

RESEARCH ARTICLE

Trend and burden of neural tube defects among cohort of pregnant women in Ethiopia: Where are we in the prevention and what is the way forward?

Anteneh Berhane^{1,2*}, Tefera Belachew²

1 Department of Public Health, College of Medicine and Health Science, Dire Dawa University, Dire Dawa, Ethiopia, **2** Department of Nutrition and Dietetics, Faculty of Public Health, Institute of Health Science, Jimma University, Jimma, Ethiopia

* antishaction@gmail.com

Abstract

Introduction

Neural tube defect is one of the top five most serious birth defects in the world. In Ethiopia an accurate estimate of the trend and burden of neural tube defects is still unknown. There hasn't been much research done on the prevalence and trend of neural tube defects in Eastern Ethiopia. To complement previous efforts of studies, the purpose of this study is to estimate the trend and burden of neural tube defects in Eastern Ethiopia as well as to investigate the epidemiological implications of the findings.

Methods

A facility-based retrospective cohort study was carried out from cohort pregnant women who delivered in selected hospitals. File records of all babies who were found to have neural tube defects could be reached between 2017 and 2019. A structured checklist was used to collect data. The incidence of each case was calculated by dividing the number of cases per year by the total number of live births in each hospital. To determine the linear trend of neural tube defects over time, linear trend of Extended Mantel-Haenszel chi-square was performed. Data were presented using frequencies and percentages. Data were analyzed using SPSS for windows version 25.

Results

A total of 48,750 deliveries were recorded during the three years of the study considered for analyses with 522 women having neural tube defect giving an incidence rate of 107.5 per 10,000 live births in the three years. The most common types of neural tube defects found in the area were anencephaly and spina bifida accounting for 48.1% and 22.6%, respectively. The distribution of neural tube defects varied across the study hospitals, with Adama Medical College Hospital having the highest proportion (46.6%). Over half of the mothers (56.7%) live in cities. Mothers in the age group 25–34 (46.9%) and multigravida mothers

OPEN ACCESS

Citation: Berhane A, Belachew T (2022) Trend and burden of neural tube defects among cohort of pregnant women in Ethiopia: Where are we in the prevention and what is the way forward? PLoS ONE 17(2): e0264005. <https://doi.org/10.1371/journal.pone.0264005>

Editor: Wubet Alebachew Bayih, Debre Tabor University, ETHIOPIA

Received: June 15, 2021

Accepted: February 1, 2022

Published: February 18, 2022

Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: <https://doi.org/10.1371/journal.pone.0264005>

Copyright: © 2022 Berhane, Belachew. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper and its [Supporting Information](#) files.

Funding: This study obtained funds from Dire Dawa University and Jimma University for data collection only. The authors declare that they have no fund for the publication of this manuscript. The funders have no role in the study design, data analysis and decision to publish for preparation of the manuscript.

Competing interests: We declare here in that we have no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

had higher proportions (64.4%) of neural tube defects. None of the mothers took folic acid before conception, and only 19% took iron folic acid supplementation during their pregnancy.

Conclusion and recommendation

The findings showed that an increasing trend and burden of neural tube defects and preconception folic acid supplementation is insignificant in the region which showed that where we are in the prevention of neural tube defects. The finding suggests that preconception folic acid supplementation in conjunction with health care services should be considered to reduce the risk of neural tube defects in the region. Aside from that, intensive prevention efforts for long-term folate intake through dietary diversification and appropriate public health interventions are required. Furthermore, data must be properly recorded in order to address disparities in neonatal death due to neural tube defects, and the determinants of neural tube defects should be investigated using large scale prospective studies with biomarkers.

Background

Neural tube defect (NTD) is among the top five most common and serious birth defects of the brain and spinal cord, caused by the failure of the neural tube to close between 21 and 28 days after conception, usually before a woman realizes she is pregnant. The defect ranges from anencephaly through encephaloceles to spina bifida [1–5]. NTDs are one of significant causes of infant and child mortality, morbidity and long-term disability as well as psychological and great emotional impact on affected families [1]. According to the World Health Organization (WHO), approximately 400 000 births with neural tube defects (NTDs) occur each year, resulting in an estimated 270,000 newborn deaths worldwide [6] causing more than 10% of newborn deaths. Both developing and developed countries bear the burden of NTDs. In countries where folic acid supplementation is not available, the prevalence ranges between 0.5 and 2 per 1000 births. Although the prevalence of NTD varies greatly depending on geography and socioeconomic status [7, 8], it is the leading causes of neonatal deaths in low and middle-income countries, accounting for 29% of all neonatal deaths [9].

In Ethiopia, few studies reported that, the prevalence is increasing from year to year with spatial variations in the increase. The incidence rate ranged from 61/10,000 in Addis Ababa [10] to 131/10,000 in Tigray [11]. The overall burden of neural tube defect in Ethiopia is unknown and underestimated owing to insufficient and fragmented data. Because NTDs are major causes of death among children under the age of five, adequate data are required for well-established interventions. There is currently no evidence on the trend and prevalence of neural tube defects in Eastern Ethiopia. This retrospective analysis provides clues on magnitude and trend of NTD in eastern Ethiopia and it gives insight where is the country in prevention of NTDs also align in the context of intervention efforts on micronutrient prevention and control that government has been exercising since 2005.

Material and methods

Study setting

The study was conducted in Dire Dawa City Administration, Harari Regional State and Adama city which are located in the Eastern part of Ethiopia. Dil chora Referral Hospital is found in

Dire dawa located 515 km to the east of Addis Ababa and serves approximately five million populations from Dire Dawa and neighboring Oromia and Somali regions. Hiwot Fana Specialized Teaching Hospital is found in Harar City which is 526 kilometers away from Addis Ababa to the east and delivers services to the entire community of eastern Ethiopia. In addition, the hospitals also serve as teaching centers for health and medical sciences students. Adama Hospital Medical College serves as a referral center for more than 6 million people from different regions and neighboring zones and regions including Afar, Amhara and Somali.

Study design

A retrospective cohort study was carried out based on reviewing the medical records of a cohort of pregnant women who delivered in Dil-Chora Referral Hospital, Hiwot Fana Specialized teaching Hospital and Adama Medical College Hospital.

Participant selection

The study hospitals were selected purposefully based on referral status and cases load in the eastern part of Ethiopia. From the total delivered babies in the selected hospitals, all recorded babies delivered, treated, and terminated that diagnosed as having NTDs cases were retrieved from medical admission log-book retrospectively from September 1, 2017 to August 30, 2020 were included. Exclusion criteria included absence of client card, unclear recorded or the client card that had incomplete documentation and had more than 50% of the values missing. The detailed methods of define the target participants were as follows (Fig 1).

Data collection method

A pretested and structured questionnaire developed after relevant literature review was used to retrieve the data. The questionnaire was designed to obtain data that encompasses such as, some demographic, gestational age at the time of birth, use of folic acid and medication during or early pregnancy, hypertension, diabetes and other maternal diseases and time of diagnosis of NTDs. Data were collected from routine administrative hospital records. All NTDs cases were retrospectively reviewed in a sequential manner from admission log books, obstetrics and gynecology wards, and Neonate Intensive Care Unit (NICU). The diagnoses were confirmed by gynecologists, pediatricians, midwives and specialist nurses. Medical Record Numbers (MRN) was used to identify study participants from admission log book. Data were collected via interviewer-administered tablet-based questionnaires using KoBoTool platform.

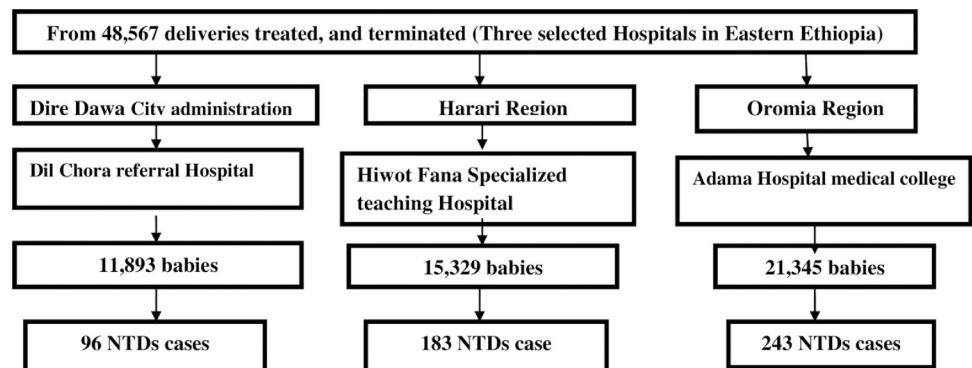


Fig 1. Schematic presentation of sampling procedure in Eastern 2017–2019.

<https://doi.org/10.1371/journal.pone.0264005.g001>

Six diploma midwives data collectors and 3 BSc midwives were used to collect data. To ensure data quality a two days training was given on the study's overall procedure to data collectors and supervisors. Permission to access the data was given by the city administration health bureau and hospital administrations.

Variables

Dependent variable. Trend and burden of NTDs.

Independent variable. Socio-demographic, pregnancy, ANC use, folic acid and IFA, maternal obstetric history, maternal health and drug history.

Operational definitions

NTDs cases. Is defined as mothers who gave birth to an alive newborn with any type of NTDs (anencephaly, spina bifida, or encephalocele, or myelomeningocele or meningocele), irrespective of gestational age.

NTDs-affected pregnancy. Is defined as one of the following four outcomes: (1) an early fetal loss or miscarriage (defined as a spontaneous pregnancy loss at 20 completed weeks of gestation), (2) fetal death or stillbirth (defined as a spontaneous pregnancy loss at 20 completed weeks of gestation), (3) elective termination of pregnancy for fetal anomaly (eTOPFA), or (4) an affected live birth.

NTDs incidence (burden) was calculated as.

$$= \frac{\text{affected live births} + \text{affected still births} + \text{eTOPFAs for NTDs}}{\text{Live births}} \times 10,000$$

Multiple neural tube defects (MNTDs). Defined by the simultaneous occurrence of more than one NTD in a single case with "normal" neural tissue in between.

Data processing and analysis

The data were cleaned and edited before analyses using SPSS for windows version 25. Descriptive statistics was employed to summarize socio-demographic characteristics and estimate the incidence of patients with neural tube defects. The trend of NTD was determined for the years between 2017 and 2019. The burden was calculated by dividing the number of neural tube defect cases identified (numerator) by the total number of births in selected hospitals between 2017 and 2019. Each study site's linear trend was also computed using the corresponding number of live births by year and study site as the denominator. To determine the linear trend of NTDs over time, Extended Mantel-Haenszel chi-square was used.

Ethical consideration

The study was approved by Jimma University's Institutional Review Board (IRB) with ethical clearance letter number JU/EC/17/0390 as well as waiver of documentation of consent was obtained from the ethics committees of each region and hospitals. Written informed consent was obtained from midwives and nurses of selected hospitals. No additional patient consent was required. To maintain confidentiality, all information was kept anonymous and adhered to the ethical code for human subjects enshrined in the Helsinki Declaration [12].

Results

Socio demographic characteristics

Between 2017 and 2019, a total of 48,567 pregnant women delivered in the three selected hospitals, with 522 neonates having one or more types of NTDs. The overall burden of NTDs was 107.5 per 10,000 live births (live birth and stillbirths, foetal deaths). The distribution of NTDs varied between the hospitals studied such that Adama Medical College Hospital accounted for the highest proportion of cases (46.6%). Over half of the mothers (56.7%) lived in urban areas. Nearly one-third (30.5%) of the mothers lived in East Harerghe, and the mean age of the participants was 26.4 (± 5.6 SD), with maternal age 25–34 accounting for 46.9% ([Table 1](#)).

Reproductive and ANC history

Majority (98.9%) of the mothers gave a single neonate, while 64.4% were multigravida. A little more than half of the mothers (51.1%) had ANC follow-up. All mothers did not receive folic acid supplementation throughout the entire pregnancy. Similarly, 81% of mothers did not receive iron and folic acid supplementation throughout their pregnancy. Whereas, only 5.6% of mothers received folic acid contain multivitamin supplement during their pregnancy ([Table 2](#)).

Illness and drug history

The major illnesses identified in the mothers' morbidity history were spontaneous abortion (18.8%), chronic hypertension (1.1%), diabetic mellitus (1.3%), anemia (2.5%), preeclampsia (2.1%), fever (1.3%), viral infection (1.3%), and parasitic infection (0.8%). Furthermore, 2.1% of mothers had a previous history of NTDs, and 0.6% of mothers were living with HIV/AIDS, only 1.1% used an antiepileptic drug (AED) and 2.1% of mothers used antibiotics ([Table 3](#)).

Table 1. Background characteristics and proportion of deliveries with NTDs in the Eastern Ethiopia based on hospital data from 2017–2019.

Variables	Frequency	Percent
Study hospitals		
Dil Chora Referral Hospital	96	18.4
Hiwot Fana Specialized Teaching Hospital	183	35.1
Adama Medical College Hospital	243	46.6
Participant address		
Dire Dawa	86	16.5
Adama	149	28.5
Eastern Harerghe	159	30.5
Hareri	21	4.0
Somali	7	1.3
West Harerghe	5	1
Other (around Adama)	95	18.2
Residence		
Rural	226	43.3
Urban	296	56.7
Mean maternal age (years)	26.4 \pm 5.6	
Maternal age		
18–24	205	39.3
25–34	245	46.9
35–45	72	13.8

<https://doi.org/10.1371/journal.pone.0264005.t001>

Table 2. Reproductive and ANC characteristics of pregnant women, Eastern Ethiopia, data from 2017–2019.

Variables	Characteristics	Frequency	Percent
Type of pregnancy	Single	516	98.9
	Twins	6	1.1
Gravidity	Primigravidity	186	35.6
	Multigravidity	336	64.4
History of spontaneous abortion	Not documented	424	81.2
	Yes	98	18.8
History of Preterm	Not documented	521	97.9
	Yes	1	0.2
Previous history of NTDs	Not documented	511	97.9
	Yes	11	2.1
Sex affected	Male	1	0.2
	Female	3	0.6
Adverse pregnancy	Not documented	7	1.3
	Yes	518	99.2
Type of adverse pregnancy	APH	4	0.8
	Severe preeclampsia	2	0.4
ANC follow	No	255	48.9
	Yes	267	51.1
Place of ANC Visit	Private clinic/hospital	80	15.3
	Governmental health facility	180	34.5
	Non-governmental health facility	3	0.6
	Not documented	4	0.8
Folic acid supplementation	Not documented/No	522	100
Iron folic acid supplementation	Not documented	423	81
	Yes	99	19
Multivitamin supplementation	Not documented	464	88.9
	Yes	29	5.6

APH = Antepartum hemorrhage, ANC = Antenatal care, NTDs = Neural Tube Defects.

<https://doi.org/10.1371/journal.pone.0264005.t002>

Obstetric history

Extremely preterm (<28 weeks) was the most common gestational age of cases with NTDs. Out of the NTD affected pregnancies, 78.4% were diagnosed by ultrasound before delivery. In terms of mode of delivery, the majority of women had spontaneous vaginal births (87.5%). Nearly equal proportion of males (28%) and females (27.2%) were affected, yielding a sex ratio of 1. Regarding the outcome, 58.2% of NTD-diagnosed pregnancies were terminated medically, while the remaining 27.2% resulted in stillbirths. Only 1.3% of the total newborns with NTDs were discharged alive with referral based on family consent, while the remaining 98.7% died before referral to NICU, delivery, or medical termination ([Table 4](#)).

Types of NTDs identified

Anencephaly had the highest proportion (48.1%) of NTDs identified, followed by spinal bifida (22.6%) and myelomeningocele (10.5%) ([Fig 2](#)).

Nearly a third (27.8%) of the NTD cases were associated with different type of congenital anomalies with most of the congenital anomalies observed in this study being hydrocephalus (79.3%) followed by other type of anomalies ([Fig 3](#)).

Table 3. Illness and drug history of pregnant women Eastern Ethiopia data from 2017–2019.

Variables	Categories	Frequency	Percent
History of any infection before/early during pregnancy	Not documented	515	98.7
	Yes	7	1.3
Type of infection	Hepatitis B	2	0.4
	Respiratory tract	1	0.2
	UTI	2	0.4
	Urinary tract	1	0.2
	Vulvar edema	1	0.2
Chronic hypertension	Not documented	516	98.9
	Yes	6	1.1
Diabetic mellitus	Not documented	515	98.7
	Yes	7	1.3
History of anemia before/early during pregnancy	Not documented	509	97.5
	Yes	13	2.5
History of preeclampsia	Not documented	511	97.9
	Yes	11	2.2
History of eclampsia	Not documented	519	99.4
	Yes	3	0.6
History of tuberculosis (TB)	Not documented	521	98.8
	Yes	1	0.2
Living with HIV/AIDS	Not documented	519	99.4
	Yes	3	0.6
History of fever	Not documented	515	98.7
	Yes	7	1.3
History of viral infection	Not documented	515	98.7
	Yes	7	1.3
History of parasite infection	Not documented	518	99.2
	Yes	4	0.8
History of gastric	No documented	518	99.2
	Yes	4	0.8
History of taken antibiotic	Not documented	511	97.9
	Yes	11	2.1
Utilized AED	Not documented	516	98.9
	Yes	6	1.1

UTIs = *Urinary tract infections*, AED = Antiepileptic Drugs, UTI = Upper Tract Infection.

<https://doi.org/10.1371/journal.pone.0264005.t003>

The overall incidence of NTDs was 107.5 per 10,000 live births with the incidence rate showing an increasing trend over a three-year period. The proportion of NTDs increased linearly over three years, with odd ratios (OR) of 1 (2017) and 4.3, and 8.3 for 2018 and 2019, respectively. Extended Mantel-Haenszel chi-square for linear trend is 200.53 ($P < 0.0001$) (**Table 5**).

Hiwot Fana Specialized Teaching Hospital had the highest overall incidence of any of the study hospitals (119.4 per 10,000 births). In 2017 and 2018, Dil Chora Hospital had the highest burden of NTDs cases, with an incidence of 51.3 and 115 cases per 10,000 births, respectively. In 2019 the highest burden of NTDs with an incidence of 244 per 10,000 births was found in Hiwot Fana Specialized Teaching Hospital (**Table 6**).

Table 4. Obstetric history of pregnant women, Eastern Ethiopia, data from 2017–19.

Variables	Categories	Frequency	Percent
Gestational age	Extremely preterm (< 28 weeks)	254	48.7
	Very preterm (28–31 weeks)	107	20.5
	Moderate preterm (32–36 weeks)	41	7.9
	Extremely term (37–38 weeks)	39	7.35
	Full term (39–40 weeks)	21	4
	Post term (40 weeks)	1	0.2
	Not documented	59	11.3
Mode of NTDs identified	Identified by ultrasound before delivery	409	78.4
	Identified after delivery	58	11.1
	Not documented	55	10.5
Mode of delivery	Spontaneous vaginal	457	87.5
	Cesarean section	49	9.4
	Vacuum	15	2.9
	Forceps	1	0.2
	Not documented	1	0.2
Date of birth	2017	33	6.3
	2018	165	31.6
	2019	324	62
Sex of neonate	Male	146	28
	Female	142	27.2
	Not documented	234	44.8
Birth or pregnant outcome	Stillbirth	142	27.2
	Alive	62	11.9
	Terminated/elective	304	58.2
	Spontaneous abortion	14	2.7
Status of neonate during discharge	Alive	7	1.3
	Dead	515	98.7

<https://doi.org/10.1371/journal.pone.0264005.t004>

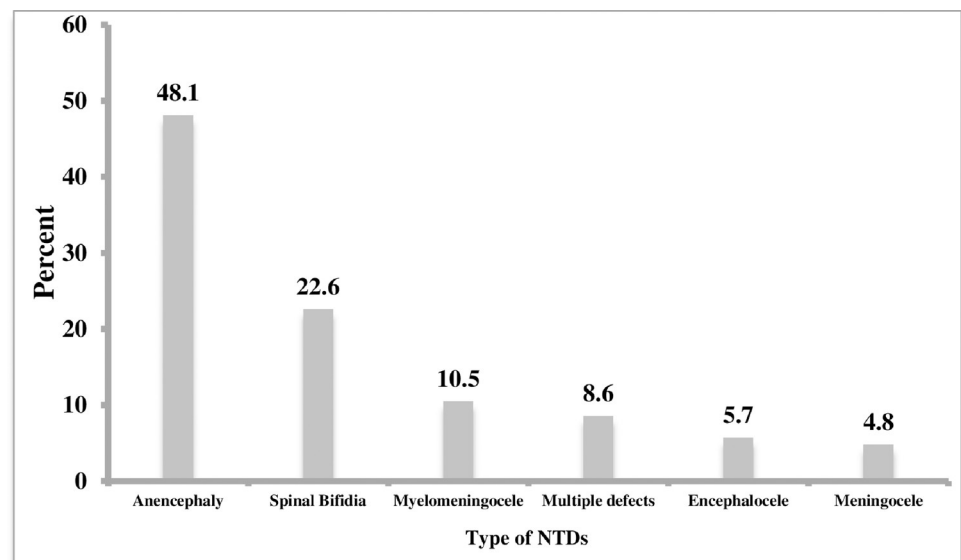


Fig 2. Type of identified NTDs in Eastern Ethiopia, data from September 2017–2019.

<https://doi.org/10.1371/journal.pone.0264005.g002>

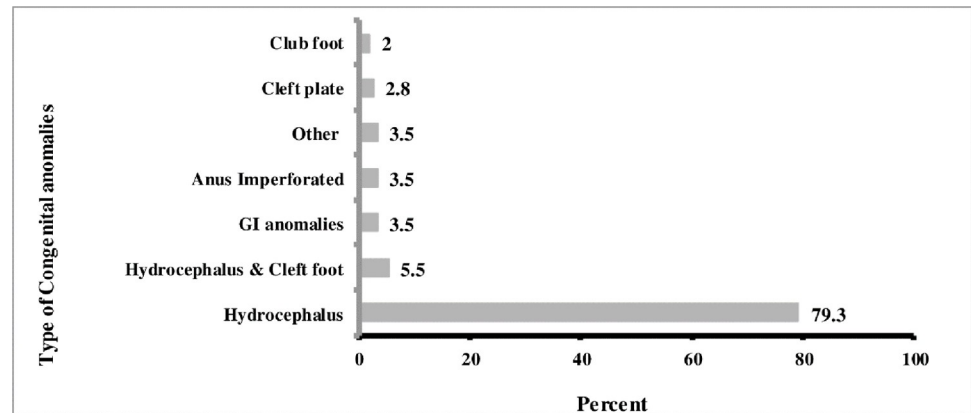


Fig 3. Type of congenital anomalies associated with NTDs Eastern Ethiopia, data from 2017–2019.

<https://doi.org/10.1371/journal.pone.0264005.g003>

As depicted in [Table 7](#), anencephaly had the highest overall incidence, followed by spina bifida and myelomenigocele, with incidences of 51.7 and 24.3/10,000 births, respectively. Encephalocele and meningocele had the lowest incidences, with 6.2 and 5.2/10,000, respectively ([Table 7](#)).

[Fig 4](#) depicts the linear trend of the different types of NTDs over the study period. The occurrence of anencephaly and spina bifida increased steadily, reaching a peak in 2019 (50.3% and 28.4%, respectively), while the occurrence of multiple defects peaked in 2019 (11.5%) ([Fig 4](#)).

Large proportion of anencephaly (43.4%) cases was found at Hiwot Fana Specialized Teaching Hospital, while the majority of spinal bifida (68.6%) were found in Adama Medical College Hospital. Similarly, Dil-Chora Hospital had the highest proportion of myelomenigocele (49.1%). Hiwot Fana specialization teaching Hospital and Adama medical college Hospital each had 46.7 percent and 43.3% of the total cases of encephalocele, respectively. Meningocele was found in higher proportions in Hiwot fana (52%) and Dil chora Hospital (28%) hospitals ([Fig 5](#)).

East Harerghe had a higher proportion of pregnancies with anencephaly (18.4%) than Adama (11.4%). Regarding spinal Bifida, 11.5 percent, 4.2%, and 3.4% of mothers were from Adama, around Adama, and Dire Dawa, respectively. Similarly, the majority of myelomenigocele cases were reported in Dire Dawa and East Harerghe (3.8%), while East Harerghe (46.4%) and Adama (30%) had the highest proportion of encephalocele cases, East Harerghe (40%) and Dire Dawa (30 percent) had the highest proportion of meningocele cases (24%) ([Fig 6](#)).

The proportion of mothers who did not receive iron and folate supplementation and had at least one of the NTDs ranged from 68.0 percent to 88.4%. Similarly, the percentage of mothers

Table 5. Linear trend of NTDs incidence Eastern Ethiopia, data from September 2017–2019.

Year	No. of newborns	No. of newborns with NTDs	Proportion	Incidence per 10,000 births	Mantel-Haenszel Summary Odds Ratio
2017	14479	33	0.22	22.8	1
2018	16906	165	0.97	97.6	4.3
2019	17182	324	1.88	188.56	8.3
Total	48567	522	1.07	107.5	

NTDs = Neural tube defects, extended Mantel-Haenszel chi-square for linear trend is 200.53 ($P < 0.0001$).

<https://doi.org/10.1371/journal.pone.0264005.t005>

Table 6. Linear trend of NTD incidence among study hospitals Eastern Ethiopia, data from September 2017–2019.

Year	Study Hospitals								
	Adama Medical College Hospital			Hiwot Fana Specialization Teaching Hospital			Dil Chora Hospital		
	Total delivery	Case	Incidence/10,000	n	Case	Incidence /10,000	n	Case	Incidence/10,000
2017	5455	1	1.8	5124	12	23.4	3900	20	51.3
2018	7584	66	87	5411	54	99.8	3911	45	115
2019	8306	176	211.89	4794	117	244.05	4082	31	75.9
Total	21345	243	113.8	15329	183	119.4	11893	96	80.7

<https://doi.org/10.1371/journal.pone.0264005.t006>

with one or more affected NTDs who had a history of spontaneous abortion prior to the current pregnancy ranged from 10% to 25.6% (Table 8).

Both rural and urban mothers had a high burden of anencephaly, accounting for 61.1% and 38.2%, respectively. Anencephaly was the most frequent NTDS in the age groups of 18–24 and 25–34, accounting for 52.7% and 44.5%, respectively. Multigravida mothers had higher rates of anencephaly (6.7%) and spinal bifida (24.1%) (Fig 7).

Discussion

In this study a total of 48,567 deliveries from the selected hospitals were recorded between 2017 and 2019. Our study presented that the overall incidence rate of NTDs was 107.5 per 10,000 live deliveries. Hiwot Fana Specialized Teaching Hospital had the highest burden of NTDs (244 per 10,000 deliveries). The incidence of NTDs observed in our study is lower than that reported in prospective studies of births at three teaching hospitals in Addis Ababa (126 per 10,000 births) [13] and Tigray region (131 per 10,000 births) [11].

The NTDs incidence documented in our study is also higher than the report from a systematic review and meta-analysis conducted in Ethiopia (63.3 cases per 10,000 children) [14], from a three years retrospective study at two teaching hospitals in Addis Ababa with an incidence of 61 cases per 10,000 [10] and from WHO estimation of 22 per 10,000 births in Ethiopia [15], and eight African countries reported by WHO with 11.7 per 10,000 births [6]. In Ethiopia, the prevalence of folate deficiency is 46.1%. The prevalence of severe folate deficiency in Dire Dawa and Hareri was reported to be 52.9% and 80.7%, respectively [16]. Thus, the high prevalence of folate deficiency could explain the high burden of NTDs in Eastern Ethiopia. The low prevalence of NTDs reported in most developed and many developing countries may

Table 7. Incidence of type of NTDs among study hospitals Eastern Ethiopia, data from 2017–2019.

Type of NTDs	Study Hospitals							
	Dil Chora Hospital		Hiwot Fana Specialization Teaching Hospital		Adama Medical College Hospital		Total	
	n	Incidence per 10,000	n	Incidence per 10,000	n	Incidence per 10,000	n	Incidence per 10,000
Myelomeningocele	27	22.7	19	12.4	9	4.2	55	11.3
Anencephaly	36	30.3	109	71.1	106	49.6	251	51.7
Encephalocele	3	2.5	14	9.1	13	6.1	30	6.2
Meningocele	7	5.9	13	8.5	5	2.3	25	5.2
Spina bifida	18	1.5	19	12.4	81	37.9	118	24.3
Multiple NTDs	5	4.2	9	5.8	29	13.6	43	8.8
Total	96	8.0	183	119.4	243	113.8	522	107.5

NTDs = Neural tube defect.

<https://doi.org/10.1371/journal.pone.0264005.t007>

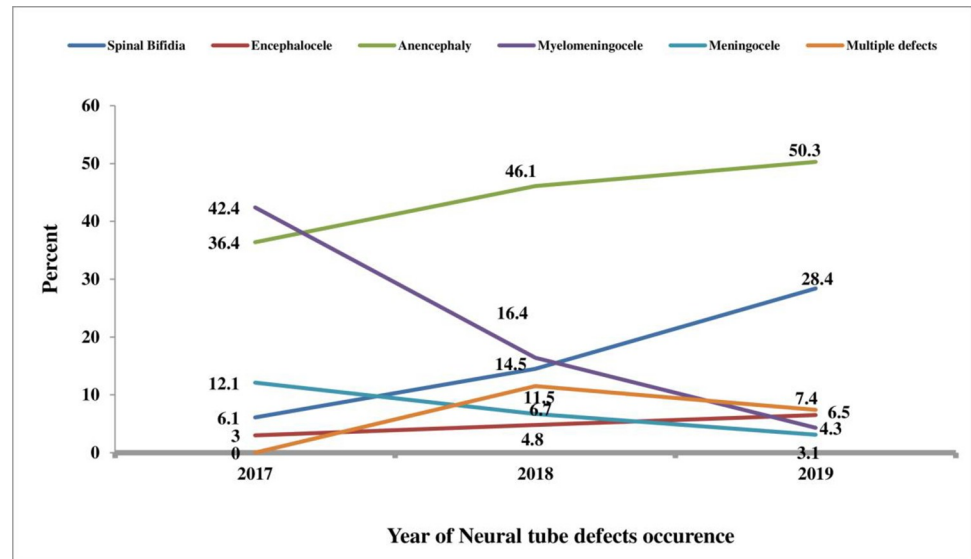


Fig 4. Yearly distribution of the occurrence of NTDs in Eastern Ethiopia, data from 2017–2019.

<https://doi.org/10.1371/journal.pone.0264005.g004>

be due to mandatory folic acid fortification [17, 18] and increased health-seeking behavior, health and nutrition adequacy, planned pregnancies, and preconception care services. In contrast, the incidence of 107.5 per 10,000 births observed in our study would be a five-fold increase over the WHO survey estimate in Ethiopia [18]. This alarm indicates the urgent need to implement effective programs to ensure that all women of reproductive age have adequate folic acid on the need to prevent all folic acid-preventable NTDs and the urgent need to implement preconception folic acid supplementation services in Eastern Ethiopia.

Anencephaly was found to be the most common type of NTD (48.1%), followed by spina bifida (22.6 percent), which is consistent with findings from a study conducted at three teaching hospitals in Addis Ababa, Ethiopia [13], in Tigray region, Ethiopia [11], Amhara region, Ethiopia [19], Bale zone Oromia, Ethiopia [20], Gujarat hospital, India (26%) [21], South west Iran (86.8%) [22], in Morocco [23], and in Nigeria [24]. These findings contradict the findings of studies conducted at Tikur Anbessa, Gandhi Memorial, and Ethio-Sewdish Hospitals in

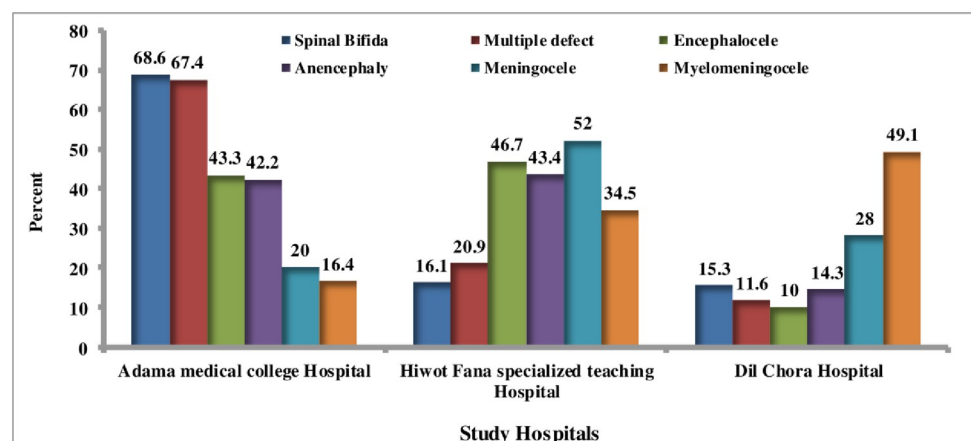


Fig 5. Percentage of different type of NTDs among study hospital, Eastern Ethiopia, data from 2017–19.

<https://doi.org/10.1371/journal.pone.0264005.g005>

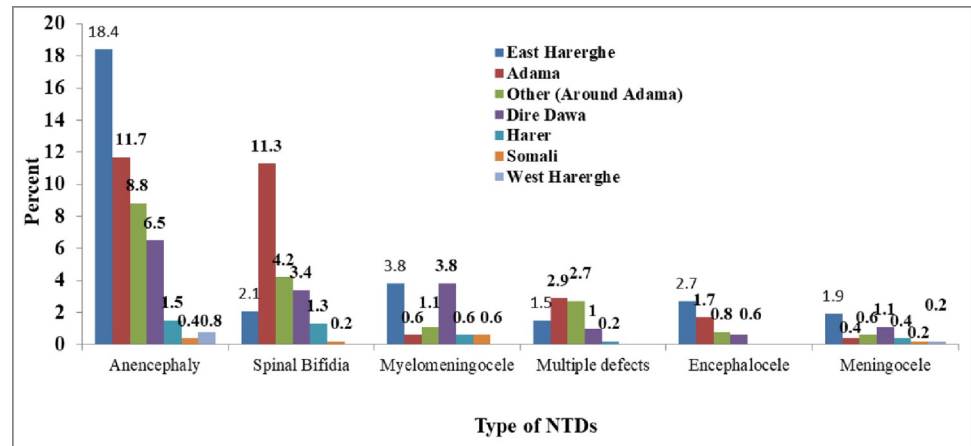


Fig 6. Distribution of NTDs among study hospitals Eastern Ethiopia, data from 2017–2019.

<https://doi.org/10.1371/journal.pone.0264005.g006>

Addis Ababa, which reported that the most common NTDs were myelomeningocele and meningocele [10, 25]. This disparity could be attributed to the presence of multifactorial determinants in the various regions and countries where the studies were conducted. In the retrospective studies from the two teaching hospitals in Addis Ababa, Ethiopia, Spina bifida was the most common NTD, followed by anencephaly [10]. The reason why anencephaly is more prevalent than in the previous retrospective study in Addis Ababa is that stillbirths were excluded, whereas our study included stillbirths, and which accounted for 48.7 percent of all NTDs.

In the current study, the distribution of NTDs varied among the study hospitals, with Adama Medical College Hospital accounting for nearly half (46.6%) of cases. This disparity may be due to the fact that more cases around Adama were referred to this hospital due to the presence of different specialist services such as neurologist and the presence of risk factors in the area such as agrochemical exposure. Our study showed that urban resident mothers are more affected than rural residents which accounted for more than half of all NTDs (56.7 percent). This disparity in proportion could be attributed to greater environmental exposure to risk factors in urban areas compared to rural areas, and lifestyle differences between the two setups. This finding contradicts the findings of a study conducted in Amhara Region by Abay W et al., (2020), which revealed that 59.1% and 36.2% of mothers with NTD pregnancy were from rural and urban areas, respectively [19]. Our study found that the sex distribution of

Table 8. Type of NTDs by FeFol supplementation and history of spontaneous abortion, Eastern Ethiopia data from 2017–19.

Type of NTDs	FeFol Supplementation		History of spontaneous abortion		Total
	No	Yes	No	Yes	
	n (%)	n (%)	n (%)	n (%)	
Myelomeningocele	38 (69.1)	17 (30.9)	49 (89.1)	6 (10.9)	55 (10.5)
Anencephaly	203 (80.9)	48 (19.1)	202 (80.5)	49 (19.5)	251 (48.1)
Encephalocele	25 (83.3)	5 (16.7)	27 (90)	3 (10)	30 (5.7)
Meningocele	17 (68)	8 (32)	21 (84)	4 (16)	25 (4.8)
Spina Bifida	102 (86.4)	16 (13.6)	93 (78.8)	25 (21.2)	118 (22.6)
Multiple defects	38 (88.4)	5 (11.6)	32 (74.4)	11 (25.6)	43 (8.2)
Total	423 (81)	99 (19)	424 (81.2)	98 (18.8)	522 (100)

<https://doi.org/10.1371/journal.pone.0264005.t008>

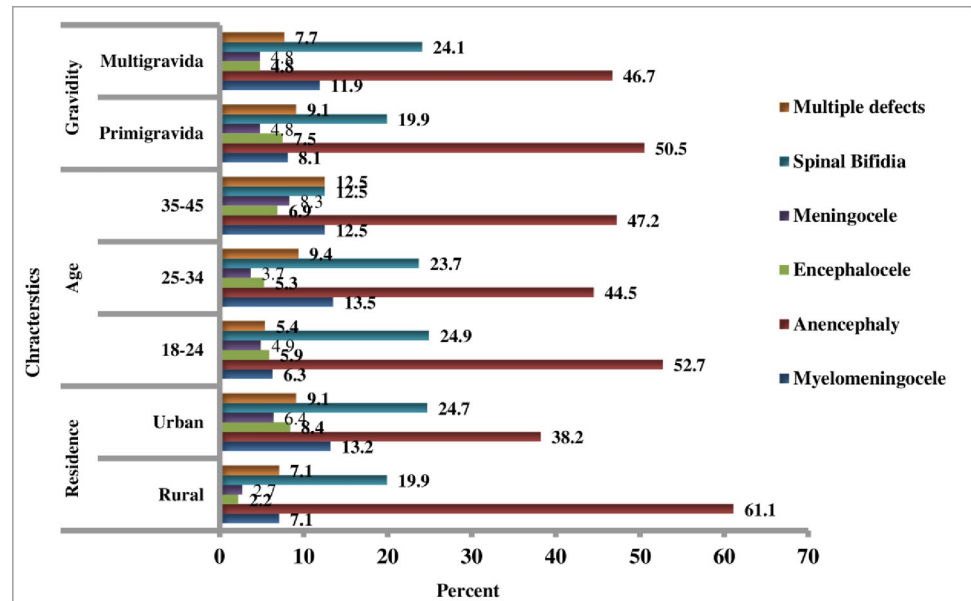


Fig 7. Type of NTDs by residence, maternal age and gravidity, Eastern Ethiopia, data from 2017–19.

<https://doi.org/10.1371/journal.pone.0264005.g007>

male and female NTD deliveries was 28 percent and 27.2%, respectively, resulting in a sex ratio of 1:1. Unidentified sex accounted for 44.8%, which is consistent with a study conducted in Thailand, where the sex ratio is 1:1 [26]. This study contradicted the findings of previous studies conducted in Addis Ababa and the Amhara Region of Ethiopia [10, 13, 19] which described female dominance over males. In contrast, a study conducted by Alem et al., (2018) in the Tigray region of Ethiopia found a male predominance over females [11]. There is no single reason why neural tube defects affect more females than males or vice versa.

Our findings also revealed that 18.8 percent of mothers had previously had an abortion. This could be due to trophoblastic cell rest from an earlier aborted pregnancy. This finding is nearly identical (17.3%) to the findings reported by Marco et al., (2011) [27] and Atlaw et al., (2019) at Bale zone Hospitals, South Eastern Ethiopia, which is accounted 47.6% [20].

Preconception folic acid supplementation was found to be protective against NTDs in studies [28–31]. Our research also found that all mothers did not received folic acid supplementation throughout their pregnancy. This finding is consistent with studies conducted in Addis Ababa, Ethiopia, and Morocco [10, 13, 23]. This could be due to is lack of preconception care in the country as well as a lack of media coverage on promotion of preconception of folic acid supplementation. This finding has far-reaching practical implications. After 16 years of implementing micronutrient prevention and control guideline in Ethiopia, such a high incidence of NTD above the WHO cut-off (6/10,000 live births) [32] combined with no supplementation given to all cohorts of pregnant women with NTDs even during pregnancy calls for urgent action. Because NTD occurs at the 28th day of pregnancy, strengthening preconception to supplementation of folic acid through various strata should be targeted and researched further.

The following limitations are acknowledged in this study. Because the study was conducted in only three hospitals, it does not represent the true prevalence of NTDs in the community. Determinants of NTDs have not been investigated or attempted. Because this is a retrospective study, there are significant limitations to the recorded data. In some cases, the necessary investigation and complete history were not properly documented. On the other hand, there was a discrepancy between the medical recorded number (log book) and the actual client card,

resulting in the study failing to capture nearly half of the data recorded book in study hospitals. As a result, this study did not provide an accurate magnitude and figure in the study area. Furthermore, because the study focused in the eastern part of the country's the findings may not accurately reflect the national situation and should be interpreted with caution.

Conclusion

NTD is a significant public health burden in the study area with the most common forms being anencephaly and spinal bifida. The incidence rate is five-fold higher than the WHO estimates for Ethiopia. Moreover, preconception folic acid supplementation is negligible among the study participants and nearly all neonates with NTDs cases were died. The findings suggest the need for strength of primary preventative strategies with active promotion of preconception care service and possible implementation of preconception folic acid supplementation approaches and food fortification with promote having good dietary practice in order to reduce the burden of NTDs as public health emergency in Ethiopia. This will enable the achievement of Sustainable Development Goal 3.2 which states 'end preventable deaths and disabilities in neonates and children under 5 by 2030'. Further investigation of dietary practice of mother who delivered neonate with NTDs or terminated due to NTDs affected pregnancy and the determinants factors of NTDs in the study area with supporting biomarkers is recommended.

Supporting information

S1 Checklist. Retrospective data collection tool.
(DOC)

Acknowledgments

The authors would like to thank Dire Dawa University and Jimma University, all of the study participants, data collectors, and supervisors who participated in the study, as well as the kind and cooperative staff of the health facilities in eastern Ethiopia.

Author Contributions

Conceptualization: Anteneh Berhane.

Data curation: Anteneh Berhane, Tefera Belachew.

Formal analysis: Anteneh Berhane, Tefera Belachew.

Investigation: Anteneh Berhane.

Methodology: Anteneh Berhane, Tefera Belachew.

Software: Anteneh Berhane.

Supervision: Tefera Belachew.

Validation: Anteneh Berhane.

Writing – original draft: Anteneh Berhane.

Writing – review & editing: Anteneh Berhane, Tefera Belachew.

References

1. Butterworth C. and Bendich A., Folic acid and the prevention of birth defects. *Annual review of nutrition*, 1996. 16(1): p. 73–97. <https://doi.org/10.1146/annurev.nu.16.070196.000445> PMID: 8839920
2. Detrait E.R., et al., Human neural tube defects: developmental biology, epidemiology, and genetics. *Neurotoxicology and teratology*, 2005. 27(3): p. 515–524. <https://doi.org/10.1016/j.ntt.2004.12.007> PMID: 15939212
3. Persad V.L., et al., Incidence of open neural tube defects in Nova Scotia after folic acid fortification. *Cmaj*, 2002. 167(3): p. 241–245. PMID: 12186168
4. Greene N.D. and Copp A.J., Neural tube defects. *Annual review of neuroscience*, 2014. 37: p. 221–242. <https://doi.org/10.1146/annurev-neuro-062012-170354> PMID: 25032496
5. Lazareff J.A., *Neural tube defects*. 2010: World Scientific.
6. Zaganjor I., et al., Describing the prevalence of neural tube defects worldwide: a systematic literature review. *PLoS one*, 2016. 11(4): p. e0151586. <https://doi.org/10.1371/journal.pone.0151586> PMID: 27064786
7. Yi Y., et al., Economic burden of neural tube defects and impact of prevention with folic acid: a literature review. *European journal of pediatrics*, 2011. 170(11): p. 1391–1400. <https://doi.org/10.1007/s00431-011-1492-8> PMID: 21594574
8. Control C.f.D., Economic burden of spina bifida—United States, 1980–1990. *MMWR. Morbidity and mortality weekly report*, 1989. 38(15): p. 264–267. PMID: 2495426
9. Samson G., The incidence and demography of neural tube defects in Abu Dhabi, United Arab Emirates (1992–1999). *Journal of tropical pediatrics*, 2003. 49(4): p. 256–257. <https://doi.org/10.1093/tropej/49.4.256> PMID: 12929892
10. Sorri G. and Mesfin E., Patterns of neural tube defects at two teaching hospitals in Addis Ababa, Ethiopia a three years retrospective study. *Ethiop Med J*, 2015. 53(3): p. 119–126. PMID: 26677521
11. Berihu B.A., et al., High burden of neural tube defects in Tigray, Northern Ethiopia: Hospital-based study. *PLoS One*, 2018. 13(11): p. e0206212. <https://doi.org/10.1371/journal.pone.0206212> PMID: 30427877
12. WHO, World Medical Association Declaration of Helsinki. 2000.
13. Gedefaw A., Teklu S., and Tadesse B.T., Magnitude of neural tube defects and associated risk factors at three teaching hospitals in Addis Ababa, Ethiopia. *BioMed Research International*, 2018. 2018. <https://doi.org/10.1155/2018/4829023> PMID: 29713643
14. Bitew Z.W., et al., Magnitude and associated factors of neural tube defects in Ethiopia: A systematic review and meta-analysis. *Global pediatric health*, 2020. 7: p. 2333794X20939423. <https://doi.org/10.1177/2333794X20939423> PMID: 32743026
15. Botto L.D. and Mastroiacovo P., *Birth defect prevention: global issues*. 2012, Geneva: WHO.
16. Haidar J., Melaku U., and Pobocik R.S., Folate deficiency in women of reproductive age in nine administrative regions of Ethiopia: an emerging public health problem. *South African Journal of Clinical Nutrition*, 2010. 23(3): p. 132–137.
17. Arth A., et al., A 2015 global update on folic acid-preventable spina bifida and anencephaly. *Birth Defects Research Part A: Clinical and Molecular Teratology*, 2016. 106(7): p. 520–529. <https://doi.org/10.1002/bdra.23529> PMID: 27418029
18. Williams J., et al., Updated estimates of neural tube defects prevented by mandatory folic acid fortification—United States, 1995–2011. *MMWR. Morbidity and mortality weekly report*, 2015. 64(1): p. 1. PMID: 25590678
19. Tadesse A.W., Kassa A.M., and Aychiluhm S.B., Determinants of neural tube Defects among newborns in AMHARA Region, ETHIOPIA: A case-control study. *International Journal of Pediatrics*, 2020. 2020. <https://doi.org/10.1155/2020/5635267> PMID: 33193764
20. Atlaw D., Worku A., Taye M., Woldeyehonis D., and Muche A., "Neural Tube Defect and Associated Factors in Bale Zone Hospitals, Southeast Ethiopia. *J Preg Child Health*, 2019. Volume 6(3).
21. Sharma V.M., et al., Pattern of various congenital anomalies: a hospital based study. *Age*, 2018. 20(7): p. 14.
22. Ebrahimi S., Ashkani Esfahani S., and Bagheri F., Prevalence of neural tube defects in Yasuj, South West Iran. *Shiraz E-Medical Journal*, 2013. 14(1): p. 54–62.
23. Forci K., et al., Incidence of neural tube defects and their risk factors within a cohort of Moroccan newborn infants. *BMC pediatrics*, 2021. 21(1): p. 1–10. <https://doi.org/10.1186/s12887-020-02457-3> PMID: 33397296

24. Airede K.I., Neural tube defects in the middle belt of Nigeria. *Journal of Tropical Pediatrics*, 1992. 38(1): p. 27–30. <https://doi.org/10.1093/tropej/38.1.27> PMID: 1573689
25. Taye K. and Bedru A., Pattern of neural tube defects at Tikur Anbessa Hospital, Addis Ababa, Ethiopia. *Ethiopian medical journal*, 2009. 47(1): p. 71–76. PMID: 19743784
26. Wasant P. and Sathienkijkanchai A., Neural tube defects at Siriraj Hospital, Bangkok, Thailand—10 years review (1990–1999). *J Med Assoc Thai*, 2005. 88(Suppl 8): p. S92e9.
27. De Marco P., et al., Maternal periconceptual factors affect the risk of spina bifida-affected pregnancies: an Italian case-control study. *Child's Nervous System*, 2011. 27(7): p. 1073–1081. <https://doi.org/10.1007/s00381-010-1372-y> PMID: 21207040
28. Berry R.J., et al., Fortification of flour with folic acid. *Food and nutrition bulletin*, 2010. 31(1_suppl1): p. S22–S35. <https://doi.org/10.1177/15648265100311S103> PMID: 20629350
29. Group M.V.S.R., Prevention of neural tube defects: results of the Medical Research Council Vitamin Study. *The lancet*, 1991. 338(8760): p. 131–137. PMID: 1677062
30. Berry R.J., et al., Prevention of neural-tube defects with folic acid in China. *New England journal of medicine*, 1999. 341(20): p. 1485–1490. <https://doi.org/10.1056/NEJM199911113412001> PMID: 10559448
31. Blencowe H., et al., Folic acid to reduce neonatal mortality from neural tube disorders. *International journal of epidemiology*, 2010. 39(suppl_1): p. i110–i121. <https://doi.org/10.1093/ije/dyq028> PMID: 20348114
32. WHO, Conclusions of a WHO Technical Consultation on folate and vitamin B12 deficiencies. The United Nations University. *Food and Nutrition Bulletin*, 2008. 29.