

Impact of New Genomic Technologies on Understanding Adverse Drug Reactions

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Abstract It is well established that variations in genes can alter the pharmacokinetic and pharmacodynamic profile of a drug and immunological responses to it. Early advances in pharmacogenetics were made with traditional genetic techniques such as functional cloning of genes using knowledge gained from purified proteins, and candidate gene analysis. Over the past decade, techniques for analysing the human genome have accelerated greatly as knowledge and technological capabilities have grown. These techniques were initially focussed on understanding genetic factors of disease, but increasingly they are helping to clarify the genetic basis of variable drug responses and adverse drug reactions (ADRs). We examine genetic methods that have been applied to the understanding of ADRs, review the current state of knowledge of genetic factors that influence ADR development, and discuss how the application of genome-wide association studies and next-generation sequencing approaches is supporting and extending existing knowledge of pharmacogenetic pro-

cesses leading to ADRs. Such approaches have identified single genes that are major contributing genetic risk factors for an ADR, (such as flucloxacillin and drug-induced liver disease), making pre-treatment testing a possibility. They have contributed to the identification of multiple genetic determinants of a single ADR, some involving both pharmacologic and immunological processes (such as phenytoin and severe cutaneous adverse reactions). They have indicated that rare genetic variants, often not previously reported, are likely to have more influence on the phenotype than common variants that have been traditionally tested for. The problem of genotype/phenotype discordance affecting the interpretation of pharmacogenetic screening and the future of genome-based testing applied to ADRs are also discussed.

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Key Points

Adverse drug reactions can often result from underlying genetic factors.

Human genomes harbour many rare genetic variants that may contribute to unusual drug responses or adverse drug reactions.

The application of modern genomic methods such as genome-wide association studies and next-generation sequencing is helping to clarify these genetic risk factors.

As generation of genomic data becomes more routine in the clinical setting, knowledge of genetic variation that contributes to adverse drug reactions could be of predictive value, even for adverse drug reactions that are rare.

1 Introduction

The variability between individuals in their response to drugs has been recognised for several decades. Historically, pharmacogenetic effects were noted as early as 510 B.C. when Pythagoras noted that ingestion of fava beans resulted in the acute sickness and death of some individuals [1]. Twenty centuries later, it was discovered that a defect in the glucose-6-phosphate dehydrogenase enzyme was associated with haemolytic anaemia after exposure to fresh fava beans or drugs such as primaquine, aspirin or phenacetin [1]. This discovery was followed by the characterisation of genetic variation in the pseudocholinesterase enzyme underlying the prolonged response to choline esters during anaesthetic induction [2, 3], and later the genetic variation in acetylator enzymes resulting in variable response to the drug isoniazid [4]. The discovery of polymorphic cytochrome P450 enzyme (CYP)2D6, was not until the late 1970s [5, 6] to late 1980s when mutations associated with debrisoquine metabolism were characterised [7, 8]. These genetic variants caused changes in the pharmacokinetic or pharmacodynamic profile of a drug, therefore impacting efficacy and often resulting in drug-induced toxicity [7].

Our understanding of genetic factors that underpin adverse drug reactions (ADRs) has grown through the last few decades as genetic technologies have become increasingly sophisticated. Although the primary focus of these technologies has been the mapping, identification and analysis of genes that contribute to disease, these tools have also been applied to explore the variability in human drug responses. Pharmacogenetics, like human genetics in general, began with the analysis of traits encoded by a single gene, simply because such traits were more amenable to study. These traits, referred to as being monogenic or Mendelian in nature, arise from mutation of a single causative gene, and they generally display clear familial inheritance patterns. One example of such a Mendelian pharmacogenetic trait is the ryanodine receptor mutations that cause malignant hyperthermia after administration of general anaesthetics, in an autosomal dominant fashion (meaning only one copy of the gene, or allele, need be mutated) [9, 10]. However, we now recognise that relatively few traits are truly monogenic, and most result from the interaction of many genetic and environmental factors. Most common diseases and other phenotypes such as height and weight fall into this category, and we refer to these as complex traits. There is increasing evidence that many drug responses are also complex traits. Although we have yet to completely describe the genetic architecture of any complex human trait, it is clear that in general many

genes, each of small effect size, contribute to such phenotypes (Fig. 1).

2 Genetic Technologies and ADRs

2.1 Linkage Mapping

One of the most productive early methods for exploring monogenic traits was linkage analysis, which involved tracking the pattern of inheritance of DNA markers within families displaying the trait or disease, to map the location of the underlying causative gene [11]. Although a very productive approach in studies of human genetic disease [12], linkage mapping has not been an avenue widely available for pharmacogenetic studies simply because it is rare for pharmacogenetic phenotypes to be defined in all members of large families. Even those ADRs that may result from the effect of a single major gene will often not be recognised as such, unless multiple members of a family have been exposed to the same or similar drugs.

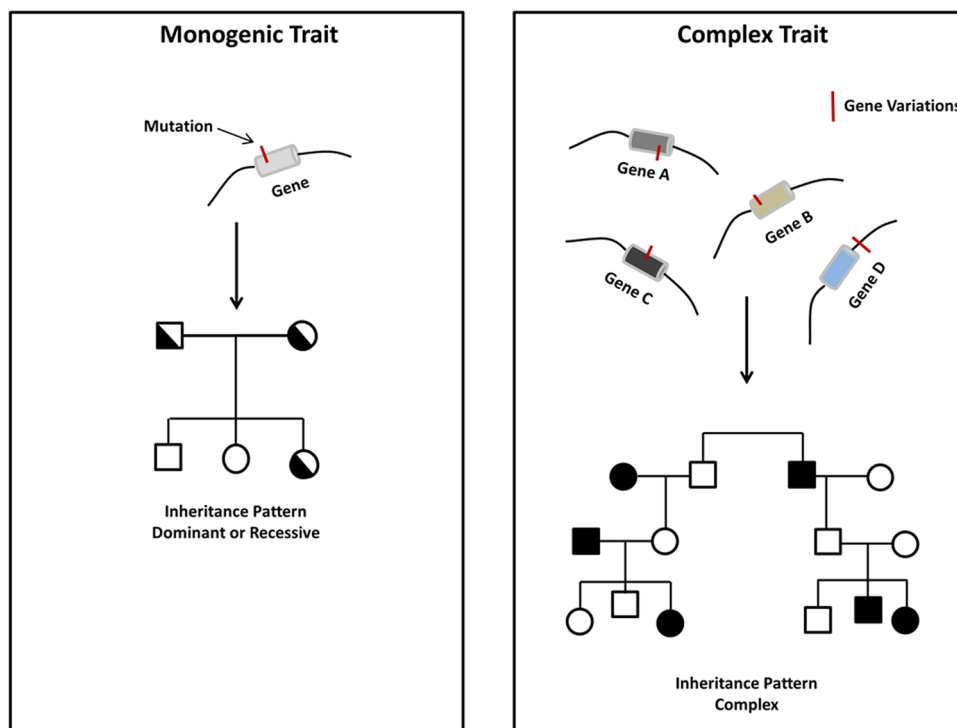
2.1.1 Early Genetic Studies on ADRs

Despite the inability to widely apply linkage methods to the analysis of pharmacogenetics, some important early advances were made using functional candidate gene analysis, where variation in genes functionally linked to the relevant phenotype were studied in groups of subjects. For example, the debrisoquine/sparteine metabolism phenotype, which essentially behaves as a monogenic trait, was first observed in individuals [5, 6], then further characterised using a gene cloning and identification method that depended on an understanding of the function of the gene of interest, leading to the description of *CYP2D6* and the main poor metaboliser variants [7, 8].

Similarly, the thiopurine methyltransferase (*TPMT*) gene was isolated after purification and amino-acid sequencing of the protein, which provided information that led to molecular cloning of the gene [13, 14]. Neither of these classic pharmacogenes, which can contribute to ADRs, was identified in linkage studies, although the phenotypes they caused were recognised to track within families. Rather, detailed prior pharmacological investigation was required to pinpoint the relevant protein, which then led to isolation of the genes.

Such candidate gene studies, where “educated guesses” of genes likely to underpin a phenotype, were the predominant approach in genetics and pharmacogenetics [15, 16] until the advent of genome-wide association studies (GWAS) [17–19].

Fig. 1 Monogenic and complex traits. Monogenic traits arise from mutation of a single gene, and usually display clear familial patterns of inheritance, reflecting whether the trait occurs when one allele (dominant) or both alleles (recessive) are disrupted. Complex traits arise from the input of polymorphic variation in several to many genes, each of which contributes a small effect to the trait. Complex traits have some degree of familiarity, but do not display the classical patterns of inheritance seen in monogenic traits. Modified from [165]



2.1.2 Genome-Wide Association Studies

GWAS have enabled the precise and effective discovery of genes underpinning complex diseases and traits, including drug treatment responses [20, 21]. These studies require the high-throughput analysis of single nucleotide polymorphisms (SNPs), i.e., variations in single base pairs, throughout the genome. SNPs can impact the way a protein is coded in a particular gene, the way it is spliced, expressed, or regulated. When these changes occur in genes coding for enzymes, transporters, cell membrane receptors, intracellular receptors, or components of ion channels, they may change the pharmacokinetic or pharmacodynamic profile of a drug, affecting its efficacy and its likelihood of causing ADRs [22, 23]. These SNPs are catalogued by unique identifiers (called Reference SNP cluster ID, or “rs” numbers, as listed in Table 1) [24].

GWAS methodology was made possible by the convergence of several lines of investigation. First, the efforts directed at cataloguing human genetic variation, particularly SNPs, allowed development of very rich maps illustrating the correlations (linkage disequilibrium) between alleles of SNPs in the human genome [25, 26]. Second, commercial interests led to the development of several platforms for massively parallel analysis (genotyping) of SNPs on “gene chips”. Third, the mathematical and computational tools necessary for processing the very

large datasets generated by genotyping many thousands of SNPs in hundreds or thousands of subjects were developed [27]. One further factor was essential for the success of GWAS. It became increasingly clear that for truly complex traits, large cohorts of cases and controls would be needed to identify the many genes of small effect underlying each trait; this realisation drove extensive international collaborations on human complex disease studies, in a way not previously seen in biomedical science [28].

Since the first application of GWAS technology [29], over 2000 genes contributing to complex traits have been identified using this method [20, 21]. Although the primary application of GWAS has been to the understanding of human diseases and other complex traits, the method has been increasingly employed to study the genetics of drug responses and adverse drug reactions [22, 30, 31]. A major challenge for the application of GWAS to ADRs is the problem of collecting sufficient samples, given the rarity of these phenotypes. This requires concerted international collection and aggregation of samples, such as is being mediated by the International Serious Adverse Events Consortium [32], EUDRAGENE [33], and other national and international consortia [34, 35]. One of the surprises resulting from the application of GWAS to ADRs has been that even with small numbers of subjects relative to those needed for studies of complex disease, single genes have

Table 1 Common CYP2D6 variants. Table modified from [53, 156]

Allele	Major nucleotide variation	dbSNP number	Effect on CYP2D6 protein
*1	Wild type		
*xN	Gene duplication or multiplication		Increased protein expression
*3	2549delA	rs35742686	^a Frameshift—protein not expressed
*4	100C>T, 1846G>A	rs1065852, rs3892097	Protein not expressed
*5	Gene deletion	N/A	Gene deletion—protein not expressed
*6	1707delT	rs5030655	^a Frameshift—protein not expressed
*10	100C>T	rs1065852,	Reduced function
*17	1023C>T 2850C>T	rs28371706, rs16947,	Reduced function
*41	2850C>T 2988G>A	rs16947, rs28371725,	Reduced function

^a Frameshift mutations either insert or delete one or more bases so that the correct protein is no longer produced

been clearly identified as contributing risk factors for some ADRs. Good examples are the association of variants in *SLC01B1*, the gene for the organic anion-transporting polypeptide OATP1B1, with statin-induced myopathy [36], and the association of human leukocyte antigen (HLA)-B*5701 variants with drug-induced liver injury (DILI) from flucloxacillin [37].

As well as discovering genetic variants underpinning several ADRs, GWAS have also extended existing knowledge of pharmacogenetic processes. For example, in warfarin dose response, variants of *VKORC1* and *CYP2C9* had long been recognised as major factors. GWAS initially confirmed the role of these two genes [38] and then revealed an additional gene, *CYP4F2*, with a relatively minor role [39]. The range of GWAS studies now published in pharmacogenetics makes it clear that technology is no longer the main limiting factor for understanding genetic factors influencing ADRs [22, 30], but rather it is often the timely identification, consenting and collection of subjects to add into such studies that limits progress.

2.1.3 Next-Generation Sequencing Methods

Over the past decade, methods for DNA sequencing have undergone dramatic improvements. These methods, appropriately named next-generation sequencing (NGS) technologies, have vastly increased the scale of DNA sequencing while also reducing the unit cost [40, 41]. Although the first human genome project took over a decade and cost some USD 3 billion, NGS advances now mean that a human genome can be sequenced in a few hours for less than USD \$2000.

Although whole genome sequencing (WGS) is now possible [42, 43], the datasets that result and the processing power required to effectively analyse them, means that they have not yet been widely employed. Instead, analysis of only a subset of the genome, known as the exome, has been the preferred method for many initial studies [44] (Fig. 2). The exome spans all exons, or protein coding regions, of an individual's DNA, and the process of whole exome sequencing (WES) allows physical "capture" and then sequencing of most exons from an individual in one NGS work flow. Application of WES means that variations in protein-coding regions of any gene can be identified, rather than focusing on one or a few genes as in traditional candidate gene studies [44, 45]. Although it has been a very informative technology, WES has some significant limitations, the most important of which is its inability to identify variants located outside of exons, in regulatory regions (introns), or in regions not known to be associated with any genes [46, 47]. In addition, exome data are not well suited to the identification of major structural variations seen in the genome, known as copy number variations. These limitations, combined with the recent availability of newer massive-throughput DNA sequencers, mean that WGS is gaining in popularity and this, rather than WES, may soon become the dominant approach for genome analysis [48].

Below we summarise current knowledge of genetic loci that have been identified as significant pharmacogenetic markers for pharmacokinetic or pharmacodynamic drug profiles or individual immunologic responses to drugs leading to ADRs. Where available, we will identify advances in pharmacogenomics that have arisen through application of the newer genetic technologies, including GWAS and NGS.

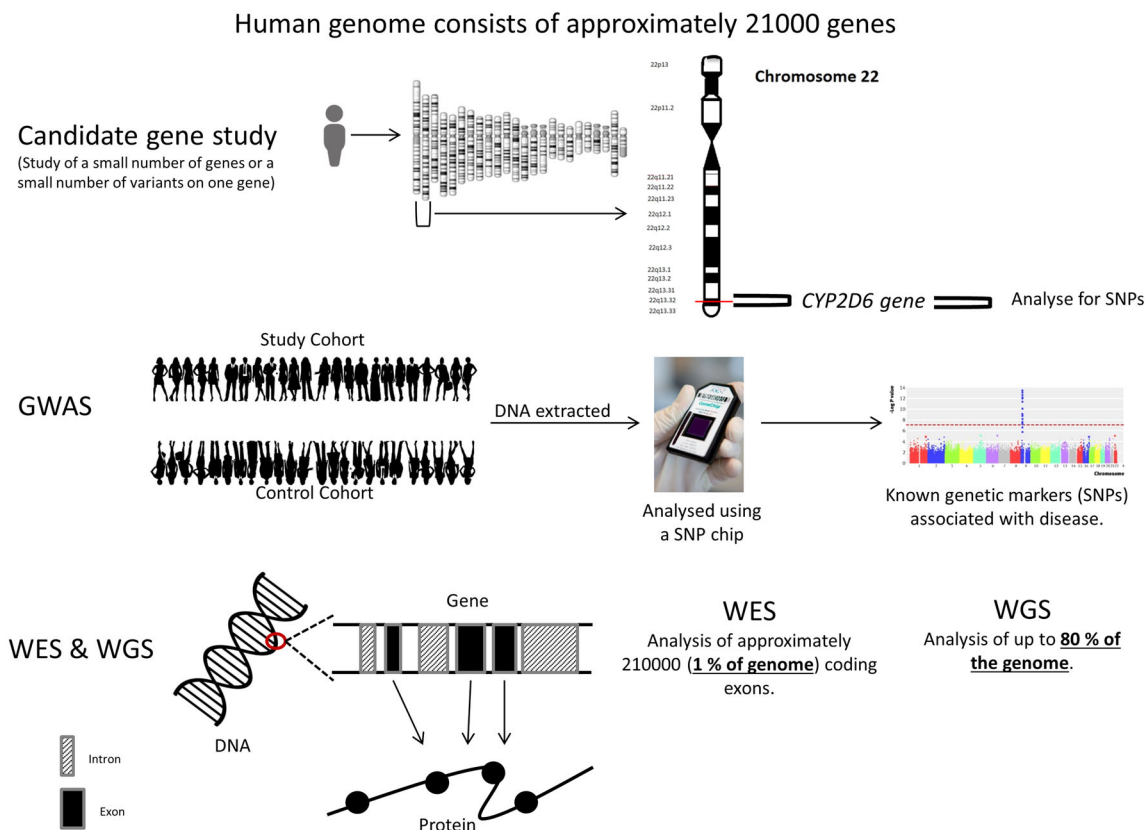


Fig. 2 The evolution in genomic technologies. Pharmacogenetic analysis has evolved from analysing one gene (in a few patients) and a few single nucleotide polymorphisms (SNPs) in a candidate gene study, to genome-wide association studies (GWAS), which look through a library of up to a million SNPs in groups of patients by

using high-throughput genotyping systems (referred to as a SNP chips or SNP array). Next-generation sequencing has now taken a further step by enabling researchers to sequence the protein coding part of the genome (approximately 1%)—whole exome sequencing (WES), or even the entire genome—whole genome sequencing (WGS)

3 Drug-Metabolising Enzymes

The initial focus of pharmacogenetics was the polymorphisms affecting drug-metabolising enzymes (DMEs). Up to 60% of all drug-induced toxicity is associated with polymorphic CYPs, also referred to as ‘phase one enzymes’ [49]. The CYP gene superfamily account for the majority (86%) of all DMEs. Polymorphisms in CYP genes result in four major phenotypes with respect to drug metabolism, poor metaboliser, intermediate metaboliser, extensive metaboliser or ultra-rapid metaboliser. A particular polymorphism may therefore result in therapeutic failure or toxicity, with a reverse effect if the drug is a pro-drug [50].

Although to date there are relatively few publications that use NGS methods to investigate drug-induced ADRs and associations with variants in CYP enzymes, a study by Gordon et al. applied targeted NGS of multiple genes from over 14,000 subjects, to illustrate the extent of potentially deleterious variation in 12 CYP genes. The authors focused on a set of 12 CYP genes that they described as being

responsible for 75% of all drug metabolism through oxidation reactions [51]. A total of 219 likely functional variants across 12 CYP genes were discovered, and variants were found to be abundant, occurring at an average of one per 17 bases sequenced [51].

Below we summarise clinically important polymorphisms affecting widely used drugs metabolised by CYP2D6, CYP3A4, CYP2C9 and CYP2C19.

3.1 CYP2D6

The gene coding the CYP2D6 enzyme is one of the best studied pharmacogenes. Approximately 25% of all drugs, including a number of antidepressants, anti-arrhythmics, beta-blockers, opioid analgesics and anti-cancer agents, are metabolised through CYP2D6, which also happens to be one of the most polymorphic enzymes with over 100 known allelic variants. A 20-fold inter-individual variation in steady-state plasma concentrations of nortriptyline, a substrate for CYP2D6, following a standard daily dose over 2 weeks, was first reported in 1967 [52]. It is now

known that polymorphisms in the *CYP2D6* gene can result in a range of effects from complete loss of enzyme activity through deletion of the gene (poor metaboliser status), or extensive activity, through duplication of the gene (ultra-rapid metaboliser status) [50]. Common *CYP2D6* variants are shown in Table 1.

Commonly prescribed analgesics such as codeine, dihydrocodeine, tramadol and morphine, are largely metabolised through hepatic *CYP2D6*. The Clinical Pharmacogenetics Implementation Consortium (CPIC) guidelines for codeine recommend using alternative analgesics in patients who have been genotyped as ultra-rapid metabolisers (an individual carrying more than two functional alleles) or poor metabolisers (an individual carrying no functional *CYP2D6* alleles) [53]. Ultra-rapid metabolisers are likely to excessively metabolise the parent compound, in the case of codeine to morphine resulting in ADRs ranging from mild nausea, vomiting and drowsiness to more severe, but rare circulatory depression, shock or cardiac arrest [53–55]. In contrast, poor metabolisers have low codeine-morphine conversion and complain of poor or no analgesia [56, 57]. The CPIC has also recommended that poor and ultra-rapid metabolisers use morphine and non-opioid analgesics instead of tramadol, oxycodone and hydrocodone, as their metabolism is also affected by *CYP2D6* polymorphisms [58].

A large proportion of antidepressant and antipsychotic drugs are metabolised predominantly through the activity of *CYP2D6*. CPIC dosing guidelines are currently available for several such drugs, mostly tricyclic antidepressants. These guidelines recommend a 25–50 % reduction in dose for intermediate-poor metabolisers, and an alternative drug for ultra-rapid metabolisers [59]. Studies conducted in patients taking amitriptyline showed that *CYP2D6* poor metaboliser status resulted in impaired drug metabolism, hence elevated amitriptyline plasma concentrations and an increased risk of ADRs, discontinuation of therapy or switching to another drug [60, 61]. According to the catalogue of published GWAS [62], a study in 435 patients with major depressive disorder found single nucleotide polymorphisms in the *CYP2D6* and *CYP2C19* genes to be significantly associated with measured plasma concentrations of citalopram, escitalopram and their metabolites [63].

A difference in the *CYP2D6**4 allele frequency was noted between 75 patients with atorvastatin-related myopathy and 188 atorvastatin-tolerant controls and between 61 patients with simvastatin-related myopathy and 188 controls although the difference was only significant for atorvastatin. Other studies have not confirmed this association but the possible effect on a particular statin may have been masked by several statins being studied as a group [59].

3.2 CYP3A4

Compared with *CYP2D6*, *CYP3A4* is responsible for the metabolism of a greater proportion of drugs, up to 50–60 %, but is “strongly conserved”, meaning that the *CYP3A4* gene is not as polymorphic as the *CYP2D6* gene. While several polymorphisms within the *CYP3A4* gene have been identified, current consensus is that SNPs affecting this gene generally have minimal clinical significance. While SNPs within the *CYP3A4* gene may contribute to inter-individual differences, they occur at low population allele frequencies and are not reported to affect the pharmacokinetics and/or pharmacodynamics of *CYP3A4* substrates in a major way [64]. However, while being extremely rare (<0.06 % in Caucasians) [65], the *CYP3A4**20 variant was recently identified in a cohort of eight patients who had experienced severe paclitaxel-induced neuropathy, which is known to be dose dependent [66]. Using WES, two patients were found to have the rare *CYP3A4**20 allele, a premature stop codon that leads to an abnormally shortened protein, and one patient had a *CYP3A4**25 variant, a missense mutation causing the substitution of a different amino acid in the resulting protein. Both variants confer significantly reduced *CYP3A4* expression. Analysis of DNA from an independent cohort of 228 patients treated with paclitaxel indicated a 1.3- to 2.0-fold increased risk of paclitaxel-induced neuropathy in patients carrying *CYP3A4* variants that reduced enzyme expression compared with wild-type *CYP3A4* [66].

A related enzyme, *CYP3A5*, is considered to have similar substrate specificity to *CYP3A4*. However, it is subject to more polymorphisms. Only the *CYP3A5**1 (WT) allele is recognised as functional, the remaining *CYP3A5* variants (*2–*11) are non-functional. With respect to clinical significance, differences in tacrolimus [67] concentrations were reported in subjects with the *CYP3A5**3 variant compared with those with *CYP3A5**1 and it was concluded that a higher dose of the drug may be required to maintain optimal blood concentrations in expressors of the functional variant [67].

Atorvastatin is a substrate for *CYP3A5*. Evidence that *CYP3A5* polymorphisms are clinically important in the metabolism of this drug is conflicting but in an exploratory analysis of patients with atorvastatin-related myopathy, the *CYP3A5**3 allele was associated with the degree of serum creatine kinase (CK) elevation [68].

3.3 CYP2C9

The enzyme *CYP2C9* metabolises approximately 15 % of all clinical drugs including some oral hypoglycaemics, nonsteroidal anti-inflammatory drugs, diuretics, antiepileptic drugs, angiotensin converting enzyme

inhibitors and, in particular, several drugs with a narrow therapeutic index such as warfarin (S-warfarin) and phenytoin [64]. Two commonly occurring missense mutations in the *CYP2C9* gene, *2 and *3, decrease enzyme function by 30 and 90 %, respectively. Two large, recent randomised controlled studies applied rapid turnaround *CYP2C9* genotyping tests to assess the benefits of genotype-guided dosing of warfarin. Pirmohamed et al. concluded that genotyping (*CYP2C9**2, *CYP2C9**3) prior to initiation of warfarin therapy resulted in a significantly ($P < 0.001$) greater number of patients remaining within the target therapeutic range and significantly ($P < 0.001$) fewer incidences of over-anticoagulation, defined as an international normalized ratio (INR) >4 [69]. However, a similarly designed trial, the Clarification of Optimal Anti-coagulation through Genetics (COAG) trial did not show any benefit of genotype-guided dosing [70]. Similarly, various meta-analyses have reported mixed results. For example, a meta-analysis of nine trials conducted in 2001–2013 concluded that there was no significant clinical benefit of genotype-guided warfarin dosing on either time in the recommended therapeutic range or the risk of an INR >4 . The authors noted that the nine trials used different genotyping methods as well as different genotype-based warfarin dosing algorithms, which may have resulted in skewed outcome definitions [71]. However, a further meta-analysis of 10 studies concluded that genotype-based dosing of warfarin increased the percentage of time in the therapeutic range and reduced the risk of haemorrhagic complications [72]. CPIC guidelines on genotype-guided warfarin dosing were last published in 2011 [73], and are currently under review based on the findings discussed above. The guidelines published in 2011 recommended the use of genetic-guided algorithms available on <http://www.warfarindosing.org>. Dosing algorithms taking genetics into account outperform non-genetic algorithms [73].

Polymorphisms in *CYP2C9* have also been associated with phenytoin ADRs. Chung et al. used a GWAS to investigate genetic variants associated with phenytoin-related severe cutaneous adverse reactions. They discovered a cluster of 16 SNPs within the *CYP2C* gene locus [74] associated with phenytoin-related ADRs. Further sequencing of alleles in this region identified a significant association between the missense variant rs1057910 (*CYP2C9**3) and the severe forms of phenytoin-related cutaneous adverse reactions often referred to as SCARs. This SNP-ADR association was then validated through analysis of further samples from 210 patients with phenytoin-related SCARs and 3655 controls, and an odds ratio of 11.0 (95 % confidence interval 6.2–18.0, $P < 0.00001$) was reported [74]. Delayed plasma clearance of phenytoin was detected in patients with SCARs, especially *CYP2C9**3 carriers.

3.4 CYP2C19

The enzyme *CYP2C19* is known to metabolise 10 % of all commonly used medicines including proton pump inhibitors, tricyclic antidepressants, SSRIs, SNRIs, barbiturates, and the antiplatelet drugs clopidogrel, ticlopidine, and prasugrel. The *CYP2C19* gene has at least 24 known variants with *CYP2C19**2 and *CYP2C19**3 the major polymorphisms resulting in poor metaboliser status and *CYP2C19**17, a polymorphism resulting in increased *CYP2C19* expression and activity [64]. There is substantial literature on the association between *CYP2C19* poor metaboliser status and diminished response to clopidogrel, and therefore an increased risk of further cardiovascular events [75]. As clopidogrel is a prodrug it requires bio-transformation to its active form in the liver by *CYP2C19*, *CYP1A2* and *CYP2B6*. A GWAS (Pharmacogenomics of Antiplatelet Intervention) reported an association with 13 SNPs in the genomic region where the *CYP2C18*-*CYP2C19*-*CYP2C9*-*CYP2C8* genes are located [76]. Further analysis showed that the variant rs12777823 was strongly correlated with the *CYP2C19**2 variant, and was associated with a greater number of cardiovascular events or death within 1 year of follow-up (20.9 %) compared with controls (10 %) [76].

Meta-analyses of randomised clinical trials evaluating *CYP2C19* genotype status and the increased risk of secondary cardiovascular events have reported mixed results. Mega et al. conducted a meta-analysis of nine studies incorporating 9685 patients who had undergone percutaneous intervention and/or had acute coronary syndrome [77]. In the population studied, 71.5 % were non-carriers (i.e., *CYP2C19* WT), 26.3 % had one reduced function allele (*2 or *3), and 2.2 % had two reduced functional alleles. The authors reported a significantly increased risk of major cardiovascular events, particularly stent thrombosis in patients with one ($P < 0.0001$) or two ($P = 0.001$) *CYP2C19* reduced function alleles [77]. Similarly, Hulot et al. reported that *CYP2C19**2 allele was associated with a 30 % increased risk of a major cardiovascular event and increased mortality in patients on clopidogrel therapy. Like the previous study, subjects with either heterozygote or homozygote *CYP2C19**2 alleles were adversely affected [78]. However, two further meta-analyses have reported that the genetic association between *CYP2C19* genotype and clinical efficacy of clopidogrel is not consistent or substantial enough to recommend genotyping prior to therapy [79, 80]. The CPIC guidelines for clopidogrel indicate that clinicians should consider alternative anti-platelet agents (prasugrel or ticagrelor) in patients genotyped to be *CYP2C19* intermediate (*1/*2, *1/*3, *2/*17) or poor (*2/*2, *3/*3 or *2/*3) metabolisers [81].

4 Phase II Enzymes

Polymorphisms in genes for phase II DMEs are a further source of variation in drug response. Polymorphic phase two DMEs include *N*-acetyl transferase type 2 (NAT2) associated with isoniazid toxicity; thiopurine methyltransferases (TPMT) associated with thiopurine toxicity; dihydropyrimidine dehydrogenase (DPD) associated with 5-fluorouracil toxicity, and uridine diphosphate-glucuronosyl transferases (UGT) associated with irinotecan toxicity [82, 83]. TPMT and NAT2 are discussed below and DPD and UGT are summarised in Table 2.

4.1 TPMT

Depending on ethnicity, it is estimated that one in 150–300 individuals carry two deficient *TPMT* alleles resulting in lack of TPMT activity [84]. As TPMT catalyses the *s*-methylation of thiopurine drugs, which are highly toxic and have a narrow therapeutic index, accumulation of parent drug and/or metabolites can lead to thiopurine toxicity in haematopoietic tissues [14, 85]. Expression of non-functional and/or reduced function alleles (Table 3) has been associated with a range of adverse events ranging from cessation of therapy in up to 25 % of patients to severe and life-threatening myelosuppression [86–88].

CPIC have published guidelines on the prescribing of three drugs (azathioprine, mercaptopurine and thioguanine) known to be influenced by TPMT polymorphisms [89]. The CPIC guidelines indicate that pre-emptive *TPMT* genetic testing can provide customised dosing to reduce the likelihood of serious and fatal ADRs such as myelosuppression [88, 90, 91]. A recent retrospective study conducted in a French university hospital concluded that pre-emptive *TPMT* genotyping improved non-compliance and allowed the identification of patients at high risk of toxicity [92].

4.2 NAT

N-Acetyltransferase enzymes, NAT1 and NAT2 metabolise and detoxify therapeutic drugs through acetylation.

Notably, variability in response to the antituberculosis drug isoniazid is associated with polymorphisms in the *NAT2* gene [93]. Phenotypically, *NAT2* polymorphisms confer either slow or fast acetylator status. Slow acetylators, often expressing two reduced function and/or inactive alleles, are reported to be at a greater risk of isoniazid toxicity, particularly DILI and peripheral neuropathy. In contrast, fast acetylators are likely to show reduced efficacy [93, 94]. Several retrospective studies have sought to determine whether genotyping of *NAT2* status may have prevented isoniazid induced toxicity. One such study conducted by Ng et al. genotyped 26 patients with a history of liver injury as a result of a drug regimen containing isoniazid. Patients and ethnically matched controls were genotyped for three major NAT alleles (*NAT2**5, *NAT2**6 and *NAT2**7), and it was observed that *NAT2* genotypes predictive of slow acetylator phenotype were associated with an increased risk of isoniazid-induced DILI [95]. Azuma et al. also showed that *NAT2* genotype-based dosing of isoniazid compared with standard treatment was beneficial. The clinical trial showed that with genotype-guided dosing there were no cases of isoniazid-induced DILI amongst slow acetylators, compared with 78 % of slow acetylators in the standard treatment group. With respect to fast-acetylators and treatment failure, genotyping resulted in a lower incidence of treatment failure (15 %) when compared with the standard treatment group (38 %) [96].

4.3 Drug Transporters

Polymorphisms also affect transporters and therefore drug distribution. For example, polymorphisms in the organic anion transporting polypeptides, also referred to as the solute carrier organic anion transporters (SLCOs), are one of the most discussed polymorphisms known to affect the transport (influx) of statins, and hence impaired efficacy and/or toxicity [97–99]. Two SNPs in the *SLCO1B1* gene, rs2306283 and rs4149056 are associated with statin-associated myopathy [100]. These variants were initially identified through a GWAS conducted on the SEARCH (Study of the Effectiveness of Additional Reductions in Cholesterol and Homocysteine) cohort of 80 confirmed

Table 2 DPD and UGT variants implicated in chemotherapy toxicity

Phase II enzyme	Variant(s)	Drug(s)	ADR
DPD [163]	rs3918290 rs67376798 rs1801158 rs55886062	Fluoropyrimidine	Diarrhoea, mucositis, neutropenia (Grade 3–4)
UGT [164]	rs34815109	Irinotecan	Diarrhoea, myelosuppression, neutropenia

ADR adverse drug reaction, DPD dihydropyrimidine dehydrogenase, UGT uridine diphosphate-glucuronosyl transferases

Table 3 TPMT functional alleles

Functional status	Alleles
Normal and or wild type	*1, *1S
Non-functional, or mutation resulting in no activity	*2, *3A, *3B, *3C, *4
Reduced function or decreased activity	*5, *6, *8, *9, *10, *11, *12, *13, *16, *17, *18.

TPMT thiopurine methyltransferase

cases of myopathy and 90 controls [36]. Further GWAS of similar cohorts such as GoDARTs (Genetics of Diabetes Audit and Research in Tayside) and STRENGTH (Statin Response Examined by Genetic Haplotype Markers) have replicated the results. The composite endpoint was any adverse effect that led to discontinuation or myalgia or serum creatine kinase level more than three times the upper limit of normal. For this endpoint there was a gene–dose effect relationship with 19, 27 and 50 % of patients affected with no, one or two *SLCO1B1**5 alleles respectively [101, 102]. Interestingly, the association of rs4149056 with statin-induced myopathy has only been clearly established for simvastatin [100].

Further studies have identified polymorphisms in other drug transporters such as the ATP-binding cassette family (ABC), specifically *ABCB1* and *ABCG2*, which are efflux transporters that modulate intestinal drug absorption and tissue penetration [103] and have been associated with statin-induced muscle myopathy as shown through elevations in plasma creatine kinase measurements. As expected, adverse reactions to a range of drugs (Table 4) have been linked with transporter polymorphisms, mainly by way of candidate gene association studies.

Zolk and Fromm also identified polymorphisms in four genes, *SLCO1B1*, *SLC22A2*, *ABCB11* and *ABCB1*, which are associated with increased susceptibility to ADRs in general [104].

5 Pharmacodynamic Responses

5.1 Drug-Induced Long QT Syndrome

Long QT syndrome (LQTS) is a condition with symptoms of syncope, seizures and often fatal ventricular arrhythmias of the torsade de pointes type. Initially, by studying patients with congenital LQTS, genes that code for sodium and potassium ion channels within cardiomyocytes were implicated in the disorder [105]. The first mutations in the potassium channel genes *KCNQ1* and *KCNH2* (*HERG*) and the *SCN5A* cardiac sodium channel gene (originally named *LQT1*, *LQT2* and *LQT3* respectively), were first discovered in the 1990s [106]. Individuals with drug-induced (also referred to as acquired) LQTS present with the same symptoms and are often carriers of *KCNH2* or *SCN5A*

mutations [105, 106]. Various drug classes (antibiotics, antipsychotics, chemotherapeutics, antiemetics, opioid analgesics and anti-arrhythmics) have been associated with drug-induced LQTS [107]. The association of *KCNH2* and *SCN5A* mutations with LQTS has been confirmed through four major GWAS published in the last decade [108–111]. Recently, Weeke et al. identified, through whole exome sequencing, rare amino acid coding variants that further increase the risk of drug-induced LQTS. There were more unique or rare amino acid coding variants (37 %) in a cohort of 65 patients with previously confirmed drug-induced LQTS compared with 148 (21 %) drug-exposed controls [112]. Similarly, Ramirez et al. have used NGS methodology to assess the presence of rare variants in a cohort of patients with drug-induced LQTS. It was reported that 11 of the 31 patients carried a novel missense mutation that matched a known congenital LQTS mutation [113].

5.2 Warfarin and Vitamin K Epoxide Reductase Complex

Warfarin exerts its anticoagulant effect by inhibiting the vitamin K epoxide reductase complex, subunit 1 (*VKORC1*), part of an enzyme that had long been sought as a target of warfarin but for which the gene was not identified until 2004 [114]. The identification of this enzyme, as well as linkage studies carried out in warfarin-resistant rat strains, rapidly led to identification of variants in the *VKORC1* gene, which impacted on warfarin response [115], and in some patients, warfarin resistance [116].

The missense mutations *CYP2C9* *2 and *3 and the *VKORC1* variants identified are evidence of a combined effect of pharmacogenes influencing both the pharmacokinetics and pharmacodynamics of a medicine. GWAS initially confirmed the role of these two genes [38] and revealed an additional gene, *CYP4F2*, with a minor role [39].

5.3 HLA Locus

The HLA, also known as the human major histocompatibility complex (MHC), is a family of over 200 genes that are located close together on chromosome 6. The MHC genes are categorised into three classes, of which class I (*HLA-A*, *HLA-B* and *HLA-C* genes) and class II (*HLA-*

Table 4 Transporter polymorphisms (modified from [104])

Transporter	Gene (rs numbers)	Drugs	ADRs
OATP1B1	<i>SLCO1B1</i> (rs4149056, rs2306283)	Statins, irinotecan	Myopathy leukopenia, anaemia, thrombocytopenia
OCT1, OCT2	<i>SLC22A1</i> (rs12208537, rs34130495, rs35167514, rs34059508) <i>SLC22A2</i> (rs316019)	Metformin, cisplatin	Hyperlactacidemia nephrotoxicity, ototoxicity
ABC	<i>ABCG2</i> (rs2622604, rs2231137)	Irinotecan	Myelosuppression
MDR1	<i>ABCB1</i> (rs1128503, rs2032582, rs1045642)	Calcineuron inhibitors Loperamide	Nephrotoxicity, neurotoxicity, respiratory depression
OAT1	<i>SLC22A6</i> (rs11568626, rs4149170)	Antiviral drugs	Nephrotoxicity
MRP2	<i>ABCC2</i> (rs2273697, rs3740066)	Irinotecan, methotrexate	Diarrhoea, nephrotoxicity

ADRs adverse drug reactions

DPA1, *HLA-DPB1*, *HLA-DQA1*, *HLA-DRA* and *HLA-DRB1*) are relevant to this review (Fig. 3).

It is estimated that up to a third of drug-induced ADRs are unpredictable hypersensitivity reactions, i.e. Type B ADRs [117, 118], and a large proportion of these are mediated through the interaction of the drug and/or metabolite with HLA proteins. Importantly, this interaction only occurs when specific *HLA* alleles are present [118, 119]. The HLA-drug (hapten) complex can go on to elicit an immune response. One mechanism proposed is presentation of the hapten to a naïve lymphocyte via its T-cell receptor, which may initiate an immunological response dependent on the HLA molecule, antigen-presenting cell and cytokine environment [118].

One HLA-ADR association with considerable clinical utility is that of the haplotype (group of alleles) called *HLA-B*5701* and hypersensitivity to the antiretroviral drug abacavir [120]. This was originally described by two groups who essentially used a candidate gene approach, by careful HLA typing of subjects who experienced the hypersensitivity reaction and recognition that a specific haplotype of *HLA-B* was over represented in this group [120, 121]. DNA tests for *HLA-B*5701* alleles are now widely employed before prescription of abacavir [122, 123].

More recently, application of GWAS methodology has revealed other associations of drug hypersensitivity with a range of HLA alleles. The strongest pharmacogenetic associations have been reported for flucloxacillin-associated hepatotoxicity (also referred to as DILI) with *HLA-B*5701*, carbamazepine-induced Stevens-Johnson syndrome (SJS)/toxic epidermal necrolysis (TEN) with *HLA-B*1502* in Han Chinese, allopurinol-induced severe cutaneous ADRs with *HLA-B*5801* in Han Chinese and

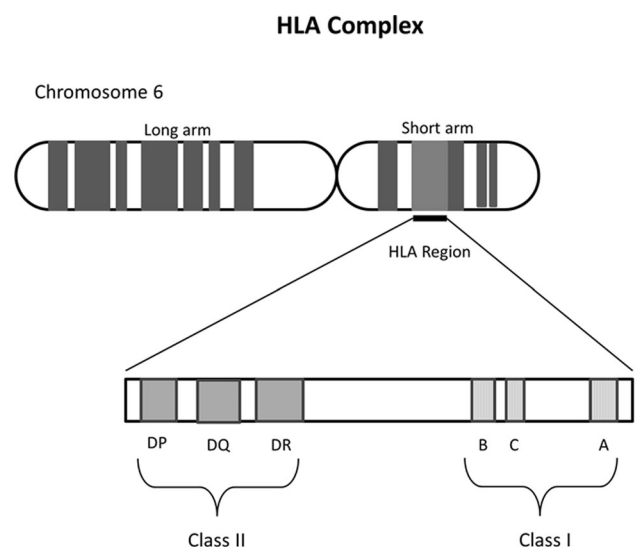


Fig. 3 Location and structure of the human leukocyte antigen (HLA) locus. The large cluster of genes that comprise the major histocompatibility complex (MHC) is located on the short arm of chromosome 6. This region includes some 240 genes and spans some 3.6 million base pairs of DNA. The class I and class II genes are most relevant for adverse drug reactions. There are three main class I genes, called HLA-A, -B and -C, and the class II region includes the genes for the α and β chains of the antigen-presenting MHC class II molecules HLA-DR, -DP, and -DQ

abacavir-induced hypersensitivity syndrome with *HLA-B*5701* [118, 124].

In the case of carbamazepine-induced SJS, Chung et al. showed complete penetrance of the *HLA-B*1502* allele, i.e. all individuals with the mutation exhibited SJS, giving a positive predictive value of 93.6 %. In this particular study of Han Chinese, 100 % of the cohort of 44 patients expressed the *HLA-B*1502* allele in comparison to 3 % in

the carbamazepine-tolerant population (3/101) and 8.6 % in the general Han Chinese population (8/93) [125]. For flucloxacillin-induced DILI, a GWAS (the DILIGEN study) conducted in 51 cases and 282 controls showed that the rs2395029 SNP was significantly associated with flucloxacillin-induced DILI. The SNP was confirmed to be in linkage disequilibrium with the *HLA-B*5701* allele, and carriers of this polymorphism were at 80-fold greater risk of developing flucloxacillin-induced DILI. Unlike the previously discussed HLA allele (*HLA-B*1502*), which was common in the Han-Chinese population, *HLA-B*5701* has a high allelic frequency in northern European populations when compared with African or Asian populations [37]. Other ADRs associated with the HLA locus derived from GWAS are listed in Table 5.

Other genetic associations of HLA alleles with ADRs were recognised as early as the 1990s. For example, clozapine-induced agranulocytosis has been associated with haplotypes of *HLA-B38*, *HLA-DR4* and *HLA-DQ3* [126]. Recently, WES and GWAS methods have identified an association between clozapine-induced agranulocytosis/granulocytopenia and the *HLA-DQB1* and *HLA-B* alleles. This latest study by Goldstein and colleagues confirms previous studies [127–129] and provides further evidence to attribute the association to two amino acids, a glutamine at position 126 of *HLA-DQB1*, and a threonine at position 158 of *HLA-B* [130]. Furthermore, the authors used molecular docking to show that clozapine binds with high affinity to the *HLA-B*39* antigen-presenting peptide. Docking studies showed that clozapine had low affinity for *HLA-A* proteins. However, the authors noted that the set of variants identified may not be robust enough to identify a “safe-clozapine” group, as the sensitivity and specificity was low (0.36 and 0.89, respectively) [130].

With respect to thiopurine drugs (azathioprine or mercaptopurine), pancreatitis is an unpredictable ADR

reported to occur in up to 4 % of patients. A recent study identified an association between azathioprine and mercaptopurine-induced pancreatitis with two HLA alleles (*HLA-DQA1*02:01–HLA-DRB1*07:01* haplotype) in the class II region. It was reported that patients heterozygous for a specific SNP (rs2647087) had a 9 % risk of developing pancreatitis, whereas homozygotes had a 17 % risk [131].

HLA genotyping prior to the commencement of carbamazepine or allopurinol prescription is becoming an indispensable tool to prevent ADRs in patients of south-east Asian descent. In fact, several drugs now have updated safety labels, or boxed warnings recommending HLA genotyping prior to drug prescription [132–134]. CPIC guidelines are currently available for four drug-induced ADRs with HLA allele associations, allopurinol-*HLA-B*5801*, carbamazepine *HLA-B*1502*, abacavir-*HLA-B*5701* and phenytoin-*HLA-B*1502* [59].

6 ADRs Determined by Multiple Pharmacogenes

By grouping genotypes according to their kinetic, dynamic or immunological influences on the development of ADRs there is the danger of not seeing the complexity of the genetic influences that may lead to the development of one ADR. Recent studies have revealed some hitherto unexpected associations which identify more than one pharmacogene associated with a single ADR. As previously discussed, algorithms generated from warfarin dosing studies and GWAS have identified variants of *CYP2C9* and *VKORC* that demonstrate clinical utility in warfarin dosing [39].

Similarly, the association between *CYP2C9*3* and phenytoin-related severe cutaneous adverse reactions discovered by a GWAS is unlikely to be a complete

Table 5 Genome-wide association studies on ADRs associated with the HLA locus

Drug	Gene	ADR	Odds ratio
Ximelagatran [157]	<i>HLA-DRB180701</i>	DILI	4
Lumiracoxib [158]	<i>HLA-DQA1*0102</i>	DILI	5
Flucloxacillin [37, 159]	<i>HLA-B*5701</i>	DILI	81
	<i>HLA-DRB1*0107</i>		
	<i>HLA-DQB1*0103</i>		
Carbamazepine [125, 160]	<i>HLA-B*1502</i>	SJS	2504
	<i>HLA-A*3101</i>	Rash	17
Abacavir [120]	<i>HLA-B*5701</i>	Hypersensitivity syndrome	33
Sulfomethoxazole [161]	<i>HLA-B*3802</i>	SJS	76
Allopurinol [162]	<i>HLA-B*5801</i>	SJS	580

ADRs adverse drug reactions, DILI drug-induced liver injury, SJS Stevens-Johnson syndrome, HLA human leukocyte antigen

explanation because these reactions have immunological characteristics. In a study by Chung et al., an association between *HLA-B*1502* and phenytoin-related SJS/TEN was also shown. Chung et al. proposed that interplay between delayed clearance and the accumulation of reactive phenytoin metabolites due to genetic variants of DMEs together with individual immunogenicity might facilitate the development of phenytoin-related cutaneous adverse reactions [64]. The CPIC guidelines recommend at minimum, a 25 % reduction in the starting dose of phenytoin in CYP2C9 intermediate metabolisers, and at minimum, a 50 % reduction in phenytoin dose in poor metabolisers. Additionally, regardless of CYP2C9 status, the CPIC guidelines recommend using an anticonvulsant other than carbamazepine or phenytoin if the patient is a carrier of *HLA-B*1502* unless the benefits of treatment outweigh the risks of developing SJS/TEN [135].

Statins are another group of drugs known to have a number of pharmacogenes associated with altered drug disposition [136]. Statins are associated with myopathy, ranging in severity from asymptomatic increases in creatine kinase to myalgia or muscle weakness, to fatal rhabdomyolysis. Even the less serious forms can lead to non-adherence. However, members of this therapeutic group vary in their degree of lipophilicity and metabolic pathways. Recently, concerns have been raised about a disproportionate increase in the risk of myopathy with high-dose simvastatin. As discussed, for statins, *SLCO1B1* variants affecting SLCO influx transporter activity appear to be the most important genetic determinants for the development of myopathies, although the strongest evidence is for simvastatin [36, 101, 137]. There is also evidence of a contribution from polymorphisms in the *ABCB1* and *ABCG2* efflux transporter genes. Surprisingly for the statins, which are substrates for CYP3A4 and 5 enzymes, the evidence that variants of CYP3A4 and 5 may contribute to myopathy is not conclusive and may vary between statins. An interesting development comes from a study which reported potential protection against statin-related myopathy through a variant (rs1719247) of the gene for the glycine amidinotransferase (*GATM*) mitochondrial enzyme that catalyses the rate-limiting step in the biosynthesis of creatine. This hypothesis was tested in two groups of patients with a resulting meta-analysis odds ratio of 0.6 (95 % confidence interval 0.45–0.81) for the association of this *GATM* SNP with myopathy [138].

The finding that the strongest relationship between *SLCO1B1*5* and statin-related myopathy is for simvastatin is interesting and has practical implications as a study of clinical trial data and reported ADRs concluded that high-dose simvastatin (80 mg daily) carries a greater risk of fatal myopathy than 80 mg atorvastatin and lower doses of rosuvastatin. Because the SEARCH study did not

demonstrate a difference in the development of cardiovascular events between low- and high-dose simvastatin, the US Food and Drug Administration advised that simvastatin 80 mg daily should not be prescribed for patients who had not already tolerated it for a year, and that alternative agents should be used if lipid targets could not be reached with lower doses of simvastatin [139].

Recently, there have been reports of patients expressing autoantibodies to the HMG-CoA reductase enzyme which results in immune-mediated myositis and necrotising myopathy. In a recent study of patients with idiopathic inflammatory myopathy, the presence of anti-HMGCR antibodies was significantly ($P < 0.0001$) associated with statin exposure and *HLA-DRB1*11* [140].

Last, it is important to note that patients may sometimes carry novel variants that affect drug disposition. For example, a recent study described the whole-gene sequencing of *CYP2D6* and *CYP2C19* in a patient with severe adverse effects to venlafaxine or combined therapy with nortriptyline and fluoxetine. Chua et al. identified one novel mutation in the *CYP2D6* gene and three novel mutations in the *CYP2C19* gene, meaning the function of both genes was compromised hence providing an explanation for their reported adverse effects to anti-depressants [141]. This case reinforces the notion that rare genetic variants, often not previously reported, are likely to have more substantial phenotypic effects than common variants. Had traditional genetic testing solely of “known” common variants [142] been conducted in this case, the patient may have been incorrectly classified as having intermediate CYP2D6 metabolic status, and normal CYP2C19 function. Although this study was conducted with Sanger sequencing, NGS methods make the wider analysis of all relevant variation in pharmacogenes, beyond solely the common variants, a much more accessible prospect.

7 Limitations of Using Genome Sequencing in Clinical Decision Making

As pharmacogenetic testing makes its way into the clinical setting, it is not likely to entirely displace standard therapeutic drug monitoring or measurement of other phenotypic variables for narrow-therapeutic index drugs or drugs intended for the treatment of life-threatening diseases, such as azathioprine, phenytoin and warfarin. The concordance between genotype and phenotype is not absolute as the phenotype can be influenced by other factors such as drug–drug interactions, age, sex and co-morbid conditions [143]. For example, azathioprine-treated patients are at risk of dose-dependent myelosuppression. Neither pre-emptive TPMT genotyping nor phenotyping by enzyme activity in red blood cells can be regarded as sufficiently predictive

methods. However, they can be complementary [144]. Identifying patients with null TPMT activity through genotyping (*TPMT*3* and *TPMT*2*) can identify up to 95 % of such patients but this concordance rate drops to 86 % when classifying patients with intermediate enzyme activity. The results of genotyping can be used to make recommendations about azathioprine avoidance or dose reductions. However, it is important to note that the TPMT genotype is not the sole reason for increased risk of myelosuppression in patients taking azathioprine and determining TPMT enzyme activity and/or monitoring of 6-thioguanine nucleotide concentrations is still recommended [145, 146].

Phenoconversion, the transient conversion of genotypic extensive metabolisers to phenotypic poor metabolisers, is a phenomenon that needs to be considered in the context of genotype/phenotype concordance. Phenotypic changes may occur during the course of treatment because of co-prescription of other interacting drugs [147]. Phenoconversion has also been clinically associated with elevated cytokines present during inflammatory disease states. Shah and Smith have summarised probe studies showing rates of phenoconversion of genotypic *CYP2D6* EMs to phenotypic PMs for various *CYP2D6* inhibitors. They also discuss comorbidities and present evidence for conversion of genotypic EMs to phenotypic PMs because of reduced *CYP2D6*, *NAT2* and *CYP3A4* activity in some human immunodeficiency virus-infected patients, and reduced *CYP2C19* activity in studies of patients with liver disease or advanced cancer [147, 148].

8 Conclusion: Personal Genomes and the Future of Pharmacogenetic Testing

It is clear that application of new genetic technologies is enhancing our understanding of pharmacogenetics, and clarifying the genetic underpinnings of various ADRs. Although many ADRs are likely to be complex phenotypes, resulting from interactive effects of numerous genetic susceptibility alleles and environmental factors, a surprising range of ADRs appear to have a less complex genetic basis, and in many demonstrated cases, only one or a few genes appear to largely determine susceptibility to the specific ADR.

Clarification of genetic susceptibility factors for ADRs is clearly of fundamental importance, and such knowledge extends our understanding of pharmacology, genetics and immunology. Beyond such intrinsic value, however, will it ever be possible to routinely apply such knowledge to predict and prevent occurrence of ADRs? Given the rarity of many relevant gene variants, the relatively slow turnaround times and costs of conventional tests (if they are

even accessible), and often limited evidence base to support the clinical utility of predictive testing, it is unlikely that many of the genetic variants described in this review could currently be used to predict likelihood of an ADR (within the conventional testing paradigm). However, genomic medicine is moving apace, and the application of NGS methods, including WES and WGS, is being explored in many areas [45, 149–151] with a number of centres evaluating the prospective application of high-throughput pharmacogenetic analysis, with decision support, in the hospital setting [152, 153]. It is foreseeable that a single NGS test spanning all clinically actionable genotypes, including those relevant to drug responses, could be established [154, 155]. Such a test would need to be carried out only once, and it could include variants that are rare and therefore uneconomic to test for in a traditional diagnostic pathology setting. Establishment of such a genome-based test will require resolution of many problems, particularly relating to Mendelian disease, such as the management of incidental findings, the problem of assigning function to novel variants, and storage and management of the data. However, it is conceivable that such a test could provide information on all variants likely to impact on pharmacokinetics and ADRs, in an affordable format. An important interim step on the path to such a goal, therefore, is to build an extensive and robust evidence base for all genetic factors that may contribute to good or bad drug responses.

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Compliance with ethical standards

Conflict of interest The authors declare no conflicts of interest.

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