

Biomarkers: Our Path Towards a Cure for Alzheimer Disease

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ABSTRACT: Over the last decade, biomarkers have significantly improved our understanding of the pathophysiology of Alzheimer disease (AD) and provided valuable tools to examine different disease mechanisms and their progression over time. While several markers of amyloid, tau, neuronal, synaptic, and axonal injury, inflammation, and immune dysregulation in AD have been identified, there is a relative paucity of biomarkers which reflect other disease mechanisms such as oxidative stress, mitochondrial injury, vascular or endothelial injury, and calcium-mediated excitotoxicity. Importantly, there is an urgent need to standardize methods for biomarker assessments across different centers, and to identify dynamic biomarkers which can monitor disease progression over time and/or response to potential disease-modifying treatments. The updated research framework for AD, proposed by the National Institute of Aging- Alzheimer's Association (NIA-AA) Work Group, emphasizes the importance of incorporating biomarkers in AD research and defines AD as a biological construct consisting of amyloid, tau, and neurodegeneration which spans pre-symptomatic and symptomatic stages. As results of clinical trials of AD therapeutics have been disappointing, it has become increasingly clear that the success of future AD trials will require the incorporation of biomarkers in participant selection, prognostication, monitoring disease progression, and assessing response to treatments. We here review the current state of fluid AD biomarkers, and discuss the advantages and limitations of the updated NIA-AA research framework. Importantly, the integration of biomarker data with clinical, cognitive, and imaging domains through a systems biology approach will be essential to adequately capture the molecular, genetic, and pathological heterogeneity of AD and its spatiotemporal evolution over time.

KEYWORDS: Alzheimer disease, biomarker, synapse, neuron, inflammation

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Introduction

Alzheimer disease (AD) is a global health epidemic and a significant cause of morbidity and mortality among elderly populations.^{1,2} Estimates from the Alzheimer's Association and the World Health Organization (WHO) suggest that there are currently about 5.8 million people in the US, and over 35 million people in the world, with AD.^{2,3} In the absence of effective ways to cure or prevent this disease, and with the aging of populations, the number of individuals with AD is expected to triple in the next 30 years.⁴ The Alzheimer's Association and WHO predict that at least 15 million people in the US, and over 115 million people in the world, will have AD by the year 2050.^{2,3} Perhaps equally alarming are recent reports suggesting that a new diagnosis of AD is made every 65 seconds in the US.² Despite several large national and international efforts to find effective ways to prevent or slow down disease progression, to this day—over a century from when it was first described by Alois Alzheimer—AD remains a disease without a cure.⁵

Extracellular aggregates of amyloid- β (A β) peptides (in the form of amyloid plaques) and intracellular aggregates of hyperphosphorylated tau (in the form of neurofibrillary tangles) are the 2 main pathological hallmarks of AD.^{6–8} Other pathological substrates such as inflammation,⁹ immune dysregulation, vascular injury, oxidative stress,¹⁰ mitochondrial dysfunction¹¹, and calcium-mediated excitotoxicity¹² also appear to play a role in AD pathogenesis. These different pathologies eventually culminate in neuronal injury, synaptic dysfunction,

and neurodegeneration leading to cognitive, behavioral, and functional decline in affected individuals.¹³ There has been increasing interest over the last few years in identifying novel imaging or molecular markers which can accurately capture the various pathological processes involved in AD.¹⁴ This information will significantly improve our understanding of the interplay between various AD pathologies in different stages of the disease, and will be critical in identifying novel disease mechanisms and pathways which extend beyond the “plaque and tangle” model.¹⁵

We here review the main advances in the AD biomarker field over the last decade (Figure 1). We discuss current and future roles for fluid biomarkers in improving diagnostic accuracy, patient stratification, prognostic assessments, and monitoring potential response to disease-modifying therapies in clinical trials of AD. Importantly, we highlight the importance of biomarkers in improving our understanding of the biological construct of AD, and identifying novel therapeutic targets for AD pathologies which overlap with other disorders across the neurodegenerative spectrum.

Biomarkers of Pathological Substrates of AD

Biomarkers of core AD pathologies: Amyloid and Tau

CSF A β 42, total tau, and tau phosphorylated at threonine 181 (p-tau181) levels reflect the 2 main pathologies in AD and are well-established AD biomarkers.^{16,17} Lower CSF A β 42 levels



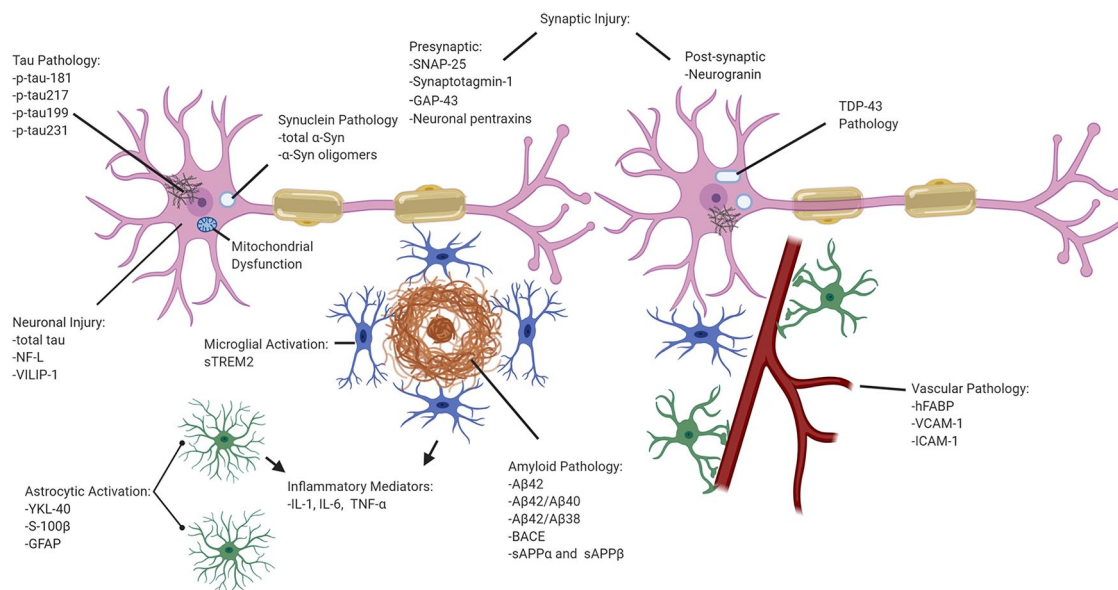


Figure 1. Biomarkers of different pathological substrates in Alzheimer disease (AD). This is a schematic diagram summarizing the most widely examined biomarkers that reflect different pathologies in AD. Abbreviations: A β , amyloid-peptide- β ; BACE, β -secretase; GAP-43, growth-associated protein-43; GFAP, glial fibrillary acidic protein; hFABP, heart-type fatty acid binding protein; ICAM-1, intercellular adhesion molecule-1; IL, interleukin; NF-L, neurofilament light chain; p-tau, hyperphosphorylated tau; sTREM2, soluble triggering receptor expressed on myeloid cells-2; α -Syn, α -synuclein; TDP-43, transactive response (TAR) DNA-binding protein-43; TNF- α , tumor necrosis factor- α ; VCAM-1, vascular cell adhesion molecule-1; VILIP-1, visinin-like protein-1. This figure was created with BioRender.com.

are observed in AD compared to controls and result from amyloid aggregation in brain tissue via a sink mechanism,¹⁸ while the aggregation of hyperphosphorylated tau in the form of neurofibrillary tangles and the accompanying neurodegeneration in AD brains are associated with increased levels of CSF p-tau181 and CSF tau.¹⁷ Several clinicopathological studies have shown that the CSF biomarker phenotype of AD (ie, high CSF tau and/or p-tau181 and low CSF A β 42) can be detected in the pre-symptomatic stages of disease and improves the diagnostic accuracy for AD from other causes of dementia in cognitively impaired individuals.¹⁹ Moreover, the CSF tau/A β 42 or CSF p-tau181/A β 42 ratio is a strong predictor of the progression of AD pathology and future cognitive impairment in cognitively normal individuals over a 5-10 year follow-up period.^{20,21}

While CSF levels of shorter A β peptides (ie, A β 40 and A β 38) have limited diagnostic utility in AD,²² measurements of the CSF A β 42/A β 40 or A β 42/A β 38 ratios offer several advantages over absolute CSF A β 42 levels. CSF A β 42/A β 40 and A β 42/A β 38 ratios are more closely associated with amyloid load on amyloid positron-emission tomography (PET) scans,²³ better differentiate AD from non-AD dementias,²³ and may be a better measure of target engagement in clinical trials of amyloid-based treatments than CSF A β 42 alone. The use of the CSF A β 42/A β 40 ratio corrects for confounding effects arising from possible differences in CSF dynamics or rates of amyloid production among different individuals and reduces the influence of various pre-analytical factors on A β measurements.^{24,25} Furthermore, in combination with CSF A β 42, CSF A β 40

offers a useful measure for target engagement of β -secretase (BACE1) inhibitors.²⁶ Reduced CSF A β 42 and A β 40 levels along with an increase in shorter fragments such as CSF A β 37 and A β 38 may be useful in tracking biological response to treatment with γ -secretase modulators.²⁷

Although CSF p-tau181 has perhaps been the most widely examined form of hyperphosphorylated tau in AD, other p-tau species have also been investigated as potential AD biomarkers.²⁸ One comparative biomarker study examined the diagnostic accuracy of 3 CSF p-tau species (p-tau181, p-tau231, and p-tau199) in the same cohort.²⁸ In this study, CSF p-tau231 and p-tau199 demonstrated comparable specificity to CSF p-tau181 in differentiating AD from healthy controls. CSF p-tau231 and p-tau181, but not CSF p-tau199, provided high sensitivity and specificity in differentiating AD from non-AD dementias.²⁸ CSF p-tau231 improved the discrimination of AD from frontotemporal dementia (FTD), while CSF p-tau181 was useful in differentiating AD from Lewy body dementia (LBD).²⁸

Importantly, more recent studies have shown that CSF p-tau217 levels are increased in AD and provide better diagnostic performance in differentiating AD from non-AD dementias than CSF p-tau181.²⁹ In the Swedish BioFINDER study, baseline and longitudinal measurements of CSF p-tau217 correlated with cortical tau deposition, measured by the PET tau tracer [¹⁸F] flortaucipir, to a better extent than CSF p-tau181.²⁹ Furthermore, in this study, CSF p-tau217 showed stronger correlations with CSF and PET measures of cortical amyloid deposition compared to CSF p-tau181.²⁹

There has been great interest in identifying peripheral disease-specific markers of AD.³⁰ Blood-based biomarkers are less invasive, costly, and time-consuming than CSF markers; and therefore, are likely to be more accessible as screening and stratification tools in clinical settings and research trials. Several studies across different cohorts have shown that p-tau181 levels can be accurately measured in the blood.^{31–33} Plasma p-tau181 levels are increased early in AD, differentiate AD from cognitively normal controls and from other dementias, and correlate with cortical tau and amyloid deposition on PET imaging and with tau pathology at autopsy.³⁴ Furthermore, plasma p-tau181 levels offer useful prognostic markers in AD as they increase with disease progression over time and predict future progression to AD dementia in individuals with mild cognitive impairment (MCI).³⁴

More recently, plasma p-tau217 has garnered significant attention as a promising blood-based biomarker for AD.³⁵ In a cross-sectional study in 3 well-characterized cohorts, plasma p-tau217 more accurately distinguished neuropathologically-confirmed AD from non-AD dementias compared to plasma p-tau181 or brain atrophy measures on magnetic resonance imaging (MRI), and to a comparable degree to CSF p-tau217, CSF p-tau181, and tau PET scans.³⁵ Furthermore, plasma p-tau217 levels were found to be significantly increased ~20 years prior to anticipated symptom onset in presenilin-1 (PSEN1) E280A mutation carriers compared to non-carriers and correlated with lower memory performance.³⁵

The identification and validation of accurate and reliable blood-based markers of amyloid pathology has been more challenging.³⁶ Plasma or serum A β 42 levels are 10 to 100-fold lower than CSF levels,³⁶ and A β epitopes may be masked by A β binding to plasma proteins.³⁷ These factors, and others (eg, variable peripheral sources of A β), have hindered the ability to obtain reliable and consistent peripheral measures of A β across different laboratories and study cohorts using conventional enzyme-linked immunoassays.³⁶

More recent advances in peripheral A β measurements using immunoaffinity-based assays such as immunomagnetic reduction (IMR),³⁸ single-molecule arrays (SIMOA),³⁹ and the combination of immunoprecipitation and liquid-chromatography mass-spectrometry (IPMS)⁴⁰ offer promising tools for accurate quantification of peripheral A β levels in AD and will likely facilitate the standardization of blood-based A β markers across different centers. Novel assays, which utilize immunoprecipitation coupled with mass spectrometry or SIMOA, have allowed the measurement of plasma A β with high precision and demonstrate the ability of plasma A β 40/A β 42 levels to accurately predict amyloid-positive PET scans in cognitively normal or impaired individuals.^{41,42} The SIMOA platform has also been implemented successfully for plasma p-tau181 measurements, and demonstrated the ability of plasma p-tau181 to accurately predict increased brain amyloid and tau on PET scans.⁴³

Other studies suggest that CSF levels of the β -secretase (BACE1) are increased in MCI due to AD and AD dementia

compared to controls, especially in individuals with the apolipoprotein E4 (*APOE4*) genotype;⁴⁴ however, results from other studies have been conflicting. No changes in CSF BACE1 levels were observed following treatment with BACE inhibitors, although there was a significant reduction in downstream CSF A β 42 levels in treated patients.⁴⁵ Other studies suggest that higher CSF levels of the amyloid precursor protein (APP) metabolism products, sAPP α and sAPP β , improve the differentiation of AD from other dementias.⁴⁶

Biomarkers of neuronal and synaptic injury

Several biomarkers of neuronal and synaptic injury have been identified in the last decade. Of these, the most promising markers of neuronal injury are neurofilament-light chain (NF-L)^{47–49} and visinin-like protein-1 (VILIP-1).^{20,50,51} Among the most promising markers of synaptic injury in AD are the post-synaptic protein neurogranin (Ng),^{52,53} and the pre-synaptic proteins synaptosome-associated protein-25 (SNAP-25)^{54,55} and synaptotagmin-1 (Syt-1),⁵⁶ although several others have been examined.⁵⁷ The abundant expression and relative neuronal specificity of these markers allow them to reliably reflect neuronal and synaptic loss, as their CSF levels correlate with damage to neuronal and synaptic structures and the release of abundant neuronal or synaptic constituents into the extracellular compartment in the setting of neurodegeneration.

Neurofilament-light chain (NF-L) is a soluble and highly expressed component of neuronal axons.⁵⁸ Elevated CSF and plasma NF-L levels measure neuronal injury and appear to be promising markers of AD severity and progression.^{47,49} CSF NF-L levels are increased in the pre-symptomatic and early symptomatic stages of AD and correlate with cognitive decline, progression of brain atrophy, and decreased survival.⁴⁷ Furthermore, the implementation of ultrasensitive biomarker assays using SIMOA has allowed the quantification of NF-L in blood samples.⁴⁹ Plasma or serum NF-L levels differentiate pre-symptomatic and early symptomatic AD from controls in studies of familial and sporadic AD,^{49,59} and accurately predict rates of disease progression over time, offering a useful, although relatively nonspecific, peripheral measure of disease severity.^{59,60}

VILIP-1 is an abundant neuronal calcium-sensor protein which is widely distributed in the human brain.⁶¹ Studies across different cohorts have shown that CSF VILIP-1 is a promising marker of neuronal injury in AD^{20,50,51,62} with relative specificity for AD.²⁰ CSF VILIP-1 levels are increased in MCI due to AD and AD dementia compared to controls and other dementias.²⁰ CSF VILIP-1 levels are closely associated with markers of tau pathology, can predict rates of whole brain and regional atrophy⁵⁰ and amyloid load²⁰ in symptomatic and pre-symptomatic AD, respectively. Importantly, CSF VILIP-1 or VILIP-1/A β 42 is potentially a stronger predictor of cognitive decline and whole brain or regional atrophy in cognitively impaired individuals, and a stronger predictor of future

cognitive impairment in cognitively normal individuals, than CSF tau, p-tau181, or A β 42 and tau/A β 42 or p-tau181/A β 42, respectively.^{20,50,51} The addition of CSF VILIP-1 to CSF tau, p-tau181, and A β 42 increases the predictive utility of these markers to detect preclinical AD pathology. Plasma VILIP-1 levels are also increased in AD compared to controls, although to a lesser extent than CSF VILIP-1 levels.²⁰

Synaptic loss is an early and important feature in AD.⁶³ Cortical and hippocampal synaptic density is reduced by approximately 30% and 50% respectively, in even the earliest symptomatic stages as a result of both neuronal loss and reduced synaptic density per neuron.^{64,65} While reduced expression levels of several synaptic proteins are observed in postmortem studies of AD brains,⁶⁶ CSF levels of several pre-synaptic and post-synaptic proteins are increased in AD, likely due to synaptic degeneration and the release of abundant synaptic constituents into the extracellular space.

Ng is a promising biomarker of synaptic injury which has been studied by many groups across different centers.^{53,67-69} Ng is a calmodulin-binding neuronal protein which is abundantly expressed in the post-synaptic membrane and is involved in synaptic plasticity, long-term potentiation (LTP), and memory functions.⁵³ Previous studies across different cohorts and using different immunoassays have shown that CSF Ng is a useful diagnostic and prognostic biomarker in AD; baseline CSF Ng levels strongly correlate with rates of cognitive decline and brain atrophy in AD,^{53,67,68} correlate with cortical amyloid deposition on amyloid PET scans in cognitively normal individuals, and can predict future cognitive impairment in cognitively normal individuals at least as well as CSF tau and A β 42 levels.⁵³ Moreover, CSF Ng levels offer relative diagnostic specificity for AD as they differentiate AD from most other neurodegenerative disorders.⁶⁹ Plasma Ng levels have limited utility as markers in AD; however, recent studies examining Ng in neuronally-derived blood exosomes report lower levels, reflective of reduced brain expression, in AD compared to controls,^{70,71} and in individuals with MCI who progressed to dementia compared to those with MCI who did not progress.⁷¹

Fewer studies have examined pre-synaptic proteins as CSF biomarkers in AD. SNAP-25 is involved in vesicle docking, neurotransmitter release, and neurite outgrowth.⁷² Higher CSF levels of soluble SNAP-25 fragments are observed in AD compared to controls, and correlate with brain atrophy and future risk of cognitive decline.^{54,55} Altered CSF SNAP-25 levels have also been reported in primary psychiatric and other neurodegenerative conditions.⁷² Two splicing variants of SNAP-25, referred to as SNAP-25a and SNAP-25b, which differ in 9 amino acid residues, have been identified.⁷³ Whether CSF levels of the 2 isoforms have different diagnostic or prognostic utility in AD remains to be investigated. Growth-associated protein-43 (GAP-43, neuromodulin) has important roles in axonal branching and synaptic plasticity,⁷⁴ and facilitates vesicle recycling via its interactions with synaptophysin and SNAP-25 in the pre-synaptic compartment.⁷⁵

CSF GAP-43 levels are increased in early symptomatic AD compared to controls and most other neurodegenerative conditions, and correlate with amyloid and tau pathology in AD.^{76,77}

Synaptotagmin-1 (Syt-1) is a pre-synaptic protein which is part of the calcium-dependent vesicle translocation machinery and acts as the calcium sensor protein for fast synchronous release.⁷⁸ In a recent study, CSF Syt-1 levels measured using an immunoprecipitation-mass spectrometry-based assay were increased in the earliest symptomatic stages of AD, including MCI, compared to controls.⁵⁶ Another comparative biomarker study utilizing the same method for CSF Syt-1 quantification demonstrated increased CSF Syt-1 levels in MCI due to AD and AD dementia compared to controls and other dementias, although the diagnostic accuracy of CSF Syt-1 in differentiating MCI due to AD or AD dementia from controls in this cohort was lower than that of CSF Ng and SNAP-25aa40.⁷⁹

Neuronal pentraxins (NPTX, NP) are pre-synaptic glycoproteins which play an important role in the assembly of α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA)-mediated excitatory synapses.⁸⁰ NP1 is a pro-apoptotic protein which downregulates synaptic density and is detected within dystrophic neurites in human AD brains.^{81,82} A β oligomers stimulate the pre-synaptic release of NP1 which contributes to synaptic and mitochondrial deficits observed in the presence of amyloid pathology.⁸² Conversely, the knock-down of NP1 in animal models is associated with an increased number of cortical excitatory synapses, enhanced neuronal excitability, and facilitation of hippocampal LTP.⁸¹ Plasma NP1 levels are increased in MCI compared to controls and further increases are observed in MCI patients who progress to AD dementia.⁸³ Other studies suggest that CSF NP2 (ie, NPTX2) levels are associated with resting-state functional connectivity in cognitively normal elderly,⁸⁴ and that CSF levels of the pentraxin receptor, NPTXR, are associated with increased dementia severity in early symptomatic AD.⁸⁵ Other potential synaptic markers have been proposed including synapsin, synaptophysin, syntaxin-1B, actin-associated protein Arc, neurofascin, members of the Rab family, SV2A, contactin-2, and neurexins.⁸⁶⁻⁸⁸ Data regarding the utility of these proteins as CSF markers of synaptic injury is currently limited, and further research may be warranted.

Biomarkers of neuroinflammation and immune dysregulation

Emerging data from animal and clinical studies suggest a significant role for innate and adaptive immune dysregulation in AD pathogenesis, and highlight the notion that immune dysregulation is a central pathological substrate of AD which may directly contribute to neurodegeneration.^{9,89} The “immune hypothesis of AD” has been supported by a rapidly growing body of genetic,^{90,91} histopathological,⁹² and mechanistic⁹⁰ evidence. Disturbances in innate immunity including cytokine signaling, immune cell proliferation and migration, and

microglial activation, are observed in animal models of AD.⁹³ Large-scale genetic analyses suggest that over half of the AD risk loci are significantly enriched or uniquely expressed in immune cells.⁹⁴ The activation of inflammatory cascades exacerbates amyloid and tau pathologies in animal models, and may serve as a link between early A β deposits and subsequent tangle pathology in pre-symptomatic AD.⁹⁵

Microglia are resident immune cells within the CNS which are derived from peripheral macrophages and play important roles in immune surveillance in the brain. In AD, microglia are primarily activated by extracellular A β , and mediate A β phagocytosis through the release of interleukin (IL)-1 β , IL-6, and tumor necrosis factor- α (TNF- α).⁹⁶ A state of immune dysregulation occurs in AD, in which chronically activated microglia are less capable of clearing amyloid deposits and exacerbate synaptic and neuronal damage by triggering p53-mediated apoptosis of neural progenitor cells (NPCs) and disturbing synaptogenesis.⁹⁷ Upregulation of the pro-inflammatory cytokines IL-18 and IL-12p70, both produced by microglia, is associated with increased amyloid pathology in cell cultures and animal models.^{98,99} Conversely, increased expression of the anti-inflammatory cytokine, IL-10, is associated with reduced amyloid burden due to regulation of microglial activity,¹⁰⁰ and increased neuronal survival through the astrocytic release of transforming growth factor - β (TGF- β).¹⁰¹

Central and peripheral levels of cytokines and other inflammatory mediators, and their associations with the pathological burden and cognitive outcomes in AD, have been examined with mixed results.^{102,103} Brain and CSF levels of TNF- α , which is derived from neurons, microglia, and astrocytes, are increased in AD, and correlate with the risk of progression from MCI to AD dementia.^{104,105} Higher CSF levels of TNF- α converting enzyme (TACE, ADAM17) and soluble TNF receptors have also been reported in AD.¹⁰⁶ Data regarding blood TNF- α levels have been conflicting.¹⁰⁷ Increased microglial expression of IL-1 in AD is associated with amyloid and tau pathologies, cholinergic dysfunction, and impairment of LTP.¹⁰⁸ IL-1 interacts with β APP, α -macroglobulin, and APOE, and genetic variants of IL-1 have been shown to influence risk for AD.¹⁰⁹ Increased plasma IL-1 β levels, likely of central origin, have been reported in AD dementia, but not MCI, compared to controls.¹¹⁰ A β increases microglial and astrocytic expression of IL-6 in cell cultures and IL-6 is associated with diffuse plaques in human AD brains.¹¹¹ A recent meta-analysis of 175 studies of blood inflammatory markers found higher levels of IL-1 β , IL-2, IL-6, IL-18, interferon- γ , homocysteine, TACE, soluble TNF receptors 1 and 2, α 1-antichymotrypsin, high-sensitivity C reactive protein, C-X-C motif chemokine ligand-10 (CXCL-10, also known as interferon- γ -induced protein-10 [IP-10]), epidermal growth factor, vascular cell adhesion molecule-1 (VCAM-1), and lower levels of IL-1 receptor antagonist and leptin, in AD compared with controls, and inverse correlations of

peripheral IL-6 levels with cognition.¹¹² Plasma levels of the inflammatory marker, IP-10 (CXCL-10), differentiated AD from controls in a spatiotemporal analysis of data from the Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort.¹¹³

Triggering Receptor Expressed on Myeloid Cells-2 (TREM2) is a receptor of the innate immune system which supports protective microglial functions including phagocytosis and chemotaxis.¹¹⁴ Perhaps some of the most compelling evidence supporting a role for innate immune dysregulation in AD pathogenesis is provided by recent studies showing that TREM2 variants are associated with a significantly increased risk for AD.^{114,115} Deficiency or loss of TREM2 is associated with reduced number and phagocytic ability of plaque-associated macrophages, increased amyloid plaque burden, and worse pathological outcomes in AD mouse models.^{9,116} Furthermore, TREM2 haplo-deficiency in mice is associated with axonal dystrophy and increased soluble and insoluble tau pathology.¹¹⁷ Several studies have demonstrated the utility of soluble TREM2 (sTREM2) as a CSF surrogate of TREM2-mediated microglial activation in sporadic and familial AD.^{118,119} In one study, CSF sTREM2 levels were increased approximately 5 years prior to anticipated symptom onset in familial AD and following biomarker evidence of amyloid and tau deposition.¹²⁰ Converging data from animal models and longitudinal clinical studies suggest that changes in CSF sTREM2 levels are detected in even the earliest preclinical stages of AD, and that the direction of such alterations may be differentially affected by the presence of amyloid or tau pathology and disease stage.⁹

YKL-40 (also known as chitinase-3-like-1 protein) is a glycoprotein which is expressed by astrocytes near amyloid plaques and is involved in inflammation and angiogenesis.¹²¹ Elevated CSF YKL-40 levels have been reported in several studies of pre-symptomatic and symptomatic AD compared to controls, and are associated with markers of amyloid, tau, and synaptic injury, but not APOE4, in AD.^{22,122,123} YKL-40 levels are associated with lower cortical thickness in individuals with the APOE4 genotype¹²⁴ or those with low CSF A β 42¹²⁵ and predict progression from MCI to AD dementia.¹²⁶ Other studies have shown that CSF YKL-40 levels can predict the presence of tau pathology in AD.¹²³ CSF YKL-40 has demonstrated utility as a marker of astrogliosis in AD; however, it may not be useful in differentiating AD from other dementias.^{127,128} CSF YKL-40 levels are influenced by gender, ethnicity, and genetic polymorphisms of the CHI3L1 gene.¹²⁹

Other astrocytic proteins which have been examined as potential markers in AD include S-100 β and glial fibrillary acidic protein (GFAP). Elevated CSF S-100 β levels in AD likely reflect increased S-100 β expression in astrocytes, and possibly microglia, surrounding amyloid plaques,¹³⁰ but are not specific for AD.¹³¹ In AD, S-100 β appears to be involved in the inflammatory response, and may contribute to tau pathology and increased amyloid deposition through activation of β -secretase.¹³² GFAP is an intermediate filament expressed by

astrocytes near amyloid plaques. In AD brains, there is increased expression of both GFAP isoforms, GFAP α and GFAP δ , in astrocytic long processes and a gradual increase in GFAP⁺ isoform expression in a subset of astrocytes which correlates with disease progression.¹³³ Elevated CSF GFAP levels have been reported in AD compared to controls, with higher levels being observed in FTD compared to AD or LBD.¹³⁴

Markers of adaptive immunity have also been examined in AD. Findings include altered regulatory T lymphocyte profiles,¹³⁵ recruitment of macrophages/monocytes via CCL2 or CX3CL1 into the brain,¹³⁶ lower peripheral numbers of CCR2⁺ monocytes in AD compared to controls, and production of anti-A β antibodies by B lymphocytes.¹³⁷ A recent study using mass spectrometry suggests the presence of a potential immune signature of AD, characterized by increased peripheral numbers of CD8⁺ T effector memory CD45RA⁺ (TEMRA) cells, negative correlations of CD8⁺ TEMRA cells with cognition, and the presence of a clonally expanded CD8⁺ TEMRA cell population in the CSF of AD patients compared to controls.¹³⁸ Other inflammatory mediators involved in AD which may be suitable targets for novel biomarker discovery include eotaxins,¹³⁹ CX3CL1 (also known as fractalkine),¹⁴⁰ and nuclear factor κ B (NF κ B).¹⁴¹

Biomarkers of vascular pathology

Approximately 50% to 80% of aging and AD brains have variable degrees of concomitant vascular pathology, including atherosclerosis, small vessel disease, microvascular degeneration, blood-brain barrier dysfunction and cerebral amyloid angiopathy.^{142,143} Cerebrovascular alterations, including disturbances in the blood-brain barrier, are common in pre-symptomatic AD,¹⁴⁴ and are observed in AD mouse models prior to amyloid deposition or cognitive deficits.¹⁴⁵ Findings from a recent study which examined the spatiotemporal changes in various fluid and imaging markers of AD in the ADNI cohort suggest that vascular dysfunction is an early and important feature of sporadic AD which precedes A β and tau pathologies, glucose hypometabolism, and brain atrophy.¹¹³ There is also growing evidence that vascular disturbances exacerbate other pathologies including amyloid and tau aggregation, oxidative stress, inflammation, and may directly contribute to synaptic loss and neurodegeneration.¹⁴⁵ Vascular and amyloid pathologies have bidirectional relationships in AD; A β -mediated activation of perivascular macrophages results in the formation of oxygen free radicals, culminating in endothelial dysfunction.¹⁴⁶ Conversely, disruption of the blood-brain barrier, and the subsequent oxidative stress promotes A β aggregation through the activation of β - and γ - secretases¹⁴⁷ and impairs clearance of A β peptides from the brain.¹⁴⁸

Only a few potential markers of vascular pathology have been examined in AD. Heart-type fatty acid-binding protein (hFABP), a protein associated with myocardial ischemia, has been proposed as a vascular marker in AD.¹¹³ CSF

hFABP levels are increased in AD and vascular dementia, and individuals with MCI who convert to AD dementia compared to controls or individuals with MCI who remain stable.¹⁴⁹ In combination with other markers, CSF hFABP may improve the discrimination of AD from other dementias.¹⁵⁰ CSF hFABP levels are associated with CSF A β ₄₂¹⁵¹ and with longitudinal brain atrophy in individuals with amyloid deposition.¹⁵²

CSF levels of the vascular markers, VCAM-1, intercellular adhesion molecule-1 (ICAM-1), IL-15, and fms-related receptor tyrosine kinase (Flt-1), are increased in pre-symptomatic and symptomatic AD compared to controls, and correlate with cortical thinning; CSF VCAM-1 and ICAM-1 levels also correlate with future cognitive decline.¹⁵³ Higher plasma levels of VCAM-1, ICAM-1, endothelin (ET-1), adrenomedullin (ADM), atrial natriuretic peptide (ANP), and sphingolipids are observed in early symptomatic AD.¹⁵⁴ Other markers such as von Willebrand factor (vWF), monokine induced by γ -interferon (MIG) (also known as the chemokine C-X-C motif ligand-9 [CXCL-9]) have been examined in vascular dementia and may warrant investigation as potential markers of vascular injury in AD.¹⁵⁵

Biomarkers of oxidative stress and mitochondrial dysfunction

Oxidative stress and mitochondrial dysfunction play important roles in several neurodegenerative disorders, including AD.¹⁵⁶ Structural and functional alterations in mitochondria, including reduced mitochondrial numbers, loss of specific mitochondrial enzymes, and impaired mitochondrial fission and fusion, have been reported in AD.¹¹ These changes create a favorable environment for oxidative stress and bioenergetic failure in vulnerable neuronal populations. Importantly, there is a bidirectional relationship between amyloid or tau pathologies and mitochondrial dysfunction in AD.^{156,157} Direct interactions between A β peptides, APP, or tau aggregates and the mitochondrial membranes or enzymatic complexes impair mitochondrial transport and promote the accumulation of toxic oxygen-free radicals.^{157,158} Conversely, mitochondrial dysfunction and oxidative stress interfere with normal APP processing, increase the expression of β -secretase, enhance A β toxicity, and promote tau phosphorylation via activation of the glycogen synthase kinase 3- β (GSK-3 β) in cell cultures and/or animal models of AD.^{157,159}

Alterations in complex IV activity in platelets, abnormal levels of oxidative markers (eg, protein carbonyls, 3-nitro tyrosine, 3,4-dihydroxyphenylalanine, superoxide dismutase, nitric oxide synthase-2 (NOS2), heat shock protein-60 (HSP60), HSP72, and thioredoxin reductase in lymphocytes, and abnormal blood markers of lipid peroxidation (eg, protein-bound 4-hydroxy-2-nonenal [HNE]) have all been reported in AD.^{160,161} There is a paucity of reliable biomarkers of oxidative stress and mitochondrial dysfunction in AD, and further research in this area is needed.

Biomarkers of TAR-DNA binding protein (TDP-43) pathology

TAR-DNA binding protein (TDP-43) is an RNA and DNA binding protein which is involved in the regulation of RNA transcription and splicing.¹⁶² Cytoplasmic inclusions of hyperphosphorylated or ubiquitinated TDP-43 in the brain and/or spinal cord are characteristic pathological features of amyotrophic lateral sclerosis (ALS) and frontotemporal lobar degeneration (FTLD).¹⁶³ TDP-43 pathology is present in 20% to 50% of AD brains and up to 75% of those with severe dementia.^{164,165} In AD, TDP-43 pathology is predominantly found in limbic structures such as the hippocampus and amygdala, and colocalizes with severe neuronal loss.¹⁶⁶ Several studies report associations between TDP-43 pathology and higher rates of brain atrophy and cognitive impairment in AD.¹⁶⁶ Furthermore, TDP-43 pathology contributes to inflammation, mitochondrial dysfunction, and neuronal/synaptic injury in AD, and interacts with A β and tau pathologies.^{166,167} Recent studies suggest the presence of distinct molecular patterns of TDP-43 pathology in AD, including differences in phosphorylation patterns and the prevalence of truncated species, which influence the clinical phenotype and the prevalence of behavioral symptoms.¹⁶⁸

Higher plasma TDP-43 levels in AD,¹⁶⁹ and higher serum levels of TDP-43 related variants in individuals with pre-MCI who later progressed to AD dementia,¹⁷⁰ have been reported. The measurement of CSF TDP-43 has been technically challenging.³⁰ However, as CSF TDP-43 appears to be mostly derived from the blood, measurements of TDP-43 in blood exosomes may serve as a useful surrogate for extracellular TDP-43 levels in the brain.

Biomarkers of synuclein pathology

Abnormal aggregation of the pre-synaptic protein α -synuclein (α -Syn) is a characteristic pathologic feature of Parkinson disease (PD), LBD, and multiple system atrophy (ie, synucleinopathies). Clinicopathological studies suggest that synuclein aggregation is a common comorbid pathology in AD.¹⁷¹ Variable degrees of α -Syn pathology are observed in the neocortex, limbic system, and substantia nigra of most AD brains at autopsy, and correlate with extrapyramidal symptoms, visual hallucinations, and more rapid cognitive decline.^{172,173} In AD brains, α -Syn pathology colocalizes with tau, and to a lesser extent, A β pathology.^{174,175} Experimental evidence from animal studies supports the presence of significant interactions of α -Syn with tau and GSK3 β , and α -Syn pathology appears to promote tau hyperphosphorylation.¹⁷¹

Several studies have shown lower CSF α -Syn in PD and LBD compared to controls and AD;^{176,177} however, data regarding these as markers in AD have been inconsistent.¹⁷¹ Consensus regarding CSF α -Syn profiles in neurodegenerative disorders has been limited by differences in assay techniques and the species of α -Syn measured by different laboratories. Higher CSF α -Syn levels in MCI, prodromal AD dementia,

and AD dementia compared to controls or other synucleinopathies have been reported,^{178,179} and shown to be associated with cognitive scores and an *APOE4*-dose-dependent risk of progression from MCI to AD dementia.^{180,181} Conversely, no differences in CSF α -Syn between AD and controls were found in other cohorts.¹⁸² In a recent meta-analysis, CSF α -Syn levels, when measured using conventional immunoassays, did not reliably differentiate individuals with AD from other synucleinopathies.¹⁷¹

CSF levels of oligomeric and phosphorylated forms of α -Syn, when measured by conventional assays, are not significantly altered in AD compared to controls.¹⁷⁹ However, recent biomarker assays which utilize techniques such as protein misfolding cyclic amplification (PMCA) and real time quaking-induced conversion (RT-QuIC) offer great promise in detecting oligomeric forms of α -Syn at low concentrations in the CSF or blood, and will provide valuable tools to reliably measure synuclein pathology across different neurodegenerative disorders.^{183–185}

“Notable Mentions” in Recent Biomarker Research

MicroRNAs

MicroRNAs (miRNAs) are non-coding regulatory RNA molecules which are involved in post-transcriptional gene silencing and have recently gained significant attention as potential biomarkers in AD due to their stability, widespread expression, and easy detection in several tissues, including blood. Since miRNAs can reflect different pathogenic mechanisms, they offer additional tools to improve our understanding of AD pathology. A recent study suggests the presence of a unique 7-miRNA signature of AD which has a high accuracy in differentiating AD from controls and is enriched with target mRNAs involved in lipid metabolism.¹⁸⁶ Other miRNA signatures in AD have been proposed,¹⁸⁷ including a panel of 10 miRNAs which are deregulated in early pre-symptomatic AD, almost 20 years prior to symptom onset and are associated with the immune system, stress response, nerve growth factor signaling, Wnt signaling, and Rho GTPases.¹⁸⁸ As miRNAs in blood or brain tissue offer promising markers for AD, it will be important to standardize assays, storage time, and quantification methods in order to allow for validation of results across different centers.

Exosomes

Exosomes are small extracellular vesicles (30–150 nanometers [nm] in diameter) which are released from almost all cell types and carry a large variety of molecular cargoes, including DNA, RNA, lipid, and protein. Brain-derived exosomes originate from neurons, oligodendrocytes, microglia, astrocytes, and endothelium and serve important functions in cell signaling, neuron-glia interactions, and cell waste recycling.¹⁸⁹ Recently, exosomes have been found to be a valuable resource to examine

brain biomarkers as they can be easily extracted from various body fluids (eg, blood, CSF, and urine) and can provide reliable measures of brain expression levels of different proteins and miRNAs.¹⁹⁰

Exciting results have been obtained using exosome studies in the last few years. Levels of tau, p-tau181, p-S396-tau, and A β 42 from brain-derived exosomes isolated from the blood were shown to be increased in AD compared to controls up to 10 years prior to symptom onset.¹⁹¹ Blood exosome levels of several brain-derived synaptic proteins, including synaptophysin, synaptopodin, synaptotagmin-2, GAP-43, NPTX2, neu-rexin-2, synapsin-1, and Ng are decreased in AD compared to controls, and lower blood exosome levels of GluA4-containing glutamate receptor and neuroligin-1 correlated with cognitive decline.^{70,192} Other studies have shown that individuals with AD have lower levels of cellular survival proteins in brain-derived blood exosomes compared to controls.¹⁸⁹ A few limitations, such as costly and time-consuming sample preparation, may preclude the use of exosome analyses in high-throughput studies. However, exosome studies still offer great promise in biomarker discovery or validation, as they overcome technical challenges which have hindered the accurate quantification of certain proteins (eg, α -Syn and Ng) in the blood, and represent important tools for drug delivery in trials of AD therapeutics.

Biomarkers and the Complex Clinicopathological Spectrum of Alzheimer Disease

Extensive research efforts and billions of dollars have been invested in the AD drug pipeline over the last 20 years; yet, results of clinical trials of disease-modifying therapies have largely been disappointing.¹⁹³ In some cases, clinical trial failures can be attributed to flaws in trial design, methodological concerns, suboptimal inter-rater reliability, inadequate power to detect desired study outcomes, and challenges related to translating data from animal models into human therapeutics.^{194,195} Measuring disease-modifying effects over short durations of participant follow-up can be challenging while longer trial durations have higher rates of drop-out. Nevertheless, with the increasing numbers of failed trials over the last decade, it has become increasingly clear that failure of the AD research community to find an effective cure for AD cannot be solely attributed to flaws in clinical trial design or study methods.¹⁹⁴ Indeed, such failures have identified several gaps in our current understanding of AD pathology from a molecular and pathophysiological standpoint.¹⁹⁵ Importantly, data from clinical trials, clinicopathological, and animal studies of AD all support the notion that AD is not a unidirectional or linear disease process, but rather a multifactorial disease which involves complex, and often bi-directional, interactions between different pathological substrates.^{144,196,197} New evidence suggests the presence of a significant degree of genetic, molecular, and pathological heterogeneity in AD¹⁹⁸⁻²⁰⁰; so that the relative contributions of different pathologies may differ among

individuals or among different stages of the disease within the same individual.²⁰⁰

The current proposed model of AD, supported by a large body of evidence from clinicopathological and animal studies, suggests the presence of a long preclinical phase of disease which spans 10 to 15 years prior to the first signs of memory loss or cognitive impairment.²⁰¹ This long preclinical (ie, pre-symptomatic) phase is characterized by early amyloid followed by tau pathology which subsequently cause neuronal loss and synaptic dysfunction. The first signs of cognitive impairment appear after significant neuronal and synaptic dysfunction has occurred in vulnerable brain regions.²⁰¹ Therefore, the preclinical phase represents a critical time-window during which individuals are the most likely to respond to disease-modifying treatments that target early amyloid or tau pathologies prior to significant neuronal or synaptic loss.^{202,203} Most clinical trials of AD therapeutics stratify patients based on their clinical presentation (ie, cognitively impaired due to suspected AD pathology vs cognitively normal) and include individuals in the early symptomatic stages (ie, MCI or mild AD dementia) in the AD arm. However, our current understanding of the AD model suggests that by the time individuals with AD become symptomatic it may already be “too late” to intervene.²⁰⁴ In other words, early symptomatic AD is perhaps synonymous with an already advanced stage of AD pathology from a molecular and pathological standpoint.²⁰⁴ Furthermore, observations from failed clinical trials suggest that many individuals with a clinical diagnosis of AD are later found to have alternative non-AD diagnoses, which strongly suggests that a clinical diagnosis of AD alone is insufficient for inclusion into clinical trials.²⁰⁵

The current model of AD provides another possible explanation for the failure of investigational AD therapeutics. Most disease-modifying AD therapies examined in clinical trials target different elements of the amyloid cascade, including both soluble and insoluble forms of the A β peptide.²⁰⁶⁻²⁰⁸ Conversely, there has been a relative paucity of investigational treatments in the AD drug pipeline which are directed against other pathological substrates including tau, oxidative stress, or calcium-mediated excitotoxicity.^{209,210} The amyloid hypothesis of AD, which suggests that amyloid pathology is an essential step in AD pathogenesis, is supported by evidence from clinicopathological studies of dominantly inherited and sporadic AD.^{211,212} However, it has become widely accepted that, although amyloid pathology is an early and possibly critical process in disease pathogenesis, amyloid deposition alone is insufficient to drive the disease into the symptomatic stages.^{14,213,214} In fact, recent emerging data from clinical and animal studies strongly converge on the notion that tau pathology on a background of amyloid deposition plays a more important role in driving the pathological, radiological, and clinical disease progression, particularly in the late pre-symptomatic and early symptomatic stages of the disease.²¹³ Therefore, amyloid deposition is considered a pre-requisite for AD, but tau,²¹³ and possibly other

“secondary” pathologies,¹⁴ are also needed to drive the disease into the symptomatic stages.^{202,213,215}

Over the last decade, there has been a great interest in the identification of fluid biomarkers which can reliably measure different AD pathologies, and accurately reflect the key molecular, pathway, or cellular abnormalities that occur in different stages of the disease.²⁰² Importantly, there is an urgent need to identify “dynamic” biomarkers which track with disease progression over time and whose levels may change in response to disease-modifying treatments.²¹⁶ From a clinical standpoint, the incorporation of biomarkers into clinical practice will allow early detection of disease pathology in the preclinical stages, improve diagnostic accuracy in the early symptomatic stages, and inform prognostic assessments.²⁰² While these remain promising future goals, several current limitations must be addressed before these objectives can be realized into clinical practice. These include the need for biomarker validation across different cohorts²¹⁷ including those with diverse ethnic/racial backgrounds, standardization of the methods used in biomarker measurements across different laboratories,²¹⁷⁻²¹⁹ and a better understanding of the longitudinal changes in biomarker measures over time in relationship to disease severity, age, sex, population-based differences, and therapeutic response within and between individuals.²¹⁹⁻²²² Importantly, early detection will likely not translate into improved outcomes until effective disease-modifying therapies are identified, which can alter disease pathology and whose benefits extend beyond the stabilization of clinical symptoms.

From a research standpoint, the incorporation of biomarkers into the design of clinical trials has improved patient stratification, as it has allowed the inclusion of individuals in the pre-symptomatic stages of the disease prior to significant neuronal loss, and those who are at the highest risk for cognitive decline.²²³ Such an approach allows the enrichment of clinical trials with participants who are the most likely to respond to disease-modifying drugs at a time when such treatments are most likely to be effective, and thereby increase the power of studies to detect drug effects during the relatively short durations of follow-up.²⁰² This has recently been applied in several clinical trials of investigational anti-amyloid therapies in cognitively normal individuals with significant amyloid deposition on amyloid PET scans, and those with AD genetic risk factors or disease-causing mutations. Additionally, the use of preclinical fluid or imaging biomarkers allows for the exclusion of cognitively normal individuals who harbor preclinical AD pathology from the study control groups, and improves the diagnostic accuracy of AD in symptomatic individuals compared to clinical assessments alone.²⁰⁵

Synaptic and neuronal loss reflects the outcome of different pathological substrates,^{13,224} and is more closely associated with clinical, cognitive, and radiological disease progression than amyloid, tau, inflammation, or gliosis in AD brains.^{225,226} Tau and A β oligomers contribute to cognitive impairment through direct toxic effects on synaptic structures, independently of

aggregated amyloid or tau.²²⁷ Therefore, fluid markers which capture neuronal, synaptic, and axonal injury reflect the cumulative outcome of different pathological substrates in AD, and are likely to be better predictors of baseline and longitudinal clinical, cognitive, and structural imaging outcomes than CSF tau or A β 42.^{20,50,53} Elevated levels of these markers in the presence of abnormal CSF tau and A β 42 levels, signify a high risk for imminent cognitive decline in cognitively normal individuals. Furthermore, combining CSF markers of neuronal or synaptic injury with markers of amyloid and tau pathology significantly improves the collective ability of these markers to predict future cognitive decline over short follow-up periods (ie, 2-3 years).^{20,53} Therefore, the inclusion of cognitively normal or impaired individuals who have evidence of amyloid and/or tau pathology, and elevated levels of neuronal, synaptic, or axonal injury markers into clinical trials of symptomatic or disease-modifying treatments will improve participant stratification and enrich trials with individuals who are the most likely to meet clinical or cognitive outcomes over short follow-up periods and thereby, increase the chances of trial success (eg, when measuring outcomes such as clinical or radiological disease progression). On the other hand, the inclusion of cognitively normal individuals who have evidence of significant amyloid and/or tau pathology, but have not yet developed significant neuronal or synaptic loss, may help identify individuals who are more likely to benefit from disease-modifying treatments that alter early pathologies in secondary prevention trials that delay or prevent progression of tau pathology, neuronal loss, and prevent future cognitive decline.

Furthermore, markers of synaptic, neuronal, and axonal injury offer valuable tools to measure responses to therapeutic interventions which target different pathologies, and monitor their change over time, independently of changes to primary therapeutic targets such as CSF A β 42 or tau.^{20,47} Therefore, these markers may provide useful secondary or tertiary outcome measures in clinical trials, and complement information provided by clinical, functional, or imaging assessments. While several limitations remain to be addressed regarding the need to standardize assay techniques and reference values across laboratories and among different cohorts, a few clinical trials have successfully implemented fluid markers of neuronal/synaptic injury as exploratory outcome measures (eg, CSF Ng and NF-L in the AHEAD trial).

Importantly, the use of biomarkers in clinical and translational AD research will address major limitations in our current understanding of AD pathology, including gaps in our understanding of the temporal sequence of events and the complex interactions between different AD pathologies over time.²²³ Such information will inform future trials of AD therapeutics by highlighting novel disease mechanisms or interactions between different pathologies and identifying potential therapeutic targets.^{202,223} Theoretically, the use of standardized AD biomarkers may allow the identification of different signatures of AD pathology, or different molecular subtypes of AD

among different individuals, which will ultimately pave the way for future personalized therapies when disease-modifying treatments become available.²²⁸

Consistent with this, the updated research framework proposed by the National Institute on Aging—Alzheimer's Association (NIA-AA) Work Group supports the notion that AD should be conceptualized as a clinicopathological spectrum of AD pathology—which can be detected via pre-clinical biomarkers—prior to its progression to a clinical diagnosis of MCI due to AD or AD dementia.²²⁹ Consequently, the research framework proposes the use of a classification system for AD which includes fluid or imaging biomarkers of amyloid pathology (A), tau pathology (T), and neurodegeneration (N) [referred to as the “ATN” classification] to describe subgroups of individuals with AD pathology based on the presence or absence of biomarker evidence for each of these 3 pathologies. Evidence of neurodegeneration (“N”) in the ATN framework is provided by CSF total tau, brain atrophy on MRI, or hypometabolism on fluoro-deoxyglucose (FDG)-PET scans; however, it has been suggested that other fluid markers of neuronal/synaptic injury reviewed herein (eg, Ng, NF-L, or VILIP-1) may be incorporated into this system in the future. Importantly, the implementation of this framework in future studies of AD will shift the conceptualization of AD from a clinical diagnosis to that of a biological construct which encompasses both preclinical and clinical stages.²⁰⁴ This framework is synergistic with the biology-driven approach to drug development and has close parallels with the new AD staging system proposed by the US Food and Drug Administration (FDA).²³⁰ Importantly, this framework will support clinical trials by ensuring the presence of the target pathology in trials of AD therapies, excluding participants with AD-related pathologies from clinical trials of non-AD dementias, and allowing assessment of target engagement for neuroprotective, and amyloid- or tau-based therapeutics. This approach will pave the way for a more precise description of pathological disease subtypes in different individuals and subsequently, a more personalized approach to interventional drug trials.

Although the ATN framework represents an important first step in the incorporation of biomarkers into diagnostic and stratification schemes, the framework—in its current format—has several limitations. There is a significant degree of heterogeneity among individuals with the same clinical phenotype regarding the number, degree, and distribution of different pathologies in the brain, and their rate of progression over time. The dichotomous classification of individuals based on biomarker evidence of pathology (ie, positive vs negative as proposed by the ATN) does not capture the full spectrum of AD-related pathologies among individuals, or the extent by which these pathologies may change over time or with treatment. Therefore, the framework may be supplemented by the addition of stage-specific markers or a severity staging scheme for the ATN pathologies. Additionally, the ATN framework

emphasizes the importance of amyloid, tau, and neurodegeneration to achieve a biological definition of AD; however, it does not include or exclude other co-pathologies (eg, synuclein, TDP-43, and vascular pathology) which may contribute to neurodegeneration. Therefore, it will be important to incorporate other biomarkers in the design and interpretation of trials in which these co-pathologies may influence study outcomes. The definition of amyloid and tau pathologies in the ATN framework is limited to CSF or PET markers of A β 42 or tau, respectively. Biomarkers which capture other forms of amyloid and tau pathologies (eg, soluble A β or tau oligomers) which are known to contribute to cognitive impairment may be needed to supplement the ATN framework in certain settings.

Importantly, clinical outcomes or benefits (ie, the ability to predict or delay a clinical milestone) are needed for drug approval. As some aspects of the AD pathological construct (eg, the presence of amyloid pathology; A(+)/TN) have limited impact on cognitive outcomes, clinical assessment and staging tools need to be integrated with the ATN in order to allow the selection of cohorts with homogenous clinical phenotypes which would facilitate trial planning, sample size determinations, and outcome assessments. Together, these factors limit the use of ATN alone as an outcome measure in clinical trials of AD therapeutics.

Recently, there has been great interest in the development and validation of other biomarker-based approaches to improve the characterization and stratification of the AD construct in individuals at risk for cognitive impairment. This is particularly important given the great degree of overlap of different pathologies in several neurodegenerative disorders, and the variability of clinical phenotypes associated with any particular pathology. These newer data-driven approaches emphasize the role of “Big Data- Smart Data” analytics, including bioinformatics, mechanism-based modeling, simulation approaches, and unsupervised clustering as valuable tools to discern the pathobiological construct of disease in asymptomatic or symptomatic individuals.^{231–233} Data-driven methods which use continuous, rather than categorical, biomarker variables will help quantify contributions from different pathologies and their spatiotemporal evolution over time regardless of clinical phenotype. Approaches which utilize network biology and pathway databases to examine multidirectional interactions and nonlinear associations between different pathologies, are more likely to generate patient strata which fully capture the complexity and heterogeneity of the disease than empiric approaches which focus on single biomarker data.^{234,235} The integration of biomarker data with other domains (eg, clinical, cognitive, and imaging) through a systems biology approach will be essential to adequately reflect the molecular, genetic, and pathological heterogeneity of AD and its spatiotemporal evolution over time.^{234,235} Importantly, integrated systems biology and computational modeling methods will be important to generate novel predictive models of disease progression or response to mechanism-based treatments among individuals with different

clinical phenotypes. The importance of biomarker-based approaches to AD and other neurodegenerative disorders relies in their ability to identify common pathological substrates across different clinical phenotypes of neurodegenerative disease. Such an approach will identify individuals who may benefit from investigational treatments which target a specific pathology or pathway regardless of the clinical phenotype (eg, anti-amyloid treatments which target amyloid pathology in individuals with the LBD phenotype). Overall, advanced data analytics that extend beyond empiric approaches will pave the way for novel drug discovery, target validation, and personalized approaches to disease treatment.

Conclusion and Future Directions

In conclusion, novel biomarker discovery is at the frontier of AD research and the search for an AD cure. Biomarkers offer valuable tools to measure and track different disease mechanisms, cellular alterations, and disturbances in pathways “in vivo”, and will pave the way for the discovery of novel drug targets. Over the last decade, biomarkers have significantly improved our understanding of the complex pathophysiology of AD which has culminated in a modified research definition of the disease. However, further research is needed to identify biomarkers that reflect mitochondrial dysfunction, vascular disease, and calcium-mediated excitotoxicity in AD, and to standardize biomarker assessments across different laboratories. Personalized biomarker-based medicine paradigms are the future of drug discovery and will be an important leap towards finding a cure for individuals with AD and other disorders across the neurodegenerative spectrum.

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