REVIEW ARTICLE

Cancer Science WILEY

Antitumoral RNA-targeted oligonucleotide therapeutics: The third pillar after small molecule inhibitors and antibodies

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Funding information

This work was partially supported by the Japan Agency for Medical Research and Development (AMED; 21ae0121031h0001), the Japanese Society of Gastroenterology, Sendai Kousei Hospital, the Japan Society for the Promotion of Science KAKENHI Grant (21 K08801), and the Princess Takamatsu Cancer Research Fund.

Abstract

Oligonucleotide therapeutics, drugs consisting of 10-50 nucleotide-long single- or double-stranded DNA or RNA molecules that can bind to specific DNA or RNA sequences or proteins, include antisense oligonucleotides (ASOs), small interfering RNAs (siRNAs), microRNAs (miRNAs), aptamers, and decoys. These oligonucleotide therapeutics could potentially become the third pillar of drug development. In particular, ASOs and siRNAs are advanced tools that are widely used to silence gene expression. They are used in clinical trials, as they have high specificity for target mRNAs and non-coding RNAs and limited toxicity. However, their clinical application remains challenging. Although chemotherapy has benefits, it has severe adverse effects in many patients. Therefore, new modalities for targeted molecular therapy against tumors, including oligonucleotide therapeutics, are required, and they should be compatible with diagnosis using next-generation sequencing. This review provides an overview of the therapeutic uses of ASOs, siRNAs, and miRNAs in clinical studies on malignant tumors. Understanding previous research and development will help in developing novel oligonucleotide therapeutics against malignant tumors.

KEYWORDS

antisense oligonucleotide, drug delivery, microRNA, oligonucleotide therapeutics, small interfering RNA

INTRODUCTION 1 |

Oligonucleotide therapy involves the use of drugs consisting of single-stranded DNA or RNA that can bind to specific sequences of DNA, RNA, or protein and inhibit gene expression or intercept protein functions. Oligonucleotide therapeutics include antisense oligonucleotides (ASOs), small interfering RNAs (siRNAs), microRNAs (miRNAs), aptamers, and decoys. Antisense oligonucleotides and siRNAs are widely used advanced tools to silence gene expression because of their high specificity and limited toxicity. Oligonucleotides are even suitable for targets that are not druggable via other therapeutic modalities; thus, oligonucleotide therapeutics have the potential to become the third pillar of drug development.

The advantages of oligonucleotide therapeutics include the simple design of the drug constructs based only on the genomic sequences of target genes, and they require less time for development. However, their applications in clinical studies are limited because of the off-target effects of ASO and siRNA sequences and poor

Abbreviations: ASO, antisense oligonucleotide; AGO2, argonaute 2; DDS, drug delivery system; HCC, hepatocellular carcinoma; LNP, lipid nanoparticle; miRNA, microRNA; NSCLC, non-small cell lung cancer; PDAC, pancreatic ductal adenocarcinoma; PLGA, poly (lactic-co-glycolic) acid; RISC, RNA-induced silencing complex; SSO, splice switching oligonucleotide; VEGF, vascular endothelial growth factor; SNALP, stable nucleic acid lipid particle.

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stability due to the degradation by ribonucleases when injected systemically. They also activate the innate immune system via Toll-like receptors. ASO-mRNA interactions depending on mRNA structure, thermodynamic stability, and hybridization site position have been well understood; however, design methods of ASO sequence have not been established. Design methods for the siRNA sequence are important to reduce potential off-target effects in siRNA, and the homology of the seed region of siRNA should be minimized to the 3' UTR of non-target mRNA. Chemical modifications, such as the introduction of phosphorothioate backbones and sugar-modified nucleic acids, including 2-O-methyl, 2-O-methoxyethyl, 2-flouro, locked nucleic acid (LNA), and bridged nucleic acids (BNA), increase not only resistance to nuclease degradation and avoid immunologic reactions but also prevent toxicity.¹ Therapeutic oligonucleotides are filtered by the kidneys because of their small size, resulting in poor accumulation in their target sites. Furthermore, ASOs of high concentrations can penetrate the lipophilic cell membranes. However, siRNAs cannot completely diffuse across them. Drug delivery systems (DDSs) for oligonucleotides play an important role in overcoming these difficulties (Figures 1 and 2). After the penetration of ASOs and siRNAs into the cell membrane, they must escape from endosomes to reach their target RNAs in the cytosol. siRNAs are loaded onto Argonaute2 (Ago2), which is a component of RNA-induced silencing complexes (RISCs) (Figure 2).^{1,2} Although ASOs and siRNAs possess considerable therapeutic potential, complete remission cannot be achieved with only a single ASO or siRNA, as cancers and tumors are heterogeneous.

Herein, we focused on ASO and siRNA drugs currently used to treat malignant tumors, mainly in clinical trials. Understanding oligonucleotide therapeutics will help develop novel therapeutic strategies against tumors.

2 | ANTITUMORAL ANTISENSE OLIGONUCLEOTIDES

Antisense oligonucleotides are 12–25 nucleotide-long singlestranded DNA molecules. They modulate the function of their RNA targets via several mechanisms, such as RNase H-mediated degradation, mRNA modification, and miRNA inhibition.³

Antisense oligonucleotides are classified by their mechanisms of action into (a) gapmers, which are composed of DNA antisense with chemically modified RNA segments on both sides of the sequence, and act as substrates for RNase H (Figure 3A); (b) RNase Hindependent splice switching oligonucleotides (SSOs), which bind to pre-mRNAs and disrupt their recognition by splicing factors⁴; and (c) modified ASOs, which inhibit miRNA function.⁵ The ASO drugs used to treat malignant tumors in clinical trials are as follows (Table 1).

AZD9150 (danvatirsen) is an STAT3 ASO. In heavily pretreated patients with diffuse large B-cell lymphoma (DLBCL), AZD9150 was well tolerated and demonstrated efficacy.⁶ In a phase 1b trial of patients with relapsed/refractory DLBCL, AZD9150 plus durvalumab helped achieve the primary endpoint and was well tolerated; however, its antitumor activity was limited.⁷

BP1001 is an ASO for liposomal growth factor receptor-bound protein 2 (Grb2). In a phase 1/1b trial of patients with relapsed/re-fractory hematological malignancies, BP1001 exhibited antitumor activity in combination with low-dose cytarabine.⁸

EZN-4176 binds to the hinge region (exon 4) of androgen receptor mRNA. In a phase 1 study of patients with castration-resistant prostate cancer (CRPC), EZN-4176 activity was minimal.⁹

GRN163L (imetelstat) is a telomerase inhibitor targeting the template region of functional telomerase RNA subunits. In a phase 2 study of patients with intermediate-2 or high-risk myelofibrosis relapsed/refractory to Janus-associated kinase inhibitors, GRN163L improved the symptom response rate and bone marrow fibrosis.¹⁰ In a phase 2 trial of patients with low-risk myelodysplastic syndromes, GRN163L resulted in a meaningful and durable transfusion independence rate.¹¹ In a phase 2 study of patients with advanced non-small cell lung cancer (NSCLC), GRN163N did not improve progressionfree survival (PFS). However, there was a trend toward improvement in the median PFS and overall survival (OS) in patients with short telomeres.¹² In a phase 2 study of children with recurrent central nervous system tumors, GRN163N demonstrated intratumoral and peripheral blood mononuclear cell target inhibition, although the regimen was toxic.¹³

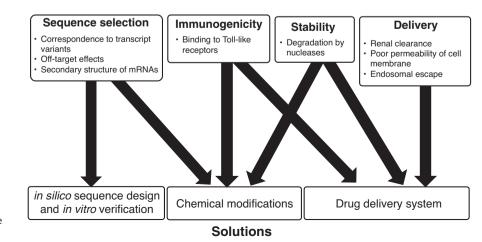


FIGURE 1 Strategies to overcome difficulties associated with oligonucleotide therapeutics

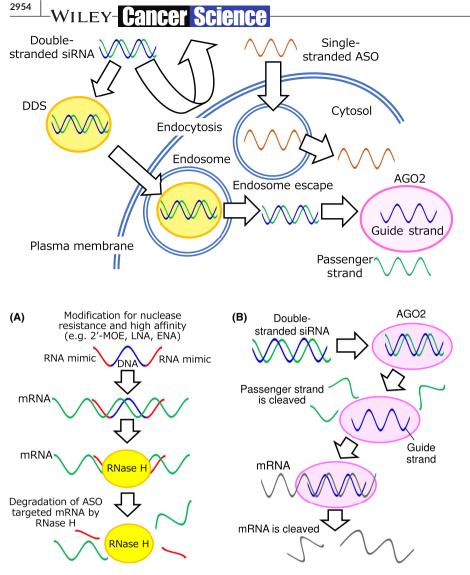


FIGURE 2 Drug delivery system (DDS) for oligonucleotide therapeutics

antisense oligonucleotides (ASOs) and small interfering RNAs (siRNAs). (A) Gapmer-type ASO binds to the target RNA and forms the RNA/ DNA heteroduplex in the central gap region, and RNase H cleaves the RNA strand of the heteroduplex. (B) Effect of siRNA. siRNA is composed of two complementary strands, the passenger strand and the guide strand. The latter binds to AGO2, inducing the degradation of complementary mRNA

FIGURE 3 Modes of action of

GTI-2040 is an ASO of the R2 subunit of ribonucleotide reductase (RNR). The prostate-specific antigen (PSA) response rate in a phase 2 trial of GTI-2040 plus docetaxel/prednisone for patients with CRPC met the minimum phase 2 criteria for further enrolment.¹⁴ In another phase 2 trial of patients with previously treated NSCLC, the activity of GTI-2040 plus docetaxel was not superior to that of docetaxel alone.¹⁵ In a phase 1 study of patients with advanced solid tumors, although GTI-2040 plus gemcitabine showed no clear evidence of antitumor activity, several patients had prolonged stable disease (SD).¹⁶

IMV-001 is an ASO against type-1 insulin-like growth factor receptor (IGF-1R). Both IGF ligands and their receptor IGF-1R are overexpressed in several tumors. IGFs stimulate the proliferation and prevent the apoptosis of cancer cells. Thus, IGF signaling is also critical in tumor dissemination in addition to carcinogensis. Previously, we reported that anti-IGF-1R therapies may be a potential treatment strategy for several cancers.^{17,18} In a phase 1 trial of patients with newly diagnosed glioblastoma, IGV-001, which combines autologous tumor cells and IMV-001, was well tolerated. The PFS of patients on IGV-001 was longer than that of

patients in the standard of care arms, and the immune system was stimulated by $\mathrm{IGV}\text{-}001.^{19}$

ISIS 3521 inhibits the expression of protein kinase C-alpha (PKC α), which presents increased expression in tumor tissues and is implicated in malignant transformation, proliferation, and anti-apoptosis. Inhibition of PKC α has been reported to arrest the growth of several tumors. ISIS 3521 has demonstrated anti-tumor activity in a phase 2 study of patients with relapsed low-grade NHL.²⁰ ISIS 3521 plus cisplatin/gemcitabine exhibited antitumor activity in a phase 2 trial of patients with advanced NSCLC.²¹ However, neither *ISIS 3521* nor *ISIS 5132*, which inhibits the expression of PKC-alpha and Raf-1, showed clinically significant single-agent antitumor activity in a phase 2 trial of patients with chemotherapy-naive CRPC²² or those with untreated colorectal cancer (CRC).²³

OGX011 (custirsen) inhibits the production of clusterin, the secretory isoform of which protects the cancer cells from apoptosis induced by cellular stress, such as chemotherapy, radiotherapy, or androgen/estrogen depletion. Researchers have revealed that clusterin promotes cell survival via the inhibition of BAX and activation of the PI3K pathway or ERK 1/2 signaling in several cancers. Inhibition

TABLE 1 List of antisense oligonucleotides (ASOs) in clinical trials

(Continues)

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2950	5	WI	LI	EY	′- [6	n(6		C	er	ice					
	NCT#	NCT01188187	NCT01578655	NCT01120470	NCT02423590	NCT01829113 NCT01780545	NCT01454089	NCT02564614	NCT00285103		NCT00363974	NCT00882869 NCT00558545 NCT00557596	NCT00074737	NCT00030641 NCT00017602 NCT00016263 NCT00024440 NCT01200342	NCT00543205	NCT00668499	
	Development company/University	Achieve Life Sciences		British Columbia Cancer Agency				Hoffmann-La Roche	Santaris Pharma A/S		Aegera Therapeutics		Eleos	M.D. Anderson Cancer Center		University of Southern California	
	Stage	Phase III		Phase II				Phase I	Phase I/II		Phase II	Multiple studies terminated	Phase II, Terminated	Phase II/III, Terminated		Phase I/II, Terminated	
	Application	Cancer		Cancer				Hepatocellular carcinoma	Chronic lymphocytic leukemia		Cancer		Myelodysplastic syndromes/ Leukemia/Lymphoma	Cancer/Waldenstroms Macroglobulinemia		Mesothelioma	
	Target	Clusterin		HSP27				HIF-1a	Bcl-2	inical trials	XIAP		P53	Bcl-2		VEGF	
TABLE 1 (Continued)	Development product name	OGX-011/Custirsen		OGX-427/Apatorsen				RO7070179	SPC2996	Discontinued and terminated clinical trials	AEG35156		EL625/Cenersen/Aezea ^a	G3139/Oblimersen/ Genasense ^b		VEGF-AS/Veglin ^b	:

Note: Termination reasons are (a) lack of funding and (b) withdrawn from business.

of clusterin in cancer cells induces a significant reduction in cell growth and apoptosis; additionally, it increases the effectiveness of chemo drugs via p53 activation. In a phase 3 trial of patients with metastatic CRPC, OGX011 plus cabazitaxel/prednisone provided no survival benefits.²⁴ In a phase 1/2 trial of patients with untreated advanced NSCLC, 31% patients on OGX011 plus gemcitabine/ platinum showed an overall response, and the 2-year survival rate of the patients with low clusterin levels presented longer median survival.²⁵ In a phase 2 trial of patients with metastatic breast cancer, OGX011 plus docetaxel was well tolerated and clinical activity was observed, although the number of responses to meet the criteria for the next stage was insufficient.²⁶

OGX-427 (apatorsen) is an ASO for heat shock protein 27 (HSP27). In a phase 2 study of patients with CRPC, OGX-427 plus prednisone failed to alter the proportion of patients without disease progression compared with prednisone alone but significantly decreased PSA.²⁷ In another phase 2 trial of patients with metastatic pancreatic ductal adenocarcinoma (PDAC) in the first-line setting, OGX-427 plus chemotherapy did not improve outcomes, although a trend toward prolonged PFS and OS in patients with high baseline serum HSP27 level was observed.²⁸ In a phase 2 trial for patients with untreated metastatic non-squamous NSCLC, OGX-427 plus carboplatin/pemetrexed, although well tolerated, did not improve outcomes.²⁹

3 | ANTITUMORAL SMALL INTERFERING RNAS

RNA interference is a conserved biological response that causes sequence-specific gene silencing via 21-bp dsRNAs known as siR-NAs. siRNAs are composed of two complementary strands, passenger (sense) and guide (antisense) strands. The guide strand binds to AGO2 and is activated through the RISC, leading to the degradation of complementary mRNA⁴ (Figure 3B). The siRNA drugs used to treat malignant tumors, mainly in clinical phases, are as follows (Table 2).

ALN-VSP02 is a lipid nanoparticle formulation containing two chemically modified siRNAs against kinesin spindle protein and vascular endothelial growth factor (VEGF), with stable nucleic acid lipid particles (SNALPs) as DDSs and is introduced intravenously. In a phase 1 trial for treating hepatocellular carcinoma (HCC) and other tumors with liver involvement, 8.3% of patients receiving doses ≤ 0.4 mg/kg presented SD for at least 2 months and 46.6% of patients receiving doses ≥ 0.7 mg/kg presented SD or PR. In particular, human tissue samples showed RNAi-mediated target mRNA cleavage.³⁰

ARO-HIF2 is composed of HIF2 siRNA targeting HIF2 α and uses a proprietary targeted-RNAi molecule (TRiM) delivery platform, which comprises targeting ligands, such as RGD motifs designed to transport siRNA to cancer cells. ARO-HIF2 use resulted in HIF2 α mRNA knockdown, tumor growth inhibition, and OS improvement in a xenograft model of clear cell renal cell carcinoma, frequently involving

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the inactivation of the von Hippel-Lindau tumor suppressor, leading to the accumulation of HIFs.³¹ Arrowhead Pharmaceuticals reported that seven of nine tumor samples had a low HIF2 α level, and one patient achieved partial response with tumor shrinkage of approximately 65% in a phase 1 study.³²

Atu027 is a liposomal siRNA formulation targeting human PKN3, which acts as a Rho effector downstream of phosphoinositide 3-kinase signaling, with AtuPLEX comprising three types of lipids.³³ In a phase 1 study of patients with advanced solid tumors, 41% patients had SD for at least 8 weeks.³⁴ Combination of Atu027 with gemcitabine for the treatment of advanced PDAC in a phase 1b/2a study was safe, and twice-weekly Atu027 dosing was observably superior to the once-weekly regimen.³⁵

EPHARNA, an EphA2 siRNA incorporated into 1,2-dioleoyl-snglycero-3-phosphocholine (DOPC) nanoliposomes, has been highly effective in reducing the EphA2 level in ovarian cancer cells in vivo.³⁶ Patients with advanced recurrent solid tumors were enrolled and treated in the dose-escalation phase (Table 2).³⁷

iExosomes, exosomes expressing CD47, purified from human fibroblast cultures and electroporated to introduce the siRNA targeting KRAS^{G12D}, most prevalent in PDAC, were used in a preclinical trial.^{38,39} In a phase 1 trial, participants with metastatic PDAC with KRAS^{G12D} were treated with mesenchymal stromal cell-derived exosomes with iExosomes (Table 2).

NBF-006 is an lipid nanoparticle (LNP) formulation delivering an encapsulated siRNA that inhibits the expression of glutathione-S-transferase P (GST- π). GST- π weakens the efficacy of chemotherapeutic drugs by promoting their in vitro extrusion and functions as an MAPK-pathway inhibitor to prevent the apoptosis of cells with *KRAS* and *BRAF* mutations. NBF-006 significantly inhibits tumors in *KRAS*-mutant animal models of NSCLC.⁴⁰ Patients with progressive/metastatic NSCLC, PDAC, or CRC will be prescribed dose escalation, and those with previously treated *KRAS*-mutated NSCLC under a high dose will be subjected to dose expansion in a clinical trial (Table 2).

NU-0129 is a gold-base spherical nucleic acid nanoconjugate siRNA against BCL2-like protein 12 (BCL2L12), an anti-apoptotic factor expressed in glioma cells. NU-0129 can permeate the blood-brain and blood-tumor barriers and reach glioblastoma cells. In an early phase 1 study of patients with recurrent glioblastoma, followed by tumor resection, NU-0129 uptake into glioma cells correlated with the reduction in tumor-associated BCL2L12 expression.⁴¹

siG12D-LODER, a miniature biodegradable matrix, is a copolymer of high-molecular weight poly (lactic-co-glycolic) acid (PLGA) encompassing a novel siRNA targeting KRAS^{G12D} and all additional G12 mutations.⁴² It is placed in PDAC using an endoscopic ultrasound biopsy procedure. In an open-label phase 1/2a study, siG12D-LODER plus gemcitabine or modified FOLFIRINOX was well tolerated and safe, and it demonstrated potential efficacy in patients with locally advanced PDAC.⁴³

SRN-14 is a *PRDM*14-specific double-stranded RNA/DNA chimera combined with a novel branched PEGylated polyaminoacid-based intravenous DDS.⁴⁴ PRDM14 is expressed at considerable levels in

Development product name	Target	DDS	Application	Stage	Development company/hospital	NCT# and others
Ongoing clinical trials						
ALN-VSP02	KSP/VEGF	SNALP	Hepatocellular carcinoma	Phase I	Alnylam Pharmaceuticals	NCT00882180
						NCT01158079
APN 401	Cbl-b	none (in vitro electroporation)	Solid tumors	Phase I	APEIRON Biologic	NCT03087591
ARO-HIF2	$HIF2\alpha$	Undisclosed (TRiM [™] platform)	Clear cell renal cell carcinoma	Phase I	Arrowhead Pharmaceuticals	NCT04169711
Atu027	PKN3	AtuPLEX	Pancreatic carcinoma	Phase lb/lla	Silence Therapeutics	NCT00938574
						NCT01808638
EPHARNA	EphA2	DOPC	Solid tumors	Phase I	M.D. Anderson Cancer Center	NCT01591356
iExosomes	KRAS ^{G12D}	Exosome	Pancreatic Cancer	Phase I	M.D. Anderson Cancer Center	NCT03608631
NBF-006	GST-π	LNP	NSCLC	Phase I	Nitto BioPharma	NCT03819387
NU-0129	BCL2L12	Gold core SNP	Recurrent glioblastoma/ gliosarcoma	Early Phase I	Northwestern University	NCT03020017
siG12D-LODER	KRAS ^{G12D}	LODER (bio-degradable matrix)	Pancreatic cancer/pancreatic ductal carcinoma	Phase II	Silenseed	NCT01676259
SRN-14	PRDM14	PEG-PLO	Breast cancer	Phase I	The Cancer Institute Hospital Of JFCR	(jRCT2031190181)
STNM01	CHST15		Pancreatic cancer	Phase IIa	Keio University	(jRCT2031190055)
STP705	TGF-β1/COX-2	Polypeptide nanoparticle (PNP)	Hepatocellular carcinoma / isSCC, Bowen's disease	Phase I/II	Sirnaomics	NCT04676633 NCT04293679
						NCT04844983
SXL01	AR	Undisclosed	Prostate cancer	Phase I	Institut Claudius Regaud	NCT02866916
TKM-080301	PLK1	SNALP	Hepatocellular carcinoma	Phase I/II	Arbutus Biopharma	NCT02191878
						NCT01262235
Discontinued and terminated clinical trials	nical trials					
Development product name	Target	DDS	Application	Stage	Development company/hospital	NCT# and others
CALAA-01	RRM2	CAL101/AD-PEG/AD-PEG-Tf	Solid tumors	Phase I, terminated	Calando Pharmaceuticals	NCT00689065
DCR-MYC ^a	c-Myc	LNP	Hepatocellular carcinoma	Phase Ib/2, terminated	Dicerna Pharmaceuticals	NCT02314052
Nek2 siRNA	Nek2	Atelocollagen	Pancreatic Cancer	Phase I, terminated	Nagoya University	(UMIN000016330)
TDM-812 ^b	RPN2	A6K	Breast cancer	Phase I, terminated	St. Luke's International Hospital	(jRCT2031200057)

Note: Termination reasons are (a) withdrawn from business and (b) COVID-19 pandemic.

TABLE 2 List of siRNAs in clinical trials

several cancers but is not expressed in normal tissues; it confers stem cell-like properties to cancer cells.^{45,46} The use of PEGylated poly-L-ornithine, a novel DDS, leads to the accumulation of the siRNA in target cancer tissues, rather than the liver and spleen, owing to its narrow and monodisperse size distribution. This siRNA drug reduced the size of inoculated tumors, suppressed distant metastasis, relieved chemo-drug resistance, and improved prognosis in nude mice.⁴⁴ Our package of pre-clinical tests and production of an investigational new drug using GMP-grade active pharmaceutical ingredients has been approved by PMDA. A physician-initiated phase 1 trial of patients with triple-negative breast cancer has been started (Table 2).

STNM01 selectively inhibits the expression of carbohydrate sulfotransferase 15, an enzyme that promotes tumor invasion and correlates with a poor prognosis in PDAC.⁴⁷ Repeated endoscopic ultrasonography-guided fine-needle injection of STNM01 in PDAC as a second-line treatment was safe and feasible in a phase 1/2a study. The 6-month survival rate of patients treated with 10,000 nM STNM01 was 83.3%.⁴⁸

STP705 is composed of siRNA oligonucleotides targeting TGFB1 and COX-2 mRNAs formulated with nanoparticles containing a unique histidine-lysine copolymer peptide.^{49,50} Clinical trials for STP705 with intravenous or intralesional administration in patients with advanced/metastatic solid tumors in phase 1 or cutaneous squamous cell carcinoma (in situ) in phase 2 are currently underway.

TKM-080301 is an SNALP formulation against Polo-like kinase 1, a serine/threonine kinase associated with poor prognoses. Phase 1/2 studies for adrenocortical cancer and advanced HCC have been conducted; preliminary antitumor efficacy has been observed.^{51,52}

4 | CONCLUDING REMARKS

Antisense oligonucleotides and siRNAs are not commercially available for cancer treatment, and several problems discussed in the review impede their use in oligonucleotide therapy.

Oligonucleotide therapeutics must be based on biological engineering, such as nucleic acid chemistry, especially related to stability in vivo, and avoidance of off-target effects, focusing on DDS. Due to space limitations, we referred to recent advances and problems of DDS in nucleic acid medicine with an actual case of siRNA drugs for patients with hereditary transthyretin amyloidosis developed by Alnylam Pharmaceuticals. LNPs have been used as a DDS of patisiran targeting transthyretin mRNA via intravenous administration once every 2 weeks. LNPs accumulate in the liver in an apolipoprotein E-dependent manner. Later, vutrisiran was developed using enhanced stabilization chemistry technology for the modification of siRNA-conjugated GalNAc via subcutaneous administration once every 3 months. GalNAc binds to the liver-expressed asialoglycoprotein receptor 1 with high affinity. Therefore, drug efficacy of ligand conjugation with chemically modified siRNA is more effective than that of LNPs. However, the accumulation of siRNA drugs in target lesions except the liver has not been successful. Ligand-conjugated

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siRNAs or new types of DDS, such as PEGylated poly-L-ornithine, have the potential to solve this difficulty. Consequently, researchers will be able to overcome the existing problems regarding the application of oligonucleotide therapeutics in the clinical context.

AUTHOR CONTRIBUTIONS

H.T. is a supervisor, contributed to the concept, and wrote and edited the manuscript. Y.A. contributed to the concept and wrote and edited the manuscript. Y.S edited the figures and tables. K.I. is a supervisor and edited the manuscript. All authors reviewed and approved the final manuscript.

ACKNOWLEDGMENTS

We thank Editage (www.editage.jp) for English language editing.

CONFLICT OF INTEREST

Hiroaki Taniguchi has received research funding from NanoCarrier Co., Ltd. The other authors declare no potential conflicts of interest.

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How to cite this article: Taniguchi H, Suzuki Y, Imai K, Adachi Y. Antitumoral RNA-targeted oligonucleotide therapeutics: The third pillar after small molecule inhibitors and antibodies. *Cancer Sci.* 2022;113:2952-2961. doi: 10.1111/cas.15461