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## COPD in Germany: Use of Diagnostic Measures Including Blood Eosinophil Counts in Daily Practice

# COPD in Deutschland: Einsatz diagnostischer Maßnahmen einschließlich der Blut-Eosinophilenzahl im Versorgungs-alltag





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Supplementary material
Details of the German doctor's questionnaire
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#### **ABSTRACT**

**Background** Accumulating evidence on the role of blood eosinophils as a biomarker prompted the Global Initiative for Chronic Obstructive Lung Disease (GOLD) committee to refine the existing treatment algorithm by incorporating eosinophil counts into treatment recommendations. However, there is a lack of data on when, why and how frequently such blood tests and other measures are being performed by German private respiratory specialists.

**Methods** A questionnaire evaluating doctors' opinions on the use of diagnostic measures at initial diagnosis and during follow-up, including blood eosinophil count in patients with COPD, was completed by 27 respiratory specialists. Medical records from the past 12 months of 251 patients treated by the same physicians were reviewed retrospectively to investigate the use of these measures.

Results Body plethysmography (100% of doctors) and chest X-ray (96.3%) were the most commonly used measures according to the doctor's questionnaire; other measures were COPD assessment test (CAT; 85.2%) and blood eosinophil count (81.5%). The evaluation of patients' medical records revealed that body plethysmography was performed in 72.7%, the CAT in 61.8% and chest X-ray in 40.6% of patients. Blood eosinophil count was measured in 7.2%.

Conclusions In line with the GOLD recommendations, these results confirm that lung function, imaging and patient-reported outcome questionnaires play a crucial role in managing COPD. Our analyses reveal that measurement of the blood eosinophil count gained importance due to physicians' increased awareness of these cells as a useful biomarker. However, this test seems to be performed mainly for initial diagnosis and not on a regular basis.

#### **ZUSAMMENFASSUNG**

**Einleitung** Die zunehmende Evidenz zur Rolle der Eosinophilen im Blut als Biomarker veranlasste das Global Initiative for Chronic Obstructive Lung Disease (GOLD)-Komitee, den bestehenden Behandlungsalgorithmus zu verfeinern, indem die Bestimmung der Eosinophilenzahl in die Behandlungsempfehlungen aufgenommen wurde. Es fehlen jedoch

Daten darüber, wann, warum und wie häufig solche Blutuntersuchungen und auch andere diagnostische Maßnahmen von pneumologischen Fachärzten in Deutschland durchgeführt werden.

Methoden Anhand eines Arztfragebogens wurde die fachärztliche Einschätzung von 27 Pneumologen zum Einsatz von diagnostischen Maßnahmen, einschließlich der Zahl der Eosinophilen, bei der Erstdiagnose und während des Verlaufs der COPD-Erkrankung evaluiert. Die medizinischen Aufzeichnungen von 251 Patienten dieser Ärzte wurden in einer 12-monatigen, retrospektiven Analyse ausgewertet, um die tatsächliche Anwendung dieser Maßnahmen zu untersuchen.

**Ergebnisse** Bodyplethysmografie (100% der Ärzte) und Röntgen des Thorax (96,3%) waren laut Arztfragebogen die Tests, die am häufigsten verwendet wurden. Weitere Maßnahmen waren der COPD Assessment-Test (CAT;

85,2%) und die Messung der Zahl der Blut-Eosinophilen (81,5%). Die retrospektive Auswertung der Patientenakten ergab, dass bei 72,7% der Patienten eine Bodyplethysmografie, bei 61,8% der CAT und bei 40,6% eine Röntgenaufnahme des Thorax durchgeführt wurde. Die Eosinophilenzahl im Blut wurde in 7,2% gemessen.

Schlussfolgerungen In Übereinstimmung mit den GOLD-Empfehlungen bestätigen diese Ergebnisse, dass Lungenfunktion, Bildgebung und der Einsatz von Fragebögen zur Abbildung der Lebensqualität eine entscheidende Rolle bei der Behandlung von COPD spielen. Unsere Analysen zeigen, dass die Messung der Eosinophilenzahl im Blut aufgrund des zunehmenden Bewusstseins der Ärzte für diese Zellen als nützliche Biomarker an Bedeutung gewonnen hat. Dieser Test scheint jedoch hauptsächlich zur Erstdiagnose und nicht während des Verlaufs der Erkrankung durchgeführt zu werden.

#### Introduction

Chronic obstructive pulmonary disease (COPD) is a heterogeneous disease with varying phenotypes, i. e. clinical and pathophysiological differences in symptoms, airflow limitation, frequency of exacerbations and comorbidities [1]. According to current guidelines, COPD is associated with a permanent airflow limitation and an increased inflammatory response to inhalative noxae in the airways. The mainstays of COPD treatment are long-acting bronchodilators and, depending on the exacerbation risk, inhaled corticosteroids (ICS) [2, 3]. Even though the European Medicines Agency concluded that the benefits of ICS medicines in treating COPD, i. e. reduction of exacerbations, continue to outweigh their risks [4], the concern of adverse effects, notably pneumonia [5] but also skin bruising, candidiasis, cataracts, osteoporosis and diabetes [6], is a constant matter of debate. The key challenge for physicians is to identify patients with the best benefit/risk profile in clinical practice. Therefore, the identification of a simple biomarker associated with a beneficial treatment response to ICS in COPD is gaining more interest. Chronic airway inflammation in COPD is usually neutrophilic but an elevated blood eosinophil count has been proposed as a potential biomarker of ICS responsiveness in COPD [7,8]. Within the past five years, numerous post-hoc analyses have consistently associated higher peripheral blood eosinophil counts with increased responsiveness of patients with COPD to ICS [9-11] or a worsening of exacerbation frequency after ICS withdrawal [12]. This relationship has been supported by results of more recently published secondary analyses, in which blood eosinophil count was shown to predict exacerbation risk and clinical response to ICS [13]. Furthermore, in an extended analysis of the IMPACT study [14], which investigated inhaled triple therapy compared with fixed-dose combination dual bronchodilators (long-acting beta-2 agonists [LABA]/long-acting muscarinic antagonists [LAMA] and ICS/LABA), the baseline blood eosinophil count was linked to an ICS-associated exacerbation reduction following a linear pattern. In the IMPACT study, the value of blood eosinophil

count in the management of COPD was prospectively confirmed [15]. This accumulating evidence on the role of blood eosinophils as a biomarker prompted the Global Initiative for Chronic Obstructive Lung Disease (GOLD) committee to refine the existing treatment algorithm by incorporating eosinophil counts into treatment decisions. The 2020 revision of the GOLD report states that in exacerbating patients, ICS and bronchodilator combination therapy could be considered as a first-line option for patients with eosinophil counts of  $\geq 300$  cells/µl [2]. With blood eosinophil count as a biomarker to be utilized for phenotyping and predicting treatment responses in COPD, there is a need to better understand when, why and how frequently such blood tests and other measures are performed in daily clinical practice.

The aim of this non-interventional study was to evaluate the attitudes of private German respiratory specialists towards the use of selected measures, including blood eosinophil counts, and the execution of these measures in routine clinical care of patients with COPD. We collected information on private German respiratory specialists' opinions on the use of diagnostic tests at initial diagnosis and during follow-up using a doctor's questionnaire (DQ). A retrospective evaluation of patient medical records was used to examine the actual execution of these tests within routine medical care in the respective private practices.

#### Methods

This German multicentre non-interventional study consisted of a cross-sectional questionnaire and a 12-month retrospective patient record study. The study was conducted from April 2018 to October 2018 (https://clinicaltrials.gov, NCT03465332) and was approved by the ethics committee of the Bavarian Medical Association in Germany.

The study consisted of a pilot study and two main parts. In the pilot study, respiratory specialists who were not participating in the survey tested the DQ and, based on the results, the DQ was revised (a translated version of the DQ details can be found in the supplement). During the first part of the main study, 27 private respiratory specialists were enrolled and data on their perspectives on the diagnosis and treatment of patients with COPD were collected via the DQ. The participating doctors were all respiratory specialists working in private practices, to whom patients in Germany were either referred or had direct access to.

Eligibility criteria for the participating doctors included more than ten years of experience in respiratory medicine, supervision of at least 500 COPD patients (at least 100 patients over the last 12 months), a focus on COPD, board certification as a respiratory physician, and informed consent to participate in this study and also share files of consenting patients (while complying with data protection rules).

In the second part, 251 patients with COPD were selected from participating doctors and retrospective medical data were collected from patient records. Each site was given a predefined minimum and maximum number of patients and a predefined recruitment window. Every consecutive patient visiting the site within the recruitment window, who met inclusion criteria and consented to participate in the study, was included. Inclusion criteria for patients comprised written informed consent, being ≥40 years of age, a current or former smoker with > 10 pack years, ≥ 12 months since initial COPD diagnosis, no concurrent asthma diagnosis and ≥ 12 months of documented disease history at participating study centres. Exclusion criteria for patients were pregnancy and breastfeeding (in the last 12 months), and patients currently participating in any interventional study and/or patients with severe comorbidities interfering with COPD therapy. In accordance with the study design, the physicians transferred existing retrospective data from selected patients who may have received any COPD medication into an electronic case report form (eCRF).

The primary endpoints were the assessment of the selection of the diagnostic tests, including blood eosinophil counts commonly used by private respiratory specialists in routine clinical care in Germany, and the reasons for the choice of these tests. Secondary endpoints included the investigation of any relationship between the blood eosinophil test selection (based on the DQ) and drug selection (based on information from the medical record review). Furthermore, comprehensive retrospective data were transferred from the patient records into the eCRF on the use of diagnostic measures, including lung function and imaging, patient-reported outcome (PRO) questionnaires (COPD assessment test [CAT], modified Medical Research Council [mMRC] dyspnea scale), COPD maintenance and other treatments in the previous 12 months, history of exacerbations, hospitalisation due to COPD and selected concomitant diseases. A COPD exacerbation was defined as a sustained worsening of respiratory symptoms that required treatment with systemic corticosteroids, antibiotics, or hospital admission, or any combination thereof.

Endpoints, including data from DQs and patient data, were analysed descriptively. If needed for certain explorative analyses, statistical tests were applied, appropriate to the level of measurement, e.g. the paired-samples t-test for continuous

data or Fisher's exact test for categorical data. All tests performed are descriptive and have no confirmatory character; therefore, no correction for multiple tests was applied.

#### Results

### Doctors' perceptions of common diagnostic measure usage

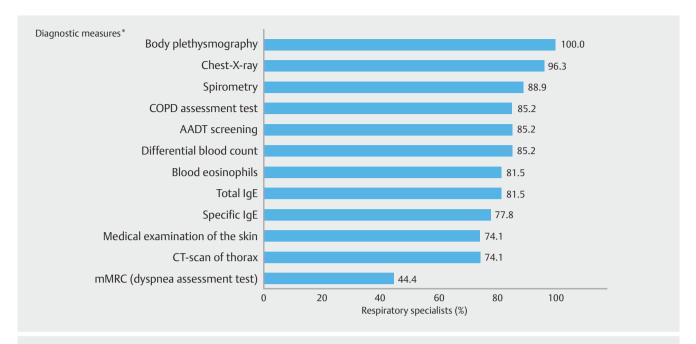
Twenty-seven centres participated in the study by completing the DQ and recruiting patients. At all sites, participating doctors were private respiratory specialists, who had treated at least 100 COPD patients in the previous 12 months; 19 sites (70.4%) reported having treated over 1000 patients in the previous 12 months.

According to the DQ, assessment of lung function performed by body plethysmography was the most commonly used diagnostic measure by all respiratory specialists (100%) (▶ Fig. 1). It was performed for diagnosis in 24 centres (88.9%), regularly in 26 centres (96.3%) and "when needed" in 5 centres (18.5%) (▶ Table 1). All physicians considered that body plethysmography was useful as a diagnostic tool for COPD, as well as for monitoring of long-term therapy. The benefit for their patients was considered "high" by 92.6% of the physicians (▶ Table 1). Regarding spirometry, 88.9% of physicians stated that they usually use this method (▶ Fig. 1); either regularly (70.4%) or for diagnosis (59.3%). The most frequently named advantage of this measure was monitoring of short-term therapy, which was mentioned by 88.9% of the physicians.

Chest X-ray was the second most common diagnostic measure as stated in the DQ (96.3%; ▶ Fig. 1) and was mostly considered as a test for diagnosis (81.5%); over half of the physicians also used this for detection of concomitant diseases (59.3%; ▶ Table 1). Other frequently used measures were symptom and health-related quality of life assessment by CAT, the determination of alpha-1-antitrypsin deficiency (AADT), and measurement of the differential blood count, each considered by 85.2% of the physicians (▶ Fig. 1). Physicians reported that the CAT was completed regularly in 63.0% of cases and was mainly considered useful for monitoring the effects of long-term therapy (74.1%), but also for monitoring of short-term therapy (63.0%) and a useful diagnostic for COPD (55.6%) (▶ Table 1). The eosinophil count was a blood test that was reported as "routinely used" by 81.5% of the respiratory specialists (▶ Fig. 1).

Further analysis of doctors' perceptions of blood eosinophil tests revealed that more than half of the respiratory specialists (59.3%) reported that blood eosinophil counts were analysed for diagnosis (> Table 1). Only three physicians (11.1%) indicated regular analysis of blood eosinophil counts. Two-thirds of respondents regarded blood eosinophils as a useful diagnostic tool for COPD and 55.6% felt it was an important diagnostic tool for concomitant diseases, followed by 29.6% of respondents who found it to be useful for monitoring of long-term therapy. The benefit of blood eosinophil counts for their patients was evaluated as medium (51.9%) (> Table 1).

The physicians were asked to add "other" assessment tests applied in their routine usage. In total, 36 additional tests were reported by 19 out of 27 physicians (70.4%), with the



► Fig. 1 Diagnostic measures – results of the doctor's questionnaire (DQ). \*Multiple responses were possible; AADT = alpha-1 antitrypsin deficiency; CT = computed tomography; IgE = immunoglobulin E; N = 27 (number of respiratory specialists)

▶ Table 1 Doctors' reasons for the use of diagnostic measures in routine clinical practice – results of the DQ

Diagnostic measures	ВР	Spirometry	Chest X-ray	CAT	mMRC	Eosinophil count	
	Respiratory specialists, n (%) N=27						
Time/frequency of execution*							
For diagnosis	24 (88.9)	16 (59.3)	16 (59.3)	12 (44.4)	7 (25.9)	16 (59.3)	
Regularly	26 (96.3)	19 (70.4)	14 (51.9)	17 (63.0)	6 (22.2)	3 (11.1)	
When needed	5 (18.5)	8 (29.6)	13 (48.1)	7 (25.9)	5 (18.5)	10 (37.0)	
Advantages of this measure*							
Diagnostic for							
• COPD	27 (100)	20 (74.1)	22 (81.5)	15 (55.6)	15 (55.6)	18 (66.7)	
<ul> <li>concomitant diseases</li> </ul>	13 (48.1)	8 (29.6)	16 (59.3)	4 (14.8)	4 (14.8)	15 (55.6)	
Monitoring of							
<ul> <li>short-term therapy</li> </ul>	24 (88.9)	24 (88.9)	7 (25.9)	17 (63.0)	12 (44.0)	4 (14.8)	
<ul> <li>long-term therapy</li> </ul>	27 (100)	23 (85.2)	13 (48.1)	20 (74.1)	15 (55.6)	8 (29.6)	
Recommended by guidelines	9 (33.3)	8 (29.6)	3 (11.1)	5 (18.5)	5 (18.5)	3 (11.1)	
Benefit for the patient							
None	0	0	2 (7.4)	2 (7.4)	4 (14.8)	4 (14.8)	
Low	0	2 (7.4)	1 (3.7)	3 (11.1)	6 (22.2)	4 (14.8)	
Medium	2 (7.4)	10 (37.0)	11 (40.7)	17 (63.0)	11 (40.7)	14 (51.9)	
High	25 (92.6)	15 (55.6)	13 (48.1)	5 (18.5)	6 (22.2)	5 (18.5)	

<sup>\*</sup> Multiple responses were possible; BP = body plethysmography; CAT = COPD assessment test; COPD = chronic obstructive pulmonary disease; DQ: doctor's questionnaire; mMRC = modified Medical Research Council (dyspnea assessment test); N = 27 (number of respiratory specialists)

▶ Table 2 Patient demographics and disease characteristics
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Baseline	Patients N=249
Sex, n (%)	
Male	144 (57.8)
■ Female	105 (42.2)
Age (years), mean (SD)	66.8 (8.6)
Age groups, n (%)	
< 65 years	106 (42.6)
■ ≥65 years	143 (57.4)
Time since primary diagnosis (years), mean (SD)	6.4 (4.5)
Time groups, n (%)	
<2 years, n (%)	27 (10.8)
■ ≥2 years, n (%)	222 (89.2)
Smoking status at baseline, n (%)	
Ex-smoker	160 (64.3)
Current smoker	89 (35.7)
Pack years (years), mean (SD)	
Ex-smokers	33.9 (18.0
Current smokers	35.7 (15.5
Allergic comorbidities, n (%)	
• None	233 (93.6)
Concomitant diseases (system organ classes), n (%)	
<ul><li>Vascular (e.g. hypertension)</li></ul>	133 (53.4)
Respiratory (non-COPD), thoracic and mediastinal	79 (31.7)
Cardiac	69 (27.7)
None/unknown	40 (16.1)
Metabolic (e. g. diabetes mellitus)	39 (15.7)
Musculoskeletal	32 (12.9)
Endocrine	23 (9.2)
GOLD 2017* assessment of severity of obstruction, n (%	ś)
• GOLD I – mild	15 (6.0)
GOLD II – moderate	126 (50.6)
• GOLD III – severe	79 (31.7)
GOLD IV – very severe	29 (11.6)
GOLD 2017* risk classes, A/B/C/D classification, n (%)	
Group A: low risk	34 (13.7)
Group B: low risk	136 (54.6)
Group C: high risk	42 (16.9)
Group D: high risk	37 (14.9)

Table 2	(Fortcotzupa)
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Baseline	Patients N=249
Retrospective 12-month period	
Exacerbations per patient, mean (SD)	0.5 (0.9)
Number of exacerbations, n (%)	
• 0	171 (68.7)
• 1	56 (22.5)
• ≥2	22 (8.8)

COPD = chronic obstructive pulmonary disease; SD = standard deviation
\* Global Initiative for Chronic Obstructive Lung disease (GOLD) 2017; risk
classes based on COPD assessment test and exacerbations; N = 249 (number of patients)

most frequently mentioned tests being blood gas analyses, other lung function tests (e.g. diffusion capacity) and bronchodilator reversibility tests (data not shown).

In addition to the evaluation of the commonly used measures, physicians were asked which tests they would classify as relevant biomarkers. A majority of 24 out of 27 physicians (88.9%), considered blood eosinophil count to be a relevant biomarker for COPD. High-sensitive C-reactive protein was considered relevant by 13 (48.1%) and total IgE determination by 10 physicians (37.0%) (data not shown).

#### Retrospective analysis of patient data

#### Patient demographics and disease characteristics

The respiratory specialists recruited 251 patients, of whom two were excluded from analysis; one patient due to incomplete data and one patient due to a protocol deviation. The evaluable patient population therefore comprised 249 patients.

Detailed patient characteristics including comorbidities and exacerbations during the retrospective period are displayed in (▶ Table 2). According to analysis of the medical records, a higher proportion of male than female patients were included in the study (57.8% vs. 42.2%, respectively). The mean age was 66.8 years, with 42.6% of the patients being <65 years. More than half of the patients (64.3%) had stopped smoking, while 35.7% were current smokers. About half of the patients (50.6%) were categorised as having GOLD II disease (moderate severity with a percentage predicted forced expiratory volume in 1 s [FEV₁] value between 50% and 79%) and 31.7% of patients were classified in high risk GOLD groups C and D.

Within the retrospective 12-month period, the largest proportion of all documented COPD drugs was the combination of LABA and LAMA (18.6%), followed by LAMA (16.8%) and shortacting beta-2 antagonists (SABA) (16.5%). ICS, given alone or combined with LABA and/or LAMA, represented 21.0% of the documented drugs (data not shown).

▶ Table 3 Comparison of diagnostic measures between DQ and patient documentation based on patient population

Diagnostic measures	Patients recruited by	Patients in whom the measur		
	physicians who reported use of the measure	Physicians who reported routine use of the measure	Physicians who reported not to routinely use the measure	
	N = 249 (%)	% of patients	% of patients	P-value*
Body plethysmography	249 (100)	72.7	-	- * *
Chest X-ray	242 (97.2)	41.7	0	0.0436
Spirometry	211 (84.7)	30.3	34.2	0.7035
COPD Assessment Test	199 (79.9)	67.8	38.0	0.0002
AATD screening	210 (84.3)	1.0	7.7	0.0285
Differential blood count	203 (81.5)	6.9	6.7	0.3171
Blood eosinophils	192 (77.1)	8.3	3.5	0.3801
Total IgE	199 (79.9)	5.5	0	0.1274
Specific IgE	197 (79.1)	4.6	0	0.2107
Medical examination of the skin	185 (74.3)	3.2	4.7	0.6981
CT-scan of thorax	183 (73.5)	6.6	4.5	0.7652
mMRC (dyspnea assessment test)	107 (43.0)	50.5	0.7	< 0.0001

AATD = alpha-1 antitrypsin deficiency; CT = computed tomography; DQ = doctor's questionnaire; IgE = immunoglobulin E

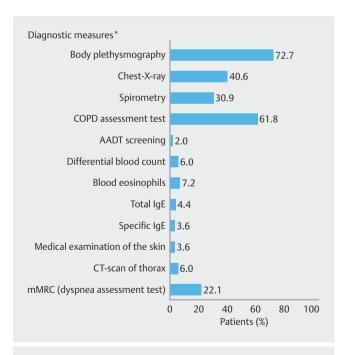
#### Diagnostic measures – patient documentation

According to the retrospective data derived from patient records, body plethysmography was performed in 72.7% of all patients and spirometry in 30.9% of patients (▶ Fig. 2). During the 12 months prior to inclusion in the study, the CAT was used for more than half of the patients (61.8%), followed by chest X-ray in 40.6% of patients and the mMRC dyspnea scale in 22.1% of patients. Blood eosinophil counts were performed in 18 patients (7.2%).

The mean percentage of blood eosinophils in all 18 patients was 1.5% ( $\pm 1.3\%$ ). The proportion of patients receiving ICS was slightly higher among patients with blood eosinophil results than in patients without, especially when single ICS and/or orally administered prednisolone was given (22.2% vs. 11.3% and 22.2% vs. 10.0%, respectively) (data not shown).

#### Diagnostic measures – subgroup analysis

The two different parts of the study ("DQ" and "patient data" [i.e. information from the medical record review]) were compared with each other. In some diagnostic measures (for example, the CAT and the mMRC dyspnea scale), the physicians' opinions of their routine use statistically significantly correlated with the retrospective data from the patient records (>Table 3). In contrast, the physicians' statements on other routinely performed diagnostic measures (including blood eosinophil count) were not reflected by their patients' documentation.



► Fig. 2 Diagnostic measures – patient documentation.

\*Multiple responses were possible; body plethysmography always included spirometry parameter; AADT = alpha-1 antitrypsin deficiency; CT = computed tomography; IgE = immunoglobulin E; N = 249 (number of patients)

<sup>\*</sup> Fisher's exact test

<sup>\*\*</sup> could not be calculated for this specific comparison

#### Discussion

In accordance with current GOLD recommendations [2] our results confirm that lung function analysis plays a crucial role in diagnosing and monitoring COPD, since all physicians stated routine use of body plethysmography. Lung imaging by chest X-ray and PRO-questionnaires such as the CAT and (less frequently) the mMRC dyspnea scale were also commonly used measures, according to the DO and the documented patient data. Our analysis revealed that blood eosinophil count gained importance due to increased physician awareness that blood eosinophils might qualify as a useful biomarker in COPD, since 88.9% of the physicians regarded them as such and 81.5% reported blood eosinophils to be a commonly used measure. However, according to the retrospective analysis of patient documentation, blood eosinophil counts were determined in only 18 out of 249 patients (7.2%) in the observational phase from 19 April 2017 to 30 August 2018. This test was mainly performed for diagnosis and not on a regular basis. More than twothirds (66.7%) of all physicians considered the blood eosinophil count to be an initial diagnostic tool for COPD and 11.1% reported its use as a regular assessment.

The study aimed to evaluate the availability, relevance and execution of diagnostic measures in real-life settings, which was accomplished by a cross-sectional DQ and the retrospective evaluation of patient data. One limitation of the study was the retrospective nature of the patient data analysis. Most importantly, at the time of data collection by the DQ, the role of eosinophils was much less pronounced than today. The international GOLD recommendations for using eosinophil counts in the treatment algorithm were published after completion of our study. As yet, the evidence base for using eosinophils in the COPD treatment algorithm is weak and the implementation of eosinophils as a biomarker in German recommendations for the treatment of COPD [3] is still ongoing. Therefore, it would be useful to repeat the study with the aim of collecting information about the changing perceived importance of blood eosinophils over time. On the contrary, the retrospective design can also be regarded as a strength because the actions taken by participating physicians were not influenced by the study design. In addition, a minimum set of inclusion and exclusion criteria allowed the enrolment of a representative set of practices and patients throughout Germany, with a broad range of COPD stages.

Although the number of analysed patients was relatively low, the patient characteristics in our study reflect that of a typical real-life COPD population. The patients recruited were very similar to the German DACCORD population, in which 49.2% of patients were categorized into the GOLD II stage at baseline (50.6% in our study) and approximately three-quarters were free from exacerbations in the previous year (68.7% in our study) [16]. The GOLD 2017 distribution of patients in our study was similar to that observed in the international COPD gene cohort and the European real-world population (Adelphi Real World Respiratory Disease Specific Programme) with ~30% of patients being categorized to high risk GOLD classes C and D [17, 18]. No comorbidities were recorded for only 16.1% of all patients. Vascular dis-

orders (e.g. hypertension) and cardiac diseases were the most common concomitant diseases, as reported in other real-world studies [16 – 18].

Concerning lung function analysis, respiratory specialists stated regular use of body plethysmography (100% usage) and spirometry (88.9%) via the DQ. The retrospective analysis revealed that body plethysmography was performed in 72.7% of patients and spirometry in 30.9%. As shown by the study eCRF, the body plethysmography panel mandatorily requested spirometry values. Thus, all patients underwent spirometry as recommended by both the GOLD and German guidelines. According to GOLD recommendations and the German guidelines, body plethysmography is not mandatorily recommended for diagnosis of COPD, but analysis of the complete set of lung volumes, including total lung capacity and residual volume, is important and may be necessary in some cases for further differential diagnosis [2,3]. Body plethysmography is available to respiratory physicians as an advanced diagnostic tool. It is mandatorily included in the reimbursement system of German secondary respiratory care. Therefore, it might be used more frequently than spirometry alone in German private respiratory practices, which has been reported before in other studies [19, 20].

In concordance with the current national and international COPD guidelines, chest X-ray was chosen for exclusion of differential diagnoses by 81.5% of all respiratory specialists and for the determination of concomitant diseases by 59.3%. In the patient documentation, chest X-ray was performed in 40.6% of patients, which was assumed to be related to the fact that the first diagnosis of COPD for all patients was prior to the observation period of one year.

Other analyses that were not performed on a regular basis included alpha-1 anti-trypsin deficiency (AATD), medical examination of the skin, total immunoglobulin E (IgE) and specific IgE. While the latter three are used to rule out allergic disorders, the regular screening of COPD patients for AATD is recommended by national and international guidelines [2,3]. However, the disconnect between the recommendations and clinical practice has been published before [21].

Regarding the assessment of symptoms, the CAT was documented retrospectively in 61.8% of patients and the mMRC dyspnea scale in 22.1%. Subgroup analyses, which aimed to examine whether the measures indicated in the DQ correlated to the patient data documented at the corresponding sites, revealed a significant association for both the CAT and mMRC dyspnea scale. Thus, the physicians' assessments of their routine usage did correlate with the documented patient data. It should be noted that the CAT, which was documented in a large proportion of patients, was stated in the DQ to be completed regularly. The use of the CAT is recommended by current guidelines and is a well-proven, quick, and reliable tool for the assessment of health-related quality of life [22].

In our study, the majority of physicians (88.9%) stated that blood eosinophils are a relevant biomarker of COPD, as indicated in the DQ. According to the retrospective analysis, the blood eosinophil count was routinely performed in 7.2% of patients. In the DQ, most physicians reportedly performed the blood eosinophil count during initial diagnosis, which all patients under-

went before inclusion in the study. This could explain the low utilisation of the blood eosinophil test. Nevertheless, a single analysis of blood eosinophil count at initial diagnosis would not be sufficient to guide the appropriate pharmacotherapy (e.g. to assign treatment with corticosteroids or not). Varying stability of blood eosinophils over time was shown in the German multicentre COSYCONET study [23], therefore regular analysis for all patients would be required to achieve concordant analyses. On the other hand, very recent analysis of the IMPACT trial revealed that two blood eosinophil count measurements do not appear to provide additional information to predict ICS treatment response in COPD versus one measurement [24].

The subgroup of 18 patients who underwent blood eosinophil testing in our study received more corticosteroids during the observational period (data not shown), thus the physicians showed a tendency towards drug selection based on blood eosinophil count. However, due to the low number of patients in that group, conclusions on the correlation of corticosteroid use and blood eosinophil count should be interpreted with caution.

The results of our study cannot be fully representative for the following two limitations: Firstly, the data collection time is referring to the years 2017–2018 and may likely not be representative for the actual situation. Secondly, even though we succeeded in including physicians with high expertise in the area, the doctor's questionnaire was completed exclusively by office-based, private respiratory specialists. Therefore, it is unclear to what extent the findings apply to routine clinical practice in German hospitals.

#### Conclusion

Our results confirm that lung function, imaging and the use of PRO questionnaires play a crucial role in diagnosing and monitoring COPD. Our analyses may suggest that that German respiratory physicians are aware of the role of blood eosinophil count in the future management of COPD, however, during 2017 – 2018 eosinophil count analysis was not yet implemented in German respiratory secondary care.

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#### Competing interests

Timm Greulich reports personal fees for advisory board meetings and lectures from AstraZeneca, Berlin-Chemie, Boehringer-Ingelheim, Chiesi, CSL-Behring, GlaxoSmithKline, Novartis and grants from Grifols and the German centre for Lung Research (DZL), Marburg, Germany, outside the submitted work.

Volker Töpfer has no conflict of interest to declare.

Michael Hennig, Christina C. Orehounig, Klaus Ams and Cordula D. Mohrlang are employed at GlaxoSmithKline GmbH & Co, Munich, the sponsor.

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