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ORIGINAL RESEARCH

# Preparation and characterization of a coacervate extended-release microparticulate delivery system for *Lactobacillus rhamnosus*

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Department of Pharmaceutical Technology, Jadavpur University, Kolkata, West Bengal, India **Background:** The purpose of this study was to develop a mucoadhesive coacervate microparticulate system to deliver viable *Lactobacillus rhamnosus* cells into the gut for an extended period of time while maintaining high numbers of viable cells within the formulation throughout its shelf-life and during gastrointestinal transit.

**Methods:** Core coacervate mucoadhesive microparticles of *L. rhamnosus* were developed using several grades of hypromellose and were subsequently enteric-coated with hypromellose phthalate. Microparticles were evaluated for percent yield, entrapment efficiency, surface morphology, particle size, size distribution, zeta potential, flow properties, in vitro swelling, mucoadhesion properties, in vitro release profile and release kinetics, in vivo probiotic activity, and stability. The values for the kinetic constant and release exponent of model-dependent approaches, the difference factor, similarity factor, and Rescigno indices of model-independent approaches were determined for analyzing in vitro dissolution profiles.

**Results:** Experimental microparticles of formulation batches were of spherical shape with percent yields of 41.24%-58.18%, entrapment efficiency 45.18%-64.16%, mean particle size  $33.10-49.62 \mu$ m, and zeta potential around -11.5 mV, confirming adequate stability of *L. rhamnosus* at room temperature. The in vitro *L. rhamnosus* release profile follows zero-order kinetics and depends on the grade of hypromellose and the *L. rhamnosus* to hypromellose ratio.

**Conclusion:** Microparticles delivered *L. rhamnosus* in simulated intestinal conditions for an extended period, following zero-order kinetics, and exhibited appreciable mucoadhesion in simulated intestinal conditions.

**Keywords:** *Lactobacillus rhamnosus*, mucoadhesive, microparticles, extended-release, intestine

## Introduction

Intake of viable *Lactobacillus rhamnosus* (*LR*) cells, at around 10<sup>7</sup> cfu,<sup>1,2</sup> aids in the prevention of intestinal tract illnesses,<sup>3</sup> suppresses bacterial infection in renal patients,<sup>4</sup> safeguards the urogenital tract by excreting biosurfactants,<sup>5</sup> stimulates antibody production, aids the immune system, assists the phagocytic process, helps the body to combat dangerous invasive bacteria, controls food-associated allergic inflammation,<sup>6</sup> shortens the duration of diarrhea associated with rotavirus infection,<sup>7</sup> and reduces use of antibiotics to treat *Helicobacter pylori* infection.<sup>8</sup>

Reported therapeutic benefits are associated with the ability of LR to secret coagulin, a bacteriocin, which is active against a broad spectrum of enteric microbes.<sup>1</sup> LR is well tolerated with very rare side effects, and its regular intake can

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International Journal of Nanomedicine 2011:6 1699–1707 © 2011 Alli, publisher and licensee Dove Medical Press Ltd. This is an Open Access article which permits unrestricted noncommercial use, provided the original work is properly cited. be effective in supplementing and maintaining digestive tract health. Processing conditions during formulation and noncompliance with storage requirements during shipment and storage result in loss of cell viability in the dosage formulation. Acidic conditions in the stomach, various hydrolytic enzymes, and bile salts in the gastrointestinal tract also adversely affect the viability of *LR* after ingestion.<sup>9-14</sup>

Nowadays, microparticulate systems have been exploited, not only to reduce loss of cell viability during storage and transport, but also to improve and maintain viable cells arriving in the intestine.9-11 Decreased performance of microparticles is attributable to their short gastric retention time, a physiological limitation which can be improved by coupling mucoadhesion properties to the microparticles through developing mucoadhesive microparticles which will in turn simultaneously improve gastric retention time and bioavailability.15-17 Hypromellose and hypromellose phthalate are safe for human consumption, and because of the good mucoadhesive and release rate-controlling properties of hypromellose, it is preferred in mucoadhesive formulations.<sup>16-19</sup> These observations indicate a strong need to develop a dosage form that will deliver LR into the gut with improved gastric retention time and adequate stability during storage and gastrointestinal transit, which can be achieved with extended-release mucoadhesive microparticles.

## Materials and methods Materials

Freeze-dried *LR* R0011-150 powder was donated by Cipla Limited (Mumbai, India). Hypromellose phthalate (HP-50) was donated by Glenmark Pharmaceuticals Limited (Nasik, India). Different grades of hypromellose, ie, Methocel E5 Premium LV (E5), Methocel E50 Premium LV (E50), and Methocel E10 M Premium CR (E10 M), were donated by Indoco Remedies Limited (Mumbai, India). DeMann Rogosa Sharpe agar media and other analytical grade laboratory chemicals were purchased from HiMedia Laboratories Limited (Mumbai, India).

#### In-house LR specification compliance test

A number of cell count tests (bacteriological, total aerobic bacteria, coliforms, enterobacteriaceae, other Gram-negative bacteria, yeast, molds), and tests to ensure the absence of contaminants (*Escherichia coli, Staphylococcus aureus,* and *Salmonella*), were performed as a compliance to the specifications of the certificate of analysis.

# Preparation of mucoadhesive microparticles

Core mucoadhesive microparticles of LR were prepared aseptically with hypromellose employing coacervation and phase separation technique.<sup>16,20</sup> Hypromellose 5 g was dissolved in 200 mL of cold deionized water ( $4^{\circ}C \pm 2^{\circ}C$ ). Polysorbate-80 2 g was dissolved in this solution under stirring, followed by aseptic filtration using a 0.45 µm PVDF filter membrane (Millipore Corporation, Bedford, MA). A calculated quantity of LR was dispersed in the above solution, sonicated at 20 kHz for 1 minute, and the temperature was raised gradually up to  $30^{\circ}C \pm 2^{\circ}C$  with stirring at  $500 \pm 25$  rpm for 30 minutes. Acetone 50 mL was added dropwise under stirring and stirred for a further 10 minutes. Microparticles were collected by aseptic filtration of the dispersion with a 10 µm nylon filter (Millipore Corporation), followed by washing three times with sterile water for injection  $(30^{\circ}C \pm 2^{\circ}C)$  and kept in a desiccator for 24 hours. All formulation batches having the composition described in Table 1 were prepared in triplicate. Aseptic processing was carried out on the bench using a horizontal laminar flow clean air work station (1500048-24-24, Klenzaids Bioclean Devices Ltd, Mumbai, India).16,20

## Coating of microparticles

HP-50 solution 200 mL (10% w/w) was prepared with phosphate buffer<sup>21</sup> at pH 6.8, and polyethylene glycol 200 4 g and polysorbate-80 2 g was dissolved in it. The solution was filtered aseptically using a 0.45  $\mu$ m PVDF filter membrane followed by dispersing tare core microparticles in it under stirring at 300 ± 25 rpm, and then 40 mL of propan-2-ol was added dropwise. Stirring was continued for 30 minutes, then the coated microparticles were separated by aseptic filtration, washed three times with sterile water for injection (30°C ± 2°C), and kept in a desiccator for 24 hours, followed by determination of the final weight, aseptically packed in glass vials, and stored in a refrigerator for further use.

#### Coating stage percent weight gain

From the tare weight  $(W_p)$  of the dried core microparticles that had been subjected to coating and the tare weight  $(W_p)$ of the dried coated microparticles, the coating stage percent weight gain value was determined using equation 1.

Coating stage percent weight gain (% w/w)  

$$- \frac{W_F - W_I}{\times 100} \times 100$$

 $W_I$ 

| Formulation code/                          | FI                                 | F2                                 | F3                                 | F4                                 | F5                                 | F6                              |
|--|------------------------------------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|---------------------------------|
| parameter                                  |                                    |                                    |                                    |                                    |                                    |                                 |
| Grade of Methocel Premium used             | E5–LV                              | E5–LV                              | EI5–LV                             | EI5–LV                             | EI0M-CR                            | EI0M-CR                         |
| Lactobacillus rhamnosus to polymer ratio   | 1:1                                | 1:2                                | 1:1                                | 1:2                                | 1:1                                | 1:2                             |
| Percent yield (w/w)ª                       | 58.18 ± 1.98                       | 49.21 ± 1.89                       | 54.91 ± 1.86                       | $45.46 \pm 1.63$                   | $\textbf{49.32} \pm \textbf{1.83}$ | $41.24 \pm 1.76$                |
| Entrapment efficiency (% cfu/g)ª           | 64.16 ± 1.53                       | 57.25 ± 1.81                       | $\textbf{58.42} \pm \textbf{1.96}$ | 52.62 ± 1.79                       | $51.84 \pm 1.86$                   | 45.18 ± 1.73                    |
| Mean particle size (μm)ª                   | $\textbf{33.10} \pm \textbf{1.32}$ | 35.90 ± 1.53                       | $\textbf{36.45} \pm \textbf{1.58}$ | 44.23 ± 1.96                       | $\textbf{46.32} \pm \textbf{2.02}$ | $49.62 \pm 1.87$                |
| Zeta potential (mV) <sup>a</sup>           |                                    |                                    |                                    |                                    |                                    |                                 |
| Uncoated microparticles                    | $-19.2\pm0.3$                      | $-19.1 \pm 0.5$                    | $-19.3\pm0.7$                      | $-19.4\pm0.4$                      | $-18.9\pm0.9$                      | $-19.3\pm0.8$                   |
| Coated microparticles                      | $-11.6 \pm 0.3$                    | $-11.6 \pm 0.8$                    | $-11.4 \pm 0.9$                    | $-11.3\pm0.6$                      | $-11.8\pm0.6$                      | $-11.2 \pm 0.7$                 |
| Percent swelling <sup>a</sup>              | $\textbf{1.38} \pm \textbf{0.049}$ | $\textbf{I.24} \pm \textbf{0.046}$ | $\textbf{1.13} \pm \textbf{0.039}$ | $\textbf{1.03} \pm \textbf{0.043}$ | $\textbf{0.88} \pm \textbf{0.038}$ | $\textbf{0.82}\pm\textbf{0.03}$ |
| Percent adhesive strength <sup>a</sup>     | 73.36 ± 1.32                       | 68.86 ± 1.53                       | 62.64 ± 1.71                       | 55.51 ± 1.46                       | 48.71 ± 1.34                       | 42.61 ± 1.42                    |
| Percent mucoadhesion <sup>a</sup>          | 75.92 ± 1.57                       | 69.31 ± 1.65                       | 61.35 ± 1.43                       | 54.79 ± 1.54                       | 49.52 ± 1.24                       | 44.43 ± 1.33                    |
| Zero-order kinetic constants               |                                    |                                    |                                    |                                    |                                    |                                 |
| Regression coefficient $(r^2)$             | 0.9834                             | 0.9947                             | 0.9892                             | 0.9916                             | 0.9932                             | 0.9875                          |
| Proportionality constant ( $K_{o}$ )       | 6.3842                             | 5.1419                             | 3.7874                             | 4.3137                             | 3.3727                             | 2.6908                          |
| First-order kinetic constants              |                                    |                                    |                                    |                                    |                                    |                                 |
| Regression coefficient $(r^2)$             | 0.5863                             | 0.7402                             | 0.6524                             | 0.8446                             | 0.8178                             | 0.7365                          |
| Release rate constant (K)                  | 0.0413                             | 0.0460                             | 0.0411                             | 0.0485                             | 0.0449                             | 0.0382                          |
| Hixson-Crowell model kinetic constants     |                                    |                                    |                                    |                                    |                                    |                                 |
| Regression coefficient $(r^2)$             | 0.8993                             | 0.9912                             | 0.9928                             | 0.9903                             | 0.9971                             | 0.9914                          |
| Surface-volume relation constant ( $K_s$ ) | 0.3289                             | 0.1129                             | 0.1008                             | 0.0849                             | 0.0627                             | 0.0488                          |
| Weibull model kinetic constants            |                                    |                                    |                                    |                                    |                                    |                                 |
| Scale parameter ( $\alpha$ )               | 69.324                             | 47.422                             | 48.651                             | 34.893                             | 29.445                             | 26.361                          |
| Shape parameter ( $eta$ )                  | 1.8397                             | 1.2381                             | 1.5977                             | 1.0823                             | 1.1826                             | 1.5970                          |
| Location parameter $(T_d)$                 | 10.015                             | 22.575                             | 11.375                             | 26.637                             | 17.467                             | 7.7580                          |
| Regression coefficient $(r^2)$             | 0.9428                             | 0.9399                             | 0.9402                             | 0.9310                             | 0.9449                             | 0.9670                          |

Note: <sup>a</sup>Data presented as mean value  $\pm$  standard error, n = 3.

## Percent yield study

Calculation for percent yield values (w/w) of all batches were done using equation 2.

Percent yield = 
$$\frac{W_1 \text{ (weight of coated})}{W_2 \text{ [Weight (drug (viable cell) + nonviable cell) + polymer)]}} \times 100$$
(2)

#### Measurement of viable cell number

Measurement of viable cells in sample was done using the following methods.<sup>22</sup>

#### Direct microscopic count using dye exclusion test

A thoroughly mixed cell suspension  $(2-5 \times 10^5 \text{ cells/mL})$  was aseptically prepared to 1 mL with sterile phosphate buffer pH 6.8. Cell suspension 200 µL was mixed thoroughly with 300 µL of sterile phosphate buffer pH 6.8 and 500 µL of 0.4% Trypan blue solution in a 1.5 mL microfuge tube (creating a dilution factor of 5), and kept aside for five minutes. With a coverslip in place, a small volume of the Trypan blue cell suspension was transferred into the chamber of a hemocytometer using a Pasteur pipette and the chamber was allowed to fill up by capillary action to avoid overfilling or underfilling. All the cells (nonviable cells stain blue and viable cells remain opaque) in the 1 mm center square and the four corner squares were counted under a microscope. The number of viable cells per unit of sample (g or mL) was calculated using equation 3. This is a simple and rapid method that provides an approximate result, and was performed in triplicate.

Viable cells = average viable cells count per square  
 
$$\times$$
 dilution factor  $\times 10^4$  (3)

#### Viable plate counts

One gram of sample, alternately one mL of sample solution, containing *LR* was transferred aseptically into a presterilized 10 mL volumetric flask containing 5 mL of sterile saline *TS*, sonicated at 20 kHz for one minute, and diluted to 10 mL with sterile saline *TS*. One mL of this suspension was diluted to 10 mL in an autoclaved test tube ( $25 \text{ mm} \times 150 \text{ mm}$  size) with sterile saline *TS* and mixed thoroughly. Serial dilution was continued until a suitable dilution tube was allowed to stand in a water bath at 70°C for 30 minutes and was then cooled immediately to about 45°C. Saline *TS*,<sup>21</sup> simulated

gastric fluid *TS*, <sup>21</sup> and simulated intestinal fluid  $TS^{21}$  contain inorganic salts but no carbon source, thus *LR* cells will not proliferate in this media, and remain in a state of stasis until plated on media containing a carbon source.<sup>22</sup>

DeMann Rogosa Sharpe agar medium was liquefied and cooled to 45°C on a water bath. One mL of sample from the heat-treated final dilution tube was transferred into sterile Petri dishes (six per sample), and 15 mL of molten medium was poured, mixed thoroughly, and then incubated in an inverted position at 40°C for 48 hours after solidification.

Six plates were counted and the average count per plate was calculated. The number of cfu per unit (mL or g) of sample was calculated using equation 4.

Number of cfu = 
$$\frac{\text{Average number of colonies}}{\text{Dilution factor}} \times 100$$
(4)

#### Entrapment efficiency

In an aseptic manner, 500 mg of accurately weighed coated microparticles were kept with 25 mL of sterile simulated intestinal fluid in a hermetically sealed sterile glass vial at  $4^{\circ}C \pm 2^{\circ}C$  for 24 hours. The dispersion was subjected to a viable plate count (ie, a viable spore count value in cfu/g) and entrapment efficiency was calculated using equation 5.<sup>16</sup>

Percent entrapment  
efficiency = 
$$\frac{\text{Practical viable spore}}{\text{Theoretical viable spore}} \times 100$$
  
count value (5)

## Morphology

The coated microparticles were mounted on aluminum stubs using double-sided adhesive tape. The stubs were then vacuum-coated with a thin layer of gold and examined with a scanning electron microscope (JSM 5610 LV, Jeol, Tokyo, Japan).<sup>23–28</sup>

# Particle size, size distribution, and zeta potential

The core and coated microparticles were dispersed in deionized water (pH 6.8) and sonicated at 20 kHz for three minutes to get a homogenous dispersion (0.5% w/v). The dispersions were put into a small-volume disposable zeta cell and subjected to particle size study using photon correlation spectroscopy with an inbuilt Zetasizer (Nano ZS, Malvern Instruments, Worcestershire, UK) at 633 nm and  $25^{\circ}C \pm 0.1^{\circ}C$ . The electrophoretic mobility measured (in mm/sec) was converted to the zeta potential.<sup>16,25–30</sup>

## Flow properties

The flow properties of the coated microparticles were determined from the result of the study parameters, ie, angle of repose, Carr's index, and the Hausner ratio.<sup>16,21</sup>

#### In vitro swelling

An in vitro swelling test of the coated microparticles was conducted in simulated intestinal fluid. The size of the dried microparticles and those after incubation in simulated intestinal fluid for 5 hours were measured using a calibrated optical microscope (CX RIII, Labomed, Ambala, India). Percent swelling value was determined from the diameter of the microparticles at time t ( $D_T$ ) and initial time  $t_{=0}$  ( $D_0$ ) using equation 6.<sup>16</sup>

Percent swelling = 
$$[D_T - D_0]/D_0 \times 100$$
 (6)

#### Mucoadhesion

Following institutional animal ethical committee guidelines, the mucoadhesion affinity of the coated microparticles for intestinal mucosa was assessed by the following methods.

#### Ex vivo mucoadhesive strength

A suspension of coated microparticles in simulated intestinal fluid was prepared, and the number of microparticles per mL  $(N_o)$  was determined by optical microscopy. One mL of this suspension was fed to overnight-fasted albino rats of either gender (in groups of three) which were then sacrificed at hours 0, 4, 8, and 12 to isolate their stomach and intestinal regions. The number of microparticles adhering to the stomach and the intestinal regions  $(N_s)$  was counted after the regions were cut open longitudinally. Percent adhesive strength value as a measure of ex vivo mucoadhesive strength test was calculated using equation 7.<sup>16</sup>

Percent adhesive strength = 
$$[N_s/N_o] \times 100$$
 (7)

#### In vitro washoff test

A strip of goat intestinal mucosa was mounted on a glass slide, on which a dispersion of accurately weighed microparticles ( $W_a$ ) in simulated intestinal fluid was uniformly spread and incubated in a desiccator at 90% relative humidity for 15 minutes. The slide was then placed in a cell at an angle of 45°. Simulated intestinal fluid of 37°C ± 0.5°C was circulated at a rate of 1 mL/min to the cell over microparticles adhering to the intestinal mucosa. The weight of washed out microparticles ( $W_f$ ) in the washings was determined by separation through centrifugation followed by drying at 50°C. The percent mucoadhesion value as a measure of the in vitro washoff test was calculated using equation 8.<sup>31</sup>

Percent mucoadhesion = 
$$[(W_a - W_t)/W_a] \times 100$$
 (8)

#### In vitro release

In vitro release studies of the coated microparticles were done using a USP basket apparatus (TDT-06T, Electrolab, Mumbai, India) at  $37^{\circ}C \pm 0.5^{\circ}C$  and 100 rpm containing 900 mL of sterile dissolution medium, ie, simulated gastric fluid and simulated intestinal fluid with about 1 g of accurately weighed microparticles contained in the basket (wrapped with 100 mesh nylon cloth) of dissolution apparatus. At predetermined time points, 5 mL of dissolution medium was withdrawn for up to 14 hours, with immediate replacement of fresh dissolution medium, subjected to viable cell number determination, and the result was expressed as the percentage of viable *LR* cells released with respect to the practical viable spore count value.<sup>16</sup>

# In vitro release kinetics, statistical evaluation, and data fitting

A mean value of three determinations at each time point was used to fit an in vitro viable cell release profile of all formulation batches to different kinetic models so as to find their release exponents. The mean value of 12 determinations was used to estimate the difference factor ( $f_1$ ), the similarity factor ( $f_2$ ), and the two indices of Rescigno ( $\xi_1$  and  $\xi_2$ ).<sup>16,32</sup> Statistical analysis of percent released data and other data were performed using one-way analysis of variance at a significance level of 5% (P < 0.05). In vitro release kinetic studies, statistical evaluation, data fitting, nonlinear least square curve fitting, simulation, and plotting were performed using Excel software (version 2007, Microsoft Software Inc, Redmond, WA) for determining the parameters of each equation.

#### In vivo probiotic activity

The in vivo probiotic activity of the coated microparticles was evaluated using a mouse enterococci stool colonization method, following institutional animal ethical committee guidelines.<sup>16</sup> One milliliter of coated microparticle dispersion (10<sup>2</sup> cfu/mL) in simulated intestinal fluid was fed to albino mice in groups of six. Stools were collected at 6-hourly intervals for up to 48 hours and subjected to an enterococci colonization density study.

## Accelerated stability

Following an International Conference on Harmonization guidelines, coated microparticles from all formulation

batches were stored under a range of temperature and humidity conditions  $(30^{\circ}C \pm 2^{\circ}C/65\% \pm 5\%$  relative humidity and  $40^{\circ}C \pm 2^{\circ}C/75\% \pm 5\%$  relative humidity) in a stability analysis chamber (Darwin Chambers Company, St Louis, MO) and in a refrigerator  $(2^{\circ}C-8^{\circ}C)$  for an accelerated stability study of up to six months.<sup>16,32,33</sup>

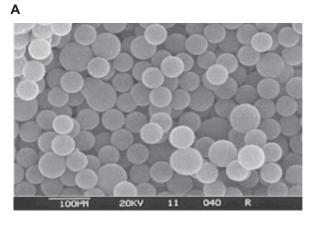
#### **Results and discussion**

The coacervation and phase separation technique described here is a simple, rapid, two-step method, which appears to be suitable for the preparation of coacervate extendedrelease mucoadhesive microparticles loaded with LR cells. It eliminates exposure of LR cells to high temperatures, organic solvents, and mechanical stress, while maintaining their viability during processing. Temperatures above 20°C and nonaqueous solvents adversely affect and decrease the viability of LR, and this is the reason for commencing developmental processes below 20°C in aqueous medium. Hypromellose is soluble in cold water, with solubility in water decreasing with increasing temperature, and it is insoluble in organic solvents like chloroform, dichloromethane, ether, and acetone.<sup>19</sup> Hypromellose has excellent rate-controlling and mucoadhesion properties.<sup>16,17,19</sup> Hypromellose phthalate is soluble in aqueous alkali and insoluble in water and propan-2-ol.<sup>19</sup> Hypromellose was selected as a mucoadhesive polymer and hypromellose phthalate as a coating polymer because of their aforementioned properties, and both are considered to be safe for human consumption.<sup>16-19</sup> Polysorbate-80 was incorporated in the formulation as a dispersing agent for homogeneous dispersion of LR cells, and polyethylene glycol 200 was incorporated into the coating solution as a plasticizer to impart plasticity to the coat and to prevent it from splitting and cracking.

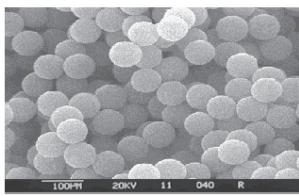
*LR* cells used complied with certificate of analysis specifications, when tested in accordance with the method of analysis provided by the manufacturer. Coating stage percent weight gain values of the formulation batches were in the range of 10.1%-13.2% w/w.

The percent yield value of the formulation batches ranged from 41.24% to 58.18% w/w, which varied according to the grade of hypromellose used, following the order E5 > E50 > E10 M, and an increase in the *LR* to hypromellose ratio decreased the value, and the highest value was observed for the formulation containing E5 (Table 1). A similar trend was also noticed for the entrapment efficiency values that lie between 45.18% and 64.16% cfu/g.

Scanning electron micrographs (Figure 1) of formulations F1, F3, and F5 demonstrate the surface morphology and



В



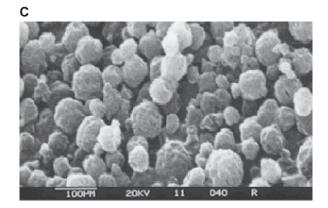


Figure I Scanning electron microscopy photographs of microparticles from formulation batches (A) FI, (B) F3, and (C) F5.

particle size of the coated microparticles. The microparticles of all formulation batches were spherical in shape with a smooth surface, with the exception of microparticles belonging to formulation F5, the surface morphology of which was found to be coarser and shriveled. A coarser and shriveled surface texture in turn will improve adhesion by having stronger mechanical interactions.<sup>17</sup>

The mean particle size values of all formulation batches were in the range of  $33.10-49.62 \ \mu m$  (Table 1), which increases with an increase in polymer concentration, while

that the grade of hypromellose varied according to mean particle size value in the order of E10 > E50 > E5 M, with the highest value for microparticles prepared with E10 M (Table 1 and Figure 2). A nearly equal zeta potential of around -19.2 mV was observed for uncoated microparticles of all formulation batches, while coated microparticles had a nearly equal zeta potential at around -11.5 mV. This value is lower than that of the coated microparticles, indicating the presence of hypromellose phthalate on the surface of the microparticles. The zeta potential report for the uncoated microparticles from formulation batch F1 is shown in Figure 3. The flow properties of the formulation batches lie within the passable and very poor ranges.

The percent swelling value of the formulation batches was 0.82%-1.38%, and decreases with increasing *LR* to hypromellose ratio. A variation in the grade of hypromellose also decreased the value in the order of E5 > E50 > E10 M, with the highest value for the microparticles prepared with E5 (Table 1).

The percent adhesive strength of all formulation batches was 42.61%-73.36%, which decreases with an increase in the *LR* to hypromellose ratio (Table 1). A difference in the grade of hypromellose varied the value in the order of E5 > E50 > E10 M, with the highest value seen for E5. A similar trend was also noticed with the percent mucoadhesion value, which ranged between 44.43% and 75.92% for all formulation batches. These results indicate that the mucoadhesion properties of the microparticles varied according to the grade of hypromellose and the *LR* to hypromellose ratio, and that microparticles from formulation batch F1 had the highest mucoadhesion affinity with the intestinal mucosa, so may exhibit high gastric retention time in comparison with the other batches.

The in vitro swelling test result, ex vivo mucoadhesive strength determination, and in vitro washoff test result, as a measure of the mucoadhesion affinity of the microparticles reveals that the mechanism of mucoadhesion initially follows the adsorption theory<sup>34,35</sup> and subsequently the diffusion theory.<sup>35</sup>

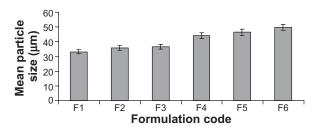


Figure 2 Histogram of mean particle size distribution of all formulation batches.

#### Results

|                       |        |         | Mean (mV): | Area (%) | Width (mV): |
|-----------------------|--------|---------|------------|----------|-------------|
| Zeta potential (mV):  | -19.3  | Peak 1: | -19.2      | 99.7     | 9.30        |
| Zeta deviation (mV):  | 9.37   | Peak 2: | 13.7       | 0.3      | 0.00        |
| Conductivity (mS/cm): | 0.0885 | Peak 3: | 0.00       | 0.0      | 0.00        |

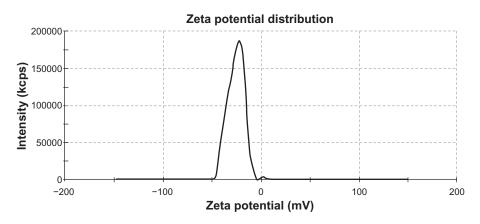


Figure 3 Zeta potential report of uncoated microparticles from formulation batch FI.

The amount of viable LR cells released from the microparticle system in simulated gastric fluid was negligible, but viable LR cell release was almost regulated and extended in simulated intestinal fluid (Figure 4), indicating that enteric coating of microparticles competently protects cell viability at acidic pH, prevents cell release at gastric pH, and releases viable LR cells at intestinal pH.

The results of the in vitro swelling test, ex vivo mucoadhesive strength determination test, in vitro washoff test, and in vitro release profile study demonstrates that, in the intestine (pH > 5.0), the coating of the microparticle is dissolved, thereby releasing core microparticles. The liberated core microparticles swell in the intestine, resulting in intimate contact between the microparticles and the mucous membrane. The mucoadhesive chains then penetrate into the crevices of the tissue surface and intermingle with ions in the mucus, with formation of hydrogen bonds between the carboxylic groups of the polymer chains (hypromellose) and mucin molecules, leading to adhesion of the microparticles to the mucous membrane lining the intestinal wall.<sup>36–38</sup>

The kinetic constant and release exponent values of modeldependent approaches (Table 1) show that the mechanism of

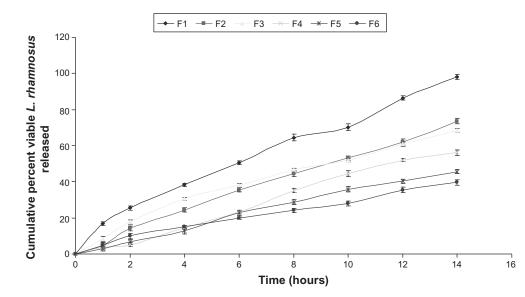


Figure 4 Comparative in vitro release profile of viable Lactobacillus rhamnosus cells from coated microparticles of all formulation batches in simulated intestinal fluid TS, following zero-order kinetics.

| Model-independent<br>factors | Formulation pair |          |          |          |          |          |          |          |  |
|------------------------------|------------------|----------|----------|----------|----------|----------|----------|----------|--|
|                              | FI vs F2         | FI vs F3 | FI vs F5 | F2 vs F4 | F2 vs F6 | F3 vs F4 | F5 vs F6 | F4 vs F6 |  |
| ξ                            | 0.102            | 0.137    | 0.328    | 0.133    | 0.299    | 0.098    | 0.070    | 0.173    |  |
| ξ,                           | 0.290            | 0.248    | 0.407    | 0.245    | 0.334    | 0.259    | 0.240    | 0.335    |  |
| $f_1$                        | 33.00            | 30.78    | 58.00    | 24.64    | 43.00    | 27.00    | 17.00    | 29.84    |  |
| $f_2$                        | 36.00            | 36.78    | 24.00    | 50.14    | 36.00    | 48.00    | 68.00    | 48.99    |  |

**Table 2** Values of the dissimilarity factor  $(f_1)$ , the similarity factor  $(f_2)$  and the two indices of Rescigno  $(\xi_1 \text{ and } \xi_2)$ 

viable *LR* cell release from coated microparticles follows a zeroorder kinetics model, because the plot of cumulative percent viable cell release versus time was found to be linear, with the highest regression coefficient ( $r^2$ ) value in comparison with those of the other models. For all formulation batches, the zero-order kinetics model  $r^2$  value ranged between 0.9834 and 0.9947. Study of shape parameter values for the Weibull model (Table 1) reveal that the curve is sigmoid or S-shaped, with upward curvature, followed by a turning point as  $\beta$  exceeded 1.<sup>16,32</sup> Study of the location parameter ( $T_d$ ) for the Weibull model (Table 1) characterizes the time interval necessary to dissolve or release 63.2% of the drug present in the delivery system<sup>16,32</sup> and shows that the  $T_d$  of the formulation batches ranges from 7.7580 to 26.637 hours and the  $r^2$  value from 0.9310 to 0.9670.

Model-independent release exponent values are listed in Table 2, and show that for all formulation pairs, ie, the intrapolymer and interpolymer batches, the  $\xi_1$  values lie between 0.070 and 0.328, the  $\xi_2$  values lie between 0.240 and 0.407, the  $f_1$  value lies between 17.00 and 58.00, and the  $f_2$ value lies between 24.00 and 68.00, indicating dissimilarity in product performance of the formulation batches.<sup>16,32</sup>

A plot of the in vitro viable *LR* cell release profile following a zero-order kinetics model for all formulation batches in simulated intestinal fluid is shown in Figure 4, and demonstrates that the rate of viable *LR* cell release from the microparticles decreased significantly with an increase in the *LR* to polymer ratio, while variation in the grade of hypromellose influenced the release rate from the microparticles, following the order of E10 > E50 > E5 M.

The in vivo probiotic activity evaluation result shows that oral administration of the extended-release mucoadhesive microparticles of LR from all the formulation batches resulted in statistically significant reductions in the density of enterococci colonization in the stool of albino mice up to 24 hours to 36 hours.

The stability study result shows adequate stability of the microparticles under storage conditions of  $30^{\circ}C \pm 2^{\circ}C/65\% \pm 5\%$  relative humidity, with no change in color and texture or statistically significant decrease in viable *LR* cell content with respect to the viable spore count, and also confirms that the *LR* cells were compatible with the excipients used in the formulation. A statistically significant decrease in viable *LR* cell content was observed with respect to practical viable spore counts at 40°C  $\pm$  2°C/75  $\pm$  5% relative humidity, indicating product instability under these storage conditions.

Extended-release mucoadhesive microparticles from formulation batch F1 was found to be superior to the other prototype formulations because it exhibited the highest values of percent yield, entrapment efficiency, and mucoadhesion affinity, having the ability to protect the viability of LR cells during storage and gastrointestinal transit, and releasing viable LR cells in the gut for an extended period of time, as shown via zero-order kinetics.

#### Conclusion

These experimental results suggest that this extended-release microparticulate system loaded with LR cells could be prepared by a conventional coacervation and phase separation technique. It has the potential to deliver viable LR cells to the gut for an extended period of time, while maintaining the viability of LR cells during storage and gastrointestinal transit, and could be viewed as an alternative to conventional dosage forms. However, extensive in vivo studies will be required to establish the use of a coacervate extended-release microparticulate system as an alternative to the conventional dosage form of LR.

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## Disclosure

The author reports no conflicts of interest in this work.

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