### **RESEARCH ARTICLE**



# **REVISED** Abnormal hemostatic function one year after orthotopic liver transplantation can be fully attributed to endothelial cell

activation [v2; ref status: indexed, http://f1000r.es/40n]

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### Abstract

**Background:** The long-term risk of thrombotic and vascular complications is elevated in liver transplant recipients compared to the general population. Patients with cirrhosis are in a hypercoagulable status during and directly after orthotopic liver transplantation, but it is unclear whether this hypercoagulability persists over time.

**Aim:** We aimed to investigate the hemostatic status of liver transplant recipients one year after transplantation.

**Methods:** We prospectively collected blood samples of 15 patients with a functioning graft one year after orthotopic liver transplantation and compared the hemostatic status of these patients with that of 30 healthy individuals. **Results:** Patients one year after liver transplantation had significantly elevated plasma levels of von Willebrand factor (VWF). Thrombin generation, as assessed by the endogenous thrombin potential, was decreased in patients, which was associated with increased plasma levels of the natural anticoagulants antithrombin and tissue factor pathway inhibitor. Plasma fibrinolytic potential was significantly decreased in patients and correlated inversely with levels of plasminogen activator inhibitor-1.

**Conclusion:** One year after liver transplantation, liver graft recipients have a dysregulated hemostatic system characterised by elevation of plasma levels of endothelial-derived proteins. Increased levels of von Willebrand factor and decreased fibrinolytic potential may (in part) be responsible for the increased risk for vascular disease seen in liver transplant recipients.

### Open Peer Review

### **Invited Referee Responses**

	1	2	3
version 1 published 09 May 2014	report	report	report
version 2 published 30 Jul 2014 REVISED		report	report

- 1 Hugo ten Cate, Johannes Gutenberg University Mainz Germany
- 2 Annabel Blasi, Hospital Clinic de Barcelona Spain
- 3 Alex Gatt, Mater Dei Hospital Malta

### Latest Comments

No Comments Yet

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### **REVISED** Amendments from Version 1

The Methods and Discussion sections were slightly altered in response to the reviewers' comments.

See referee reports

### Introduction

Patients with chronic liver disease frequently have major and multiple alterations in their hemostatic system, including a decreased platelet count and decreased plasma levels of pro- and anti-hemostatic proteins produced by the diseased liver<sup>1</sup>. The decrease in procoagulant proteins is evidenced by prolonged test results of routine coagulation assays such as the prothrombin time (PT) and activated partial thromboplastin time (APTT). Historically, due to an increased bleeding risk during surgery in combination with prolonged conventional coagulation tests and thrombocytopenia, liver disease patients were thought to be in a hypocoagulable state. In recent years it has become increasingly accepted that cirrhosis patients have a rebalanced hemostatic system which is not adequately represented by routine coagulation tests as they are only sensitive for procoagulant proteins and do not take the concomitant decrease in antihemostatic proteins into account<sup>2,3</sup>. The rebalanced hemostatic system is more fragile as compared to healthy individuals and may decompensate towards hypo- or hypercoagulability by factors such as renal failure, trauma, infection, and surgery<sup>1</sup>. Besides bleeding complications, patients with cirrhosis are also at risk for thrombotic complications and this particular clinical scenario has only recently been fully appreciated<sup>4-8</sup>.

Patients with cirrhosis who undergo orthotopic liver transplantation (OLT) show a rapid normalisation of coagulation proteins due to the intact synthetic capacity of the transplanted liver. Previous studies performed in our laboratory have shown that hemostatic capacity early after OLT appears adequate, but shows important differences when compared to healthy individuals. At 10 days after OLT, when synthetic function of the liver as assessed by PT and APTT values is adequate, multiple laboratory parameters suggest that the patients are in a hypercoagulable state. Specifically, we have shown an unbalanced von Willebrand factor (VWF)/ADAMTS13 system<sup>9</sup> and enhanced thrombin generation<sup>2</sup>. Also, we have shown a decreased fibrinolytic potential up to five days after surgery<sup>10</sup>.

Clinically, this hypercoagulable status is evidenced by a profoundly increased risk for thrombotic complications such as hepatic artery thrombosis (HAT). While previously HAT was assumed to be a solely surgical complication, there is emerging evidence for the involvement of the hemostatic system in the development of HAT<sup>11</sup>. In addition, liver transplant recipients are at increased risk for arterial thrombotic events. The risk for thrombotic complications remains increased months and even years after OLT compared to the general population and a substantial part of morbidity and mortality in liver transplant recipients who survive the first year after transplantation is due to vascular events<sup>12,13</sup>. Long-term vascular complications are mainly ascribed to the use of immunosuppressive medication, several *in vitro* 

studies have provided evidence for a prohemostatic effect of such  $drugs^{14,15}$ .

While there is laboratory evidence for a hypercoagulable state during and directly after OLT, it is unclear whether the hypercoagulability persists and, if so, for how long. To our knowledge there has been no study investigating the hemostatic potential in liver transplant recipients long after a successful transplant. We aimed to investigate the long-term status of the hemostatic system by various assays of hemostatic competence in patients one year after OLT. Understanding the hemostatic state of transplanted patients is essential for clinical practice and for the development of preventive measures for short- and long term vascular complications.

### Methods

#### Patients

We designed a prospective cohort study. Fifteen adult patients who visited the outpatient Hepatology Clinic of the University Medical Center Groningen (UMCG) in The Netherlands for their oneyear follow-up visit after OLT, and had adequate liver function as assessed by routine laboratory parameters such as aspartate aminotransferase (ASAT) and alanine aminotransferase (ALAT), bilirubin, albumin, and PT, were included in this study. We included 30 healthy volunteers from our laboratory staff (9 males, 21 females – median age (IQR): 31 (25–42)) to establish reference values for the various tests performed in the study. Patients and controls with a history of thrombotic complications, congenital coagulation disorders, active graft rejection, active infection, or who had used anticoagulant drugs in the past 10 days, suffered from disease recurrence, or were pregnant were excluded. A brief questionnaire was used to collect demographic and disease information (Supplementary File 1).

### **Plasma samples**

Blood samples were drawn by veni-puncture and collected into vacuum tubes containing 3.8% trisodium citrate as an anticoagulant (Becton Dickinson, Breda, The Netherlands), at a blood to anticoagulant ratio of 9:1. Platelet-poor plasma was prepared by double centrifugation at 2000 g and 10.000 g respectively for 10 min. Plasma was snap-frozen and stored at  $-80^{\circ}$ C until use.

### Primary hemostasis

Plasma levels of VWF were determined with an in-house enzymelinked immunosorbent assay (ELISA) using commercially available polyclonal antibodies (A0082 for coating and P0226 for detection, both are rabbit anti-human antibodies, P0226 is a horseradish-peroxidase conjugated version of A0082 (RRID:AB\_579516), DAKO, Glostrup, Denmark). A disintegrin and metalloproteinase with a thrombospondin type 1 motif, member 13 (ADAMTS13) activity was measured in plasma which was pretreated for 30 minutes at 37°C with bilirubin oxidase (10U/mL; Sigma-Aldrich, Zwijndrecht, The Netherlands) to avoid interference of bilirubin with the assay. ADAMTS13 activity was assessed using the FRETS-VWF73 assay (Peptanova, Sandhausen, Germany) based on method described by Kokame et al.<sup>16</sup>. The antigen levels of VWF and the activity of ADAMTS13 in pooled normal plasma were set at 100%, and values obtained in test plasmas were expressed as a percentage of pooled normal plasma.

able ELISAs (R&D Systems, Abingdon, United Kingdom).

### Thrombin generation

The thrombin generation test was performed using platelet-poor plasma (PPP) with the fluorimetric method described by Hemker, Calibrated Automated Thrombography<sup>®</sup> (CAT)<sup>17</sup>. Coagulation was activated using a commercial trigger composed of recombinant tissue factor (TF) at a concentration of 4 pM and phospholipids at a concentration of 4  $\mu$ M, in the presence or absence of soluble thrombomodulin (TM) (Thrombinoscope BV, Maastricht, The Netherlands). Thrombin Calibrator (Thrombinoscope BV, Maastricht, The Netherlands) was added to the wells containing plasma to calibrate the thrombin generation curves. A fluorogenic substrate with CaCl<sub>2</sub> (FluCa-kit, Thrombinoscope BV, Maastricht, The Netherlands) was dispensed in each well to allow a continuous registration of thrombin generation. Fluorescence produced was read every 20 seconds by a fluorometer, Fluoroskan Ascent<sup>®</sup> (ThermoFisher Scientific, Helsinki, Finland). All experiments were performed in triplicate.

The endogenous thrombin potential (ETP), peak height, velocity index and lag time were derived from the thrombin generation curves by the Thrombinoscope software.

Level of prothrombin F1+2 fragment in plasma were determined with a commercially available ELISA (Siemens, Breda, The Netherlands) according to the manufacturer's instructions.

### Routine coagulation laboratory tests

Levels of factor (F) VIII, II, antithrombin (AT) and Protein C were measured on an automated coagulation analyzer (ACL 300 TOP) with reagents and protocols from the manufacturer (Recombiplastin 2G and FII depleted plasma for FII, Hemosil (R) SynthASil and FVIII depleted plasma for FVIII, Liquid Antithrombin reagent for AT, and Hemosil Protein C for Protein C measurements; Instrumentation Laboratory, Breda, the Netherlands).

Plasma levels of Tissue Factor Pathway Inhibitor (TFPI) were determined with an in-house ELISA as previously described<sup>18</sup>.

### Fibrinolytic potential

Fibrinolytic potential was assessed using a plasma-based clot lysis assay. Lysis of a tissue factor–induced clot by exogenous tissue plasminogen activator (tPA) was studied by monitoring changes in turbidity during clot formation and subsequent lysis as described previously<sup>19</sup>. In short, 50  $\mu$ L plasma was pipetted in a 96-well microtiter plate. Subsequently, a mixture containing phospholipid vesicles, tPA, tissue factor, and CaCl<sub>2</sub>, adjusted to a total volume of 50  $\mu$ L by addition of HEPES (N-2-hydroxytethylpiperazine-N-2-ethanesulfonic acid) buffer (25 mM HEPES, 137 mM NaCl, 3.5 mM KCl, 3 mM CaCl<sub>2</sub>, 0.1% bovine serum albumin, pH 7.4) was added using a multichannel pipette. In a kinetic microplate reader (Versamax, Molecular Devices, Sunnyvale, CA), the optical density at 405 nm was monitored every 20 seconds at 37°C, resulting in a clot-lysis turbidity profile. Clot lysis times were derived from the clot-lysis turbidity profiles using in house-generated software. The clot lysis time was

defined as the time from the midpoint of the clear to maximum turbid transition, representing clot formation, to the midpoint of the maximum turbid to clear transition, representing the lysis of the clot.

Plasma levels of plasminogen activator inhibitor-1 (PAI-1) levels were determined with a commercially available ELISA (Sekisui, Stamford, USA).

### Statistical analyses

Data are expressed as means (with standard deviations (SDs)), medians (with interquartile ranges), or numbers (with percentages) as appropriate. Means of two groups were compared by Student's t-test or Mann-Whitney U test as appropriate. Spearman's correlation coefficient was used to assess correlation between continuous variables. P values of 0.05 or less were considered statistically significant. GraphPad Prism (San Diego, USA) and IBM SPSS Statistics 20 (New York, USA) were used for analyses.

### Ethics statement

Written informed consent was obtained from every participant in this study. The study was approved by the local Medical Ethics Committee from the University Medical Center of Groningen (protocol number 2012.098). Study procedures were in accordance with the Helsinki Declaration of 1975.

### Results

### Patient characteristics

All of the patients included in this study underwent OLT between 2011 and 2012. All patients received a full-size graft. None of the patients suffered from thrombosis prior to OLT or had postoperative thrombotic complications within the first year. Five patients suffered from diabetes mellitus at time of the blood draw, and four of these were insulin-dependent. Two of these patients had developed diabetes after OLT. There were five patients that were on platelet aggregation inhibitors (calcium carbasalate or aspirin) at the time of the blood draw. Two of these patients had coronary disease for which they had undergone coronary interventions prior to OLT. One patient had left ventricular hypertrophy and one patient had paroxysmal atrial fibrillation. The fifth patient appeared to have fragile arteries at the anastomotic site during OLT for which postoperative aspirin was started. Two patients suffered from hypertension, and two patients smoked cigarettes. Patient and background characteristics are presented in Table 1.

# A dysbalanced VWF/ADAMTS13 ratio in liver transplant recipients

Patients had significantly higher plasma levels of the platelet-adhesive protein VWF compared to healthy controls (253% (200–323) (median (IQR)) vs. 99% (63–114), respectively, Figure 1A). The activity of ADAMTS13, the VWF-cleaving protease was comparable between patients and controls (82% (75–118) vs. 94% (85–102) respectively, Figure 1B). Plasma levels of sP-selectin were significantly elevated in patients compared to controls (28.0 pmol/L (25.0–39.0) vs. 21.0 pmol/L (18.8–25.3) respectively, Figure 1C). Levels of sP-selectin were similar in patients that were on calcium carbasalate or ascal compared to those who were not (33.0 pmol/L

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Calcineurin inhibitor + purine antagonist2Other medication (no of patients)Aspirin5InsulinInsulin4InsulinCalcium antagonist4Calcium antagonist44InsulinACE-inhibitors4InsulinBetablocker1InsulinDiuretic1InsulinProton pump inhibitor13Laboratory assessment (medians and IQR)Hemoglobin (mmol/L)8.6 (8.2-9.0)InsulinTotal bilirubin (µmol/L)9.0 (6.0-11.0)InsulinASAT (U/L)26 (18-3)InsulinALAT (U/L)25 (20-38)Insulin (µmol/L)Insulin (µmol/L)45 (44-47)Insulin (µmol/L)Insulin (µmol/L)1.1 (1.0-1.1)Insulin (µmol/L)Insulin (µmol/L)77 (72-107)		Calcineurin inhibitor + steroid + purine antagonist	10
Other medication (no of patients)     Aspirin     5       Insulin     Insulin     4       Insulin     Metformin     2       Insulin     Calcium antagonist     4       Insulin     ACE-inhibitors     4       Insulin     ACE-inhibitors     4       Insulin     Betablocker     1       Insulin     Diuretic     1       Insulin     Proton pump inhibitor     13       Isboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2-9.0)       Insulin (µmol/L)     9.0 (6.0-11.0)     9.0 (6.0-11.0)       Insulin (µmol/L)     S4 (13/e)     26 (18-3)       Insulin (µL)     ALAT (U/L)     26 (18-3)       Insulin (g/L)     Albumin (g/L)     45 (44-47)       Insulin (µL)     Insulin (µL)     1.1 (1.0-1.1)		Calcineurin inhibitor + purine antagonist	2
Insulin     4       Insulin     Metformin     2       Insulin     Calcium antagonist     4       Insulin     ACE-inhibitors     4       Insulin     ACE-inhibitors     4       Insulin     Betablocker     1       Insulin     Diuretic     1       Insulin     Proton pump inhibitor     13       Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     86 (8.2–9.0)       Insulin     Hemoglobin (mmol/L)     86 (8.2–9.0)       Insulin     Matopathy assessment (medians and IQR)     Hemoglobin (mmol/L)       Insulin     Matopathy assessment (medians and IQR)     Alser (v/L)       Insulin (µmol/L)     So (6.0–11.0)     9.0 (6.0–11.0)       Insulin (µmol/L)     AsAT (U/L)     26 (18–3)       Insulin (g/L)     Albumin (g/L)     45 (44–47)       Insulin (g/L)     Insulin (µmol/L)     45 (44–47)       Insulin (µmol/L)     Insulin (µmol/L)     77 (72–107)	Other medication (no of patients)	Aspirin	5
Image: Metric Metri Metric Metri Metric Metri Metric Metric Metric Metric Metric Met		Insulin	4
Calcium antagonist     4       ACE-inhibitors     4       Betablocker     1       Diuretic     1       Proton pump inhibitor     13       Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2–9.0)       Platelets (×10 <sup>9</sup> /L)     160 (136–192)     160 (136–192)       Interpretent of the platelets (×10 <sup>9</sup> /L)     9.0 (6.0–11.0)     26 (18–3)       Interpretent of the platelets (×10 <sup>1</sup> /L)     26 (18–3)     26 (18–3)       Interpretent of the platelets (×10 <sup>1</sup> /L)     25 (20–38)     1.1 (1.0–1.1)       Interpretent of the platelets (×10 <sup>1</sup> /L)     1.1 (1.0–1.1)     1.1 (1.0–1.1)		Metformin	2
ACE-inhibitors     4       Betablocker     1       Diuretic     1       Proton pump inhibitor     13       Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2-9.0)       Platelets (×10 <sup>9</sup> /L)     160 (136-192)     160 (136-192)       Total bilirubin (µmol/L)     9.0 (6.0-11.0)     9.0 (6.0-11.0)       ASAT (U/L)     26 (18-3)     25 (20-38)       Albumin (g/L)     Albumin (g/L)     45 (44-47)       INR     INR     1.1 (1.0-1.1)		Calcium antagonist	4
Betablocker     1       Diuretic     1       Proton pump inhibitor     13       Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2–9.0)       Platelets (×10 <sup>9</sup> /L)     160 (136–192)     160 (136–192)       Total bilirubin (µmol/L)     9.0 (6.0–11.0)     9.0 (6.0–11.0)       ASAT (U/L)     26 (18–3)     25 (20–38)       ALAT (U/L)     45 (44–47)     1.1 (1.0–1.1)       INR     INR     1.7 (72–107)		ACE-inhibitors	4
Image: Protein pump inhibitor     1       Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2–9.0)       Platelets (×10 <sup>9</sup> /L)     160 (136–192)       Image: Platelets (×10 <sup>9</sup> /L)     160 (136–192)       Image: Platelets (×10 <sup>9</sup> /L)     9.0 (6.0–11.0)       Image: Platelets (×10 <sup>9</sup> /L)     9.0 (6.0–11.0)       Image: Platelets (×10 <sup>9</sup> /L)     26 (18–3)       Image: Platelets (×10 <sup>1</sup> /L)     25 (20–38)       Image: Platelets (×10 <sup>1</sup> /L)     45 (44–47)       Image: Platelets (×10 <sup>1</sup> /L)     1.1 (1.0–1.1)		Betablocker	1
Proton pump inhibitor     13       Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2–9.0)       Platelets (×10 <sup>9</sup> /L)     160 (136–192)       Total bilirubin (µmol/L)     9.0 (6.0–11.0)       ASAT (U/L)     26 (18–3)       ALAT (U/L)     25 (20–38)       Albumin (g/L)     45 (44–47)       INR     1.1 (1.0–1.1)		Diuretic	1
Laboratory assessment (medians and IQR)     Hemoglobin (mmol/L)     8.6 (8.2–9.0)       Platelets (×10 <sup>9</sup> /L)     160 (136–192)     160 (136–192)       Total bilirubin (µmol/L)     9.0 (6.0–11.0)     9.0 (6.0–11.0)       ASAT (U/L)     26 (18–3)     25 (20–38)       ALAT (U/L)     45 (44–47)     1.1 (1.0–1.1)       INR     1.1 (1.0–1.1)     77 (72–107)		Proton pump inhibitor	13
Platelets (×10 <sup>9</sup> /L)     160 (136–192)       Total bilirubin (µmol/L)     9.0 (6.0–11.0)       ASAT (U/L)     26 (18–3)       ALAT (U/L)     25 (20–38)       Albumin (g/L)     45 (44–47)       INR     1.1 (1.0–1.1)       Creatinine (µmol/L)     77 (72–107)	Laboratory assessment (medians and IQR)	Hemoglobin (mmol/L)	8.6 (8.2–9.0)
Total bilirubin (µmol/L)     9.0 (6.0–11.0)       ASAT (U/L)     26 (18–3)       ALAT (U/L)     25 (20–38)       Albumin (g/L)     45 (44–47)       INR     1.1 (1.0–1.1)       Creatinine (µmol/L)     77 (72–107)		Platelets (×10 <sup>9</sup> /L)	160 (136–192)
ASAT (U/L)     26 (18–3)       ALAT (U/L)     25 (20–38)       Albumin (g/L)     45 (44–47)       INR     1.1 (1.0–1.1)       Creatinine (µmol/L)     77 (72–107)		Total bilirubin (µmol/L)	9.0 (6.0–11.0)
ALAT (U/L)     25 (20–38)       Albumin (g/L)     45 (44–47)       INR     1.1 (1.0–1.1)       Creatinine (µmol/L)     77 (72–107)		ASAT (U/L)	26 (18–3)
Albumin (g/L) 45 (44-47)   INR 1.1 (1.0-1.1)   Creatinine (µmol/L) 77 (72-107)		ALAT (U/L)	25 (20–38)
INR 1.1 (1.0–1.1) Creatinine (µmol/L) 77 (72–107)		Albumin (g/L)	45 (44–47)
Creatinine (µmol/L) 77 (72–107)		INR	1.1 (1.0–1.1)
		Creatinine (µmol/L)	77 (72–107)

### Table 1. Patient characteristics.

\* To convert values for hemoglobin to g/dl, multiply by 1.650. To convert values for bilirubin to mg/dl divide by 88.4.



Figure 1. VWF and Platelet parameters in healthy controls and patients. A. Plasma levels of von Willebrand factor (VWF) in patients and healthy controls. B. ADAMTS13 activity in plasma from patients and healthy controls. C. Plasma levels of soluble P-selectin in patients and controls. D. Plasma levels of Platelet Factor 4 in patients and controls. Horizontal bars indicate medians.

(20.0–41.0) vs. 28.0 pmol/L (25.0–33.0) respectively; p=0.68). However, levels of PF4 were similar among patients and controls (595 ng/ml (369–912) vs. 634 ng/ml (496–786) respectively; Figure 1D).

### Decreased *in vitro* thrombin generation is associated with elevated plasma levels of TFPI and AT, but not with differences in *in vivo* thrombin generation in liver transplant recipients

Thrombin generation assays showed that patients had a decreased procoagulant capacity, both in presence and absence of thrombomodulin (Figure 2). Specifically, patients had a decreased ETP compared to controls, both in presence and absence of thrombomodulin (344 nM IIa×min (284–414) vs. 492 nM IIa×min (385–693) respectively in presence of thrombomodulin). Patients also had a decreased peak height and velocity index, and a prolonged lagtime compared to controls (Table 2).

The ETP ratio, an index of the anticoagulant capacity of the protein C system defined as the ratio of the ETP with-to-without TM, was significantly lower in patients compared to controls (Table 2).



Figure 2. Endogenous Thrombin Potential (ETP) in plasma from patients and healthy controls in absence and presence of thrombomodulin (TM). Horizontal bars indicate medians.

	Patients	Controls	p-value
ETP ratio	0.4 (0.3–0.4)	0.5 (0.3–0.8)	0.037
Velocity index TM- (nM IIa/min)	55.0 (43.0–67.0)	73.0 (56.0–134.0)	0.004
Velocity index TM+ (nM IIa/min)	43.0 (34.0–54.0)	58.5 (46.8–93.8)	0.002
Peak TM- (nM IIa)	172.0 (162.0–196.0)	190.0 (182.0–306.0)	0.004
Peak TM+ (nM IIa)	98.0 (78.0–98.0)	134.0 (100.0–187.0)	0.003
Lag time TM- (min)	2.7 (2.3–2.7)	2.0 (1.7–2.0)	<0.001
Lag time TM+ (min)	2.3 (2.0–2.5)	1.7 (1.7–2.0)	<0.001

Table	2. Parameters	derived fror	n thrombin	generation	curves g	enerated in	absence
and j	presence of TN	I. Data are pre	esented as i	medians with	n interquai	rtile range.	

Plasma levels of FII were similar in patients and controls (99% (94–111) vs. 106% (96–117) respectively, Figure 3A). Levels of FVIII on the other hand were significantly higher in patients compared to controls (122% (111–153) vs. 87% (74–109) respectively, Figure 3B).

Plasma levels of TFPI were significantly higher in patients compared to controls (184% (147–204) vs. 127% (82–148) respectively, Figure 4A). There was no difference in protein C levels between the groups (107% (87–124) vs. 104% (95–126) respectively, Figure 4B). In the patient group protein C correlated inversely with ETP in presence of TM (Figure 4C). Levels of AT were slightly, but significantly higher in patients compared to controls (114% (99–134) vs. 104% (97–113) respectively, Figure 4D).

Plasma levels of prothrombin fragment 1+2, an indicator of *in vivo* thrombin generation, were similar between patients and controls (216 pmol/L (146–260) vs. 178 pmol/L (136–210) respectively, Figure 5).

Decreased plasma fibrinolytic potential associated with elevated plasma levels of PAI-1 in liver transplant recipients Clot lysis times were significantly prolonged in patients compared to controls (66.8 min (61.3–75.1) vs. 54.2 min (50.1–60.8) respectively Figure 6A and B). Plasma levels of PAI-1 were significantly higher in patients compared to controls (8.2 ng/ml (4.5–11.8) vs. 2.1 ng/ml (2.6–5.4) respectively) and correlated with clot lysis time (Figure 6C and D).

# Database containing demographic data of each patient and laboratory data of each patient and control

1 Data File

http://dx.doi.org/10.6084/m9.figshare.1002065

#### Discussion

The main finding of this study is that one year after OLT patients with a well-functioning graft are in a hypercoagulable state. This



Figure 3. Coagulation factor levels in patients and healthy controls. A. Plasma levels of factor II in patients and healthy controls. B. Factor VIII plasma levels in patients and healthy controls. Horizontal bars indicate medians.



Figure 4. Coagulation factor levels in patients and healthy controls. A. Plasma levels of TFPI in patients and healthy controls. B. Protein C levels in plasma from patients and healthy controls. C. Correlation between the Endogenous Thrombin Potential (ETP) measured in the presence of thrombomodulin (TM) and plasma levels of protein C in patients. D. Antithrombin (AT) levels in plasma from patients and healthy controls. Horizontal bars indicate medians.



Figure 5. Plasma levels of prothrombin fragment 1+2 in patients and healthy controls. Horizontal bars indicate medians.

hypercoagulable state is caused by elevated plasma levels of VWF (resulting in a hyperactive primary hemostatic system), and a hypofibrinolytic state due to elevated plasma levels of PAI-1. Nevertheless, thrombin generation was decreased in patients one year after OLT, which was related to increased levels of TFPI and AT, which were previously shown to be key determinants of thrombin generation in healthy individuals<sup>20</sup>. This decreased thrombin generation may, in part, compensate for the hypercoagulable changes. High VWF and decreased plasma fibrinolytic potential, however, are established risk factors for venous and arterial thrombosis, and we therefore speculate that the unbalanced hemostasis in patients one year after OLT may contribute to their increased risk for thrombotic events. The observed increased levels of VWF, sP-selectin, FVIII, TFPI, and PAI-1, in patients one year after OLT, are likely the result of chronic endothelial injury. All of these coagulation proteins are produced by endothelial cells. It has been demonstrated that the use of immunosuppressive drugs leads to endothelial cell activation and release of VWF14,21-26. Also, an inhibitory effect of



Figure 6. Fibrinolytic status in patients and healthy controls. A. Clot lysis time assessed in plasma from patients and healthy controls. B. Plasma levels of PAI-1in patients and healthy controls. C. Correlation between clot lysis times and PAI-1 plasma levels in patients and D. controls. Horizontal bars in panels A and B indicate medians.

immunosuppressive medication on fibrinolysis has been demonstrated, as evidenced by elevated levels of PAI-1<sup>26-28</sup>.

It thus appears plausible that the elevation in levels of VWF, sPselectin, FVIII, TFPI, and PAI-1, which explain the majority of the hemostatic unbalance at one year after OLT, is related to immunosuppression. Nevertheless, other causes for endothelial activation such as (*de novo*) diabetes, smoking, and infection or a proinflammatory status may also contribute to endothelial cell activation. Of note, none of the other drugs used by some of the patients, such as proton pump inhibitors, blood-glucose lowering medication or calcium antagonists are known to cause endothelial cell activation.

Previously we have summarized clinical and laboratory evidence for hypercoagulability as a contributor to thrombotic complications after liver transplantation<sup>11</sup>. Several of the hemostatic abnormalities that we have described in the present study have been linked to clinical thrombotic events in non-transplant patients and, therefore, are in line with our previously formulated hypotheses. Increased levels of VWF and FVIII have been (independently and in combination) described as a risk factor for venous thromboembolism but also for thromboembolic cardio- and cerebrovascular disease and mortality in several studies<sup>29–32</sup>. Hypofibrinolysis, as defined by prolonged plasma-based clot lysis times or by increased plasma levels of PAI-1 have been associated with a risk for venous thrombosis and thromboembolic cardiovascular disease<sup>33–37</sup>.

With increasing long term survival after OLT, thromboembolic cardioand cerebrovascular disease has become an increasingly threatening factor for OLT recipients, warranting preventative measures<sup>11</sup>. While there are no laboratory studies investigating the hemostatic status of patients 5–10 years after their OLT, it is plausible that the hemostatic abnormalities induced by immunosuppressive drugs described in this study persist or even aggravate over time. The findings of this study may point to the necessity of antihemostatic treatment to prevent cardiovascular disease after OLT. While both sP-selectin and PF4 are considered markers of platelet activation, only PF4 is solely released by activated platelets. P-selectin is present in both endothelial cells as well as platelets, and a soluble fragment is released upon activation of these cells. The fact that only levels of sP-selectin were elevated in liver transplant recipients while levels of PF4 were similar to controls doesn't indicate an increased platelet activity but rather increased endothelial cell activation. However, the elevated levels of VWF may lead thromboembolic events, which may be prevented by anti-platelet therapy. It has been demonstrated in a single retrospective study that longterm administration of aspirin lowers the incidence of HAT after OLT without increasing bleeding events<sup>38</sup>. Platelet inhibition after OLT by aspirin might not only decrease the risk for HAT but also the risk for thromboembolic cardiovascular disease similar to that in the general population, although this has not been assessed in clinical studies.

The results of our study suggest that the hemostatic imbalance of liver transplant recipients is not due to transplant-related effects, but to the endothelial activating properties of immunosuppression, perhaps in combination with endothelial activation associated with comorbidities such as diabetes and smoking. Our results therefore may be likely extended to other forms of solid organ transplantation. Indeed, kidney transplant recipients are also at risk for thrombotic events, which may also in part be related to dysregulated hemostasis<sup>39–42</sup>. Although antihemostatic therapy may be beneficial, partial or complete withdrawal of immune suppression may contribute to avoiding post-transplant thrombotic complications. In addition, adjustment of lifestyle (e.g., cessation of smoking), and optimal control of diabetes and hypertension may contribute to a decreased risk of post-transplant thrombosis.

In conclusion, one year after liver transplantation liver transplant recipients display dysregulated hemostasis which appears to be related to endothelial activation. Whereas elevated levels of VWF and decreased fibrinolytic capacity may be related to thrombotic complications in liver transplant recipients, this risk may be attenuated in part by decreased thrombin generating capacity.

### Data availability

*figshare*: Database containing demographic data of each patient and laboratory data of each patient and control. Doi: 10.6084/ m9.figshare.1002065<sup>43</sup>

### Informed consent

Written informed consent was obtained from participant in this study.

### Author contributions

TL: designed research, interpreted data, and drafted manuscript. FA: responsible for patient inclusion, interpreted data and drafted manuscript. JA: carried out laboratory measurements. HB and AvdB: responsible for patient inclusion, interpreted data. RJP: designed research, interpreted data. All authors were involved in revision of the draft manuscript and have agreed to the final content.

#### Competing interests

No competing interests were disclosed.

#### Grant information

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# **Open Peer Review**

# Current Referee Status:



# **Referee Responses for Version 2**



# Alex Gatt

Haematology Department, Mater Dei Hospital, Msida, Malta

# Approved: 14 August 2014

Referee Report: 14 August 2014 doi:10.5256/f1000research.5207.r5648 No more comments. Thanks

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

**Annabel Blasi** Hospital Clinic de Barcelona, Barcelona, Spain

# Approved: 11 August 2014

Referee Report: 11 August 2014 doi:10.5256/f1000research.5207.r5649 It's OK! I've no more comments.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

# **Referee Responses for Version 1**



# Alex Gatt

Haematology Department, Mater Dei Hospital, Msida, Malta

# Approved: 04 July 2014

Referee Report: 04 July 2014 doi:10.5256/f1000research.4265.r5188 Arshad *et al.* present an interesting study on the haemostatic changes seen 1 year after liver transplantation. This is of particular interest to hepatologists, liver surgeons and haemostasiologists alike. The Groningen group clearly show that these patients, even 1 year after transplantation, still have high FVIII, and VWF. However they do recover their anticoagulant proteins, leading to a brisk reduction of thrombin generation upon the addition of thrombomodulin.

This is a proof of concept study due to the small number of patients. There is a major confounder, as the authors themselves acknowledge in their introduction, which is the chronic immunosuppression which could be leading this 'endothelial activation', but nevertheless whatever the cause it looks likely that this is present and might play a part in the post transplant thrombotic events in these patients.

- Ideally, the authors should specify what Protein C and AT assays they used, i.e. are they activity assays?
- Another confounder is that some patients were on antiplatelet agents and other were not.
- It is not clear why the patients still had lower thrombin generation without thrombomodulin. This could be related to the concentration of TF used in the assay.
- Were the controls age and sex matched?
- Finally, despite the fact that VWF, FVIII and PAI-1 are still elevated 1 year after transplantation, looking at the TG data, the patients seem to be hypocoagulable with lower Velocity Index, prolonged LT and lower ETP. There is also a seemingly more profound effect of the natural anticoagulants upon addition of TM. So is this still a case of balanced haemostasis?

# I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

# 1 Comment

# **Author Response**

Ton Lisman, Surgical Research Laboratory, Department of Surgery, University Medical Center Groningen, Netherlands Posted: 22 Jul 2014

We appreciate the interest of the reviewer in our study and thank him for his constructive comments.

1. The Protein C and AT assays are indeed activity assays using chromogenic substrates. As indicated in the manuscript, these assays were purchased from Instrumentation Laboratory. For a detailed description of the assays see:

http://benelux.instrumentationlaboratory.com/products-services/hemostasis-diagnostics/reagen

- 2. Although we agree that the use of antiplatelet agents may be a confounder (although antiplatelet agents to our knowledge have not been shown to alter the outcome of most of the assays we have used), we would like to stress that the clinical reality is that liver transplant recipients are frequently prescribed multiple drugs other than immunosuppressants. We were interested to find out whether coagulation abnormalities may contribute to the increased thrombotic risk after liver transplantation. Thus, while acknowledging the fact that the causes of the hemostatic changes observed in liver transplant recipients are likely multicausal (and not fully elucidated by our study), our data show evidence for a hypercoagulable profile in patients one year after transplantation.
- 3. The reduced thrombin generation in the absence of thrombomodulin is likely due to the elevated levels of TFPI and AT, which have been shown to be key determinants of thrombin generation (J Thromb Haemost. 2008 Jan;6(1):125-31). This reference has been added to the manuscript.
- 4. Controls were not age and sex matched. We included controls to establish reference values for the various assays performed in the study, similar to how reference values in routine diagnostic laboratories are established. While acknowledging that not all measured variables are constant with age, the differences observed in the endothelial-derived proteins are substantial and not explained by minor changes caused by age. We have altered the text in the materials and methods section to indicate that controls were included only to establish reference ranges, and included age and sex of the controls.
- 5. We agree that we find evidence of both hyper- and hypocoagulable changes in the liver transplant recipients. Our conclusion thus is not that the hemostatic system is in balance, as suggested by the reviewer. Rather, we conclude there is dysregulated hemostasis. The final sentence of the conclusion sums up how we interpret our findings of the study: "Whereas elevated levels of VWF and decreased fibrinolytic capacity may be related to thrombotic complications in liver transplant recipients, this risk may be attenuated in part by decreased thrombin generating capacity."

Competing Interests: No competing interests were disclosed.



# **Annabel Blasi**

Hospital Clinic de Barcelona, Barcelona, Spain

# Approved: 23 June 2014

# Referee Report: 23 June 2014

# doi:10.5256/f1000research.4265.r5186

In this study, the authors investigated the hemostatic status of liver transplant recipients one year after transplantation, finding a prothrombotic profile based on activated endothelial markers coupled to a hypofibrinolytic state. It point out a very relevant clinical problem as it is the thrombotic complications in the post transplant period. The aim and methods are well defined and well performed, however I would like the authors address some aspects about the study population:

The study population shows several factors which have clearly impact on the endothelial function, apart from immunosuppressive therapy. In order to obtain more information from the data, I suggest that the authors discuss the differences, if any, about the more relevant results: VW, VIII, sP-selectin, TFPI and PAI-1 according to the following sub-groups of patients:

- 1. Diabetes
- 2. Cardiovacular disease
- 3. Etiology of liver disease Given that a hypercoagulable/hypofibrinolytic state has been suggested in several of the reported etiologies in the study group (biliary cirrhoris, NASH, familial amyloidotic polyneuropathy) those reversibilities after liver transplantation have not been proven.
- 4. Immunosuppressive regiment.

To discard that some of the aforementioned conditions confers a risk extra for thrombosis.

Otherwise, patients had a decreased ETP compared to controls, both in presence and absence of thrombomodulin. The ETP ratio, an index of the anticoagulant capacity of the protein C system defined as the ratio of the ETP with-to-without thrombomodulin was significantly lower in patients compared to controls but interestingly, there was no difference in protein C levels between both groups.

Five patients were on platelet aggregation inhibitors. Levels of sP-selectin were similar in patients that were on aspirin compared to those who were not. Could the authors make some comment about this? Aspirin resistance in this population could be suggested?

Although the size and the characteristics of the study population entail some limitations, it is a good starting point (never addressed before), for further studies with a larger sample.

# I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

# 1 Comment

# Author Response

Ton Lisman, Surgical Research Laboratory, Department of Surgery, University Medical Center Groningen, Netherlands Posted: 22 Jul 2014

We appreciate the interest of the reviewer in our study and thank her for her constructive comments.

1. The suggestion to look at subgroups is appreciated, and we agree that comorbidities such as diabetes and cardiovascular disease may in itself have an effect on levels of endothelial-derived proteins. In addition, we agree that the etiology of liver disease prior to transplant may be of interest (although the patients were only included when they did not have evidence of disease recurrence), and that the different immunosuppressive regimens may have different effects on levels of endothelial-derived proteins. However, we feel that the small size of our study doesn't allow for a meaningful subgroup analysis. The normal ranges of the proteins and tests studied are wide already in the general population (also evidenced by the ranges observed in our 30 healthy controls), which indicates that subgroups should be reasonably sized to allow a meaningful subgroup analysis.

2. We agree that the similar P-selectin levels in the patients that were on aspirin therapy may indicate aspirin resistance, although we did not assess platelet function directly and the group of patients on aspirin was small. It has to be noted, however, that the circulating P-selectin is in part also released from endothelial cells, and this process is not expected to be influenced by aspirin. Nevertheless, liver transplant recipients often have multiple factors (diabetes, smoking, hyperlipidemia that may increase the risk for aspirin resistance. To our knowledge there are no published data on the frequency of aspirin resistance in liver transplant recipients or patients with liver disease and such studies will be required to optimize antihemostatic therapy in these patients.

Competing Interests: No competing interests were disclosed.



# Hugo ten Cate

Center for Thrombosis and Haemostasis, Johannes Gutenberg University Mainz, Mainz, Germany

# Approved: 05 June 2014

# Referee Report: 05 June 2014

# doi:10.5256/f1000research.4265.r4734

Lisman and colleagues follow up on a number of prior studies of hemostatic and coagulation activity status in patients with liver cirrhosis. In this small study they document the hemostatic phenotype in plasma from 15 patients that had underwent orthotopic liver transplantation (OLT) for liver cirrhosis of diverse nature. In the cross sectional study they compare with healthy persons (of comparable age and sex?).

The main finding is that as compared to healthy persons the 15 patients had elevated plasma levels of von Willebrand factor (vWF), plasminogen activator inhibitor-1 (PAI-1), FVIII and soluble P selection, suggestive of an activated endothelium (and/or activated hemostasis) and an attenuated fibrinolytic system, as shown with clot lysis methodology. Interestingly, thrombin generation parameters were either not different (F1+2) or lowered in case of the potential to form thrombin (measured by Calibrated Automated Thrombinography).

The authors conclude that there is evidence of a hypercoagulable state at one year after OLT in these patients, given the above changes, which are suggestive of endothelial activation or damage. A major contributing factor in this process may be the use of immunosuppresive agents.

In addition they document a hypofibrinolytic state.

# Minor comments:

Obviously, this study cannot give insight in the origin of the found abnormalities and it is impossible to dissect effects of immunosuppression from those of underlying morbidity; there are several risk factors for CV disease present in this population that may play a role in endothelial activation.

A question would be whether any of these patients had been characterised prior to OLT, since it is known

that many hemostatic abnormalities are present in association with liver cirrhosis, as the authors also have documented in previous publications. For instance the high level of vWF must (likely) have been present before surgery so that it remains a question how much of the present findings are due to immunosuppression, or to prior comorbidity?

Also, the comparison with healthy persons from the lab (age? sex?) may suggest that differences in markers are substantial while in part these may be due to young age or healthy status in controls, so the differences attributable to OLT and/or immunosuppression may be smaller when compared to a comparable group of controls, rather than healthy laboratory subjects.

Interestingly, indeed the hemostatic activation may be counterbalanced by a lowered thrombin generation activity, at least by CAT, so that the net hyper coagulability is somewhat blunted. Given somewhat elevated levels of AT and TFPI, these may explain the lowered ETP and peak levels, but there is no direct evidence that these are the major modifiers in this case.

In summary, this is a small but interesting study, suggesting a prothrombotic plasma phenotype based on activated endothelial markers coupled to a hypofibrinolytic state, related to elevated PAI-1. Prospective studies of the actual changes pre and post OLT may be needed to get a better idea of the contribution of comorbidity to these changes, preferably as compared to individuals of similar sex and age. The relevance of the observed changes for predicting the risk of thrombosis in these vulnerable patients is worth pursuing.

I have read this submission. I believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Competing Interests: No competing interests were disclosed.

# 1 Comment

# **Author Response**

Ton Lisman, Surgical Research Laboratory, Department of Surgery, University Medical Center Groningen, Netherlands Posted: 22 Jul 2014

We appreciate the interest of the reviewer in our study and thank him for his constructive comments.

- We agree that our study is not able to differentiate between the effects of immunosuppression and the effects of comorbidities and smoking on levels of endothelial-derived proteins. We have adjusted the conclusion section to indicate that both immunosuppression and comorbidities may be responsible for the increased levels of endothelial-derived proteins in transplant recipients.
- 2. The patients were not characterized prior to liver transplantation. Indeed, patients with liver disease have multiple alterations in their hemostatic system which are attributed to defective hepatic synthesis, defective hepatic clearance, consumption, and endothelial activation (which in patients with cirrhosis is strongly related to portal hypertension). Many of the

disease-related changes in the hemostatic system are likely no longer present at one year after transplantation in patients with a well-functioning graft. Defects in synthesis and clearance are no longer present in patients with a functioning graft, and also portal hypertension will be no longer present. We thus expect the hemostatic changes observed in the present study not to be related to the pre-transplant liver disease, in particular since patients that had disease recurrence were not included in our study.

- 3. As indicated in our response to the comments of Dr. Gatt, our controls were purposely not matched for age and sex (now indicated in the materials and methods section), and were indeed much younger than the age of our patients. We agree that some of the markers are age-dependent, but the increases observed in endothelial-derived proteins are much larger than expected based on age differences only. We nevertheless agree with the reviewer that the differences may be slightly overestimated as a result of the age difference.
- 4. Although we agree that we did not directly show that the decreased thrombin generation in our patients was related to increased TFPI and AT levels, there is evidence that these 2 proteins are important modifiers of the thrombin generation test. We have added a reference to a paper showing the importance of TFPI and AT in determining thrombin generation.

Competing Interests: No competing interests were disclosed.