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Large-scale metagenomic analysis of oral microbiomes reveals markers for autism spectrum disorders

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The link between the oral microbiome and neurodevelopmental disorders remains a compelling hypothesis, still requiring confirmation in large-scale datasets. Leveraging over 7000 whole-genome sequenced salivary samples from 2025 US families with children diagnosed with autism spectrum disorders (ASD), our cross-sectional study shows that the oral microbiome composition can discriminate ASD subjects from neurotypical siblings (NTs, AUC = 0.66), with 108 differentiating species (q < 0.005). The relative abundance of these species is highly correlated with cognitive impairment as measured by Full-Scale Intelligence Quotient (IQ). ASD children with IQ < 70 also exhibit lower microbiome strain sharing with parents ($p < 10^{-6}$) with respect to NTs. A two-pronged functional enrichment analysis suggests the contribution of enzymes from the serotonin, GABA, and dopamine degradation pathways to the distinct microbial community compositions observed between ASD and NT samples. Although measures of restrictive eating diet and proxies of oral hygiene show relatively minor effects on the microbiome composition, the observed associations with ASD and IQ may still represent unaccounted-for underlying differences in lifestyle among groups. While causal relationships could not be established, our study provides substantial support to the investigation of oral microbiome biomarkers in ASD.

Autism spectrum disorders (ASD)¹ are neurodevelopmental impairments involving the cognitive, emotional, relational, and behavioural spheres²⁻⁴. ASD children show repetitive behaviours and sensory hyper-sensibility^{5,6}. Estimates of ASD prevalence per 1000 children in the US range from 18.5 to 27.6⁷. ASD are thought to arise from the interaction between genetic and environmental factors^{3,8-12}, with small-effect and de novo variants contributing to

disease risk¹³⁻¹⁶. Although more than 180 ASD-implicated genes have been identified from joint analysis of protein-truncating variants, missense variants and copy number variants¹⁶, they represent only a fraction of the estimated up to 1000 genes possibly involved in ASD aetiology^{17,18}. In addition to the genetic makeup inherited from the parents and de novo variants, the microbial community acquired at birth and shaped during

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childhood¹⁹ has been proposed as an element for a better understanding of ASD pathophysiology^{20–22}.

The human microbiome is considered an element able to influence peripheral and central nervous system development and functioning. Although largely uncharacterized, a bidirectional communication system integrating the gut, the gut microbiome, and the brain has been proposed: the gut-brain axis²³⁻³³. There is mounting evidence for the link between the gut microbiome and ASD²⁰, and a potential role for the gut-brain axis in the pathophysiology of ASD has been suggested. Three recent meta-analyses identified robust differences in the gut microbiome composition of children diagnosed with ASD³⁴⁻³⁶. In mice, transplantation of the human gut microbiota from ASD subjects induced autistic-like behaviours³⁷. Dietary restrictiveness, finally, has been proposed as the main factor inducing the gut microbiome shift in ASD³⁸. The human oral microbiome has also been shown to be altered in children with ASD; specifically, an increase in bacterial species from the genera Streptococcus and Haemophilus, and a decrease in the abundance of the genera Prevotella and Actinomyces have been described³⁹. Another study focusing on the oral microbiome⁴⁰ reported a depletion of the species Campylobacter concisus and Streptococcus vestibularis in ASD children. It was recently shown that an orally-administered gut microbiome neuroactive metabolite sequestrant alleviated anxiety symptoms in 30 adolescents^{41,42}. Despite similar preliminary evidence, the putative role of the oral microbiome in neurodevelopment and specifically in ASD, and to which extent the oral microbiome is affected by dietary restrictiveness or by other ASD-related behaviours, remains largely not understood.

In this study, we explored the oral microbiome of 2025 US families of the SPARK-WGS cohort (N = 7812 participants, including 2154 ASD children, 1646 neurotypical siblings (NTs), 2012 mothers, and 2000 fathers). We performed metagenomic analysis of the non-human reads derived from whole genome sequencing data of saliva samples, which allowed the investigation of the oral microbiome in ASD at an unprecedented size and unprecedented depth (~2.2 times larger and 11 times deeper in terms of microbial read depth than the largest published salivary dataset based on the shotgun technology⁴³). We characterized the oral microbiome composition and function in ASD children and NTs, then correlated metagenomic features to IQ, social communication, developmental coordination, and restrictive behaviours, including restrictive eating. Our metagenomic approach, coupled with the family cohort design, enabled high resolution of microbiome functional potential as well as the analysis of the microbiome strain-sharing between family members.

Results

The SPARK-WGS cohort

The SPARK-WGS cohort (N = 7812 individuals from 2025 US families, avg. family-size = 3.9), was established to study the oral microbiome in individuals with ASD (Fig. 1). Participants are members of families with an individual having a professional diagnosis of ASD, most of them recruited through clinical sites associated with the SPARK consortium⁴⁴. Saliva samples were collected from all participants and sequenced at an average depth of 856 million reads per sample (42X) generating a total of 821 billions non-human reads (avg. per sample = 105×10^6 , 95% CI [104×10^6 , 107×10^6], Suppl. Data 1). The cohort comprises fathers (n = 2000, avg. age 41 years [40, 41]), mothers (*n* = 2012, avg. age 38 years [38, 39]), NTs (*n* = 1646, avg. age 8 years [7.8, 8.2]), and children diagnosed with ASD (n = 2154, avg. age 9 years [8.6, 9.1], Fig. 1). In total, 788 males and 658 females were NTs, while 1743 males and 411 females were children with a diagnosis of ASD. All children were biologically related to their parents as confirmed by the available genomic information (Methods). The male-tofemale ratio in the ASD group was the expected 4:1 ratio^{45,46}, and thus sex is moderately correlated with ASD diagnosis (Matthews correlation coefficient (MCC) = 0.35). Age also showed a small but significant correlation with ASD diagnosis (point-biserial $\rho = 0.08$, $p = 9.4 \times 10^{-7}$, n = 3800, Fig. 1). As sex and age are also associated with microbiome composition, they were also included in all analyses as potential confounders. Family relatedness, genetic ancestry of the individuals, and read-depth were also included when relevant.

The SPARK-WGS cohort is a high resolution oral microbiome dataset

Non-human sequencing reads of the participants in the SPARK-WGS study (N = 7812) were profiled with MetaPhlAn 3⁴⁷. We detected in total 1320 bacterial species, 11 fungal, and 2 archaeal species (avg. species per sample = 161, 95% CI [104, 218], Supplementary Fig. 1). We investigated whether the SPARK-WGS cohort was similar to other salivary microbiome datasets. Beta-diversity analysis including 856 samples from curatedMetagenomicData (cMD) 348 revealed that the SPARK-WGS samples overlap with the salivary samples in cMD 3 but not with other oral cavity derived samples (Supplementary Fig. 2a-c). We wondered whether the increase in the number of species found in SPARK-WGS was due to multiple episodes of contamination. Potential contaminants were evaluated according to the list of 119 species determined by ref. 49, further collapsed to 59 that were present in the MetaPhlAn 3 database. We found hypothetical evidence of six contaminant species in total, four were found in <10 samples and two were found in 37 and 38 samples, respectively. All were found at an abundance < 0.1% with the exception of Ralstonia pickettii, found at an abundance between 1% and 6% in seven samples (Methods, Supplementary Fig. 2d). Potential contaminants were thus at worst rare and we maintained a specific focus on them in the analysis by making sure such species were not included in any discriminating microbial signature.

The ASD and neurotypical oral microbiomes differ at the species level

Since a heatmap showing the 30 most abundant species in the cohort did not reveal obvious clusters driven by diagnosis, ethnicity, or sex (Methods), we next investigated the factors associated with the variation observed in the microbiome by two statistical decomposition methods. Family ID (a proxy for relatedness, co-housing, and common habits), followed by age, alpha-diversity, and sequencing depth, were found to be the variables that explain most of the microbiome interpersonal variation according to variance decomposition analysis and multivariate permanova (Suppl. Data 2, Supplementary Fig. 3a-c, Methods). ASD diagnosis was found significant by the permanova analysis (permanova p = 0.001, $R^2 = 2\%$, Supplementary Fig. 3a, b, Suppl. Data 2). In addition, a ten-times repeated permanova analysis on ASD diagnosis only sampling one child per family was also significant by both Aitchison and Bray-Curtis dissimilarities (p = 0.001, n = 2024, 999 iterations, R² ranges 1.6-1.8% and 1-1.2%, respectively, Supplementary Fig. 3d, e), and pairwise beta diversities between ASD children were also on average higher than between neurotypical siblings (Mann-Whitney $p < 10^{-7}$ in both, Supplementary Fig. 3f, g). Alpha-diversity of ASD oral microbiomes did not show significant differences compared to controls in crude nor in adjusted analysis (Mann-Whitney and Wald p > 0.05). These analyses revealed a modest, albeit significant, difference in beta-diversity between ASD and NT children.

We next applied two standard complementary methodologies to assess the differences in the microbiome composition of ASD children vs NTs. We first used a Random Forest (RF) algorithm, following a procedure concordant with the DOME guidelines on bioinformatics machine learning experiments⁵⁰. We generated hundred datasets containing microbiome data from a random selection of 500 ASD children and 500 NTs from different families, having a female:male ratio 1:1 in each group, and ensuring that each set was also balanced by age and sequencing depth (Methods). Importantly, this approach allowed us to consider structural zeros in the natural behaviour of the



SPARK-WGS: oral microbiome of Autism Spectrum Disorders in US families

Fig. 1 | **The analysis of the oral microbiome in the SPARK-WGS study.** The SPARK-WGS cohort was established for the study of the genetic basis of ASD through deep whole genome sequencing, collecting salivary DNA from families with ASD subjects across diverse locations across the US, thus allowing analysis of human and microbial sequences (with an initial coverage of 42X in total and 105 M microbial reads on average per sample). *curatedMetagenomicData* 3 was used to compare the SPARK-WGS cohort with other oral microbiome datasets. Participants included fathers, mothers, NTs and ASD-diagnosed children. The analysed cohort included 7,812 subjects from 2,025 families, the largest portion of which are quartets (n = 1541) or triads (n = 353). Dietary information was included in

the analysis. Diagnostic scores (social communication questionnaires (SCQ)), developmental coordination disorder questionnaire (DCDQ), repetitive behaviours scale (revised) (RBS-R), and full-scale Intelligence quotient (IQ) (estimated via a machine learning algorithm, Methods) were also collected. The human genomic information was used to compute ASD Polygenic Risk Score (PRS), genetic ancestry principal components, and to estimate the microbial load as an indicator of oral hygiene. Metagenomic sequences were used for taxonomic and strain-level profiling via MetaPhIAn 3 and StrainPhIAn 3, and metabolic repertoire profiling with HUMANN 3.0 followed by imputation of GBM (gut-brain modules) to assess the microbiome neuroactive potential.

algorithm. The average area under the curve (AUC), an unbiased measure of accuracy, out of a hundred ten-fold, ten-times iterated, cross-validations, was 0.66 (Fig. 2a). We evaluated RF feature importances by averaging the rate at which each species was used in the final tree-graph in the training set only⁵¹.

We then assessed differences in the species-level taxonomic composition of the microbiome between ASD-diagnosed children and the NTs using linear mixed models blocked by family ID and adjusted for sex, age, genetic ancestry, and sequencing depth. We found a total of 108 species associated with ASD or NTs at a stringent criterion for significance (q < 0.005, Suppl. Data 3). Notably, the two models were highly concordant, based on the correlation of ASD diagnosis q values with the RF feature importance of individual species in the classifier ($\rho = 0.62$, Fig. 2b). Fifty-two out of 108 identified species were more abundant in the saliva of ASD children (ASD beta > 0.0, q < 0.005). The five strongest associations were the species *Actinomyces hon-gkongensis, Actinomyces johnsonii, Cutibacterium acnes,* the *Eikenella* species NML 130454, and *Rothia dentocariosa* (betas 0.76 [0.6, 0.93], 0.76 [0.59, 0.93], 0.71 [0.53, 0.88], 0.70 [0.54, 0.86], 0.64 [0.53, 0.76]), corresponding to median increases of the relative abundances of 18.5,

2.6, 3.7, 2.8, and 2.2 times (Fig. 2c, Suppl. Data 3, 4). Fifty-six species were associated with neurotypicality (ASD beta < 0.0, q < 0.005), including *Eubacterium sulci*, *Oribacterium parvum*, *Prevotella pallens*, *Prevotella shaii*, and *Prevotella jejunii* (beta = -1.24 [-1.46, -1.03], -1.14 [-1.33, -0.95], -1.05 [-1.24, -0.87], -1.01 [-1.24, -0.78], -0.97 [-1.2, -0.75]), corresponding to an increase of the medians in the NTs group of 5.5, 7.3, 4.6, > 20, and 15.4 times. Repeating the analysis at the genus level, twenty-nine genera were associated (q < 0.005) with ASD diagnosis, including *Cutibacterium* and *Eikenella* (associated with NTs), and *Oribacterium* and *Prevotella* (associated with ASD, median fold change = 3.7, 1.6, 2, and 1.8, Supplementary Fig. 4a, Suppl. Data 5, 6). The *Prevotella* genus in particular accounted for twelve species-level associations with NTs in contrast to none with ASD.

Medications have a limited influence on the ASD oral microbiome

We then hypothesized that environmental factors shaping the oral microbiome might be the cause of the observed ASD-related species. First, we investigated the difference between ASD children who were reported to have been treated with medications (n = 802) and those



who were not (n = 958). We identified a total 34 species associated with use of medications at q < 0.1, but no species was associated at q < 0.005. Among the species associated with medications at q < 0.1, four were among the top-30 associated with ASD, including *R. dentocariosa* (associated with ASD), *Prevotella histicola*, and *Megasphaera micronuciformis* (associated with NTs) which were all positively associated with medication use (Fig. 2d).

The ASD oral microbiome is characterized by higher load of aerobic species

Since medications was not the main driver of the observed associations, next we manually annotated the set of 108 species associated to ASD or NTs as aerobic, facultative aerobic, or anaerobic according to BacDive in DSMZ. Anaerobic species were mostly associated with NTs (only six aerotolerant associated species out of 56), while the ASD **Fig. 2** | **Metagenomic analyses identify species-level differences in the oral microbiome of ASD children vs NTs. a** 100 Receiver operating characteristic curves (ROCs) each from a 10-fold, 10 times evaluated Random Forest (RF) classifier discriminating ASD children from NTs using species-level relative abundances (ASD = 2,154, NTs = 1646). Each set was obtained by random sampling at most one child per family and balanced for ASD diagnosis, sex, age, and sequencing depth. **b** Scatterplot of the per-species RF feature importance (computed only from training folds to avoid overfitting) vs minus the log-10 of the ASD-related q value from a linear mixed model linking ASD diagnosis with centered log-ratio transformed MetaPhlAn 3 species abundances adjusted for sex, age, genetic ancestry, sequencing depth, and blocked by family ID. Orange line marks a lowess regression, and light-blue shaded area its 95% confidence interval. *ρ* refers to Spearman's

children microbiome was found to be enriched in aerobic or aerotolerant species (25 out of 52, Fisher exact test p < 0.0001). The enrichment of aero-tolerant species in ASD oral microbiome, possibly due to increased mouth-breathing⁵², is consistent with the increase in the species *R. dentocariosa*, *S. gordonii*, *C. durum*, and *C. acnes* (Fig. 2d). These findings suggest a potential contribution of altered mouth breathing in ASD to the observed ASD associations.

Salivary microbial load has a limited influence on the ASD oral microbiome

Since higher microbial load has been linked to poor oral hygiene and compromised oral health^{53,54}, we estimated the microbial load in each subject (Methods) to investigate the potential impact of differences in oral hygiene between ASD and NTs on the observed associations. Such an indirect measure of oral hygiene allows us to draft some preliminary conclusions on the relationship between oral hygiene, microbial load, and oral microbiome in autism. A total of 123 species were associated with microbial load (as a surrogate for oral hygiene) while 110 species were associated with ASD after adjusting by the oral hygiene proxy. However, of the 52 species associated (q < 0.005) with both variables, twelve species were associated with ASD and high microbial load and eight with NTs and low microbial load (Fig. 2d. e. Suppl. Data 7). indicating a relatively limited influence of the inferred oral hygiene in the observed differences between ASD children and controls. A. hongkongensis, the Eikenella species NLM130154, the Streptococcus oral species 056, Actinomyces georgiae, and the skin commensal Propionibacterium propionicum, all found among the top associations with ASD, were also associated at q < 0.005 with higher microbial load (Fig. 2e). C. acnes, another skin commensal, was instead not associated with microbial load (poor hygiene). To ensure that the presence of C. acnes was not due to multiple episodes of contamination, we assessed the prevalence of C. acnes in 17 oral datasets from cMD 3, confirming its presence at a non-negligible prevalence (Supplementary Fig. 4b). The increased presence of C. acnes (aerotolerant) in ASD might be more likely motivated by mouth breathing than by oral hygiene. To ensure that the oral C. acnes is not a different species with respect to the typically found skin commensal, we performed a phylogenetic analysis of 508 C. acnes genomes including twenty reference genomes, 415 skin-derived assemblies, 24 airways assemblies, and 32 genomes from the SPARK-WGS cohort (Methods). No isolated cluster of any oral cavity or airwise-derived C. acnes was observed, suggesting that the same species is identified in the mouth, in the airways, and in the skin (Supplementary Fig. 4c). Conversely, commensal species such as R. dentocariosa and R. aerea were negatively associated (q < 0.005) with the estimated microbial load and positively with ASD, further suggesting that oral hygiene is not the sole reason driving the associations. In spite of the observed overlaps, covarying for the microbial load did not substantially modify the results of the ASD or NTs associated species in terms of q value and effect size (the ASD betas and q values of the two models showing a correlation of 0.99, Suppl. Data 8). Collectively, this suggests phenomena beyond oral hygiene at the basis of the identified associations between ASD and the oral microbiome.

correlations. **c** ASD diagnosis-related betas from the aforementioned model considering the top-15 ASD-associated (with beta > 0) and the top-15 NTs-associated (beta < 0) at *q* < 0.005. Horizontal lines mark the 95% confidence intervals. Relative abundances (right) are presented in log-10 scale and coloured by enrichment in diagnostic groups. **d** Vertical colour bars indicate whether the species is positively, negatively (at *q* < 0.1), or not associated with use of medications, whether the species is aerotolerant, and whether the species is associated at *q* < 0.005 with the overall degree of microbial load. **e** Results for a model including microbial load estimation instead of microbial read depth. Yellow diamonds mark a positive association with microbial load, green diamonds mark a negative association with microbial load. Top-20 species per group are shown.

Genetic predisposition to ASD does not correlate with any of the observed species

To investigate the role of the oral microbiome in the aetiology of ASD, we explored the association between microbiome composition and genetic predisposition to ASD, estimated by the inherited predisposition to a condition of a given individual due to common polymorphisms measured by the Polygenic Risk Score (PRS)⁵⁵. No species were found associated with ASD-PRS, either adjusting or not adjusting for ASD diagnosis (data not shown). Since the PRS was computed from an ASD reference GWAS based on European ancestry subjects, we ran a model adjusted only for sex, age, and sequencing depth and including only caucasian individuals as defined by the ancestry principal component analysis (n = 2873). This analysis showed an association at q < 0.1 between PRS and five species (Haemophilus paraphrohaemolyticus, Anaerococcus vaginalis, Staphylococcus cohnii, Rothia sp, Corynebacterium testudinoris, Suppl. Data 8) but none of them reached statistical significance. These results suggest a limited contribution, if any, of the genetic risk component for ASD on the observed differences in microbiome compositions between children with ASD and NTs.

Cognitive functions are highly correlated with microbiome features

To explore phenotypic aspects capable of explaining the observed differences, we exploited ASD screening measures such as the Social Communication Questionnaire (SCQ) scale⁵⁶, the Developmental Coordination Disorder Questionnaires (DCDQ)⁵⁷, and the Repetitive Behaviours Scale (Revised) (RBS-R)⁵⁸, which were available for the majority of ASD subjects. We also considered ASD cognitive impairment as a putative correlate of the microbiome composition. Given that intelligence quotients rated by licensed clinical psychologists were available only for a subset of subjects in our cohort, we took advantage of the full-scale intelligence quotients (IQ) estimated from parent-reported data by an algorithm developed to estimate with high accuracy cognitive levels in large cohorts of ASD children⁵⁹. The predicted IQ determined for 1795 ASD subjects was highly correlated with the clinically-rated IQ In the subset of 116 ASD children ($\rho = 0.77$, $p = 8.6 \times 10^{-19}$, Supplementary Fig. 5a). The predicted IQ was correlated with the three above scales in the expected directions, i.e. positively correlated with the degree of motion coordination (DCDQ, $\rho = 0.34$, $p = 1.3 \times 10^{-31}$ as in⁶⁰), negatively correlated with the social communication deficit (SCQ, $\rho = -0.32$, $p = 4.9 \times 10^{-32}$), and negatively correlated with the degree of repetitiveness (RBS-R, $\rho = -0.12$, $p = 3 \times 10^{-5}$). Consistently with previous findings⁶¹, the SCQ was positively correlated with the RBS-R ($\rho = 0.44$, $p = 7.7 \times 10^{-57}$) and negatively correlated with the DCDQ ($\rho = -0.33$, $p = 4.9 \times 10^{-28}$, Supplementary Fig. 5a–d, Suppl. Data 9). We then used linear models to assess the association of microbial species with each scale within the ASD group. Repetitive behaviours (RBS-R, n = 1728) did not show statistically significant associations with oral species (Suppl. Data 10); social communication questionnaire (SCQ, n = 1772) and developmental coordination disorder (DCDQ, n = 1491) showed 393 and 49

associations, respectively (q < 0.005, Suppl. Data 11, 12, Fig. 3a). However, when adjusting the above linear models for IO, none of the identified associations for DCDO and SCO survived to FDR correction (no associations found at q < 0.005, Supplementary Fig. 6a–c). Furthermore, IQ showed 137 associations (54 positive and 83 negative), which were only minimally affected by adjusting for DCDO or SCO (Supplementary Fig. 6a, d). The above findings indicate that the oral microbiome signals in the study primarily reflect differences in intellectual functioning as measured by IQ, which likely mediates the observed signals for SCQ and DCDQ. We hypothesized that the microbial species - IQ association might be driven by poor oral hygiene and high microbial load in the ASD cohort. As expected, IQ displayed a significant negative correlation with the proportion of microbial reads (as cognitive impairment may lead to reduced oral hygiene habits, $\rho = -0.24$, $p = 2.4 \times 10^{-24}$, Supplementary Fig. 6e). After adjusting the association with IQ by the estimated microbial load, a total of 131 significant associations with IQ (q < 0.005) were found. While 44/131 significant associations were linked to both, only 14 species were positively associated with microbial load and negatively with IQ, suggesting IQ and oral hygiene as at least partially independent drivers of oral microbiome composition. Notably, the betas for the IQ species association were highly correlated with the ASD betas ($\rho = -0.51$, $p = 2.7 \times 10^{-88}$, Suppl. Data 13, Supplementary Fig. 7a), the predicted IQ effect sizes were highly correlated with the clinically-rated IQ model effect sizes ($\rho = 0.44$, $p = 2 \times 10^{-64}$), and the model on clinically-rated IQ showed 38 out of 39 significant associations (q < 0.2) overlapping with the full-dataset model on ASD diagnosis (Supplementary Fig. 5d). Among the four parameters considered, IQ was therefore the factor showing the highest correlation with the observed associations between ASD diagnosis and oral microbiome features.

Dietary behaviours show little impact on the observed microbiome differences

Dietary restrictiveness is common in ASD and has been recently proposed as a modulator of the gut microbiome³⁸. To test to which extent our findings on the oral microbiome should be ascribed to differences in dietary habits, we took advantage of data collected using the SPARK Research Match platform on SPARK families that investigated eating behaviours⁶², by conducting an ad-hoc analysis on a subset in which both imputed IQ and avoidant/restrictive food intake disorders (ARFID) data were available. In total, we considered 291 ASD children with available data for ARFID based on the Nine-Items ARFID Screen (NIAS)⁶³, focusing on picky eating (Picky Factor from the NIAS) and on the "ARFID score" (derived from a model including the three NIAS factors and survey questionnaires on measures of eating behaviours and GI symptoms)⁶². We compared the microbiome differential abundance results obtained from ASD children with avoidant/restrictive food intake disorders (ARFID) data and their siblings (total n = 532) to those obtained from the full cohort (n = 3800), using a less stringent threshold for false discovery rate (q < 0.2). We found a high correlation $(\rho = 0.51, p = 9.2 \times 10^{-88})$ between the two models, with 44 species with q < 0.2 in common, indicating that this subset is a fair representation of the entire cohort (Fig. 3a, Suppl. Data 14). We ran three separate caseonly models, assessing the correlation between oral microbiome composition and ARFID Score, Picky Factor and imputed IQ. When comparing these models with those of the differential abundance model on the subset, the ARFID Score betas showed a low correlation with ASD ($\rho = 0.11$, $p = 1.1 \times 10^{-4}$), and the Picky Factor score showed a slightly higher correlation ($\rho = 0.22$, $p = 10^{-16}$), but neither ARFID nor the Picky Factor scores showed significant associations at q < 0.2(Suppl. Data 15, 16). Interestingly, IQ showed the highest number of statistical associations, either including or not including Picky Factor in the same model (n = 94 and 84, respectively, Suppl. Data 17, 18), as well as the highest correlation with the differential abundance model run in the subset ($\rho = -0.33$, $p = 1.8 \times 10^{-34}$, Fig. 3b), suggesting a major role in the observed ASD-related microbiome differences with respect to dietary habits. Considering the top-15 species either positively or negatively associated with ASD in the original cohort, 18 were still significantly associated (q < 0.2) in the differential abundance model run in the subset, but none was associated with ARFID score and Picky Factor, while 24 remained significantly associated with IO (Fig. 3c. Supplementary Fig. 7b). To determine the importance of Picky Factor and IQ in explaining compositional samples' dispersion (measured by Aitchison pairwise distances) in the oral microbiome profiles, we next conducted a multivariate beta-diversity analysis (with permutation tests in constrained ordination) with the available experimental variables. Picky Factor was associated with the overall microbiome sample dispersion when IQ was not included in the model ($R^2 = 0.6\%$, p = 0.028, Fig. 3d). IQ became predominant when included in the model (IQ $R^2 = 6\%$, p = 0.001), marginalizing the importance of Picky Factor (which was not significant when IQ was included in the stepwise model selection). Although the importance of IQ appeared predominant also in a relatively small dataset, future studies with greater power would be needed to confirm and further explore the specific role of picky eating in shaping the oral microbiome.

Reduced oral microbiome transmission in ASD and lower strain sharing rate in cases with severe-to-mild cognitive impairment We hypothesised that there could be a difference between ASD and NTs children in the degree of microbiome strain sharing with their family members, given that person-to-person microbiome transmission has been found to be affected by social interactions⁶⁴. A proxy for microbiome strain transmission has been recently obtained assessing genetic similarity of microbial strains^{64,65} as the presence of the same strain in two different individuals is very likely to be due to a strain sharing event^{64,66}. Using strain-level metagenomic profiling (Methods) and considering 1525 families of four individuals (quartets) with two children discordant on ASD diagnosis, we computed strain sharing rates by dividing the number of shared strains between two individuals by the number of species detected in both (Suppl. Data 19, 20). Children with ASD displayed significantly lower strain sharing rates with both parents compared to NTs (*post-hoc* Dunn $q = 3.9 \times 10^{-21}$ and 2×10^{-20} , with the father and with the mother: median 11% and 14% in ASD, respectively, vs. 12% and 15% in NTs), albeit with a low standardized mean difference (SMD, 0.07 and 0.06, respectively, Suppl. Data 21, Fig. 4a).

We then looked at the correlation between strain sharing rates and clinical data available for ASD children. The imputed IQ was significantly correlated with strain sharing between ASD children and their parents (n = 1525, $\rho = 0.22$, $p = 3.2 \times 10^{-16}$, $\rho = 0.18$, $p = 2.1 \times 10^{-11}$, with father and with mother, Supplementary Fig. 8a). Among the ASDrelated scales, SCQ was negatively correlated with the ASD children sharing rates with the parents (p < 0.05, r = 0.05 in both mothers and fathers), suggesting reduced social interactions as a potential contributor to the strain transmission. However, the correlation was much weaker compared to the correlation with IQ, indicating that IQ is likely the primary factor involved in the observed decrease in microbiome strain sharing rate (Supplementary Fig. 8b). Notably, imputed IQ was correlated with the strain sharing rate average in the set of ASD children with available dietary information, while ARFID score and Picky Factor were not (n = 223), parent-to-child average strain-sharing IQ $\rho = 0.2$, p = 0.02, Supplementary Fig. 8c). We additionally investigated the influence of cognitive impairment on strain sharing rates by dividing the ASD children into commonly used IQ categories for severe or moderate (\leq 70) and mild or borderline (>70 and \leq 85) cognitive impairment, or a normal IQ-range (>85)⁶⁷. In total, 413 ASD participants had an IQ \leq 70, 328 individuals had an IQ > 70 and \leq 85, and 784 had an IQ>85. First, the IQ of the ASD children did not seem to determine any difference in the average strain-sharing rate with their siblings (anova p = 0.65, Fig. 4b). ASD children with severe or moderate cognitive impairment (n = 328) showed the lowest strain sharing rate



with both parents (median 9% and 12%, vs 12% and 15% of the NTs, *post-hoc* Dunn $q = 2.4 \times 10^{-11}$ and 10^{-6} , SMD = 0.36 and 0.25), followed by ASD children with mild or borderline cognitive impairment as defined by IQ ranges (Fig. 4c, d, Suppl. Data 22, 23). ASD children in a normal IQ range (>85) showed higher strain sharing with both parents than the cognitively impaired ones (*post-hoc* Dunn $q < 10^{-15}$ and 1.2×10^{-7} in sharing with the father and the mother, respectively, SMD 0.45 and

0.3), indicating cognitive impairment as a putative driver for the observed lower microbiome transmission. To then evaluate whether the oral hygiene proxy is responsible for this trend (i.e. if a higher degree of oral hygiene is positively correlated with microbial engraftment), we built linear models to assess the relationship between the average rate of transmission with the IQ-class, adjusting for the estimated microbial load. Cognitive impairment was the best predictor of

Fig. 3 | **Analysis of dietary habits related scores and IQ in a subgroup of 291 ASD children. a** correlation plot of the betas from the model on the full cohort (ASD = 2154, controls = 1646) vs the betas from an identical model considering the subset of 291 ASD children with available dietary habits data and 241 NTs. **b** correlation plots of the betas from the differential abundance model considering the above subset and the betas from case-only models assessing the relationship between oral microbiome composition and ARFID score, Picky Factor, and fullscale IQ, within the 291 children with available dietary habits data. ρ refers to Spearman's correlations. **c** The top-15 ASD associated and the top-15 NTs associated species from the full cohort differential abundance model are shown for the different models run within the subset. The fifth model refers to the association between IQ and oral microbiome composition adjusted for Picky Factor. Colours for the significant (q < 0.2) associations are reported in the legend. Grey refers to q > 0.2. **d** Variable importance assessed via permutation test in constraints ordination (Ordistep) on Aitchison pairwise distances among 291 ASD individuals reveals importance of Picky Factor and predominance of IQ over Picky Factor in determining oral microbiome dispersion. Variables are the same as Ext.DataFig. **b** With the exclusion of family ID and ASD diagnosis. (right) Picky Factor is excluded (non-significant) by the stepwise model selection when IQ is included.





are divided by IQ category (\leq 70, >70 & \leq 85, >85). NTs are reported in blue. Red line represents the median of the \leq 70 IQ group. **d** mother-child strain sharing. ASD children (*n* = 1525) are divided by IQ category (\leq 70, >70 & \leq 85, >85). NTs are reported in blue. Red line represents the median of the \leq 70 IQ group. Numbers refer to Post-hoc Dunn-test *q* values; *ns* (non-significant) refers to *q* > 0.05. '*d*' stands for Cohen's *d* (standardized mean difference).

the log-transformed strain-sharing rate (betas = 0.28 and 0.21, $p < 1.6 \times 10^{-11}$ and 5×10^{-8} with the fathers and the mothers, respectively). The estimated microbial load was also a significant predictor (betas = 0.01 and 0.01, $p < 1.8 \times 10^{-4}$ and 4.2×10^{-9}), indicating both microbial load and cognitive impairment as factors contributing to the

decreased microbial strain sharing rate. Finally, we exploited the three classes of IQ (severe or moderate cognitive impairment [\leq 75], mild or borderline [>75 & \leq 85], and normal IQ range [>85]) to conduct differential abundance analysis among the different classes. We observed a substantial correlation between species' coefficients from differential

abundance analysis in ASD vs NT and the differential abundance analyses contrasting severely or moderately vs mild or borderline impaired ASD children and vs normal IQ range ASD children ($\rho = 0.47$ and 0.51, respectively, $p < 1 \times 10^{-20}$ in both contrasts, for their correlation with the ASD vs NT coefficients, Supplementary Fig. 8d).

Functional potential differences in the genetic repertoire of ASD and NTs oral microbiomes

We compared the functional potential of the oral microbiome of ASD children and NTs inferred from the microbiome genetic repertoire with HUMAnN 3.0⁴⁷. We performed differential abundance analysis of MetaCyc pathways, adjusting by the same covariates used in the species abundance model, plus alpha diversity to account for the potential effect of species richness on the pathway contribution. At $q < 10^{-7}$, mixed linear models on CLR-transformed pathway counts of read per kilobases identified 66 MetaCyc pathways associated with ASD (n = 41)or NTs (n = 25, Suppl. Data 24, 25). Aside, the strongest associations were also replicated with IQ but not with ARFID and Picky Factor (Fig. 5a, Suppl. Data 26–28). Many of the strongest associations with NTs were pathways related to the metabolism of glutamate (L-glutamate degradation VIII to propanoate, L-glutamate and L-glutamine biosynthesis, D-galacturonate degradation I, D-galactarate degradation I, Fig. 5a). On the opposite side, tryptophan and serotonin degradation, GABA degradation, and the pathway aromatic biogenic amine degradation, were all associated with the metabolic repertoire of ASD children (Fig. 5a). We examined the reference pathway graphs and identified three key-enzymes (EC 1.4.3.4, serotonin oxidase, 1.1.1.1, 5-hydroxyindole acetaldehyde reductase, and 1.2.1.3, 5-hydroxyindole acetaldehyde dehydrogenase) which showed higher mean coverage in ASD compared to NTs, and thus represent putative biomarkers for a potential selective advantage of the species associated with ASD in the salivary microbiome. In particular, the three-steps enzymatic chain leading from serotonin to 5-hydroxytryptophol consistently showed higher reads counts in ASD individuals compared to NTs (Fig. 5b).

Dopamine and GABA degradation potential in ASD oral microbiomes

We complemented these results with a separate differential abundance analysis based on the database of the gut-brain modules (GBM)⁶⁸, a manually curated database of microbial genes potentially encoding neuroactive functions including non-gut and non-human ones⁶⁸. Out of 56 modules, 19 were associated (q < 0.005) with ASD and 9 were associated with NTs (Suppl. Data 29, 30). The two functions that emerged mostly in ASD were dopamine degradation and GABA degradation (betas 0.55 and 0.5, respectively, Fig. 6a). The identification of dopamine degradation could be reconducted to the same enzymes previously identified for the serotonin degradation, specifically via dopamine oxidase and 3,4-dihydroxyphenylacetaldeyde dehydrogenase (EC 1.4.3.4 and 1.2.1.3, Fig. 6b). GABA degradation was identified also in the previous analysis as 4-aminobutanoate degradation V pathway (Fig. 5a), and, by exploring this pathway, we identified three enzymes (EC 2.6.1.19, 1.4.1.2, and 2.8.3.8) which are enriched in species associated with ASD, and are involved in the synthesis of ammonium via 4-aminobutyrate transaminase action followed by glutamate dehydrogenization, as well as in the synthesis of butyrate via ButCoA acetyl transferases (Fig. 6c). Notably, the product of this reaction is butyrate, which is also the product of the reaction representing the strongest association with NTs. Overall, these findings constitute potential directions for the investigation of the ASD oral microbiome at the enzymatic level.

Oral microbiome functional potential correlates with cognitive impairment

To help interpret the association between neuroactive pathways and ASD we assessed the association between ASD screening scales (SCQ,

DCDQ, RBS-R) and IQ with the functional potential modules and compared the results with the differential abundance analysis. Considering the pathways enriched either in ASD or NTs at $q < 10^{-7}$ in the full cohort, analogous trends were observed for SCQ and DCDQ, but with lower statistical significance (SCQ, opposite direction, no significant pathways; DCDQ, same direction, one significant pathway, Supplementary Fig. 9a, Suppl. Data 31–34). When replicating the same analysis using the GBM modules, IQ showed a high concordance with the differential abundance analysis between ASD children and NTs ($\rho = -0.79$, $p = 8.9 \times 10^{-11}$, Supplementary Fig. 9b), and similar results for other ASD diagnostic instruments, with no significant pattern for the RBS-R scale for repetitive behaviours (Supplementary Fig. 9c, Suppl. Data 35–38).

Discussion

We analysed a total of 7812 oral microbiomes from 2025 US families in the SPARK-WGS cohort sequenced at very high depth (avg. 105 million microbial reads per sample) to identify potential relationships between the salivary microbiome and ASD. After showing the relative abundances of multiple species differed between ASD children and NTs, we explored behavioural aspects capable of explaining the observed differences, including dietary habits and cognitive functions. Neither avoidant/restrictive food intake nor the use of medications or the estimated degree of oral hygiene showed an impact of the same scale of the one displayed by the imputed full-scale IQ in the ASD subjects. Repetitive behaviours also had a small influence on the oral microbial composition, whilst additional factors such as social communication and developmental coordination revealed significant associations, also mainly driven by IQ. The investigation of the personto-person strain sharing of the oral microbiome using strain-level profiling and differential abundance analysis among different classes of cognitively impaired ASD children confirmed the influence of cognitive impairment in shaping the oral microbiome in ASD. ASD children exhibited lower degrees of microbial strain sharing with their parents compared to NTs, and this effect was found especially in subjects with severe to moderate cognitive impairment ($IO \le 70$). Our analysis revealed significant differences in metabolic pathways related to serotonin, GABA, and dopamine degradation. This was particularly due to enzymes converting serotonin to 5-hydroxytryptophol and dopamine to (3-4-dihydroxyphenyl)acetate. Conversely, NT samples were enriched in glutamate degradation and direct butyrate biosynthesis pathways. Notably, as part of the GABA-degradation pathway we observed an enrichment in ButCoA acetyl transferases, whose product is butyrate. This suggests a potential distinction in butyrate production routes between ASD and NT individuals, albeit this warrants further investigations. The observed associations appear to be mainly linked to cognitive deficits (as measured by the imputed IQ values), although further studies may be needed in order to disentangle the contribution of different factors, including more accurate measures of dietary habits and oral hygiene, as possible mediators of the observed signal.

Key neurotransmitters such as gamma amino butyric acid (GABA), serotonin, glutamate, dopamine, and the SCFAs propionate, acetate, and butyrate are metabolised by the gut microbiome and might play a role in ASD⁶⁹. Serotonin and its precursor tryptophan have been linked to behavioural impairments in ASD⁷⁰⁻⁷³, possible causal mechanisms have been suggested⁷⁴⁻⁷⁶. We also observed an enrichment of L-tryptophan degradation via tryptamine that induces the synthesis of serotonin by the enterochromaffin cells and helps regulate transit time in the gut⁷⁷. The role of central and peripheral serotonin and its metabolites in the social and cognitive functions in ASD has been also the object on numerous investigations⁷⁸, and the role of serotonin as a key mediator of the gut-brain-microbiome axis in ASD has been proposed⁷⁹. Most notably, it is well known that elevated blood levels of serotonin are found in >25% of ASD subjects⁸⁰, and further studies will





between MetaCyc pathway and ARFID score, Picky Factor, and full-scale IQ on 291 ASD children. **b** (left) ASD-associated species contributing to EC numbers that are in the MetaCyc serotonin degradation pathway are reported, with the mean difference in enzyme coverage between ASD and NTs, and the number of ASD samples in which the contribution is observed. (right) the serotonin degradation MetaCyc pathway is reported. The three enzymes identified in microbial reads are highlighted.



Fig. 6 | Differential abundance of microbiome-derived gut-brain (GB) modules between ASD children (N = 2139) and controls (N = 1646). a (left) 19 associated (q < 0.005) GB modules from a linear mixed model of ASD diagnosis in 3,785 salivary microbiome samples, adjusted for sex, age, genetic ancestry, sequencing depth, and alpha-diversity, and blocked by family ID. **b** reactions related to dopamine degradation in the MetaCyc biogenic amine degradation pathway pathway. **c** (top) reactions related to GABA degradation to ammonium and butyrate in the MetaCyc GABA degradation pathway; (bottom) ASD-associated species contributing to EC numbers that are in the MetaCyc GABA degradation pathway are reported, with the mean difference in enzyme coverage between ASD and NTs, and the number of ASD samples in which the contribution is observed.

prove able to verify the hypotheses here suggested, in particular, whether the molecular exchange between blood and saliva facilitated by the high vascularization of the salivary glands may result in a selective advantage for oral microbes carrying the key-reactions from serotonin to 5-hydroxytryptophol in their generic carriage. In addition to the serotonergic system, the balance between the glutamatergic (excitatory) and the GABA-ergic (inhibitory) systems has also been shown to be altered in ASD⁸¹⁻⁸⁴. Here, we observed an enrichment in glutamate and butyrate synthesis in NTs, while the GABA degradation potential to butyrate was enriched in ASD. Several magnetic resonance spectroscopy studies have revealed decreased GABA levels in the brain of ASD children^{85,86}, and lower GABA/Cre levels in the anterior cingulate cortex of ASD children were associated with lower IQ and greater impairments in social cognition⁸⁷. Higher levels of glutamate as well as lower concentration of GABA were found in a metabolomic investigation of the urinary neurotransmitters in ASD, which were suggested to be driven by the gut microbiota⁸⁸. Recently, the oral microbiome has raised considerable interest due to its link with host characteristics^{43,64} and recent findings have suggested that oral microbes can influence neurological processes and shape cognition and behaviour, suggesting the hypothesis of an oral microbiome-brain axis⁸⁹. It has recently been shown that a mouse model of ASD with reduced dopamine signalling displays an altered oral microbiome with a significant decrease in Fusobacterium abundance, similarly to what we observed in our study⁹⁰. Our study shows in a large cohort that, in ASD, impaired cognitive functions are associated with an oral microbiome harbouring altered taxonomic and neuroactive pathway composition. Notably, the largest study published so far on the ASD oral microbiome totalled 350 subjects^{39,40,91-94}, while the largest meta-analysis considering the morestudied gut microbiome comprised 1740 subjects in total³⁵. While most existing studies were performed with 16S rRNA gene sequencing, our metagenomic approach coupled with the family design enabled higher resolution and analysis of microbiome strain sharing patterns.

Still, this cross-sectional study has important limitations. First, we were not able to verify the true biological activity underlying the inferred evidence. Second, we could not control dietary patterns or retrieve food frequency data at high resolution, which might represent a key confounder. Recently, a gut metagenomics study in ASD children (N = 247) proposed that microbiome differences may reflect restricted dietary preferences in ASD, which results with reduced microbial taxonomic diversity in the stool³⁸. Indeed, picky eating has been associated with alteration in the gut microbiome of ASD children⁹⁵, however no data are available so far on its effect on the oral microbiome. In our investigation, we did not observe any significant association between picky eating and any microbial species. In contrast, we found significant associations between specific microbiome components and IQ. Additionally, we considered two key putative confounding aspects, namely the use of medication and oral hygiene (proxied by total microbial load), which turned out to have only a limited contribution to the observed results. Since the majority of ASD medications, including serotonin reuptake inhibitors and antipsychotics, are used to address common repetitive behaviours, anxiety and irritability symptoms, and are not restricted to cognitively impaired subjects, it is therefore conceivable that medications plays a minor role in the observed IQ-related alterations. We cannot fully exclude the presence of additional potentially confounding factors, possibly indirectly related to cognitive abilities, which might impact on the oral microbiome composition. Among them, factors linked to the salivary sampling (time of collection, time of last oral hygiene, and time of last meal) were not available, although instructions were given to not eat, drink, smoke or chew gum for 30 min before collecting the saliva sample. In our study, the sampling procedure was nevertheless highly homogenous across subjects (parents, ASD and NTs), with <0.5% of individuals requiring assisted sampling. Although we cannot rule out some of the identified species (i.e. Streptococcus sanguinis, Fusobacterium periodonticum) may be correlated to oral hygiene factors, their increase was not specific to the ASD group, and are unlikely to be driving the overall neuroactive potential related differences. Nevertheless, future studies will be needed to assess the generalizability of our findings to a more diverse population, unravel the role of specific medications, food frequency and oral hygiene practices on the oral microbiome, and explore the potential influence of gastrointestinal dysfunctions on the oral microbiome functional potential in ASD.

Our findings suggest cognitive impairment as the main factor underlying the differences observed between ASD and NT oral microbiomes, which may represent a mediator of effects on the microbiome due to group differences in lifestyle factors. The identified associations point to microbial pathways related to neurotransmitter metabolism, suggesting a potential link between cognitive function, lifestyle factors, the oral microbiome, and neurotransmitter-related pathways, though the nature of these relationships requires further investigation. Given the low permeability of the blood brain barrier, it is unlikely that salivary neurotransmitters entering into the bloodstream could reach the CNS and exert a direct effect at the central level. An alternative scenario worth considering is that certain neurotransmitter-degrading bacteria may translocate to the gut, or neurotransmitters in the oral cavity potentially reach and impact enteric neurons in the gut, where bidirectional communication with the central nervous system may lead to alterations in brain function⁹⁶. However, the hypothesis of a selective pressure exerted by neurotransmitter levels on the salivary microbiome may also represent a compelling avenue for further exploration of ASD biomarkers. Empirical studies, especially focusing on the metabolomic profiles of the oral microbiome and their systemic effects, will be crucial for validating this hypothesis.

Methods

Subject details

The study is a cross-sectional analysis of a family cohort based on the SPARK collection coordinated by the Simons Foundation Autism Research Initiative (SFARI). The procedure collection for the SPARK-WGS cohort complied with all ethical regulations and with the Declaration of Helsinki (2013). Subjects being part of the SPARK initiative (Western IRB (https://www.wcgirb.com/), Protocol tracking number: WIRB20151664) are US children reported to have a professional diagnosis of ASD, and their family members. Once an ASDdiagnosed individual or their legally authorized representative starts the enrolment procedure, all the members of the family are invited to enrol. Parents or legal guardians are required to provide all the necessary information relative to their ASD dependent(s) and sibling(s), and independent adults report on themselves. The enrollment happens online and consists of informed consent to the study and to DNA collection/analysis, and submission of brief medical and psychiatric history and behavioural questionnaires on the ASD-diagnosed individual and other members of the family. All participants provided written informed consent to take part in the study. Written informed consent was obtained from all legal guardians or parents for all participants aged 18 and younger and all older participants who have a legal guardian. Assent was also obtained from dependent participants aged 10 and older. For a description of methods, see ref. 44.

Data sequencing, quality-preprocessing, taxonomic and functional profiling

Sample size was not pre-determined by statistical methods. A total of 7861 subjects providing saliva samples were enroled in the SPARK-WGS initiative between 2016 and 2021 and sequenced at the New York Genome Center between 2020 and 2021 (SPARK integrated WGS1-3; ID: SFARI_SPARK_iWGS_v1.1). Saliva was collected at home or on-site for site-affiliated participants, with the OGD-500 kit (DNA Genotek, Canada) which is based on induced drooling and avoids swabbing the

mucosal surfaces, and sent to the New York Genome Center. Participants were instructed to not eat, drink, smoke or chew gum for 30 min before collecting the saliva sample. Sampling strategy was illustrated to the participant by written and video instruction. Genetic material was extracted using a Chemomagic MSM1/360 DNA extraction instrument and eluted into 110ul of TE buffer at PreventionGenetics (Marshfield, WI, USA). The biological sex and parental relationships of all samples were confirmed by a panel of microsatellite markers. Samples that failed these checks were not processed further. Libraries were prepared with the Illumina DNA PCR-Free Library Prep kit following manufacturer's guidelines. Sequencing was performed using an Illumina NovaSeq 6000 platform using S2/S4 flow cells and following manufacturer protocols. An average of 856 million reads per sample (at 150 nt reads) was obtained (mean coverage 42X). Biological sex and parental relationships were confirmed again using PLINK software⁹⁷. Any samples that failed these checks were not processed further. The final number of participants retained was further reduced to 7812 subjects from 2025 families due to metadata availability constraints. Samples were preprocessed using a modified version of the pipeline at https://github.com/SegataLab/preprocessing. Briefly, samples were mapped with BWA version 0.7.1598 against hg38 Human genome to remove human contamination. Non-mapping reads were extracted with samtools version 1.1099,100 and were then gualityscreened with Trim Galore (version 0.6.4, parameters: --stringency 5 --length 75 --quality 20 --max_n 2 --trim-n)¹⁰¹ to remove short (< 75 bp) and low quality (Phred quality score <20) reads as well as reads with more than two ambiguous nucleotides. The remaining high-quality reads were sorted into one forward, reverse and unpaired read file for each metagenome. Metagenomic sequences of the 7812 participants were profiled using MetaPhlAn 3⁴⁷ (ver. 3.0.13; default parameters) which estimates the taxonomic composition. HUMAnN 3.0⁴⁷ (ver. 3.0: default parameters) which estimates MetaCyc pathways and UniRef90 gene families' abundances from metagenomic reads, was run on 3785 metagenomic samples. HUMAnN 3 gene families were grouped into KEGG Orthologs¹⁰² using the HUMAnN 3.0 utility script humann renorm tables, and gut-brain module (GBM, v1.0) 64 relative abundances, covering neuroactive potential pathways, were calculated with omixer-rpmR (v0.3.3)¹⁰³.

SPARK-WGS as a salivary microbiome dataset

We retrieved 856 oral cavity microbiome profiles available in curatedMetagenomicData⁴⁸. We computed pairwise distances between all samples and the SPARK-WGS cohort showed the lowest dissimilarity to the HMP_2012¹⁹, BritoIL_2016¹⁰⁴, and LassalleF_2017¹⁰⁵ (avg. Bray-Curtis dissimilarity 0.61, 0.61, and 0.63, respectively) datasets, which are the three datasets available from saliva. Tongue dorsum, throat swabs, tonsils, and buccal mucosal samples (arguably closer to saliva samples with respect to teeth) from the HMP_2012 and the tongue dorsum datasets Castro-NallarE 2015¹⁰⁶ and FerrettiP 2018¹⁰⁷ were the next most similar to the SPARK-WGS cohort (avg. Bray-Curtis dissimilarity from our samples = 0.67, 0.69, 0.74, 0.75, 0.75, and 0.8, respectively). Plaque and keratinized gingival samples from the HMP 2012, GhensiP 2018¹⁰⁸, ShiB 2015¹⁰⁹, ChuDM 2017¹¹⁰ datasets showed the highest distances from SPARK-WGS (avg. Bray-Curtis dissimilarity = 0.81, 0.82, 0.83, 0.87, 0.93, 0.86). The sole exception was the dataset OlmMR_2017¹¹¹ (tongue-dorsum) (avg. dissimilarity = 0.96, Supplementary Fig. 1d). These results are consistent with previous observations¹¹². We used the same 856 samples to assess the prevalence of C. acnes in oral microbiome datasets. Metagenomic assembly of C. acnes was conducted on 1286 samples of the SPARK-WGS cohort as previously described¹¹³; 34 high and medium-quality genomes were integrated with other 474 high quality C. acnes genomes; phylogeny of *C. acnes* was built using PhyloPhlAn 3¹¹⁴ by aligning the C. acnes proteomes against the 400 universal marker genes. Tree was plotted using GraPhlAn¹¹⁵. Other potential contaminants were

evaluated according to the list of 119 species determined by ref. 49, further collapsed to 59 that were present in the MetaPhlAn 3 database. Four potential contaminant species (*Achromobacter xylosoxidans, Alcaligenes faecalis, Kocuria rosea, Variovorax paradoxus*) were found at a non-null abundance in 13, five, five, and eight samples respectively. Two species (*Ralstonia pickettii* and *Cutibacterium avidum*) were found in 37 and 38 samples, respectively. All the potential contaminants identified were found at an abundance <0.1% with the exception of *Ralstonia pickettii*, which showed abundances between 1 and 2% in five samples, between 2 and 5% in one sample, and at 5.7% in one sample (this sample potentially affected by a contamination episode). Based on this analysis, we excluded the possibility of contamination affecting considerably the subsequent analyses.

Principal components analysis of human genetics

The genetic ethnicity of the individuals in SFARI-WGS cohort and their relatedness (ancestry PCAs) was quantified by the extraction of the principal components from the genetic relatedness matrix (GRM), computed with Plink 1.9 (option --make-grm-rel and --pca)⁹⁷. The extracted features were used in the variance component estimation to account for relatedness between individuals. Moreover, we derived genetic components from the GRM matrix for each ethnic cluster found in 1000 Genomes phase 3116 dataset. Using the Genetic relatedness matrix of SPARK-WGS we projected each individual onto the major components of the ethnicity clusters derived from the 1000 Genome. Then, we applied cluster analysis (k-mean) on the principal components and assigned each individual to a cluster, resulting with the following distribution: 15% American, 3% African, 2% east Asian, 77% European, 3% south Asian. GRM computation was performed with Plink 1.9¹¹⁷, projection and cluster analysis with R (3.4.4) packages prcomp, kmeans and factoextra.

Compositionality issue

The issue of data compositionality was properly addressed by the centered log-ratio (CLR) transformation of the features used¹¹⁸. We used the scikit-bio python library (ver. 0.5.6). Zeroes in the MetaPhlAn profiles were imputed with a multiplicative replacement strategy. Zeroes in the MetaCyc and in the GBM profiles were imputed by pseudo-count of one.

Variable contribution analysis

Variable importance was assessed on CLR-transformed MetaPhlan 3 species profiles in 7812 metagenomes. We used the *ordistep* function from the R package *vegan* (ver. 2.5.7)^{II9}. *Ordistep* was run starting from the result from the *capscale* function¹²⁰. We run a variance decomposition analysis¹²¹ fitting the model: "species ~ 1|proband or control + 1|male or female + 1|family-id + age (months) + Shannon entropy + log(sequencing depth) + ancestry principal component (aPC) n. 1 + aPC n. 2^{+43} .

Alpha and beta diversity assessments

Alpha diversity (Shannon entropy) of MetaPhlAn 3 species was evaluated by Kruskall Wallis test over the diversity distributions of the 4 individual types in our cohort (*father, mother, sibling, proband*) and between the two main diagnostic groups. We then fit a linear mixed model (statsmodels python library, ver. 0.11.1) with Shannon-diversity as response and diagnosis, age, gender, sequencing depth as predictors, blocked by family ID. Significance was assessed by Wald test. Beta diversity was used to contrast siblings and probands, and significance was assessed via permanova (scikit-bio library in python (ver. 0.5.6) using 999 permutations). On Bray-Curtis and Aitchison distances independently, we sampled one child per family (n = 2024), and repeated the procedure ten times. The pseudo F was then used to compute R^2 via the formula: " $1 - (1 + ((Fx (p - 1)) / (n - p)))^{-1"}$, where *n* is the number of data points, *F* is the pseudo-F, and *p* is the number of parameters.

Machine learning approaches

We used MetAML software (with the scikit-learn Random Forest algorithm)¹²², and we trained and tested MetaPhlAn 3 species relative abundances ASD-from-controls prediction capabilities (2154 ASD children being the positive class, and 1646 NTs being the negative class). The rest of the non-default parameters of the algorithm were: 1000 estimators (trees), a minimum of 10 samples per leaf, 10% of the whole feature space as input to each tree, entropy as the information gain criterion. To rule-out the effect of sex, age, depth, and family ID from the predictive power of the microbiome, 250 ASD male children, 250 ASD female children, 250 neurotypical male children, and 250 neurotypical female children were sampled randomly so that each individual was the only representative of his/her family. Before saving the dataset, anova was used to verify that differences in age and sequencing depth of the four groups were not significant (anova p > 0.01). The whole generation process was repeated a hundred times. For each of the a hundred sets, the described algorithm was then run in 10-fold, 10-times iterated cross-validation. The presented AUC are therefore an average over 10,000 tests. Species ranking was returned by a single ten-fold, ten-times iterated cross validation by MetAML, and is computed by averaging the features importances across the training folds to avoid overfitting.

Differential abundance analysis

Linear mixed models (python statsmodels library, function mixedlm, ver. 0.11.1) were run on each centered log-ratio (CLR) transformed MetaPhIAn 3 species, HUMAnN 3.0-derived MetaCyc pathway and gutbrain (GB) modules independently. Models were adjusted for sex, age in months, first 3 principal components of the genetic ancestry, readdepth, and blocked by (random intercept model) family ID. Models on species were run on 2154 ASD children and 1646 NTs. Models on pathways and GB modules were run on 2139 ASD children and 1646 NTs, and included alpha-diversity. Differential abundance was evaluated as the beta of the diagnosis with respect to the centered log-ratio transformed microbiome feature and by the Wald-q of the diagnosis corrected by false discovery rate over the whole set of features (1333 species, 724 pathways, 62 GB modules). Significance threshold used was set a priori to 0.005, used in species and in GB modules. Pathways are particularly collinear and tend to show extremely low pvalues, so we ad-hoc choose to use for pathway $q < 10^{-7}$. The method used to adjust the p-values was the Benjamini-Yekutieli (function fdrcorrection, from statsmodel.stats.multitest python library, ver. 0.11.1). Aerobicity and aero-tolerance were evaluated for the 108 differentially abundant species using DSMZ. The standardized ratio between the number of microbial reads and the total number of reads in each sample was used as a proxy for the oral cavity microbial load.

Polygenic risk score for ASD and association with microbiome features

We performed quality control with Plink1.9¹¹⁷ on batch 1, batch 2 and batches 3 human genotype calls, independently filtering out rare variants (MAF < 5%, --maf 0.05), variants with missing call in > 5% of the samples (--geno 0.05) and violating Hardy-Weinberg disequilibrium (--hwe 1e-6), and all samples with a missing genotype rate > 5% (-mind 0.05). Variants falling into regions with high recombination rate, in particular located in the Major Histocompatibility Complex $(MHC)^{123,124}$, were removed from the PRS computation; we obtained a cohort composed of 7,824 samples and 5,981,273 SNPs. Variant association weights were derived from the most recent GWAS metaanalysis study on ASD¹²⁵. Variants in strong linkage disequilibrium were removed from the summary stats using 1000 genomes as LD reference for clumping and default parameters for R^2 and association *p*-value threshold. ASD Polygenic Risk Score (PRS) for each SPARK-WGS sample was computed using PRSice-2¹²⁶. The *p*-value was optimized for ASD classification with the PRSice-2 algorithm; the best performing

PRS included variants from the GWAS with a p < 0.1341, with a Negelkerke $R^2 = 0.6\%$ ($p = 8 \times 10^{-9}$). The best PRS was used to fit a linear model associating the ASD risk score of the 3,800 children with CLR-transformed microbial species relative abundances adjusted for sex, age, ASD diagnosis, read depth, and the first three principal components of the genetic ancestry. We fitted a similar model excluding all non-caucasian samples (n = 2873), selected on the basis of the clustering on the ancestry PCAs into ethnic groups, and thus the ancestry components have been removed from this model.

Linear modelling of ASD-related instruments, full-scale IQ, NIAS items

Social Communication Questionnaire (SCQ), Repetitive Behaviors Scale (Revised) (RBS-R), Developmental Coordination Disorder Questionnaire (DCDQ), and Full Scale IQ were retrieved for 1750, 1724, 1468, and 1785 ASD children. Full Scale IQ was estimated via a machine learning algorithm developed to estimate cognitive levels from parentreported data in large ASD cohorts⁵⁹, which has shown an AUC of 0.876 when estimating severe cognitive impairment (IQ < 80), and validated over a set of 116 ASD children for which clinically-rated, full-scale IO was determined by licensed clinical psychologists at SFARI via standardized methods⁵⁹. Scores were associated with MetaPhlAn 3 species, HUMAnN 3 pathways, and GB modules using linear models adjusted for the same variables as in the differential abundance analysis. Associations with SCQ and DCDQ were also run adjusting by IQ. False discovery rates significance thresholds were set as before. Clinically-rated full-scale IQ was tested in a similar model including also the age at which the questionnaire was administered as covariate, and setting as significance threshold q < 0.2. We analyzed the Nine-Items ARFID Screen (NIAS)⁶³ focusing on picky eating (Picky Factor from the NIAS) and on the "ARFID score" (derived from a model including the three NIAS factors and survey questionnaires on measures of eating behaviuors and GI symptoms). We retrieved the two scores for 291 ASD children. The 291 children were compared with the set of their 241 NTs by a mixed model identical to the differential abundance one. Linear models were then run on the ARFID score, on the Picky Factor, and on the full scale IQ considering only the same 291 ASD children this time. For the models based on the reduced sets of individuals, false discovery rate was set at 0.2 for species and GB modules, and at 0.05 for pathways. In all models the test used to assess the significance of a variable was the Wald test which equals a t-test of the beta coefficient over its standard error. To evaluate similarities across models, we pairwise correlated models' beta coefficients and measured correlation using Spearman's ρ and p.

Oral microbiome person-to-person strain sharing assessment and association with IQ

Strain-level analysis was performed from MetaPhlAn 3 output with StrainPhlAn ver. 3.0.1047. A total of 193 salivary species were present at sufficient coverage. StrainPhIAn was run with default parameters and -db mpa_v30_CHOCOPhlAn_201901 --phylophlan_mode fast, using mafft version 7.475¹²⁷ to produce multiple-sequence alignments and RAxML version 8.1.15¹²⁸. Species with <100 leafs in their strainphylogeny were excluded, totalling 111 species. As described in ref. 64, pairwise genetic distances (GDs) normalised by total branch length from StrainPhlAn trees were used to compute person-to-person strain-sharing events using a custom version of the strain_transmission.py script (https://github.com/SegataLab/strain_ transmission). Strain-sharing between two samples is based on strains of the same species present in the two samples being phylogenetically placed at a distance smaller than the 3rd percentile of the total genetic distance (GD) in the strain tree. Single nucleotide variant (SNV)-based methodologies were excluded for the reason that they are (a) less robust at low coverages to the noise introduced by the call of the consensus sequence, (b) dependent on the baseline variability of the specific marker (in contrast with phylogenetic methods, which can weight differentially a few invariant positions). In addition, phylogenetic methods are able to capture information relative to evolutionary models such as the synonymous vs nonsynonymous mutations. We evaluated person-to-person rates of sharing of microbiome strains as the total number of sharing events between two individuals divided by the number of common species between the two individuals among 111 species considered in this analysis. We annotated the ASD children according to their predicted IQ (3 classes: ≤70 (severe cognitive impairment),>70 and ≤85 (moderate cognitive impairment), >85 (neurotypical cognitive development))⁶⁷. We then compared the between-individual strain-sharing rates of individuals from different classes of cognitive impairment with the NTs groups, with the mother, and with the father. Statistical differences were evaluated by Kruskal-Wallis test (scipy python library, ver. 1.4.1), post-hoc Dunn-test (Scikitposthocs python library, ver. 0.6.7), and standardised mean difference (Pingouin python library, ver. 0.3.7)¹²⁹.

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Data availability

Microbial raw sequencing reads for the healthy individuals in the SPARK-WGS cohort are available at European Nucleotide Archive at Bioproject PRJEB45799. MetaPhlAn 3 profiles, functional potential HUMAnN 3 profiles, and participant metadata for the 3,775 children in the cohort are available in the latest release of the *curatedMetagenomicData* R package (https://waldronlab.io/ *curatedMetagenomicData*) under the identifier 'ManghiP_2024'. MetaPhlAn 3 profiles are available through Zenodo (https://zenodo. org/records/13986907). Approved researchers can obtain the SPARK population dataset described in this study (SFARI_SPARK_iWGS_v1.1) by applying at https://base.sfari.org.

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Author contributions

P.M., E.D., and N.S. conceptualized the study. M.Z., P.M., M.F., J.B.H., S.X., L.G.C., J.J.M., A.G.V., and N.V. coordinated data retrieval and preprocessing, which included the quality control and extraction of microbial reads. E.D., M.F., P.M., and S.P. blueprinted the statistical approaches. P.M. led the analyses on the association between oral microbiome features and cognitive and social deficits, and performed the majority of the analyses. P.M., M.Z., and D.G. performed microbiome functional, taxonomic, and strain-level profiling and corresponding analysis. S. Mattevi, S. Montalbano, S.C. and M.F. performed the human genomic analyses. L.W. provided key feedback on the validity of the statistical approaches. LA.G.S. provided feedback on the analysis of the ASD-related clinical phenotypes. M.V.C., A.M.T., V.H., and F.A. supervised all metagenomic analyses. E.D, and N.S. co-supervised the work. All the authors read and approved the final version of the manuscript.

Competing interests

The authors do not have any competing interests to declare.

Additional information

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