLO5-1 and FLO400 (highlighted in yellow); such statistically differential peaks are not observed in proximity of FLO11. ACT1 is included here as control where an upstream open region is detected in all the three samples.

that Flo8 does not play any role in the modification of chromatin structure.

Deletion of FLO400 or FLO5-1 prevents pseudohyphal growth and expression of FLO11 at slow growth rates

Based on the regulation patterns observed for FLO400 and FLO5-1 during cultivation at fast and slow growth rates, the FAIRE-Seq data and the fact that both of them are controlled by the Flo8 transcription factor, they were considered as possible candidates that participate in the pseudohyphal phenotype. Thus, we generated deletion strains of these two genes individually, both in the wild-type as well as in the flo11∆ strains background. Again, we performed chemostat runs as described before with these four strains (flo5-1 Δ , flo5-1 Δ flo11 Δ , flo400 Δ , flo400 Δ flo11 Δ), each in biological duplicates. We observed that in both the flo5- 1Δ and flo400 Δ strains pseudohyphae formation was absent, in the wild-type as well as in the flo11 \triangle background (Fig. 7A). Strikingly, qRT-PCR for checking FLO11 expression in the flo400∆ and flo5-1 Δ strains revealed that FLO11 transcript levels were strongly reduced in both the deletion strains, which indicates that the presence of both Flo5-1 and Flo400 is necessary for the expression of FLO11 (Fig. 7B).

DISCUSSION

Pseudohyphal growth in K. phaffii is triggered under different environmental conditions compared with S. cerevisiae

Our data indicate that pseudohyphal growth behavior in K. phaffii differs substantially from that in S. cerevisiae including

the specific trigger for transition into this phenotype as well as its regulation. Saccharomyces cerevisiae exhibits pseudohyphal growth in both its diploid and haploid form in response to nutrient limitation or presence of fusel alcohols. In contrast, K. phaffii, which preferentially exists as a haploid, shows no switch to the pseudohyphal phenotype when grown on glucose- or nitrogen-limited agar plates or when cultivated in the presence of fusel alcohols (Figure S1, Supporting Information). N-depleted K. phaffii were reported to rather undergo mating (Heistinger et al. 2018). Until now, the only condition where K. phaffii has been shown to transition to pseudohyphal growth is at slow growth rates in glucose-limited chemostats, first reported in the study by Rebnegger et al. (2014) and subsequently confirmed in our experiments. The initiation of the phenotype in K. phaffii seems to be tightly regulated as the appearance of the first elongated cells did not occur immediately upon switching to the slow growth rate conditions. Instead it required at least 2 residence times-corresponding to ~3 generations-until the first elongated cells appeared, although after its initiation the phenotype became stronger with increasing residence times at the slow growth rate (Fig. 3A). It could be speculated that unlike in S. cerevisiae, K. phaffii requires prolonged exposure to glucose-limited conditions and/or more severe limitation to initiate a switch in phenotype. This is consistent with the fact that as a Crabtree-negative yeast, K. phaffii has a high-affinity glucose uptake system with a glucose saturation constant (K_s) in the range of 9.7 μ M at high growth rates (Prielhofer et al. 2013), which is much lower than those of the high affinity hexose transporters of S. cerevisiae where the K_s is around 1 mM (Boles and Hollenberg 1997; Reifenberger et al. 1997).

Apart from pseudohyphal growth, slow growth rate conditions in the glucose-limited chemostat also lead to surface



Figure 7. FLO5-1 and FLO400 are major players involved in the regulation of pseudohyphal growth. (A) Microscope pictures of flo5-1 Δ and flo400 Δ strains in both wild-type and flo11 Δ backgrounds at sampling time points S1, S3 and S5. (B) qRT-PCR data for FLO11 expression in the flo400 Δ (right) and flo5-1 Δ (left) strains relative to the reference sample at S1.

adherence of cells indicating that such prolonged exposure to glucose limitation might also trigger other cell differentiations, thus leading to the formation of different subpopulations of cells, namely, ovoid, pseudohyphal and surface adhering cells. This is not surprising since it is known from S. cerevisiae and other yeasts that environmental stress can trigger multiple cellular differentiations to maximize chances of survival. It has already been contemplated that the pseudohyphal phenotype provides an advantage in nutrient-limiting conditions because the elongated shape enables yeasts to access distant nutrients more efficiently (Honigberg 2016). Additionally, the higher surface-to-volume ratio of pseudohyphal cells provides more space for absorption of nutrients (Adams et al. 1985). Adherence to a foreign surface provides the cells with the capability to invade the surface to forage for nutrients (Verstrepen and Klis 2006).

The control $flo8\Delta$ strain could not initiate pseudohyphal growth and also showed highly reduced surface growth in the bioreactor, which confirmed that, like in S. cerevisiae, in K. phaffii

Flo8 is also an important player in the regulation of adhesionrelated phenotypes. The non-adhering phenotype of $flo8\Delta$ might also provide a beneficial performance in industrial bioprocesses.

FLO11 is not the only major gene responsible for conferring pseudohyphal phenotype in K. phaffii

qRT-PCR analysis showed that the initiation of expression of FLO11 in K. *phaffii* is in accordance with the appearance and persistence of the elongated/pseudohyphal cells. This implication was enforced by the observation that in the non-transitioning flo8 \triangle strain FLO11 expression cannot be detected (Fig. 3B and Table 2). Contrary to S. *cerevisiae* flo11 \triangle strains, the K. *phaffii* flo11 \triangle strain could still switch to pseudohyphal growth, even though the number of cells switching to this phenotype was lower than in the wild-type strain (Fig. 4). So, it can be said that while in K. *phaffii* FLO11 does play a role in pseudohyphae formation, it is not the only player and clearly there is the involvement of other gene(s).

FLO400 and FLO5-1 are crucial players required for initiation of pseudohyphal differentiation in K. phaffii

To identify which other gene(s) might be involved, we investigated the expression patterns of other FLO genes under the same conditions. This revealed the genes FLO400 and FLO5-1 (Fig. 5; Table 2), which are expressed initially at the fast growth rate, but undergo stable repression upon switching to the slow growth rate. Both genes were downregulated in the $flo8\Delta$ strain. Reporter strains expressing Flo400-eGFP and Flo5-1-eGFP confirmed this expression pattern, and showed that Flo400 was expressed in all the cells of the population, while Flo5-1 was expressed in only a subpopulation of cells (Figure S3, Supporting Information). It remains to be discovered whether the cells expressing Flo5-1 in S1 are those that transition to pseudohyphal growth in S3.

Since we found indications of stable repression of FLO5-1 and FLO400 triggered by prolonged glucose limitation, we performed FAIRE-Seq analysis to investigate the involvement of chromatin organization. Upstream open chromatin regions are usually associated with the proficiency of transcriptional activation of these genes. Open chromatin regions were identified upstream of FLO5-1 and FLO400 at the initial fast growth rate (S1) in accordance to their high expression levels in this condition. After switching to the slow growth rate, no upstream peaks were seen anymore at S3 and S5, indicating that these chromatin regions underwent stable conformational changes, which could be a factor regulating the expression pattern observed for these two genes (Fig. 6A and B). It was shown that these chromatin modulations occurred independently whether Flo8 was present or not. Thus, the question arises if these stable changes are a result of histone modifications in these regions leading to epigenetic silencing of these genes as reported for FLO genes in S. cerevisiae. This can be verified by analyzing these regions further, for example, using enChIP-MS (Fujita and Fujii 2013) that might help to identify proteins interacting with these regions.

Absence of FLO400 or FLO5-1 was sufficient for eliminating pseudohyphae formation. Unlike the $flo8\Delta$ strain though, these knock-out strains still displayed surface adherence similar to the wild type. So, while not being involved in other morphogenic behaviors such as surface adherence, both FLO400 and FLO5-1 seem to play a major role in the transition to pseudohyphal growth. Further work should aim at verifying if epigenetics and epigenetic factors are indeed involved in the observed chromatin modulations.

Flo400 and Flo5-1: actors upstream of FLO11?

Absence of FLO400 or FLO5-1 prevented transition to pseudohyphal growth and was found to correlate to lack of FLO11 induction at slow growth rate. Therefore, it is logical to assume that FLO400 and FLO5-1 act in concert with FLO11 to initiate pseudohyphal growth in a subpopulation of K. *phaffii*. In fact, our observations indicate that FLO400 and FLO5-1 possibly act upstream in the signal cascade leading to the expression of FLO11 (Fig. 7B) since the effect of deleting these two genes is much more pronounced than deleting FLO11. Although our experiments reveal new information about adhesion-related cellular differentiation in K. *phaffii*, involvement of chromatin modulation and novel players in the regulation mechanism of pseudohyphal differentiation, the mechanism by which Flo400, Flo5-1 and Flo11 interact still remains unclear and the roles of these proteins in pseudohyphal differentiation can only be speculated. While the



Figure 8. Scheme representing the proposed interplay of Flo8, Flo400, Flo5-1 and Flo11 in the cascade leading to pseudohyphal growth in K. phaffii.

expression pattern of FLO400 and FLO5-1 might seem contradictory to the observations in the knock-out strains in the first place, we assume that the presence of Flo400 and/or Flo5-1 is needed to allow for activation-but not maintenance-of FLO11 expression. While such a regulation mechanism for S. cerevisiae FLO11 is not known, it has been reported that two S. cerevisiae signaling mucins, Hkr2 and Msb2 that are homologous to Flo11 are shed from cells and act as osmosensors in the yeast HOG pathway (Tatebayashi et al. 2007; Vadaie et al. 2008) and both of these mucins have been reported to differentially activate the filamentous growth pathway (Pitoniak et al. 2009). However, while both Flo5-1 and Flo400 have threonine/proline rich internal repeat regions that are typical of mucins, unlike mucins neither of the two proteins has a transmembrane domain. Interestingly, during secretome analysis the Flo5-1 protein was found in the supernatants of K. phaffii cells in the later samples of glucose- or methanol-limited fed batch cultivations, where cells tend to adhere to the reactor surface as well (Burgard et al. 2020), indicating that a mechanism of cellular release might also act in K. phaffii.

Considering the essential role that both these genes play in pseudohyphae formation and FLO11 expression, it could be speculated that Flo400 and Flo5-1 act as sensing proteins acting downstream of FLO8 and upstream of FLO11 in the signaling cascade leading to the formation of pseudohyphal cells (Fig. 8). It is interesting to note here that there is a rather large group of non-annotated genes that seem to be regulated by Flo8 (i.e. 90 out of 209 differentially regulated genes in flo8 Δ compared to the wild-type strain at S3; Table S3, Supporting Information). As it is possible that this list includes components of the signal transduction pathway that leads to cellular differentiation in response to glucose limitation, characterization of these genes might help to provide further insight into the regulation of FLO genes and the pseudohyphal phenotype in the future.

SUPPLEMENTARY DATA

Supplementary data are available at FEMSYR online.

DATA AVAILABILITY

The raw RNA-Seq data, as well as the according gene expression results, were uploaded to NCBI GEO (Accession ID: GSE131106; Reviewer Token: wjwbgkmgbzqtxeh). For the FAIRE-Seq data, the raw data as well as the called peaks were uploaded to NCBI GEO in bed-format (Accession ID: GSE131290; Reviewer Token: apgrgyairzapdyl).

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Conflict of interest. None declared.

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