Standardized measurement of auricle: A method of high-precision and reliability based on 3D scanning and Mimics software

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Abstract. Objective measurement is important for diagnosing congenital or acquired auricular abnormalities and the evaluation of therapeutic efficacy. However, methods applied in the past were mostly inaccurate and unreliable. The present study aimed to introduce five standardized indices for auricle measurement and present a highly precise and reliable methodology combining three-dimensional (3D) scanning techniques and the Materialise Mimics software for the evaluation of auricle sizes. A total of 20 normal ears were measured independently by four surgeons using the standardized digital method with 3D scanning technique and the traditional manual method. Parameters of the auricle, including the length and width, arc length, cranioauricular height and angle were measured using the Mimics software. Paired t-test, Wilcoxon signed rank test and intra-class correlation coefficients (ICC) were performed on the data to assess the precision, uniformity and observer independence of the method. Pearson's product moment correlation was calculated to assess the correlation between auricle length and width in addition to the correlation between cranjoauricular height and angle. No significant differences were indicated between measurements of five auricular parameters made by two surgeons using the digital method. However, significant differences were found using the manual method (P<0.01). ICC values derived from digital measurements ranged from 0.901 to 0.987, whereas those derived from manual measurements ranged from 0.526 to 0.807. These results suggested that the standardized digital method was replicable and reliable compared with the traditional manual method. Pearson's coefficient analysis showed that there was a significant correlation

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between cranioauricular height and angle (P<0.05), but no correlations were found between the height and width of the auricle (P>0.05). Taken together, data from the present study suggested that measurements of the length and width, arch length, and cranioauricular height and angle of auricles using the standardized digital method combining 3D scanning with the Mimics software were comprehensive, precise, convenient, repeatable and reliable.

Introduction

The ear is one of the most pivotal sensory organs on the face, such that congenital or acquired auricular abnormalities have negative physical and mental impacts on the patients. Most of these abnormalities, including microtia, anotia, cryptotia, prominent ear and constricted ear, can be diagnosed by physical observation. Surgical and non-surgical methods are selectively used for different conditions (1,2). Although scales of satisfaction and other subjective methods are important for the evaluation of therapeutic efficacy (3), anthropometric methods, designed to objectively appraise the severity of abnormalities and outcomes of therapy, are also indispensable (4).

The length and width of the auricle, cranioauricular height, and cranioauricular angle are most frequently applied in the evaluation of the ear (5,6). The arc length of the auricle is also a suitable index for assessing the size of the auricle but has not been fully determined previously. Among the previous studies, manual measurements of straight-line distances using rulers and calipers were applied most frequently (5,7,8). However, this method is inaccurate and unreliable. Although there have been some attempts at measuring auricular angles, convenient and accurate approaches to address the accuracy of this measurement remain elusive (8,9).

Three-dimensional (3D) digital techniques, which can be used to build models of high similarity, have been developing rapidly in the past two decades (10-12). In the present study, a standardized measurement procedure combining Mimics software and a 3D digital device was used to evaluate five indices for auricle measurements in 20 normal ears. Emphasis was placed on the precision and reliability of this method.

Materials and methods

Patients. Ethical approval of the study was obtained from the Institutional Review Board of Plastic Surgery Hospital of Peking Union Medical College. Between August 2017 and January 2018, 20 patients (sex, 12 males and 8 females; age range, 5-15 years; mean age, 7.9±2.88 years) with unilateral auricular abnormality at the Department of the First Center of Auricular Reconstruction, Plastic Surgery Hospital, Chinese Academy of Medical Sciences, Peking Union Medical College who were subjected to surgery were randomly selected and enrolled into the study (Beijing, China). All parents or guardians of the patients granted informed consent to participate in the study. Eight right normal ears and 12 left normal ears were scanned and measured prior to surgery.

3D equipment. A surface 3D scanner (Artec Space Spider; Artec 3D) with a stated resolution of 0.1 mm and a point accuracy of ≤0.03 mm was used to capture 3D images of the normal auricles. The acquired preliminary data were processed using the Artec Studio software (version 9.0; Artec 3D) by following the surface scan workflow (including rough serial registration, fine registration, global registration, manual alignment and fast fusion). After preliminary treatment, data were exported as stereolithography format files to the Geomagic Studio 2012 software (3D Systems Corporation) for further processing. Using this software, spike removal, filling of holes matching the curvature of the surrounding mesh and minimization of crease angles between the polygons were achieved to obtain accurate 3D models displaying details and sizes of the ears. Following the preparation of digital images, data were imported into the Materialise Mimics software (version 20.0; Materialise NV) for precise measurements.

Measurement of auricular parameters. The auricular parameters measured included the length of the auricle (LA), the width of the auricle (WA), arch length of the auricle (ALA), cranioauricular height (CH) and cranioauricular angle (CA). LA, WA, ALA, CH, and CA were calculated in the measurement model of the Mimics software. The definitions for these five parameters were as follows: LA, the maximum distance from the sup-helix to the sub-lobe (a-b; Fig. 1A); WA, the maximum distance from the pre-auricle to the post-auricle (c-d; Fig. 1A); ALA, the arc length between the intersection of crus of helix and the tragus and the intersection of earlobe and tragus (e-f; Fig. 1B); CH, the projection of the helical rim at the horizontal plane of the prominent point of tragus to the mastoid (g-h; Fig. 1C and E); and CA, the angle formed between the plane of auricle and the plane of mastoid at the horizontal level of the prominent point of tragus (g-h; Fig. 1D and F).

Surgeons 1 and 2 independently measured all 20 normal ears using the digital measurement method with an Artec Spider Device and the measurement points designated on the software, whilst surgeons 3 and 4 independently measured all 20 normal ears using a flexible tape and protractor, without using the standardized indices. Measurement points were chosen subjectively by surgeons 3 and 4 according to prior experience.

Statistical analysis. Each patient was measured three times by each surgeon and the mean value was calculated. All data were

imported into Statistical Package for Social Sciences (SPSS) software (version 20.0; IBM Corp.) and analyzed. Distribution of data was analyzed using the Shapiro-Wilk normality test (P>0.05). Paired samples t-test and Wilcoxon signed rank test were used and P<0.05 was considered to indicate a statistically significant difference. Five sets of data were analyzed using intra-class correlation coefficients (ICC). The level of clinical significance is considered poor, fair, good and excellent when ICC <0.40, 0.40<ICC<0.59, 0.60<ICC<0.74, and 0.75<ICC<1.00, respectively (13). Pearson product moment correlation was calculated to reflect the correlation between LA and WA, and between CH and CA.

Results

Summary of data. Age, sex, measured side and measured data of the five parameters of all 20 patients are listed in Tables I and II. The mean value of LA measured by surgeon 1 to 4 was 8.64 ± 4.07 , 58.59 ± 3.95 , 60.02 ± 4.30 and 56.83 ± 3.65 mm, respectively, while that of WA was 31.80 ± 2.92 , 31.82 ± 2.71 , 32.51 ± 2.69 , and 31.41 ± 3.01 mm. The mean value of LA was 135.70 ± 6.56 , 135.57 ± 7.13 , 139.69 ± 7.27 and 134.56 ± 7.48 mm, respectively. The mean value of CH was 19.43 ± 2.51 , 19.67 ± 2.62 , 18.35 ± 2.02 and 20.32 ± 2.78 mm, respectively. The mean value of CA was $85.91\pm14.54^\circ$, $86.55\pm15.18^\circ$, $90.6\pm3.43^\circ$ and $81.8\pm13.86^\circ$, respectively.

The reliability of ratings or measurements. The P-values and ICC of the five sets of data from two different measurement methods were presented in Tables III and IV. No significant differences were observed in all five sets of measured parameters using the digital method between different investigators (P>0.05; Table III). However, significant differences were observed in the same sets of measured data using the manual method between different investigators (P<0.01; Table IV). ICCs between the two surgeons for LA, WA, ALA, CH and CA measured using standardized methods were 0.98, 0.962, 0.944, 0.901 and 0.987, respectively (Table III), indicating high precision and observer independence. By contrast, ICC of LA, WA and CH were calculated to be 0.630, 0.526 and 0.546, respectively (ICC<0.7; Table IV), and 0.790 and 0.807 for ALA and CA, respectively (0.75<ICC<0.9; Table IV) for measurements obtained using the manual method. These results indicated that manual measurements exhibited a lower clinical significance compared with digital measurements.

Linear association between two indices. Pearson product-moment correlation analysis (r=0.479; P<0.05) showed that a moderate but significant positive correlation was observed between CH and CA, whilst the same analysis (r=0.206; P>0.05) did not demonstrate a significant correlation between LA and WA (Fig. 2).

Discussion

The present study reported a standardized method combining 3D scanning technique and Mimics software for the evaluation of auricular size. Measurement of auricular size is one of the key elements in the diagnosis, pre-operation design and therapeutic effect assessment of ear abnormalities (14). However,

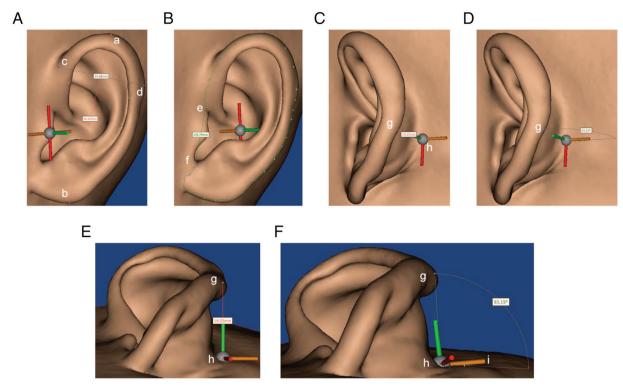


Figure 1. Measurement of length and width of the auricle, arch length of the auricle and cranioauricular height and angle from Mimics software. (A) Anterior view of the auricle indices used for the measurement of the length of the auricle, which is the maximum distance from the sup-helix 'a' to the sub-lobe 'b', and the width of the auricle, which is the maximum distance from the pre-auricle 'c' to the post-auricle 'd'. (B) Anterior view of the auricle and indices used for the measurement of the arch length of the auricle, which is the arc length between the intersection of crus of helix and tragus 'e' and the intersection of earlobe and tragus 'f'. (C) Lateral view of the auricle and indices used for the measurement of cranioauricular height, which is the projection of the helical rim at the horizontal plane of the prominent point of tragus 'g' to the mastoid 'h'. (D) Lateral view of the cranioauricular angle measurement. (E) Low angle view of the auricle with the indices used for the measurement of cranioauricular height. (F) Low angle view of the indices used for the measurement of the cranioauricular angle (angle ghi), which is the angle between the plane of the auricle and the plane of the mastoid at the horizontal level of the prominent point of tragus. a, the sup-helix; b, the sub-lobe; c, the pre-auricle; d, the post-auricle; e, the intersection of crus of helix and tragus; f, the intersection of earlobe and tragus; g, the prominent point of tragus; h, the projection of the point p at the horizontal plane to the mastoid; i, a random point at the horizontal plane across the point h.

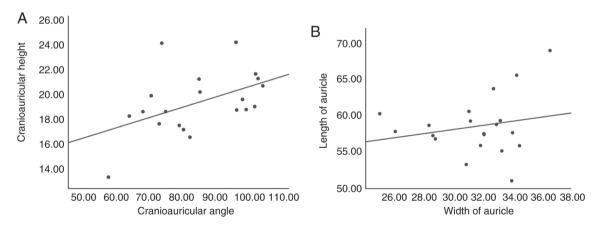


Figure 2. Scatter diagram of Pearson product moment correlation. (A) Correlation between cranioauricular height and angle. (B) Correlation between the length and width of the auricle.

uniform and standardized objective methodology is currently unavailable (7,9,14) and variations exist in the measurement results obtained by different investigators. These differences could lead to errors in surgical or non-surgical therapy for the correction of auricular abnormalities, especially in multiple stage surgery. In previous studies, manual measurement was utilized in the pre- and post-therapy appraisal of auricular

abnormalities, where low accuracy and high error rates were reported (5,15). In particular, in the measurement of cranioauricular angle, there are a number of reliable methods, including alginate mold (7). In a previous study, visual inspection was chosen for ear similarity, leading to imprecise outcomes (16). Although innovative methodologies providing auricular measurements have been previously proposed, this

Table I. Clinical characteristics and measured data from the digital measurement.

Detiont			Mooning	LA (mm)	mm)	WA (mm)	mm)	ALA	ALA (mm)	CH (mm)	mm)	CA (°)	(.)
number	Sex	Age	side	Surgeon 1	Surgeon 2								
1	M	9	Right	58.89	58.66	33.15	34.10	121.93	122.66	21.72	21.00	103.27	102.12
2	Σ	12	Left	60.38	59.86	25.26	24.86	149.24	148.12	24.2	24.29	74.93	72.15
3	Ц	7	Right	57.92	59.16	26.31	28.36	140.31	137.60	18.86	20.14	100.41	107.78
4	М	7	Left	53.35	52.25	31.11	32.55	132.00	130.36	16.63	18.56	83.43	84.03
5	Ц	5	Left	56.90	56.56	29.02	28.99	135.01	130.68	19.10	19.10	102.99	105.35
9	М	9	Left	58.77	57.73	28.59	28.48	131.44	132.69	13.42	12.24	58.81	06.09
7	М	5	Left	51.10	53.34	34.18	33.19	127.51	127.18	19.67	23.24	99.30	101.40
8	М	~	Right	57.75	57.54	34.24	33.61	138.03	132.19	17.58	19.60	80.20	82.55
6	Щ	10	Left	02.09	60.43	31.29	31.72	137.47	138.26	18.69	18.26	76.10	72.71
10	Ц	9	Right	57.36	57.19	28.85	28.53	133.86	131.11	19.97	19.47	71.73	72.48
11	M	10	Left	59.36	59.03	31.39	31.97	140.99	142.76	20.77	20.30	105.42	103.16
12	M	6	Left	55.24	56.08	33.51	34.25	134.32	135.61	24.27	23.92	97.34	98.72
13	Ц	2	Left	57.61	57.24	32.30	31.95	133.15	133.53	21.31	20.80	86.17	85.85
14	M	9	Right	55.98	56.07	32.07	32.33	134.54	136.30	17.71	17.66	74.14	TT.TT
15	Ц	9	Left	63.84	63.57	32.95	32.75	134.78	134.99	21.35	21.59	104.01	105.69
16	M	13	Right	65.71	66.75	34.52	34.31	140.06	143.84	18.82	19.00	97.55	96.76
17	M	6	Right	59.43	58.91	33.41	32.69	133.68	134.00	20.27	19.50	86.46	86.54
18	M	∞	Right	55.95	56.09	34.71	34.08	138.99	141.24	18.33	18.16	65.11	65.22
19	Ц	15	Left	69.12	68.57	36.77	36.24	148.95	151.09	18.69	19.36	69.24	06.79
20	\boxtimes	2	Left	57.50	56.78	32.31	31.43	127.72	127.21	17.24	17.29	81.49	80.72

LA, length of the auricle; WA, width of the auricle; ALA, are length of the auricle; CH, cranioauricular height; CA, cranioauricular angle.

Table II. Clinical characteristics and measured data from the manual measurement.

Dotient			Measured	LA (mm)	mm)	WA (mm)	mm)	ALA (mm)	(mm)	CH (mm)	mm)	CA (°)	(,)
number	Sex	Age	side	Surgeon 3	Surgeon 4								
1	M	9	Right	60.5	57.4	35.2	33.1	128.2	121.5	19	22.1	110	95
2	\mathbb{Z}	12	Left	62.5	56.7	27.1	23.4	152.3	147.4	22.5	25.2	85	70
3	Ц	7	Right	59.2	56.8	28.2	29.7	145.2	135.4	17.4	21.1	105	100
4	\mathbb{Z}	7	Left	55.4	51.2	31.8	34.4	135.8	129.4	15.3	18.4	85	82
5	Ц	5	Left	57.1	53.2	29.9	31.6	138	129.6	18.5	18.9	104	100
9	\mathbb{Z}	9	Left	59.8	55.5	31.3	27.2	134.3	129.7	14.2	12.2	70	09
7	\mathbb{Z}	5	Left	53.1	51	35	32.8	130.2	125.1	18.4	23	105	95
8	\mathbb{Z}	8	Right	60.3	57.2	36.2	31.2	139.5	133.4	17	21.3	88	78
6	Ц	10	Left	62	58.2	31.4	33.5	140.2	139	18.8	18.5	85	73
10	Ц	9	Right	59.5	55.2	28.5	29.6	136.4	131.1	18.7	20.5	80	71
11	M	10	Left	60.2	57.2	32.4	34.5	146.4	142.1	17.8	21	108	86
12	M	6	Left	56.3	55.2	35.2	32.5	138	136.4	21.4	23.8	66	06
13	Ц	5	Left	59.6	55.9	33.9	29.8	134.8	131.1	20.4	23.1	87	80
14	\mathbb{Z}	9	Right	55	57.2	32.8	34.5	138	135.2	15.7	19.8	78	70
15	Ц	9	Left	65.3	60.2	34.7	30.1	138.3	132.9	20.1	22.4	108	102
16	\mathbb{Z}	13	Right	9.79	61.2	35.5	31.8	149	143.1	18.24	19	100	93
17	\mathbb{Z}	6	Right	62.7	57.5	31.2	29.4	138.7	133.5	20.5	19.5	06	80
18	M	8	Right	55.2	58.1	31.1	31.2	145.2	142	18	18.8	70	62
19	Ц	15	Left	70.3	6.79	35.6	37.4	155.3	149.2	17.6	20	70	63
20	M	5	Left	58.8	53.8	33.2	30.5	129.9	124.1	17.4	17.8	85	74

LA, length of the auricle; WA, width of the auricle; ALA, arc length of the auricle; CH, cranioauricular height; CA, cranioauricular angle.

Table III. P-values and ICCs of the data obtained using the digital measurement method.

Statistical item	LA	WA	ALA	СН	CA
t value	-0.256	-0.126	0.242	-0.949	-1.183
P-value	0.801	0.901	0.812	0.355	0.252
ICC	0.98	0.962	0.944	0.901	0.987

Paired samples t-test was used for the comparison of data obtained by the two surgeons using digital measurement method. LA, length of the auricle; WA, width of the auricle; ALA, arc length of the auricle; CH, cranioauricular height; CA, cranioauricular angle; ICC, intra-class correlation coefficient.

method is inconvenient and time-consuming (7,9). In addition, another essential factor that influences the outcome of manual measurement is that contact with the ears results in ear distortion and introduces further variation to the data. To the best of our knowledge, this is the first study that attempted to unify the indices for the measurement of auricles using a highly precise and reliable digital method.

CT scans are widely used to collect data for 3D reconstruction (10,17). It has been previously reported that exposure to radiation is a risk factor for the development of malignant tumors (18). Since clinical benefits should be considered for patients, especially for children, an alternative for CT scans would be beneficial. In the present study, a 3D surface scanner digitizing the intricate details of the auricle was used. A previous study has demonstrated minimal differences in the screenshots of specimen surfaces obtained using a CT scan and an Artec Space Spider scanner (19). Blue light 3D scanning technology used in the Artec Spider does not emit radiation during the scanning process and is therefore harmless to patients (20).

Digitizing software is frequently applied in 3D reconstruction and measurement, and other aspects in the field of surgery (21,22). In the present study, Mimics software was used for the measurement of straight-line distance, arc length and angle with an accuracy of 0.01 mm and 0.01°, which was markedly higher compared with previous reports (6,9). Mimics software is an image processing software that can create 3D models, measurements and analysis of 3D images (23), but was mostly applied in oral and maxillofacial surgery (10,22). Manual measurements and digital measurement has been compared previously. Some previous reports have drawn the conclusion that manual and digital measurements are similar and that they were both reliable (24,25), while in another study the authors deemed the digital approach more reliable (26).

As the auricle can become easily deformed during manual measurement, error derived from distortion is common. The 3D scanning requires no ear contact, and therefore, introduces no distortion to the auricle, suggesting that digital measurement may be more accurate compared with manual measurement. The position of the measured subject and the perspective of the observer may also influence the measure-

Table IV. P-value and ICCs of the data obtained using the manual measurement method.

Statistical item	LA	WA	ALA	СН	CA
Z-value	-3.585	-1.999	N/A	N/A	N/A
t value	n/a	n/a	11.188	-4.845	12.471
P-value	< 0.01	< 0.01	< 0.01	< 0.01	< 0.01
ICC	0.630	0.526	0.790	0.546	0.807

Wilcoxon signed-rank test or paired samples t-test was used for the comparison of data obtained by the two surgeons using manual measurement method, as appropriate. LA, length of the auricle; WA, width of the auricle; ALA, arc length of the auricle; CH, cranioauricular height; CA, cranioauricular angle; ICC, intra-class correlation coefficient; N/A, not available.

ments, as previously described (27). Following data collection and processing, all operations were performed *in silico*, where the 3D images could be scrutinized at will to expose details if necessary, especially for the selection of measurement points of the auricle. Therefore, combined with traditional tools, this method can provide a highly precise auricular measurements without contact-mediated distortion.

Results from the present demonstrated the digital measurement method is replicable and reliable, which carries advantages over the manual method. No significant differences were found between measurements of the five auricular parameters made by the two surgeons using the digital method. However, significant differences were found between measurements made by the two surgeons using the manual method with non-uniformed indices. An important indicator for the assessment of a new measurement method is the reproducibility (28). In the present study, ICC using a scale of 0-1 was calculated for this purpose. The ICC values calculated from digital measurements ranged from 0.901 to 0.987 demonstrating excellent reproducibility by independent investigators. In comparison, ICC values from manual measurements ranged from 0.526 to 0.807. This finding suggests that the standardized digital measurement method is more repeatable and reliable compared with the traditional manual method. Subsequently, Pearson's product moment correlation analysis was utilized to assess the correlation between CH and CA in addition to the correlation between LA and WA. A moderate but significant positive correlation was observed between CH and CA (0.4<r<0.7), suggesting that higher ear location is correlated with a larger CA. In the process of costal cartilage carving, increasing base thickness would increase the cranioauricular angle. However, no positive correlation between LA and WA was found (r=0.206).

A comprehensive auricular measurement method should specifically analyze the main characteristics of the ear shape. The significance of the LA and WA, which are used widely, is well known (5). Some diagnosis of ear deformations such as the prominent ear is dependent on cranioauricular angles (7). In addition, CH and CA are the key indices in diagnosing microtia (20). The ALA is becoming a very promising index in

the diagnosis of constricted ear and other abnormalities which are associated with substantial helix changes (29). Therefore, in the present study, a total of five parameters were measured, which contain almost all the necessary data for the diagnosis, pre-operation design and outcome evaluation of a variety of auricular abnormalities.

This study has a number of limitations. No matter which measurement method is used, inconsistent measurement points can occur on different ears, resulting in the value measured to not be representative of the maximum value. For instance, in the measurement of the WA, many investigators choose the intersection of the helix and the skull surface as the origin, but these intersections can vary markedly in different cases (5). However, in the evaluation of therapy outcomes, it is paramount that the same measurement points are chosen before and after therapy for precise measurements to be achieved.

In conclusion, measurement of LA, WA, ALA, CH and CA using the standardized digital method combining 3D scanning technology and Mimics software is more precise, convenient, reproducible and reliable compared with the traditional manual method. The LA, WA, ALA, CH and CA measured for the evaluation of different auricle abnormalities and healthy auricles are comprehensive, efficient and simple, and a positive correlation between CH and CA was found in the present study.

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Availability of data and materials

All data generated or analyzed during this study are included in this published article.

Authors' contributions

DW, HYJ, BP and LL designed the current study. DW, HYS and XBY contributed to acquisition and analysis of patient data. LL, HYJ, QHY and LRH supervised and managed the study. HYJ, QHY and LRH performed the surgeries. DW and LL wrote the manuscript. HYJ, QHY, LRH, HYS, XBY and BP revised the manuscript. DW, HYJ and LL contributed to the acquisition of funding. All authors gave their approval to the final version of this manuscript.

Ethics approval and consent to participate

Ethical approval of the study was obtained from the Institutional Review Board of Plastic Surgery Hospital of

Peking Union Medical College. All parents or guardians of the patients granted informed consent to participate in the present study.

Patient consent for publication

Informed consents from all patients included in the current study were obtained for publication.

Competing interests

The authors declare that they have no competing interests.

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