

ORIGINAL ARTICLE

Hypoxia-inducible factor-1 α and nuclear factor- κ B play important roles in regulating programmed cell death ligand 1 expression by epidermal growth factor receptor mutants in non-small-cell lung cancer cells

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Some driver gene mutations, including epidermal growth factor receptor (*EGFR*), have been reported to be involved in expression regulation of the immunosuppressive checkpoint protein programmed cell death ligand 1 (PD-L1), but the underlying mechanism remains obscure. We investigated the potential role and precise mechanism of *EGFR* mutants in PD-L1 expression regulation in non-small-cell lung cancer (NSCLC) cells. Examination of pivotal *EGFR* signaling effectors in 8 NSCLC cell lines indicated apparent associations between PD-L1 overexpression and phosphorylation of AKT and ERK, especially with increased protein levels of phospho-I κ B α (p-I κ B α) and hypoxia-inducible factor-1 α (HIF-1 α). Flow cytometry results showed stronger membrane co-expression of *EGFR* and PD-L1 in NSCLC cells with *EGFR* mutants compared with cells carrying WT *EGFR*. Additionally, ectopic expression or depletion of *EGFR* mutants and treatment with *EGFR* pathway inhibitors targeting MEK/ERK, PI3K/AKT, mTOR/S6, I κ B α , and HIF-1 α indicated strong accordance among protein levels of PD-L1, p-I κ B α , and HIF-1 α in NSCLC cells. Further treatment with pathway inhibitors significantly inhibited xenograft tumor growth and p-I κ B α , HIF-1 α , and PD-L1 expression of NSCLC cells carrying *EGFR* mutant in nude mice. Moreover, immunohistochemical analysis revealed obviously increased protein levels of p-I κ B α , HIF-1 α , and PD-L1 in NSCLC tissues with *EGFR* mutants compared with tissues carrying WT *EGFR*. Non-small-cell lung cancer tissues with either p-I κ B α or HIF-1 α positive staining were more likely to possess elevated PD-L1 expression compared with tissues scored negative for both p-I κ B α and HIF-1 α . Our findings showed important roles of phosphorylation activation of AKT and ERK and potential interplay and cooperation between NF- κ B and HIF-1 α in PD-L1 expression regulation by *EGFR* mutants in NSCLC.

Abbreviations: ADC, adenocarcinoma; APC, allophycocyanin; *EGFR*, epidermal growth factor receptor; HIF-1 α , hypoxia-inducible factor-1 α ; IKK, I κ B kinase; NSCLC, non-small-cell lung cancer; NF- κ B, nuclear factor- κ B; p-, phosphorylated; PD-1, programmed cell death 1; PD-L1, programmed cell death ligand 1; PE, phycoerythrin; SCC, Squamous cell carcinoma; TKI, tyrosine kinase inhibitor.

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KEYWORDS

epidermal growth factor receptor, Hypoxia-inducible factor-1 α , NF- κ B, non-small-cell lung cancer, programmed cell death ligand 1

1 | INTRODUCTION

Lung cancer is the leading cause of cancer-related death around the world. There are approximately 1.30 million new cases and 1.20 million deaths due to lung cancer every year.¹ Non-small-cell lung cancer accounts for approximately 80% of lung cancer cases.² Since the beginning of the 21st century, molecular targeting therapies such as EGFR-TKIs have shown promising curative effects in NSCLC patients. However, the overall 5-year survival rate of NSCLC has not apparently been improved due to primary or secondary drug resistance.^{3,4} Therefore, new treatments for lung cancer are urgently needed.

Recently, immunotherapies targeting the PD-1 coinhibitory receptor and its adaptor-programmed death ligand 1 (PD-L1) by mAbs have represented a major breakthrough in the treatment of various advanced tumors, including NSCLC.^{5,6} Programmed cell death-1 belongs to the CD28 family and is a type I transmembrane protein mainly expressed on activated T cells. Its ligand PD-L1 belongs to the B7 family and is widely expressed on dendritic cells, macrophages, activated T and B cells, and non-immune cells including cancer cells.^{7,8} As important immune checkpoint molecules, PD-1/PD-L1 interaction suppresses the growth and function of effector T cells by inducing T cell apoptosis, anergy, and exhaustion and regulating the secretion of various cytokines.^{9,10} Tumor cells with PD-L1 overexpression have been reported to be related to aggressive behavior and worse disease control and treatment outcomes.¹¹⁻¹³

A growing amount of evidence has elucidated the intrinsic and extrinsic mechanisms of PD-L1 expression regulation in cancer cells.¹⁴ Inflammatory cytokines, such as IFN- γ , can induce PD-L1 expression through the MEK/ERK or JAK/STAT pathway.^{15,16} The *EML4-ALK* fusion gene and loss of *Lkb1* and *PTEN* have been reported to be involved in intrinsic regulation of PD-L1 expression in NSCLC.^{17,18} Mutated *EGFR* is the most important driver gene in NSCLC and up to 47.9% of Asian patients harbor *EGFR*, sensitizing mutations to EGFR-TKIs.¹⁹ In 2013, Akbay et al²⁰ first showed that *EGFR* mutant in bronchial epithelial cells induced PD-L1 expression to facilitate immune escape in EGFR-driven lung tumors. In 2015, D'Incecco et al²¹ reported that positive PD-L1 expression was significantly associated with EGFR mutations in a cohort of 125 NSCLC patients. However, the potential role and precise molecular mechanism of PD-L1 expression regulation by *EGFR* mutants remain to be explored.

In the present study, we investigated the EGFR status, activation of pivotal EGFR signaling cascades, and PD-L1 expression in a panel of NSCLC cells and observed apparent associations between PD-L1 overexpression and phosphorylation activation of ERK and AKT, especially with increased protein levels of p-I κ B α and HIF-1 α .

Additionally, we undertook flow cytometry analysis to examine the cell surface expression of EGFR and PD-L1 in NSCLC cells with different EGFR status. Moreover, ectopic expression or depletion of WT *EGFR* and *EGFR* mutants or specific pathway inhibitors was used to elucidate the regulation mechanism of PD-L1 expression by EGFR in NSCLC cells or xenograft mouse models. The correlations between EGFR status, p-I κ B α , HIF-1 α , and PD-L1 protein levels were further analyzed in 149 human NSCLC tissue samples.

2 | MATERIALS AND METHODS

2.1 | Cell lines and cell culture

The human NSCLC cells H522, H661, HCC827, H1299, HCC2935, H1650, H1792, and H1975 were obtained from ATCC (Manassas, VA, USA). Cells were cultured in RPMI-1640 medium supplemented with 10% FBS and 1% penicillin/streptomycin stock in a humidified atmosphere of 5% CO₂ at 37°C.

2.2 | Major reagents and Abs

The MEK/ERK inhibitor U0126, PI3K/AKT inhibitor LY294002, NF- κ B inhibitor BAY11-7082, mTOR inhibitor rapamycin, and HIF-1 α inhibitor PX-478 were obtained from Selleck Chemicals (Houston, TX, USA). The primary Abs against EGFR [EP38Y] (ab52894), p-ERK1/2, pT202/pT204 (ab50011), ERK1/2 (ab17942), HIF-1 α (ab51608), PD-L1 (ab205921), His tag (ab18184), and Actin (ab8226) were purchased from Abcam (Cambridge, UK), and Abs against p-AKT (Ser473) (#4060), AKT (#4691), p-S6 (Ser235/236) (#4858), S6 (#2217), and p-I κ B α (Ser32/36) (#9246) were from Cell Signaling Technology (Beverly, MA, USA). Additionally, two primary Abs used for flow cytometry analysis, PE-PD-L1 (557924) and APC-EGFR (563577), and their respective isotype control Abs, PE Mouse IgG1 (κ isotype control, 555749) and APC Mouse IgG2b (κ Isotype control, 557903), were obtained from BD Biosciences (San Jose, CA, USA).

2.3 | Expression vectors and siRNA transfection

Expression vectors containing important *EGFR* mutants were constructed by subcloning the full coding domain sequence of the *EGFR* gene with e19del, e19del + T790M, L858R, and L858R + T790M, into the pcDNA3.1-His-Xpress vector (Invitrogen, Carlsbad, CA, USA). All constructs were restriction mapped and sequenced.

Specific siRNA sequences targeting the *EGFR* gene (si-EGFR)^{22,23} were synthesized by Beijing Aoke Peak Biotechnology (Beijing, China). Expression vectors and siRNA transfections were carried out as described.²⁴ Briefly, exponentially growing NSCLC cells were seeded into

6-well plates (2×10^5 cells/well). The next day, 2 μg of each expression vector or siRNA sequence was mixed with 6 μL Lipofectamine 2000 (Invitrogen) plus 250 μL Opti-MEM medium (Invitrogen) for 20 minutes and then added to cells. The empty vector and mismatched siRNA transfections were used as controls. At 48 hours post-transfection, cells were harvested for further analysis.

2.4 | Flow cytometry

The NSCLC cells were collected and washed twice in cold flow cytometry staining buffer (PBS containing 0.2% [w/v] BSA), then resuspended with cold staining buffer to a final concentration of 1×10^6 cells/100 μL . Cell suspension was aliquoted into 100 μL to each tube, and the primary Abs, PE-PD-L1 and APC-EGFR, were added and incubated for 30 minutes on ice in the dark. The respective isotype control Abs, PE Mouse IgG1 and APC Mouse IgG2b, were used according to the manufacturer's instructions. The cells were washed twice with staining buffer to remove unbound Abs and then analyzed on a flow cytometer (Accuri C6; BD Biosciences). Side-scatter and forward-scatter profiles were used to eliminate cell doublets. Cells were routinely sorted twice and data were analyzed with BD Accuri C6 software.

2.5 | Pathway inhibition experiment

H1975 cells carrying EGFR (L858R + T790M) were selected for EGFR pathway inhibition experiments. H1975 cells received 2 dose treatments (1 \times and 3 \times) of each pathway inhibitor (3 and 9 $\mu\text{mol/L}$ U0126, 15 and 45 $\mu\text{mol/L}$ LY294002, 4 and 12 nmol/L rapamycin, 2.5 and 7.5 $\mu\text{mol/L}$ BAY11-7082, and 25 and 75 $\mu\text{mol/L}$ PX-478). We used DMSO-treated cells as control. After treatment for 48 hours, total cellular proteins were extracted for further analysis.

2.6 | Western blot analysis

The treated cells were lysed on ice for 25 minutes using RIPA Lysing Buffer supplemented with protease inhibitors, and the solution was cleared by centrifugation at 14 000 g for 20 minutes. The total protein concentration was quantified utilizing a BCA protein assay kit, and equal amounts of proteins (20-40 $\mu\text{g/lane}$) were separated on 10%-12% SDS-PAGE gels and electrotransferred to PVDF membranes. The membranes were then blocked with 5% nonfat milk and probed with specific primary Abs: anti-EGFR, anti-p-ERK1/2 (pT202/pT204), anti-ERK1/2, anti-HIF-1 α , anti-PD-L1, anti-p-AKT (Ser473), anti-AKT, anti-p-I κ B α (Ser32/36), anti-S6, anti-p-S6 (Ser235/236), anti-His, and anti-Actin (1:1000-1:5000 dilution). After washing with 0.2% Tween 20/PBS buffer 4 times, membranes were incubated with HRP-conjugated secondary Ab and visualized using the ECL system (GE Healthcare, Little Chalfont, UK).

2.7 | In vivo animal model experiment

Female BALB/c nude mice (5-6 weeks old) were obtained from Beijing Vital River Laboratory Animal Company (Beijing, China)

and maintained under specific pathogen-free conditions in the Laboratory Animal Department of Beijing Cancer Hospital and Institute (Beijing, China). Care of experimental animals was in accordance with institutional animal care and use committee guidelines.

Non-small-cell lung cancer cells H1975 were injected s.c. into the lateral root of posterior limb of nude mouse (3×10^6 cells/mouse). The day of cell injection was designated as day 0. The tumor size of H1975 xenograft mouse models was monitored every 4 days using digital calipers, and tumor volumes were calculated according to the following formula: tumor volume (mm^3) = (short axis in mm)² \times (long axis in mm) \times 0.52. When the tumor size reached 100-150 mm^3 , mice were randomly divided into 5 experimental groups (5 mice per group) and treated with U0126 (i.p., at 20 mg/kg), LY294002 (i.p., at 15 mg/kg), BAY11-7082 (i.p., at 5 mg/kg), PX-478 (p.o. gavage at 20 mg/kg), or an equivalent volume of DMSO (used as control). All 5 inhibitors were given once every 3 days within 16 days. Mice were killed and tumors were photographed on indicated days. Total proteins of tumors of each group were extracted, and western blot analysis was used to test the expression of p-I κ B α , HIF-1 α , and PD-L1. The remaining part of each tumor was routinely formalin-fixed, paraffin-embedded, serially sectioned, and subjected to immunohistochemistry to examine the expression of PD-L1 protein, described below.

2.8 | Immunohistochemical analysis

For immunohistochemistry analysis of the expression of p-I κ B α , HIF-1 α , and PD-L1, tumor samples were obtained from 149 NSCLC patients who had undergone surgical resection at Beijing Cancer Hospital. Tumor tissues obtained from xenotransplanted tumor models were also included. All the specimens had been routinely formalin-fixed, paraffin-embedded, and serially sectioned at 5 μm in thickness.

All tissues were stained using the streptavidin-peroxidase immunohistochemical method. Briefly, the slides were deparaffinized in xylene, rehydrated in graded ethanol, and then treated with PBS containing 3% hydrogen dioxide to block endogenous peroxidase. Slides were preincubated in 10% goat serum to block nonspecific binding and then incubated with specific primary Abs against PD-L1 (ab205921; Abcam), HIF-1 α (ab51608; Abcam), and p-I κ B α (#9246; Cell Signaling Technology) separately at 4 $^{\circ}\text{C}$ overnight. Sections were subsequently rinsed and incubated with biotin-conjugated IgG from Santa Cruz Biotechnology (Santa Cruz, CA, USA) with 1:10 000 dilution for 15 minutes and then with streptavidin-peroxidase conjugate for 15 minutes. The signals were developed with DAB-H₂O₂ solution. The slides were counterstained with 5% hematoxylin and then examined by light microscopy. Sections without primary Ab treatment were used as negative control. Immunohistochemical evaluation of PD-L1, p-I κ B α , and HIF-1 α in NSCLC specimens was based on the intensity and extent of tumor cell staining. Moderate to strong cell surface PD-L1 expression in $\geq 5\%$, p-I κ B α cytoplasm staining in $\geq 10\%$, and HIF-1 α nuclear staining in $\geq 10\%$ of tumor cells were defined as positive results.²⁵ All NSCLC sections were histopathologically reviewed by 2 trained pathologists.

2.9 | DNA extraction and EGFR genotyping

The cancer tissues from all the 149 NSCLC samples were separated using manual microdissection and incubated overnight at 56°C in 50 µL digestion buffer containing 10 mg/mL proteinase K, 0.5% Tween-20, 1 mmol/L EDTA, pH 8.0, and 50 mmol/L Tris, pH 8.5. The next day, proteinase K was inactivated by incubation of the samples at 100°C for 10 minutes. DNA samples were stored at -80°C until analysis. The EGFR status was also determined by PCR direct sequencing using the primers as previously reported.²⁶ Polymerase chain reaction was carried out using 50 ng each sample DNA as template and negative controls (extracted slices of paraffin blocks containing no tissue) were included. The EGFR status was identified by direct sequencing using the ABI 3700 DNA sequencer (PE Applied Biosystems).

2.10 | Statistical analysis

SPSS 16.0 software (SPSS, Chicago, IL, USA) was used in determining statistical significance. The continuous variables from different groups are shown as mean ± SD and were compared using *t* tests. The correlations among EGFR status, p-IκBα, HIF-1α, and PD-L1 protein levels in NSCLC specimens were analyzed using χ^2 tests. Corresponding *P* values < .05 were considered statistically significant.

3 | RESULTS

3.1 | Activation of EGFR signaling pathway and PD-L1 expression in NSCLC cells

To investigate the correlation between the activation of the EGFR pathway and PD-L1 expression in NSCLC cells, we examined the EGFR status and expression levels of EGFR, its pivotal downstream effectors (p-ERK1/2, ERK1/2, p-AKT, AKT, p-IκBα, and HIF-1α), and PD-L1 in a total of 8 NSCLC cells. Sequencing results showed that 4 NSCLC cells possessed *EGFR* mutation (HCC827, HCC2935, and H1650 with *EGFR* [e19del] and H1975 with *EGFR* [L858R + T790M], respectively). As shown in Figure 1A, western blot results revealed obviously elevated phosphorylation levels of ERK1/2, AKT, and IκBα and increased expression levels of HIF-1α and PD-L1 in 3 NSCLC cells with *EGFR* mutants and the highest or a moderate expression of *EGFR* (HCC827, HCC2935, and H1975), in comparison with the other NSCLC cells carrying WT *EGFR* (H522, H661, H1792, and H1299) or cells with *EGFR* mutant but relatively low *EGFR* expression (H1650). Notably, apparent associations of PD-L1 overexpression with increased p-IκBα and HIF-1α protein levels were observed in these 3 NSCLC cell lines with *EGFR* mutants. Due to the important roles of cell surface expression of *EGFR* and PD-L1, further flow cytometry analysis was carried out using specific Abs labeled with different fluorescent proteins. The percentages of cells with both *EGFR* and PD-L1 expression

on cell surface were 88.9%, 55.8%, and 62.7% in 3 NSCLC cell lines with mutated *EGFR* (HCC827, HCC2935, and H1975, respectively), which were obviously higher than the remaining NSCLC cell lines carrying WT *EGFR* (Figure 1B).

3.2 | Effects of activation or inhibition of EGFR signaling pathway on PD-L1 expression regulation in NSCLC cells

To compare the effects of WT *EGFR* and different *EGFR* mutants on PD-L1 expression, H661 cells were transfected with WT *EGFR* or *EGFR* mutant expression vectors (e19del, e19del + T790M, L858R, and L858R + T790M). As shown in Figure 2A, ectopic expression of WT *EGFR* significantly elevated phosphorylation levels of ERK, AKT, S6, and IκBα and expression levels of HIF-1α and PD-L1 in H661 cells. In comparison with WT *EGFR*, transfection of all 4 *EGFR* mutants showed stronger capability of activating downstream signaling pathway effectors and upregulating PD-L1 protein expression. Notably, transfection of *EGFR* (e19del + T790M) or *EGFR* (L858R + T790M) mutant showed stronger upregulation effects than *EGFR* (e19del) or *EGFR* (L858R), respectively. Subsequent specific siRNA-induced depletion of *EGFR* mutant and WT *EGFR* obviously suppressed signaling pathway activation and PD-L1 expression in H1975 and H1299 cells, respectively (Figure 2B,C). To elucidate the precise roles of signaling effectors of the *EGFR* pathway in PD-L1 expression regulation, 5 kinds of pathway inhibitor (U0126, LY294002, Rapamycin, BAY11-7082, and PX-478) were used to treat H1975 cells separately. As shown in Figure 2D, treatment with the 5 inhibitors induced obviously decreased protein levels of p-IκBα, HIF-1α, and PD-L1 and displayed significant dose-dependent relationship in H1975 cells, with BAY11-7082 and PX-478 treatments playing the strongest roles.

3.3 | Treatment with EGFR pathway inhibitors suppressed tumor growth and PD-L1 expression in xenograft mouse model

To further testify the roles of signaling effectors (p-ERK, p-AKT, p-IκBα, and HIF-1α) in PD-L1 expression regulation by *EGFR* mutant in NSCLC in vivo, a xenotransplanted tumor mouse model bearing H1975 cells was established and received treatments of corresponding pathway inhibitors. As shown in Figure 3A, the tumor burdens were significantly decreased by treatments with U0126, LY294002, BAY11-7082, and PX-478 compared with control group (DMSO). The greatest inhibition effects on tumor growth were observed in PX-478 and BAY11-7082 groups.

Western blot analysis showed that the protein levels of HIF-1α, p-IκBα, and PD-L1 were significantly downregulated to varying degrees in transplanted tumor tissues of all 4 pathway inhibitor groups compared with the control group. Further immunohistochemistry analysis confirmed the change in PD-L1 protein level in each experimental group. Notably, PX-478 and BAY11-7082 groups showed the strongest inhibition effects on PD-L1 expression (Figure 3B,C).

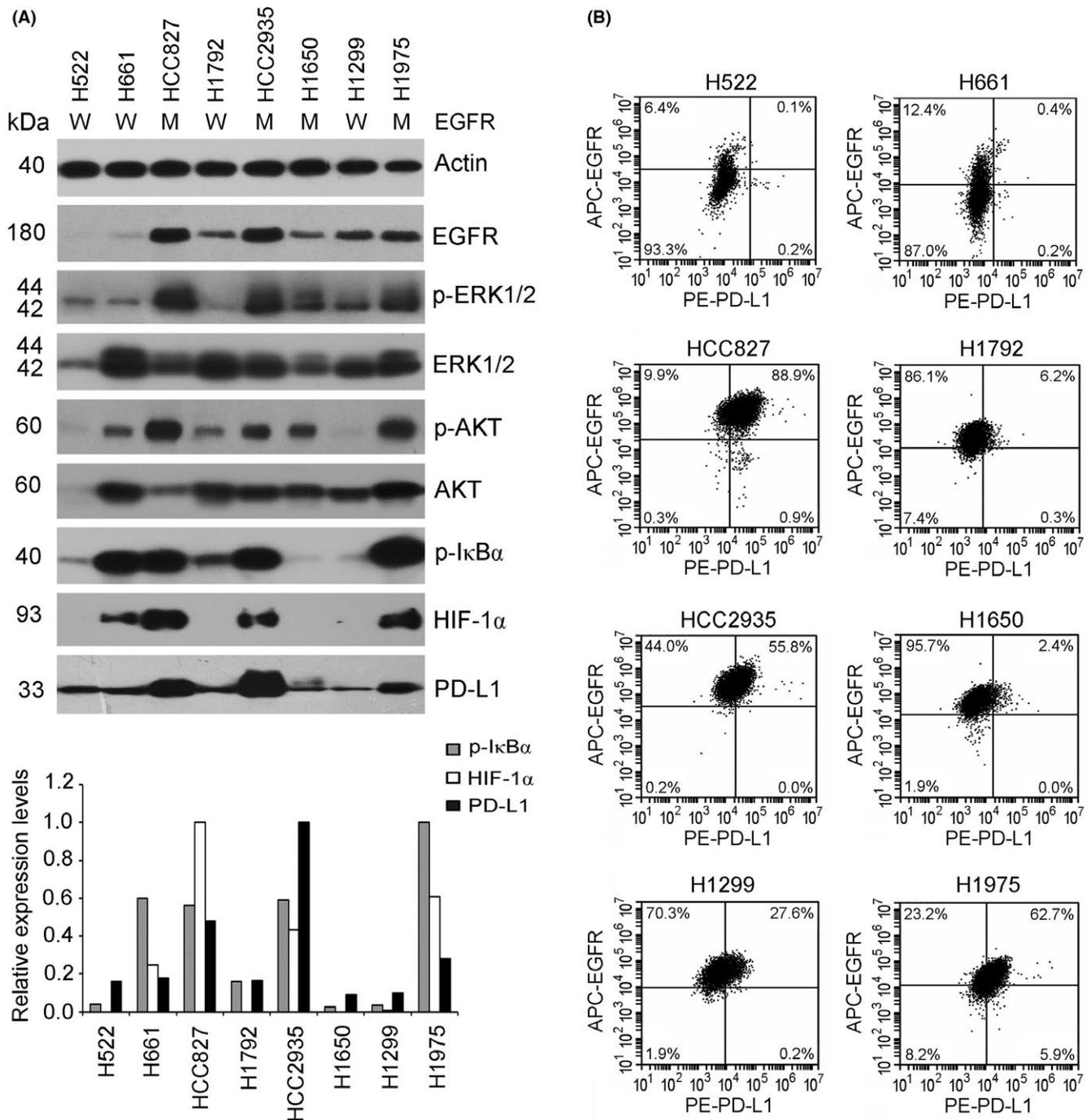


FIGURE 1 Activation of the epidermal growth factor receptor (EGFR) signaling pathway and programmed cell death ligand 1 (PD-L1) expression in non-small-cell lung cancer (NSCLC) cells. A, Eight human NSCLC cell lines were cultured, and cellular proteins were extracted for western blot analysis of the protein levels of EGFR, phosphorylated (p-)ERK1/2, ERK1/2, p-AKT, AKT, p-IκBα, hypoxia-inducible factor-1α (HIF-1α), and PD-L1 (top panel). Protein bands of p-IκBα, HIF-1α, and PD-L1 were quantified and normalized to internal control Actin (bottom panel). M, EGFR mutant; W, WT EGFR. B, Flow cytometry analysis using specific Abs labeled with different fluorescent proteins (APC-EGFR and PE-PD-L1) was used to examine the cell surface expression of EGFR and PD-L1 of 8 NSCLC cell lines. APC, allophycocyanin; PE, phycoerythrin. The respective isotype control Abs, PE Mouse IgG1 and APC Mouse IgG2b, were used as controls

3.4 | Correlations among EGFR status, p-IκBα, HIF-1α, and PD-L1 protein levels in NSCLC tissues

The representative examples of p-IκBα, HIF-1α, and PD-L1 staining are shown in Figure 4. The expression patterns of PD-L1 according to clinical characteristics, EGFR status, p-IκBα protein level, and HIF-1α expression

are summarized in Table 1. A total of 54 (36.2%), 64 (43.0%), and 53 (35.6%) of 149 NSCLC specimens were scored p-IκBα, HIF-1α, and PD-L1 staining positive, respectively. The expression of PD-L1 protein was not significantly associated with clinical characteristics. The proportion of tissues with positive PD-L1 staining was much higher in NSCLC tissues with either p-IκBα or HIF-1α positive staining than in tissues with

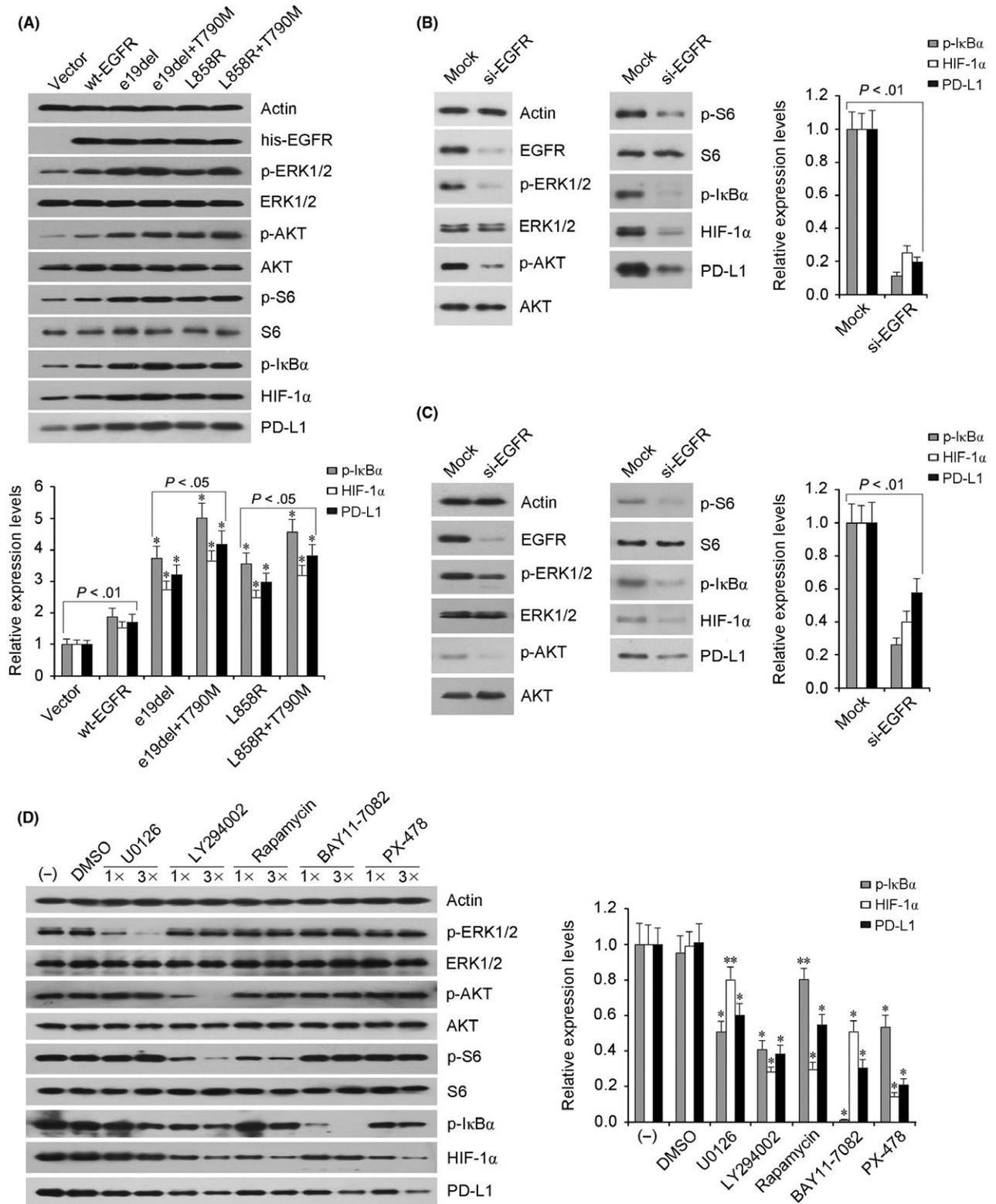


FIGURE 2 Effects of activation or inhibition of the epidermal growth factor receptor (EGFR) signaling pathway on programmed cell death ligand 1 (PD-L1) expression in non-small-cell lung cancer cells. A, H661 cells transfected with WT EGFR (wt-EGFR) or EGFR mutant expression vectors (e19del, e19del + T790M, L858R, and L858R + T790M) showed apparently elevated protein levels of phosphorylated (p)-ERK, p-AKT, p-S6, p-IkB α , hypoxia-inducible factor-1 α (HIF-1 α), and PD-L1. * $P < .01$ vs wt-EGFR transfection. B,C Specific siRNA (si-EGFR) transfection induced significantly downregulated EGFR expression, followed by obviously decreased protein levels of p-ERK, p-AKT, p-S6, p-IkB α , HIF-1 α , and PD-L1 in H1975 (B) and H1299 (C) cells, respectively. D, H1975 cells received 2 dose treatments (1 \times and 3 \times) of 5 kinds of pathway inhibitor, with DMSO-treated cells used as control. Actin was used as internal control and the graph indicates the relative protein levels of p-IkB α , HIF-1 α , and PD-L1. Mean \pm SD values for continuous variables of 3 experiments. * $P < .01$ and ** $P < .05$ vs DMSO group

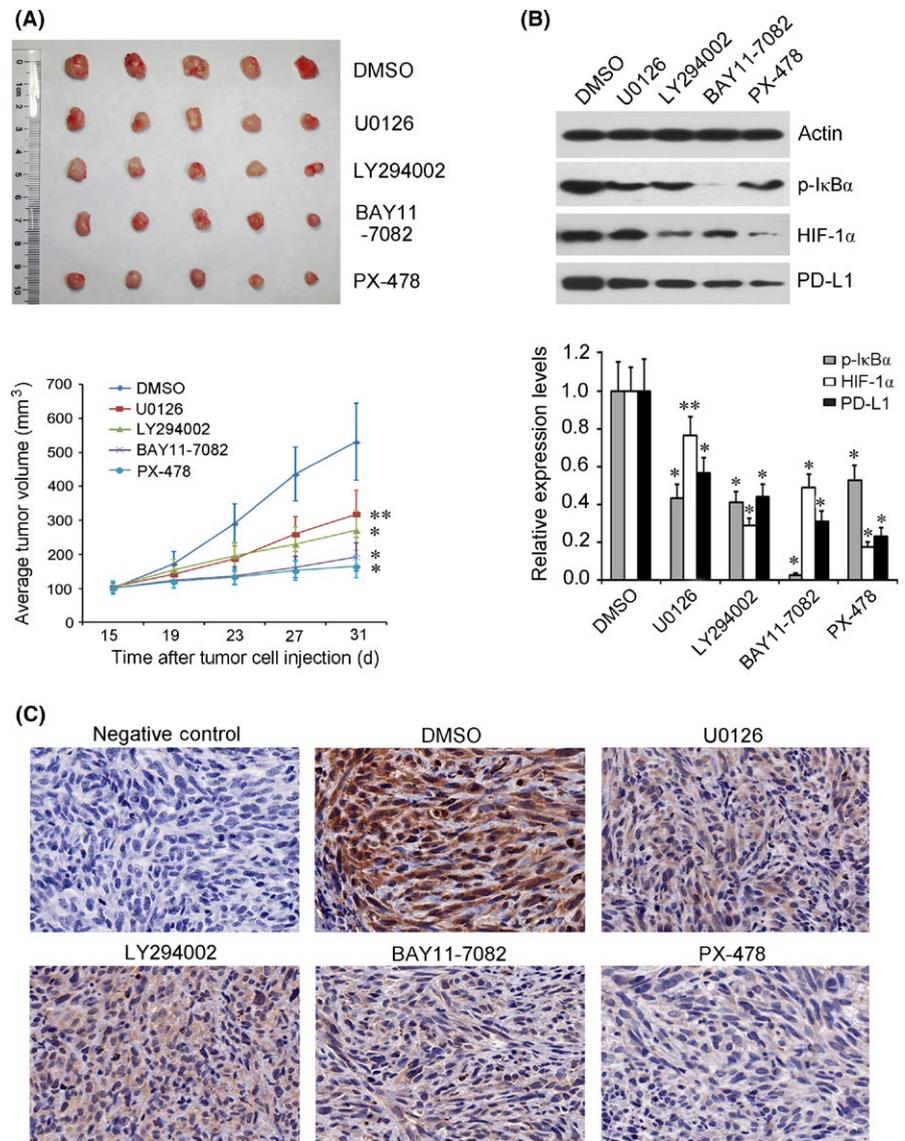


FIGURE 3 Inhibitors of the epidermal growth factor receptor (EGFR) signaling pathway suppressed tumor growth and programmed cell death ligand 1 (PD-L1) expression in a xenograft mouse model of non-small-cell lung cancer. Xenotransplanted tumor mouse model bearing H1975 cells was established and received treatments of 4 pathway inhibitors (U0126, LY294002, BAY11-7082, and PX-478, separately). A, All 4 experimental groups showed significantly decreased tumor burden compared with control group (DMSO). B,C. Western blot analysis (B) and immunohistochemistry analysis (C) showed that the protein levels of phosphorylated (p-)IκBα, hypoxia-inducible factor-1α (HIF-1α), and PD-L1 were significantly downregulated to varying degrees in all inhibitor groups, with PX-478 and BAY11-7082 displaying the strongest inhibition action. Original magnification, 400×. * $P < .01$ and ** $P < .05$ vs DMSO group

both p-IκBα and HIF-1α negative staining (44.4% vs 22.0%; $P = .005$). As for *EGFR* genotyping, PCR amplification was successful in 142 of 149 NSCLC samples and *EGFR* mutants were detected in 46 (32.4%) of 142 NSCLC specimens. The NSCLC tissues harboring *EGFR* mutants presented significantly increased positive rate of PD-L1 expression in comparison with tissues with WT *EGFR* (47.8% vs 30.2%, $P = .041$).

Elevated protein levels of p-IκBα and HIF-1α were also detected in tissues carrying *EGFR* mutants compared to tissues with the WT *EGFR* gene (56.0% vs 44.0%, $P = .029$ and 56.4% vs 43.6%, $P = .012$, respectively; Table 2). Additionally, the proportion of tissues with positive p-IκBα staining was significantly higher in NSCLC tissues with HIF-1α positive staining than HIF-1α negative staining (59.2% vs 40.8%; $P = .002$), as shown in Table 3.

4 | DISCUSSION

Overexpression of PD-L1 has been detected in various kinds of human tumors, including NSCLC, and has been reported to be

a poor prognostic indicator of overall survival and a predictive marker of good response to new immunotherapy drugs.^{27,28} Some driver gene mutations including *EGFR* have been reported to be involved in intrinsic regulation of PD-L1 expression in various tumors, including NSCLC.²⁹ Epidermal growth factor receptor is a member of the ErbB family of receptor tyrosine kinases. *EGFR* mutants promote tumorigenesis of NSCLC by constitutively activating downstream signaling effectors, including PI3K/AKT, RAS/ERK, and others.^{30,31} Activated AKT, as a key downstream effector of the *EGFR* pathway, has been reported to activate NF-κB and thereby regulate PD-L1 expression.³² As an important transcription factor, NF-κB transactivates PD-L1 expression by binding directly to the PD-L1 promoter.^{33,34} NF-κB is bound and inhibited by IκBs in the cytoplasm of unstimulated cells. Upon stimulation, IκBs are phosphorylated by upstream IKK kinases and NF-κB is released and translocates into nucleus to transcript target genes, including PD-L1. By phosphorylation of IKK kinases, which in turn phosphorylate IκBα, activation of PI3K/AKT can further activate NF-κB.³⁵⁻³⁷

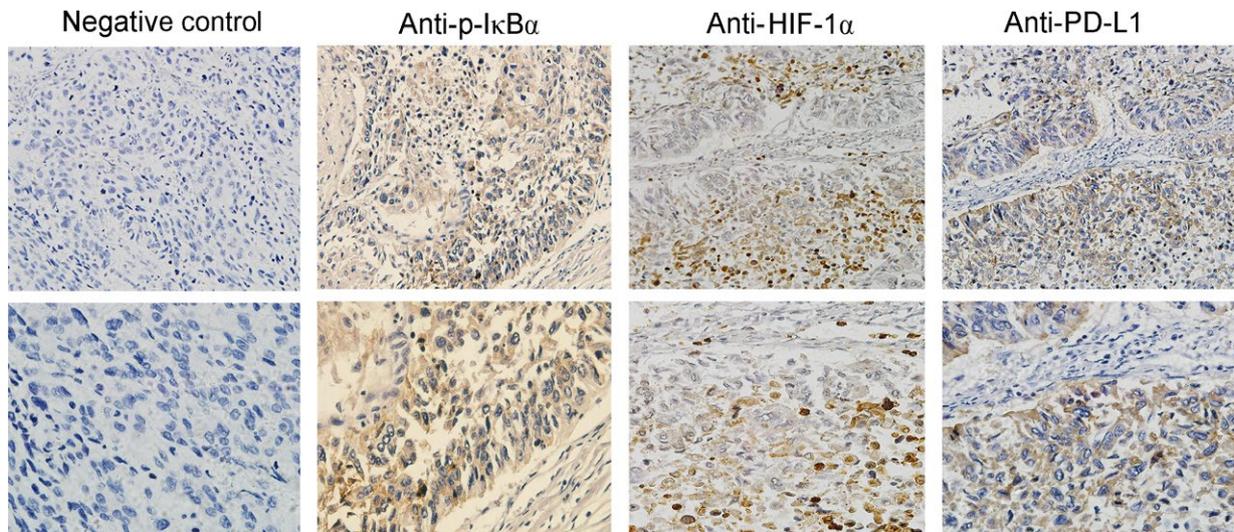


FIGURE 4 Immunohistochemistry staining of phosphorylated (p-)I κ B α , hypoxia-inducible factor-1 α (HIF-1 α), and programmed cell death ligand 1 (PD-L1) proteins in non-small-cell lung cancer tissues. Human non-small-cell lung cancer tissues (n = 149) were included for immunohistochemistry analysis of the expression of p-I κ B α , HIF-1 α , and PD-L1 proteins. Positive expression of p-I κ B α (Anti-p-I κ B α), HIF-1 α (Anti-HIF-1 α), and PD-L1 (Anti-PD-L1) is shown as brown staining in cytoplasm, cell nucleus, and cell membrane, respectively. Section without Ab treatment was used as negative control. Original magnification, 200 \times (top panels) and 400 \times (bottom panels)

In the present study, we investigated the association of EGFR status and EGFR signaling pathway activation with PD-L1 expression in 8 human NSCLC cell lines. Genotyping and western blot results showed that the EGFR status and EGFR expression together affected phosphorylation activation of ERK1/2, AKT, and I κ B α and expression of HIF-1 α and PD-L1 in NSCLC cells. Notably, strong accordance between PD-L1 expression and protein levels of p-I κ B α and HIF-1 α was observed in the majority of NSCLC cells examined. Further flow cytometry analysis indicated that NSCLC cells with mutated *EGFR* showed clearly higher percentages of cells that were both EGFR and PD-L1 positive on the cell surface than the remaining NSCLC cell lines carrying WT *EGFR*. Another important transcription factor, HIF-1 α , increases the expression of PD-L1 in hypoxic cancer cells by binding directly to the hypoxia response element in the PD-L1 promoter.^{38,39} Upregulated HIF-1 α expression by a reduction in oxygen-dependent degradation in hypoxia leads to the expression of genes involved in tumor angiogenesis, metastasis/migration, glucose metabolism, cell proliferation, chemoresistance, and immune adaptation.⁴⁰⁻⁴² Apart from hypoxia, genetic changes and abnormal activation of important signaling pathways in tumors can induce HIF-1 α expression under normoxia conditions. *VHL*, *PTEN*, *BRAF*, and *SDH* gene mutations have been reported to regulate HIF-1 α expression under normoxia.^{40,43} Activation of AKT upregulates HIF-1 α protein translation through downstream component mTOR/S6.⁴⁴

Our sequencing results revealed *EGFR* (L858R + T790M) mutant in H1975 cells and WT *EGFR* in H661 and H1299 cells, and these 3 cell lines were used to investigate the precise molecular mechanism of PD-L1 expression regulation by *EGFR* in NSCLC cells. H661 cells transfected with 4 kinds of common *EGFR* mutant or H1975 cells with si-*EGFR* showed obviously up- or downregulated protein levels of p-ERK, p-AKT, p-I κ B α , HIF-1 α , and PD-L1, respectively. In contrast, H661 cells transfected with

WT *EGFR* or H1299 cells with si-*EGFR* showed relatively mild changes in the protein levels mentioned above. We further treated H1975 cells with 5 kinds of pathway inhibitor (U0126, LY294002, Rapamycin, BAY11-7082, and PX-478) to explore the potential roles of pivotal signaling effectors of the *EGFR* pathway in regulation of PD-L1 expression in NSCLC cells. Western blot analysis revealed significantly suppressed protein levels of p-I κ B α , HIF-1 α , and PD-L1 in H1975 cells treated with all 5 inhibitors, with BAY11-7082 and PX-478 showing the strongest inhibitory effects. These results suggested important roles of p-AKT and p-ERK and potential interplay and cooperation between NF- κ B and HIF-1 α in regulation of PD-L1 expression by *EGFR* mutants in NSCLC cells. It has been reported that activated NF- κ B can directly bind to the promoter of HIF-1 α and promote HIF-1 α transcription under hypoxia or normoxia conditions in multiple cells, including cancer cells.^{45,46} Notably, some studies have revealed a positive feedback loop between HIF-1 α and NF- κ B pathways. Hypoxia-inducible factor-1 α can induce transcription of IKK β through the hypoxia response element present in the promoter of the IKK β gene and mediate consequent nuclear translocation and activation of NF- κ B.⁴⁷ Hypoxia-inducible factor-1 α has also been reported to directly transcribe NF- κ B expression under hypoxia.^{45,48} Based on previous research reports and our existing research findings, we developed a possible model for PD-L1 expression regulation by *EGFR* mutants (Figure 5).

We next determined the roles of pivotal *EGFR* signaling effectors in regulation of PD-L1 expression in NSCLC *in vivo*. A xenotransplanted tumor mouse model bearing H1975 cells was established and treated with corresponding pathway inhibitors. Subsequent western blot and immunohistochemistry analyses showed that the protein levels of p-I κ B α , HIF-1 α , and PD-L1 were significantly downregulated to varying degrees in all inhibitor groups, with PX-478 and BAY11-7082 treatments displaying the strongest inhibition effects on PD-L1

TABLE 1 Associations of clinical and genetic factors with programmed cell death ligand 1 (PD-L1) expression in patients with non-small-cell lung cancer (n = 149)

Variable	Total	PD-L1, n (%)		P-value ^a
		Positive	Negative	
Age, years				
≤60	61	24 (39.3)	37 (60.7)	0.423
>60	88	29 (33.0)	59 (67.0)	
Gender				
Male	96	35 (36.5)	61 (63.5)	0.761
Female	53	18 (34.0)	35 (66.0)	
Smoking status				
Smoker	54	23 (42.5)	31 (57.5)	0.177
Nonsmoker	95	30 (31.6)	65 (68.4)	
Pathology				
ADC	76	25 (32.9)	51 (67.1)	0.486
SCC	73	28 (38.4)	45 (61.6)	
TNM stage				
I	14	3 (21.4)	11 (78.6)	0.529
IIa	63	21 (33.3)	42 (66.7)	
IIb	59	23 (39.0)	36 (61)	
III	13	6 (46.1)	7 (53.9)	
Lymph node metastasis				
Positive	72	29 (40.3)	43 (59.7)	0.246
Negative	77	24 (31.2)	53 (68.8)	
EGFR status^b				
Wild-type	96	29 (30.2)	67 (69.8)	0.041
Mutated	46	22 (47.8)	24 (52.2)	
p-IκBα expression				
Positive	54	23 (42.6)	31 (57.4)	0.177
Negative	95	30 (31.6)	65 (68.4)	
HIF-1α expression				
Positive	64	27 (42.2)	37 (57.8)	0.143
Negative	85	26 (30.6)	59 (69.4)	
p-IκBα and HIF-1α expression				
Positive ^c	90	40 (44.4)	50 (55.6)	0.005
Negative ^d	59	13 (22.0)	46 (78.0)	

^aTwo-sided χ^2 test.^bNo information for some patients.^cEither phosphorylated (p-)IκBα or hypoxia-inducible factor-1α (HIF-1α) positive.^dBoth p-IκBα and HIF-1α negative.

ADC, adenocarcinoma; EGFR, epidermal growth factor receptor; SCC, squamous cell carcinoma.

expression. These results were consistent with our research findings in vitro and further suggested the important roles of HIF-1α and p-IκBα in PD-L1 expression regulation by EGFR mutants in NSCLC.

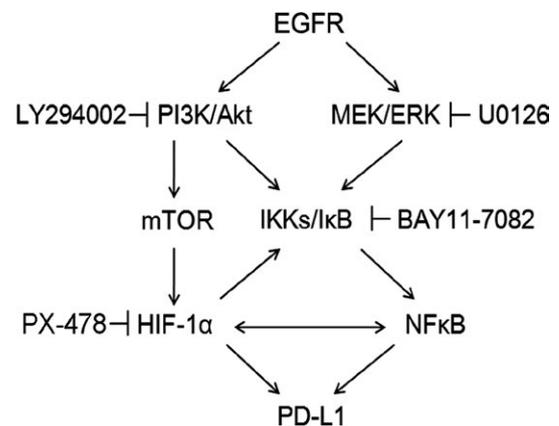
To investigate the correlations among EGFR mutants, pivotal EGFR signaling effectors, and PD-L1 expression, we further examined EGFR

TABLE 2 Association of epidermal growth factor receptor (EGFR) status with phosphorylated (p-)IκBα or hypoxia-inducible factor-1α (HIF-1α) expression in patients with non-small-cell lung cancer (n = 142)

Variables	Total	EGFR status ^a (%)		P-value ^b
		WT	Mutated	
p-IκBα expression				
Positive	50	28 (56.0)	22 (44.0)	0.029
Negative	92	68 (73.9)	24 (26.1)	
HIF-1α expression				
Positive	62	35 (56.4)	27 (43.6)	0.012
Negative	80	61 (76.2)	19 (23.8)	

^aNo information for some patients.^bTwo-sided χ^2 test.**TABLE 3** Association of phosphorylated (p-)IκBα expression with hypoxia-inducible factor 1α (HIF-1α) expression in patients with non-small-cell lung cancer (n = 149)

Variable	Total	HIF-1α expression (%)		P-value ^a
		Positive	Negative	
p-IκBα expression				
Positive	54	32 (59.2)	22 (40.8)	0.002
Negative	95	32 (33.6)	63 (66.4)	

^aTwo-sided χ^2 test.**FIGURE 5** Flowchart of programmed cell death ligand 1 (PD-L1) expression regulation by epidermal growth factor receptor (EGFR) mutants through downstream signaling effectors phosphorylated (p-)AKT, p-ERK, nuclear factor-κB (NF-κB), and hypoxia-inducible factor-1α (HIF-1α). IKK, IκB kinase

status and protein levels of p-IκBα, HIF-1α, and PD-L1 in 149 human NSCLC tissues. The mutation rate of the EGFR gene was 32.4% in this NSCLC patient group, and NSCLC tissues carrying EGFR mutants showed elevated protein levels of PD-L1, p-IκBα, and HIF-1α, compared to tissues with WT EGFR ($P = .041, .029, \text{ and } .012$, respectively), which was supported by various previous reports that PD-L1 expression was upregulated in NSCLC tissues harboring EGFR mutations.^{21,49,50} We

next undertook an association analysis of HIF-1 α and p-I κ B α positive staining with PD-L1 expression and revealed that NSCLC tissues with either HIF-1 α or p-I κ B α positive staining presented significantly increased positive rates of PD-L1 expression compared with tissues that were stained negative for both HIF-1 α and p-I κ B α ($P = .005$). Moreover, a high degree of correlation was observed between protein expression of HIF-1 α and phosphorylation level of I κ B α ($P = .002$). These statistical analysis results showed significant correlations among *EGFR* mutants, p-I κ B α , HIF-1 α , and PD-L1 protein levels in NSCLC tissues. In contrast, some other retrospective studies have reported that PD-L1 positivity was more frequent in NSCLC tissues carrying WT *EGFR* and other studies have shown no association between PD-L1 expression and *EGFR* mutations.⁵¹⁻⁵³ The discrepancies among these studies might be caused by the heterogeneous study population and variable definitions of PD-L1 expression. Additionally, our present findings indicated the necessity to explore the effects of genetic and environmental factors on NF- κ B and HIF-1 α in the studying of PD-L1 expression regulation by *EGFR* mutants. Notably, several recent clinical trials and retrospective studies have revealed no efficacy of the PD-1/PD-L1 inhibition strategy in *EGFR* mutated NSCLC compared with WT *EGFR*.^{54,55} This lack of response might be partially attributed to the complexity of regulatory effects of *EGFR* mutants on PD-L1 expression and the suppression of tumor-infiltrating lymphocytes caused by *EGFR* pathway activation, which is likely responsible for the uninfamed tumor microenvironment and immunosuppression.^{56,57}

Taken together, our data indicated important roles of p-AKT, p-ERK, NF- κ B, and HIF-1 α and potential interplay and cooperation between NF- κ B and HIF-1 α in PD-L1 expression regulation by *EGFR* mutants in NSCLC cells. Considering the widespread existence of hypoxia in solid tumors and its induction effect on HIF-1 α expression, the influence of driver mutants combined with hypoxic conditions on PD-L1 expression regulation and tumor immune escape merits further investigation in expanded NSCLC cases.

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CONFLICT OF INTEREST

The authors declare no potential conflict of interest.

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