




# VISTA: A Promising Target for Cancer Immunotherapy?


Marco Tagliamento <sup>1,2</sup>


Elisa Agostinetti <sup>3,4</sup>

Roberto Borea <sup>2,5</sup>

Mariana Brandão <sup>3</sup>

Francesca Poggio <sup>6</sup>

Alfredo Addeo <sup>7</sup>

Matteo Lambertini <sup>2,8</sup>

<sup>1</sup>Department of Medical Oncology, Medical Oncology 2, IRCCS Ospedale Policlinico San Martino, Genova, Italy;

<sup>2</sup>Department of Internal Medicine and Medical Specialties (Di.M.I.), University of Genova, Genova, Italy; <sup>3</sup>Institut Jules Bordet and Université Libre de Bruxelles (U.L.B), Brussels, Belgium; <sup>4</sup>Medical Oncology and Hematology Unit, IRCCS Humanitas Clinical and Research Center and Humanitas University, Milan, Italy;

<sup>5</sup>Department of Medical Oncology, Medical Oncology 1, IRCCS Ospedale Policlinico San Martino, Genova, Italy;

<sup>6</sup>Breast Unit, IRCCS Ospedale Policlinico San Martino, Genova, Italy; <sup>7</sup>Oncology Department, University Hospital of Geneva, Geneva, Switzerland;

<sup>8</sup>Department of Medical Oncology, UOC Clinica di Oncologia Medica, IRCCS Ospedale Policlinico San Martino, Genova, Italy

**Abstract:** Agents targeting the B7 family co-inhibitory receptors cytotoxic T-lymphocyte antigen-4 (CTLA-4) and programmed cell death protein-1 (PD-1), or its ligand (PD-L1), have a pivotal role in clinical practice. V-domain Ig suppressor of T-cell activation (VISTA) is a protein highly conserved between species, with a similar amino acid sequence to the B7 family members, characterized by a particularly structural homology to PD-1. It has been counted as an emerging target within the list of novel targetable immune checkpoints in oncology. Physiologically, VISTA exerts a regulatory function on the immune system at several levels, particularly by modulating T cells activation. Its altered activity plays a role in many autoimmune diseases, and its expression has been found to be prognostically implicated in different cancer types in preclinical models. We hereby present the main evidence on the value of VISTA as an immune checkpoint in solid and hematological malignancies. We also review its value as a potential target for cancer immunotherapy, by reporting the results of Phase I and II clinical trials assessing the use of drugs targeting VISTA. The complexity of its pathway, along with some unclear biological aspects concerning its molecular interactions, currently represent a limit to the applicability of VISTA as an effective biomarker for immunotherapy in oncology. A deeper characterization of this immune checkpoint may help defining its value within immune signatures of solid and hematological malignancies, and to design future therapeutic strategies.

**Keywords:** VISTA, immune checkpoint, immunotherapy, cancer, biomarker

## Introduction

### Immune Checkpoint Signaling and Cancer

Immune suppression is one of the escape mechanisms adopted by cancer to overcome immune surveillance. Multiple mechanisms contribute to suppress immune cells that populate the tumor's microenvironment, both through signaling and metabolic processes.<sup>1</sup> The discovery of immunoreceptors and the definition of their role in modulating the immunosurveillance have become a crucial hallmark in the treatment of cancer. Tumor cells work by upregulating the role of inhibitory immunoreceptors and by downregulating that of stimulatory immunoreceptors.<sup>1</sup> The development of immune checkpoint inhibitors (ICIs) has represented a cornerstone in oncology. The rationale of their activity is the restoration of the immune competence by removing the breaks to antitumor immune responses, particularly by blocking inhibitory signaling pathways.<sup>2</sup>

To date, agents targeting the B7 family co-inhibitory receptors cytotoxic T-lymphocyte antigen-4 (CTLA-4) and programmed cell death protein-1 (PD-1) have hired a pivotal role in clinical practice.<sup>2</sup>

Correspondence: Marco Tagliamento  
Department of Internal Medicine and  
Medical Specialties (Di.M.I.), University of  
Genova, Genova, Italy  
Tel +39 010 5558902  
Email marco.tagliamento@edu.unige.it

Novel pathways involving other inhibitory immunoreceptors, particularly those eliciting T cell suppression, have been discovered and studied to serve as predictive biomarkers in preclinical and clinical models.<sup>1,3–8</sup> Among them, lymphocyte-activation gene 3 (LAG3),<sup>9</sup> programmed cell death protein-ligand 2 (PD-L2),<sup>10</sup> B and T lymphocyte attenuator (BTLA),<sup>11</sup> T-cell immunoglobulin and mucin domain 3 (TIM3),<sup>12,13</sup> T cell immunoglobulin and ITIM domain (TIGIT),<sup>14</sup> B7-H3,<sup>15</sup> B7-H4,<sup>16</sup> and V-domain Ig suppressor of T-cell activation (VISTA)<sup>17</sup> have been described as emerging targets in the list of immune checkpoints. Moreover, neoantigens represent a promising tool for immunotherapy in oncology. They are new peptide sequences (neoepitopes) generated by non-synonymous somatic mutations, strictly tumour specific thus identified by T-cells as non-self-proteins, and detectable by genomic-based computational tools. Actually, personalized vaccines and tumour infiltrating lymphocytes (TILs)-based adoptive T-cell therapy have been investigated as targeting strategies.<sup>18</sup>

In this review, we explore the role of VISTA in the landscape of cancer immunotherapy.

## VISTA: Genomic and Molecular Structure

Human VISTA is a 279-amino acid protein with similar sequence to the B7 family of ligands and receptors. It is structured with an extracellular domain (162-amino acid), a transmembrane domain (21-amino acid), and a cytoplasmic domain (96-amino acid).<sup>19</sup> It is also known as differentiation of embryonic stem cells 1 (Dies1), c10orf54, VSIR, Gi24, B7-H5, SISP1, DD1 $\alpha$  and PD-1 homolog (PD-1H).<sup>20</sup> Its transcript is coded by the gene *Vsir*, located into an intron of the *CDH23* gene on chromosome 10 (10q22.1).<sup>19</sup>

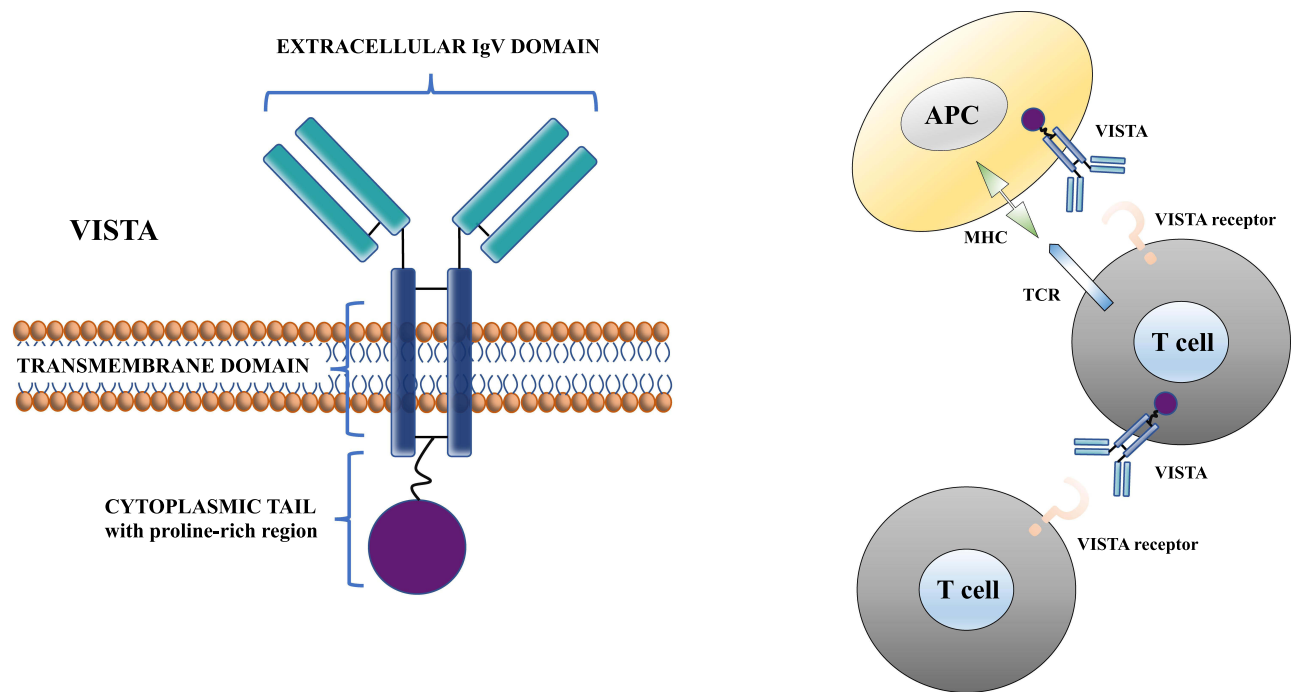
VISTA is highly conserved between species showing 76% identity between mouse and human (its cytoplasmic tail shares up to 91% identity), and the highest sequence identity between mouse and zebrafish genomes. The closest homolog to VISTA within the B7 family is PD-L1, which shares 22% sequence identity. VISTA also resembles to CD28 and CTLA-4 but does not have a classic ITIM/ITAM motif as compared to other B7 co-receptors. Moreover, it does not include a IgC domain but only a single IgV domain, and it includes a protein kinase C binding site and a proline-rich motif that can serve for the interaction with other molecules.<sup>20</sup> Thus, the role of VISTA as either a ligand, a receptor, or both, has been assumed.<sup>19</sup> Both mechanisms could contribute to the

overall role of VISTA.<sup>3</sup> Figure 1 depicts the molecular structure of VISTA protein and its function at a cellular level.

## Physio(Patho)Logical Function of VISTA: in vitro and in vivo Models

In mice, VISTA is expressed predominantly in hematopoietic tissues, such as the spleen, thymus, lymph node, and bone marrow (BM).<sup>21</sup> The lung and the small intestine also show high levels of expression, presumably due to high leukocytes infiltration. In non-hematopoietic tissues, including brain, heart, muscle, testis, and placenta, lower levels of VISTA messenger RNA (mRNA) have been reported.<sup>21</sup> In mice, VISTA mRNA is expressed during the embryonic stem cell phase. Different studies suggest it plays a role in regulating the signaling of bone morphogenic protein 4 (BMP4), causing stem cell differentiation.<sup>22,23</sup> Among the hematopoietic compartment, myeloid cells express the highest level of VISTA mRNA and of the corresponding protein. VISTA protein is also expressed on naïve CD4+ and CD8+ TCR $\alpha\beta$  T cells, NK cells, regulatory T cells (Treg), and TCR $\gamma\delta$ . On the contrary, VISTA protein is not expressed on B cells.<sup>24</sup> Similarly to mice protein, human VISTA protein is mainly expressed on hematopoietic tissues, especially in those with high infiltration of leukocytes.<sup>25</sup> Bharaj et al characterized the expression of VISTA protein on healthy humans, finding high levels on CD14+ monocytes, low levels on CD3+ T lymphocytes and CD19+ B cells.<sup>26</sup> In order to understand the mechanism of VISTA regulation, monocytes were cultured with different cytokines, and only IL-10 and IFN- $\gamma$  led to an upregulation of VISTA levels.<sup>26</sup>

Currently, little is known about the function of VISTA within the immune system. VISTA regulates T cells function on hematopoietic cells and leukocytes, reducing their activity. The first evidence about VISTA role on T cell regulation was derived from autoimmune encephalomyelitis murine models.<sup>21</sup> VISTA blockade increased T cell-mediated immunity, suggesting the role of VISTA in controlling autoimmunity similarly to PD-L1 and PD-L2.<sup>21</sup> In VISTA knockout (KO) mouse model, the deactivation of VISTA pathway led to spontaneous activation of CD4+ and CD8+ T lymphocytes and chronic inflammation among multiple tissues.<sup>27</sup> The inflammation is supported by the production of different cytokines, such as IFN- $\gamma$ , TNF- $\alpha$ , and IL-17A, that induce a Th1-polarizing



**Figure 1** Molecular structure of VISTA protein and its function at a cellular level.

**Notes:** Vista plays a role as ligand on APCs and as receptor on T cells. Modified from Tagliamento M, Bironzo P, Novello S. New emerging targets in cancer immunotherapy: the role of VISTA. *ESMO Open*. 2019;4:e000683.<sup>17</sup> © 2020 The Authors. Creative Commons CC-BY-NC

**Abbreviations:** APC, antigen-presenting cell; TCR, T-cell receptor; MHC, Major Histocompatibility Complex.

phenotype. An interesting hypothesis is that VISTA KO may reduce the threshold of T-cell receptor (TCR) activation towards self-antigens.<sup>27</sup>

A Concanavalin A-induced acute hepatitis VISTA KO mouse model developed hepatitis of higher grade than wild-type mice control. Interestingly, approximately the same number of CD4<sup>+</sup> and NKT cells were found in the liver. These findings support the role of VISTA in regulating T cell immune responses.<sup>28</sup>

In mouse models of graft-versus-host disease (GVHD), the use of the anti-PD-1H monoclonal antibody (mAb) MH5A prevented almost all mice from death.<sup>24</sup> The same authors demonstrated that in GVHD mouse model treated with MH5A, the expansion of donor CD4<sup>+</sup> and CD8<sup>+</sup> T cells was significantly reduced, while any loss of CD19<sup>+</sup> B cells was observed.<sup>29</sup> These observations demonstrate that MH5A directly inhibits allo-reactive T cell expansion and its functionality.

To better understand the possible synergism between VISTA and PD-1, Liu et al investigated chronic inflammation and T cell activation in a single KO mouse model (VISTA and PD-1) and a in double VISTA/PD-1 KO mouse model. They demonstrated that VISTA pathway is

not redundant with PD-1 pathway in controlling T-cell activation.<sup>30</sup>

An *in vivo* experiment on VISTA deficient murine models of acute and chronic immune-complex mediated glomerulonephritis proved a reduction of neutrophil degradation and, *in vitro*, VISTA-deficient neutrophils had low activity in response to immune complexes. This suggests that VISTA may be a potential target in immune-complex mediated glomerulonephritis.<sup>31</sup>

Giant cell arteritis is an autoimmune disease induced by the failure of immune checkpoint regulation. Hid Cadena et al studied the role of VISTA in activating T cell in giant cell arteritis, reporting that VISTA-directed Ig failed to suppress Th1, and Th17, probably due to the reduced expression of VISTA on these cells.<sup>32</sup>

Finally, checkpoint regulators, such as PD-1/PD-L1 and CTLA-4, are important factors in inflammation of the central nervous system. In mouse models and in humans, VISTA is extensively expressed by microglia and less by endothelial cells. The *in vivo* expression of VISTA depends on the microglia activation; in multiple sclerosis lesion tissue his expression was low, whereas it was high in patients with Alzheimer's disease.<sup>33</sup>

The role of VISTA in regulating inflammation is not only based on T cell regulation, since VISTA can instead re-program macrophage biology. *In vitro* experiments demonstrated that VISTA agonists mAb were responsible for a reduction of lipopolysaccharide-induced IL-12p40, IL-6, CXCL2, and TNF, key pro-inflammatory mediators of endotoxin shock, supporting the hypothesis that VISTA is a negative checkpoint regulator which induces both tolerance and anti-inflammatory programs in macrophages.<sup>34</sup>

Little is known about the cognate receptor for VISTA and the mechanism of how VISTA regulates T cell activation. Nevertheless, it is known that VISTA plays an intrinsic and extrinsic role in regulating T cell activity. The intrinsic system implies an inhibition of T cell activation induced by phosphorylation of TCR. This is supported by *in vitro* evidences, where immobilized VISTA-ectodomain suppressed T cell activation.<sup>21,30</sup> The extrinsic one is provided by antigen-presenting cells (APCs). On APCs, VISTA binds a coinhibitory receptor on T cells leading to a suppressive stimulus. *In vitro* data showed that VISTA deletion on both T cells and APCs, when cocultured, considerably increased the proliferation of T cells if compared with a single deficient VISTA cell, either T cells or APCs.<sup>28</sup> Despite the demonstrations on the role of VISTA on T cells activation, VISTA ligand has yet to be clearly identified. Nevertheless, V-Set and Immunoglobulin domain containing 3 (VSIG-3), also known as Immunoglobulin Superfamily member 11 (IGSF11) and Brain-specific and Testis-specific Immunoglobulin Superfamily (BT-IgSF), has been reported to act as a coinhibitory stimulus to activated T-cell functions by bonding VISTA. VSIG-3 is highly expressed in colorectal cancers, hepatocellular carcinomas and intestinal-type gastric cancers. Further studies on VSIG-3/VISTA interaction may better elucidate this pathway.<sup>35</sup>

## Vista Expression in Cancer and Its Value as an Immune Checkpoint: Preclinical Data

The role of VISTA in cancer immunity is complex, with most preclinical data suggesting its immunosuppressive function, thus supporting anti-VISTA treatments as a way to up-regulate immune response against cancer cells.<sup>20</sup> We hereby present the main preclinical findings on the value of VISTA as an immune checkpoint in solid and

hematological malignancies. A detailed summary is reported in [Table 1](#).

## Melanoma

Melanoma is one of the most immunogenic tumor type, and various immunotherapeutic approaches have proven to be very effective in treating it.<sup>36</sup> There are several reports evaluating VISTA expression and its role as immune checkpoint in melanoma.

Rosenbaum et al observed that VISTA promotes tumor onset in immunocompetent mouse melanoma models and that the mutant BRAF-regulated transcription factor (FOXD3) suppresses VISTA expression at the transcript level.<sup>37</sup> Consistently, Xu et al, using B16-BL6 murine melanoma cells, showed that the inhibition of VISTA signaling induced an increased production of proinflammatory mediators and diminished the T cell-suppressive functions of myeloid-derived suppressor cells, thus confirming the immunosuppressive role of VISTA signaling in melanoma and supporting the rationale to investigate VISTA as a potential therapeutic target.<sup>38</sup>

Further evidence on the role of VISTA in melanoma comes from a study conducted on mouse models inoculated with B16BL6 melanoma cells, conditioned with low-dose irradiation and treated with four doses of GVAX (a lethally irradiated granulocyte-macrophage colony stimulating factor-secreting allogeneic whole-cell melanoma vaccine) before the treatment with either VISTA or PD-L1 inhibitors, or both.<sup>30</sup> In this study, treatment with both VISTA and PD-L1 mAb significantly suppressed tumor growth and conferred survival advantage.<sup>30</sup> This finding could indicate that VISTA and PD-L1/PD-1 are independent pathways in controlling tumor-specific T cell responses, and a combined therapeutic blockade can synergistically enhance the antitumor immunity.

Studies conducted on human melanoma samples are also available. Kakavand et al compared VISTA expression on melanoma biopsies collected from 16 patients who initially responded to treatment with ICIs and then progressed.<sup>39</sup> Interestingly, a significant increase in the density of VISTA positive lymphocytes was observed from pre-treatment biopsies to progression, as well as an increased expression of tumor PD-L1.<sup>39</sup> This increase in VISTA expression is interesting and may represent a potential therapeutic target in metastatic melanoma patients, particularly in those progressing to anti-PD-1 therapy, and warrants further assessment in clinical trials.

**Table 1** Selection of Published Studies Evaluating the Role of VISTA in Solid and Hematological Malignancies

Tumor Type	Studies	Research Object	VISTA Expression	Main Findings
Melanoma	Blando et al <sup>48</sup>	Melanoma samples (n=44) (comparison with pancreatic tumor samples)	Immune cells by IHC	Higher density of CD68+ macrophages in the tumoral component of melanoma vs pancreatic tumours. Melanoma had significantly lower density of VISTA expression compared to pancreatic tumors.
	Xu et al <sup>38</sup>	B16-BL6 murine melanoma cells	Immune cells by IHC	Blocking VISTA augmented the ability of myeloid suppressor cells to produce proinflammatory mediators and diminished their T cell-suppressive functions.
	Rosenbaum et al <sup>37</sup>	Melanoma cells	Tumor cells by IHC	The BRAF-regulated transcription factor FOXD3 negatively regulated VISTA expression.
	Liu et al <sup>30</sup>	Mice models inoculated with B16BL6 melanoma cells	NA	Mice treated with both VISTA and PD-L1 mAbs had significantly suppressed tumor growth and survival advantage, whereas single mAb treatment was largely ineffective.
	Kakavand et al <sup>39</sup>	Melanoma samples (n=34)	Immune cells by IHC	Significant increase in density of VISTA+ lymphocytes from pre-treatment biopsies to progression (12/18) (p = 0.009).
	Kuklinski et al <sup>40</sup>	Melanoma samples (n=85)	Immune cells and tumor cells by IHC	The presence of VISTA was associated with a significantly worse disease-specific survival in univariate analysis (hazard ratio = 3.57, p = 0.005) and multivariate analysis (hazard ratio = 3.02, p = 0.02).

(Continued)

**Table 1** (Continued).

Tumor Type	Studies	Research Object	VISTA Expression	Main Findings
Thoracic cancers	Villaroel-Espindola et al <sup>41</sup>	Samples of NSCLC (n=758)	Immune and tumor cells by multiplex quantitative immunofluorescence	VISTA protein was detected in 99% of NSCLC with expression in tumor and stromal cells in 21% and 98% of cases, respectively.
	Brcic et al <sup>42</sup>	Samples of lung adenocarcinoma (n=22) or squamous cell carcinomas (n=27) from therapy-naïve patients and BAL samples (n = 17) from patients with lung cancer	Immune cells and tumor cells by IHC	In 13/66 cases (19.7%), 10–70% of lymphocytes expressed VISTA. Significant correlation was seen between VISTA-positive lymphocytes and high T-reg tumors (p = 0.005).
	Chung et al <sup>61</sup>	Samples of mesothelioma (n=124) and of NSCLC (n=553)	Tumor cells by IHC	VISTA expression was higher in epithelioid type mesothelioma (p < 0.001). No VISTA expression was observed in tumor cells of NSCLC.
	Muller et al <sup>44</sup>	Samples of pleural mesothelioma (n=319) from immunotherapy-naïve patients and samples of benign pleura (n=10)	Immune cells and tumor cells by IHC	85% of 319 samples expressed VISTA. Median VISTA score was higher in epithelioid (50%) subtype vs biphasic (20%) and sarcomatoid (0%) (p < 0.001). VISTA was expressed in inflammatory cells in 94% of 317 samples of mesothelioma.
	Rooney et al <sup>45</sup>	Samples of malignant pleural mesothelioma (n=160)	Immune cells and tumor cells by IHC	VISTA expression was detected in all MPM1 cases (n=160), comprising epithelioid (n=101), biphasic (n=38) and sarcomatoid (n=21). VISTA positivity was demonstrated in both tumour and immune cells. Patients with VISTA “high” tumors showed prolonged survival compared to those with VISTA “low” expression in all subtypes (916.5 days vs 274 days, p < 0.0001).



<b>Pancreatic cancer</b>	Blando et al <sup>48</sup>	Samples of pancreatic cancer (n=67) (comparison with melanoma samples)	Immune cells by IHC	Pancreatic tumors expressed significantly higher levels of VISTA than melanoma tumors, and VISTA expression was found predominantly on CD68 <sup>+</sup> macrophages. Engagement of VISTA diminished cytokine production by T cells isolated from metastatic pancreatic tumors.
	Liu et al <sup>46</sup>	Samples of pancreatic cancer	Immune cells and tumor cells by IHC	88% of the patients showed high-density infiltration of immune cells with up-regulated expression of VISTA in cancer tissues. VISTA was minimally expressed in pancreatic cancerous cells and not detectable in normal pancreatic tissues.
	Byers et al <sup>47</sup>	Frozen samples of pancreatic cancer (n=23)	Immune cells and tumor cells by IHC	VISTA expression (scored ++): in normal adjacent tissue 4/16 (25%); in tumor tissue 1/15 (7%); in IPMN 1/4 (25%); in chronic pancreatitis 0/4 (0%); other cancer types 0/4 (0%).
<b>Colorectal cancer</b>	Xie et al <sup>49</sup>	RNA extracted from colorectal resected tumors and normal tissues (n=32)	RNA sequencing-based gene expression	Colon and rectum adenocarcinomas expressed similar levels of VISTA. VISTA expression levels were significantly reduced in colorectal tumors compared to normal controls (p < 0.01).
	Zaravinos et al <sup>50</sup>	TCGA data of colorectal tumor samples (n=72)	IHC and RT-qPCR	VISTA expression was significantly higher in MSI+ colorectal cancers compared to MSS tumors.

(Continued)

Table 1 (Continued).

Tumor Type	Studies	Research Object	VISTA Expression	Main Findings
Breast cancer	Zong et al <sup>53</sup>	Samples of invasive ductal carcinoma of breast (n=919)	Immune cells and tumor cells by IHC	VISTA was expressed on the immune cells of 29.1% (267/919) of the samples and on the tumor cells of 8.2% (75/919) of the samples.
	Xie et al <sup>52</sup>	Cells from breast cancer samples (n=14,897) and paired normal cells (n=7,320)	Single-cell RNA-seq analysis of gene expression levels	A higher level of VISTA expression was observed in breast cancer tissue compared to adjacent normal tissue. VISTA expressed highest in breast cancer tissue than other immune checkpoints.
	Cao et al <sup>58</sup>	Samples of TNBC (n=254)	Immune cells and tumor cells by IHC	VISTA was expressed on immune cells in 87.8% (223/254) of the samples, and on tumor cells in 18.5% (47/254) of the samples.
Glioma	Pilonis et al <sup>59</sup>	4T1 murine mammary cancer model of metastatic and immune-resistant TNBC	NA	The efficacy of an antibody specific for VISTA was enhanced by focal RT. Cyclophosphamide + RT and dual PD-1/VISTA blockade had superior therapeutic effects, associated with activation of tumor-infiltrating CD8+ T cells and depletion of intratumoral granulocytic myeloid-derived suppressor cells.
	Flies et al <sup>28</sup>	Murine brain glioma model	NA	VISTA-deficient animals were highly resistant to tumor induction in a murine brain glioma model.
Renal Cell Carcinoma	Hong et al <sup>60</sup>	Paired tumor and para-tumor tissues from renal cell carcinomas	Analysis of mRNA expression level of C10orf54 (encoding VISTA) by oncoprint and protein analyzed by immunofluorescence	VISTA was mostly expressed on CD45 <sup>+</sup> cells in para-tumors and tumors. The expression level of VISTA in para-tumors was significantly lower than that in tumor sections, in line with the expression pattern of VISTA mRNA.
Ovarian and endometrial cancer	Zong e al. <sup>62</sup>	Samples of ovarian cancer (n=146) assessed using IHC	Tumor cell by IHC	VISTA was detected in 51.4% of all samples and 46.6% of PD-L1-negative samples; it was expressed in 28.8%, 35.6%, and 4.1% of tumor cells (TCs), immune cells (ICs), and endothelial cells, respectively. VISTA expression in TCs, but not in ICs, was associated with prolonged progression-free and overall survival. The expression of C10orf54 mRNA was associated with prolonged overall survival.



<b>Hematological malignancies</b>	Kim et al <sup>64</sup>	VISTA knockout or wild type mice transplanted with murine myeloid leukemia cells (C1498)	NA	Murine tumor cells growth in vivo was diminished in VISTA knockout mice compared to wild-type mice ( $p < 0.05$ ) and survival significantly improved ( $p < 0.05$ ).
	Wang et al <sup>65</sup>	Blood samples of patients affected by acute myeloid leukemia (n=30)	Tumor and immune cells by immunofluorescence	VISTA was highly expressed on myeloid-derived suppressor cells in the peripheral blood. VISTA expression was significantly higher in patients with newly diagnosed leukemia compared to healthy controls. Knockdown of VISTA by specific siRNA potently reduced the inhibition of CD8 T cell activity.

**Abbreviations:** IHC, immunohistochemistry; NA, not applicable; NSCLC, non-small-cell lung cancer; BAL, broncho-alveolar lavage; IPMN, intraductal papillary mucinous neoplasm; RT-qPCR, reverse transcription quantitative real-time polymerase chain reaction; RT, radiotherapy; TNBC, triple-negative breast cancer.

In addition, there is evidence of VISTA as a negative prognostic factor in melanoma. Kuklinski et al, evaluating 85 specimens of primary melanoma at different pathologic stage, observed that VISTA expression on tumor-infiltrating inflammatory cells was associated with worse disease-free survival both at univariate (hazard ratio [HR] = 3.57,  $p = 0.005$ ) and multivariate (HR = 3.02,  $p = 0.02$ ) analyses.<sup>40</sup>

## Lung Cancer

Several studies support the role of VISTA as an inhibitory immune checkpoint in lung cancer. Villarroel-Espindola et al performed localized measurements of VISTA protein on 758 samples of stage I to IV non-small-cell lung cancer (NSCLC), and detected VISTA protein expression in 99% of specimens with a predominant expression in stromal cells, rather than tumor cells (98% and 21% of cases, respectively).<sup>41</sup> The level of VISTA expression was associated with PD-L1 and PD-1, and to CD8+ T cells and CD68+ macrophages levels, with a higher expression in T lymphocytes and in cytotoxic T cells compared to macrophages and T-helper cells, respectively.<sup>41</sup> In a study led by Brcic et al, in roughly 20% of 49 analyzed resected lung cancer tissues, 10% to 70% of lymphocytes expressed VISTA. No correlation was observed between PD-L1 tumor-cell expression and PD-1 or VISTA expression on lymphocytes.<sup>42</sup> Interestingly, a significant correlation was seen between VISTA-positive lymphocytes and high T-regulatory cells in tumor samples, indicating a potential influence of VISTA on immunosuppressive cells.<sup>42</sup>

## Mesothelioma

Data extracted from The Cancer Genome Atlas Study showed that pleural mesothelioma displays the highest expression of VISTA among all cancers.<sup>43</sup>

In a study by Muller et al, VISTA was analyzed by immunohistochemistry on tumor and inflammatory cells from 319 immunotherapy-naive pleural mesotheliomas (254 epithelioid, 24 biphasic and 41 sarcomatoid) and 10 specimens of benign pleura. All benign tissues expressed VISTA, while 85% of 319 tumoral samples expressed VISTA. Median VISTA score was significantly higher in epithelioid vs biphasic and sarcomatoid. On multivariate analysis, VISTA expression in mesothelioma was associated with better overall survival (OS), contrary to what was found on regard to PD-L1 expression.<sup>44</sup>

In a study by Rooney et al, VISTA expression on both tumor and immune cells was detected in all 160 malignant pleural mesothelioma samples evaluated, independently by histology, and its detection was correlated with a better OS.<sup>45</sup>

## Pancreatic Cancer

Pancreatic cancer is traditionally associated with a poor prognosis, and to date several therapeutic approaches including immunotherapy have failed to achieve any significant benefit in the last decades. Liu et al observed that VISTA was expressed in pancreatic cancer, predominantly in immune cells infiltrating the tumor tissue (as observed in 88% of 52 analyzed patients), while it was minimally expressed on cancer cells and not detectable in normal pancreatic tissues.<sup>46</sup> On the contrary, Byers et al showed that, using frozen samples from resected pancreatic cancers, VISTA expression was restricted to normal pancreas tissue, while its expression was downregulated in tumor tissue, suggesting that loss of VISTA signal could prompt immune evasion of pancreatic cancer.<sup>47</sup> These controversial findings definitely underline the need for further investigation in this setting. Of note, pancreatic cancer is a peculiar tumor type, with particular characteristics, starting from its tumor microenvironment, consisting in a thick structure composed of fibrous connective tissue populated with myeloid cells and some spared lymphoid components. Blando et al performed a comprehensive analysis of the immune tumor microenvironment of 67 samples of pancreatic cancer, and compared it to the immune microenvironment of 44 melanoma samples.<sup>48</sup> As expected, pancreatic tumor had minimal to moderate infiltration of CD3, CD4, and CD8 T cells; total CD68+ macrophages did not significantly differ between melanoma and pancreatic cancer, but a higher density of CD68+ macrophages was found in the tumoral component of melanoma compared with pancreatic tumors. Interestingly, despite lower density of CD68+ macrophages, pancreatic tumors had significantly higher density of VISTA expression compared to melanoma tumors.<sup>48</sup> Moreover, the engagement of the VISTA inhibitory pathway resulted in a greater decrease in CD8+ T cell responses compared to that achieved by the engagement of PD-L1 pathway, and in a diminished cytokine production by T cells isolated from metastatic pancreatic tumors.<sup>48</sup> These data seem to support the role of VISTA as a crucial inhibitory checkpoint molecule and,

consequently, as a potential immunotherapeutic target in pancreatic cancer.

## Colorectal Cancer

Several studies have been published on VISTA expression in colorectal cancer. Xie et al analyzed, by RNA-sequencing, VISTA gene expression of colorectal tumors and normal tissue resected from 32 patients, and observed that colon and rectum adenocarcinomas expressed similar levels of VISTA. The expression, however, was significantly reduced in colorectal tumors compared to normal controls.<sup>49</sup> This finding might suggest an immunosuppressive role of VISTA in colorectal cancer. Interestingly, Zaravinos et al showed that VISTA had a significantly higher expression in microsatellite unstable (MSI) compared to microsatellite-stable (MSS) colorectal tumors.<sup>50</sup> These results add further potential explanations among the reasons why MSI tumors tend to have better response to immunotherapy, compared to MSS ones, and support further investigation on VISTA as a possible therapeutic target in colorectal cancer.

## Breast Cancer

There is a limited number of studies focusing on VISTA expression in breast cancer compared to other tumor types, mainly because breast cancer is traditionally considered a “cold” tumor from an immunological point of view.<sup>51</sup> Nonetheless, a single-cell RNA-sequencing analysis of gene expression levels of immune checkpoint molecules (including VISTA) from almost 15,000 cells of a breast cancer sample and from roughly 7,000 paired normal cells, proved that breast cancer tissue had higher levels of VISTA expression compared to adjacent normal tissue.<sup>52</sup> VISTA expression was correlated with pathological grade (I–II vs III;  $p = 0.001$ ), lymph node status ( $p = 0.045$ ), tumor subtype (luminal, HER 2+ and basal-like;  $p < 0.001$ ) and PD-1 ( $p = 0.038$ ), while it was not correlated with the expression of other immune checkpoints (like PD-L1, TIGIT, TIM3, LAG3) in breast cancer tissue.<sup>52</sup>

The first study assessing the expression of VISTA by immunohistochemistry on 919 samples of invasive ductal carcinoma of the breast showed that VISTA was expressed on immune cells and on tumor cells in 29% and 8% of samples, respectively.<sup>53</sup> As expected, VISTA was more frequently observed in oestrogen receptor (ER) and progesterone receptor (PgR) negative, HER2-positive, poorly differentiated tumors, and was associated with PD-1, PD-L1, stromal CD8, and TILs expression. This might be

consistent with the fact that ER-negative breast tumors are known to be more immunogenic, with higher genomic instability compared to other subtypes, and with stromal TILs, having a strong prognostic and predictive value in this subtype.<sup>54-57</sup> Subsequent studies investigating the role of VISTA in breast cancer focused mainly on triple-negative subtype (TNBC). Cao et al evaluated the expression of VISTA in a cohort of 254 patients with early stage untreated TNBC, and observed that 88% and 18% of samples expressed VISTA in the immune cells and in tumors cells, respectively.<sup>58</sup> A further step in the investigation of the therapeutic impact of VISTA in breast cancer was made by Pilonis et al, who tested the combination of local radiotherapy and various immunotherapy strategies using a murine mammary cancer model of metastatic and immune-resistant TNBC.<sup>59</sup> They showed that the efficacy of an Ab specific for VISTA was enhanced by local radiotherapy, thus suggesting that a broad immunotherapeutic approach can help to increase durable responses in patients with TNBC.<sup>59</sup>

## Glioma

Studies on immune checkpoint in gliomas are scarce. A preclinical study on animal models showed that VISTA-deficient animals were highly resistant to tumor induction in a murine brain glioma model, and depletion of CD4+ T cells, but not CD8+ T cells, promoted tumor formation.<sup>28</sup> These findings suggest that VISTA might have a potential immunomodulatory role also in the central nervous system.

## Renal Cell Carcinoma

Despite the limited number of studies on VISTA in RCC, existing evidence supports an inhibitory role for VISTA in its immune environment. Clinical and pathological characteristics of patients with renal cancer cell (RCC) included in different studies have showed that VISTA is predominantly expressed in CD45+ cells in para-tumor and tumor tissues. VISTA is expressed in hematopoietic tissues and highly expressed within the myeloid compartment.<sup>25,60</sup> Ni et al found that T cells coming from kidney cancer patients were activated following binding of a VISTA-Fc fusion protein to surface Fc receptors.<sup>61</sup> In a different study Hong et al found out high prevalence of VISTA expression in clear cell RCC at both mRNA and protein levels.<sup>60</sup> They also found out that CD14+ HLA-DR+ macrophages in tumors expressed higher levels of VISTA. The relationship

between VISTA expression and CD8+ T cell responses identified in the study highlighted that VISTA may suppress tumor immunity.

## Ovarian and Endometrial Cancer

Mulati et al showed that VISTA was expressed in 84 (91.3%) of 92 ovarian cancer tissues samples, with no difference in survival. An important study was conducted by Liao et al who found that VISTA expression increased with advanced disease stage and lymph node metastasis, suggesting that VISTA expression might be involved in cancer progression.

Zong et al hypothesized that VISTA expression in ovarian tumor cells was associated with a favorable prognosis in patients with high-grade serious ovarian cancer and was related to pathological type and PD-L1 expression.<sup>62</sup> Furthermore, VISTA mRNA expression was positively related to immune escape-modulating genes. In vitro studies by Mulati et al showed that VISTA expression by tumor cells suppressed T cell proliferation and cytokine production resulting in immune evasion.<sup>63</sup> These findings represent the first step to consider and explore VISTA as a potential candidate immunotherapeutic target in these cancers.

## Hematological Malignancies

VISTA is predominantly expressed in the hematopoietic compartment, and particularly within the myeloid lineage.<sup>25</sup> Mice immunized with VISTA-Ig showed a suppressed proliferation of T cells and a weakened production of T cell cytokines and activation markers, suggesting the role of VISTA as a negative checkpoint regulator that suppresses T cell activation.<sup>25</sup> Consistently, in a mouse model of acute myeloid leukemia (AML), the proliferation of tumor cells was reduced in VISTA-KO mice, supporting the hypothesis that VISTA induces immune evasion in AML.<sup>64</sup> In addition, in the same study, VISTA Ab significantly decreased tumor cell growth in vivo in KO mice and extended their survival.<sup>64</sup>

One study conducted on human samples collected from patients affected by AML showed that VISTA was highly expressed on myeloid-derived suppressor cells in the peripheral blood.<sup>65</sup> Moreover, VISTA expression was significantly higher in newly diagnosed AML patients compared to healthy controls. Importantly, knockdown of VISTA by specific siRNA potently reduced the inhibition of CD8 T cell activity.<sup>65</sup> Taken together, these data suggest that

VISTA may act as a suppressive modulator of anti-leukemia T cell response.

## Targeting Vista as a Treatment Strategy in Oncology: Clinical Data

JNJ-61,610,588 is a fully human IgG1 mAb directed against VISTA and has been the first one tested in humans. A phase I trial (ClinicalTrials.gov Identifier NCT02671955) was conducted among patients with different solid tumors, previously treated with at least one therapy for metastatic disease. The trial consisted of four parts: part 1 aimed to determine the maximum tolerated dose through a dose escalation mechanism, part 2 explored biomarkers among a cohort of patients with NSCLC, part 3 evaluated the recommended Phase 2 dose (RP2D) in participants with NSCLC, and part 4 evaluated the treatment at RP2D among a cohort of patients with different types of solid tumor (small-cell lung cancer, head and neck, pancreatic, colorectal, cervical cancer). Recruitment was completed in 2017 and results are still awaited.

Based on the comparative structural modeling and biological similarity between VISTA and other B7 family proteins,<sup>66</sup> and on the different surface expression of VISTA from CTLA-4 and PD-(L)1,<sup>67</sup> the strategy of simultaneously blocking multiple pathways was explored. Combination therapy (anti-VISTA and anti-PD-1 or anti-PD-L1) enhanced T cell response and survival, and reduced tumor growth, thus supporting a synergic non-redundant mechanism of action to potentiate negative immune checkpoints blockade.<sup>67</sup> CA-170 is an orally available small molecule that targets PD-L1/L2 and VISTA, resulting in T cell activation and cytokine production. CA-170 was previously tested in a phase I trial conducted in patients with advanced solid cancers and lymphoma already treated with standard therapies, including also anti-PD-(L)1 molecules (ClinicalTrials.gov NCT02812875).<sup>68</sup> The dose-escalation phase has shown acceptable safety results up to 2400 mg daily dosage. Then, a dose expansion phase Ib evaluated the safety and tolerability of this drug only in patients with tumors expressing VISTA. In vitro, CA-170 rescued T cell function similarly to PD-1 antagonists, while inhibited the growth of mouse syngeneic tumors (B16 melanoma, CT26 and MC38 colon carcinoma).<sup>69</sup> No dose limiting toxicities was observed in the phase Ia. A total of 59 patients were enrolled in the dose escalation phase (of whom, 22% with NSCLC). Among the 50

evaluable patients, 50% (n=25) showed stable disease and 16% (n=8) tumor regression. About 20% of patients received at least 7 cycles of treatment, and 1 patient with follicular lymphoma experienced a stable disease at almost 2 years of treatment. In terms of safety, no dose limiting toxicities was observed. The majority of treatment-related adverse events (TRAEs) were grade 1/2, consisting in fatigue, nausea, decreased appetite, vomiting, asthenia, constipation, cough, headache, pyrexia. A total of 5 patients experienced severe TRAEs of grade 3/4: lipase increased, pain, anemia, urinary infection and syncope.<sup>70</sup>

VISTA is highly expressed in pleural mesothelioma, leading to evaluate the activity of targeted agents against VISTA in this subgroup.<sup>71</sup> In the cohort of metastatic pleural mesothelioma (n=12) treated with CA-170 within the phase Ib, no objective responses were reported, and 7 patients experienced a stable disease as best response. Among patients with stable disease, 2 received CA-170 200 mg twice daily with a median duration of response over 2 months, and 5 patients escalated to 1200 mg twice daily with a median duration of response of 4 months. No new safety signals were observed, with low rates of drug-related, immune-related adverse events (irAEs) or serious TRAEs.<sup>72</sup>

The Phase II open-label randomized trial (Clinical Trials Registry–India CTRI/2017/12/011026) compared CA-170 at the dosage of 400 mg vs 800 mg in 62 patients with multiple tumor types, already treated with one to three lines of therapies, excluding immune checkpoint inhibitors. The clinical benefit rate in the evaluable population (n=37) was 59.5%, with a trend towards superior benefit at lower dosage. Overall, CA170 was well tolerated, but higher toxicity was reported in the 400 mg group, with 8 patients experiencing irAEs: 5 with hypothyroidism, 2 with skin rash and one with grade 3 anemia and neutropenia, that led to treatment discontinuation.<sup>73</sup>

A sub-analysis conducted only among patients with NSCLC (n=15) reported a disease control rate and a median PFS of 75% and 19.5 weeks in the 400 mg group vs 50% and 7.9 weeks in the 800 mg group, respectively. No objective responses, however, were observed in both arms.<sup>66</sup>

Table 2 summarizes the current clinical evidences on drugs targeting VISTA, and it describes about ongoing clinical trials.

**Table 2** Clinical Studies of Drugs Targeting VISTA

Clinical Trial Number	Drug	Tumor Type	Phase	Setting	Design	Actual Status	Summary Results
NCT02671955	JNJ-61,610,588	NSCLC, SCLC, head and neck, pancreatic, colorectal, cervical cancer	I	Advanced disease, >1 line	Single-arm, open label	Recruitment stopped in 2017	Results awaited
NCT02812875	CA-170	Solid tumors and lymphoma	I	Advanced disease, >2 line	Single-arm, open label	Enrolment ongoing	- Acceptable safety up to 2400 mg daily dosage - 50% stable disease
CTRI/2017/12/011026	CA-170	NSCLC, HN/oral cavity, MSI-High or dMMR cancers, HL	II	Advanced disease, previously treated with 1–3 lines	Randomized, multiple-arm, open label (comparison between 400 mg vs 800 mg)	Enrolment ongoing (estimated 130 patients)	- 59.5% of CBR - Trend towards higher activity with lower dosage

**Abbreviations:** NSCLC, non-small-cell lung cancer; SCLC, small-cell-lung cancer; HN, head and neck; MSI, microsatellite instability; dMMR, mismatch repair deficient; HL, Hodgkin lymphoma; CBR, clinical benefit rate.

## Discussion and Conclusions

In recent years, VISTA has been considered a potential biomarker in oncology, due to its role as a checkpoint regulator of the immune system. Several studies have investigated whether this immune checkpoint molecule had a prognostic implication in human solid tumors. A systematic review and meta-analysis of 10 studies (2,440 patients) correlated the value of VISTA with clinicopathological features and patient's outcome endpoints including OS and disease-specific survival (DSS), via pooled HR and 95% confidence interval (CI).<sup>74</sup> High expression of VISTA (cut-off differently defined among studies) was associated with better OS compared to low expression (HR = 0.75, 95% CI 0.66–0.86,  $p < 0.001$ ), but no association with DSS was observed (HR = 1.57, 95% CI 0.71–3.48,  $p = 0.268$ ). Among clinicopathological characteristics, high expression of VISTA was significantly associated with high numbers of CD8+ TILs (risk ratio = 1.80, 95% CI 1.41–2.31,  $p < 0.001$ ).<sup>74</sup> CD8+ TILs have already been related to antitumoral properties in different studies involving patients with hepatocellular carcinoma,<sup>75</sup> cervical and ovarian cancer,<sup>76–78</sup> squamous-cell head and neck carcinoma,<sup>79</sup> and lung cancer,<sup>80</sup> thus potentially indirectly supporting the positive prognostic role of VISTA as a biomarker.

In conclusion, we provided an updated overview on the value of VISTA as a biomarker for cancer immunotherapy, reporting its expression in different cancer types, its prognostic role and the rationale for its targeting in clinical practice. Currently, small molecules or mAb directed against VISTA have demonstrated to have acceptable tolerability profiles and clinical activity. Nevertheless, the complexity of VISTA pathway, along with some unclear biological aspects, limit its applicability as a target for cancer immunotherapy in the near future. A deeper characterization of this immune checkpoint may help in defining its role within immune signatures of solid and hematological malignancies, and to design future therapeutic strategies.

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