



# The effect of oxygen and carbon dioxide cross-sensitivity sensor error in the Eco Medics Exhalyzer D device on measures of conductive and acinar airway function

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Shareable abstract (@ERSpublications)

O<sub>2</sub> and CO<sub>2</sub> cross-sensitivity sensor error in the Exhalyzer D device significantly overestimates FRC and LCI in adults, consistent with infants and children. Importantly, there was a high degree of underestimation of S<sub>cond</sub>, but minimal impact on S<sub>acin</sub>. <https://bit.ly/3HcH3Tp>

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## Abstract

**Introduction** The multiple breath nitrogen washout (MBNW) test provides important clinical information in obstructive airways diseases. Recently, a significant cross-sensitivity error in the O<sub>2</sub> and CO<sub>2</sub> sensors of a widely used commercial MBNW device (Exhalyzer D, Eco Medics AG, Duernten, Switzerland) was detected, which leads to overestimation of N<sub>2</sub> concentrations. Significant errors in functional residual capacity (FRC) and lung clearance index (LCI) have been reported in infants and children. This study investigated the impact in adults, and on additional important indices reflecting conductive (S<sub>cond</sub>) and acinar (S<sub>acin</sub>) ventilation heterogeneity, in health and disease.

**Methods** Existing MBNW measurements of 27 healthy volunteers, 20 participants with asthma and 16 smokers were reanalysed using SPIROWARE V 3.3.1, which incorporates an error correction algorithm. Uncorrected and corrected indices were compared using paired t-tests and Bland–Altman plots.

**Results** Correction of the sensor error significantly lowered FRC (mean difference 9%) and LCI (8–10%) across all three groups. S<sub>cond</sub> was higher following correction (11%, 14% and 36% in health, asthma and smokers, respectively) with significant proportional bias. S<sub>acin</sub> was significantly lower following correction in the asthma and smoker groups, but the effect was small (2–5%) and with no proportional bias.

**Discussion** The O<sub>2</sub> and CO<sub>2</sub> cross-sensitivity sensor error significantly overestimated FRC and LCI in adults, consistent with data in infants and children. There was a high degree of underestimation of S<sub>cond</sub> but minimal impact on S<sub>acin</sub>. The presence of significant proportional bias indicates that previous studies will require reanalysis to confirm previous findings and to allow comparability with future studies.

## Introduction

The multiple breath nitrogen washout (MBNW) test assesses ventilation heterogeneity, often increased in respiratory diseases such as asthma and COPD [1, 2]. The test involves measurement of the concentration of an inert tracer gas of interest (*i.e.* N<sub>2</sub>) in expired breath, which is progressively washed out by inhalation of 100% oxygen over a series of tidal breaths. Analysis of the exhaled N<sub>2</sub> concentration *versus* exhaled volume of each breath allows calculation of a global measure of heterogeneity (lung clearance index, LCI), heterogeneity arising predominantly within the convection-dependent airways (S<sub>cond</sub>), heterogeneity arising in the more peripheral, diffusion-dependent acinar airways (S<sub>acin</sub>) and functional residual capacity (FRC) [3].



MBNW has been extensively used as a research tool in various respiratory diseases, particularly in obstructive airways diseases. With the availability of commercially available devices and international guidelines, it has emerging utility in clinical care, especially in cystic fibrosis (CF). The LCI has proved to be a sensitive marker of early disease progression in children with CF and has also been included as a primary end-point in several therapeutic trials [4, 5]. MBNW has yet to be a part of clinical management in other lung diseases, but studies have shown utility of  $S_{acin}$  and  $S_{cond}$  in guiding up- versus down-titration of treatment [6, 7] and sensitivity to detect improvement in symptoms in response to treatment with high-dose inhaled corticosteroid [8] or monoclonal antibody therapy in asthma [9]. These indices are also sensitive markers of small airway dysfunction and its reversibility in smokers with normal spirometry [10, 11].

Recently, the presence and impact of a critical sensor error in a commercial device used to perform MBNW (Exhalyzer D, Eco Medics AG, Duernten, Switzerland) has been reported in infants and older children [12, 13]. This MBNW device relies on accurate measurements from  $O_2$  and  $CO_2$  sensors to calculate  $N_2$  concentration indirectly. It was found that both sensors exhibit cross-sensitivities, *i.e.* the  $O_2$  sensor estimation is dependent on  $CO_2$  concentrations and vice versa, such that as the washout progresses,  $O_2$  and  $CO_2$  concentrations are underestimated and  $N_2$  concentrations increasingly overestimated, prolonging the washout. This has been shown to result in significant errors of up to 12% and 15–19% in the assessment of FRC and LCI, respectively [12–14]. A software update (V 3.3.1) has now been released by the manufacturer with an implemented correction algorithm, which recalculates the  $N_2$  concentration trace.

The magnitude of effect of this sensor error correction on these MBNW indices in adults is currently unknown, and to date there has been no description of the effects on additional important indices such as  $S_{cond}$  and  $S_{acin}$ . This is essential to understand the validity of changes reported in previously published studies. Therefore, this study aimed to determine the effect of the  $CO_2$  and  $O_2$  sensor correction on MBNW parameters in both health and disease by examining three different adult cohorts: 1) healthy volunteers, 2) patients with asthma, and 3) long-term smokers. Secondly, we investigated whether correction of the sensor error affected the within- and between-session repeatability of MBNW parameters in health. Some of the data from the healthy and asthma participants have been previously published [15, 16].

## Methods

### Research participants

In this study we retrospectively reanalysed MBNW measurements from healthy volunteers, participants with asthma and long-term smokers that were recruited from Royal North Shore Hospital and the Woolcock Institute of Medical Research. Healthy participants were current nonsmokers with a smoking history of <10 pack-years and no respiratory disease. Patients with asthma had a physician diagnosis of asthma and were current nonsmokers with a smoking history of <10 pack-years. Long-term smokers were current smokers with at least a 10 pack-year smoking exposure; these data were collected as part of a larger clinical trial (Australian Clinical Trials Registration Number (ACTRN): 12616001208493) in smokers with normal post-bronchodilator (BD) spirometry or GOLD Stage 1 (post-BD  $FEV_1/FVC < 0.7$  but  $FEV_1 > 80\%$  predicted), with the additional inclusion criteria of abnormal  $S_{cond}$  and/or  $S_{acin}$  as assessed by z-score  $< -1.64$  using published predicted equations [11]. The original studies were approved by the local Human Research Ethics Committee (Northern Sydney Local Health District, LNR/16/HAWKE/11 and HREC/15/HAWKE/489).

### Standard pulmonary function testing

After obtaining written informed consent, all participants underwent conventional lung function testing including spirometry, plethysmography and diffusing capacity for carbon monoxide ( $D_{LCO}$ ). These were performed according to American Thoracic Society (ATS)/European Respiratory Society (ERS) criteria. All parameters were expressed as percent predicted using published predicted equations [17, 18].

### MBNW testing

In the original studies, after a period of at least 10 min of rest, the healthy and asthmatic participants underwent MBNW testing by two commonly used breathing protocols: controlled and free-breathing protocols, in randomised order (assigned by a computer-based random number generator); the group of smokers performed MBNW using the controlled breathing protocol only. A subset of healthy participants returned for testing within 3 months of their first visit, in which all measurements were repeated in the same order. Both controlled and free-breathing protocols were included as several published studies showed that indices of conductive and acinar ventilation heterogeneity were not comparable between breathing protocols [15, 16, 19].

MBNW was performed using the Exhalyzer D with SPIROWARE V 3.1.6 (Eco Medics AG, Duernten, Switzerland). Both the controlled breathing and free-breathing protocols were performed according to ERS consensus and have been previously described in detail [15, 20]. In brief, after establishing a stable breathing pattern and end-expiratory lung volume (EELV), nitrogen washout during 100% O<sub>2</sub> inhalation was commenced. The controlled breathing protocol required participants to breathe at a RR between 8 and 12 breaths.min<sup>-1</sup> and tidal volume (V<sub>T</sub>) between 0.95 and 1.3 L following visual feedback until the N<sub>2</sub> concentration decreased to 1/40th of the starting end-expiratory N<sub>2</sub> concentration. In the free-breathing protocol, participants were encouraged to adopt relaxed tidal breathing but advised to adjust tidal volumes if insufficient expired N<sub>2</sub> phase III slope was observed; calculated S<sub>cond</sub> and S<sub>acin</sub> were adjusted for V<sub>T</sub>, as per consensus guidelines [20]. At least three technically acceptable trials with FRC values <10% of the mean were obtained for each breathing protocol.

### MBNW analysis

The effect of the sensor error was assessed by comparing the parameters of standard (uncorrected) analysis in SPIROWARE V 3.1.6 with corrected parameters reanalysed in new SPIROWARE V 3.3.1, applying the sensor error correction algorithm. The correction algorithm has been described extensively before in SANDVIK *et al.* [13] and WYLER *et al.* [12]. Briefly, the algorithm was derived using Exhalyzer D sensors and mass spectrometer to measure the O<sub>2</sub> and CO<sub>2</sub> concentrations of a wide range of well-defined technical gas mixtures under various conditions, and used a polynomial function to correct for the errors observed. System settings, delay correction and quality control remained unaltered (*i.e.* selection of breaths and any correction made to phase III slopes were consistent between both versions).

### Statistical analysis

Statistical analysis was carried out with GraphPad Prism 8 (GraphPad Software Inc., La Jolla, CA, USA). All data are expressed as mean±SD, unless otherwise stated. Differences between uncorrected and corrected parameters were examined using paired Student's t-tests and Pearson's correlation. To investigate bias, we generated Bland–Altman plots as the difference (corrected minus uncorrected) *versus* the average, plotting the mean difference and 95% limit of agreement (95% LoA). We performed linear regression of the difference *versus* average to determine any proportional bias.

To make clear the consequence of the correction of the sensor error on prior studies, we present these results as the change in the outcome parameters of existing studies that result from this correction, *i.e.* with the uncorrected parameters as reference (for example, the sensor error results in expired N<sub>2</sub> being erroneously high towards the end of the washout). This in turn causes an overestimation in FRC. Our results are presented in the context of how FRC is altered when the sensor error is corrected, in this case a reduction in calculated FRC.

Within-session variability was expressed as the coefficient of variation (CoV) calculated as the ratio of the SD to the mean from three separate trials. To determine between-session variability, we calculated the difference (visit 2 minus visit 1) and 95% LoA separately for corrected and uncorrected parameters. We also report the between-session intra-class correlation coefficients (ICC), calculated using a two-way mixed effects ANOVA model based on absolute agreement, multiple measurements (k=3). A p-value below 0.05 was considered statistically significant.

## Results

### Patient demographics

We reanalysed MBNW measurements from 27 healthy volunteers, 20 asthmatic patients and 16 long-term smokers. The patients' demographics and lung function are summarised in table 1. The healthy volunteers were slightly younger than the asthmatic patients and smokers. The group of smokers had a mean±SD smoking history of 19.3±8.6 pack-years. Both plethysmography and MBNW-derived FRC were comparable across the groups, whereas MBNW indices of heterogeneity were significantly higher in the asthma and smoker groups compared to health, and higher in the smokers compared to asthma (in terms of S<sub>cond</sub> and S<sub>acin</sub>).

### Effects of sensor correction on MBNW parameters

Correction of CO<sub>2</sub> and O<sub>2</sub> sensor error had a significant effect on all MBNW parameters measured by the controlled breathing protocol (table 2). Following correction, mean (95% CI) FRC and LCI decreased by 7.8 (7.0–8.4)% and 9.8 (8.8–10.8)%, respectively, in health. Similar decreases in FRC and LCI were observed in asthma and long-term smokers. While uncorrected FRC values measured by MBNW were comparable to FRC measured by body plethysmography, corrected FRC values were significantly lower

TABLE 1 Participant demographics and lung function

	Health	Asthma	Smokers
<b>Participants (n)</b>	27	20	16
<b>Males/females (n)</b>	16/11	4/16	12/4
<b>Age (years)</b>	34 (19–65)	43 (24–78) <sup>¶</sup>	43 (27–54) <sup>+</sup>
<b>BMI (kg·m<sup>-2</sup>)</b>	24.6±3.4	25.5±4.3	27.4±6.4
<b>Smoking history (pack-years)</b>			19.3±8.6
<b>Lung function</b>			
FEV <sub>1</sub> (% predicted)	105.0±14	89.2±19 <sup>¶</sup>	98.8±11 <sup>§</sup>
FVC (% predicted)	105.0±15	97.7±20	105.5±11
FEV <sub>1</sub> /FVC (%)	83±6.0	74±8.4 <sup>¶</sup>	76±6.0 <sup>+</sup>
TLC (% predicted)	101±23	103±18	108±11
FRC <sub>pleth</sub> (% predicted)	97.0±27	97.0±17	109.1±19
D <sub>LCO</sub> (% predicted)	102±13	99±15	90±12 <sup>+</sup>
FRC <sub>MBNW</sub> (L) <sup>#</sup>	2.94±0.89	2.62±0.72	2.93±0.84
LCI (TO) <sup>#</sup>	6.49±0.47	7.23±1.04 <sup>¶</sup>	7.05±0.82 <sup>+</sup>
S <sub>cond</sub> (L <sup>-1</sup> ) <sup>#</sup>	0.019±0.011	0.033±0.018 <sup>¶</sup>	0.038±0.019 <sup>§</sup>
S <sub>acin</sub> (L <sup>-1</sup> ) <sup>#</sup>	0.056±0.020	0.086±0.049	0.095±0.037 <sup>+,§</sup>

Data are presented as mean±SD or median (interquartile range) unless otherwise stated. BMI: body mass index; D<sub>LCO</sub>: diffusing capacity of the lung for carbon monoxide; FEV<sub>1</sub>: forced expiratory volume in 1 s; FRC: functional residual capacity; FVC: forced vital capacity; LCI: lung clearance index; MBNW: multiple breath nitrogen washout; S<sub>acin</sub>: distal/intra-acinar airways ventilation heterogeneity; S<sub>cond</sub>: conducting airways ventilation heterogeneity; TLC: total lung capacity; TO: lung turnover. <sup>#</sup>Corrected, controlled breathing protocol values used. <sup>¶</sup>p<0.05 health versus asthma. <sup>+</sup>p<0.05 health versus smokers. <sup>§</sup>p<0.05 asthma versus smokers.

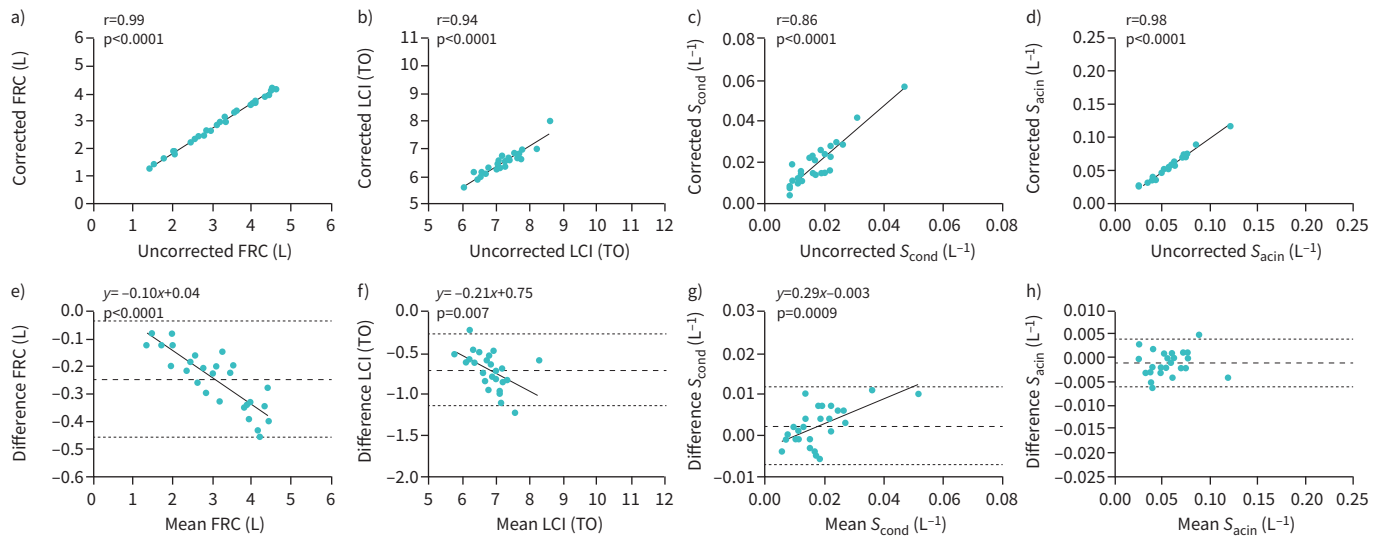
compared to FRC<sub>pleth</sub> in all three groups (mean±SD differences of  $-0.26\pm0.47$  L (p=0.008),  $-0.26\pm0.37$  L (p=0.006) and  $-0.64\pm0.71$  L (p=0.003) in health, asthma and smokers, respectively).

Notably, mean (95% CI) S<sub>cond</sub> significantly increased by 11.1 (–1.4–23.5)%, 14.0 (4.2–23.9)% and 36 (19.8–52.2)% following sensor correction in health, asthma and smokers, respectively. In contrast, S<sub>acin</sub> was significantly lower following sensor correction in the asthma and smokers groups, with a trend to significance in the healthy group (p=0.08). The impact on S<sub>acin</sub>, however, was minimal with mean decreases (95% CI) of 1.8 (0.44–4.0)%, 2.9 (0.9–4.9)% and 4.8 (0.7–8.9)% observed in health, asthma and

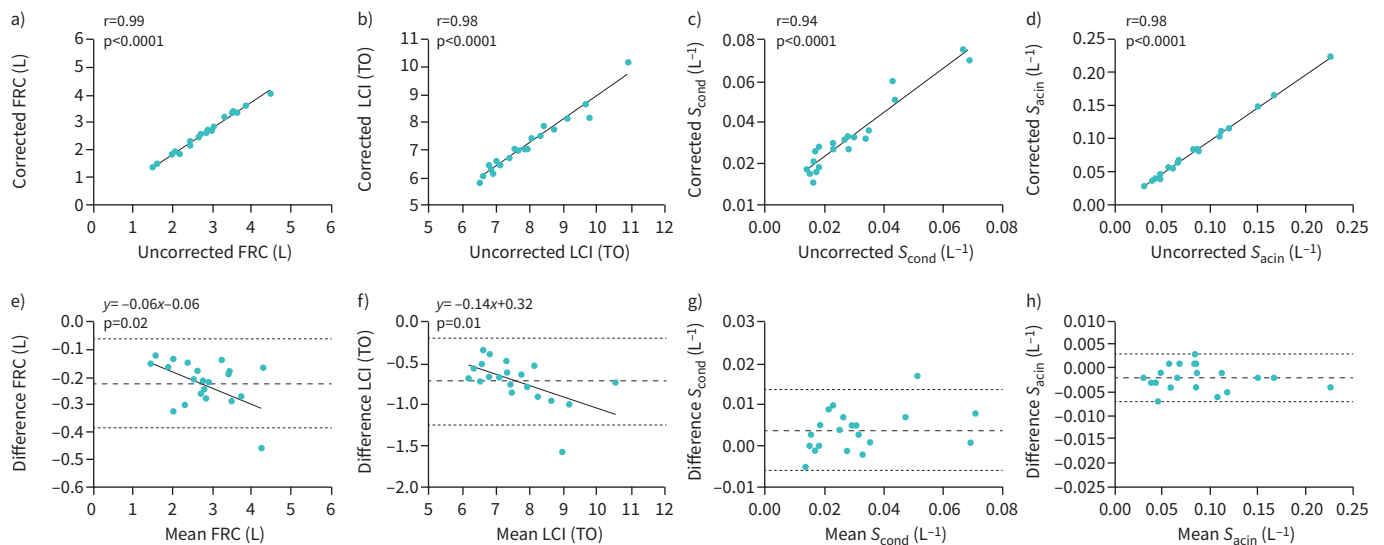
TABLE 2 Effects of sensor correction on main MBNW parameters

Parameter	Standard	Corrected	Mean difference (95% CI) absolute	Mean difference (95% CI) relative	p-value
<b>Health</b>					
FRC (L)	3.19±0.98	2.94±0.89	–0.25 (–0.29––0.21)	–7.7 (–8.4––7.0)	<0.0001
LCI (TO)	7.20±0.58	6.49±0.47	–0.71 (–0.80––0.63)	–9.8 (–10.8––8.8)	<0.0001
S <sub>cond</sub> (L <sup>-1</sup> )	0.017±0.009	0.019±0.011	0.002 (0.0003–0.004)	11.1 (–1.4–23.5)	0.03
S <sub>acin</sub> (L <sup>-1</sup> )	0.057±0.020	0.056±0.020	–0.0009 (–0.002–0.0001)	–1.8 (–4.0–0.44)	0.08
<b>Asthma</b>					
FRC (L)	2.84±0.76	2.62±0.72	–0.22 (–0.26––0.18)	–8.0 (–9.2––6.8)	<0.0001
LCI (TO)	7.94±1.19	7.23±1.04	–0.71 (–0.84––0.58)	–8.8 (–10.0––7.6)	<0.0001
S <sub>cond</sub> (L <sup>-1</sup> )	0.029±0.016	0.033±0.018	0.004 (0.001–0.006)	14.0 (4.2–23.9)	0.003
S <sub>acin</sub> (L <sup>-1</sup> )	0.088±0.049	0.086±0.049	–0.002 (–0.003––0.0008)	–2.9 (–4.9––0.9)	0.003
<b>Smokers</b>					
FRC (L)	3.19±0.91	2.93±0.84	–0.28 (–0.34––0.22)	–8.4 (–10.2––6.7)	<0.0001
LCI (TO)	7.69±0.96	7.05±0.82	–0.65 (–0.78––0.51)	–8.3 (–9.7––6.8)	<0.0001
S <sub>cond</sub> (L <sup>-1</sup> )	0.029±0.015	0.038±0.019	0.009 (0.005–0.013)	36.0 (19.8–52.2)	0.0004
S <sub>acin</sub> (L <sup>-1</sup> )	0.10±0.040	0.095±0.037	–0.004 (–0.007––0.001)	–4.8 (–8.9––0.71)	0.008

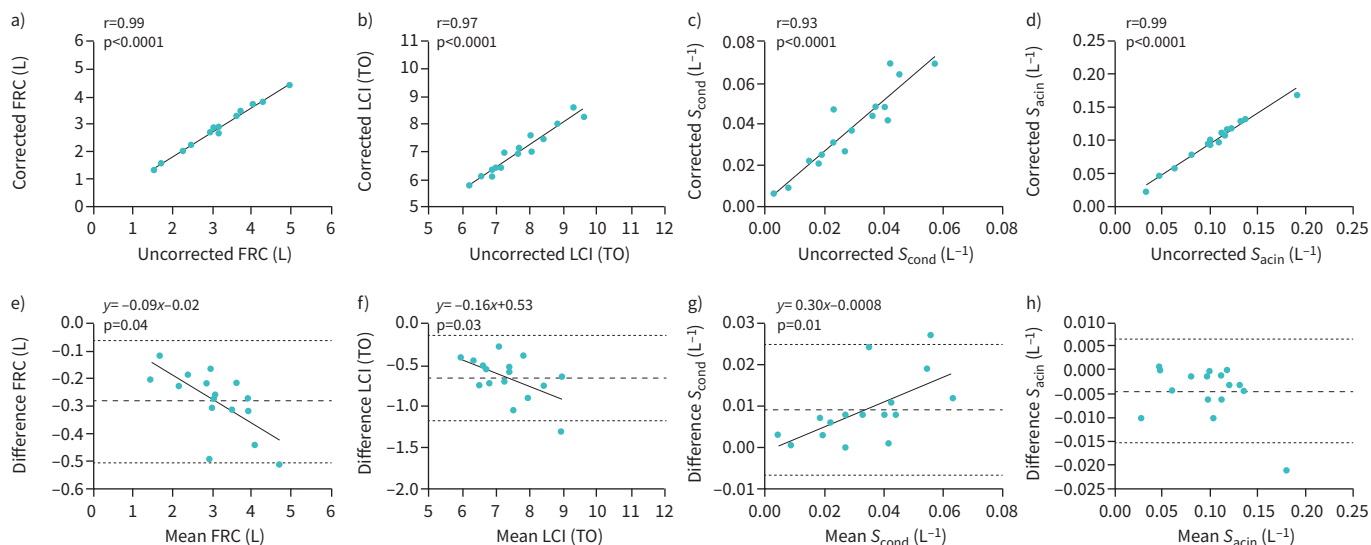
Effects of sensor correction on main MBNW parameters. Data are presented as mean±SD unless otherwise stated. FRC: functional residual capacity; LCI: lung clearance index; MBNW: multiple breath nitrogen washout; S<sub>acin</sub>: distal/intra-acinar airways ventilation heterogeneity; S<sub>cond</sub>: conducting airways ventilation heterogeneity; TO: lung turnover. The standard (uncorrected) value is the reference. Absolute difference is calculated as corrected – standard. Relative difference is calculated as corrected – standard/standard × 100.



**FIGURE 1** Comparison of standard (uncorrected) and corrected multiple breath nitrogen washout (MBNW) parameters in health. There were strong correlations between uncorrected and corrected functional residual capacity (FRC) ( $r=0.99$  and  $p<0.0001$ ) (a), lung clearance index (LCI) ( $r=0.94$  and  $p<0.0001$ ) (b),  $S_{cond}$  ( $r=0.86$ ,  $p<0.0001$ ) (c) and  $S_{acin}$  ( $r=0.98$ ,  $p<0.0001$ ) (d). Bland-Altman plots show that sensor correction results in a lower FRC (mean difference (95% limits of agreement)  $-0.25$  ( $-0.46$ ,  $-0.04$ ),  $p<0.0001$ ) (e), lower LCI ( $-0.71$  ( $-1.14$ – $-0.28$ ),  $p<0.0001$ ) (f), higher  $S_{cond}$  ( $0.002$  ( $-0.007$ – $0.012$ ),  $p=0.027$ ) (g), but no change in  $S_{acin}$  ( $-0.0009$  ( $-0.006$ – $0.004$ ),  $p=0.08$ ) (h). There was also significant proportional bias confirmed by linear regression for FRC ( $p<0.0001$ ), LCI ( $p<0.007$ ) and  $S_{cond}$  ( $p=0.0009$ ).  $S_{acin}$ : distal/intra-acinar airways ventilation heterogeneity;  $S_{cond}$ : conducting airways ventilation heterogeneity.



**FIGURE 2** Comparison of standard (uncorrected) and corrected multiple breath nitrogen washout (MBNW) parameters in asthma. There were strong correlations between uncorrected and corrected functional residual capacity (FRC) ( $r=0.99$  and  $p<0.0001$ ) (a), lung clearance index (LCI) ( $r=0.98$  and  $p<0.0001$ ) (b),  $S_{cond}$  ( $r=0.94$ ,  $p<0.0001$ ) (c) and  $S_{acin}$  ( $r=0.98$ ,  $p<0.0001$ ) (d). Bland-Altman plots show that sensor correction results in a lower FRC (mean difference (95% limits of agreement)  $-0.22$  ( $-0.38$ – $0.06$ ),  $p<0.0001$ ) (e), lower LCI ( $-0.71$  ( $-1.24$ – $-0.18$ ),  $p<0.0001$ ) (f), higher  $S_{cond}$  ( $0.004$  ( $-0.006$ – $0.014$ ),  $p=0.003$ ) (g) and lower  $S_{acin}$  ( $-0.002$  ( $-0.007$ – $0.003$ ),  $p=0.003$ ) (h). There was also significant proportional bias confirmed by linear regression for FRC ( $p=0.02$ ) and LCI ( $p=0.01$ ).  $S_{acin}$ : distal/intra-acinar airways ventilation heterogeneity;  $S_{cond}$ : conducting airways ventilation heterogeneity.



**FIGURE 3** Comparison of standard (uncorrected) and corrected multiple breath nitrogen washout (MBNW) parameters in smokers. There were strong correlations between uncorrected and corrected functional residual capacity (FRC) ( $r=0.99$  and  $p<0.0001$ ) (a), lung clearance index (LCI) ( $r=0.97$  and  $p<0.0001$ ) (b),  $S_{cond}$  ( $r=0.93$ ,  $p<0.0001$ ) (c) and  $S_{acin}$  ( $r=0.99$ ,  $p<0.0001$ ) (d). Bland–Altman plots show that sensor correction results in a lower FRC (mean difference (95% limits of agreement)  $(-0.28 (-0.50 - -0.06)$ ,  $p<0.0001$ ) (e), lower LCI  $(-0.65 (-1.16 - -0.13)$ ,  $p<0.0001$ ) (f), higher  $S_{cond}$   $(0.009 (-0.006 - 0.025)$ ,  $p=0.0004$ ) (g) and lower  $S_{acin}$   $(-0.004 (-0.015 - 0.006)$ ,  $p=0.008$ ) (h). There was also significant proportional bias confirmed by linear regression for FRC ( $p=0.04$ ), LCI ( $p=0.03$ ) and  $S_{cond}$  ( $p=0.01$ ). The uncorrected value is the reference. Absolute differences were calculated as corrected – uncorrected.  $S_{acin}$ : distal/intra-acinar airways ventilation heterogeneity;  $S_{cond}$ : conducting airways ventilation heterogeneity.

smokers, respectively. When using the free-breathing protocol, similar effects for LCI, FRC,  $S_{cond}$  and  $S_{acin}$  were observed in health and asthma (Online Supplement, Table S1).

There were strong correlations between all corrected and uncorrected MBNW values across the three groups (all  $r$ -values  $>0.85$ ) (figures 1–3, panels A–D) and for both breathing protocols (Online Supplement, Figures S1 and S2). Bland–Altman plots showed that the effect of sensor correction on LCI and FRC demonstrated strong proportional bias in all three groups (greater difference with higher mean value) (figures 1–3, panels E–H). The Bland–Altman plots also revealed large variance in  $S_{cond}$  and significant proportional bias in health and smokers, but not in asthma. Less variance in differences was seen in  $S_{acin}$  and there was no evidence of proportional bias in any of the three groups.

#### Effects on within- and between-session repeatability in health

Fifteen healthy volunteers underwent repeat testing. Within-session and between-session variability measurements are presented in table 3. There were no differences observed in within-session CoVs between corrected and uncorrected FRC ( $p=0.46$ ) or LCI ( $p=0.84$ ). Between-session variability was minimally affected by the sensor error. Corrected FRC and LCI showed narrower 95% LoAs, whereas  $S_{acin}$  and  $S_{cond}$  showed slightly wider 95% LoAs. Between-session ICC values were numerically comparable between corrected and uncorrected values. Similar impact on within- and between-session repeatability was observed with the free-breathing protocol (Online Supplement, Table S2).

#### Discussion

In this study, we demonstrate that correction of the  $O_2$  and  $CO_2$  sensor error in the Exhalyzer D system results in significantly lower FRC and LCI, and higher  $S_{cond}$  values in three different adult patient groups. The impact on  $S_{acin}$ , although statistically significant, was minimal. There were strong correlations between the corrected and uncorrected values for all MBNW parameters in all three groups. Importantly, the effect of the correction showed a significant proportional bias in FRC and LCI in all three groups, and significant proportional bias in  $S_{cond}$  was also evident in health and smokers, although not in asthma. The  $O_2$  and  $CO_2$  sensor error correction produced less variance in  $S_{acin}$  compared to other parameters and there was no evidence of proportional bias. Furthermore, sensor error correction had minimal impact on within-session and between-session variability, with a smaller 95% LoA for LCI between sessions.

TABLE 3 Effects on within- and between-session repeatability in health

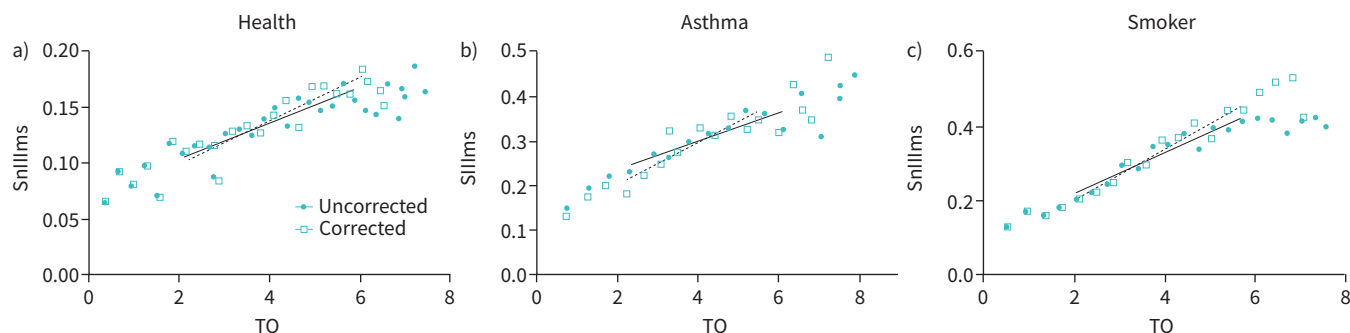
	Within-session CoV	Between-session difference	Between-session 95% LoA	Between-session ICC
<b>Uncorrected</b>				
FRC (L)	3.3±2.9%	-0.03±0.52	-1.04-0.98	0.931
LCI (TO)	2.5±2.4%	0.06±0.47	-0.86-0.98	0.812
$S_{\text{cond}}$ (L <sup>-1</sup> )	-	-0.001±0.011	-0.017-0.015	0.836
$S_{\text{acin}}$ (L <sup>-1</sup> )	-	-0.003±0.018	-0.037-0.031	0.835
<b>Corrected</b>				
FRC (L)	3.0±1.9%	-0.02±0.47	-0.94-0.91	0.927
LCI (TO)	2.4±1.7%	0.13±0.34	-0.53-0.79	0.849
$S_{\text{cond}}$ (L <sup>-1</sup> )	-	-0.003±0.009	-0.021-0.015	0.867
$S_{\text{acin}}$ (L <sup>-1</sup> )	-	-0.002±0.02	-0.039-0.034	0.828

Data are presented as mean±SD unless otherwise stated. Mean differences are visit 2 minus visit 1. 95% LoA: 95% limits of agreement; CoV: coefficient of variation; FRC: functional residual capacity; ICC: intra-class correlation coefficient; LCI: lung clearance index;  $S_{\text{acin}}$ : distal/intra-acinar airways ventilation heterogeneity;  $S_{\text{cond}}$ : conducting airways ventilation heterogeneity; TO: lung turnover.

Overestimation of FRC and LCI by the Exhalyzer system was first suggested when comparing the use of sulfur hexafluoride (SF<sub>6</sub>) to N<sub>2</sub> as a tracer gas. JENSEN *et al.* [21] found in children with CF that N<sub>2</sub> resulted in higher estimates of FRC and LCI compared to SF<sub>6</sub> obtained using mass spectrometry. In addition to differences in the diffusion front, the assumption was that back-secretion of N<sub>2</sub> from the tissues probably contributed to overestimation of FRC by MBNW. In fact, subsequent device comparison studies in adults tended to show FRC by the Exhalyzer D system to be larger than FRC<sub>pleth</sub> [22, 23]. However, these findings are at odds with the idea that gas dilution techniques during tidal breathing can only access communicating lung units and not trapped gas compartments, such that the estimated FRC in disease should be lower than FRC obtained from plethysmography, which includes all compressible gas volume within the lungs. Prior to reanalysis, there were no differences between FRC<sub>pleth</sub> and FRC<sub>MBNW</sub> in smokers, patients with asthma or in health but sensor error correction resulted in a significantly lower FRC<sub>MBNW</sub> compared to FRC<sub>pleth</sub> in all groups, more consistent with expectation. These results suggest that the sensor error explains most of the overestimation of FRC seen in the Exhalyzer device, just as SANDVIK *et al.* [13] found that sensor error correction of MBNW removed the discrepancy in FRC between N<sub>2</sub> and SF<sub>6</sub>. It is unknown whether the error affects different commercially available MBW utilising O<sub>2</sub> and/or CO<sub>2</sub> sensors, a subject that warrants further investigation.

Our study is the first to demonstrate the impact of the O<sub>2</sub> and CO<sub>2</sub> sensor error correction on FRC and LCI in adults, and the first to investigate the impact on  $S_{\text{cond}}$  and  $S_{\text{acin}}$ . The effect of the sensor error on LCI and FRC has been described previously in infants and children, and our data are consistent with their findings in both magnitude and presence of proportional bias [12, 13]. The alignment of these findings is important to understand consistency in the correction algorithm. The high correlations between uncorrected and corrected values suggest that previous findings involving correlations with MBNW indices may be preserved, but the presence of significant proportional bias indicates that previous studies examining interventional effects will require reanalysis, both to reconfirm previous findings and to allow comparability with future studies. Although a recent reanalysis of CF clinical trials was reassuring to a degree and showed that while treatment effects were reduced, they were maintained following sensor correction [14].

Previous studies investigating the effect of sensor error correction were in infants and children [12–14], hence they did not include a comparison of phase III slope indices  $S_{\text{cond}}$  and  $S_{\text{acin}}$ , which are not as commonly used in paediatric compared to adult age groups.  $S_{\text{cond}}$  is calculated as the slope of the plot of normalised phase III slope (SnIII) versus lung turnover (TO), between TO 1.5 and 6, where SnIII is the slope of phase III in the N<sub>2</sub> expirogram normalised by mean or end-tidal N<sub>2</sub> concentration. Errors in  $S_{\text{cond}}$  arise from two sources. First, the observed overestimation of FRC results in a lower TO, shortening the SnIII versus TO plot leftward and slightly elevating  $S_{\text{cond}}$ . Second, as the washout progresses towards higher values of TO, the phase III slope is normalised by an increasingly overestimated N<sub>2</sub> concentration. The effect is a less steep SnIII versus TO plot, thus lowering calculated  $S_{\text{cond}}$ . These effects are demonstrated in figure 4, where corrected SnIII values for three different patients are increased, resulting in larger  $S_{\text{cond}}$  as calculated between TO 1.5 and 6. In particular, the dominant effect of the impact on SnIII



**FIGURE 4** Normalised phase III slope (SnIII) versus lung turnover (TO) graphs in health (a), asthma (b) and smokers (c). Open squares represent corrected values (with sensor correction) and solid circles uncorrected values.  $S_{\text{cond}}$  is calculated as the slope of the plot of SnIII versus lung TO, between TO 1.5 and 6. Corrected SnIII values are increased resulting in larger  $S_{\text{cond}}$  as calculated between TO 1.5 and 6 in all three patient groups. The change in SnIII in the first breath was minimal, explaining the minimal effects seen in  $S_{\text{acin}}$  post-correction. SnIII<sub>m</sub>: slope of alveolar phase (phase III) normalised by mean slope concentration.

is clearly seen in panel 4C where uncorrected SnIII values deviate markedly from the corrected values at high TO. However, the change in SnIII in the first breath was minimal, both because the sensor error is smallest at high  $N_2$  concentrations, and because the  $N_2$  concentration used for normalisation is large at this point in the washout. Much of the effect of sensor correction on  $S_{\text{acin}}$  probably comes from propagation of the  $S_{\text{cond}}$  error into the correction applied to SnIII(1) to obtain  $S_{\text{acin}}$  [20].

Our comparison found  $S_{\text{cond}}$  to be significantly increased by the sensor error correction, and furthermore with a significant proportional bias in both health and in smokers. However, this distinction between groups is probably a manifestation of small numbers in each cohort, coupled with the inherent variability in the measurement of  $S_{\text{cond}}$ . Indeed, when the three cohorts are combined into the single dataset (Online Supplement, Figure S3), it is clear that the sensor error correction results in comparable effects on  $S_{\text{cond}}$  regardless of the underlying pathophysiology.

Correction of the sensor error resulted in minimal impact on within-session and between-session variability in health. Within-session CoV remained small in FRC and LCI, demonstrating that trial repeatability for MBNW was high even after reanalysis. Similarly, all parameters had minimal change in between-session difference, with a small change in the LoA for LCI, which is probably attributed to the overall reduction in LCI caused by correction. Furthermore, we also reanalysed previously published data collected using both free breathing and controlled breathing [15, 16]. Sensor error correction did not affect the between-protocol differences in  $S_{\text{cond}}$  and  $S_{\text{acin}}$  in health [15] or asthma [16], nor their dependences on the breathing pattern.

This study is limited by the selection criteria for the previous studies that we have included for reanalysis. Patients with asthma had relatively mild disease, and smokers were recruited for a larger study based on having abnormal ventilation heterogeneity as described in the Methods, and thus may not be representative of the population in general. Future reanalysis of MBNW data is required to understand the effect of sensor error correction in disease more broadly and the associated implications. Moreover, in our reanalysis, we chose to retain the same breath exclusions and other settings in the original analysis, to allow us to solely examine the effect of corrected  $N_2$  concentrations on MBNW indices. There is a chance that the adjusted washout traces may result in, for example, changes in the shape of the expirogram, which may result in different quality control decisions by a manual operator. However, we attempted to maintain a consistent approach for quality control. The new software version also includes changes in the way in which delay between flow and gas concentration sampling is calculated, to include a dynamic delay correction [24], which was not implemented in our reanalysis, but which may be a factor affecting comparability between old and new studies in the literature involving the Exhalyzer D. This was intentionally done to focus on the effects of the cross-talk sensor error correction.

In conclusion, our study is the first to describe the effect of  $O_2$  and  $CO_2$  sensor error correction on the Exhalyzer D MBNW system in adults, and the first to investigate the effect on  $S_{\text{cond}}$  and  $S_{\text{acin}}$ . Our results confirm the LCI and FRC effects seen in infants and children and demonstrate strong underestimation with proportional bias for  $S_{\text{cond}}$ , with errors up to 50% observed in those with the greatest ventilation heterogeneity, but minimal effects on  $S_{\text{acin}}$ . While the discovery of the error is an important step towards



improved accuracy of MBNW devices, it also represents an important hurdle for ongoing efforts to support MBNW as a clinical tool or an end-point for clinical studies. These findings provide important considerations for the interpretation of previously published adult MBNW studies, and those in younger age groups incorporating phase III slope analysis. The magnitude of effect supports reanalysis of that data to better understand the true findings.

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Data sharing statement: The study protocol and raw data that support the findings of this study are available from the corresponding author upon reasonable request.

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