

# Autophagy, selective autophagy, and necroptosis in COPD

Kenji Mizumura  
Shuichiro Maruoka  
Tetsuo Shimizu  
Yasuhiro Gon

Division of Respiratory Medicine,  
Department of Internal Medicine,  
Nihon University School of  
Medicine, Tokyo, Japan

**Abstract:** COPD is characterized by persistent respiratory symptoms and airflow limitation, caused by a mixture of small airway disease and pulmonary emphysema. Programmed cell death has drawn the attention of COPD researchers because emphysema is thought to result from epithelial cell death caused by smoking. Although apoptosis has long been thought to be the sole form of programmed cell death, recent studies have reported the existence of a genetically programmed and regulated form of necrosis called necroptosis. Autophagy was also previously considered a form of programmed cell death, but this has been reconsidered. However, recent studies have revealed that autophagy can regulate programmed cell death, including apoptosis and necroptosis. It is also becoming clear that autophagy can selectively degrade specific proteins, organelles, and invading bacteria by a process termed “selective autophagy” and that this process is related to the pathogenesis of human diseases. In this review, we outline the most recent studies implicating autophagy, selective autophagy, and necroptosis in COPD. Strategies targeting these pathways may yield novel therapies for COPD.

**Keywords:** ciliophagy, mitophagy, programmed cell death, pulmonary emphysema

## Introduction

COPD involves persistent respiratory symptoms and airflow limitation. The chronic airflow limitation is caused by a mixture of small airway disease and pulmonary emphysema, usually due to significant exposure to noxious particles or gases. Cigarette smoke (CS) is the most common identifiable risk factor for COPD, with smokers known to have a greater COPD mortality rate than non-smokers.<sup>1</sup> Pulmonary emphysema is thought to result from epithelial cell death caused by smoking; therefore, COPD researchers have devoted considerable attention to programmed cell death.

Apoptosis was previously recognized as the sole form of programmed cell death, whereas necrosis was considered as uncontrolled cell death induced by extreme physical or chemical stress. However, emerging studies have demonstrated the existence of a genetically programmed and regulated form of necrosis, termed “necroptosis,” defined as necrotic cell death dependent on the receptor-interacting protein kinase 3 (RIPK3).<sup>2-4</sup> RIPK3, RIPK1, and mixed-lineage kinase domain-like protein (MLKL) form a multiprotein complex called the “necrosome,” in which MLKL is an essential necroptosis inducer that acts downstream of RIPK3.<sup>5,6</sup> Unlike apoptosis, which is thought to be a weak inducer of inflammation with little release of damage-associated molecular patterns (DAMPs) from dying cells, necroptosis is considered a strong inducer of inflammation that releases massive amounts of DAMPs.<sup>7</sup>

Autophagy is a highly conserved process through which cells can recycle organelles and proteins by degrading them in lysosomes.<sup>8</sup> Autophagy proceeds through

Correspondence: Kenji Mizumura  
Division of Respiratory Medicine,  
Department of Internal Medicine, Nihon  
University School of Medicine,  
30-1 Oyaguchi Kamicho, Tokyo, Japan  
Tel +81 33 972 8111 ext 2402  
Fax +81 33 972 2893  
Email mizumura.kenji@nihon-u.ac.jp

**Table 1** Roles of selective autophagy in COPD

Cell death mode	Strain/manipulation	Phenotype/observation	Reference
Mitophagy	<i>PINK1</i> <sup>-/-</sup> mice, chronic and acute CS	Decreased epithelial cell necroptosis and airspace enlargement. Decreased airway dysfunction	41
	C57Bl/6, acute CS	Injection of mitophagy inhibitor Mdivi-1 ameliorated CS-dependent airway dysfunction	41
	Human COPD lung	Increased mitophagy markers	41
Ciliophagy	<i>Becn1</i> <sup>+/-</sup> mice, acute CS	Decreased cilia loss and airway dysfunction	19

**Abbreviations:** CS, cigarette smoke; PINK1, PTEN-induced putative kinase protein 1.

sequential steps beginning with the generation of autophagosomes from an isolation membrane, followed by elongation to form a mature autophagosome that captures cytosolic cargo. While the term “autophagic cell death” has long been used to refer to a type of cell death associated with excessive cytoplasmic vacuolization,<sup>9</sup> autophagy is considered to primarily act as a protective mechanism that may prevent cell death by maintaining cellular integrity through regenerating metabolic precursors and clearing subcellular debris.<sup>10</sup> Thus, currently, autophagic cell death is not considered as a form of programmed cell death,<sup>11</sup> but is ascribed to cell death with, rather than by, autophagy. In contrast, it is becoming clear that autophagy can regulate other cell death pathways. In cells of childhood acute lymphoblastic leukemia, induction of autophagy-dependent necroptosis is required to overcome glucocorticoid resistance.<sup>12</sup> In endothelial cells, autophagy inhibition rescues palmitic acid-induced necroptosis.<sup>13</sup> Thus, accumulating evidence suggests that autophagy may regulate necroptosis in the pathogenesis of human diseases.

While autophagy has long been considered as simply a non-specific homeostatic cellular process, increasing evidence suggests that it represents a more selective process than originally anticipated.<sup>14</sup> Selective autophagy delivers a wide range of autophagic cargo from protein aggregates to whole organelles and even intracellular microbes to the lysosome for degradation. It is thought that ubiquitin-positive substrates, such as protein aggregates, mitochondria, and invading bacteria not dealt with the proteasome system, are selectively degraded by autophagy.<sup>15</sup> Ubiquitination seems to function

as a general tag for selective autophagy in mammalian cells. Various selective autophagy subtypes have been recognized and named after their specific targets, for example, aggregated proteins: aggrephagy,<sup>16</sup> mitochondria: mitophagy,<sup>17</sup> pathogens: xenophagy,<sup>18</sup> and cilia: ciliophagy.<sup>19</sup> The study of selective autophagy is an emerging field that is expected to provide new insights into the pathogenesis of human lung diseases.<sup>14</sup> In this review, we examine the growing evidence favoring the contribution of autophagy, selective autophagy (Table 1), and necroptosis (Table 2) to COPD pathogenesis and discuss the dual nature of these processes in the lungs. A better understanding of the protective and injurious effects of these processes in disease pathogenesis will help design personalized therapies for COPD treatment.

## Molecular mechanisms of autophagy, selective autophagy, and necroptosis

Autophagy is an evolutionarily conserved catabolic process by which cytoplasmic materials are delivered to and degraded in the lysosome.<sup>10</sup> Often referred to simply as autophagy, macroautophagy is the best characterized form of autophagy, involving the engulfment of cytoplasmic contents and organelles through a complex reorganization of subcellular membranes to form a new organelle: the autophagosome. In the 1990s, genetic studies in yeast identified a series of autophagy-related (*ATG*) genes that regulate the macroautophagic process.<sup>20,21</sup> Autophagosome elongation requires two ubiquitin-like conjugation systems, the ATG5-12 conjugation system and the ATG8 conjugation system, which are regulated by various ATG proteins. Microtubule-associated

**Table 2** Role of necroptosis in COPD

Cell death mode	Strain/manipulation	Phenotype/observation	Reference
Necroptosis	C57Bl/6, chronic CS	Increased necroptosis markers	41
	<i>mBE-mtor</i> <sup>-/-</sup> mice, chronic CS	Decreased necroptosis markers and airspace enlargement	47
	BALB/cByJ, acute CS	Increased neutrophilic airway inflammation	46
	Human COPD lung	Increased necroptosis markers	41

**Abbreviation:** CS, cigarette smoke.

protein light chain 3 (LC3), a homolog of yeast ATG8, is one of the most well-known ATG proteins and is often used as a specific marker to evaluate autophagy *in vitro* and *in vivo*.<sup>22</sup>

Selective autophagy serves to selectively degrade mitochondria and other specific organelles, bacteria, and protein aggregates using the autophagic machinery.<sup>23</sup> To evaluate the inclusive list of molecular mechanisms involved in selective autophagy currently in the literature is beyond the scope of this review; therefore, we focus on mitophagy, the autophagy-dependent elimination of mitochondria, in this review. The proposed model for mitophagy is that damaged and depolarized mitochondria stabilize PTEN-induced putative kinase protein 1 (PINK1), which in turn recruits the E3 ubiquitin ligase Parkin. Subsequently, Parkin ubiquitinylates various mitochondrial outer membrane proteins, including the mitofusins MFN1 and MFN2,<sup>24</sup> voltage-dependent anion channels (VDACs),<sup>25</sup> and mitochondrial rho GTPase (MIRO),<sup>26</sup> and induces mitophagy by recruiting autophagic receptors such as p62.

A multiprotein complex, the necrosome, is formed by RIPK3, RIPK1, and MLKL and regulates necroptosis. Among these proteins, MLKL is an essential necroptosis inducer that acts downstream of RIPK3. Oligomerization and intramolecular autophosphorylation of RIPK3 lead to the recruitment and phosphorylation of MLKL, which exposes a 4-helical bundle domain.<sup>27</sup> Recent studies suggest two functions for MLKL: it serves as a platform at the plasma membrane for the recruitment of Ca<sup>2+</sup> or Na<sup>+</sup> ion channels<sup>28,29</sup> and serves as a direct pore-forming complex recruited by the binding of the amino terminus of its four-helical bundle domain to negatively charged phosphatidylinositol phosphates.<sup>30–32</sup>

## Autophagy: regulation and function in COPD

Ning et al<sup>33</sup> examined comprehensive gene expression profiles in GOLD-2 vs GOLD-0 smokers, which suggested that the autophagy-related protein ATG8/microtubule-associated protein-1 LC3 was a candidate of molecular target in COPD. Further investigation demonstrated pivotal functional roles for autophagy proteins in CS-induced emphysema.<sup>34,35</sup> In COPD lung tissues, autophagic vacuoles (autophagosomes/autolysosomes) were increased compared to those in control tissues as observed by electron microscopy, a gold-standard method for autophagy determination, whereas little vacuole formation was evident in control tissues.<sup>35</sup> Expression of the active form of LC3B, LC3B-II,

as well as Atg4B, Atg5, Atg12, and Atg7 was significantly increased in COPD lungs.<sup>35</sup> Genetic depletion of the essential autophagy mediators, LC3B and Beclin 1, ameliorated CS extract (CSE)-induced epithelial cell death.<sup>34</sup> To determine whether increased autophagosome formation was correlated with autophagic activity in the lungs of CS-treated mice, we conducted *in vivo* autophagic flux assays.<sup>19,36</sup> Analysis of LC3B steady-state levels in a lysosome-enriched fraction of lung homogenates revealed a time-dependent increase in leupeptin-sensitive LC3B degradation *in vivo*, which persisted until 24 hours after CS exposure, supporting the conclusion that CS causes a cumulative increase in autophagic flux in lung tissues.<sup>19</sup> These data suggest that the CS-induced autophagic pathway may regulate epithelial cell death associated with emphysematous airspace enlargement in chronic CS-exposed mice and in patients with COPD.

While CS induces autophagy in pulmonary epithelial cells, Monick et al<sup>37</sup> reported defective autophagy in CS-exposed macrophages. Such a deficit in autophagy was also found in the alveolar macrophages of smokers, suggesting that impaired delivery of bacteria to lysosomes may lead to recurrent infections in patients with COPD.<sup>37</sup> Moreover, Fujii et al<sup>38</sup> evaluated autophagy-regulated senescence in bronchial epithelial cells treated with CSE. While 3-methyladenine, an autophagy inhibitor, enhanced CSE-induced senescence in primary human bronchial epithelial cells (HBECs), Torin-1, an autophagy inducer, suppressed CSE-induced HBEC senescence.<sup>38</sup> The authors found that baseline autophagic activity in HBECs from patients with COPD was significantly higher than that in HBECs from non-smokers and non-COPD smokers; however, autophagy induction in HBECs in response to CSE exposure was significantly lower in COPD patients than in non-smokers and non-COPD smokers.<sup>38</sup> These findings suggest that the autophagic response is insufficient in the lungs of patients with COPD, which leads to accelerated epithelial cell senescence.

Interestingly, mTOR signaling also has been linked to CS-induced COPD/emphysema.<sup>39</sup> mTOR is an evolutionarily conserved serine-threonine kinase that acts as a sensor of environmental and cellular nutrition and energy status that also plays an important role in regulating autophagy.<sup>40</sup> Rtp801, a stress-related protein triggered by adverse environmental conditions, was overexpressed in human emphysematous lungs and in lungs of mice exposed to CS.<sup>39</sup> Rtp801 stabilized the assembly of the mTOR inhibitory complex TSC1-TSC2, resulting in exacerbation of oxidative stress-induced cell death.<sup>39</sup> The mTOR inhibitor rapamycin reduced alveolar inflammation in wild-type mice exposed

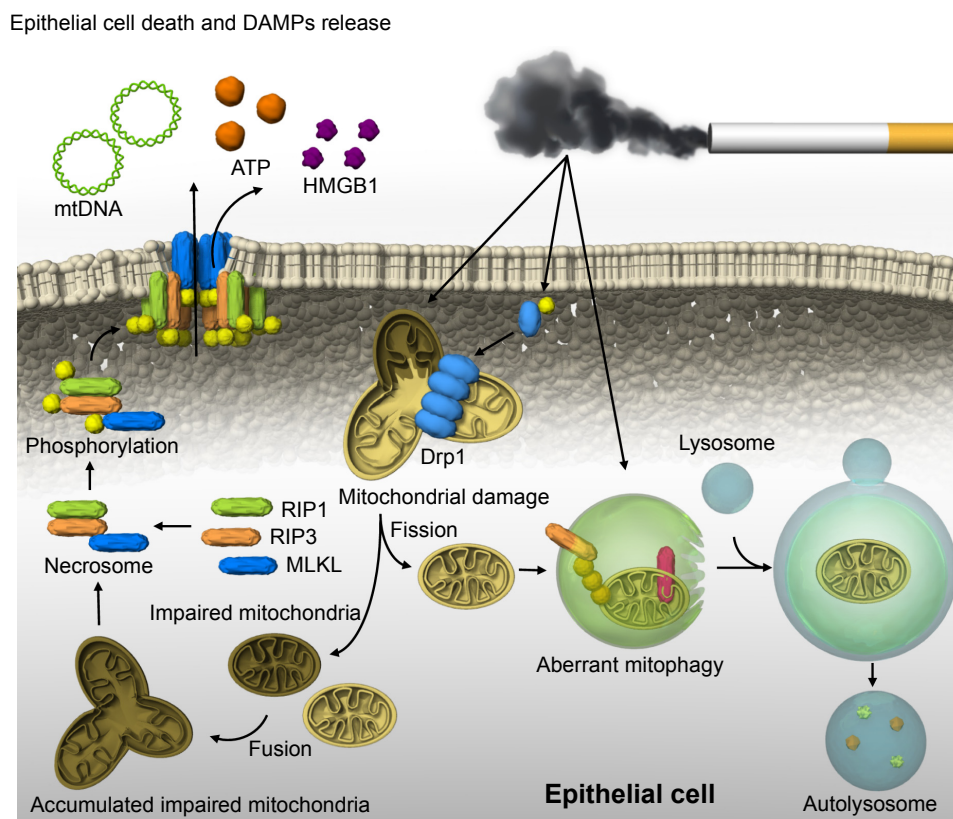
to CS, whereas it increased the number of apoptotic and inflammatory cells in room air-exposed wild-type mice and abrogated the protective effects of Rtp801 knockout in mice exposed to CS. These studies highlight that the timing and lung cell targets of mTOR inhibition may be essential to define its beneficial and pathological roles in COPD.

Thus, accumulating evidence demonstrates that autophagy plays previously unforeseen roles in COPD pathogenesis and that it can have both protective and injurious effects on COPD progression. Although there is no unifying explanation for the discrepancies between the results of various studies, the timing and lung cell targets for autophagy may be essential to define its beneficial vs pathologic roles. A better understanding of the balance between cytoprotective and pro-death functions of autophagy in response to CS will be required for the therapeutic targeting of this process in COPD.

## Selective autophagy: mitophagy and ciliophagy in COPD

Recently, we reported that mitophagy regulates necroptosis, which contributes to the pathogenesis of COPD (Figure 1).<sup>41</sup>

Mitophagy selectively eliminates mitochondria by employing the autophagic machinery.<sup>42</sup> Parkin and PINK1 are key regulators of mitophagy.<sup>42</sup> CSE causes significant mitochondrial depolarization and induces mitophagy in lung epithelial cells.<sup>41</sup> We demonstrated that the mitochondrial division/mitophagy inhibitor Mdivi-1 protected against CS-induced cell death by reducing the phosphorylation of MLKL, a substrate for RIP3 in the necroptosis pathway.<sup>41</sup> Mice genetically deficient in PINK1 were protected against mitochondrial dysfunction, airspace enlargement, and mucociliary clearance disruption during CS exposure.<sup>41</sup> Our results suggest that CS-activated mitophagy may alter mitochondrial membrane integrity and induce mitophagy and necroptosis in pulmonary epithelial cells. Furthermore, recent studies have suggested that CS-induced mitophagy may regulate cellular senescence in COPD pathogenesis.<sup>43</sup> Genetic blocking of mitophagy resulted in enhanced CS-induced mitochondrial ROS production and cellular senescence in HBECs. The precise mechanism by which a cell “decides” to undergo either mitophagy-induced necroptosis or senescence remains unclear. One hypothesis is that mitophagy may lead to

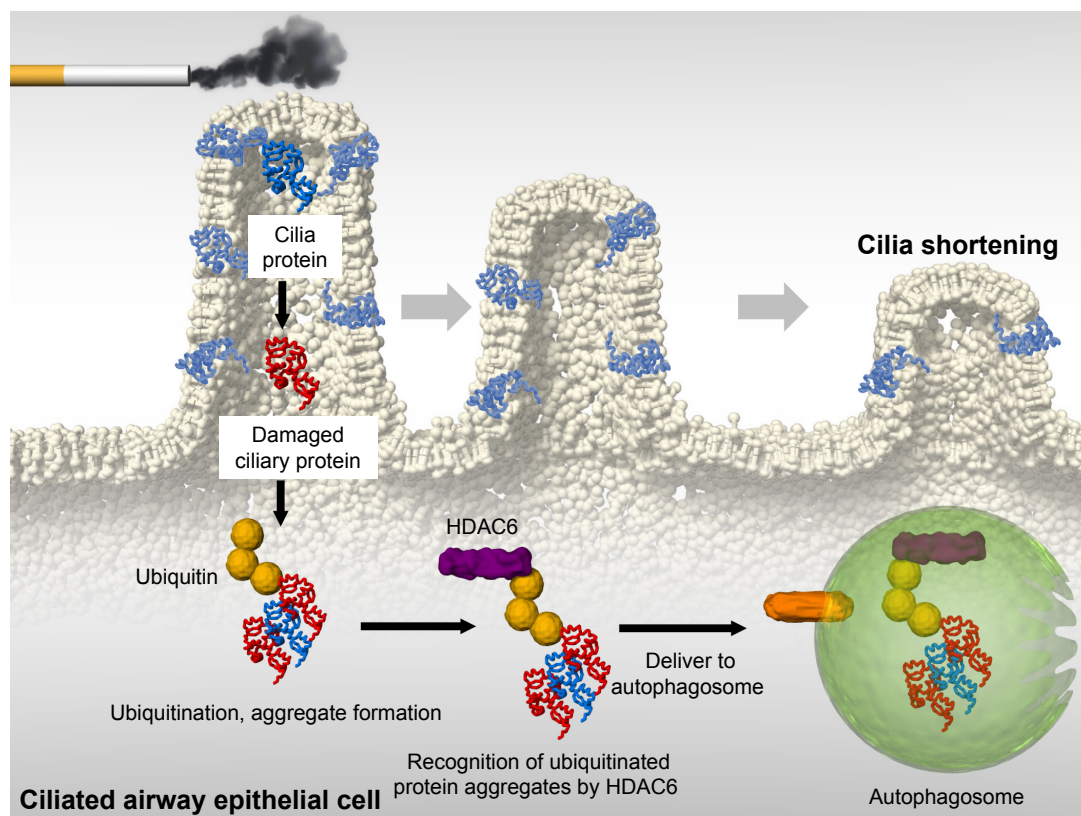


**Figure 1** Mitophagy and necroptosis in COPD.

**Notes:** CS-induced mitochondrial fission and PINK1-dependent mitophagy in epithelial cells are independent of mitochondrial integrity. Aberrant mitophagy may increase the population of impaired mitochondria, leading to induction of necrosome formation. In the necrosome, RIP3 phosphorylates MLKL, and translocation of phosphorylated MLKL to the cell membrane leads to direct pore formation and epithelial cell death with the release of DAMPs.

**Abbreviations:** CS, cigarette smoke; DAMP, damage-associated molecular pattern; MLKL, mixed-lineage kinase domain-like protein; PINK1, PTEN-induced putative kinase protein 1.





**Figure 2** Ciliophagy in COPD.

**Notes:** CS-induced oxidative stress leads to cilia protein damage. Damaged cilia proteins are ubiquitinated, which promotes aggregate formation. HDAC6 recognizes ubiquitinated protein aggregates and delivers them to autophagosomes. This degradation of cilia proteins, through an autophagy-dependent process termed “ciliophagy,” is associated with cilia shortening.

**Abbreviations:** CS, cigarette smoke; HDAC6, histone deacetylase 6.

either senescence or necroptosis depending on the degree of cellular injury.<sup>43</sup>

We also reported that ciliophagy, the consumption of cilia components by autophagy, regulates cilia length during CS exposure (Figure 2).<sup>19</sup> We showed that autophagy-impaired (*Becn1*<sup>-/-</sup> or *Map1lc3b*<sup>-/-</sup>) mice, as well as tracheal epithelial cells isolated from these mice, display reduced CS-induced cilia shortening.<sup>19</sup> We identified cytosolic deacetylase histone deacetylase 6 (HDAC6) as a critical regulator of ciliophagy during CS exposure.<sup>19</sup> Importantly, analysis of human COPD specimens demonstrated epigenetic deregulation of HDAC6 by hypomethylation and increased protein expression in the airway.<sup>19</sup> These data suggest that ciliophagy, an HDAC6-dependent selective autophagy pathway, may represent a novel pathway critical to cilia homeostasis in response to CS exposure.

It remains unclear how cells utilize non-selective and selective autophagy pathways in response to CS exposure. We speculate that CS induces non-selective autophagy coincident with injury to organelles (eg, mitochondria, cilia), which would be degraded by selective autophagy. Thus, non-selective and selective autophagy may likely proceed

simultaneously in a single cell. In addition, either process may be impacted by cell type and stimulus intensity. Thus, future studies are needed to elucidate the relationship between both types of autophagy in response to CS exposure.

## Necroptosis: a critical regulator of cell death in COPD

It is becoming clear that autophagy and selective autophagy can regulate apoptosis and necroptosis in COPD.<sup>34,41</sup> Chen et al<sup>34</sup> showed that dynamic interactions of the autophagy protein LC3B with Cav-1 and Fas regulate CS-induced lung epithelial cell apoptosis, leading to emphysematous airspace enlargement. Recently, we reported that CS-induced mitophagy may alter mitochondrial membrane integrity, leading to necroptosis induction (Figure 1).<sup>41</sup> CSE-induced cell death in pulmonary epithelial cells was effectively reduced by the treatment with necrox-5, a necrosis inhibitor with antioxidant activity that localizes primarily in the mitochondria, or with necrostatin-1, a necroptosis inhibitor. CSE treatment resulted in increased phosphorylation of MLKL, a substrate for RIP3 in the necroptosis pathway, which was decreased in *PINK1*-knockdown cells.<sup>41</sup>

Similarly, we observed that the mitochondrial division/mitophagy inhibitor Mdivi-1 inhibited MLKL phosphorylation induced by CSE in pulmonary epithelial cells. These results suggest that mitophagy regulates CSE-induced necroptosis in pulmonary epithelial cells. Furthermore, we detected high levels of RIP3 near emphysematous regions in the lungs of mice exposed to CS for 3 months.<sup>41</sup> Importantly, we observed stronger PINK1 and RIP3 expression in the epithelial cells of patients with COPD than in that of control subjects. Confocal imaging confirmed higher and coincident expression of PINK1 and RIP3 in COPD compared to that in healthy lungs. These observations in human clinical samples strongly suggest that our experimental data associating mitophagy with necroptosis are relevant to COPD. Moreover, we have reported that sphingolipids regulate lung epithelial cell mitophagy and necroptosis during CS exposure.<sup>44</sup> Inhibition of ceramide-generating acid sphingomyelinase reduces both CS-induced PINK1 phosphorylation and necroptosis.<sup>44</sup> Our data provide a mechanistic explanation for how CS induces mitophagy-driven necroptosis and further support the fact that the dysregulated sphingolipid metabolism is implicated in the pathogenesis of structural cell injury in COPD.

COPD is also characterized by chronic inflammation of the airways, lung tissue, and pulmonary blood vessels as a result of exposure to CS. COPD patients show chronic neutrophilic inflammation in the airways, accompanied by aberrant tissue repair and remodeling.<sup>45</sup> While apoptosis has often been described as a major physiological process for emphysema, apoptosis is not generally accompanied by inflammation because of no or limited release of DAMPs. Therefore, it has been proposed that additional mechanisms underlie CS-induced apoptosis leading to airway inflammation in COPD. In contrast, there is a general consensus that necroptosis directly triggers inflammation through a massive release of DAMPs from the disintegrating cells.<sup>7</sup> Pouwels et al<sup>46</sup> revealed that CS-induced necroptosis and the release of DAMPs trigger neutrophilic airway inflammation in mice. Exposure to CS increased the levels of DAMPs and numbers of neutrophils in bronchoalveolar lavage fluid in mice, and this effect was statistically reduced on treatment with the necroptosis inhibitor necrostatin-1.<sup>46</sup> More recently, Wang et al<sup>47,48</sup> reported a novel regulatory mechanism of necroptosis-mediated inflammation. Endoplasmic reticulum chaperone GRP78 promoted a CSE-induced inflammatory response and mucus hyperproduction in airway epithelial cells, likely through the upregulation of necroptosis and subsequent activation of the nuclear factor- $\kappa$ B and activator protein-1 pathways.<sup>48</sup> In contrast, the mTOR suppresses the CS-induced

inflammatory cytokines interleukin-6 and interleukin-8 through the nuclear factor- $\kappa$ B pathway, likely through the modulation of autophagy, apoptosis, and necroptosis.<sup>47</sup> These results suggest that necroptosis might be a promising therapeutic target for emphysema and inflammation.

## Conclusion

Accumulating evidence demonstrates that autophagy, selective autophagy, and necroptosis exert previously unknown functions during COPD pathogenesis. While autophagic cell death is not currently considered a form of programmed cell death, autophagy proteins can regulate programmed cell death, including necroptosis and apoptosis, in a context-specific fashion. Furthermore, the autophagic pathway shares a number of signal molecules with programmed cell death pathways. In COPD, cell death has been mainly evaluated in terms of clinical phenotypes of emphysema (eg, loss of alveolar surface area); however, it is now predicted that cell death can regulate further biological processes, such as inflammation. In particular, necroptosis is a promising target for regulating COPD inflammation, as it directly triggers inflammation through a massive release of DAMPs. Therefore, careful consideration and further research are needed for designing strategies to manipulate these pathways as valid therapeutic interventions.

## Acknowledgment

The authors are grateful to Augustine MK Choi for collaboration and suggesting the topic treated in this article.

## Author contributions

KM, SM, TS, and YG designed the strategy and goal of this review. KM drafted the article. All authors read and approved the final manuscript. All authors contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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