

1 **Complement therapeutic Factor H-IgG proteins as pre-exposure prophylaxes against Lyme**  
2 **borreliae infections**

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27 **ABSTRACT (206 words)**

28 Lyme disease (LD) is the most common vector-borne disease in the northern hemisphere and  
29 is caused by the bacteria *Borrelia burgdorferi* sensu lato (also known as Lyme borreliae) with no  
30 effective prevention available. Lyme borreliae evade complement killing, a critical arm of host  
31 immune defense, by producing outer surface proteins that bind to a host complement inhibitor,  
32 factor H (FH). These outer surface proteins include CspA and CspZ, which bind to the 6<sup>th</sup> and 7<sup>th</sup>  
33 short consensus repeats of FH (SCR(6-7)), and the OspE family of proteins (OspE), which bind  
34 to the 19<sup>th</sup> and 20<sup>th</sup> SCR (SCR19-20). In this study, we produced two chimeric proteins, FH-Fc,  
35 containing the Fc region of immunoglobulin G (Fc) with SCR(6-7) or SCR(19-20). We found  
36 that both FH-Fc constructs killed *B. burgdorferi* in the presence of complement and reduced  
37 bacterial colonization and LD-associated joint inflammation *in vivo*. While SCR(6-7)-Fc  
38 displayed Lyme borreliae species-specific bacterial killing, SCR(19-20)-Fc versatily eradicated  
39 all tested bacterial species/strains. This correlated with SCR(6-7)-Fc binding to select variants of  
40 CspA and CspZ, but SCR(19-20)-Fc binding to all tested OspE variants. Overall, we  
41 demonstrated the concept of using FH-Fc constructs to kill Lyme borreliae and defined  
42 underlying mechanisms, highlighting the potential of FH-Fc as a pre-exposure prophylaxis  
43 against LD infection.

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## 51 **AUTHOR SUMMARY (169 words)**

52 Transmitted by ticks, Lyme disease (LD) is the most common vector-borne disease in North  
53 America and has experienced an expanded geographical range and increasing number of cases in  
54 recent years. No effective prevention is currently available. The causative agent of LD, *Borrelia*  
55 *burgdorferi* sensu lato (*Bbsl*), is a complex containing a variety of species. To escape from  
56 killing by complement, one of the mammalian host defense mechanisms, *Bbsl* produces outer  
57 surface proteins that bind to a complement inhibitor, factor H (FH). These FH-binding proteins  
58 (i.e., CspA, CspZ, and OspE) evade complement by recruiting FH to the bacterial surface. Here  
59 we produced two FH-Fc fusion proteins, which combine human immunoglobulin Fc with the  
60 human FH domains that bind to *Bbsl* FH-binding proteins. We found that FH-Fc constructs kill  
61 *Bbsl in vitro* and prevent colonization and LD manifestations in murine models, correlating with  
62 these FH-Fc constructs' ability to bind to CspA, CspZ, and OspE from respective *Bbsl* species.  
63 These results suggest the possibility of using FH-Fc as a prevention against LD.

64

## 65 **INTRODUCTION**

66 Lyme disease is the most common vector-borne disease in the northern hemisphere, and the  
67 disease incidence is escalating: the CDC estimated more than 476,000 cases in the United States  
68 and approximately 10,000 cases are reported each year in Europe (1-3). Transmitted by *Ixodes*  
69 ticks, Lyme disease is caused by more than 21 species of spirochete bacteria, collectively named  
70 *Borrelia burgdorferi* sensu lato (also known as *Borrelia burgdorferi*, *B. burgdorferi* s.l., or  
71 Lyme borreliosis)(4). Humans can be infected by selected *B. burgdorferi* s.l. species, including *B.*  
72 *burgdorferi* sensu stricto (hereafter *B. burgdorferi*) prevalent in both North America and Eurasia,

73 and *B. afzelii*, *B. bavariensis*, and *B. garinii* isolated from Eurasia (5). Each of these species have  
74 evolved into multiple genetically distinct “strains”, which differ in their associated  
75 manifestations and the incidence of human cases (5). Following a tick bite, the bacteria  
76 disseminate through the bloodstream from the bite site on the skin to multiple tissues and organs,  
77 causing manifestations, such as arthritis, neuroborreliosis, carditis, and acrodermatitis(6-10).  
78 Despite the continuing rising cases, geographical expansion of prevalence, and diversity of  
79 causative agents, no effective LD preventive is currently available.

80 The survival of Lyme borreliae in humans and reservoir animals requires the ability to  
81 overcome different arms of the vertebrate host immune response in the blood, and the first-line  
82 defense is the complement system (11-13). Complement can be activated through three canonical  
83 pathways: the classical and lectin pathways are initiated by the localization of antigen-antibody  
84 complexes and mannose-binding lectin (MBL) on the surface of microbes, respectively; the  
85 alternative pathway is initiated by C3b binding to the microbial surface (**Fig. 1A**) (14).  
86 Activation results in the formation of either of two C3 convertase enzymatic complexes: (1)  
87 C4b2a, whose assembly is triggered via the classical or lectin pathways; and (2) C3bBb,  
88 enzymatic complexes, triggered via the alternative pathway (**Fig. 1A**). Both C3 convertases  
89 induce the release of proinflammatory peptides (C3a, C5a), the deposition of opsonins (iC3b) on  
90 the microbial surface, and, by recruiting other complement proteins, generate C5 convertases.  
91 The latter catalyzes the formation of the membrane attack complex, C5b-9, for pathogen lysis  
92 (**Fig. 1A**). The proinflammatory nature of the complement cascade necessitates tight control of  
93 this potentially destructive immune defense. Indeed, the host encodes regulators of complement  
94 activation (RCA), proteins that modulate each of the three activation pathways (15). For example,  
95 factor H (FH), which contains 20 short consensus repeats (SCRs), binds to and triggers

96 degradation of C3b to inhibit C3b-containing convertases generated by the alternative  
97 pathway(16, 17) (**Fig. 1A**).

98 To survive in the blood where complement is mainly located, Lyme borreliae produce RCA-  
99 binding proteins to bind and recruit complement regulatory proteins on the spirochete surface to  
100 inactivate complement (12, 18). One group of these proteins bind to FH(19). Among these FH-  
101 binding proteins, CspA (also known as Complement Regulator Acquiring Surface Protein 1 or  
102 CRASP-1) and CspZ (also known as CRASP-2) bind to SCR(6-7) of FH(20, 21). The other  
103 proteins, belonging to a OspE protein family (also known as CRASP3-5), bind to SCR(19-20) of  
104 FH (20, 22, 23). During the enzootic cycle, CspA is expressed mostly when bacteria are in ticks  
105 prior to and during tick-to-vertebrate host transmission, whereas CspZ and OspE are largely  
106 produced while bacteria are in the vertebrate hosts after transmission (24). While the role of  
107 OspE in the enzootic cycle remains undefined, CspA and CspZ confer bacterial evasion to  
108 complement in ticks' bloodmeal to facilitate tick-to-host transmission and in the host  
109 bloodstream for efficient dissemination, respectively (25-27). These findings thus led to the  
110 concept that targeting these FH-binding functions might serve as an intervention against Lyme  
111 disease.

112 In fact, efforts have been made to test this concept by generating several candidates of  
113 complement-targeted therapeutics, one of which is FH-Fc (28). FH-Fc are recombinant fusion  
114 proteins containing SCR(6-7) or SCR(19-20) that bind to the FH-binding proteins from  
115 pathogens, as most pathogens' FH-binding proteins target those FH regions. By displacing FH  
116 on the pathogen surface, FH-Fc are intended to prevent alternative complement pathway evasion.  
117 FH-Fc also contain the Fc region of immunoglobulins, allowing the activation of classical  
118 pathway-mediated pathogen killing(28). In support of this concept, FH-Fc has been

119 demonstrated to efficiently eliminate multiple bacterial or parasite species, such as *Neisseria*  
120 *gonorrhoeae*, *Neisseria meningitidis*, *Streptococcus pyogenes*, *Haemophilus influenza*,  
121 *Trypanosoma cruzi*(29-31, 32 , 33).

122 In this study, we produced two FH-Fc constructs using *Nicotiana benthamiana* (tobacco plant  
123 expression system), a platform that can rapidly produce large amounts of foreign proteins for  
124 pharmaceutical use (34). We tested the ability of these FH-Fc constructs to kill different species  
125 or strains of *B. burgdorferi* s.l. *in vitro* and to prevent Lyme-associated bacterial colonization and  
126 manifestations using murine models. We also attempted to determine the mechanisms underlying  
127 the FH-Fc-mediated Lyme borreliae killing by measuring the binding affinity of FH-Fc  
128 constructs to bacterial FH-binding proteins to investigate the potential of FH-Fc as a Lyme  
129 disease prophylaxis.

130

## 131 **RESULTS**

132 **S2635 and S2782 were constructed and produced in *Nicotiana benthamiana*.** To generate the  
133 SCR6-7 and SCR19-20 versions of FH-Fc constructs (S2635 and S2782, respectively), we  
134 obtained the plant codon-optimized DNA of those FH domains. The SCR6-7 sequence in S2635  
135 was then connected at the N-terminal end of the CH2-CH3 domains (Fc) of human IgG3, with  
136 SCR6-7 and Fc separated by a flexible linker (GGGGSGGGGSGGGSS), followed by a portion  
137 of the IgG1 hinge sequence (EPKSCDKTHTCPPCP) (**Fig. 1B, Text S1**). The N-terminus of  
138 S2782 starts with a portion of IgG1 hinge sequence (DKTHTCPPCP) and the human CH2-CH3  
139 domains from IgG3, with a small change in which the C-terminal three residues, PGK, were  
140 replaced by the GQC (**Fig. 1B, Text S2**). Such replacement was intended to facilitate the  
141 resistance to proteolytic cleavage between Fc and SCR19-20 of FH (35). Additionally, adding a

142 cysteine was intended to promote the formation of an inter-chain disulfide bond between paired  
143 CH3 domains to stabilize the construct against aggregation by low pH (35). The plant codon-  
144 optimized DNA sequence encoding human SCR19-20 was then appended to the C-terminal end  
145 of the IgG3 Fc (**Fig. 1B, Text S2**). Both S2635 and S2782 were then produced using a rapid *N.*  
146 *benthamiana* expression system and purified by protein A affinity chromatography (31). The  
147 resulting yields of purified S2635 and S2782 were  $296 \pm 23$  and  $522 \pm 172$  mg/kg, respectively.

148  
149 **S2635 and S2782 differ in their ability to eliminate *B. burgdorferi* in fed nymphs, but both**  
150 **significantly reduced bacterial colonization and joint inflammation.** We tested the ability of  
151 S2635 or S2782 to impact bacterial colonization and Lyme disease-associated manifestations in  
152 mice. One day prior to nymphal tick feeding (-1 dpf), we injected mice with 0.2 mg/kg of S2635,  
153 S2782 or PBS (control) intramuscularly, as immunoglobulins and Fc-fusions are highly  
154 bioavailable when introduced by this route (36)) (**Fig. 2A**). At 24-h after the injection, we  
155 allowed *I. scapularis* nymphal ticks carrying *B. burgdorferi* strain B31-5A4 to feed on the mice.  
156 Uninfected mice (PBS-pre-treated mice without tick feeding) were also included as a control  
157 (**Fig. 2A**). We first measured the bacterial burdens in replete nymphs after engorgement and  
158 found that the nymphs feeding on S2635- but not S2782-pre-treated mice had significantly lower  
159 burdens than those feeding on PBS-pre-treated mice. These results suggest the ability of S2635  
160 to uniquely eliminate the bacteria in feeding ticks during tick-to-host transmission (**Fig. 2B**).

161 At 21-dpf, we measured the spirochete burdens in mouse tissues and Lyme borreliæ  
162 seropositivity (i.e., the IgG levels against a C6 peptide derived from a Lyme borreliæ VlsE  
163 antigen, a commonly used biomarker for Lyme disease serodiagnosis (37)) (**Fig. 2A**). PBS-pre-  
164 treated mice yielded significantly greater levels of seropositivity (five out of five turning

165 seropositive) than uninfected mice (**Fig. 2C**). In contrast, only one out of five S2635- or S2782-  
166 pre-treated mice turned Lyme borreliae seropositive, which is statistically indistinguishable from  
167 uninfected mice (**Fig. 2C**). Additionally, compared to uninfected mice, all 5 PBS-pre-treated  
168 mice had significantly higher bacterial burdens at tick bite sites (skin) and in bladder, heart, and  
169 knee joints, whereas the same tissues from only 1 out of 5 mice pre-treated with S2635 or S2782  
170 had detectable bacterial burdens (**Fig. 2D to G**). These results indicate the ability of S2635 and  
171 S2782 to reduce bacterial colonization after *B. burgdorferi* tick-to-host transmission.

172 We further determined the Lyme disease-associated manifestations in those mice at 21-dpf by  
173 histological analysis of the ankle joints. In PBS-pre-treated mice, we observed elevated levels of  
174 infiltrations of granulocytes and mononuclear cells, such as neutrophils and monocytes in the  
175 connective tissues, tendons, and muscles (**Fig. 2H**). That resulted in significantly greater levels  
176 of inflammation scores in PBS-pre-treated mice than those in uninfected mice (**Fig. 2H**, inset  
177 figure). However, we did not observe such noticeable cell infiltrations in the joints of S2635- and  
178 S2782-pre-treated mice, agreeing with their indistinguishable inflammatory scores, compared to  
179 the scores of uninfected mice (**Fig. 2H**). Overall, these findings indicated that S2635 or S2782  
180 pre-treatment prior to nymph transmission of *B. burgdorferi* B31-5A4 efficiently decreased  
181 spirochete colonization and Lyme disease-associated joint inflammation.

182

183 ***In vitro* complement-directed killing of Lyme borreliae by S2635 and S2782.** We next  
184 examined the ability of S2635 and S2782 to kill *B. burgdorferi* B31-5A4 *in vitro*. Human serum  
185 (the source of complement) was incubated with strain B31-5A4 in the presence of different  
186 concentrations of S2635, S2782, or BSA (control). FH-Fc constructs are proposed to eradicate  
187 spirochetes by promoting classical pathway-mediated killing via their Fc regions and preventing



188 alternative pathway evasion by displacing FH from the bacteria. Therefore, 40% human serum  
189 was used as the final concentration in the reaction because this percentage allows for the  
190 observation of Lyme borreliæ killing by both pathways. However, *B. garinii* strains ZQ1 and  
191 PBr were found to be incapable of surviving this concentration of human serum (**Fig. S1**). We  
192 thus titrated the serum and found 20% as the minimal concentration that these two strains can  
193 survive and thus incubated these strains in 20% human serum for this experiment (**Fig. S1**). We  
194 then quantified the bacteria present microscopically after 4-h of incubation and then normalized  
195 those numbers to those prior to the incubations. Such normalization permitted us to measure the  
196 percent survival of bacteria, calculating the EC<sub>50</sub> values (the concentration of FH-Fc constructs  
197 that lead to 50% of bacterial survival). While BSA-incubated *B. burgdorferi* yielded close to  
198 100% of bacterial survivability, S2635 and S2782 incubations resulted in spirochete killing, with  
199 S2635 having significantly more robust killing than S2782 based on their EC<sub>50</sub> values (**Fig. 3A**  
200 **and Table S1**).

201 We next examined whether such bactericidal activity of S2635 and S2782 can be extended to  
202 other Lyme borreliæ species and strains and obtained EC<sub>50</sub> values for those bacteria in the same  
203 fashion. Our results showed three patterns of bacterial killing depending on the Lyme borreliæ  
204 species or strains mixed with S2635 or S2782: 1) Similar to *B. burgdorferi* B31-5A4, when  
205 incubated with *B. afzelii* strains VS461 and PKo, both S2635 and S2782 efficiently killed those  
206 strains. However, S2635 eradicated these strains more efficiently than S2782 (**Fig. 3B to D and**  
207 **Table S1**); 2) When incubated with *B. bavariensis* strain PBi, although both S2635 and S2782  
208 can eliminate this strain, S2782 showed more significantly robust killing than S2635 (**Fig. 3E**  
209 **and Table S1**); 3) When incubated with *B. garinii* strains ZQ1 and PBr, S2635 did not show  
210 bacterial killing, but S2782 was found to kill those strains (**Fig. 3F and G**). These results support

211 the versatility of S2782-mediated spirochete killing but unique strain-specific Lyme borreliae  
212 killing by S2635.

213

214 **Binding affinity of S2635 and S2782 to purified Lyme borreliae FH-binding proteins.** We

215 hypothesized that the *in vitro* killing potency of these two FH-Fc would depend on their affinity

216 for pathogen FH-binding proteins. To gain insights into the molecular basis of FH-Fc-mediated

217 Lyme borreliae killing, we produced recombinant forms of several Lyme borreliae FH-binding

218 proteins, including CspA, CspZ, and OspE, from four different species (19). We produced one

219 variant per FH-binding protein from each of the tested species based on sequences that are

220 available in GenBank, with the exception that variants from two strains of *B. burgdorferi* (B31

221 and 297) were produced. Note that two CspA paralogs from *B. bavariensis* (Bga66 and Bga71)

222 (38) and two OspE paralogs from *B. burgdorferi* strain B31 (ErpA and ErpP) have been shown

223 to bind human FH (39, 40). Therefore, those variants were also included in the study. S2635 and

224 S2782 were conjugated to separate SPR chips, which were used to measure binding affinity of

225 each of the Lyme borreliae FH-binding proteins. We did not detect binding of any of the OspE

226 variants to S2635 (**Fig. 4A, top panel, Table S2**), in agreement with prior findings that OspE

227 does not bind to human SCR6-7 (41). However, we found that S2635 binds strongly to the CspA

228 variants from *B. burgdorferi* strains B31 and 297 and *B. afzelii* strain PKo ( $K_D = 1.1$  to  $2.2 \times 10^{-7}$

229 M, **Fig. 4B top panel, Table S3**) but less efficiently to *B. bavariensis* strain PBi ( $K_D = 1.1 \times 10^{-6}$

230 M, **Fig. 4B top panel, Table S3**). No binding of S2635 to the CspA variant of *B. garinii* strain

231 ZQ1 was detected (**Fig. 4B top panel, Table S3**). Similarly, binding of CspZ to S2635 was

232 species- and strain-specific: S2635 bound robustly to the CspZ variants from *B. burgdorferi*

233 strain B31 and *B. afzelii* ( $K_D = 1.5$  to  $2 \times 10^{-7}$  M, **Fig. 4C top panel, Table S4**), but less

234 efficiently to the CspZ variant from *B. bavariensis* ( $K_D = 9.8 \times 10^{-7}$  M, **Fig. 4C top panel, Table**  
235 **S4**). Additionally, S2635 did not bind to the CspZ variants from *B. burgdorferi* strain 297 or *B.*  
236 *garinii* (**Fig. 4C top panel, Table S4**). We also applied each of these CspA, CspZ, and OspE  
237 variants to the S2782-conjugated SPR chips. S2782 did not bind to any tested CspA or CspZ  
238 variants (**Fig. 4B and C bottom panels, Table S3 and S5**), consistent with the inability of these  
239 FH-binding proteins to bind to human SCR19-20 (21, 42). However, we detected the binding of  
240 all tested OspE variants to S2782, indicating the versatility of the S2782-binding ability of OspE  
241 (**Fig. 4A bottom panel, Table S2**).

242

## 243 **DISCUSSION**

244 As a one of the major host defense mechanisms, complement not only lyses pathogens but  
245 cross talks with different arms of the host immune response (43-45). Dysregulation of this  
246 defense mechanism thus often causes various autoimmune diseases and exacerbates infectious  
247 diseases. Manipulating complement regulation by targeting complement components or  
248 regulators has frequently been employed in developing therapies for those autoimmune and  
249 infectious diseases (46). Specifically, to combat complement dysregulation-mediated infectious  
250 diseases, one of the most commonly used strategies is to target pathogens' anti-complement  
251 proteins either by monoclonal antibodies or small molecules (47). However, targeting single  
252 pathogen proteins may risk pathogens developing "neutralization escape mutants", leading to  
253 ineffective therapeutics (48). Additionally, the functional redundancy seen in pathogen anti-  
254 complement mechanisms makes this a complex strategy(49). An alternative approach is to target  
255 the host complement components or regulators that are involved in pathogen complement  
256 evasion to skew the complement responses toward pathogen elimination (50). Such therapeutics

257 would be mimetics of the pathogen-binding domains of host complement regulators, preventing  
258 pathogens from hijacking host complement or eliciting complement regulators to inhibit  
259 complement activation (50). Some of these “complement-based” therapeutics have been  
260 produced as fusion proteins with the Fc of immunoglobulin, such as FH-Fc to further enhance  
261 classical pathway-mediated pathogen killing (29-32). It should be more difficult for pathogens to  
262 evolve neutralization escape mutations to FH-Fc, as any mutations that reduce FH-Fc binding  
263 should have reduced FH binding, leading to more robust complement-mediated killing (29-32,  
264 51). Moreover, as binding to complement regulator is a common approach shared by many  
265 pathogens to evade complement, complement modulation-targeted therapeutics (e.g., FH-Fc)  
266 have the potential to be applied as broad spectrum anti-infectives.

267 In this study, both FH-Fc constructs (i.e., S2635 and S2782) efficiently killed *B. burgdorferi*  
268 *in vitro*, and reduced *B. burgdorferi* dissemination and the development of Lyme disease-  
269 associated manifestations in the murine model when administered prior to tick challenge.  
270 However, pre-treatment of mice with S2635 but not S2782 eliminated bacterial burdens in fed  
271 nymphs, suggesting that the bacterial killing mechanisms for these FH-Fc constructs differ. One  
272 contributor of such differences could be the infection stages when the bacterial FH-binding  
273 proteins are produced. As a SCR(6-7)-based FH-Fc construct, S2635 was shown in this study to  
274 have physiological ranges of binding affinity ( $K_D = \sim 10^{-7}$  M) selectively to CspA and CspZ from  
275 *B. burgdorferi* strain B31-5A4. In fact, CspA but not CspZ is produced in the spirochetes  
276 residing in feeding nymphs, which is required for bacteria to evade the complement in tick  
277 bloodmeal (24, 25). After *B. burgdorferi* invades a vertebrate host, CspZ but not CspA is  
278 produced to promote the systemic spread of bacteria (24, 26, 27). These findings thus support the  
279 possibility of S2635-mediated bacterial killing in the enzootic cycle by binding to CspA to

280 decrease the levels of tick-to-host transmission of Lyme borreliae and to CspZ to reduce the  
281 extent of bacterial dissemination. Further, we found S2782 uniquely binding to documented  
282 SCR19-20 binders, OspE variants from *B. burgdorferi* strain B31-5A4. OspE variants are  
283 produced on the surface of *B. burgdorferi* residing in fed nymphs and vertebrate hosts (24).  
284 OspE-targeted antibodies were reported to not reduce the bacterial loads in fed nymphs but  
285 significantly decrease bacterial burdens in hosts after tick-to-host transmission (52). Such  
286 phenotypes are similar to our findings in the S2782-treated mice and therefore support the  
287 protective mechanisms underlying S2782 by binding to pathogens via OspE after Lyme borreliae  
288 transmission. Additionally, CspA and OspE are produced when Lyme disease bacteria are in  
289 ticks, but our results showed that CspA-targeted FH-Fc (i.e., S2635) but not the OspE targeted  
290 FH-Fc (i.e., S2782) eliminated bacteria in fed nymphs. One possibility to address this distinction  
291 could be the lower expression of levels of OspE, compared to those of CspA, when bacteria are  
292 in fed nymphs (53).

293 When the work was extended to different Lyme borreliae species or strains, we found S2635  
294 showing bacterial killing ability to selected bacterial strains and species but S2782 displaying a  
295 broader ability to eradicate all tested species and strains. These results suggest the potential of  
296 developing S2782 as an anti-tickborne therapeutic with great breadth. As the binding activity of  
297 FH-Fc constructs to their FH-binding partners from pathogens is one of the determining factors  
298 for their efficacy, our findings raise the possibility that S2635 and S2782 differ in their capability  
299 to bind to different spirochete FH-binding protein variants. In fact, CspA shares approximately  
300 40% sequence identity among different Lyme borreliae species, and OspE variants from different  
301 strains within the same species display greater than 85% sequence identity (54-56). Such protein  
302 polymorphism is consistent with documented CspA and OspE variant-to-variant different levels

303 of human FH-binding activity (25, 57, 58). Although CspZ is highly conserved (~98%) among  
304 different spirochete strains within the same species and moderately conserved (~80%) among  
305 different Lyme borreliae species, CspZ variants also display variant-specific FH-binding activity  
306 (27, 59). Here, we found S2782 binding to all tested Lyme borreliae OspE variants at similar  
307 levels ( $K_D = 4 \times 10^{-7}$  to  $8 \times 10^{-8}$  M). S2635 binds strongly to CspA and CspZ variants from *B.*  
308 *afzelii* and the CspA variant from *B. burgdorferi* 297 ( $K_D = \sim 10^{-7}$  M), but weakly to both CspA  
309 and CspZ variants from *B. bavariensis* ( $K_D = \sim 10^{-6}$  M). However, this FH-Fc does not bind to  
310 the CspZ variant from *B. burgdorferi* 297 and both CspA and CspZ variants from *B. garinii*.  
311 Therefore, these results suggest that the sequence differences between tested CspA and CspZ  
312 variants may impact S2635-binding activity, but the sequence variation of tested OspE variants  
313 here appears to not impact the S2782-binding activity. Further, such differences in the S2635-  
314 and S2782-binding affinities among the variants of spirochete FH-binding proteins are correlated  
315 with the extent of their ability to kill tested Lyme borreliae or strains. Our results suggest that  
316 S2782 has a broader spectrum as a Lyme borreliae anti-infective.

317 We have shown the ability of S2635 and S2782 to impact Lyme borreliae survivability *in*  
318 *vitro* in the presence of complement, but these results do not exclude the involvement of other  
319 mechanisms of action for these FH-Fc constructs *in vivo*. In fact, several publications indicate  
320 that *B. burgdorferi* can be cleared *in vivo* by both Fc-mediated phagocytosis or complement-  
321 dependent phagocytosis (i.e., opsonophagocytosis) (60-64). As future work, we plan to further  
322 investigate the role of phagocytic clearance in contributing to FH-Fc-mediated *B. burgdorferi*  
323 eradication. In this study, we tested the model of targeting host FH with the enhancement of  
324 classical pathway killing by Fc for the prevention of Lyme borreliae infection. Such a preventive  
325 strategy, known as pre-exposure prophylaxis, would require the agents to have a long half-life to

326 be of practical use in humans. Overall, this study demonstrated the concept of targeting Lyme  
327 borreliae FH-binding proteins with the enhancement of classical pathway killing by Fc for the  
328 prophylaxis of Lyme disease. Such an innovative immunotherapeutic approach provides an  
329 alternative option for Lyme disease prevention, suggesting the possibility of developing a broad-  
330 spectrum preventive platform for multiple pathogens.

331

## 332 **MATERIALS AND METHODS**

333 **Ethics Statement.** All mouse experiments were performed in strict accordance with all  
334 provisions of the Animal Welfare Act, the Guide for the Care and Use of Laboratory Animals,  
335 and the PHS Policy on Humane Care and Use of Laboratory Animals. The protocol (Docket  
336 Number 22-451) was approved by the Institutional Animal Care and Use Committee of  
337 Wadsworth Center, New York State Department of Health. All efforts were made to minimize  
338 animal suffering.

339

340 **Mouse, ticks, bacterial strains, and human serum.** Four-week-old, female C3H/HeN mice  
341 were purchased from Charles River (Wilmington, MA). BALB/c C3-deficient mice were from  
342 in-house breeding colonies (25) and *Ixodes scapularis* tick larvae were obtained from BEI  
343 Resources (Manassas, VA). *Escherichia coli* strain BL21(DE3), M15 or DH5 $\alpha$  and their  
344 derivatives were grown at 37°C or other appropriate temperatures in Luria-Bertani broth or agar,  
345 supplemented with kanamycin (50 $\mu$ g/mL) or ampicillin (100 $\mu$ g/mL) (**Table S5**). *B. burgdorferi*  
346 strain B31-5A4 (**Table S5**) was grown at 33°C in BSK II complete medium (65). Cultures of *B.*  
347 *burgdorferi* B31-5A4 was tested with PCR to ensure a full plasmid profile before use (66, 67),  
348 the rest strains used in this study was kept within ten passages to prevent the potential plasmid



349 loss. *A. tumefaciens* GV3101 (pMP90RK) containing the binary vector pTRAcK-P19, encoding  
350 the post-transcriptional silencing suppressor P19, and each of the FH-Fc constructs was used for  
351 transient expression using a *N. benthamiana* expression system as indicated (**Table S5**).  
352 Uninfected human serum (CompTech, Tyler TX) was confirmed as seronegative for Lyme  
353 disease infection as described (27).

354

355 **Expression and purification of FH/Fc fusion proteins in tobacco plants** Nucleotide sequences  
356 encoding human FH SCR6-7 (aa residues 321-443 (Genbank #: NP\_000177)) and human FH  
357 SCR19-20 (aa residues 1048-1231 (Genbank #: NP\_000177)), incorporating the D1119G  
358 mutation (68)), designed to employ optimal codon usage for expression in *Nicotiana*  
359 *benthamiana*, were synthesized by GENEWIZ (South Plainfield, NJ). Similarly, nucleotide  
360 sequences encoding human CH2-CH3 domains from IgG3 (aa residues 130-346 (Genbank #:  
361 CAA67886.1)) were also synthesized for optimal codon usage for expression in *Nicotiana*  
362 *benthamiana*, by GENEWIZ. In S2635, the SCR6-7 and the human CH2-CH3 domains from  
363 IgG3 were placed at N- and C-terminus, respectively, connected with a linker  
364 (GGGGSGGGGSGGGGSS), followed by the IgG1 hinge sequence (EPKSCDKTHTCPPCP)  
365 (**Fig. 1B, Text S1**). To generate S2782, a portion of the IgG1 hinge sequence (DKTHTCPPCP),  
366 followed by the human CH2-CH3 domains from IgG3 was placed at the N-terminus whereas the  
367 SCR19-20 was placed at the C-terminus. We did not add a flexible linker sequence in S2782.  
368 Note that in S2782, the C-terminal three residues of Fc, PGK, were replaced by the residues  
369 GQC, to facilitate construct stability and resistance to protease cleavage (**Fig. 1B, Text S2**) (35).  
370 These synthetic sequences of S2635 and S2782 were then placed downstream of the signal  
371 peptide of the murine mAb24 heavy-chain (lph) (**Fig. 1B, Text S1 and S2**) (69). The entire



372 synthetic sequences were cloned into the plant binary expression vector pTRAcKc (PMID:  
373 17412974).

374 These recombinant proteins were then produced via transient expression by whole-plant  
375 vacuum infiltration of *N. benthamiana*  $\Delta$ XT/FT using *A. tumefaciens* GV3101 and pMP90RK  
376 vector, as described previously (70-72). We then purified and concentrated S2635 and S2782  
377 using Protein A-MabSelect SuRe or PrismA affinity columns (GE HealthCare) as described(73).  
378 Protein concentrations were quantified using a UV spectrophotometer for the absorption at 280  
379 nm and extinction coefficients predicted from the mature amino acid sequences (excluding signal  
380 peptides).

381

382 **Cloning, transfection, expression and purification of CspA, CspZ, and OspE variants from**  
383 **Lyme borreliæ.** DNA encoding histidine or glutathione-S-transferase (GST) tagged CspA,  
384 CspZ, and OspE variants from different Lyme borreliæ strains or species (**Table S2**) was used to  
385 express and purify these proteins using an *E. coli* expression system as described previously (21,  
386 25-27, 42, 74, 75). Basically, the DNA encoding both N-terminal histidine- and GST-tagged  
387 proteins were synthesized by Synbio Technologies (Monmouth Junction, NJ), followed by  
388 subcloning into the pET28a (Millipore Sigma, Burlington, MA), pQE30Xa (Qiagen,  
389 Germantown MD), and pGEX4T2 vectors (Cytiva, Marlborough, MA), respectively, via  
390 BglII/BamHI restriction sites, using the service from Synbio Technologies (Monmouth Junction,  
391 NJ) (**Table. S2**). After transforming the pET28a- or pGEX4T2-associated plasmids into *E. coli*  
392 strain B21(DE3) or the pQE30Xa-associated plasmids into *E. coli* strain M15 as described (25),  
393 the protein was purified as in our previous work (25).

394

395 **Mouse infection.** Flat *I. scapularis* nymphs carrying *B. burgdorferi* strain B31-5A4 were  
396 generated as described previously using BALB/c C3-deficient mice (25, 76). At 24-h prior to  
397 infection via placing nymphs on C3H/HeN mice, these mice were intramuscularly injected with  
398 PBS buffer (control) or 0.2 mg/kg of S2635 or S2782. The ticks were allowed to feed until  
399 repletion. At 21 dpf, the above-mentioned flat and replete ticks and mouse tissues were then used  
400 to determine bacterial burdens and the tibiotarsus joints were used to determine the severity of  
401 arthritis in the section “Quantification of spirochete burdens and histological analysis of arthritis.”  
402 Mouse sera were utilized to define the Lyme disease bacterial seropositivity as described in the  
403 section “ELISAs.”

404  
405 **Quantification of spirochete burdens and histological analysis of arthritis.** DNA was  
406 extracted from the indicated mouse tissues to determine bacterial burdens, using quantitative  
407 PCR analysis as described (77). Note that spirochete burdens were quantified based on the  
408 amplification of *recA* using forward (GTGGATCTATTGTATTAGATGAGGCTCTCG) and  
409 reverse (GCCAAAGTTCTGCAACATTAACACCTAAAG) primers. The number of *recA*  
410 copies was calculated by establishing a threshold cycle (C<sub>q</sub>) standard curve of a known number  
411 of *recA* gene extracted from strain B31-5A4, and burdens were normalized to 100 ng of total  
412 DNA. For the ankles that were applied to histological analysis of Lyme disease-associated  
413 arthritis (**Fig. 2H**), the analysis was performed as described (77). Images were scored based on  
414 the severity of inflammation on a scale of 0 (no inflammation), 1 (mild inflammation with less  
415 than two small foci of infiltration), 2 (moderate inflammation with two or more foci of  
416 infiltration), or 3 (severe inflammation with focal and diffuse infiltration covering a large area).

417

418 **ELISAs.** Seropositivity of the mice after infection with *B. burgdorferi* was determined by  
419 detecting the presence or absence of IgG recognizing C6 peptides, as described previously (78),  
420 as this methodology has been commonly used for human Lyme disease diagnosis (37). The  
421 maximum slope of optical density/minute of all the dilutions was multiplied by the respective  
422 dilution factor, and the greatest value was used as representative of anti-C6 IgG titers (arbitrary  
423 unit (A.U.)). Seropositive mice were defined as mice with sera yielding a value greater than the  
424 threshold, the mean plus three-fold standard deviation of IgG values derived from uninfected  
425 mice.

426  
427 **Borreliacidal assays.** The ability of S2635 or S2782 to kill different Lyme borreliae species or  
428 strains was determined as described with modifications (74, 77). Briefly, S2635 or S2782 were  
429 incubated, at different concentrations, with the Lyme borreliae. We then mixed the FH-Fc-Lyme  
430 borreliae with complement-preserved human serum (CompTech, Tyler TX) at a final  
431 concentration of 40% (20% for *B. garinii* strains ZQ1 and PBr, as that was the maximal  
432 concentration that did not result in bacterial killing in the absence of FH-Fc (**Fig. S1**)). The  
433 mixture was incubated at 33°C for 24 hours. Surviving (motile) spirochetes were quantified by  
434 direct counting using dark-field microscopy and expressed as the proportion of S2635- or S2782-  
435 treated to untreated Lyme borreliae (those exposed to complement-preserved human serum only).  
436 The concentration of S2635 or S2782 that killed 50% of spirochetes (EC<sub>50</sub>) was calculated using  
437 dose-response stimulation fitting in GraphPad Prism 9.3.1.

438  
439 **Surface plasmon resonance (SPR) analyses.** The interactions of recombinant CspA, CspZ, or  
440 OspE proteins with S2635 or S2782 were determined using a Biacore T200 (Cytiva), similar to

441 the work in our previous studies (79). Basically, 10 micrograms of human S2635 or S2782 were  
442 conjugated to a Protein A chip (Cytiva). Quantitative SPR experiments were used to determine  
443 the binding kinetics of the CspA, CspZ, OspE variants that display FH-Fc binding activity.  
444 Basically, 10  $\mu$ l of increasing concentrations (0.0625, 0.125, 0.25, 0.5, 1  $\mu$ M, and/or 2  $\mu$ M) of  
445 CspA, CspZ, or OspE proteins were injected into the control cell and the flow cell immobilized  
446 with S2635 or S2782 at 30  $\mu$ l/min in PBS at 25°C. To obtain the kinetic parameters of the  
447 interaction, sonogram data were fitted by means of BIAevaluation software version 3.0 (GE  
448 Healthcare), using the one step biomolecular association reaction model (1:1 Langmuir model),  
449 resulting in optimum mathematical fit with the lowest Chi-square values.

450

451 **Statistical analyses.** Significant differences were determined with a Kruskal-Wallis test with the  
452 two-stage step-up method of Benjamini, Krieger, and Yekutieli (80) and two-tailed Fisher test  
453 (for seropositivity)(81), using GraphPad Prism 9.3.1. A p-value < 0.05 was used to determine  
454 significance.

455

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470

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762

## 763 **FIGURE LEGENDS**

764 **Figure 1 Schematic diagram showing composition of the FH-Fc constructs used in this**  
765 **study and their proposed mechanisms of action to eliminate Lyme borreliae. (A) FH-Fc is**  
766 **proposed to promote *B. burgdorferi* s.l. killing by binding to the FH-binding proteins of Lyme**  
767 **borreliae via the FH region to prevent the pathogens from escaping alternative complement**  
768 **pathway-mediated pathogen killing. Simultaneously, the Fc region of FH-Fc may recruit the**  
769 **complement C1 components (C1) required for classical complement pathway-mediated pathogen**  
770 **killing. Lyme borreliae produce CspA, CspZ, and OspE to bind to FH in promoting alternative**  
771 **pathway evasion. Shown are two FH-Fc constructs, S2635 and S2782, in binding to CspA, CspZ,**  
772 **and/or OspE to prevent bacterial evasion to alternative pathway and interacting with C1 to**  
773 **promote classical pathway activation. This panel was adopted from our previous publication (82).**  
774 **(B) Shown are the diagrams for the composition of S2635 and S2782: S2635 contains the**  
775 **SCR(6-7) region of human FH, a linker, the hinge region of the human IgG1, and the CH2-CH3**

776 region of the Fc region from human IgG3 in sequential order from N to C terminus. S2782  
777 contains the hinge region of the human IgG1, the CH2-CH3 region of the Fc region from human  
778 IgG3, and the SCR(6-7) region of human FH in sequential order from N to C terminus. The  
779 mutated amino acids at the C-terminal Fc region have been highlighted in bold. The amino acid  
780 sequences of S2635 and 2782 are indicated in **Text S1** and **S2**, respectively.

781  
782 **Figure 2 S2635 and S2782 protected mice from Lyme borreliae-associated colonization,**  
783 **seropositivity, and arthritis but differed in the ability to eliminate spirochetes in fed**  
784 **nymphs. (A)** Shown is the timeframe of FH-Fc inoculation and Lyme borreliae infection in this  
785 study. **(B to H)** Five C3H/HeN mice were intramuscularly injected with S2635 or S2782 at the  
786 dose of 0.2 mg/kg, or PBS (control). At 24 hours after inoculation (1-day prior to tick feeding  
787 (dpf)), these mice were fed on by *I. scapularis* nymphs carrying *B. burgdorferi* B31-5A4 (*Bb*  
788 B31-5A4). An additional five mice injected with PBS but not fed on by ticks were included as  
789 the control (Uninfect.). **(B)** The engorged fed nymphs were collected from those mice at 4 dpf.  
790 **(C)** At 21 days, sera were collected from these mice to determine the seropositivity to Lyme  
791 disease infection by evaluating the IgG levels of C6 antigens. Spirochete burdens at **(D)** the tick  
792 feeding site (“Bite Site”), **(E)** bladder, **(F)** heart, and **(G)** knees were quantitatively measured at  
793 21 dpf, shown as the number of spirochetes per 100ng total DNA. Data shown are the geometric  
794 mean  $\pm$  geometric standard deviation of the spirochete burdens from five mice per group.  
795 Statistical significances ( $p < 0.05$ , Kruskal-Wallis test with the two-stage step-up method of  
796 Benjamini, Krieger, and Yekutieli) of differences in bacterial burdens relative to (\*) uninfected  
797 mice are presented. **(H)** Tibiotarsus joints at 21dpf were collected to assess inflammation by  
798 staining these tissues using hematoxylin and eosin. Representative images from one mouse per

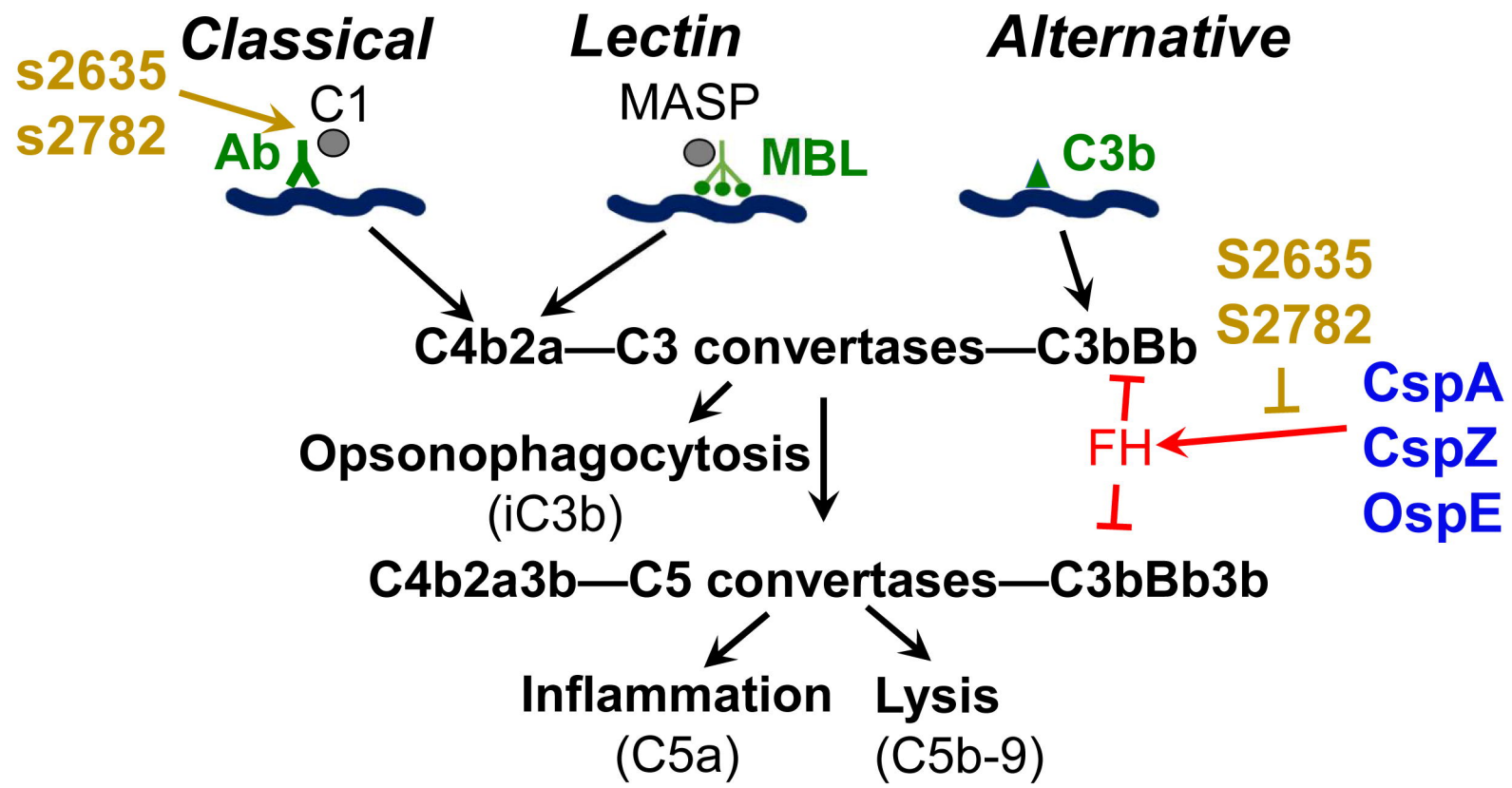
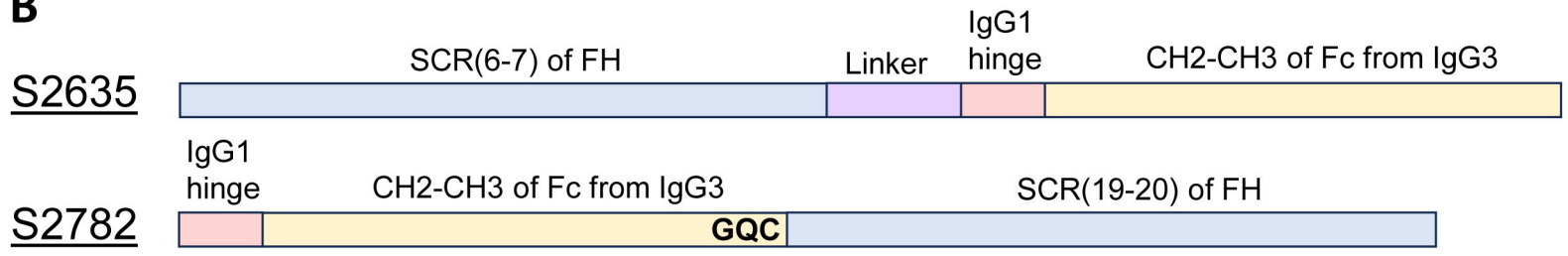
799 group are shown. Top panels are lower-resolution images (joint,  $\times 10$  [bar, 160  $\mu\text{m}$ ]); bottom  
800 panels are higher-resolution images (joint,  $2\times 20$  [bar, 80  $\mu\text{m}$ ]) of selected areas (highlighted in  
801 top panels). Arrows indicate infiltration of immune cells. (**Inset figure**) To quantitate  
802 inflammation of joint tissues, at least ten random sections of tibiotarsus joints from each mouse  
803 were scored on a scale of 0-3 for the severity of arthritis. Data shown are the mean inflammation  
804 score  $\pm$  standard deviation of the arthritis scores from each group of mice. Asterisks indicate the  
805 statistical significance ( $p < 0.05$ , Kruskal Wallis test with the two-stage step-up method of  
806 Benjamini, Krieger, and Yekutieli) of differences in inflammation relative to uninfected mice.

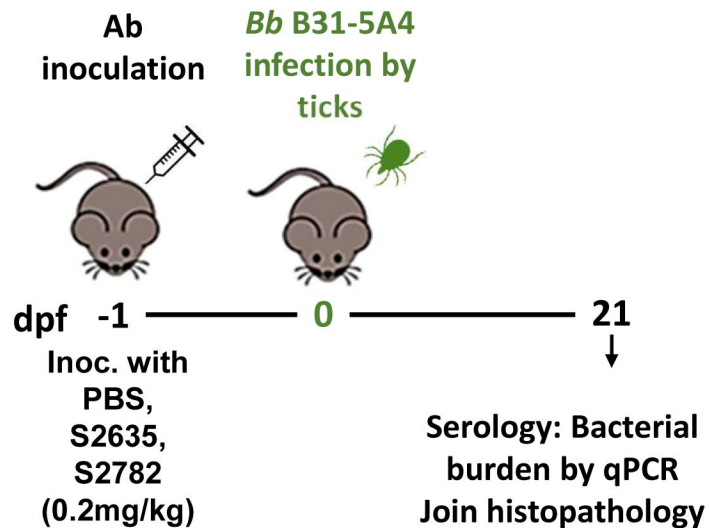
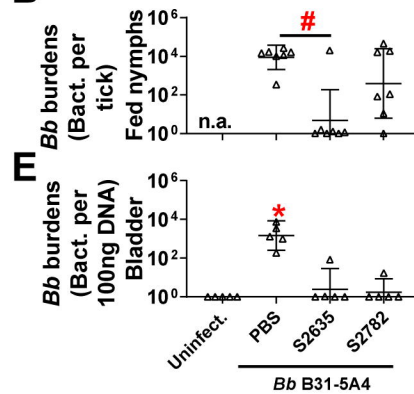
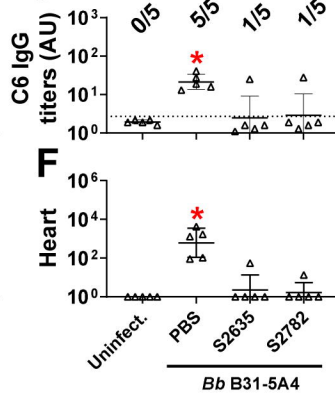
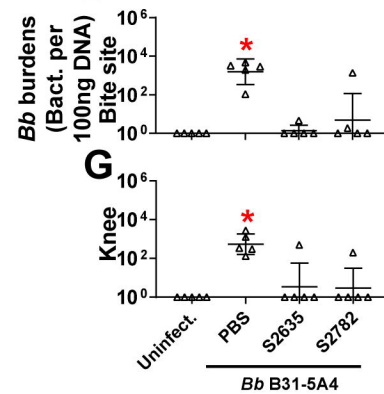
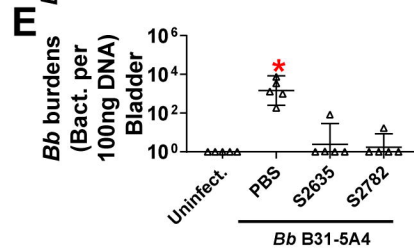
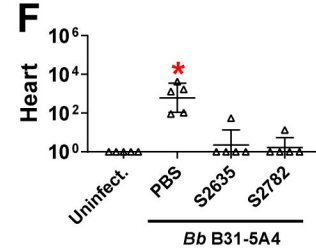
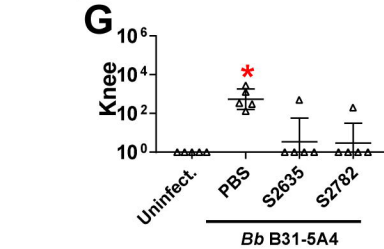
807  
808 **Figure 3 S2635 and S2782 varied in their breadth of killing to different Lyme borreliae**  
809 **species and strains.** S2635, S2782, or BSA (control) or PBS (control, data not shown) were  
810 serially diluted as indicated, and mixed with human serum and incubated with the following  
811 Lyme borreliae species and strains ( $5 \times 10^5$  cells  $\text{ml}^{-1}$ ): *B. burgdorferi* (*Bb*) strains (**A**) B31-5A4  
812 and (**B**) 297, *B. afzelii* (*Ba*) strains (**C**) VS461 and (**D**) PKo, *B. barvariensis* (*Bbav*) strain (**E**)  
813 PBi, and *B. garinii* (*Bg*) strains (**F**) ZQ1 and (**G**) PBr. The final concentration of the human  
814 serum was 40% except that of *B. garinii* strains which was 20%. After incubating for 24 hours,  
815 surviving spirochetes were quantified from three fields of view for each sample using dark-field  
816 microscopy. The work was performed on three independent experiments. The survival  
817 percentage was derived from the proportion of FH-Fc-treated to PBS-treated spirochetes. Shown  
818 is one representative experiment, and in that experiment, the data points are the mean  $\pm$  SEM of  
819 the survival percentage from three replicates. The 50% borreliacidal activity of each FH-Fc  
820 ( $\text{EC}_{50}$ ), representing the FH-Fc concentrations that effectively killed 50% of spirochetes, was

821 obtained and extrapolated from curve-fitting and shown in **Table S1**. The EC<sub>50</sub> values are shown  
822 as the mean ± SD of from three experiments.

823

824 **Figure 4 S2635 and S2782 bound to Lyme borreliae factor H-binding protein variants in a**  
825 **variant-specific manner.** The indicated concentrations of the variants of (A) OspE, (B) CspA,  
826 or (C) CspZ from indicated strains *B. burgdorferi* (*Bb*), *B. afzelii* (*Ba*), *B. garinii* (*Bg*), or *B.*  
827 *bavariensis* (*Bbav*) were flowed in PBS buffer over the chip surface, conjugated with S2635 or  
828 S2782. Binding was measured in response units (R.U.) by SPR. The k<sub>on</sub>, k<sub>off</sub>, and K<sub>D</sub> values were  
829 determined from the average of three experiments (**Table S2, S3, and S4**). Shown is one  
830 representative experiment.

**A****B**

**A****B****C****D****E****F****G****H**