

Received: 10 July 2017 Accepted: 17 November 2017 Published online: 01 December 2017

OPEN Greenhouse gas emissions and carbon sequestration by agroforestry systems in southeastern Brazil

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Agrosilvopastoral and silvopastoral systems can increase carbon sequestration, offset greenhouse gas (GHG) emissions and reduce the carbon footprint generated by animal production. The objective of this study was to estimate GHG emissions, the tree and grass aboveground biomass production and carbon storage in different agrosilvopastoral and silvopastoral systems in southeastern Brazil. The number of trees required to offset these emissions were also estimated. The GHG emissions were calculated based on pre-farm (e.g. agrochemical production, storage, and transportation), and on-farm activities (e.g. fertilization and machinery operation). Aboveground tree grass biomass and carbon storage in all systems was estimated with allometric equations. GHG emissions from the agroforestry systems ranged from 2.81 to 7.98 t CO_2 e ha⁻¹. Carbon storage in the aboveground trees and grass biomass were 54.6, 11.4, 25.7 and 5.9 t C ha⁻¹, and 3.3, 3.6, 3.8 and 3.3 t C ha⁻¹ for systems 1, 2, 3 and 4, respectively. The number of trees necessary to offset the emissions ranged from 17 to 44 trees ha⁻¹, which was lower than the total planted in the systems. Agroforestry systems sequester CO₂ from the atmosphere and can help the GHG emission-reduction policy of the Brazilian government.

The Paris Agreement, adopted in the 21st session of the Conference of the Parties (COP 21) for the United Nations Framework Convention on Climate Change (UNFCCC), aims to maintain the global average temperature below 2 °C of pre-industrial levels¹. The signatory countries stipulate their Intended Nationally Determined Contributions (INDCs), which are the main commitments and contributions of that country for the fulfillment of the agreement^{2,3}.

The Brazilian INDC proposed to reduce the greenhouse gases (GHG) emission by 37% in 2025, based on 2005 levels⁴. Agriculture is the main emission source with enteric fermentation being responsible for 90% of CH₄ and animal manure on pasture for 33% of N_2O emissions in Brazil in 2014⁵. The Brazilian government established a "low-carbon agriculture plan" to promote sustainable practices in agriculture by reducing greenhouse gas (GHG) emissions while maintaining profitability⁶.

This plan is based on practices such as restoration of degraded pastures, crop-livestock-forest integration, no-till farming, biological nitrogen fixation and forestry and agroforestry systems⁶. The agroforestry system is a land use management system combining trees and/or woody perennial plants, pasture and livestock benefiting from ecological and economic interactions between its component parts due to production diversification. Food production⁸ and carbon sequestration by tree planting⁹ in these systems can help to reduce deforestation in tropical countries 10,11.

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Figure 1. Greenhouse gas emissions (t CO_2e ha⁻¹) during pre-farm and on-farm stages in system 1, 2, 3 and 4.

System	Emission (t CO ₂ e ha ⁻¹)	Carbon Stock (t CO ₂ e ha ⁻¹)	Balance (t CO ₂ e ha ⁻¹)	Trees to offset	Total trees	Surplus Trees
1	7.98	200.14	192.16	17	424	407
2	4.25	41.86	37.61	39	388	349
3	4.04	94.33	90.29	44	1031	987
4	2.81	21.78	18.97	35	267	232

Table 1. GHG emission, carbon stock, carbon balance, trees to offset, total trees, surplus trees in all systems.

Agrosilvopastoral and silvopastoral systems are agroforestry system types that can reduce and offset GHG emissions from the Brazilian agricultural sector, mainly using cattle and forest integration $^{12-14}$. These systems lower animal emission levels 12 by improving grass quality, which can reduce CH_4 emissions from enteric fermentation 15 and digestion efficiency 16 . Furthermore, these systems may mitigate GHG emissions by enhancing carbon sequestration through increasing above and belowground biomass $^{17-19}$.

The objective of this study was to estimate GHG emissions, tree and grass aboveground biomass and carbon storage in silvopastoral and agrosilvopastoral systems in southeastern Brazil, and the number of trees required to offset these emissions.

Results

GHG emissions. The pre-farm GHG emissions were 0.37, 0.15, 0.12 and 0.10 t CO2e ha-1 in systems 1, 2, 3 and 4, respectively. Nitrogen production was the main emission source for pre-farm activities (Fig. 1). On-farm GHG emissions were 7.61, 4.10, 3.92 and 2.71 t CO_2e ha⁻¹ in systems 1, 2, 3 and 4, respectively. Enteric fermentation and manure produced by livestock were the main emission sources for on-farm activity (Fig. 1). Total GHG emissions were 7.98, 4.25, 4.04 and 2.80 t CO_2e ha⁻¹, on systems 1, 2, 3 and 4, respectively (Table 1).

Aboveground biomass and carbon storage. The equation m1 was the best to predict aboveground biomass and carbon storage in systems 1, 3 and 4 (Tables 2 and 3). These equations had the highest R^2_{adj} , and lower RMSE (%) than the m2. In system 2, the equation m1 was rejected due to the incoherence of the values for parameter b21, with negative values (Tables 2 and 3).

The tree stems were responsible for 90, 70, 76 and 70% of the total aboveground biomass on systems 1, 2, 3 and 4, respectively. The branches were responsible for 4, 18, 14 and 15% and the leaves for 6, 12, 10 and 15% of the total aboveground biomass in systems 1, 2, 3 and 4, respectively. The leaves had the highest carbon content in all systems (57.0, 55.2, 56.0 and 56%), followed by the stem (52.4, 52.1, 52.2 and 52.3%), and the branches (52.1, 51.3, 50.5 and 52.3%). Carbon storage in trees and grass aboveground biomass was 54.58, 11.42, 25.73, 5.94 t C ha⁻¹ and 3.28, 3.60, 3.77, 3.32 t C ha⁻¹, for systems 1, 2, 3 and 4, respectively.

A total of 17, 39, 44 and 35 trees ha^{-1} are necessary to offset all GHG emissions, which is equivalent to 4.0, 10.2, 3.4 and 13.1% of the total numbers of trees in systems 1, 2, 3 and 4, respectively (Table 1).

Discussion

The average annual GHG emissions ranged from 0.93 to 1.60 t CO_2e ha⁻¹ yr⁻¹, which may be considered low when compared to other systems^{20,21}, probably due to the use of no-till farming and the adoption of agroforestry systems with reduced machinery use, fuel inputs and CO_2 emissions²². No-till farming in these systems may increase organic carbon and nitrogen content in the soil, and the microbial biomass, mitigating GHG emissions^{23–26}. Usual management practices in agroforestry systems, such as no-till farming and optimal fertilization/manure regimes can increase carbon sequestration while reducing GHG emissions²⁷. Such a combination provides additional environmental benefits such as soil erosion reduction and prevention^{28,29}, more efficient water-use³⁰, and improvement in biodiversity³¹.

The difference in the mean annual above ground carbon increment (MAI-AGB) on the four systems indicates that the amount of this element sequestered may depend on tree species, age, geographic location, environmental factors, and system management 32,33 . System 1 presented the largest MAI-AGB (11.19 t ha $^{-1}$ yr $^{-1}$) due to its older age and the fertilization carried out to enhance maize production indirectly increasing tree biomass 34 . System 3 presented the second largest IMA due to its greater plant density (9 × 1 spacing), however competition between

System	Model	Parameter	Estimate	SE	$R^2_{adj}(\%)$	<u>E</u> (%)	RMSE (%)
1	m ₁	b ₀₁	0.0204	0.0371	85.409	-0.549	19.588
		b ₁₁	1.9908	0.6453			
		b ₂₁	1.0264	0.8239			
	m ₂	b ₀₂	0.0208	0.0313	85.407	-0.551	19.589
		b ₁₂	1.0035	0.1581			
	m ₁	b ₀₁	0.1563	0.1784	90.699	-0.221	16.911
		b ₁₁	3.0839	1.0899			
2		b ₂₁	-0.7883	1.2929			
	m ₂	b ₀₂	0.0601	0.0586	88.996	0.040	18.390
		b ₁₂	0.8691	0.1163			
	m ₁	b ₀₁	0.0737	0.1087	90.182	-0.922	19.953
		b ₁₁	1.8942	0.5883			
3		b ₂₁	0.6112	0.9028			
	m ₂	b ₀₂	0.0534	0.0480	90.112	-0.857	20.023
		b ₁₂	0.8678	0.1086			
4	m ₁	b ₀₁	0.0895	0.0659	94.741	-0.769	16.781
		b ₁₁	1.4018	0.6370			
		b ₂₁	1.0429	0.8270			
	B_2	b ₀₂	0.1027	0.0543	94.706	-0.857	16.836
		b ₁₂	0.7960	0.0621			

Table 2. Estimated regression coefficients and adjusted standard errors (\pm SE) coefficient of determination (R^2_{adj}), model bias (\overline{E}), and root mean square error (\pm RMSE) of aboveground biomass equations.

System	Model	Parameter	Estimate	SE	$R^2_{adj}(\%)$	<u>E</u> (%)	RMSE (%)
1	m ₁	b ₀₁	0.0108	0.0197	85.439	-0.541	19.550
		b ₁₁	1.9977	0.6440			
		b ₂₁	1.0153	0.8218			
	m_2	b ₀₂	0.0110	0.0165	85.439	-0.542	19.550
		b ₁₂	1.0031	0.1578			
	m_1	b ₀₁	0.0842	0.0960	90.663	-0.226	16.906
		b ₁₁	3.0889	1.0900			
2		b ₂₁	-0.8036	1.2927			
	m_2	b ₀₂	0.0322	0.0314	88.930	0.039	18.409
		b ₁₂	0.8663	0.1162			
	m ₁	b ₀₁	0.0382	0.0564	90.193	-0.920	19.920
		b ₁₁	1.8823	0.5867			
3		b ₂₁	0.6251	0.9013			
	m_2	b ₀₂	0.0282	0.0253	90.131	-0.859	19.982
		b ₁₂	0.8666	0.1083			
4	m ₁	b ₀₁	0.0475	0.0344	94.868	-0.736	16.536
		b ₁₁	1.3937	0.6278			
		b ₂₁	1.0498	0.8151			
	m ₂	b ₀₂	0.0547	0.0285	94.831	-0.827	16.596
		b ₁₂	0.7950	0.0612			

Table 3. Estimated regression coefficients and adjusted standard errors (\pm SE), adjusted coefficient of determination (R^2_{adj}), model bias (\overline{E}), and root mean square error (\pm RMSE) of carbon equations.

plants can negatively affect individual growth \$^{35,36}\$ and may increase future mortality \$^{37}\$. All systems were important in carbon sequestration and had environmental benefits such as soil fertility and water quality improvement and erosion reduction $^{18,38-40}$. The estimated MAI-AGB found was higher than the 1.43 t ha $^{-1}$ yr $^{-1}$ in a silvopastoral system with 105 trees per hectare (eucalypt and acacia) in Minas Gerais, Brazil 41 . The MAI-AGB of 7.67 t ha $^{-1}$ yr $^{-1}$ of an agrosilvopastoral system with eucalyptus spaced 10×4 m and rice in Paracatu, Minas Gerais, Brazil 42 was similar to that observed in the system 2 of this research.

The estimated aboveground grass carbon sequestration was similar to the $3.71\,\mathrm{kg}\,\mathrm{C}$ ha⁻¹ of an agrosilvopastoral system with eucalypt in Minas Gerais, Brazil⁴², and the $3.29\,\mathrm{kg}\,\mathrm{C}$ ha⁻¹ of a silvopastoral system with 200 pine trees ha⁻¹ in São Paulo, Brazil⁴³. These systems had a similar production due to the wide spacing of the trees,

System	Crop	Pasture	Planting	Area (ha)	Tree arrangements (m)
1	Maize	Brachiaria	Dec/2007	0.93	8 × 3
2	Beans	Brachiaria	Dec/2009	0.72	8 × 3
3	_	Brachiaria	Dec/2009	0.55	9×1
4	_	Brachiaria	Nov/2009	3.48	12×3

Table 4. Study area characterization.

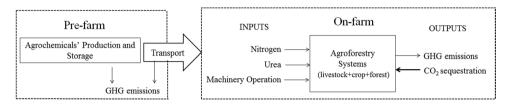


Figure 2. GHG inventory for the agroforestry systems, for pre-farm and on-farm stages.

allowing sufficient radiation transmittance¹⁸ and improving the microclimate for the forage^{15,44}. This shows that agroforestry systems are an alternative to recover degraded pasture land by improving chemical, physical and biological soil conditions and enhancing carbon sequestration^{12,18,45-47}.

The number of trees required to offset GHG emissions was lower than that planted in the systems studied, demonstrating their great potential to sequester carbon and to reduce GHG emissions. ^{12,48,49}. Agroforestry systems are important for the "Low-Carbon Agriculture Plan" of the Brazilian government to achieve GHG emission-reduction targets. These systems decrease the pressure on forests⁴⁸, and improve animal welfare and crop production¹². Furthermore, the remaining sequestered carbon can be sold in voluntary markets with a higher price for technologies that bring social and environmental benefits including higher farmer income⁵⁰.

The systems had a positive carbon balance and a tree surplus ranging from 232 to 987. The number of trees was higher than necessary to offset GHG emissions in all systems. Therefore, the agroforestry systems can effectively mitigate GHG emissions.

Methods

Study systems. The study was conducted in silvopastoral and agrosilvopastoral systems in Viçosa, Minas Gerais, Brazil. The climate in this region is humid subtropical with dry winters and hot summers, classified as Cwa (Köppen classification). The average annual temperature and rainfall are 19.4 °C and 1,200 mm, respectively. The soil is classified as red-yellow latosol and the topography ranges from strongly undulated to mountainous with an average altitude of 689.7 m.

The agrosilvopastoral systems were composed of maize (*Zeya mays*) and *Eucalyptus saligna* (system 1), and bean (*Phaseolus vulgaris*) and *E. urophylla x E. grandis* (system 2) during the first year, and the crops were replaced by pasture (*Brachiaria decumbens*) with livestock grazing in the second year (Table 4). The silvopastoral systems (3 and 4) had pasture (*Brachiaria decumbens*) + *E. urophylla x E. grandis* (Table 4). No-till farming was used in all systems. Beef cattle were reared in all systems (one animal/ha).

System 1 was fertilized after soil analysis. In December 2007, a posthole digger machine was used and $0.2\,\mathrm{kg}$ of N-P-K (06-30-06) applied per tree hole. Additional fertilization of $0.16\,\mathrm{kg}$ of N-P-K (20-05-20) pit⁻¹ was carried out three months after tree planting. Weeds and leaf-cutting ants were controlled before, during and after tree planting. Animal traction was used to apply $500\,\mathrm{kg}$ of N-P-K (08-24-12) ha^{-1} on maize before planting, and another $500\,\mathrm{kg}$ of N-P-K (30-00-10) ha^{-1} 30 days later. The pasture received $100\,\mathrm{kg}$ of urea ha^{-1} year⁻¹.

Systems 2 and 3, implemented in December 2009, received the same treatment as the system 1 for eucalypt planting and weed/leaf-cutter ant control. In system 2, the bean crop received 250 kg of N-P-K (08-28-16) ha $^{-1}$ and 200 kg urea ha $^{-1}$ as top-dressing fertilization.

The eucalypt trees in system 4 were planted, in November 2009, using a posthole digger machine with 0.2 kg N-P-K (06-30-06) applied per hole. Additional fertilization with 0.05 kg N-P-K (20-05-20) plant⁻¹, 0.1 kg of N-P-K (20-05-20) plant⁻¹, 0.15 kg and 0.1 kg KCl plant⁻¹ were undertaken at 60, 120, 300 and 550 days after tree planting, respectively. Weed and leafcutter ants were controlled before, during and after tree planting. The pasture received 100 kg of N-P-K (20-05-20) ha⁻¹ one year after eucalypt planting.

GHG emissions. GHG emission calculations per system were based on pre-farm activities, such as production, storage, and transportation of agrochemicals, and on-farm activities such as fertilization and machinery use (Fig. 2). The data were estimated from personal interviews with farmers. They were asked to report on the use of machine fuel, agrochemicals and estimated crop yield.

Pre-farm emissions were calculated using emission factors (Table 5)⁵¹ and the following equation: emAgr = agrochemical *EF*(44/12), EmAgr = annual emissions resulting from production, packaging, storage and distribution of agrochemicals, kg CO_2 year⁻¹; agrochemical = agrochemical applied, kg year⁻¹; EF = emission factor, kg carbon equivalent kg⁻¹; 44/12 = C to CO_2 conversion factor.

Agrochemicals	Carbon emission (kg C kg substance ⁻¹)
Nitrogen fertilizer	1.30 ± 0.30
Phosphorus fertilizer	0.20 ± 0.06
Potassium fertilizer	0.15 ± 0.06
Urea	0.16 ± 0.11
Herbicide	6.30 ± 2.70
Insecticide	5.10 ± 3.00
Fungicide	3.90 ± 2.20

Table 5. Carbon emissions (mean \pm SD) for the production, transportation, storage, and transfer of agrochemicals. Values according to a previous study⁵¹.

On-farm emissions were calculated based on the "Guidelines for National Greenhouse Gas Inventories"⁵². GHG sources included nitrogen fertilization, farm machinery, enteric fermentation, and manure management.

Input emissions from synthetic fertilizers were calculated via two pathways: direct and indirect. The direct emissions refer to mineral fertilizer applications 52 . Direct emissions are the product of the nitrogen applied by the emission factor $(0.01)^{52}$ using the 44/28 factor to convert N_2 to N_2O , and N_2O global warming potential (298 units of $CO_2e)^{53}$. The equation used to estimate direct emissions was: $Em_{DiF} = F_{SN/FRP} * EF_1 * (44/28) * GWP$; $Em_{DiF} = \text{direct } CO_2e$ emissions from N inputs to managed soils, kg CO_2 ha $^{-1}$; $F_{SN} = \text{annual amount of synthetic fertilizer N applied to soils, kg N ha<math>^{-1}$; $F_{PRP} = \text{annual amount of dung and urine N deposited on soils, kg N}^{-1}$; $EF_1 = \text{emission factor developed for } N_2O$ emissions from synthetic fertilizer, kg N_2O-N (kg N) $^{-1}$; $44/28 = N_2$ to N_2O conversion factor; GWP = global warming potential.

Indirect emissions result from volatilization, atmospheric deposition of NH $_3$ and NOx, and nitrogen leaching and runoff from the fertilizers 54,55 . Indirect emissions were calculated using annual amount of fertilizer N applied to soils and the nitrogen fraction lost by volatilization, leaching and/or runoff 56 . The emission factor was 0.01 for volatilization and 0.0075 for leaching/runoff. The nitrogen fraction lost due to volatilization and leaching/runoff was fixed as 0.1 and 0.2, respectively 52 . The equation used to estimate indirect on-farm N $_2$ O emissions per system was $\rm Em_{LnL} = F_{SN}*Frac_{LEACH-(H)}*EF_3*(44/28)*GWP$, where $\rm Em_{LnL} =$ amount of CO $_2$ e produced from additions to managed soils, kg CO $_2$ ha $^{-1}$; $\rm F_{SN} =$ amount of synthetic fertilizer N applied to soils, kg N ha $^{-1}$; $\rm EF_3 =$ emission factor for N $_2$ O emissions from N leaching and runoff, kg N $_2$ O-N (kg N leached and runoff) $^{-1}$; $\rm Frac_{LEACH-(H)} =$ fraction of all N added to/mineralized in managed soils in regions where leaching/runoff occurs that is lost through leaching and runoff, kg N (kg of N additions) $^{-1}$; $\rm GWP =$ global warming potential.

 NO_2 emissions from urea were calculated with the same equations used for the other nitrogen fertilizers. CO_2 emissions were the product of the urea applied to the soil by its emission factor, 0.20^{52} . The equation used to estimate on-farm CO_2 emissions was $Em_{Urea} = M*EF_4$, where $Em_{Urea} = amount$ of CO_2 e produced from urea application, $t CO_2$ ha⁻¹; M = amount of urea applied to soils, $t N ha^{-1}$; $EF_4 = amount$ for applied urea, t of C (ton of urea)⁻¹.

 CO_2 emissions from agricultural machinery were those generated by fuel consumption during eucalypt planting due to its emission factor (EF₅), 2.327 kg $CO_2^{-1.52}$. The equation used in each system was $Em_D = F^*EF_5$, where $Em_D =$ amount of CO_2 e produced from fuel consumed, kg CO_2 ha⁻¹; F = fuel consumed, L ha⁻¹; $EF_5 =$ emission factor, kg C (L fuel)⁻¹.

The CH_4 emissions by enteric fermentation from cattle were calculated using the factor of 39 kg CH_4 year $^{-1}$ animal unit $^{-1}$ 57. The equation used was: $Em_{FE} = N^*$ EF_6^* GWP, where $Em_{FE} = emissions$ from enteric fermentation, kg CO_2 ha^{-1} ; N = number of animals, head ha^{-1} ; $EF_6 = emission$ factor for enteric fermentation (kg CH_4) head $^{-1}$; $GWP = CH_4$ global warming potential. N_2O emissions due to manure deposition were calculated with the same equations as those for nitrogen fertilizer.

Carbon storage in aboveground biomass. Ten pasture grass samples (1 m²) between tree rows were collected, per season, from June 2012 to October 2013. Their fresh weight was obtained and the fresh:dry weight ratio calculated with 25 g from each sample. These samples were dried at approximately 65 °C in an oven until weight stabilization.

The diameter at breast height (DBH), total height, and commercial height (stem height up to 3-cm diameter) of trees per system were measured between July and August 2012. Trees were grouped into DBH classes, and three individuals per class were selected and felled to determine their total volume, biomass and carbon levels in their stem, branches and leaves.

The trees selected were cut at ground level, and the stem diameters measured at 0.3, 0.7, and 1.3 m from their base, and thereafter at every 2 m until the diameter reached 3 cm. The volume of these stem sections was calculated using the Smalian's formula⁵⁸. The stems per sample were weighed and 2.5 cm thick stem discs were collected at the base, 25, 50, 75, and 100% of the commercial height to calculate the aboveground biomass. An additional stem disc was cut at breast height (1.3 m). The branches and stem discs were dried at 103 ± 2 °C until dry weight stabilization was reached. The leaf and branch weights per tree sampled were recorded. Fresh leaf and branch samples were weighed in the field, stored in bags and sent to the laboratory to determine their dry/fresh weight ratio⁵⁹. Leaf and branch samples were dried at 65 ± 2 °C until dry weight stabilization.

The stem, leaf and branch carbon content was determined with a LECO TruSpec Micro CHN analyzer (LECO Corp., St. Joseph, MI). The carbon stock was obtained by multiplying the aboveground biomass by the carbon content.

Field data was fitted to allometric equations 60,61 to estimate the tree aboveground biomass, and carbon (stem + branches + leaves) per system as: $Y_1 = \beta_{01} *DBH^{\beta11*}H^{\beta21*}\epsilon_1; Y_2 = \beta_{02} *(DBH^{2*}H)^{\beta12*}\epsilon_2$, where Y_1 the biomass or carbon stock (kg) of the y_1 model; Y_2 total height (m); Y_3 and Y_4 the parameters of the y_1 model and y_2 the random errors.

All statistical analyses were performed with R statistical software⁶². The best equations were based on the criteria: parameter significance (p < 0.05) by Wald test; coherence of the sign associated with a specific parameter; goodness of fit statistics: $R^2_{adj} = 1 - [(n-p-1)/(n-p)]*(1-R^2); R^2 = 1 - [\Sigma(y-\hat{y})^2/\Sigma(y-\bar{y})^2);$ RMES% = $(100/\bar{y})*\sqrt{\Sigma(y-\hat{y})2/n}; \bar{E}\% = (100/\bar{y})*(\Sigma(y-\hat{y})/n),$ where, R^2 is the empirical determination coefficient or model efficiency; R^2_{adj} , an empirical adjusted determination coefficient; $\bar{E}\%$, a relative bias; RMSE%, the root square error in percentage; n, the observation number; p, the number of explanatory variables; \bar{y} , the mean of dependent variable (volume, biomass and carbon); y_i , the i^{th} observed value; and \hat{y} , the i^{th} value of the dependent variable.

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Acknowledgements

We acknowledge the financial support from Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq, productivity grants), Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES, Ph.D. scholarship, Grant No. BEX 10570/12-8) and Fundação de Amparo à Pesquisa do Estado de Minas Gerais (FAPEMIG, research funding). Thanks also to farmers Francisco de Freitas and Lino Roberto Ferreira for allowing us to work inside their properties. We also thank Lucas Arthur, Breno Loureiro, Gabriel Barros, Henrique Colares, Paulo Villanova, Bruno Schettini, Samuel José and Mateus Castro for laboratory and fieldwork. Dr. Phillip John Villani (The University of Melbourne, Australia) revised and corrected the English language used in this manuscript.

Author Contributions

C.M.M.E.T.; L.A.G.J.; S.N.O.N. and L.R.F. conceived the study, C.M.M.E.T.; L.A.G.J., F.C.N. and S.N.O.N. conducted the experiment, C.M.M.E.T.; C.W.E.; F.C.N. and C.P.B.S. performed analyses, C.M.M.E.T. wrote the first draft of the manuscript, and L.A.G.J.; S.N.O.N.; C.W.F.; F.C.N.; C.P.B.S; J.C.Z. and P.G.L. contributed substantially to write the final version of the manuscript. All authors reviewed the manuscript.

Additional Information

Competing Interests: The authors declare that they have no competing interests.

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