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International Journal of Infectious Diseases



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# SARS-CoV-2 seroprevalence and associated risk factors in periurban Zambia: a population-based study



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### ARTICLE INFO

Article history: Received 22 December 2021 Revised 10 March 2022 Accepted 12 March 2022

Keywords: SARS-CoV-2 COVID-19 Zambia Seroprevalence Population-based survey TB/HIV

#### ABSTRACT

*Background:* We nested a seroprevalence survey within the TREATS (Tuberculosis Reduction through Expanded Antiretroviral Treatment and Screening) project. We aimed to measure the seroprevalence of SARS-CoV-2 infection and investigate associated risk factors in one community (population ~27,000) with high prevalence of TB/HIV in Zambia.

*Methods:* The study design was cross-sectional. A random sample of 3592 individuals aged  $\geq$ 15 years enrolled in the TREATS TB-prevalence survey were selected for antibody testing. Randomly selected blocks of residence were visited between October 2020 and March 2021. Antibodies against SARS-CoV-2 were detected using Abbott- ARCHITECT SARS-CoV-2 IgG assay.

*Results*: A total of 3035/3526 (86.1%) individuals had a blood sample taken. Antibody testing results were available for 2917/3035 (96.1%) participants. Overall, 401/2977 (13.5%) individuals tested positive for IgG antibodies. Seroprevalence was similar by sex (12.7% men vs 14.0% women) and was lowest in the youngest age group 15–19 years (9.7%) and similar in ages 20 years and older (~15%). We found no evidence of an association between seroprevalence and HIV-status or TB. There was strong evidence (p <0.001) of variation by time of enrollment, with prevalence varying from 2.8% (95% CI 0.8–4.9) among those recruited in December 2020 to 33.7% (95% CI 27.7–39.7) among those recruited in mid-February 2021.

*Conclusion:* Seroprevalence was 13.5% but there was substantial variation over time, with a sharp increase to approximately 35% toward the end of the second epidemic wave.

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#### Introduction

COVID-19 is a rapidly spreading infectious disease caused by the novel coronavirus SARS-CoV-2, which has established a global pandemic. Currently, over 200 million COVID-19 cases and over 4.8 million deaths have been reported globally, representing a case fatality rate of 2.2% (WHO, 2021). In Africa, there are now more than 8 million COVID-19 reported cases across 47 countries, with 213,000 deaths as of October 7<sup>th</sup>, 2021 (Africanews, 2021). Although the pandemic initially seemed to have stabilized due to naturally acquired population immunity and vaccine rollout, the

\*\* Corresponding author: Kwame Shanaube, Address: Zambart; Ridgeway Campus; Box 50697; Ridgeway; Lusaka; Zambia. disease has been characterized by new waves of infection and the development of more transmissible variants, such as the delta variant.

As of October 11<sup>th</sup>, 2021, the Zambia National Public Health Institute reported 209,353 COVID-19 cases and 3654 deaths. Many people in Zambia are potentially at risk of developing severe COVID-19 owing to coexisting underlying conditions and a high TB/HIV coinfection rate. With an HIV prevalence of 12.1% among persons aged 15–49 years (DHS, 2018), Zambia is among the 10 countries with the highest burden of HIV (Zambia Statistics Agency, Ministry of Health (MOH) Zambia, and ICF, 2019). Although people living with HIV (PLHIV) may not be at higher risk of contracting SARS-CoV-2 infection, one of the highest risks for developing severe and even fatal COVID-19 disease is among people with poorly controlled or treated HIV (Boulle et al., 2020; Sentongo et al., 2021). In a recent study conducted in Zambia

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https://doi.org/10.1016/j.ijid.2022.03.021

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among 443 hospitalized patients with COVID-19 of whom 28% were HIV-positive, PLHIV with severe HIV disease were more likely to develop severe COVID-19 or die from COVID-19 (Chanda et al., 2021). Additionally, in a recent systematic review, TB was a risk factor for COVID-19 in terms of both severity and mortality irrespective of HIV-status (Tamuzi et al., 2020).

Serological assays identify SARS-CoV-2 antibodies, indicating previous infection in unvaccinated persons (Cheng et al., 2020). Population-based serological testing provides estimates of the cumulative incidence of infection and complements diagnostic testing of acute infection in helping to inform the public health response to COVID-19. As the world moves through the vaccine and new variant era, synthesizing seroepidemiology findings is increasingly important to track the spread of infection, identify disproportionately affected groups, and measure progress toward herd immunity (Bobrovitz et al., 2021; Chen et al., 2021).

Our understanding of community-level transmission patterns, seroprevalence, and its correlates remains limited (Mulenga et al., 2021). To our knowledge, only 2 seroprevalence studies have been conducted in Zambia (Lai, Wang, & Hsueh, 2020; Mulenga et al., 2021). We report on results from a SARS-CoV-2 seroprevalence survey (serosurvey) conducted between October 2020 and March 2021. Our aim was to determine the seroprevalence of SARS-CoV-2 infection in a population with high prevalence of TB/HIV coinfection in Zambia, as a measure of the cumulative proportion of the general population who have been infected. We further aimed to determine risk factors for SARS-CoV-2 infection in this population.

## Methods

#### Study Design and Population

The TREATS-COVID study aimed to measure the prevalence and spread of SARS-CoV-2 in Zambia, collecting data from one periurban community and extrapolating to the wider population using mathematical modelling. It is an observational epidemiological study with 3 linked substudies, 1 of which was a serosurvey (Appendix 1). To rapidly gain evidence, we nested the serosurvey within the TREATS TB Prevalence survey (TBPS), a crosssectional random sample of the community. Participants aged  $\geq$ 15 years selected for the TBPS were also asked to take part in the serosurvey. Details of the TREATS Project are provided elsewhere (Zambart, 2021).

The study was conducted in a periurban community in Kabwe district, Zambia. This middle-to-high density study community has previously been characterized as part of the HPTN 071 (PopART) and TREATS studies, with a mixed economy that is typical of other Zambian periurban communities (Hayes et al., 2019). HIV prevalence in the community is approximately 15% and TB prevalence is estimated to be in the range of 0.5%–1%. The total population was estimated to be 28,000 individuals, living in approximately 5,300 households (average household size around 5.3), of whom around 17,000 (60%) were aged  $\geq$ 15 years. More than one-third of the population of Kabwe live in lead-contaminated areas (Watch, 2019) (Appendix 2).

#### Sample size and sampling strategy

The sampling strategy for the TBPS was used for random selection of serosurvey participants. Sampling was structured according to geographically defined blocks of residence of approximately 150–200 households. A total of 20 blocks covered the whole community. Blocks were randomly ordered from 1–20 and visited sequentially. Every household in the block was visited (at least 3 attempts were made) with the whole block being covered in 2– 3 weeks. Closed households in 4 blocks visited in November– December 2020 were revisited at a later stage of the survey (January/February 2021).

Household members aged  $\geq$ 15 years were invited to participate until the TBPS target sample size of 3500 individuals was reached. The sample size of 3500 individuals was chosen to include all participants of the TBPS in the serosurvey. At the time of the survey, there was no knowledge available on SARS-CoV-2 IgG antibody seroprevalence. Sample size calculations showed that a target of 3500 individuals with 15% HIV-prevalence would give us 84% power to detect a difference in seroprevalence of 6% in participants who were HIV-negative versus 3% in those who were HIV-positive.

#### Procedures

After sensitizing the community, research assistants (RAs) with appropriate personal protective equipment went door-to-door, enumerating the entire population in the selected blocks. During the household visit, written informed consent was obtained for those aged 18 years and older, whereas those aged 15-17 years were asked for verbal assent and their parents/guardians for written informed consent. RAs conducted symptomatic screeningscreened participants for COVID-19 symptoms (fever, cough, new shortness of breath, new loss of taste or smell, and fatigue) or for being a (known) household contact of a confirmed COVID-19 case. Individuals screened positive for COVID-19 were invited to go to a clinical site for clinical assessment, whereas those screened negative were invited to attend the TBPS mobile field site (MFS). Absent household members were given invitation cards and asked to attend the clinical site if they had COVID-19 symptoms or MFS if they did not have symptoms. Questionnaire data on seroprevalence risk factors were collected.

At the clinical site, PCR testing (Cepheid Xpert Xpress SARS-CoV-2 assay or VitaPCR RT-PCR assay [Credo-Diagnostics Biomedical, Singapore], depending on availability) using oropharyngeal/nasal specimens was conducted and participants were also all asked for a venous blood sample for SARS-CoV-2 antibody testing. Positive PCR results were communicated to the district for contact tracing. At the MFS, venous blood was collected for antibody testing and TB and HIV procedures were conducted as part of the TBPS (Figure 1).

## SARS-CoV-2 IgG immunoassay testing

All blood specimens were transported in cooler boxes with cold packs to a local laboratory in Kabwe on the same day, within 4 hours of collection. Blood was collected in BD Vacutainer Plastic K2EDTA tubes with Lavender BD Hemogard Closure. The blood specimens were registered, centrifuged, and 2 mL plasma aliquots were stored at  $-80^{\circ}$ C. Weekly, the frozen aliquots were transported frozen in freezers installed in a truck to the Zambart Central Laboratory in Lusaka.

Plasma samples were then tested for the presence of SARS-CoV-2 IgG antibodies against the nucleocapsid protein on the Abbott Architect i2000SR automated analyzer using the Abbott SARS-CoV-2 IgG assay (Abbott Park, USA) according to the manufacturer's instructions (Appendix 3). Assay results higher than or equal to the cut-off index value of 1.4 were interpreted as positive for SARS-CoV-2 antibodies.

#### Data collection and statistical analysis

Tablets were used for data capture. Data from the local server were synchronized daily to a central server at Zambart (Stata version 16.1, STATA Corp., USA) and were used for statistical analysis. The primary outcome—detectable level of SARS-CoV-2 antibodies in plasma—was defined as a binary variable (positive/negative). Seroprevalence of past SARS-CoV-2 infection overall and in subgroups was calculated as the number of individuals testing positive

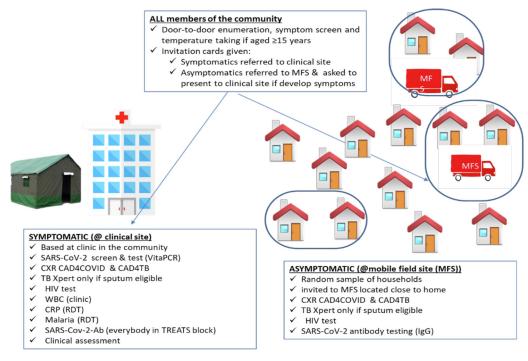


Figure 1. Summary of study procedures

for SARS-CoV-2 antibodies divided by the number of individuals tested and having a valid result.

A population-average logistic regression model, with household as the panel variable to adjust for household clustering and week of participation as a fixed effect, was fitted to the data. Pointprevalence (with 95% CI) for each week of participation was calculated using postestimation of this model with week as the only independent variable. The same regression model was used to obtain adjusted odds ratios (aOR) for the associations between socioeconomic, clinical, and behavioral factors with the prevalence of SARS-CoV-2 infection, adjusted a priori for week of participation, age, and sex.

Simple projections were made to estimate the number of past infections per 1000 population, aged 15 years and older on the basis of our survey. This was compared with number of COVID-19 notifications aged 15 years and older per 1000 population, on the basis of the confirmed notified COVID-19 cases in Kabwe district as reported by the local health authorities and the population size of Kabwe.

## Results

We enumerated 1913 households with 9533 individuals, 69.2% (6598/9533) were aged 15 years or older and 69.9% (4610/6598) agreed to be part of the study and were screened for COVID-19 symptoms. Among those screened, 3.0% (137/4610) self-reported at least one COVID-19 symptom or lived in a household where at least one person had been diagnosed with COVID-19 (figure 2). Individuals with a negative symptom screen or who did not report living in a household where at least one person had been diagnosed with COVID-19 and those not seen at the household were referred to the MFS (97.0% [4473/4610]).

A total of 3526 individuals (78.8% of 4473) were seen at the MFS; 3035 (86.1%) provided a blood sample with IgG antibody results available for 2917 (96.1%). Of the 137 who were referred to the clinical site, 66 (48.2%) attended the clinical site and of these, 64 (97.0%) gave a blood sample, and of these 60 (93.8%) were tested for IgG antibodies.

Overall, 401/2977 (13.5%) individuals tested positive for IgG antibodies. There was strong evidence (p < 0.001) of variation by time of enrollment, with prevalence varying from 2.8% (95% CI 0.8–4.9) among those recruited early December 2020 to 33.7% (95% CI 27.7– 39.7) among those recruited mid-February 2021 (figure 3).

A seroprevalence of between 13% and 35% is equivalent to 135– 350 per 1000 population having a passed infection of SARS-CoV-2. In May 2021, the health authorities from Kabwe district (population ~150,000 of 15 years and older) reported ~500 cumulative confirmed cases of COVID-19 aged 15 years and older since the start of the pandemic, which is equivalent to 3 per 1,000 population.

## Risk factors

Seroprevalence was similar in men and women (12.7% vs 14.0%, respectively). Young people (YP) (15–19 years) had the lowest seroprevalence (9.7%); whereas, older age groups had higher prevalence of detectable IgG antibodies varying from 14.3% those aged 20–29 (aOR 1.43; 95% CI 1.03–1.99) to 16.1% in those aged 40–49 (aOR 1.85, 95% CI 1.24–2.74, p = 0.028) (table 1).

Seroprevalence was higher among those with symptoms (15/60, 25.0%) than those without symptoms (386/2917, 13.2%). However, after adjusting for time of enrollment, we found no evidence for higher seroprevalence among those with symptoms (aOR 1.27, 95% CI 0.67–2.42).

Higher seroprevalence was seen in individuals with COVID-19 symptoms for more than 7 days (45.0%; aOR 3.01, 95% CI 1.26–7.24, p = 0.025) compared to asymptomatics. There was no evidence of an association between seroprevalence and underlying conditions including TB and HIV or with self-reported past COVID-19 infection (table 1/Appendix 4b). Participants who reported to have used public transport in the past 2 weeks were more likely to be seropositive than those who did not (aOR 1.32, 95% CI 1.04–1.69, p = 0.021). We found some evidence (p = 0.060) that daily smokers were less likely to have detectable antibodies than nonsmokers (aOR 0.47, 95% CI 0.25–0.90).

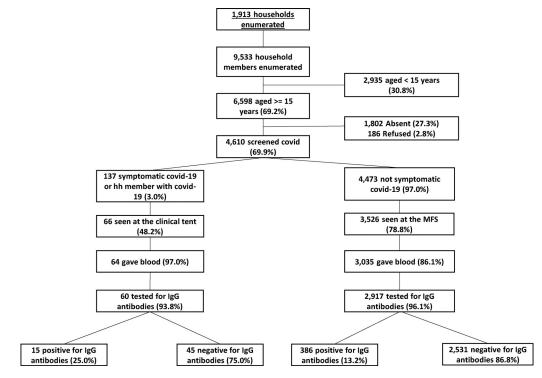


Figure 2. Participants enrolled in the study in Kabwe district, Zambia 2020/2021

 Weekly % of participants between 19/11/2020 and 24/02/2021 with detectable levels of SARS-CoV-2

 IgG antibodies in a sub-urban community in Zambia against the background of nationwide weekly

 reported COVID-19 cases.

 40%
 10,000

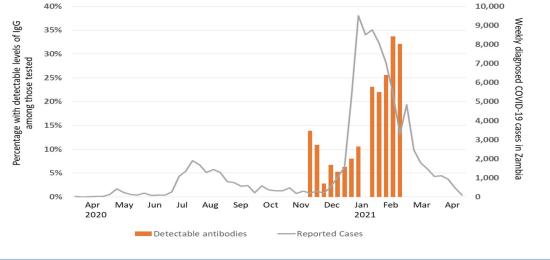


Figure 3. Variation of SARS-CoV-2 Seroprevalence by time of enrolment

Appendix 4a–4c shows the seroprevalence and aOR's for all the socioeconomic, clinical, and behavioral factors we have explored. We found no association between seropositivity and education, marital status, or employment status.

#### Discussion

We found an overall seroprevalence of SARS-CoV-2 antibodies of 13.5% in an African periurban community, covering the period just before and during the second wave of the COVID-19 epidemic in Zambia (December 2020–March 2021). The seroprevalence from our population-based random sample is much higher than previous estimates from Zambia, which ranged between 2.1%-8.2% (Fwoloshi et al., 2021; Hines JZ, Fwoloshi S, & Kampamba D, 2021; Laban et al., 2021; Mulenga et al., 2021). Our study highlights the widespread exposure to SARS-CoV-2 across this community at a time when the vaccination program had not begun in Zambia.

Several systematic reviews and meta-analyses of SARS-CoV-2 seroprevalence have been conducted worldwide; however, Africa has been under-represented (Bobrovitz et al., 2021; Chen et al., 2021; Lai et al., 2020; Rostami et al., 2021). Seroprevalence has varied markedly among geographic regions and populations. One review included serological data for more than 5 million study participants from 404 serosurveys done worldwide, with only 8 stud-

#### Table 1

Associations of key risk factors with seroprevalence of SARS-CoV-2 IgG (Zambia, 2020/2021)

	Number of participants	IgG detected	%	Adjusted OR *	95%CI	P value
All	2,977	401	13.5%			
Gender						0.321
Men	1,246	158	12.7%	1.00		
Women	1,731	243	14.0%	1.12	(0.89-1.41)	
Age					, ,	0.028
15-19	761	74	9.7%	1.00		
20-29	960	137	14.3%	1.43	(1.03-1.99)	
30-39	510	73	14.3%	1.47	(1.03-2.09)	
40-49	311	50	16.1%	1.85	(1.24-2.74	
50+	435	67	15.4%	1.52	(1.05-2.19)	
Household size	155	07	1011/0	1102	(1100 2110)	0.999
6 or more household members	1,601	215	13.4%	1.00		0.555
4/5 household members	833	109	13.1%	1.02	(0.77-1.36)	
3 members	332	47	14.2%	1.02	(0.69-1.46)	
2 members	170	25	14.2%	1.00	(0.65-1.68)	
1 member	41	5	12.2%	1.04		
	41	J	12.2%	1.00	(0.36-2.80)	0.704
COVID-19 Diagnosed	2000	200	10 40/	1.00		0.704
No	2969	399	13.4%	1.00	(0.07.0.00)	
Yes	8	2	25.0%	1.37	(0.27-6.92)	
Symptoms COVID-19						0.467
No	2925	388	13.3%	1.00		
Yes	52	13	25.0%	1.27	(0.67 - 2.42)	
Duration of symptoms COVID-19						0.025
0 days (No symptoms)	2925	387	13.2%	1.00		
1-3 days	24	1	4.2%	0.16	(0.01-1.84)	
4-6 days	8	3	37.5%	2.06	(0.46 - 9.20)	
7 days or more	20	9	45.0%	3.01	(1.26-7.24)	
Reports a current or past case of COVID-19 in household				0.984		
No	2966	398	13.4%	1.00		
Yes	11	3	27.3%	0.99	(0.22 - 4.34)	
HIV status						0.540
Tested HIV-negative	1934	270	14.0%	1.00		
Tested HIV-positive	37	3	8.1%	0.45	(0.12-1.67)	
Self-reported HIV-positive	442	62	14.0%	0.85	(0.61-1.19)	
Not tested/tested indeterminate	564	66	11.7%	0.94	(0.67-1.32)	
ТВ					( , , , , , , , , , , , , , , , , , , ,	0.918
No history of TB	2851	384	13.5%	1.00		
Self-reported currently on TB-X	8	0	0.0%	NA		
History of TB	110	16	14.5%	1.13	(0.64-1.97)	
Bacteriologically confirmed TB	8	1	12.5%	0.99	(0.17-5.67)	
Health Care Worker	5		12.3/0	0.00	(0.17-5.07)	0.261
No	2945	399	13.5%	1.00		0.201
Yes	32	2	6.3%	0.37	(0.07-2.09)	
Use public transport past 2 weeks	32	2	0.5%	0.57	(0.07-2.09)	0.021
No	898	110	12.2%	1.00		0.021
Yes	898 2079				(1.04, 1.60)	
	2079	291	14.0%	1.32	(1.04-1.69)	0.000
Currently smoking	2705	270	10 70/	1.00		0.060
No	2705	370	13.7%	1.00	(0.64.4.05)	
Sometimes	135	20	14.8%	1.09	(0.64-1.86)	
Daily	137	11	8.0%	0.47	(0.25 - 0.90)	

ies from Africa (Chen et al., 2021). Seroprevalence ranged between 4.2%–18.0% among the different populations (Chen et al., 2021).

In a recent review across 968 studies with 9.3 million participants in 74 countries, seroprevalence was low (median 4.5%, IQR 2.4–8.4%) but varied widely by population and region, from 0.6% in Southeast Asia, East Asia, and Oceania to 19.5% in sub-Saharan Africa (SSA) (Bobrovitz et al., 2021). Six countries from SSA with a median-corrected seroprevalence of 19.5% (IQR 9.0–26.0%) were included in the review. Another review included 47 studies involving 399,265 people from 23 countries with only 1 SSA country (Kenya) (Rostami et al., 2021). Seroprevalence of 3.38% (95% CI 3.05–3.72%) (Rostami et al., 2021).

In another review focused on Africa, 23 studies involving 27,735 individuals were included (Chisale et al., 2021). The pooled seroprevalence of SARS-CoV-2 antibodies in Africa was 22% (95% CI 14– 31) with very high heterogeneity (Chisale et al., 2021). Seroprevalence was highest in studies conducted in SSA compared to other regions in Africa (Chisale et al., 2021). The high seroprevalence in SSA could be explained by the new variants of the virus spreading across this region before and during the second wave (December 2020) (Mulenga et al., 2021).

Zambia reported the first 2 cases of COVID-19 on March 18<sup>th</sup>, 2020 (Kanduza, 2020). The first wave of the COVID-19 pandemic occurred between May–August 2020 (peaked July 2020); whereas, the second wave was from December 2020–April 2021 (peaked January 2021). We found a strong time trend of seroprevalence parallel with the second wave of national COVID-19 diagnosed cases. The seroprevalence was in the order of 5%–15% across weeks from November 2020–December 2020. From January 2021–mid-February 2021, the seroprevalence was substantially higher (20%–35%).

The strong time trend in levels of seropositivity we observed could have been due to various reasons. Spatial variation cannot be entirely excluded because geographic blocks were visited at different time-points. Blocks 5–15 were completed within 3 weeks before starting the next one. However, in the first 4 blocks visited, the activities continued for 12 weeks, and seroprevalence in these blocks showed the same time trend as the overall survey. Similarly, in blocks that were adjacent but sampled in different weeks, the prevalence was higher in the blocks sampled at a later time suggesting that the increase in prevalence with time is likely explained by the increased transmission with time and not by spatial variation.

We showed a decline in seroprevalence during the first 5 weeks of the study (November 19<sup>th</sup> –December 22<sup>nd</sup>, 2020). This could be explained by spatial variation, random variation, or waning of antibody levels of those infected during the first wave 4–5 months earlier in the year. The increase in weekly seroprevalence from December 23<sup>rd</sup>, 2020 until around February 20<sup>th</sup>, 2021 seem to follow the increase in national notified cases during the second wave with a 4–5-week lag time. We show trends by week with seroprevalence in the last weeks reaching approximately 35%, suggesting a high cumulative level of community transmission.

Our survey suggests that the cumulative number of cases (150– 350 cases per 1,000) population is much higher than the reported number of confirmed COVID-19 cases as reported by the district health authorities (3 per 1,000) population. Currently, the actual number of people who have been infected with SARS-CoV-2 in Zambia is unknown. Relying on reported COVID-19 cases risks underestimating the true number of infections, given the inadequate testing capacity; the high proportion of asymptomatic individuals and challenges in data and surveillance systems (Chisale et al., 2021; Y-Ling Chi, Clementine Fu, Tom Drake, Hiral Anil Shah, & Javier Guzman, 2021). A testing strategy which focuses purely on testing symptomatic cases is likely to miss a large proportion of SARS-CoV-2 infections (Shaw et al., 2021).

A large population-based serosurvey in Zambia reported that official data on the number of laboratory-confirmed cases were largely underestimating the extent of community transmission (Mulenga et al., 2021). One laboratory-confirmed case was reported for every 92 SARS-CoV-2 infections that occurred in the community (Mulenga et al., 2021). Additionally, a global review reported seroprevalences from national studies that were a median 18.1 times (IQR 5.9–38.7) higher than the corresponding SARS-CoV-2 cumulative incidence (Bobrovitz et al., 2021).

In our study population, ~13.5% seroprevalence in a population of around 14,850 ( $\geq$  15 years) implies ~2,004 community members would have prior SARS-CoV-2 infection. However, this is a minimum estimate as the final prevalence of about 35% at the end of the survey may be more reflective of the cumulative infection rate rather than the overall prevalence of about 13.5%, which probably corresponds to an estimate midway through the study. Using a final prevalence of about 35%, measured at the end of February 2021, we estimate that 5197 community members may have been infected. Our study shows that the proportion of individuals who were infected with COVID-19 by the end of the second wave was of the order of one-third in this community that shares features with many others in Zambia. Therefore, it is plausible that many other communities also experienced moderately high levels of infection.

#### Associated risk factors

Although there is overwhelming evidence on the risk factors for diagnosed SARS-COV-2 infection and disease, literature is scanty on serology (Lalwani et al., 2021). Although it is true that male sex, older age, and comorbidities are associated with higher mortality following infection, their role in acquiring the infection is less clear (Giannouchos, Sussman, Mier Odriozola, Poulas, & Farsalinos, 2020). This study found no difference by sex, consistent with other findings (Bobrovitz et al., 2021; Hallal et al., 2020). However, other studies found that male sex was associated with higher seropositivity owing to various reasons including sex-based immunological differences (Chisale et al., 2021; Galanis, Vraka, Fragkou, Bilali, & Kaitelidou, 2021).

The seroprevalence was lower in YP ( $\sim$  10% among 15–19-yearolds) compared with older age groups ( $\sim$ 15%). Similarly, in a review with 32 studies comprising 41,640 YP and 268,945 adults, YP less than 20 years had 44% lower odds of SARS-CoV-2 infection compared with adults 20 years and older (Viner et al., 2021).

Several studies have shown that individuals with comorbidities are at increased risk for severe COVID-19 disease and death (Dustan, 1990; Edler et al., 2020; Mucheleng'anga et al., 2021; Mwananyanda et al., 2021). In other studies, risk of infection in PLHIV or in patients who had TB was not more than 1.5–2 times higher than for patients negative for HIV or patients without TB (Chanda D et al.; Mulenga et al., 2021). PLHIVmight be immunocompromised and, hence, may have a lower or delayed antibody response after infection. Among participants testing HIV-positive in our survey who were previously unaware of their status and not (yet) on ART, we see lower odds of being seroprevalent than HIVnegative participants (aOR 0.45, 95% CI 0.12–1.67); however, statistical evidence was insufficient for a true difference in the population.

In this study, daily smokers had 50% lower odds of having past infection, similar to previous findings (Paleiron et al., 2021; Miyara et al., 2022). However, the role of smoking in acquiring infection is unclear (Miyara et al., 2022.). We found no associations between seroprevalence and several sociodemographic and socioeconomic risk factors. The small sample size in some subgroups could have affected the ability to detect significant differences or the finding may reflect limited heterogeneity in the living condition of this population. Other studies have shown a strong association between income levels and human development indices (Rostami et al., 2021; Shaw et al., 2021).

We found no evidence that having previous COVID-19 or having a diagnosed case of COVID-19 in the household was associated with seropositivity, probably owing to limited numbers of diagnosed COVID-19 cases. In Brazil, presence of a COVID-19 case (PR 1.39, 95% CI 1.24–1.57) or death (PR 2.14, 95% CI 1.74–2.62) in a household considerably increased the risk of other household members acquiring infection (Lalwani et al., 2021).

We found strong evidence that seropositivity was associated with the duration of COVID-19 symptoms. Individuals with a longer duration of COVID-19 symptoms of 7 days or more were 3 times more likely to be seropositive than those with no symptoms. This finding is not surprising because the sensitivity of antibody tests is low in the first week since symptom onset; 90% of cases are seropositive by 14 days (Deeks et al., 2020).

## Study Limitations

Our community was purposefully selected and is not representative of the population of Zambia, although its features are shared by many other peri-urban communities in the country. We might have experienced selection bias in individuals not enrolled in the study. Because they were absent from home they could be potentially at higher risk of SARS-CoV-2 infection due to social mixing.

Seroprevalence findings need to be interpreted with caution as data can underestimate the true number of previously infected individuals (Ward et al., 2020). IgG antibodies against SARS-CoV-2 decline over time, with faster waning of antinucleocapsid antibodies than antispike antibodies (Ward et al., 2020). Some participants could potentially have tested seronegative despite having been infected before being surveyed. Some blood samples collected during the last weeks of the survey could not be tested as a result of shortage of available test kits. Because seroprevalence was higher toward the end the survey, the presented overall seroprevalence could underestimate the actual overall seroprevalence.

### Study strengths

Our study was a random sample of the population and used a serological assay recommended in diagnostic algorithms and public health interventions (Andrew Bryan et al., 2020; A. Bryan et al., 2020; Meschi et al., 2020). Additionally, because the study took place over a 6-month period we were able to measure how sero-prevalence evolved over time. We have subsequently followed our study participants 6–10 months after the first measurement to get a later-in-time snapshot measure of the prevalence of past infection in the same population and learn also about seroincidence between the second and subsequent epidemic waves. These seroincidence results will be reported in a separate manuscript.

#### Conclusion

The overall seroprevalence from October 2020–March 2021 was 13.5% but a sharp increase in prevalence was seen (up to 35%) by the end of the second wave in mid-February 2021. There was a strong time trend in levels of seropositivity parallel with the epidemic curve. We provide useful seroepidemiological data needed for public health responses.

#### **Declaration of Competing Interest**

The authors have no conflict of interest to declare.

#### Author contributions

KS and HA conceived the study with input from AS, SF, CM, PD, BK, MR, and RH. Sample collection was led by JB with assistance from MC. The laboratory set-up and sample processing were coordinated by JB, CM PD, and BK. BK, PD. MC performed laboratory testing, collected data, and approved the test results.. Data were cleaned and prepared by AS. Statistical analyses was performed by AS. KS and AS contributed equally in writing the paper with input from all authors and revised it critically for intellectual content.

#### Author agreement

All authors have seen and approved the final version of the manuscript being submitted. The article is the authors' original work, has not received prior publication, and is not under consideration for publication elsewhere.

## **Funding source**

This project is part of the EDCTP2 program supported by the European Union (grant number RIA2016S-1632-TREATS and RIA2020EF-3004-TREATS-COVID).

## Ethical approval

Written informed consent was obtained for adults (aged  $\geq$ 18 years), whereas those aged 15–17 years were asked for verbal assent and their parents/guardians for written informed consent. The study was approved by the Zambia Biomedical Research and Ethics Committee, the Zambia National Health Research Authority, and the London School of Hygiene and Tropical Medicine Ethics Committee.

### Acknowledgment

Authors would like to express their sincere appreciation and gratitude to all members of the TREATS-COVID study team in Zambia, and to the study participants and their communities, and to the Zambart laboratory staff for their contributions to the research. The content herein is solely the responsibility of the authors.

## Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.ijid.2022.03.021.

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