# An Essential Role for Nuclear Factor **KB** in Promoting Double Positive Thymocyte Apoptosis

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### Summary

To examine the role of nuclear factor (NF)-KB in T cell development and activation in vivo, we produced transgenic mice that express a superinhibitory mutant form of inhibitor  $\kappa B$ - $\alpha$  $(I\kappa B-\alpha_{A32/36})$  under the control of the T cell-specific CD2 promoter and enhancer (mutant  $[m]I\kappa B-\alpha$  mice). Thymocyte development proceeded normally in the mI $\kappa B-\alpha$  mice. However, the numbers of peripheral CD8<sup>+</sup> T cells were significantly reduced in these animals. The mI $\kappa$ B- $\alpha$  thymocytes displayed a marked proliferative defect and significant reductions in interleukin (IL)-2, IL-3, and granulocyte/macrophage colony-stimulating factor production after cross-linking of the T cell antigen receptor. Perhaps more unexpectedly, double positive  $(CD4^+CD8^+; DP)$  thymocytes from the mIkB- $\alpha$  mice were resistant to  $\alpha$ -CD3-mediated apoptosis in vivo. In contrast, they remained sensitive to apoptosis induced by  $\gamma$ -irradiation. Apoptosis of wild-type DP thymocytes after in vivo administration of  $\alpha$ -CD3 mAb was preceded by a significant reduction in the level of expression of the antiapoptotic gene,  $bd-x_t$ . In contrast, the DP mI $\kappa$ B- $\alpha$  thymocytes maintained high level expression of bcl- $x_I$  after  $\alpha$ -CD3 treatment. Taken together, these results demonstrated important roles for NF- $\kappa$ B in both inducible cytokine expression and T cell proliferation after TCR engagement. In addition, NF-кB is required for the  $\alpha$ -CD3-mediated apoptosis of DP thymocytes through a pathway that involves the regulation of the antiapoptotic gene,  $bd-x_L$ .

Key words: nuclear factor  $\kappa B \cdot inhibitor \kappa B - \alpha_{A32/36} \cdot thymocytes \cdot apoptosis \cdot bcl-x_L$ 

The mammalian nuclear factor (NF)- $\kappa$ B<sup>1</sup> transcription factors, NF- $\kappa$ B1 (p50/p105), NF- $\kappa$ B2 (p52/p100), RelA (p65), c-Rel, and RelB are involved in the regulation of immune and inflammatory responses, cellular proliferation, and cell death (for review see references 1–4). NF- $\kappa$ B proteins modulate these diverse biological processes by regulating the expression of a wide variety of genes encoding cytokines and cytokine receptors, chemokines, cell adhesion molecules, cell-surface receptors, hematopoietic growth factors, acute phase proteins, and other transcription factors. Transcriptional activation or repression of NF- $\kappa$ B target genes requires binding of NF- $\kappa$ B dimers to  $\kappa$ B DNA binding sites. Although p50/p65 heterodimers are considered the prototypical NF- $\kappa$ B dimer, many different

<sup>1</sup>Abbreviations used in this paper: EMSA, electrophoretic mobility shift assay; FTOC, fetal thymic organ culture; HA, hemagglutinin; I $\kappa$ B- $\alpha$ , inhibitor  $\kappa$ B- $\alpha$ ; mI $\kappa$ B- $\alpha$ , mutant I $\kappa$ B- $\alpha$ ; NF- $\kappa$ B, nuclear factor  $\kappa$ B; TUNEL, Tdt-mediated dUTP nick end labeling. combinations of NF- $\kappa$ B homo- and heterodimers exist (5) and there is evidence to suggest that these different NF- $\kappa$ B dimers regulate the expression of different genes (6).

In most cells, the transcriptional activity of NF-kB proteins is controlled at the posttranslational level by regulated associations with members of the inhibitor (I)kB family of inhibitory proteins. NF-kB proteins are retained in the cytoplasm of unstimulated cells and thus rendered inactive via interactions with one or more of the seven known IkB proteins:  $I\kappa B-\alpha$ ,  $I\kappa B-\beta$ ,  $I\kappa B-\gamma$ , Bcl-3,  $I\kappa B-\epsilon$ , p105, and p100 (3, 7). In response to a wide variety of stimuli, including antigen receptor cross-linking, and exposure to cytokines (TNF-α, IL-1), bacterial components (LPS), viruses, ionizing radiation, or oxidative stress,  $I\kappa B-\alpha$  and  $-\beta$  proteins are phosphorylated and degraded and cytoplasmic NF-kB dimers released from their inhibitory proteins are rapidly translocated to the nucleus where they regulate the transcription of genes containing  $\kappa B$  binding sites (8–11). Recent studies have demonstrated that phosphorylation of  $Ser_{32}$  and  $Ser_{36}$  and subsequent polyubiquitination of IkB- $\alpha$ 

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are essential for the release and nuclear translocation of NF- $\kappa$ B dimers (12–18). Substitution of I $\kappa$ B- $\alpha$  Ser<sub>32</sub> and Ser<sub>36</sub> by Ala residues prevents phosphorylation and degradation of I $\kappa$ B- $\alpha$ , thereby retaining NF- $\kappa$ B dimers in an inactive form in the cytoplasm.

NF-κB proteins are thought to play important roles in T cell activation and development (19, 20). Functionally important  $\kappa B$  binding sites have been identified in a large number of T cell transcriptional regulatory elements, including the IL-2, IL-2Ra, G-CSF, and macrophage inflammatory protein (MIP)-2 promoters (1). Preformed NF- $\kappa$ B proteins are present in the cytoplasm of thymocytes and resting peripheral T cells (21). TCR engagement results in the rapid phosphorylation of  $I\kappa B-\alpha$  and the concomitant nuclear migration of active NF-kB dimers (19, 22, 23). Despite these findings, it has been difficult to precisely elucidate the role of NF-kB in regulating T cell development, activation, and apoptosis in vivo. During the last several years, gene targeting experiments have demonstrated that RelB, c-Rel, and NF-KB1 each play important but distinct roles in regulating the development and function of the mammalian immune system. NF-kB1–deficient mice displayed defects in B cell proliferation in response to the mitogen LPS but not to IgM cross-linking (24, 25). RelB is required for the differentiation and/or survival of dendritic cells and thymic medullary epithelial cells and RelB<sup>-/-</sup> mice displayed severely defective cellular immune responses (26, 27). The immune dysfunction observed in RelB<sup>-/-</sup> mice was worsened in NF-KB1/RelB double knockout mice, suggesting that the lack of RelB is compensated for by other NF- $\kappa$ B1–containing dimers (28). c-Rel-deficient mice displayed defective B and T cell proliferation in response to mitogen stimulation as well as markedly decreased IL-2 production after TCR engagement (29). In contrast, mice lacking RelA died on embryonic day 15 from massive hepatocyte apoptosis (30, 31). However, progenitor cells derived from RelA<sup>-/-</sup> mice were able to give rise to normal T cells, suggesting that RelA is not required for T cell development (30, 32).

Recent studies have suggested that, in addition to regulating lymphocyte function, NF-kB proteins might play a critical role in protecting cells against apoptosis. Support for this model came both from the finding of hepatocyte apoptosis in the RelA<sup>-/-</sup> mice and from experiments demonstrating that overexpression of a dominant-negative form of the I $\kappa$ B- $\alpha$  protein in transgenic mice (33) and several cell lines promoted apoptosis in vitro (34–39). However, other studies have suggested that NF-kB can also function in a proapoptotic fashion. For example, induction of apoptosis in 293 cells after serum withdrawal requires RelA activation (40). Similarly, radiation-induced apoptosis in ataxia telangiectasia cells is suppressed by dominant-negative I $\kappa$ B- $\alpha$  proteins (41) and inhibition of NF- $\kappa$ B activation prevents apoptosis in cultured human thymocytes (42). Finally, NF- $\kappa$ B activation promotes apoptosis in neural cells (43) and T cell hybridomas (44), and high levels of c-Rel induce apoptosis in avian embryos and in bone marrow cells in vitro (45).

Although gene targeting experiments have been useful for identifying the essential nonredundant roles of individual NF-KB transcription factors in T cell development and function, the interpretation of these experiments can be obscured by functional redundancies of related gene products in the mutant animals and by embryonic lethal phenotypes that preclude a complete analysis of lymphoid development and function. Moreover, in some cases it can be difficult to distinguish cell autonomous and non-cell autonomous phenotypes because mutant animals lack expression of the targeted gene in all cell lineages. We and others (33, 46) have used a complementary approach that circumvents these potential problems to study the role of NF-kB in T cell development, activation, and apoptosis in vivo. Specifically, we have generated transgenic mice that express a mutant superinhibitory form of the I $\kappa$ B- $\alpha$  protein under the control of the T cell-specific CD2 promoter and enhancer. This superinhibitory form of  $I\kappa B-\alpha$  cannot be phosphorylated on Ser<sub>32</sub> and Ser<sub>36</sub> and degraded in response to TCR cross-linking and would therefore be expected to constitutively inhibit the nuclear translocation of NF-KB proteins after T cell activation. Studies of the mI $\kappa$ B- $\alpha$  mice revealed several important functions for NF-kB in T cells in vivo. First, NF-KB is required to develop or maintain normal numbers of peripheral CD8<sup>+</sup> T cells. Second, NF-kB activation is necessary for both cytokine production and thymocyte proliferation after TCR engagement. Finally and somewhat unexpectedly, NF-KB is required for  $\alpha$ -CD3-mediated apoptosis of double positive (DP) thymocytes in vivo via a pathway that involves downregulated expression of the antiapoptotic gene  $bcl-x_L$  in DP thymocytes.

## Materials and Methods

Transgene Construction and Generation of Transgenic Mice. The construction of the hemagglutinin (HA)-tagged  $I\kappa$ B- $\alpha$  cDNA with Ser to Ala substitutions at positions 32 and 36 has been described previously (47). The mutant  $I\kappa$ B- $\alpha$  cDNA was inserted into the XhoI site of pTEx (provided by Dr. M. Owen, Imperial Cancer Research Fund, London, UK), which contains the promoter, polyadenylation site, and locus control region elements from the human CD2 gene (48). Transgene DNA was microinjected into the male pronucleus of fertilized single cell embryos of CD1 mice. Microinjected eggs were transferred to pseudopregnant CD1 foster mothers. EcoRI-digested tail DNA from 12–14-d-old pups was hybridized to a radiolabeled 0.8-kb  $I\kappa$ B- $\alpha$ -specific probe, and the transgene copy number was determined using a PhosphorImager (Molecular Dynamics).

Cell Culture. Single cell suspensions of thymocytes and splenocytes were cultured at 37°C, 5% CO<sub>2</sub> in RPMI 1640 medium containing 10% heat inactivated FCS, 100 U/ml penicillin/streptomycin, 2 mM glutamine, 0.1 mM nonessential amino acids,  $5.5 \times 10^2 \,\mu$ M  $\beta$ -ME (all from GIBCO BRL). Thymocyte proliferation assays were performed in 96-well plates (Becton Dickinson) that had been coated overnight with  $\alpha$ -CD3 mAb (145-2C11; PharMingen) and/or  $\alpha$ -CD28 mAb (37.51; PharMingen) at a concentration of 16  $\mu$ g/ml. Stimulation with PMA (Sigma Chemical Co.) and ionomycin (Calbiochem) was performed at 5 ng/ml and 0.25  $\mu$ g/ml, respectively. After stimulation for 48 h,

cells (0.5  $\times$  10<sup>6</sup>/ml) were pulsed for 18 h at 37°C with [<sup>3</sup>H]thymidine (1  $\mu$ Ci/ml; Amersham). Cells were transferred onto glass fiber filter mats and radioactive incorporation was measured with a beta scintillation counter.

Fetal Thymic Organ Culture. Fetuses of CD1 and mI $\kappa$ B- $\alpha$  mice were obtained at embryonic day 17.5 of pregnancy, counting the day of vaginal plug as embryonic day 1. Equally sized thymic lobes were cultured in 24-well Transwell dishes (Costar Corp.) containing complete DMEM-10 medium. The samples were maintained in an atmosphere of 5% CO<sub>2</sub> for 72 h and treated with  $\alpha$ -CD3 mAb (145-2C11) as described in the text.

*ELISA Assays.* For ELISA assays, 96-well plates (Dynatech Labs.) were coated overnight at 4°C with  $\alpha$ -IL-2 (JES6-1A12),  $\alpha$ -IL-3 (MP2-8F8), or  $\alpha$ -GM-CSF (MP1-22E9) mAbs (Phar-Mingen). Serial dilutions of supernatants from thymocytes stimulated in culture for 48 h were added to the antibody-coated plates and incubated for 18 h at 4°C. Biotinylated antibodies against IL-2 (JES5-5H4), IL-3 (MP2-43D11), or GM-CSF (MP1-31G6) were then added to the plates and incubated for 2 h at room temperature. Avidin peroxidase (Sigma Chemical Co.) and ABTS (3-ethylbenzthiazoline-6-sulfonic acid) were added to the wells and enzymatic reactions were quantitated in a microplate reader (Dynatech Labs.). All samples were assayed in triplicate.

Western Blot Analysis. Cytoplasmic and nuclear thymocyte extracts were prepared as previously described (49). In brief, thymocytes were washed with PBS, incubated in buffer A (10 mM Hepes, pH 7.9, 1.5 mM MgCl<sub>2</sub>, 10 mM KCl, 0.5 mM dithiothreitol, and 0.2 mM phenylmethylsulfonyl fluoride) for 10 min at 4°C and centrifuged to separate nuclei (pellet) from cytoplasmic proteins (supernatant). Nuclei were lysed by incubation with buffer C (20 mM Hepes, pH 7.9, 25% glycerol, 420 mM NaCl, 1.5 mM MgCl<sub>2</sub>, 0.2 mM EDTA, 0.5 mM dithiothreitol, 0.2 mM phenylmethylsulfonyl fluoride, 10 mg/ml aprotinin, and 10 mg/ml leupeptin) at 4°C and lysates were cleared by centrifugation. Nuclear and cytoplasmic extracts were frozen at  $-70^{\circ}$ C. Protein concentrations were determined using a commercially available kit (Bio-Rad). For Western blot analysis, 15 µg of cytoplasmic extracts were fractionated by SDS-PAGE in 10% polyacrylamide gels and transferred to PVDF membranes (Millipore). Western blots were probed with a rabbit polyclonal antibody against MAD-3 (1:2,000 dilution; nomenclature of DiDonato et al. [reference 13], No. 644) or an  $\alpha$ -HA-specific antibody (1:2,000 dilution; 12CA5). Blots were developed with goat  $\alpha$ -rabbit (1:2,000 dilution; Amersham) or rat  $\alpha$ -mouse (1:5,000 dilution; Kirkegaard and Perry Labs.) secondary antibodies and a commercially available chemiluminescence kit according to the manufacturer's instructions (Pierce Chemical Co.).

Electrophoretic Mobility Shift Assay. A double-stranded oligonucleotide, spanning the  $\kappa B$  site of the IL-2R $\alpha$  promoter (50) (5' GGAACGGCAGGGGAATTCCCCTCTCCTT 3') was labeled with <sup>32</sup>P-nucleotides using the Klenow fragment of DNA polymerase I. Binding reactions contained 2 µg nuclear extract, 20,000 disintegrations per min (0.1-0.5 ng) of radiolabeled oligonucleotide probe, 2 µg poly (dI-dC) in 75 mM KCl, 10 mM Tris, pH 7.5, 1 mM dithiothreitol, 1 mM EDTA, and 4% (vol/ vol) Ficoll in a final volume of 20 µl. After incubation on ice for 30 min, DNA protein complexes were fractionated by electrophoresis in nondenaturing 4% polyacrylamide gels at 120 V for 2.5 h in running buffer (25 mM Tris, 25 mM Boric acid, and 0.5 mM EDTA) with 47  $\mu$ M  $\beta$ -ME. For competition experiments, 50 ng of unlabeled NF-κB oligonucleotide was added to the binding reaction before addition of the radiolabeled oligonucleotide probe. For antibody supershift experiments, the nuclear extracts were incubated with 1  $\mu$ l of  $\alpha$ -p50 (F056),  $\alpha$ -RelB (A277),  $\alpha$ -p65 (B127), or  $\alpha$ -c-Rel (L036) antibodies (all from Santa Cruz Biotechnology) before starting the binding reactions.

Flow Cytometry. Single cell suspensions of lymphocytes (10<sup>6</sup> cells) were washed in PBS and incubated with  $PE-\alpha$ -CD4 (RM4-5), FITC-a-CD8 (53-6.7), FITC-a-CD3 (145-2C11), and/or PE-a-TCR-a/B (H57-97) mAbs (PharMingen) in PBS plus 0.1% BSA for 30 min on ice. After staining, the cells were washed in PBS and analyzed on a FACScan® (Becton Dickinson). Each plot represents analysis of >10<sup>4</sup> events using WinMDI software (Windows Multiple Document Interface, version 2.5). For intracellular staining, 10<sup>6</sup> thymocytes were stained with Cy-Chrome- $\alpha$ -CD4 (RM4-5) and PE- $\alpha$ -CD8 (53-6.7), and fixed in 4% paraformaldehyde. Fixed thymocytes were washed with 0.03% saponin (Sigma Chemical Co.) and incubated with 100  $\mu$ l 0.3% saponin, 20 µl blocking serum (Sigma Chemical Co.) and FITC- $\alpha$ -Bcl-x<sub>L</sub> (7B2.5) (Southern Biotechnology Associates). After 30 min of incubation at 4°C, cells were washed twice in 0.03% saponin followed by one wash in FACS buffer before FACScan® analysis.

Ribonuclease Protection Assays. Ribonuclease protection assays were performed using a commercially available kit as described by the manufacturer (PharMingen) using the mAPO-2 multiprobe template set, 10  $\mu$ g thymic RNA, and 0.1 mCi  $\alpha$ -[<sup>32</sup>P]UTP (Amersham). RNAse–protected fragments were resolved by electrophoresis in polyacrylamide gels and visualized by autoradiography (Eastman Kodak Co.).

TUNEL Assays. TUNEL (Tdt-mediated dUTP nick end labeling) assays were performed on paraffin-embedded sections of thymi according to Gavrieli et al. (51). Photomicrographs were obtained with a Zeiss Axioskop.

## Results

Production of  $mI\kappa B-\alpha$  Transgenic Mice. To inhibit inducible NF-kB activity in thymocytes in vivo, we produced transgenic mice that expressed a "superinhibitory" form of I $\kappa$ B- $\alpha$  under the control of the T cell–specific CD2 promoter and enhancer (mI $\kappa$ B- $\alpha$  mice). We chose to use an IκB-α transgene because previous studies have demonstrated that  $I\kappa B - \alpha$  plays a critical role in regulating inducible NF- $\kappa$ B expression (for review see references 2–4, 52). The superinhibitory mI $\kappa$ B- $\alpha_{A32/36}$  transgene containing Ser<sub>32</sub> and Ser<sub>36</sub> to Ala substitutions cannot be phosphorylated and degraded in response to TCR engagement and would therefore be expected to constituitively inhibit NFкВ activity in both resting and activated thymocytes and T cells. The CD2 promoter and enhancer (48, 53; Fig. 1 A) were used in these studies because (a) they program T cellspecific transgene expression and (b) they are expressed at high levels in all thymocyte subsets including double negative (CD4-CD8-; DN), DP (CD4+CD8+), and single positive (CD4+ or CD8+; SP) cells (54). The transgene construct also contained three copies of an 11-amino acid influenza virus HA epitope tag (55) that allowed us to distinguish the transgene-encoded protein from endogenous murine IkB- $\alpha$ . A line of mIkB- $\alpha$  mice containing  $\sim 12$ copies of the transgene was produced by injection of this construct into fertilized CD1 mouse embryos (Fig. 1 B). Homozygous transgenic mice were generated by breeding heterozygous littermates in order to obtain maximal levels



Figure **1.** Production of mI $\kappa$ B- $\alpha$  transgenic mice. (A) Schematic illustration of the IkB- $\alpha_{A32/36}$  transgene. Nucleotide and amino acid sequences corresponding to amino acids 31 to 37 from the wild-type human IkB- $\alpha$  cDNA (94) and the mutant  $I\kappa B\text{-}\alpha_{A32/36}$  are shown above the schematic representation of the transgene construct. Site-specific mutations within the I $\kappa$ B- $\alpha_{A32/36}$  cDNA sequence are underlined and the resulting

Ser to Ala substitutions are circled. The HA epitope (HA), CD2 promoter (CD2-Pr), polyadenylation signal (poly A), and the CD2 enhancer/LCR (CD2 Enh) are also shown. (B) Southern blot analysis of tail DNA from wild-type (WT) and mI $\kappa$ B- $\alpha$  transgenic (Tg) mice was performed with a radio-labeled 0.8-kb I $\kappa$ B- $\alpha$  cDNA probe (see A) that hybridizes to both the transgene (I $\kappa$ B- $\alpha_{A32/36}$ ) and the endogenous I $\kappa$ B- $\alpha$  gene. Size markers in kilobases are shown to the right of the autoradiogram.

of  $I\kappa B-\alpha_{A32/36}$  protein expression, an important consideration in producing a dominant-negative phenotype. A second line of transgenic mice expressing the  $I\kappa B-\alpha_{A32/36}$ cDNA under the control of the proximal *lck* promoter and the CD2 enhancer was also produced (data not shown). Similar phenotypes were observed in both lines of transgenic mice and are therefore not distinguished in the results described below.

Endogenous I $\kappa$ B- $\alpha$  is phosphorylated and degraded after treatment of T cells with the NF- $\kappa$ B inducer, TNF- $\alpha$  (47). To analyze the relative levels of endogenous and transgeneencoded I $\kappa$ B- $\alpha$  and to study the differential regulation of the two proteins in response to TNF- $\alpha$ , we performed Western blot analyses using whole cell extracts from mI $\kappa$ B- $\alpha$ and control thymocytes that had been treated for different times with TNF- $\alpha$  (Fig. 2 A). Basal levels of the I $\kappa$ B- $\alpha_{A32/36}$ protein as detected with both the  $\alpha$ -HA and  $\alpha$ -I $\kappa$ B- $\alpha$  $(\alpha$ -MAD) antibodies slightly exceeded levels of the endogenous I $\kappa$ B- $\alpha$  protein in the mI $\kappa$ B- $\alpha$  thymocytes. In both wild-type and mI $\kappa$ B- $\alpha$  thymocytes, endogenous I $\kappa$ B- $\alpha$ was almost completely degraded after 15 min of TNF- $\alpha$ treatment and remained undetectable until  $\sim$ 30 min after treatment. As previously reported (9, 15), endogenous I $\kappa$ B- $\alpha$  was reexpressed between 30 and 60 min after TNF- $\alpha$ treatment (data not shown). This reexpression reflects NF- $\kappa$ B-mediated activation of the I $\kappa$ B- $\alpha$  promoter. In marked contrast, levels of the transgene-encoded IkB- $\alpha_{A32/36}$  were unchanged by TNF- $\alpha$  treatment at all time points (Fig. 2 A). Activation of mI $\kappa$ B- $\alpha$  thymocytes with PMA plus ionomycin or TCR cross-linking resulted in a similar degradation of endogenous I $\kappa$ B- $\alpha$ , whereas the levels of I $\kappa$ B- $\alpha_{A32/36}$ remain unaffected (data not shown).

To analyze the effects of  $I\kappa B - \alpha_{A32/36}$  expression on the nuclear translocation of NF- $\kappa B$  proteins after T cell activation, we performed electrophoretic mobility shift assays (EMSAs) using nuclear extracts prepared from both resting thymocytes and thymocytes activated in vivo by intraperitoneal injection of an  $\alpha$ -CD3 mAb (Fig. 2 B). As reported previously, nuclear extracts from unstimulated wild-type and mI $\kappa$ B- $\alpha$  thymocytes both contained p50 homodimers that bound to the radiolabeled  $\kappa$ B probe (22, 56). The

presence of such p50 homodimers in uninduced thymic nuclear extracts probably reflected the fact that p50 binds to IkB proteins with lower affinity and therefore is not quantitatively retained in the cytoplasm of either wild-type or mI $\kappa$ B- $\alpha$  thymocytes (57). Because p50 homodimers do not contain a transcriptional activation domain they are thought to repress  $\kappa$ B-dependent gene expression (58). After activation with  $\alpha$ -CD3 mAb, the level of p50 homodimers increased equivalently in the wild-type and mI $\kappa$ B- $\alpha$  thymocyte nuclear extracts. In addition, an inducible low mobility  $\kappa B$  binding complex appeared in the nuclear extracts of wild-type thymocytes. Antibody supershift experiments demonstrated that this complex contained predominantly p50/p65 heterodimers as well as smaller amounts of c-Rel- and RelB-containing heterodimers (Fig. 2 B). The induction of this low mobility  $\kappa$ B binding activity was markedly inhibited after  $\alpha$ -CD3-mediated activation of the mI $\kappa$ B- $\alpha$  thymocytes (Fig. 2 B). Taken together, these Western blotting and EMSA experiments demonstrated that thymocytes from the mI $\kappa$ B- $\alpha$  transgenic animals expressed high levels of cytoplasmic  $I\kappa B-\alpha_{A32/36}$ protein that was not degraded after TCR engagement in vivo. Moreover, constitutive expression of the I $\kappa$ B- $\alpha_{A32/36}$ protein significantly reduced the induction of nuclear p50/ p65, c-Rel/p50, and RelB/p50 NF-kB heterodimers after either TNF- $\alpha$  or  $\alpha$ -CD3-mediated activation of the mI $\kappa$ B- $\alpha$  thymocytes.

Normal Thymocyte Ontogeny in the mI $\kappa$ B- $\alpha$  Transgenic Mice. To investigate T cell development in the mI $\kappa$ B- $\alpha$ mice, thymocytes and peripheral T cells from transgenic and wild-type animals were analyzed by flow cytometry using antibodies specific for a variety of developmentally regulated T cell surface antigens (Fig. 3). Thymi from the mI $\kappa$ B- $\alpha$  animals contained normal populations of DN, DP, and SP cells. Levels of CD3 and TCR- $\alpha/\beta$  expression were also indistinguishable on wild-type and mI $\kappa$ B- $\alpha$  thymocytes (Fig. 3 B), as were expression of TCR- $\gamma/\delta$  and heat-shock antigen (data not shown). Thus, expression of I $\kappa$ B- $\alpha_{A32/36}$  did not appear to perturb thymocyte ontogeny. Total numbers of SP peripheral T cells in both the spleen and the lymph nodes were normal in mI $\kappa$ B- $\alpha$  mice as were



**Figure 2.** I $\kappa$ B- $\alpha$  and NF- $\kappa$ B expression in the mI $\kappa$ B- $\alpha$  transgenic mice. (A) Western blot analysis of  $I\kappa B-\alpha$  expression in thymocytes from mI $\kappa$ B- $\alpha$  transgenic mice after treatment with TNF- $\alpha$ . Thymocytes from wild-type (WT) and mI $\kappa$ B- $\alpha$  transgenic (Tg) mice were incubated with TNF- $\alpha$  (15 ng/ml) for the indicated times and cytoplasmic extracts were separated by electrophoresis in a denaturing polyacrylamide gel. Proteins were transferred to a PDVF membrane and immunoblotted with either a murine HA-specific antibody ( $\alpha$ -HA) or a rabbit polyclonal antibody specific for I $\kappa$ B- $\alpha$  ( $\alpha$ -MAD) (13). The positions of the endogenous I $\kappa$ B- $\alpha$ and  $I\kappa B\text{-}\alpha_{A32/36}$  transgene-encoded proteins are shown to the left of the autoradiogram. Size markers in kilodaltons are shown to the right of the autoradiogram. (B) EMSA of NF- $\kappa$ B expression in transgenic thymocytes after stimulation in vivo with  $\alpha$ -CD3 mÅb. Thymic nuclear extracts (2 µg) from mice treated for 3 h with a single intraperitoneal injection of  $\alpha\text{-CD3}$ mAb or PBS (control) were analyzed by EMSA with a radiolabeled kB oligonucleotide probe. For antibody supershift experiments, thymic nuclear extracts were preincubated with antibodies specific for NF-KB p50, p65, c-Rel, or RelB. For cold competition experiments, binding reactions contained a 50-fold molar excess of unlabeled competitor oligonucleotide. The positions of bands corresponding to binding of NF-KB p50 homodimers and p65/p50 as well as c-Rel/p50 and RelB/p50 heterodimers are indicated, as are "supershifted" complexes containing p50, p65, c-Rel, and RelB proteins (●).

the numbers of splenic CD4<sup>+</sup> T cells. However, as described previously by Boothby at al. and Esslinger et al. (33, 46), the numbers of peripheral CD8<sup>+</sup> T cells were reduced by  $\sim$ 50% in mI $\kappa$ B- $\alpha$  mice (Fig. 3 C).



**Figure 4.** Defective proliferation of mI $\kappa$ B- $\alpha$  thymocytes in response to mitogenic stimuli. Wild-type (WT) and mI $\kappa$ B- $\alpha$ transgenic (Tg) thymocytes were incubated with PMA (5 ng/ml) plus ionomycin (0.25  $\mu$ g/ml; P + I), or stimulated with immobilized  $\alpha$ -CD3 or  $\alpha$ -CD3 plus  $\alpha$ -CD28 antibodies (16  $\mu$ g/ml) for 60 h at 37°C. Proliferation was measured by [<sup>3</sup>H]thymidine incorporation.

Impaired Proliferation and Cytokine Production by  $mI\kappa B-\alpha$ Transgenic Thymocytes. To assess the effects of  $I\kappa B - \alpha_{A32/36}$ expression on cytokine production and proliferation, thymocytes from mI $\kappa$ B- $\alpha$  and wild-type control littermates were activated in vitro for 72 h with PMA plus ionomycin, α-CD3 mAb, or  $\alpha$ -CD3 plus  $\alpha$ -CD28 mAbs. When compared with wild-type thymocytes, proliferation of the mI $\kappa$ B- $\alpha$  thymocytes was reduced by 63% in response to PMA plus ionomycin and by 78% after activation with  $\alpha$ -CD3 mAb (Fig. 4). Previous studies have suggested that CD28 costimulation may function, at least in part, through an NF-kB-dependent pathway (59). Therefore, we tested the ability of CD28 costimulation to rescue the defect in  $\alpha$ -CD3–mediated thymocyte proliferation seen in the mI $\kappa$ B- $\alpha$  thymocytes. Interestingly, the observed reductions in thymocyte proliferation were partially, but not completely, rescued by  $\alpha$ -CD28 costimulation (Fig. 4). Thus, the CD28 signaling pathway can at least partially bypass the requirement for NF-kB activation in thymocyte activation by TCR engagement.

Many cytokine genes including IL-2, IL-3, and GM-CSF are potential targets for NF- $\kappa$ B (60). However, the role of NF- $\kappa$ B in regulating activation-specific cytokine expression in vivo, particularly the expression of the IL-2 gene, remains controversial. Therefore, we compared cytokine production by the wild-type and mI $\kappa$ B- $\alpha$  thymocytes after activation with  $\alpha$ -CD3 plus  $\alpha$ -CD28 mAbs (Fig. 5). As compared with wild-type thymocytes, the mI $\kappa$ B- $\alpha$  thymocytes displayed significantly decreased production of IL-2, IL-3, and GM-CSF after  $\alpha$ -CD3 plus  $\alpha$ -CD28 activation (Fig. 5). IL-2 production was most significantly reduced (28% of wild-type levels), whereas GM-CSF and IL-3 production were less dramatically inhibited (Fig. 5). Taken together, these experiments demon-



**Figure 3.** T cell development in  $M_{RB-\alpha}$  transgenic mice. Single cell suspensions of thymocytes from  $M_{RB-\alpha}$  transgenic (Tg) and wild-type (WT) mice were stained with  $PE-\alpha$ -CD4 and  $FITC-\alpha$ -CD8 (A), or  $PE-\alpha$ -TCR- $\alpha/\beta$  and  $FITC-\alpha$ -CD3 (B) antibodies and analyzed by flow cytometry. Percentages of cells in the individual subpopulations are shown in the lower right quadrant. (C) Splenocytes from  $M_{RB-\alpha}$  transgenic (Tg) and wild-type (WT) mice were stained with 7-amino actinomycin D,  $PE-\alpha$ -CD4, and  $FITC-\alpha$ -CD8, and were analyzed by flow cytometry. Total numbers ( $\times$  10<sup>6</sup> cells) of live CD4<sup>+</sup> and CD8<sup>+</sup> splenic T cells were determined from at least three mice in each group. The data is shown as mean  $\pm$  SD.



**Figure 5.** Reduced cytokine production by mI $\kappa$ B- $\alpha$  transgenic thymocytes. Cultured thymocytes from wild-type (white bars) and transgenic I $\kappa$ B- $\alpha_{A32/36}$  mice (black bars) were stimulated with immobilized  $\alpha$ -CD3 plus  $\alpha$ -CD28 antibodies (16  $\mu$ g/ml). The levels of IL-2, GM-CSF, and IL-3 in the culture supernatants were assayed by ELISA 48 h after stimulation.

strated that NF- $\kappa$ B is required both for the induction of multiple cytokines and for normal thymocyte proliferation after TCR cross-linking.

DP IκB- $\alpha_{A32/36}$  Thymocytes Are Protected against α-CD3mediated Apoptosis In Vivo. Systemic administration of α-CD3 mAb has been shown to result in the rapid depletion of >90% of DP thymocytes by apoptosis (61). NF-κB positively regulates the expression of death-rescuing genes, thereby preventing TNF-α-induced apoptosis at least in certain cell types (34–39). Based upon these findings, it was reasonable to hypothesize that DP thymocytes from the mIκB-α mice that failed to activate NF-κB normally would display increased apoptosis after systemic administration of α-CD3 mAb. To directly test the role of NF-κB in mediating the α-CD3-mediated apoptotic death of DP thymocytes, mIkB- $\alpha$  and wild-type animals were injected intraperitoneally with  $\alpha$ -CD3 mAb and thymocyte subsets were assessed by flow cytometry 48 h after injection. As shown in Fig. 6 and consistent with previous reports (62, 63), treatment of wild-type animals with  $\alpha$ -CD3 mAb resulted in dose-dependent reductions in the size of the DP thymocyte population. Wild-type animals treated with 40 µg of  $\alpha$ -CD3 mAb displayed a 98% reduction in DP thymocytes within 48 h after  $\alpha$ -CD3 administration. Somewhat surprisingly, this  $\alpha$ -CD3-mediated loss of DP thymocytes was completely and reproducibly prevented in the mIkB- $\alpha$  mice even after administration of 40 µg of  $\alpha$ -CD3 mAb (Fig. 6). Similar reductions in DP thymocyte apoptosis were observed at both 24 and 48 h after injection and after administration of either 20 or 40 µg of  $\alpha$ -CD3 mAb (Fig. 6 and data not shown).

To confirm the protective effects of  $I\kappa B - \alpha_{A32/36}$  expression on DP thymocyte apoptosis in vivo, we performed TUNEL assays on thymi from wild-type and mI $\kappa$ B- $\alpha$  mice after intraperitoneal injection of  $\alpha$ -CD3 mAb (Fig. 7). Large numbers of apoptotic cells were seen in wild-type thymi after treatment with  $\alpha$ -CD3 mAb as compared with littermates treated with an isotype-matched control antibody. In contrast, the frequency of apoptotic cells in the mI $\kappa$ B- $\alpha$  thymi was dramatically reduced as compared with that observed in wild-type thymi after treatment with similar amounts of  $\alpha$ -CD3 mAb (Fig. 7).



**Figure 6.** Decreased  $\alpha$ -CD3-mediated deletion of DP thymocytes in mI $\kappa$ B- $\alpha$  transgenic mice. (A) 3-7-wk-old wild-type (WT) or mI $\kappa$ B- $\alpha$  transgenic mice (Tg) were injected intraperitoneally with 200 µl of PBS containing either 0 (Control), 20, or 40 µg of  $\alpha$ -CD3 mAb. Freshly isolated thymocytes from these animals were analyzed by flow cytometry with PE- $\alpha$ -CD4 and FITC- $\alpha$ -CD8 48 h after  $\alpha$ -CD3 administration. (B) Absolute numbers of thymocytes in wild-type (n = 3) and mI $\kappa$ B- $\alpha$  transgenic (n = 4) animals 48 h after intraperitoneal injection with PBS or 40 µg of  $\alpha$ -CD3 mAb. The data are shown as mean  $\pm$  SEM. (C) 3-7-wk-old wild-type (WT) or mI $\kappa$ B- $\alpha$  transgenic mice (Tg) were treated with whole body  $\gamma$  irradiation (500 RADs). Freshly isolated thymocytes from these animals were analyzed by flow cytometry with PE- $\alpha$ -CD4 and FITC- $\alpha$ -CD8 12 h after  $\gamma$  irradiation.



**Figure 7.** Decreased  $\alpha$ -CD3-mediated thymocyte apoptosis in mI $\kappa$ B- $\alpha$  transgenic mice. Wild-type (WT) or mI $\kappa$ B- $\alpha$  transgenic (Tg) mice were injected intraperitoneally with 40  $\mu$ g of  $\alpha$ -CD3 mAb or an isotype-matched control antibody. Thymi were harvested and sections were analyzed for apoptotic lymphocytes by TUNEL assay. Each photomicrograph is representative of at least four different sections taken from each thymus. Original magnification: ×400.

DP I $\kappa$ B- $\alpha_{A32/36}$  Thymocytes Remain Sensitive to  $\gamma$  Irradiation–induced Apoptosis In Vivo. Like  $\alpha$ -CD3 treatment,  $\gamma$ irradiation of the thymus is known to cause DP thymocyte apoptosis in vivo (64). However, recent studies have suggested that these different apoptotic stimuli may use distinct signaling pathways to regulate programmed cell death. Given the resistance of mI $\kappa$ B- $\alpha$  DP thymocytes to  $\alpha$ -CD3–mediated apoptosis, it was of interest to determine the sensitivity of these cells to  $\gamma$  irradiation in vivo. As shown in Fig. 6 C, DP thymocytes from the mI $\kappa$ B- $\alpha$  mice remained fully sensitive to  $\gamma$  irradiation–induced apoptosis in vivo. Thus, expression of the I $\kappa$ B- $\alpha_{A32/36}$  transgene protected DP thymocytes from apoptosis in response to  $\alpha$ -CD3 but failed to protect these cells against  $\gamma$  irradiation– induced cell death.

DP mI $\kappa$ B- $\alpha$  Thymocytes Are Resistant to  $\alpha$ -CD3-mediated Apoptosis in Fetal Thymic Organ Culture. The administration of  $\alpha$ -CD3 mAbs to mice in vivo can effect thymocytes either directly or indirectly via the activation of peripheral blood CD3<sup>+</sup> T cells. To attempt to distinguish these possibilities, we tested the susceptibility of mI $\kappa$ B- $\alpha$  thymocytes to  $\alpha$ -CD3mediated apoptosis in fetal thymic organ culture (FTOC) (Fig. 8). Wild-type thymocytes were killed efficiently by 3 d of FTOC treatment with an  $\alpha$ -CD3 mAb. In contrast, DP thymocytes from the mI $\kappa$ B- $\alpha$  mice were relatively resistant to  $\alpha$ -CD3-mediated killing in FTOC. These results suggested that at least some of the resistance to  $\alpha$ -CD3-mediated DP thymocyte apoptosis seen in the mI $\kappa$ B- $\alpha$  mice reflects a thymus-specific effect of transgene expression.

DP mI $\kappa$ B- $\alpha$  Thymocytes Fail To Downregulate Bd- $x_L$  after  $\alpha$ -CD3 Treatment In Vivo The Bcl-2 family of proteins is comprised of multiple members that function either as death agonists (Bax, Bak, Bcl- $X_S$ , and Bad) or death antagonists (Bcl-2, Bcl- $x_L$ , Bcl- $x_\gamma$ , Mcl-1, A1, and Bcl-w) (65). Two antiapoptotic members of this family, Bcl- $x_L$  and Bcl-2, are expressed in a reciprocal pattern during thymocyte de-



**Figure 8.** Decreased  $\alpha$ -CD3-mediated deletion of DP thymocytes in FTOCs from mI $\kappa$ B- $\alpha$  transgenic mice. Thymi from embryonic day 17.5 wild-type (WT) or mI $\kappa$ B- $\alpha$  transgenic (Tg) mice were cultured for 72 h on semipermeable membranes in DMEM-10 plus 10% FCS in the absence (untreated) or presence of 10  $\mu$ g/ml  $\alpha$ -CD3 mAb ( $\alpha$ -CD3). Thymocytes were analyzed by flow cytometry with PE- $\alpha$ -CD4 and FITC- $\alpha$ -CD8. Percentages of cells in the individual subpopulations are shown in the lower left quadrant.

velopment. Bcl-2 is expressed at high levels in immature DN thymocytes. Its expression is downregulated in DP cells and it is then reexpressed as these DP cells mature to SP thymocytes and peripheral T cells (66, 67). Conversely, Bcl-x<sub>L</sub> is expressed at low or undetectable levels in immature DN cells. Its expression is significantly upregulated in DP thymocytes, and it is then downregulated as these cells progress to the SP stage of thymocyte ontogeny (68, 69). Interestingly, DP thymocytes from transgenic mice that overexpress Bcl-2 or Bcl-x<sub>L</sub> are protected from multiple proapoptotic stimuli, including α-CD3 treatment in vivo (69, 70). Given these findings, it was logical to postulate that altered expression of Bcl-2-family genes in the mI $\kappa$ B- $\alpha$ thymocytes might account for their decreased susceptibility to proapoptotic stimuli. Accordingly, we used an RNAse protection assay to directly monitor the expression of Bcl-2-related genes in thymocytes from wild-type and mI $\kappa$ B- $\alpha$ transgenic mice. As shown in Fig. 9 A, both basal and  $\alpha$ -CD3-treated levels of *bfl-1*, *bak*, *bax*, *bcl-2*, and *bad* mRNAs were equivalent in wild-type and mI $\kappa$ B- $\alpha$  thymocytes. Similarly, we failed to detect differential expression of mRNAs encoding Fas, Fas-L, TRAF, TRADD, Fadd, RIP, and FLICE in either unstimulated or  $\alpha$ -CD3-treated wild-type and mI $\kappa$ B- $\alpha$  thymocytes (data not shown). Basal levels of  $bd-x_L$  were equivalent in wild-type and mI $\kappa$ B- $\alpha$ thymocytes. However, after  $\alpha$ -CD3 treatment, the expression of  $bd-x_L$  was significantly decreased in the wild-type cells but was maintained at pretreatment levels in the mI $\kappa$ B- $\alpha$  thymocytes (Fig. 9 A).

Because  $Bcl-x_L$  is the predominant antiapoptotic protein expressed in DP thymocytes, the preferential loss of  $Bcl-x_L$ 



of expression of a slow mobility nonspecific control band demonstrate equal loading of the gel. (C and D) Expression of Bcl-x<sub>L</sub> in viable thymocytes from wild-type (WT) or mI $\kappa$ B- $\alpha$  (I $\kappa$ B- $\alpha_{A32/36}$ ) transgenic mice 24 h after intraperitoneal injection with 40 µg of  $\alpha$ -CD3 mAb (dotted lines) or an isotypematched control antibody (Control Ab; solid lines). Viable thymocytes (as determined by forward and side scatter gating) were analyzed by flow cytometry with Cy-Chrome– $\alpha$ -CD4 and PE– $\alpha$ -CD8 (see inserts for FACS<sup>®</sup> profiles). Thymocytes were fixed, permeabilized, and stained with an FITC–  $\alpha$ -Bcl-x<sub>L</sub> mAb. Levels of intracellular Bcl-x<sub>L</sub> in specific subpopulations of thymocytes were analyzed by gating on DP (C) and CD4<sup>+</sup> SP cells (D). Note the reduction in intracellular Bcl-x<sub>L</sub> levels seen in the wild-type DP thymocytes after  $\alpha$ -CD3 treatment, which was observed neither in the mI $\kappa$ B- $\alpha$  DP thymocytes nor in the wild-type or mI $\kappa$ B- $\alpha$  SP thymocytes from these same animals.

from wild-type thymocytes after  $\alpha$ -CD3 administration might have simply reflected the death of these DP cells. To confirm that the pattern of  $Bcl-x_I$  protein expression paralleled that of the mRNA and to assess the possibility that the observed changes in  $bd-x_L$  mRNA expression reflected the preferential death of the DP thymocytes in the wild-type animals, we performed Western blot analyses on thymocyte extracts 18 and 39 h after  $\alpha$ -CD3 treatment in vivo. As shown in Fig. 9 B, levels of Bcl-x<sub>L</sub> protein were significantly reduced in wild-type thymocytes at both 18 and 39 h after administration of  $\alpha$ -CD3 mAb. In contrast, levels of Bcl-x<sub>1</sub> protein were maintained at unstimulated levels in the mI $\kappa$ B- $\alpha$  thymocytes at both time points. Because there is not a significant loss of DP thymocytes 18 h after  $\alpha$ -CD3 treatment, these results indicated that the loss of Bcl-x<sub>I</sub> protein precedes thymocyte apoptosis and is prevented in the mI $\kappa$ B- $\alpha$  DP thymocytes. We confirmed this result by performing intracellular staining of viable DP thymocytes using Bcl-x<sub>L</sub>-specific antibodies (Fig. 9 C). 24 h after  $\alpha$ -CD3 administration, viable DP thymocytes displayed a significant decrease in intracellular Bcl-x<sub>L</sub> as measured by flow cytometry. In contrast, DP thymocytes from the mIκB-α mice failed to downregulate intracellular Bcl-x<sub>L</sub> expression. In control experiments, SP CD4<sup>+</sup> thymocytes from these same wild-type and mIκB-α animals both failed to demonstrate altered levels of intracellular Bcl-x<sub>L</sub> after α-CD3 administration (Fig. 9 D). Taken together, these results demonstrated that the in vivo administration of α-CD3 mAb resulted in the specific downregulation of the antiapoptotic gene *bcl-x<sub>L</sub>* in DP thymocytes and that this reduced expression of Bcl-x<sub>L</sub> was, in turn, associated with subsequent apoptotic cell death. In contrast, DP thymocytes from mIκB-α mice failed to downregulate Bcl-x<sub>L</sub> and were protected from α-CD3-mediated programmed cell death.

### Discussion

In the studies described in this report, we have used transgenic mice expressing a superinhibitory form of  $I\kappa B-\alpha$  to study the function of NF- $\kappa B$  in T cells in vivo. The dominant-negative approach used in these studies circumvents potential problems of functional redundancy and embryonic lethality that can complicate the analysis of gene

targeting experiments. In addition, because the transgene is only expressed in thymocytes and T cells we can conclude that the observed defects in T cell function are thymocyte or T cell autonomous. Our results have revealed several important roles for NF- $\kappa$ B proteins in T cell development and function. First in agreement with previous reports (33, 46) we showed that NF- $\kappa$ B is required for the development and/or survival of normal numbers of peripheral CD8<sup>+</sup> T cells, for the inducible expression of multiple cytokine genes, and for normal T cell proliferation in response to TCR-mediated T cell activation. However, our studies have also revealed an unexpected and novel role for NF- $\kappa$ B proteins in DP thymocyte apoptosis in response to  $\alpha$ -CD3 mAb administration in vivo.

Defective Thymocyte Proliferation and Cytokine Production in the mI $\kappa$ B- $\alpha$  Mice. TCR engagement leads to the precisely orchestrated transcriptional induction of >100 new genes whose expression together determine the activated T cell phenotype. Several inducible transcription factors have been implicated as critical early regulators of activation-specific T cell gene expression. These include NF-AT, CREB, AP1, and NF-KB (60). Three of these, NF-AT, CREB, and NF-KB, are present in an inactive form in resting T cells and are activated by posttranslational phosphorvlation or dephosphorylation in response to TCR crosslinking. The precise role of each of these transcription factors in regulating T cell activation remains unclear because many T cell genes contain binding sites for multiple inducible transcription factors, and because it has been difficult to extrapolate from the results of transient transfection assays performed in immortalized T cell lines to in vivo transcriptional regulatory pathways. Thus, for example, NF-AT, AP1, and NF-kB transcription factors can each bind to functionally important sites in the IL-2 promoter in vitro (71-74). Moreover, transient transfection assays have suggested that each of these transcription factors may play an important role in regulating the expression of this T cell cytokine (75-76). However, gene targeting experiments have thus far failed to demonstrate a critical role for NF-AT in positively regulating IL-2 expression and T cell proliferation in normal T cells (77, 78). To circumvent these problems, we used a genetic approach involving overexpression of  $I\kappa B - \alpha_{A32/36}$  in transgenic mice to directly study the role of NF-kB proteins in the development and activation of normal T cells in vivo. Our results demonstrated that NF- $\kappa$ B is required for the inducible expression of the IL-2, IL-3, and GM-CSF genes and for subsequent T cell proliferation in response to TCR stimulation. These findings are consistent with similar defects in cytokine production and T cell proliferation observed in mice lacking the c-Rel protein (29) or expressing a constitutively active  $I\kappa B-\alpha$  transgenic protein containing an  $NH_2$ -terminal deletion (33).

Previous studies have suggested that the CD28 costimulatory pathway functions to activate cytokine gene transcription via an NF- $\kappa$ B-mediated signaling pathway (59, 79–81). Interestingly, however, we and others (33) found that the inhibitory effects of I $\kappa$ B- $\alpha_{A32/36}$  on  $\alpha$ -CD3-mediated thymocyte proliferation could be partially reversed by  $\alpha$ -CD28–mediated costimulation. This suggests that at least some of the costimulatory effects of the CD28 pathway are mediated via one or more NF- $\kappa$ B–independent pathways. In this regard, it is of interest that  $\alpha$ -CD28 costimulation has also been reported to increase IL-2 expression by stabilizing IL-2 mRNA (82). It will be of interest to determine if this mRNA-stabilizing effect of CD28 signaling is regulated via an NF- $\kappa$ B–independent pathway.

The mechanism responsible for the observed reduction in peripheral CD8<sup>+</sup> T cells in the mI $\kappa$ B- $\alpha$  mice remains unclear. It may reflect unique roles for NF- $\kappa$ B in the signaling pathways required for the maturation or export of mature CD8<sup>+</sup> thymocytes. Alternatively, it may reflect a defect in the survival of CD8<sup>+</sup> T cells in the periphery of the mI $\kappa$ B- $\alpha$  mice. In this regard, it is of interest that the survival of peripheral naive SP CD8<sup>+</sup> T cells has been reported to require continuous stimulation by class I MHC. Thus, it is possible that this class I MHC-mediated signal is transmitted through an NF- $\kappa$ B-dependent pathway (83).

The Role of NF- $\kappa$ B in DP Thymocyte Apoptosis. Programmed cell death plays an important role in shaping the T cell repertoire during thymocyte ontogeny. More than 95% of immature DP thymocytes die before they exit the thymus (84). Thymocytes that express TCRs with low avidity for antigen plus self-MHC fail to be positively selected and die by "neglect", whereas self-reactive DP T cells are deleted during the process of negative selection after high affinity engagement of their TCRs by self-antigenic peptides and MHC (85, 86). Our results demonstrate that NF-KB proteins are necessary positive mediators of DP thymocyte apoptosis in response to  $\alpha$ -CD3 administration in vivo. These findings were somewhat surprising given several recent studies that have reported that NF-KB proteins are potent inhibitors of TNF- $\alpha$ - and IL-1-mediated apoptosis in fibroblasts (36-39, 87). However, our findings are consistent with previous reports demonstrating that NF-kB proteins can positively regulate apoptosis in certain cell types in vitro including thymocytes and T cell hybridomas (42, 44). The different findings of these studies may reflect the fact that NF-kB can regulate the expression of distinct proapoptotic and antiapoptotic programs in different cell lineages, at different developmental stages of a single lineage, and/or in response to different extracellular signals.

At least three different apoptotic pathways have been identified in thymocytes: (a) a TCR-mediated pathway (63, 88); (b) a glucocorticoid-responsive pathway (89, 90); and (c) a  $\gamma$  irradiation-sensitive pathway (64). Recent evidence has suggested that these pathways can be distinguished at a molecular level. Thus, for example,  $\gamma$  irradiation-induced thymocyte apoptosis requires p53 and does not occur in p53-deficient thymocytes. In contrast,  $\alpha$ -CD3-mediated thymocyte apoptosis is p53 independent (64, 91). Our results are consistent with such a model in that the mIkB- $\alpha$  DP thymocytes were protected from  $\alpha$ -CD3-induced apoptosis but remained sensitive to programmed cell death induced by  $\gamma$  irradiation. Thus, we would suggest that NF- $\kappa$ B is not required for p53-dependent thymocyte apoptosis, but is a critical positive regulator of at least one p53-independent pathway of programmed cell death in DP thymocytes. In this regard, it will be of interest to study DP thymocyte apoptosis in p53-deficient, mI $\kappa$ B- $\alpha$  transgenic mice.

Our results suggest that NF-κB mediates α-CD3-mediated apoptosis in wild-type DP thymoctyes by downregulating the expression of the antiapoptotic gene  $bd-x_L$ . Because  $bd-x_{I}$  is the predominant antiapoptotic gene expressed in DP thymocytes (68, 69) it is not surprising that its downregulation would predispose these cells to apoptosis. Such a model is also consistent with previous studies that have demonstrated that constitutive expression of a  $bcl-x_{l}$  transgene in DP thymocytes protects them from  $\alpha$ -CD3–mediated apoptosis (69). Recently, an alternatively spliced form of  $bd-x_L$ called  $bd-x_{\gamma}$  has been shown to be expressed in DP thymocytes and to be regulated in response to TCR stimulation (92). Because the Bcl- $x_{I}$  and Bcl- $x_{\gamma}$  proteins are virtually identical in size and are both recognized by the anti-Bcl-x<sub>1</sub> antibodies and probes used in our studies, we cannot distinguish them by RNAse protection, Western blotting, or intracellular flow cytometry. Thus, it is possible that one or both isoforms of Bcl-x contribute to the antiapoptotic effects of the I $\kappa$ B- $\alpha_{A32/36}$  transgene. The effect of NF- $\kappa$ B on Bcl- $x_L$ expression in DP thymocytes may be direct or indirect. That is, NF- $\kappa$ B proteins might bind directly to the *bd*- $x_L$  promoter and downregulate its activity, or alternatively, might control the expression of other (positive and/or negative) regulators of the  $bd-x_L$  gene in thymocytes. In this regard, it will be of interest to further characterize the  $bd-x_L$  promoter and to study its regulation by NF- $\kappa$ B proteins.

It is important to emphasize that at present, we cannot conclude that the proapoptotic effects of NF- $\kappa$ B in DP thymocytes are thymocyte autonomous. For example, it is possible that  $\alpha$ -CD3 administration causes peripheral T cell activation resulting in cytokine expression that in a paracrine fashion causes DP thymocyte toxicity (93). In such a model, decreased cytokine production by peripheral mI $\kappa$ B- $\alpha$ T cells in response to  $\alpha$ -CD3 treatment could account for the decreased DP thymocyte apoptosis seen in these animals. Indeed our results demonstrate decreased cytokine production by thymocytes after TCR cross-linking (Fig. 5). However, this non-thymocyte-autonomous model is less likely to account for the resistance to  $\alpha$ -CD3-induced thymocyte death seen in the mI $\kappa$ B- $\alpha$  mice because DP thymocytes from the mI $\kappa$ B- $\alpha$  mice are also resistant to  $\alpha$ -CD3-mediated killing in FTOC. Thus, it would appear more likely that NF- $\kappa$ B expression in DP thymocytes is directly required for  $\alpha$ -CD3-induced apoptosis.

During the last several years, there has been considerable interest in developing inhibitors of NF-kB for the treatment of both autoimmune diseases and cancer. In the case of cancer, such inhibitors might function to increase the sensitivity of tumor cells to apoptotic cell death induced both by cytokines such as TNF- $\alpha$  and by CTLs. In patients with autoimmune disease, such inhibitors might function by suppressing T cell activation in response to self-antigens. Our finding that NF-KB can function to potentiate apoptosis in certain cell types and specifically in DP thymocytes raises several potential concerns about the systemic administration of NF- $\kappa$ B inhibitors. First, it is possible that some tumor cells and/or virus-infected cells, like DP thymocytes, may actually demonstrate decreased apoptosis in response to NF- $\kappa$ B inhibitors. Thus, it is possible that potent NF-kB inhibitors might potentiate tumorgenesis in some tissues and might also reduce host responses against viral pathogens by decreasing susceptibility to apoptosis. Similarly, our finding that NF-kB inhibitors protect DP thymocytes from apoptosis suggests the possibility that the administration of such inhibitors to patients with autoimmune diseases might result in a failure in the negative selection of some DP thymocytes, thereby potentially increasing the generation of autoreactive T cell clones. Given these possibilities, it will be important to carefully assess the effects of NF-kB inhibitors on the apoptotic potential of different human tumor and virus-infected cells and on the negative selection of DP thymocytes before their widespread use in human therapy.

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