## SHORT REPORT



# The Area Deprivation Index: A novel tool for harmonizable risk assessment in Alzheimer's disease research

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## Abstract

**Introduction:** Residence in a disadvantaged neighborhood associates with adverse health exposures and outcomes, and may increase risk for cognitive impairment and dementia. Utilization of a publicly available, geocoded disadvantage metric could facilitate efficient integration of social determinants of health into models of cognitive aging.

**Methods:** Using the validated Area Deprivation Index and two cognitive aging cohorts, we quantified Census block-level poverty, education, housing, and employment characteristics for the neighborhoods of 2119 older adults. We assessed relationships between neighborhood disadvantage and cognitive performance in domains sensitive to age-related change.

**Results:** Participants in the most disadvantaged neighborhoods (n = 156) were younger, more often female, and less often college-educated or white than those in less disadvantaged neighborhoods (n = 1963). Disadvantaged neighborhood residence

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made. © 2020 The Authors. *Alzheimer's & Dementia: Translational Research & Clinical Interventions* published by Wiley Periodicals, Inc. on behalf of Alzheimer's Association. associated with poorer performance on tests of executive function, verbal learning, and memory.

**Discussion:** This geospatial metric of neighborhood disadvantage may be valuable for exploring socially rooted risk mechanisms, and prioritizing high-risk communities for research recruitment and intervention.

#### KEYWORDS

cognition, cognitive aging, dementia, disparities, neighborhood disadvantage, social determinants

## 1 | INTRODUCTION

Alzheimer's disease and related dementias (ADRD) disproportionately impact economically disadvantaged, rural, and ethnoracial minority communities.<sup>1.2</sup> At a population level, these communities experience disproportionately high exposure to adverse living, learning, and working conditions—fundamental contextual factors known as social determinants of health (SDOH).<sup>3,4</sup>

When assessed for discrete geographic areas, neighborhood-level disadvantage encompasses SDOH including poverty, housing quality, and employment opportunities. Neighborhood disadvantage is a modifiable, policy-actionable factor that may impact cognitive health independently of and through risk factors such as chronic stress and reduced access to educational opportunities, healthy food, and medical care.<sup>5,6</sup> Because it drives various and synchronous risk mechanisms, neighborhood-level disadvantage is both a fundamental cause of disparities and a priority target for efficient, far-reaching interventions.<sup>7</sup>

A growing body of work exposes robust ties between upstream individual-level SDOH for cognitive impairment and ADRD risk in later life<sup>8,9</sup> and highlights a need for expanded focus and action. The few studies exploring neighborhood-level contextual disadvantage and cognitive risk have often relied upon resource-intensive neighborhood ratings by research staff.<sup>10</sup> Two European studies reported that objective, geocoded neighborhood disadvantage also associates strongly with poorer global cognitive function.<sup>11,12</sup> Our objective was to investigate the utility of an efficient, publicly available U.S. Census-based neighborhood disadvantage metric, the Area Deprivation Index (ADI). The ADI is available for customized local-level mapping and free download for every neighborhood in the United States through the National Institutes of Health (NIH)-funded Neighborhood Atlas.<sup>7,13</sup> Given the openness and availability of these neighborhood data, the ADI shows excellent potential as a harmonizable exposure assessment tool that facilitates SDOH modeling. In a first step to evaluating the usability of this new tool in ADRD research, we assessed associations between block group-level neighborhood disadvantage and cognitive function across several specific domains in a community-based cognitive aging cohort.

# 2 | METHODS

# 2.1 Data sources and sample

Participants (N = 2119) were drawn from the Wisconsin Registry for Alzheimer's Prevention (WRAP) study (n = 1501)<sup>14</sup> and the Wisconsin Alzheimer's Disease Research Center (ADRC) clinical core (n = 618). Participants included in this study sample were cognitively unimpaired at their most recent WRAP or ADRC visit (no diagnosis of mild cognitive impairment [MCI] or dementia by a clinical consensus committee) and had a documented address and full cognitive visit data from 2009 or after (within 5 years of available ADI metrics).

The longitudinal WRAP study and ADRC clinical core are cohorts of middle-aged and older adults enriched for a parental history of AD. Parental history of dementia due to AD is validated through the review of parental medical or autopsy records,<sup>14</sup> or through completion of a Dementia Questionnaire by the study participant. The majority of participants are recruited through community outreach and word-of-mouth. WRAP and ADRC participants undergo study evaluations on an annual or biennial basis, including neuropsychological testing, clinical measurements, and comprehensive health history.<sup>14</sup>

This study was approved by the institutional review board of the University of Wisconsin, with participant informed consent obtained and documented prior to all study procedures.

#### 2.2 | ADI construction and linkage

We used standard techniques to geocode all subjects according to their most recently reported address. The census block group (henceforth referred to as "neighborhood" as per previous research) of each geocode was linked to its ADI state decile score.<sup>5</sup> The ADI is a factor-based index that uses 17 U.S. Census-based poverty, education, housing quality, and employment indicators to characterize and rank the socioeconomic contextual disadvantage of a particular neighborhood.<sup>5,7</sup> The full list of individual ADI indicators is available in an open-access publication.<sup>5</sup> Employing the latest American Community Survey and Census data, we calculated and validated neighborhood-level quantifications of neighborhood disadvantage for the full United States. The ADI is freely available through the Neighborhood Atlas, developed by our team and promoted for use by the National Institute on Aging (NIA).<sup>7,13</sup>

# 2.3 Cognitive function assessment

Key cognitive outcome variables included test performance data from the WRAP or ADRC visit date closest to the time point of address documentation for all participants. We utilized scores from four cognitive tests representing four cognitive domains sensitive to age-related change: verbal learning, delayed recall, processing speed, and executive function. Verbal learning was represented by the summed number of recalled words on Learning Trials 1-5 of the Rey Auditory Verbal Learning Test (RAVLT), while delayed recall was represented by the number of words on the RAVLT Delayed Recall Trial.<sup>15</sup> Processing speed was represented by time to completion on the Trail Making Test A (Trails A), while another key aspect of executive function (speeded set switching) was represented by Trail Making Test B (Trails B).<sup>16</sup>

# 2.4 Analyses

Cognitive outcomes were examined by neighborhood disadvantage state decile, with a binary variable for the ADI comparing the 20% most disadvantaged neighborhoods (Deciles 9 and 10) to those in the 80% least disadvantaged neighborhoods (Deciles 1 to 8). This binary categorization is consistent with previous studies showing that negative health outcomes primarily affect those in the highest deciles of disadvantage [5]. Multivariable linear regression was performed using SAS 9.4, to evaluate the association between living within disadvantaged neighborhood deciles and cognitive outcomes of interest, with adjustment for self-reported age at visit, sex, race, college education (presence or absence of bachelor's degree attainment), parental history of dementia, and cohort (WRAP/ADRC).

# 3 | RESULTS

# 3.1 Sample characteristics

Participants living in the 20% most disadvantaged neighborhoods tended to be younger, more often female, and less often college educated or white compared to those residing within other neighborhoods. Parental history of dementia did not differ by neighborhood disadvantage (Table 1).

## 3.2 Cognition

Residing within the 20% most disadvantaged neighborhoods, as compared to less disadvantaged neighborhoods, associated with fewer

#### **RESEARCH IN CONTEXT**

- Systematic review: Literature searches using PubMed and Google Scholar utilized combinations of keywords such as "neighborhood," "disadvantage," "health," "cognitive function," "Alzheimer's," and "dementia." Review of results suggested that contextual neighborhood disadvantage was consistently associated with poor health outcomes. There was strong evidence for direct associations between staff-rated or self-perceived neighborhood quality and cognitive health; the search did not identify U.S.-based studies using geocoded metrics to assess cognitive risk associated with neighborhood-level disadvantage.
- 2. Interpretation: Using a validated metric of neighborhood disadvantage, we found that disadvantage at the U.S. Census block group level associated with poorer test performance across multiple cognitive domains in a cohort of older adults. These associations were robust to adjustment for multiple demographic covariates.
- 3. Future directions: Future studies should explore brainbased mechanisms that link neighborhood disadvantage to cognitive function and impairment, and should assess these pathways in diverse cohorts to clarify the role of neighborhood disadvantage in well-established but underexplained cognitive health disparities.

recalled words at a *P* < .01 level on tests of verbal learning ( $\beta = -2.29$ ; 95% confidence interval [CI] -3.78 to 0.79) and delayed recall ( $\beta = -0.91$ ; 95% CI -1.41 to 0.40), slower completion of an executive function task ( $\beta = 10.23$ ; 95% CI 5.08 to 15.39) in fully adjusted models. No association with processing speed was observed ( $\beta = 0.83$ ; 95% CI -0.64 to 2.30). These relationships can be seen in Figure 1.

# 4 DISCUSSION

These early cross-sectional data suggest that neighborhood disadvantage associates with poorer cognitive function across multiple domains in middle-aged and older adults. The results are consistent with and expand a small body of work examining objective area-level deprivation and global function in European cohorts<sup>11,12</sup> and self-perceived neighborhood quality, episodic memory, and semantic fluency in a U.S. population-based cohort.<sup>17</sup> If cross-sectional findings extend to accelerated declines in longitudinal analyses and replication in larger samples, establishing neighborhood disadvantage as a modifiable risk exposure has crucial institutional and policy implications. Neighborhood context can be efficiently measured across cohorts, targeted, and improved. **TABLE 1** Characteristics overall, and stratified by those residing within the least disadvantaged 80% of neighborhoods versus within the most disadvantaged 20% of neighborhoods by ADI<sup>a</sup>

Characteristics	Overall (N = 2119)	Least disadvantaged 80% (n = 1963)	Most disadvantaged 20% (n = 152)	Р
Age in years, M (SD)	63.7 (8.4)	63.8 (8.3)	61.8 (8.7)	.003
Male gender, N (%)	651 (30.7%)	615 (31.3%)	36 (23.8%)	.032
Bachelors degree, N (%)	1309 (61.8%)	1242 (63.3%)	67 (43.2%)	<.001
Primary race, N (%)				<.001
White	1814 (85.6%)	1742 (88.7%)	72 (46.2%)	
African American	228 (10.8%)	155 (7.9%)	73 (46.8%)	
American Indian/Alaska Native	36 (1.7%)	33 (1.7%)	3 (1.9%)	
Asian/Pacific Islander	5 (0.2%)	5 (0.3%)	0 (0.0%)	
Other	36 (1.7%)	28 (1.4%)	8 (5.1%)	
Parental history of dementia, N (%)	1418 (66.9%)	1312 (68.0%)	106 (30.3%)	.776

ADI, Area Deprivation Index.



**FIGURE 1** Cognitive function factor score box plots by neighborhood disadvantage. <sup>a</sup>Rey Auditory Verbal Learning Test (RAVLT) 1-5, <sup>b</sup>RAVLT delayed, <sup>c</sup>Trail A (seconds), <sup>d</sup>Trail B (seconds); ADI, Area Deprivation Index. For RAVLT outcomes, higher scores indicate better cognitive function. For trailmaking outcomes, higher scores indicate poorer cognitive function. Outcomes adjusted for age, gender, race, education, parental history of dementia, and study cohort. Red is the 20% most disadvantaged group. Blue is the 80% least disadvantaged group. Color width is the density of observation points at that unit of measure

The findings also indicate that geocoded neighborhood disadvantage holds potential as a practical marker for research initiatives aiming to benefit communities at increased risk for cognitive dysfunction and ADRD. The ADI metric used in this study is a novel, accessible tool that can be leveraged by institutions and investigators to incorporate a key SDOH measure into cognitive aging research. It can be used to prioritize high-risk neighborhoods for outreach, community stakeholder input, recruitment, and general study design in dementia research. By prioritizing those neighborhoods at the highest risk, we can potentially better define the factors that contribute to cognitive decline and vulnerability to the Alzheimer's clinical syndrome. Future studies exploring the ADI's relationship to neuroimaging- and fluidbased ADRD biomarkers will illuminate mechanisms linking social context to brain health. Given its outstanding harmonizability when utilized across cohorts, this work is expected to facilitate the development and subsequent evaluation of new therapeutic measures.

Limitations of this preliminary study serve to clarify crucial next steps. First, there are inference constraints inherent to the cross-sectional analysis, including our inability to rule out reverse causation. By excluding enrollees who developed MCI or dementia, we sought to reduce the influence of residential moves orchestrated to accommodate disability-related economic stress or pending loss of independence. It is also worth noting that growing evidence suggests that social disparities in dementia risk are driven not by accelerated decline but by lower peak cognitive health, which places aging adults closer to impairment thresholds.<sup>18</sup>

In addition, replication in well-powered racial minority and population-based cohorts will be key to understanding the role for neighborhood context in cognitive health disparities. As indicated by the small proportion (7.4%) of study participants residing in the state's 20% most disadvantaged neighborhoods, selection into many community-based cognitive aging cohorts associates with high educational attainment and economic advantage. Small numbers of ethnoracial minority participants in our sample, and particularly within the most disadvantaged neighborhood deciles, prevented the examination of potential interrelationships between neighborhood disadvantage, cognition, and race, and ethnicity. Furthermore, methodological challenges inherent to measuring racial and education-based cognitive aging disparities, such as test bias, are also plausible in neighborhood-level analyses. Future ADI studies must explore the importance and the nuances of neighborhood context across diverse population strata.

The mechanisms of health disparities are multi-factorial, but social determinants of health including neighborhood disadvantage plausibly root and underpin many of those pathways.<sup>4,19</sup> The present study is a first step in investigating the ADI as a neighborhood-level contextual SDOH that can predict cognitive health and risk. As a metric, the ADI is both inherently generalizable and practically applicable, disseminated freely online through the Neighborhood Atlas.<sup>7,20</sup> Although it is employed across the nation in health delivery, policy, and outcomes research, this is the first time the ADI has been employed within ADRD-focused research. This work suggests that integration of the ADI into ADRD research recruitment and data protocols can improve our ability

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to explore and understand the interactions between modifiable social and biological processes, and can inform the design of targeted, tailored interventions that reduce cognitive health and ADRD disparities.

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