

High resistance to reverse transcriptase inhibitors among persons infected with human immunodeficiency virus type 1 subtype circulating recombinant form 02_AG in Ghana and on antiretroviral therapy

Selase D. Deletsu, MPhil^a, Edward K. Maina, PhD^{b,c}, Osbourne Quaye, PhD^a, William K. Ampofo, PhD^{a,b}, Gordon A. Awandare, PhD^a, Evelyn Y. Bonney, PhD^{a,b,*}

Abstract

This study sought to determine the dominant circulating human immunodeficiency virus type 1 (HIV-1) subtype and associated drug resistance mutations in Ghana.

This cross-sectional study was conducted with archived samples collected from patients who received care at 2 hospitals in Ghana from 2014 to 2016. Blood samples were earlier processed into plasma and peripheral blood mononuclear cells and stored at -80°C . Ribonucleic acid (RNA) was extracted from the archived plasma. Two HIV-1 genes; protease and reverse transcriptase, were amplified, sequenced using gene-specific primers and analyzed for subtype and drug resistance mutations using the Stanford HIV Database.

Of 16 patient samples successfully sequenced, we identified the predominance of HIV-1 subtype CRF02_AG (11/16, 68%). Subtypes G (2/16, 13%), dual CRF02_AG/G (2/16, 13%), and CRF01_AE (1/16, 6%) were also observed. Major nucleoside reverse transcriptase inhibitor (NRTI) resistance mutations, *M184I/V*, *D67N*, *T215F*, and *K70R/E* were found. Non-nucleoside reverse transcriptase inhibitor (NNRTI) resistance mutations, *K103N*, *Y181C*, *V90I*, *F227L*, and *V106A* were also prevalent. Additionally, and at a lower level, protease inhibitor (PI)-resistance mutations, *M46I*, *I54V*, *V82A*, *L90M*, and *I471V*, were also present in the sequences from antiretroviral therapy (ART)-experienced individuals. Two NRTI-associated drug resistance mutations (DRMs) (*D67N* and *T69N*) were present in sequences from 1 ART-naïve individual.

HIV-1 subtype CRF02_AG was most frequently detected in this study thus confirming earlier reports of dominance of this subtype in the West-African sub-region and Ghana in particular. The detection of these drug resistance mutations in individuals on first-line regimen composed of NRTI and NNRTI is an indication of prolonged drug exposure without viral load monitoring. Routine viral load monitoring is necessary for early detection of virologic failure and drug resistance testing will inform appropriate choice of regimens for such patients.

Abbreviations: 3TC = Lamivudine, AIDS = acquired immune deficiency syndrome, ART = antiretroviral therapy, AZT = Zidovudine, CRF02_AG = circulating recombinant form 02_AG, DRM = drug resistance mutation, EDTA = ethylene diamine tetraacetic acid, EFV = Efavirenz, HAART = highly active antiretroviral therapy, HIV-1 = human immunodeficiency virus type 1, HIV-2 = human immunodeficiency virus type 2, IRB = Institutional Review Board, NNRTI = non-nucleoside reverse transcriptase inhibitor, NRTI = nucleoside reverse transcriptase inhibitor, NVP = Nevirapine, PBMC = peripheral blood mononuclear cells, PI = protease

Editor: N/A.

The first author (SD) was supported by a WACCBIP-World Bank ACE Masters fellowship (ACE02-WACCBIP: Awandare), Wellcome Trust [107755/Z/15/Z: Awandare] and the DELTAS Africa Initiative as an independent funding scheme of the African Academy of Sciences (AAS)'s Alliance for Accelerating Excellence in Science in Africa (AESA) and supported by the New Partnership for Africa's Development Planning and Coordinating Agency (NEPAD Agency) with funding from the Wellcome Trust [107755/Z/15/Z: Awandare] and the UK government. The research was also supported by the Bill and Melinda Gates Foundation under the Postdoctoral and Postgraduate Training in Infectious Diseases Research awarded to the Noguchi Memorial Institute for Medical Research (Global Health Grant number OPP52155).

Bill and Melinda Gates Foundation NMIMR Postdoctoral Fellowship, Postgraduate Scheme (Global Health Grant number OPP52155).

Disclaimer: The views expressed in this publication are those of the author(s) and not those of AAS, NEPAD Agency, Wellcome Trust or the UK government nor the Bill and Melinda Gates foundation.

The authors have no conflicts of interest to disclose.

Supplemental Digital Content is available for this article.

^a West African Centre for Cell Biology of Infectious Pathogens, Department of Biochemistry, Cell and Molecular Biology, ^b Department of Virology, Noguchi Memorial Institute for Medical Research, College of Health Sciences, University of Ghana, Legon-Accra, Ghana, ^c Centre for Microbiology Research, Kenya Medical Research Institute, Nairobi, Kenya.

* Correspondence: Evelyn Y. Bonney, University of Ghana Noguchi Memorial Institute for Medical Research, Accra, Ghana (e-mail: ebonney@noguchi.ug.edu.gh).

Copyright © 2020 the Author(s). Published by Wolters Kluwer Health, Inc.

This is an open access article distributed under the terms of the Creative Commons Attribution-Non Commercial License 4.0 (CCBY-NC), where it is permissible to download, share, remix, transform, and buildup the work provided it is properly cited. The work cannot be used commercially without permission from the journal.

How to cite this article: Deletsu SD, Maina EK, Quaye O, Ampofo WK, Awandare GA, Bonney EY. High resistance to reverse transcriptase inhibitors among persons infected with HIV-1 subtype CRF02_AG in Ghana and on antiretroviral therapy. *Medicine* 2020;99:7(e18777).

Received: 14 June 2019 / Received in final form: 30 September 2019 / Accepted: 16 December 2019

<http://dx.doi.org/10.1097/MD.00000000000018777>

inhibitor, PR = protease, RNA = ribonucleic acid, RT = reverse transcriptase, RTI = reverse transcriptase inhibitors, TDF = Tenofovir, UNAIDS = United Nations programme on HIV/AIDS.

Keywords: antiretroviral therapy, Ghana, human immunodeficiency virus type 1, mutation, non-nucleoside reverse transcriptase inhibitor, nucleoside reverse transcriptase inhibitor, resistance

1. Introduction

Human immunodeficiency virus (HIV) and its associated acquired immunodeficiency syndrome (AIDS) remain global health challenges, especially in Sub-Saharan Africa, the region with about 70% of the global disease burden.^[1] The joint United Nations programme on HIV/AIDS (UNAIDS), estimates the number of people living with HIV worldwide in 2015 to be 36.7 million, with 9 million of them in Eastern and Southern Africa, and 6.5 million in Western and Central Africa.^[2] In Ghana, the national HIV/AIDS prevalence in 2015 was estimated at 1.47%, with the Eastern Region having the highest prevalence of 3.7% followed by the Greater Accra region with 3.1%.^[3] To effectively tackle this epidemic, it is necessary to continually monitor factors that influence the epidemiology of the disease.

HIV-1 is genetically diverse, with variations of 25% to 35% and 15% to 20% between and within subtypes, respectively.^[4] This diversity arises from many factors, including the rapid replication of HIV-1 in vivo and the error prone nature of the HIV reverse transcriptase.^[5] The genetic diversity of HIV has very important clinical implications, with the infecting HIV-1 subtype linked to differing rates of disease progression. In a study with a prospective cohort of 615 seroconvertors in Sub-Saharan Africa, it was found that patients with HIV-1 subtype C infection progressed faster to a selected Cluster of Differentiation 4⁺ (CD4⁺), viral load, and clinical AIDS endpoints than subtype A infected patients.^[6] Another study with South African women who were infected with HIV-1 found faster disease progression associated with subtype C infections,^[7] and subtype D infections also progressed faster to viral load endpoint and twice as fast to clinical AIDS than subtype A.^[6,8,9] Infecting subtypes have also been linked to the rate of CD4⁺ decline,^[10] diagnosis,^[11] transmission,^[12] and even response to treatment.^[13] In Western Africa, *CFR02_AG* and *A* are the most common circulating subtypes,^[15,14] with the recombinant subtype (*CFR02_AG*) dominating in Ghana.^[15]

A major problem with HIV-1 management has been drug resistance. Since the upscale of Highly Active Anti-Retroviral Therapy (HAART), there has been a documented gradual increase in antiretroviral drug resistance in Sub Saharan Africa,^[16–19] and these findings and patterns have been reviewed severally.^[20,21] Previous research in Ghana has shown low prevalence (5%) of drug resistance, with some studies reporting no transmitted drug resistance mutations,^[15] while others observed only minor mutations L10I, L10V, V11I, and E35G in 4 patients and V179E in another.^[22] Two major drug resistance mutations (M184V and Y181C) in 1 patient and M46L another were observed in the threshold survey.^[23] With an increased coverage of antiretroviral therapy in Ghana, from 29% in 2012 to an estimated 50% in 2016,^[3] it is likely that the prevalence of antiretroviral drug resistance has also seen an increase.

The purpose of this study was to determine the circulating HIV-1 subtypes and examine antiretroviral resistance in patients after a decade of introducing antiretroviral therapy in Ghana.

2. Methods

This study investigated HIV-1 subtypes and genotypic drug resistance as part of a larger study on the role of T cells in the persistence and progression of HIV-1 infection. The study protocol was approved by the Institutional Review Board (IRB) of Noguchi Memorial Institute for Medical Research, University of Ghana, Legon (IRB approval # CPN 089/15–16), and the study aim was explained to all study participants who provided informed consent for their enrollment.

2.1. Study population

Patients who were infected with HIV-1 were enrolled as study participants from Korle Bu Teaching Hospital, Accra in the Greater Accra Region and Koforidua Regional Hospital, Koforidua in the Eastern Region from 2014 to 2016. Both hospitals provide support and care, including antiretroviral therapy (ART), to HIV infected patients in Ghana. Clinical and demographic data were obtained from hospital records for all the patients who were enrolled in the study. Overall, 80 consenting HIV-1 infected patients were recruited for the study, and the participants were grouped according to ART exposure, ART regimen, and rate of disease progression as described previously.^[24] All patients were previously diagnosed as having HIV-1 using the national testing algorithm that included 2 rapid assays First Response HIV-1/2 (Premier Medical Corporation Limited, India) and OraQuick Rapid Antibody HIV1/2 Test (OraSure Technologies Inc., Pennsylvania, USA). Seventeen (17) participants who had undetectable or extremely low HIV-1 RNA copies/mL were therefore excluded from the study.

2.2. Sample collection and processing

In this cross-sectional study, venous blood (7 mL) was collected, once from the patients, into tubes containing ethylene diamine tetraacetic acid (EDTA) (Becton-Dickinson, Mountain view, CA) and transported in cool boxes to the Virology Department of the Noguchi Memorial Institute for Medical Research, University of Ghana, Legon, where all molecular analyses were performed. The blood was processed to obtain plasma and peripheral blood mononuclear cells (PBMC) using Ficoll-Paque (Pharmacia Fine Chemicals, Piscataway, NJ) density gradient separation. Plasma and PBMCs obtained were stored at -80°C until use.

2.3. CD4⁺ cell count and HIV-1 viral load determination

The CD4⁺ cell count and plasma HIV-1 viral load were determined at the clinical laboratory immediately after sample collection. The CD4⁺ cell counts were determined using FACScalibur flow cytometer (BD Biosciences, San Jose, CA) and data acquisition was done using CellQuest software (New Jersey, USA). Plasma HIV-1 RNA was quantified by the COBAS Ampli-Prep/COBAS AMPLICOR HIV-1 Monitor Test, version 2.0, according to the manufacturer's instructions, with limit of detection of 20copies/mL to determine the viral load.

2.4. Viral RNA extraction, RT-PCR, and nested PCR

Viral RNA was extracted from plasma samples using the QIAamp Viral RNA Mini kit (QIAGEN, Maryland, USA) following the manufacturer's protocol, and stored at -80°C until use. Reverse transcription polymerase chain reaction (RT-PCR) of the reverse transcriptase (RT) and protease (PR) genes was done using QIAGEN One Step RT-PCR Kit according to manufacturer's instructions. The RT-PCR products were further amplified through nested PCR using the OneTaq PCR Master Mix according to the manufacturer's instructions using previously reported cycling conditions.^[25] Primers used have been previously described^[26] (Supplementary Digital Content 2, <http://links.lww.com/MD/D717>). The expected PCR product sizes (463 bp for the PR gene and 887 bp for the RT gene) were verified by 2% agarose gel electrophoresis, stained with ethidium bromide and viewed under ultraviolet transillumination. The PCR amplicons were purified with the QIAquick PCR Purification kit (QIAGEN), and cycle sequencing was performed using Big Dye Terminator cycle sequencing kit version 3.1 (ABI, California, USA) and read on 3130xl Genetic Analyzer (Applied Biosystems Inc., USA). Generated nucleotide sequences were assembled and edited using SeqMan Pro v 13 (DNASTAR, Wisconsin USA), and BioEdit Sequence Alignment Editor version 7.2.5 was used to align the sequences to reference HIV-1 HXB2 sequence.

2.5. HIV-1 subtypes and drug resistance mutations

HIV-1 subtype information and drug resistance mutations were obtained by submitting after analyzing the nucleotide sequences with to the Stanford University HIVDB program.^[27] Phylogenetic trees were constructed using CLUSTAL-X and the neighbor-joining method in MEGA software version 5 (<http://www.megasoftware.net/>).

3. Results

3.1. Demographic and clinical characteristics of the study participants

Eighty (80) participants were enrolled in this study to determine HIV-1 diversity and drug resistance mutations in HIV-1 from a group of ART- exposed or -naïve HIV-1 infected patients in Ghana. Approximately 81% (51/63) of the participants were on ART, out of which 74.5% (38/51) were on first line ART and 25.5% (13/51) on second line ART (Table 1). All fast progressors, with a CD4 count below 200 cells/mm³ within 3 years of infection, (19/51) were on first line therapy. Figure 1 showed the summaries of viral load and CD4 counts among the different categories of participants studied. Nineteen percent

(19%) of the participants were long-term non-progressors, patients who remain symptom-free with a CD4 cell count above 500 cells/mm³ without therapy for at least 8 years after their infection and had no ART exposure. The mean age of the participants was 45 years (IQR 38–50 years), and two-thirds (42/63) were women. Examination of clinical records indicated the previous and current ART regimens of the patients enrolled into the study. The records (Supplementary Digital Content 2, <http://links.lww.com/MD/D717>) showed that therapy was dominated by Lamivudine, Zidovudine, Tenofovir, Nevirapine, and Efavirenz.

3.2. Subtype and drug-resistance mutations analyses

Viral genes for the PR and RT encoding regions were amplified in 29 and 21 patient samples respectively by nested PCR, and 8 PR and 13 RT amplified products were successfully sequenced.

Subtype analyses of PR and RT genes from the 16 patients' samples revealed *CRF02_AG* (N=11), subtype G (N=2), dual *CRF02_AG/G* (N=2), and *CRF01_AE* (N=1). Estimated evolutionary divergence between sequences in study participants is shown in Figs. 2 and 3.

Majority of the drug resistance mutations were in the RT gene against NRTI and NNRTI drug classes. The predominant major NRTI mutation was *M184I/V*, while *K103N* was the predominant NNRTI mutation (Table 2). One therapy-naïve patient showed evidence of drug resistance with *D67N* and *T69N* NRTI mutations. In therapy exposed patients, 3 (25%) had triple-class resistance to PI, NRTI, and NNRTI, 3 (25%) had dual-class resistance to NRTI and NNRTI, and 1 patient each had mutations against PI and NNRTI only.

4. Discussion

This study investigated HIV-1 subtypes and drug resistance mutations among ART exposed and naïve patients in 2 health facilities in Ghana. Similar to previous studies,^[15,28,29] this study identified a predominance of *CRF02_AG* (11/16, 68%) in the study population, with subtype G (2/16, 13%), dual *CRF02_AG/G* (2/16, 13%), and *CRF01_AE* (1/16, 6%) also present. The *CRF02_AG* samples clustered around the Nigerian *CRF02_AG* reference strain (Ref.02 AG.NG), which suggests a close evolutionary relationship between the 2 strains (Fig. 2). The *CRF01_AE* subtype was distant from the reference Chinese and Afghan *CRF01_AE* reference subtypes, suggesting that either this recombinant subtype did not originate from the 2 reference subtypes or that it is gradually evolving (Fig. 3). Overall, these findings confirm earlier reports that *CRF02_AG* is the predominant HIV-1 subtype in West Africa,^[5,14,15,29] and also suggest that other subtypes originally associated with HIV-1 infection in

Table 1
Summary of patients' demographic and clinical data.

Category	Samples (N)	Mean age, y	Male (N)	Female (N)	Mean duration of infection, y	Mean duration of therapy, y	Mean viral load ($\times 10^3$ cps/mL)	Mean CD4 count (cells/ μL)
First Line therapy	19	47	6	13	8	7	3.1	611
Second Line therapy	13	38	4	9	8	7	24.7	315
Fast progressors	19	46	8	11	3	2	139.7	528
Long term non-progressors	12	50	3	9	10	0	52.9	591
Total	63	45	21	42	7	4	62.3	521

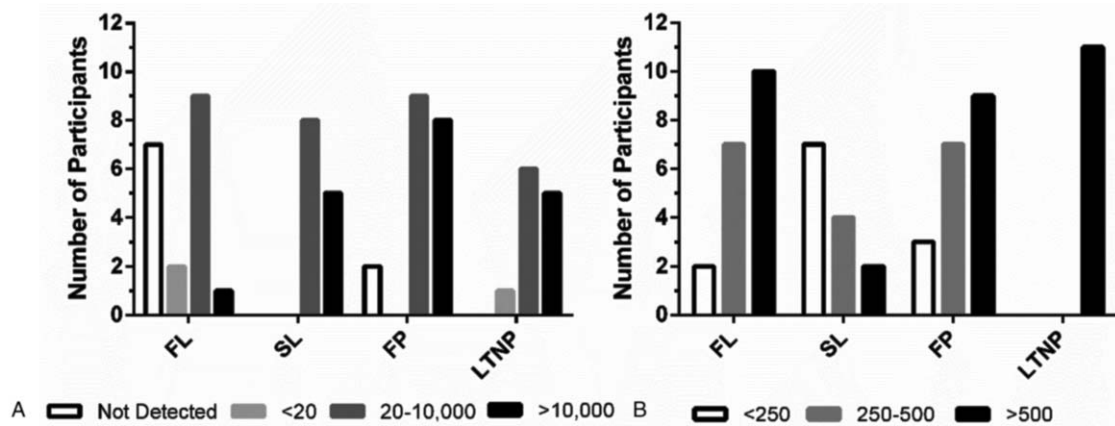


Figure 1. Distribution of HIV-1 specific laboratory data. (A) Viral loads (copies/mL) and (B) CD4⁺ cell counts (cells/ μ L) from different groups of participants. FL=participants on First Line ART, FP=fast progressors, LTNP=long-term non-progressors, SL=participants on Second Line ART.

other geographical areas^[30] may contribute to HIV-1 infection in Ghana. Detection of dual *CRF02_AG/G* HIV-1 infection from the study population confirms the genetic diversity of HIV and the existence of recombinant forms among infected persons.

One of the causes of immunologic and virologic failure in patients on ART is the presence of pre-existing drug resistance mutations.^[31] In our study, one ART-naïve patient had major NRTI mutation and this could affect the effectiveness of the first-line regimen when ART is initiated. Majority of our study

patients (90%) had high viral load despite therapy for a mean of 3 years, indicative of virologic failure (Supplementary Digital Content 1, <http://links.lww.com/MD/D716>). The therapy regimen remained unchanged for these patients because viral load test was not done routinely for patients during their periodic visit to the hospital thus the Doctors did not have the viral load information. Our study made provision to measure viral load so this information only became available as a result of our study. Consequently, there was a high level of NRTIs and NNRTIs

Table 2

Subtypes and resistance mutations found in patients and their clinical implications.

Sample ID	PR subtype	PI mutation	PI resistance	RT subtype	NRTI mutation	NRTI resistance	NNRTI mutation	NNRTI resistance
AR-14-01 ^a	CRF02_AG	I47I/V	<u>LPV/r</u> , <u>NFV</u>	CRF02_AG	M184I	3TC, <u>ABC</u> , <u>DDI</u>	V90I, K103N, Y181C, H221Y	EFV, NVP
AR-14-03 ^a	CRF02_AG	K20I*	None			N/A		
AR-14-09 ^a	CRF02_AG	K20I*	None					
AR-14-13 ^a	CRF02_AG	K20I*	None					
AR-15-06 ^a	CRF02_AG	M46I, I54V, V82A, L90M, L10V, K20V, L23I, F53L, A71T, T74S	LPV/r, NFV	G	M184V, T215Y	3TC, ABC, AZT, D4T, <u>DDI</u>	Y181C	EFV, NVP
AR-15-09 ^a	CRF02_AG	V32I	<u>LPV/r</u> , <u>NFV</u>	CRF02_AG	M41L, D67N, K70R, L74I, M184V, T215F, K219E	3TC, ABC, AZT, D4T, DDI, TDF	V90I, K103N	EFV, NVP
EL-14-01 ^b		N/A		CRF01_AE	None	None	None	None
EL-14-05 ^b	CRF02_AG	None	None	CRF02_AG	None	None	None	None
EL-14-09 ^b		N/A		CRF02_AG	None	None	None	None
EL-14-12 ^b				CRF02_AG	D67N, T69N	<u>AZI</u> , <u>D4T</u> , <u>DDI</u>	None	None
FG-15-02 ^c				CRF02_AG	None	None	K101H, G190A	EFV, NVP
FG-15-04 ^c	CRF02_AG	None	None	G	D67N, K70E, M184V	3TC, ABC, AZT, D4T	V106A, F227L	EFV, NVP
FG-15-05 ^c		N/A		G	None	None	None	None
FG-15-14 ^c				G	None	None	None	None
AK-15-15 ^c				CRF02_AG	D67N, M184V	3TC, <u>ABC</u> , <u>DDI</u>	V90I, K103N, K238T	EFV, NVP
AK-15-16 ^c				CRF02_AG	M184I	3TC, <u>ABC</u> , <u>DDI</u>	V106A, F227L	EFV, NVP

3TC=Lamivudine, ABC=Abacavir, AZT=Zidovudine, D4T=Stavudine, DDI=Didanosine, EFV=Efavirenz, LPV/r=Lopinavir/Rotinavir, N/A=not applicable, NNRTI=non-nucleoside reverse transcriptase inhibitor, NRTI=nucleoside reverse transcriptase inhibitor, NVP=Niverapine, PI=Protease Inhibitor, PR=Protease, RT=Reverse transcriptase, TDF=Tenofovir, , Low level resistance to underlined drug; , intermediate level resistance to underlined drug; , high level resistance to underlined drug.

*K20I though a drug resistance mutation in reference HXB2 genome, I at amino acid position 20 is the consensus for CRF02_AG subtype.

^aTherapy naïve patient.

^bPatient on first line antiretroviral therapy.

^cPatient on second line antiretroviral therapy.

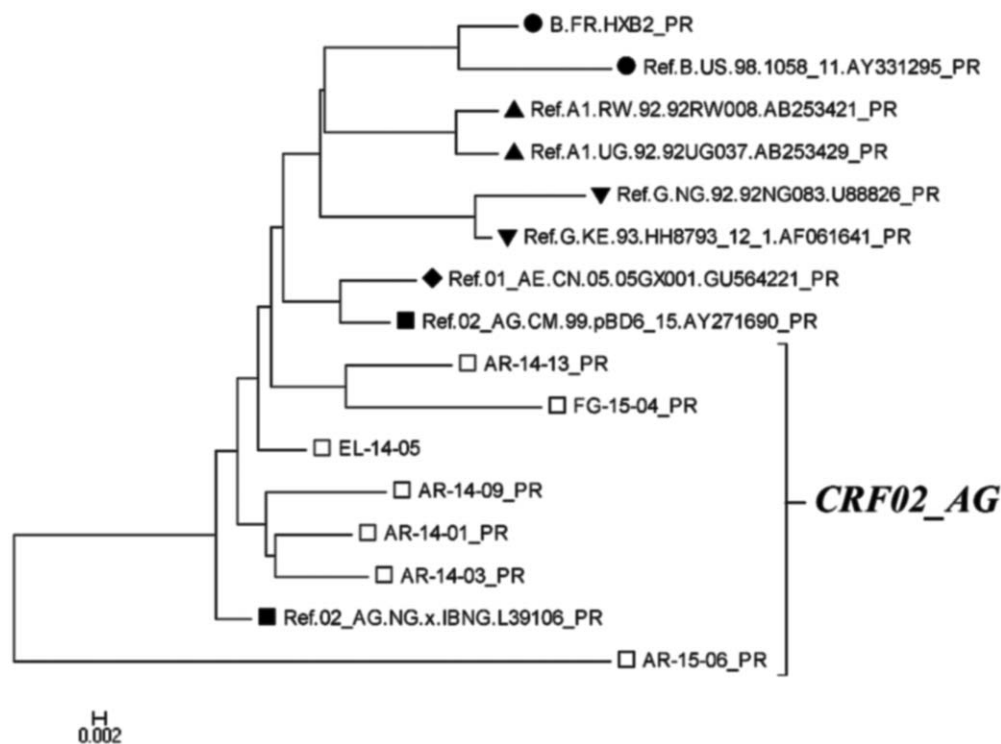


Figure 2. Neighbor joining phylogenetic tree showing the evolutionary relationship between the protease genes sequenced. ● HIV-1 Subtype B reference sequence. ▲ HIV-1 Subtype A reference sequence. ▼ HIV-1 Subtype G reference. ◆ HIV-1 Subtype CRF01_AE reference sequence. ■ HIV-1 Subtype CRF02_AG reference sequence. □ HIV-1 Subtype CRF02_AG sample.

resistance mutations (dual-class) in patients on first-line ART, and triple-class resistance in patients on second-line ART. This high level drug resistance may be due to the drug pressure experienced by the patients who have been on therapy for a long period without viral load measurements. Our study provides points to the importance of routine viral load measurements for early detection of virologic failure. While third-line regimens are more expensive and not yet available in Ghana, the main solution for these patients would be to use another boosted PI and a second-generation NNRTI as previously suggested.^[32] It is suggested that routine CD4⁺ count and viral load estimations should be implemented to enhance early detection of treatment failure in Ghana to avoid a situation where individuals are maintained on failing drugs with the consequence of accumulation of drug resistance mutations. It has been reported previously that, at sites with viral load monitoring, patients are switched to second-line drugs earlier than at sites without viral load monitoring.^[33] In the 2 hospitals, routine viral load monitoring of these HIV patients had been unavailable for 2 years preceding the study due to financial and logistical constraints, and so patients were inadvertently maintained on same “failing” drug regimens. To avert the situation, there is the need for the development of cheaper but effective assays for viral load monitoring and drug resistance testing, which will be a helpful tool for HIV patient management in resource-limited settings.

Genetic diversity of HIV has major implications on disease pathogenesis including infectivity, transmissibility, and development of drug resistance mutations.^[34] Mutations associated with NRTI, NNRTI, and PI resistance have previously been reported in *CRF02_AG* strains from Ghana’s neighboring countries, Ivory

Coast and Togo.^[35] In the present study, *CRF02_AG* had mutations associated with resistance to NRTIs, NNRTIs, and PIs, subtype G had mutations associated with NRTIs and NNRTIs, while *CRF01_AE* had no resistance mutation. This suggests that the rate of antiretroviral drug resistance mutation selection may be influenced by viral genetic diversity, as previously observed.^[35]

The predominance of *M184V* and *D67N* among mutations associated with resistance to NRTIs in subtype G and *CRF02_AG* observed in this study. Supplementary Digital Content 3, <http://links.lww.com/MD/D718> has been shown previously.^[36] *M184V* mutation causes high-level resistance to Lamivudine,^[27] and therefore the high frequency of the mutation observed in this study is not unusual since the drug is the most common NRTI in use in Ghana. *M184V* also increases susceptibility to Zidovudine, Stavudine, and Tenofovir, and is associated with a clinically significant reduction in HIV-1 replication *in vivo*.^[27] Due to the decrease in susceptibility, patients infected with HIV-1 with the *M184V* mutation continue treatment with Lamivudine, resulting in continuous exposure, and consequently, higher frequency of the mutation. The extended duration of treatment failure as a result of unavailability of viral load monitoring to inform change in therapy also accounts partly for the development of more/multiple mutations.

Other mutations detected in this study, which have been shown to confer resistance to NRTIs, were *M184I*, *T69N*, *L74I*, *M41L*, *K70R/E*, *T215Y/F*, and *K219E*. These other mutations are thymidine analogue mutations (TAMs) which occur in patients on thymidine based drugs: Zidovudine and Stavudine. *T215Y/F* and *K219E* are major TAMs which give rise to high-level resistance to Zidovudine and Stavudine, especially when the

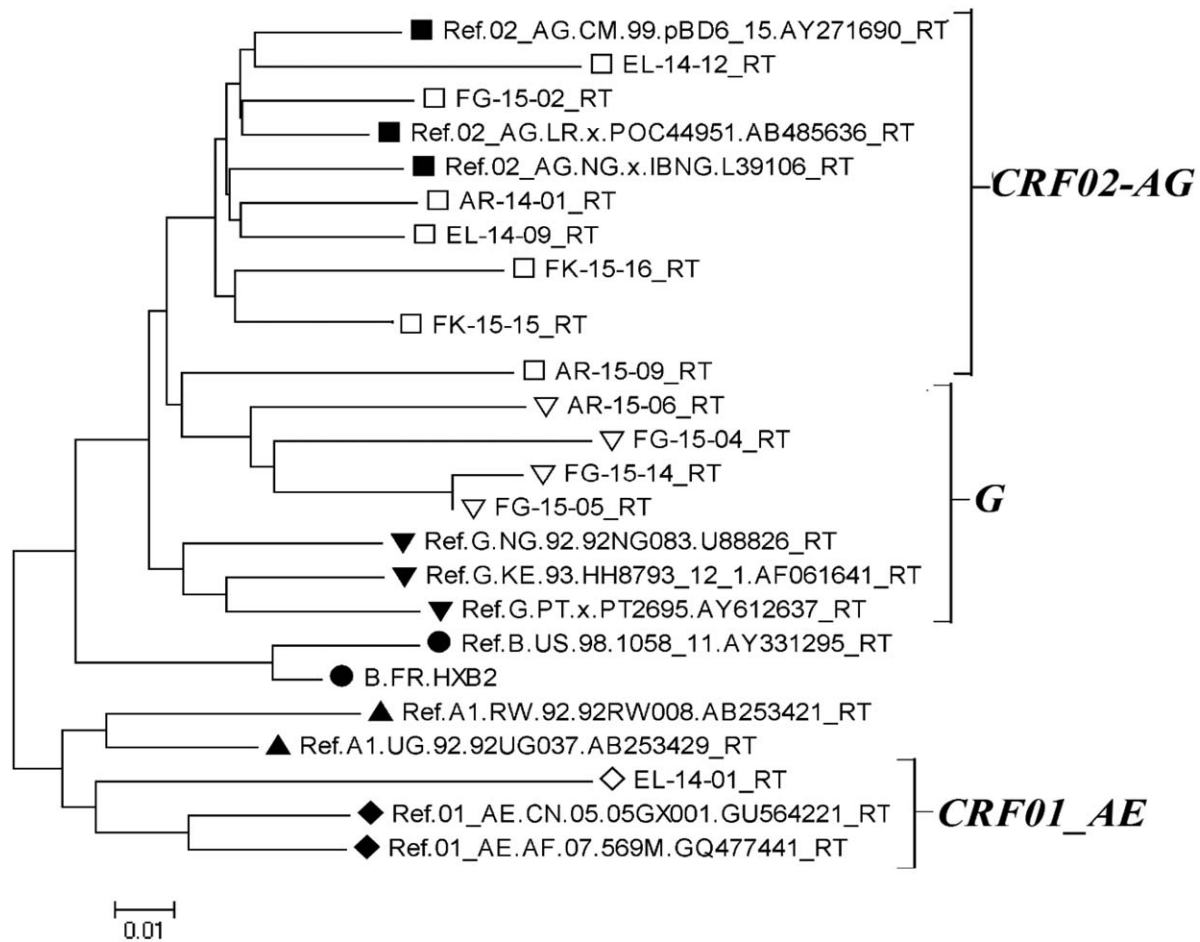


Figure 3. Neighbor joining phylogenetic tree showing the evolutionary relationship between the reverse transcriptase genes sequenced. ● HIV-1 Subtype B reference sequence. ▲ HIV-1 Subtype A reference sequence. ▼ HIV-1 Subtype G reference. ◆ HIV-1 Subtype CRF01_AE reference sequence. ■ HIV-1 Subtype CRF02_AG reference sequence. □ HIV-1 Subtype CRF02_AG sample. ▽ HIV-1 Subtype G sample. ◆ HIV-1 Subtype CRF01_AE reference sequence. ◇ HIV-1 Subtype CRF01_AE sample.

mutations occur in concert with accessory TAMs like *M41L*, *D67N*, and *K70R*.^[27] The presence of the *L74I* mutation in combination with the *M184V* causes high-level resistance to both Abacavir and Didanosine.^[37]

NNRTI mutations which confer intermediate to high level resistance to Nevirapine and Efavirenz were found in almost all the samples that had mutations. NNRTI associated mutations detected included *V90I*, *K103N*, *Y181C*, *H221Y*, *K101H*, *G190A*, *V106A*, *F227L*, and *K238T*. *K103N* and *V106A* individually can reduce susceptibility of HIV-1 to Nevirapine and Efavirenz by as much as 50-fold, and together with other mutations found in this study, high-level resistance can be acquired.^[27] The present situation of resistance mutations suggest a looming crisis in HIV/AIDS management in Ghana, since the mutations which were identified confer resistance to most of the drugs recommended for use in the first-line regimen by the Ghana Health Service.^[38]

In contrast to the high rate of mutations associated with resistance to NRTIs and NNRTIs, there were only a few mutations associated with PI resistance. The PI mutations from this study (Supplementary Digital Content 3, <http://links.lww.com/MD/D718>) are common ones which select for Lopinavir and Nelfinavir,^[27,39] the only PIs used in Ghana. As expected, only

samples from patients who were on second line drug therapy had PI resistance conferring mutations.

For effective HIV management in the study population, boosted PI-based antiretroviral regimens might be a better alternative to NNRTI-based regimens when drug options are limited. Patient compliance is imperfect and viral load monitoring is infrequent in many HIV programs in resource-limited settings. Despite the low number of genotyped samples, this study shows high occurrence of RTI mutations in the study population and emphasizes the need to improve monitoring of ART resistance in Ghana. Monitoring the extent and significance of HIV-1 drug-resistance mutations in treatment-naive and exposed individuals is and will be key for an informed choice of optimal ART, and contribute to preventing the accumulation and spread of the resistance HIV-1 strains.

This study had some limitations: the sample size was small and the rate of amplification and sequencing of the 2 genes further reduced the numbers used in the final analysis thus the data presented cannot be generalized for the entire population of HIV infected persons in Ghana. Additionally, the cross-sectional nature of the study made it impossible to determine how the mutations observed emerged and whether the sequence of drug use could reduce the level of drug resistance observed in the study.

Finally, recombinant analysis was not performed and so unique recombinant forms were not detected in the study. Despite these limitations, the study has provided useful data on resistance mutations among patients on ART in Ghana and made recommendations that could be useful in reviewing treatment monitoring policies in the country since the same treatment guidelines are in use throughout the country.

5. Conclusion

This study confirms the dominance of *CRF02_AG* in HIV-1 infections in Ghana. It also points to the presence of other HIV-1 subtypes, notably *CRF01_AE*, which dominates in Asia. The study further found high NRTIs and NNRTIs resistance mutations and underscores the need for routine viral load and CD4⁺ cell count estimations to monitor treatment outcomes. This monitoring will enable early detection of treatment failure, since selection for antiretroviral drug-resistance mutation has been shown to be influenced by drug pressure and the continual exposure of patients to the same failing drugs for long periods of time. Despite the challenges and limitations of this research, we think it still provides relevant information about the subtype dynamics and drug resistance patterns in different groups of HIV-1 patients in Ghana. We highlight the need for continuous HIV-1 subtype and drug resistance pattern monitoring to mitigate the emergence of drug-resistant strains of the virus.

Acknowledgment

The authors wish to acknowledge Ms. Esinam Agbosu and staff of the HIV Genotyping Laboratory, Virology Department, Noguchi Memorial Institute for Medical Research for technical support.

Author contributions

Conceptualization: Edward K. Maina, Evelyn Yayra Bonney.
Data curation: Selase Dennis Deletsu, Edward K. Maina, Evelyn Yayra Bonney.
Formal analysis: Selase Dennis Deletsu, Osbourne Quaye, Evelyn Yayra Bonney.
Funding acquisition: Selase Dennis Deletsu, Gordon A. Awandare.
Investigation: Selase Dennis Deletsu, Evelyn Yayra Bonney.
Methodology: Selase Dennis Deletsu, Edward K. Maina, Osbourne Quaye, William Kwabena Ampofo, Evelyn Yayra Bonney.
Project administration: Osbourne Quaye, William Kwabena Ampofo, Gordon A. Awandare, Evelyn Yayra Bonney.
Supervision: Edward K. Maina, Osbourne Quaye, William Kwabena Ampofo, Gordon A. Awandare, Evelyn Yayra Bonney.
Writing – original draft: Selase Dennis Deletsu, Edward K. Maina, Osbourne Quaye, William Kwabena Ampofo, Gordon A. Awandare, Evelyn Yayra Bonney.
Writing – review & editing: Selase Dennis Deletsu, Edward K. Maina, Osbourne Quaye, William Kwabena Ampofo, Gordon A. Awandare, Evelyn Yayra Bonney.
 Evelyn Yayra Bonney orcid: 0000-0002-6634-8742.
 Selase D. Deletsu orcid:0000-0003-1310-0206.
 Edward K. Maina orcid: 0000-0002-2212-9062.
 Osbourne Quaye orcid: 0000-0002-0621-876X.

William K. Ampofo orcid: 0000-0001-7208-0829.
 Gordon A. Awandare orcid: 0000-0002-8793-3641.

References

- [1] World Health Organization. WHO | HIV/AIDS. WHO; 2014.
- [2] UNAIDS. Global AIDS Update 2016. UNAIDS; 2016.
- [3] Ghana AIDS Commission. 2014 Status Report. Ghana AIDS Commission; 2014.
- [4] Santoro MM, Perno CF. HIV-1 genetic variability and clinical implications. *ISRN Microbiol* 2013;2013:481314; <https://doi.org/10.1155/2013/481314>.
- [5] Buonaguro L, Tornesello ML, Buonaguro FM. Human immunodeficiency Virus Type 1 subtype distribution in the worldwide epidemic: pathogenetic and therapeutic implications. *J Virol* 2007;81:10209–19.
- [6] Amornkul PN, Karita E, Kamali A, et al. Disease progression by infecting HIV-1 subtype in a seroconverter cohort in sub-Saharan Africa. *AIDS Lond Engl* 2013;27:2775–86.
- [7] Mlisana K, Werner L, Garrett NJ, et al. Rapid disease progression in HIV-1 subtype C-infected South African women. *Clin Infect Dis* 2014;59:1322–31.
- [8] Baeten JM, Chohan B, Lavreys L, et al. HIV-1 subtype D infection is associated with faster disease progression than subtype A in spite of similar plasma hiv-1 loads. *J Infect Dis* 2007;195:1177–80.
- [9] Ssemwanga D, Nsubuga RN, Mayanja BN, et al. Effect of HIV-1 subtypes on disease progression in rural Uganda: a prospective clinical cohort study. *PLoS One* 2013;8:e71768. doi:10.1371/journal.pone.0071768.
- [10] Touloumi G, Pantazis N, Pillay D, et al. Impact of HIV-1 subtype on CD4 + count at HIV seroconversion, rate of decline, and viral load set point in European Seroconverter Cohorts. *Clin Infect Dis* 2013;56:888–97.
- [11] Mlisana K, Sobieszczyk M, Werner L, et al. Challenges of diagnosing acute HIV-1 subtype C infection in African women: performance of a clinical algorithm and the need for point-of-care nucleic-acid based testing. *PLoS ONE* 2013;8:e62928. doi:10.1371/journal.pone.0062928.
- [12] Chalmet K, Staelens D, Blot S, et al. Epidemiological study of phylogenetic transmission clusters in a local HIV-1 epidemic reveals distinct differences between subtype B and non-B infections. *BMC Infect Dis* 2010;10:262. doi:10.1186/1471-2334-10-262.
- [13] Kyeyune F, Nankya I, Metha S, et al. Treatment failure and drug resistance is more frequent in HIV-1 subtype D versus subtype A-infected Ugandans over a 10-year study period. *AIDS* 2013;27:1899–909.
- [14] Esbjörnsson J, Mild M, Månsson F, et al. HIV-1 molecular epidemiology in Guinea-Bissau, West Africa: origin, demography and migrations. *PLoS ONE* 2011;6:e17025. doi:10.1371/journal.pone.0017025.
- [15] Nii-Trebi NI, Ibe S, Barnor JS, et al. HIV-1 drug-resistance surveillance among treatment-experienced and -naïve patients after the implementation of antiretroviral therapy in Ghana. *PLoS One* 2013;8:e71972. doi.org/10.1371/journal.pone.0071972.
- [16] Aghokeng AF, Kouanfack C, Laurent C, et al. Scale-up of antiretroviral treatment in sub-Saharan Africa is accompanied by increasing HIV-1 drug resistance mutations in drug-naïve patients. *AIDS* 2011;25:2183–8.
- [17] Hamers RL, Wallis CL, Kityo C, et al. HIV-1 drug resistance in antiretroviral-naïve individuals in sub-Saharan Africa after rollout of antiretroviral therapy: a multicentre observational study. *Lancet Infect Dis* 2011;11:750–9.
- [18] Kantor R, DeLong A, Balamane M, et al. HIV diversity and drug resistance from plasma and non-plasma analytes in a large treatment programme in western Kenya. *J Int AIDS Soc* 2014;17:19262.
- [19] Ndembu N, Hamers RL, Sigaloff KC, et al. Transmitted antiretroviral drug resistance among newly HIV-1 diagnosed young individuals in Kampala. *AIDS* 2011;25:905–10.
- [20] Hamers RL, Sigaloff KCE, Kityo C, et al. Emerging HIV-1 drug resistance after roll-out of antiretroviral therapy in sub-Saharan Africa. *Curr Opin HIV AIDS* 2013;8:19–26.
- [21] Ssemwanga D, Lihana RW, Ugoji C, et al. Update on HIV-1 acquired and transmitted drug resistance in Africa. *AIDS Rev* 2015;17:3–20.
- [22] Sagoe KWC, Dwidar M, Lartey M, et al. Variability of the human immunodeficiency virus type 1 polymerase gene from treatment naïve patients in Accra, Ghana. *J Clin Virol* 2007;40:163–7.
- [23] Bonney EY, Addo NA, Ntim NAA, et al. Low level of transmitted HIV drug resistance at two HIV care centres in Ghana: a threshold survey. *Ghana Med J* 2013;47:82–6.

- [24] Maina E, Abana CZ, Bukusi EA, et al. Plasma concentrations of transforming growth factor beta 1 in non-progressive HIV-1 infection correlates with markers of disease progression. *Cytokine* 2016;8:109–16.
- [25] Villahermosa ML, Thomson M, Vázquez de Parga E, et al. Improved conditions for extraction and amplification of human immunodeficiency virus type 1 RNA from plasma samples with low viral load. *J Hum Virol* 2000;3:27–34.
- [26] Fujisaki S, Fujisaki S, Ibe S, et al. Performance and quality assurance of genotypic drug-resistance testing for human immunodeficiency virus type 1 in Japan. *Jpn J Infect Dis* 2007;60:113–7.
- [27] Liu TF, Shafer RW. Web resources for HIV type 1 Genotypic-Resistance Test Interpretation. *Clin Infect Dis* 2006;42:1608–18.
- [28] Brandful JAM, Candotti D, Allain J-P. Genotypic diversity and mutation profile of HIV-1 strains in antiretroviral treatment (ART) -Naive Ghanaian patients and implications for antiretroviral treatment (ART). *J AIDS HIV Res* 2012;4:187–97.
- [29] Fischetti L, Opere-Sem O, Candotti D, et al. Molecular epidemiology of HIV in Ghana: Dominance of CRF02_AG. *J Med Virol* 2004;73:158–66.
- [30] Merati TP, Ryan CE, Spelman T, et al. CRF01_AE dominates the HIV-1 epidemic in Indonesia. *Sex Health* 2012;9:414–21.
- [31] Paredes R, Lalama CM, Ribaudo HJ, et al. Pre-existing minority drug-resistant HIV-1 variants, adherence and risk of antiretroviral treatment failure. *J Infect Dis* 2010;201:662–71.
- [32] Wallis CL, Mellors JW, Venter WDF, et al. Protease inhibitor resistance is uncommon in HIV-1 subtype C infected patients on failing second-line Lopinavir/r-containing antiretroviral therapy in South Africa. *AIDS Res Treat* 2011;2011:769627. doi:10.1155/2011/769627.
- [33] Keiser O, Tweya H, Boule A, et al. ART-LINC of IeDEA Study Group Switching to second-line antiretroviral therapy in resource-limited settings: comparison of programmes with and without viral load monitoring. *AIDS Lond Engl* 2009;23:1867–74.
- [34] Peeters M, Toure-Kane C, Nkengasong JN. Genetic diversity of HIV in Africa: impact on diagnosis, treatment, vaccine development and trials. *AIDS Lond Engl* 2003;17:2547–60.
- [35] Brenner BG. Resistance and viral subtypes: how important are the differences and why do they occur? *Curr Opin HIV AIDS* 2007;2:94–102.
- [36] Bennett DE, Camacho RJ, Otelea D, Nixon DF, et al. Drug resistance mutations for surveillance of transmitted HIV-1 drug-resistance: 2009 update. *PLoS ONE* 2009;4:e4724. doi.org/10.1371/journal.pone.0004724.
- [37] Whitcomb J, Parkin N, Chappey C, et al. Broad nucleoside reverse-transcriptase inhibitor cross-resistance in human immunodeficiency virus type 1 clinical isolates. *J Infect Dis* 2003;188:992–1000.
- [38] NACP. Guidelines for Antiretroviral. Strategies. 2010;:208–233.
- [39] Wensing AM, Calvez V, Günthard HF, et al. 2014 update of the drug resistance mutations in HIV-1. *Top Antivir Med* 2014;22:642–50.