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ORIGINAL RESEARCH

# Short-term effects of ambient air pollution on chronic obstructive pulmonary disease admissions in Beijing, China (2013–2017)

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**Purpose:** Evidence between air pollution and COPD admissions is inconsistent and limited in China. In this study, we aimed to explore the effects of air pollutants on COPD admissions in Beijing, China.

**Patients and methods:** Daily COPD hospital admission visits derived from tertiary and secondary hospitals in Beijing were retrieved from January 2013 to February 2017. Air pollutant levels and meteorological data over the same periods were also achieved. Generalized additive model was applied to estimate the percentage changes with 95% CIs in daily admissions corresponding to  $10 \,\mu\text{g/m}^3$  increases in pollutants levels [1 mg/m<sup>3</sup> in carbon monoxide (CO)], stratified by age, gender, and season.

**Results:** Seventy-three thousand seventy-six COPD hospital admission visits were included with mean daily visits of 48 (21). Cumulative lag effect with per 10 µg/m<sup>3</sup> increase in air pollutant levels was largest for nitrogen dioxide (NO<sub>2</sub>) with 3.03% (95% CI: 1.82%–4.26%) at lag 06, for sulfur dioxide (SO<sub>2</sub>) with 2.07% (95% CI: 1.00%–3.15%) at lag 01, for particulate matter  $\leq 10 \,\mu$ m in aerodynamic diameter (PM<sub>10</sub>) with 0.92% (95% CI: 0.55%–1.30%) at lag 07, and for particulate matter  $\leq 2.5 \,\mu$ m in aerodynamic diameter (PM<sub>2.5</sub>) with 0.82% (95% CI: 0.38%–1.26%) at lag 06, respectively. Percentage increase for each 1 mg/m<sup>3</sup> increase in CO was 5.99% (95% CI: 2.74%–9.34%) at lag 06. Further, stronger effects on COPD admissions were found in warm seasons than in cold seasons.

**Conclusion:** Short-term exposures to  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , and CO had adverse effects on COPD hospitalizations in Beijing with different magnitudes and lag days.

Keywords: adverse effects, air pollution, COPD, time series analysis, hospital visits

## Introduction

COPD is the third leading cause of death and attributable disability-adjusted life-years worldwide, especially in developing countries.<sup>1</sup> With the rapid increase in the aging population and cigarette smoking, COPD has imposed a heavy health and economic burden in China.<sup>2</sup> Estimated by a national cross-sectional study, the prevalence of spirometry-defined COPD was 8.6% among the Chinese population aged over 20 years, with 99.9 million COPD patients in 2015.<sup>3</sup> Emerging evidence suggests that exposure to ambient air pollution, and not just cigarette smoking, may have adverse effects on COPD, although there is no conclusive causal relationship.<sup>4,5</sup> Previous epidemiological studies indicate that short-term exposures to air pollutants may induce increased risk of acute exacerbations, emergency department visits,<sup>6,7</sup> hospital admissions,<sup>8,9</sup> and even mortality<sup>10,11</sup> in COPD patients.

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Nevertheless, most of the aforementioned investigations were performed in North America and Europe, where air pollutant levels are much lower than those in developing countries.<sup>12,13</sup> In recent decades, with its rapid industrialization and urbanization, China has witnessed severely widespread haze, which is characteristic of the substantially higher fine particulate matter (PM<sub>2.5</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter) concentrations and has posed great hazards to and burdens on the public health and economy.<sup>14</sup> As one of the most densely populated urban cities, Beijing, which is located on the North China Plain, is under a burden of serious air pollution, with annual PM<sub>2.5</sub> levels as high as 89.5  $\mu$ g/m<sup>3</sup> in 2013,<sup>15</sup> more than eight times the WHO standard (10  $\mu$ g/m<sup>3</sup>).<sup>16</sup> Taking the different magnitudes and components of pollutants, meteorological conditions, and socioeconomic characteristics into consideration, the potential adverse effects of air pollution on COPD patients in China cannot be fully and accurately extrapolated from the effect estimates in Western countries.<sup>17,18</sup>

With the establishment of an advanced real-time air quality monitoring network, PM<sub>2.5</sub> has been introduced into the national monitoring system in China since 2013. However, epidemiological evidence involving the latest and full-scale monitoring data has been scarce in China. Updated information on the effects of air pollution on COPD is pivotal for urging medical professionals, COPD patients, and policymakers to take effective actions to alleviate the adverse effects in China. Therefore, we assessed the effects of ambient air pollutants on hospital admission visits of COPD patients from January 2013 to February 2017 in Beijing, China.

# **Patients and methods** Hospital admissions data

In the study, daily COPD-associated hospital admission counts between January 1, 2013, and February 28, 2017, were collected from the tertiary hospitals and secondary hospitals located in Dongcheng District, Xicheng District, Chaoyang District, Haidian District, Fengtai District, and Shijingshan District, all located in urban districts of Beijing, China. Patients with Beijing medical insurance were included. Tertiary and secondary hospitals in the six districts amount to 162, accounting for 72% of tertiary and secondary hospitals in Beijing. The collected data included identified patient number, age, sex, home address, admission date, discharge date, and primary and secondary discharge diagnosis with the corresponding International Classification of Diseases (ICD-10) code. In this analysis, the following categories were all included as the COPD (ICD-10 codes J42 and J44) hospital admissions: 1) COPD or chronic bronchitis with acute exacerbation as the primary diagnosis; 2) COPD or chronic bronchitis without acute exacerbation as the primary diagnosis; 3) COPD or chronic bronchitis with acute exacerbation as the secondary diagnosis; and 4) COPD or chronic bronchitis as the secondary diagnosis, combined with upper airway tract infection, lower airway tract infection, respiratory failure, or pulmonary heart disease as the primary diagnosis. Patients aged <20 years or not living in Beijing were excluded. The confidential information of subject (such as name, ID number, and health care card number) were deleted. All hospital visits were deidentified in compliance with the Declaration of Helsinki. The Institutional Review Board of Peking Union Medical College Hospital approved the study (S-K492).

# Air pollutants and meteorological data

Daily air pollutant levels in Beijing city over the same period were collected from the Beijing Municipal Environmental Protection Bureau (http://www.bjepb.gov.cn/). Daily concentrations of  $PM_{2.5}$ , particulate matter  $\leq 10 \,\mu$ m in aerodynamic diameter ( $PM_{10}$ ), nitrogen dioxide ( $NO_2$ ), sulfur dioxide ( $SO_2$ ), and carbon monoxide (CO) were calculated as the 24-hour mean concentrations from 12 state-owned air quality monitoring stations throughout Beijing. For the daily ozone ( $O_3$ ) level, the 8-hour mobile mean maximum ( $O_3$ -8 h max) was calculated as the maximum average of hourly measurements over a continuous 8-hour period. To adjust for confounding factors, the daily mean temperature and relative humidity in Beijing were obtained from the China Meteorological Data Sharing Service System (http://data.cma.cn/).

# Statistical analysis

Taken the Poisson distribution of daily hospital visits into consideration, generalized additive model (GAM) using quasi-Poisson method with log link<sup>19</sup> was used as the core analysis to analyze the effects of different air pollutants on COPD hospital admissions. We incorporated smoothed spline functions of time and weather conditions and then introduced each pollutant variable. The penalized splines can capture the nonlinear relationship between daily hospital admissions and the time-varying covariates. The degrees of freedom (*df*) for the smoothing parameter were chosen by data-driven criterion generalized cross-validation (GCV). The models were adjusted for confounding variables such as seasonality, day of the week, daily mean temperature, and relative humidity. Single-day lag (from lag 0 to lag 7) and multiday lag (from lag 01 to lag 07) were both utilized to assess the effect estimates for each air pollutant. Lag 0 day corresponded to the current day pollution, and a lag of 7 days referred to the previous 7-day concentration. In multiday lag models, lag 07 corresponded to 8-day cumulative effects value of the current and previous 7 days moving average concentrations. In both the single-day (lag N, N=0, 1, ..., 7) and multiday-lag (lag 0N) models, the lag for temperature and relative humidity was the moving average values from 0 day to N day. We also explored the analyses by sex, age (20–75 years and >75 years), and season (warm and cold). The warm season was defined as extending from April to October and the cold season was from November to March.

Results in this study are expressed as percent changes with 95% CIs for daily COPD hospitalization visits with a 10  $\mu$ g/m<sup>3</sup> increase in air pollutant concentrations (1 mg/m<sup>3</sup> increase in CO). All analysis was conducted in R using "mgcv" package.

### Results

A summary of demographic information on the total population is shown in Table 1. In this study, there were 73,076 COPD hospital admissions from January 1, 2013, to February 28, 2017. Patients over 75 years old accounted for 68.4% of the total population, and 58.6% of patients were male patients.

Figure S1 outlines the scatter plots of the daily hospital admission visits and daily concentrations of  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , CO, and  $O_3$ -8 h max levels in Beijing over the 1,520 days. The daily admission peaks appeared in the winter season. Daily levels of  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , and CO seemed to have the similar distribution trend, with maximal concentrations in winter, while  $O_3$  has the maximum in summer. Table 2 further describes the data on air pollutants and meteorological conditions. The mean number of daily admissions was 48 (21). The mean daily concentrations of  $PM_{10}$ ,  $PM_{2.5}$ ,  $NO_2$ ,  $SO_2$ , and  $O_3$ -8 h max were 104.6 (75.9), 82.5 (69.9),

Table I Demographic characteristics of COPD hospital admissions from January 1, 2013, to February 28, 2017, in Beijing, China

	N	%	
Total	73,076		
Age (years)			
≤75	23,089	31.6	
>75	49,987	68.4	
Sex			
Male	42,820	58.6	
Female	30,256	41.4	

52.8 (24.8), 18.0 (20.6), and 93.2 (64.3)  $\mu$ g/m<sup>3</sup>, respectively. For CO, the mean daily level was 1.3 (1.0) mg/m<sup>3</sup>. As shown in Table 3, close positive correlations were found between PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, and CO, especially for PM<sub>2.5</sub> and PM<sub>10</sub> (*r*=0.90, *P*<0.01). O<sub>3</sub>-8 h max was negatively associated with other pollutants (*r*=-0.10 to -0.42).

Figure 1 shows the percentage changes in admission visits by a single-day model. Significant effects on hospitalization were observed for SO<sub>2</sub>, NO<sub>2</sub>, CO, PM<sub>2.5</sub>, and PM<sub>10</sub> but not for O<sub>3</sub>. Generally, gaseous pollutants (NO<sub>2</sub> and SO<sub>2</sub>) were associated with higher risks of hospital admission than particulate pollutants (PM<sub>2.5</sub> and PM<sub>10</sub>). In the single-day lag analysis, per 10 µg/m<sup>3</sup> increments of the PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, and SO<sub>2</sub> levels corresponded to 0.49% (95% CI: 0.23%-0.76%, P<0.001), 0.52% (95% CI: 0.30%-0.73%, P<0.001), 2.25% (95% CI:1.55%-2.96%, P<0.001), and 1.79% (95% CI: 0.85%-2.74%, P<0.001) increases in COPD hospital visits at lag 0, respectively (Figure 1). For the CO concentration, a rise of 1 mg/m<sup>3</sup> increased the hospital visits by 4.99% (95% CI: 3.07%-6.95%, P<0.001) at lag 0 (Figure 1).

The cumulative lag effects of  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , and CO were stronger in multiday models than in single-day lag models.  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ , and CO had maximum cumulative lag effects at lag 06, lag 07, lag 06, and lag 06, with a percent increase of 0.82% (95% CI: 0.38%–1.26%, P<0.001), 0.92% (95% CI: 0.55%–1.30%, P<0.001), 3.03% (95% CI: 1.82%–4.26%, P<0.001), and 5.99% (95% CI: 2.74%–9.34%, P<0.001), respectively (Figure 2). In contrast, the largest cumulative effect [2.07% (95% CI: 1.00%–3.15%, P<0.001)] for SO<sub>2</sub> was at lag 01, which was obviously shorter than other pollutants (Figure 2).

We further explored the subgroup analysis by age, sex, and season in the multiday lag model (Figure 3, Figures S2 and S3) and single-day lag model (see Table S1 in the supplementary materials). Significant higher effects were found in the warm season compared with the cold season for  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ , and  $O_3$  (Figure 3). Statistically significant differences were not found in the comparison for age and sex subgroup analyses (Figures S2 and S3). Patients aged over 75 years had slightly larger cumulative risks when exposed to  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , and CO than the younger group. In terms of sex, males had slightly stronger effects than females for the increments of  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ , and CO (Figure S3).

#### Discussion

Our study assessed the short-term effects of air pollutants on hospital admissions for COPD patients residing in Beijing,

	$\textbf{Mean} \pm \textbf{SD}$	Minimum	25th percentile	50th percentile	75th percentile	Maximum	IQR
Admission visits (n)	48±21	7	27	51	63	132	36
PM <sub>10</sub> (μg/m <sup>3</sup> )	104.6±75.9	7.0	49.0	87.0	136.3	506.0	87.3
$PM_{2.5} (\mu g/m^3)$	82.5±69.9	5.0	33.0	63.0	110.0	480.0	77.0
NO <sub>2</sub> (μg/m <sup>3</sup> )	52.8±24.8	8.0	35.0	46.0	65.0	157.0	30.0
SO <sub>2</sub> (μg/m <sup>3</sup> )	18.0±20.6	2.0	5.0	10.0	23.0	148.0	18.0
CO (μg/m <sup>3</sup> )	1.3±1.0	0.2	0.7	1.0	1.6	8.0	0.9
O <sub>3</sub> -8 h max (μg/m³)	93.2±64.3	2.0	44.8	77.0	133.0	311.0	88.3
Temperature (°C)	13.2±11.2	-14.3	2.3	14.4	23.7	32.6	21.4
Relative humidity (%)	53.0±20.0	8	37	53	69	99	32

**Table 2** Daily summary of the COPD hospital admissions, pollutant levels, and meteorological data from January 1, 2013, to February 28, 2017, in Beijing, China

**Abbreviations:** CO, carbon monoxide; IQR, interquartile range; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; O<sub>3</sub>-8 h max, 8-hour mobile mean maximum; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>2</sub>, sulfur dioxide.

China, from January 1, 2013, to February 28, 2017. We found that increments of ambient  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ ,  $SO_2$ , and CO concentrations had adverse effects on hospitalization visits at different lag days and magnitudes, with larger risk effects in warm seasons. For  $PM_{2.5}$ ,  $PM_{10}$ ,  $NO_2$ , and CO, the risks were slightly larger in males and the elderly. This study provides updated evidence to establish the associations between ambient air pollution and COPD in China.

In recent decades, air pollution has caused considerable public concern in China, especially in the North China Plain. As was shown in our results,  $PM_{2.5}$  and  $PM_{10}$  were the major pollutants from 2013 to 2017 in Beijing. Daily concentrations of  $PM_{2.5}$  (82.5 µg/m<sup>3</sup>) exceeded the Chinese ambient air quality standards (35 µg/m<sup>3</sup>) and were far higher than those reported in the USA and Europe.<sup>20</sup> On extreme days, the daily concentrations of  $PM_{2.5}$  and  $PM_{10}$  were observed to be as high as 480 and 506 µg/m<sup>3</sup>, respectively. The daily SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub> levels in our study were comparable with data from less-polluted areas in China.<sup>8,21</sup> However, only a few studies reported the effects of air pollution on COPD hospital admissions under present ambient environment, especially in high-polluted Beijing. Therefore, we aimed to update the associations by covering longer periods. GAM, which enabled adjustment for trends, seasonality, and weather variables, were most widely applied in time series analysis to explore the air pollution effects on admission and mortality counts.<sup>22</sup>

Some previous studies only analyzed particulate matter or gaseous pollutants, due to the absence of full-scale and real-time air monitoring data in China.<sup>21,23</sup> In this time series analysis, we analyzed six pollutants and extended the cumulative lag effects to 8 days. We found that the excess changes in COPD hospitalizations correlated with per 10 µg/m<sup>3</sup> increments in PM25 and PM10 were 0.82% (lag 06) and 0.92% (lag 07), respectively, which were relatively below the estimates from the Western epidemiological studies<sup>24-27</sup> and from Hong Kong.<sup>8,28</sup> For instance, a study conducted in Hong Kong<sup>8</sup> revealed that per 10 µg/m<sup>3</sup> increase in ambient  $PM_{25}$  and  $PM_{10}$  levels corresponded to a 3.1% and 2.4% increase in COPD admissions, which was similar to the estimates from a meta-analysis mainly based on North American and European studies.<sup>13</sup> However, Tian et al<sup>23</sup> analyzed the associations between PM25 exposure and COPD hospital admissions from 2010 to 2012 in Beijing and found that the per 10  $\mu$ g/m<sup>3</sup> increase in PM<sub>2.5</sub> was associated with a 0.65% (lag 0) increment, which approximated to our risk estimates. A possible reason for the low percentage change in our study

Table 3 Spearman correlation	on coefficients between	different air pollutants
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	PM,0	PM <sub>2.5</sub>	NO <sub>2</sub>	SO <sub>2</sub>	со	O <sub>3</sub> -8 h max
PM <sub>10</sub>	1	0.90**	0.75**	0.61**	0.75**	-0.10**
PM <sub>2.5</sub>		1	0.74**	0.57**	0.87**	-0.15**
NO <sub>2</sub>			1	0.69**	0.80**	-0.42**
SO <sub>2</sub>				1	0.69**	-0.38**
co					1	-0.42**
O <sub>3</sub> -8 h max						1

Note: \*\*P<0.01.

**Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; O<sub>3</sub>-8 h max, 8-hour mobile mean maximum; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>3</sub>, sulfur dioxide.



Figure I Percentage changes with 95% CIs in COPD hospitalization visits with per 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> (**A**), PM<sub>10</sub> (**B**), NO<sub>2</sub> (**C**), SO<sub>2</sub> (**D**), CO (**E**), and O<sub>3</sub> (**F**) levels by single-day lag model.

Notes: For CO, percentage change in the relative risks corresponded to each 1 mg/m<sup>3</sup> increment of CO levels. \*P<0.05; \*\*P<0.01.

**Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>3</sub>, sulfur dioxide.

might be the nonlinear exposure–response relationships, which exhibited an apparent plateauing trend at high levels of pollutants.<sup>18,29</sup> Moreover, different pollutant components and meteorological factors, as well as an enhanced awareness of

personal protection in high-polluted areas, might contribute to the disparities in different cities.

In addition to the particulate matter, gaseous pollutants (NO<sub>2</sub>, SO<sub>2</sub>, and CO) were also associated with increased





**Notes:** For CO, percentage change in the relative risks corresponded to per I mg/m<sup>3</sup> increase of CO levels. \*P<0.05; \*\*P<0.01. **Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>3</sub>, sulfur dioxide.



Figure 3 Percentages (%) with 95% CIs in multiday lag model for COPD hospital visits with per 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> (**A**), PM<sub>10</sub> (**B**), NO<sub>2</sub> (**C**), SO<sub>2</sub> (**D**), CO (**E**) and O<sub>3</sub> (**F**) levels in the warm season (in red color) and cold season (in blue color).

Notes: For CO, percentage change in the relative risks corresponded to per I mg/m<sup>3</sup> increase of CO levels. \*\*P<0.01; \*P<0.05.

**Abbreviations:** CO, carbon monoxide;  $NO_2$ , nitrogen dioxide;  $O_3$ , ozone;  $PM_{25}$ , particulate matter  $\leq 2.5 \ \mu$ m in aerodynamic diameter;  $PM_{10}$ , particulate matter  $\leq 10 \ \mu$ m in aerodynamic diameter;  $SO_2$ , sulfur dioxide.

hospitalization among COPD patients. Lag effects in our analysis were largest for NO<sub>2</sub> followed by SO<sub>2</sub>, PM<sub>10</sub>, and PM<sub>25</sub>, which was basically in line with the effect trends in other studies.<sup>21,30</sup> For NO<sub>2</sub> and CO, the cumulative lag days were at lag 06 in contrast to lag 01 for SO<sub>2</sub>. Differences in cumulative lag days and effect estimates may be attributable to the physicochemical properties of the pollutants and the biological mechanisms involved. In existent researches, exposures to particulate matters and NO<sub>2</sub> were observed to induce airway hyperresponsiveness, inflammatory responses, and dysfunction of macrophages and epithelial cells,<sup>31–34</sup> which in turn worsened the pre-existing respiratory inflammation in COPD patients.35 These processes needed time to develop, with cumulative effects generated subsequently. However, SO<sub>2</sub>, which is known to be a strong irritant and a water-soluble gas, can exert immediate effects on the respiratory tract at early contact.36 Taken together, the variations and mechanisms underlying the associations between air pollutants and COPD still need to be confirmed through additional experimental and epidemiological studies.

The subgroup analysis in our study suggested that elderly COPD patients were likely susceptible to the effects of air pollutants. Previous epidemiological studies also supported that elderly COPD patients were more sensitive to the increased  $PM_{2.5}$  levels.<sup>21,23,37</sup> Males had slightly higher risks of hospitalization when exposed to particulate matter, NO<sub>2</sub>, and CO in our analysis, which was not significant. Existent results

on gender difference in air pollution epidemiology were not uniform.<sup>21,38</sup> The plausible factors influencing gender difference are very broad, which may result in inconsistency. Sex-linked biological differences, hormonal status, occupational exposures, smoking behavior, residential exposures, and even different response to stressors may contribute to the distinction.<sup>39</sup> When comparing the risk estimates between warm and cold season, we found stronger risk effects in warm seasons. The season difference was consistent with results in mostly previous studies.<sup>21,23,40</sup> In recent years, the public had enhanced the protection awareness when exposed to severe haze days in Beijing. During warm seasons, most people spend more time outdoors and windows are often kept open for ventilations. While during high-polluted days in winter, patients may reduce the outdoor activity time, wear masks, and use the air purifiers in residence or workplace. So, direct exposure to air pollution and adverse effects in summer may be incurred.

This study had certain limitations. First, personal exposure in our study was replaced by the mean levels of ambient air pollution in Beijing city derived from air quality monitoring stations. The proxy method resulted in a bias of exposure evaluations and might have diminished the risk effects. However, up to now, researchers usually had no better choice but to utilize the outdoor fixed-site monitoring data to represent the ambient exposures, especially in large-scale epidemiology studies. Therefore, improving the exposure evaluation in epidemiological studies would be challengeable and critical. Second, other confounders, such as smoking, occupation, time spent outdoors, and socioeconomic status, were not included due to no access to these data. For another, GAM is a flexible method and no uniform criterion was used to set the *df*. The backfitting algorithm was a common method to estimate the smooth components in GAM model. The disadvantage of backfitting algorithm is that it is difficult to integrate with the estimation of the degree of smoothness of the model terms. So that, the *df* must be specified by the users in practice. To overcome this drawback, we used GCV to set the *df*, which is a data-driven automatic smoothing parameter selection method. Despite the higher computation complexity, GCV can help to select an optimized *df*.

#### Conclusion

Our study provided strong and updated evidence to prove that short-term exposure to  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO was associated with increased risk of COPD hospitalization in high-polluted settings, with larger effects in warm seasons. Compared with particulate matters, gaseous pollutants had larger effects on COPD hospitalizations. Males and elderly patients seemed to have slightly higher risks when exposed to ambient air pollution. These findings have crucial public health significance for the improvement of air pollution and protection of susceptible population in China, which can potentially reduce the COPD-related health burden.

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## **Author contributions**

Each author had full access to the data and took responsibility for the integrity of the data and the accuracy of the data analysis. All authors approved the final submitted manuscript. K-FX and CL conceived and designed the study. NG, JJ, YY, and SW collected and prepared the data. NG and JJ conducted the final analysis and drafted the manuscript. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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Figure SI (Continued)



Figure \$1 Scatter plots of daily hospital admission visits and daily concentrations of PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, CO, and O<sub>3</sub> levels in Beijing, China, from January 1, 2013, to February 28, 2017.

**Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>3</sub>, sulfur dioxide.



Figure S2 Percentages (%) with 95% CIs in multiday lag model for COPD hospital visits with per 10  $\mu$ g/m<sup>3</sup> increase in PM<sub>2.5</sub> (**A**), PM<sub>10</sub> (**B**), NO<sub>2</sub> (**C**), SO<sub>2</sub> (**D**), CO (**E**) and O<sub>3</sub> (**F**) levels in patients aged over 75 years (in red color) and aged <75 years (in blue color).

Notes: For CO, percentage change in the relative risks corresponded to per 1 mg/m<sup>3</sup> increase of CO levels. \*\*P<0.01; \*P<0.05.

**Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>3</sub>, sulfur dioxide.



Figure \$3 Percentages (%) with 95% CIs in multiday lag model for COPD hospital visits with per 10 µg/m<sup>3</sup> increase in PM<sub>25</sub> (**A**), PM<sub>10</sub> (**B**), NO<sub>2</sub> (**C**), SO<sub>2</sub> (**D**), CO (**E**) and O<sub>3</sub> (**F**) levels in female (in red color) and male (in blue color) patients.

Notes: For CO, percentage change in the relative risks corresponded to per I mg/m<sup>3</sup> increase of CO levels. \*\*P<0.01; \*P<0.05.

**Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>25</sub>, particulate matter  $\leq$ 2.5 µm in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10 µm in aerodynamic diameter; SO<sub>2</sub>, sulfur dioxide.

	PM <sub>2.5</sub>	PM,10	NO <sub>2</sub>	SO <sub>2</sub>	СО
Age					
$\leq$ 75 years					
Lag 0	0.32 (-0.02, 0.67)	0.42 (0.14, 0.70)**	2.11 (1.15, 3.09)**	1.37 (0.10, 2.65)**	4.21 (1.70, 6.79)**
Lag I	0.16 (-0.19, 0.50)	0.23 (-0.05, 0.51)	1.96 (0.99, 2.94)**	0.83 (-0.34, 2.02)	2.44 (-0.03, 4.97)
Lag 2	0.10 (-0.23, 0.43)	0.11 (-0.17, 0.39)	0.97 (0.02, 1.92)**	-0.20 (-1.38, 0.99)	1.10 (-1.28, 3.54)
Lag 3	0.10 (-0.21, 0.42)	0.09 (-0.19, 0.36)	0.41 (-0.53, 1.36)	-0.71 (-1.90, 0.50)	0.72 (-1.61, 3.11)
Lag 4	0.21 (-0.09, 0.51)	0.21 (-0.05, 0.48)	0.52 (-0.39, 1.44)	-0.20 (-1.36, 0.97)	2.08 (-0.22, 4.44)
Lag 5	0.33 (0.04, 0.62)**	0.36 (0.11, 0.62)**	0.97 (0.09, 1.86)**	-0.04 (-1.20, 1.14)	2.69 (0.50, 4.92)**
Lag 6	0.22 (-0.06, 0.50)	0.36 (0.11, 0.61)**	0.66 (-0.19, 1.52)	0.45 (-0.74, 1.65)	0.87 (-1.21, 3.00)
Lag 7	-0.01 (-0.29, 0.28)	0.12 (-0.14, 0.38)	-0.22 (-1.07, 0.64)	0.16 (-1.01, 1.34)	-1.05 (-3.12, 1.05)
>75 years					
Lag 0	0.55 (0.28, 0.83)**	0.50 (0.28, 0.73)**	2.13 (1.39, 2.88)**	1.62 (0.64, 2.61)**	4.58 (2.56, 6.64)**
Lag I	0.39 (0.12, 0.67)**	0.38 (0.15, 0.61)**	1.65 (0.85, 2.45)**	1.69 (0.71, 2.69)**	2.86 (0.87, 4.89)**
Lag 2	0.33 (0.07, 0.59)**	0.33 (0.10, 0.55)**	1.08 (0.32, 1.85)**	0.48 (-0.49, 1.42)	1.92 (0.03, 3.85)*
Lag 3	0.23 (-0.02, 0.47)	0.25 (0.04, 0.46)*	0.55 (-0.19, 1.29)	0.45 (-0.51, 1.41)	0.81 (-1.02, 2.66)
Lag 4	0.15 (-0.09, 0.39)	0.23 (0.02, 0.44)*	0.48 (-0.23, 1.20)	0.54 (-0.40, 1.49)	0.68 (-1.10, 2.49)
Lag 5	0.21 (-0.01, 0.44)	0.26 (0.06, 0.46)*	0.68 (0.00, 1.37)	0.51 (-0.43, 1.46)	1.32 (-0.38, 3.05)
Lag 6	0.29 (0.07, 0.51)**	0.35 (0.15, 0.55)**	0.52 (-0.14, 1.19)	0.71 (-0.23, 1.66)	0.82 (-0.81, 2.48)
Lag 7	0.04 (-0.18, 0.26)	0.10 (-0.01, 0.30)	-0.04 (-0.71, 0.62)	0.17 (-0.76, 1.11)	-1.07 (-2.68, 0.56)
Sex	L	1			1
Male					
Lag 0	0.56 (0.28, 0.84)**	0.56 (0.33, 0.79)**	2.54 (1.78, 3.31)**	1.83 (0.83, 2.83)**	5.33 (3.26, 7.44)**
Lag I	0.38 (0.10, 0.66)**	0.38 (0.15, 0.61)**	1.91 (1.09, 2.73)**	1.24 (0.24, 2.25)**	3.04 (1.01, 5.12)**
Lag 2	0.37 (0.10, 0.64)**	0.34 (0.11, 0.57)**	1.29 (0.51, 2.08)**	0.41 (-0.58, 1.41)	2.59 (0.62, 4.61)**
Lag 3	0.31 (0.05, 0.57)**	0.32 (0.09, 0.54)**	0.73 (-0.05, 1.51)	0.17 (-0.83, 1.18)	1.56 (-0.38, 3.55)
Lag 4	0.24 (0.00, 0.49)	0.28 (0.06, 0.49)**	0.68 (-0.07, 1.44)	0.22 (-0.76, 1.20)	1.65 (-0.25, 3.59)
Lag 5	0.24 (0.00, 0.48)	0.26 (0.04, 0.47)**	0.84 (0.12, 1.57)**	-0.03 (-1.01, 0.95)	1.65 (-0.16, 3.50)
Lag 6	0.29 (0.06, 0.52)**	0.37 (0.16, 0.58)**	0.62 (-0.09, 1.33)	0.52 (-0.47, 1.52)	1.09 (-0.63, 2.84)
Lag 7	0.12 (-0.11, 0.36)	0.21 (0.00, 0.42)	0.20 (-0.50, 0.91)	0.21 (-0.76, 1.19)	-0.27 (-1.99, 1.48)
Female	L	1	]		
Lag 0	0.45 (0.14, 0.76)**	0.49 (0.23, 0.74)**	1.83 (1.00, 2.67)**	1.82 (0.72, 2.93)**	4.41 (2.15, 6.73)**
Lag I	0.27 (-0.04, 0.58)	0.36 (0.11, 0.61)**	1.85 (0.99, 2.71)**	1.98 (0.93, 3.05)**	3.29 (1.10, 5.52)**
Lag 2	0.15 (-0.14, 0.45)	0.24 (-0.01, 0.48)	0.85 (0.00, 1.70)**	0.27 (-0.81, 1.36)	1.19 (-0.91, 3.34)
Lag 3	0.06 (-0.22, 0.35)	0.09 (-0.15, 0.34)	0.48 (-0.35, 1.31)	0.11 (-0.96, 1.20)	0.41 (-1.63, 2.49)
Lag 4	0.10 (-0.17, 0.37)	0.19 (-0.04, 0.43)	0.42 (-0.37, 1.23)	0.51 (-0.54, 1.57)	0.85 (-1.15, 2.89)
Lag 5	0.28 (0.02, 0.53)**	0.37 (0.15, 0.60)**	0.85 (0.09, 1.62)**	0.93 (-0.10, 1.96)	2.20 (0.30, 4.14)**
Lag 6	0.25 (0.00, 0.5)**	0.37 (0.15, 0.59)**	0.72 (-0.02, 1.47)	0.99 (-0.05, 2.04)	1.06 (-0.76, 2.92)

**Table SI** Percentage changes (%) with 95% CIs in the single-day lag risks for COPD hospital admissions with per  $10 \,\mu\text{g/m}^3$  increase<sup>a</sup> in air pollutant concentrations stratified by age, sex, and season

(Continued)

#### Table SI (Continued)

	PM <sub>2.5</sub>	PM <sub>10</sub>	NO <sub>2</sub>	SO <sub>2</sub>	со
Season	÷	÷		·	
Warm					
Lag 0	0.80 (0.39, 1.22)**	0.85 (0.50, 1.20)**	3.05 (1.77, 4.34)**	5.34 (2.67, 8.09)**	10.04 (4.43, 15.95)**
Lag I	0.44 (0.01, 0.87)**	0.50 (0.14, 0.86)**	1.34 (0.04, 2.66)**	2.09 (-0.50, 4.75)	3.40 (-2.06, 9.17)
Lag 2	0.51 (0.08, 0.95)**	0.36 (-0.02, 0.73)	0.54 (-0.80, 1.89)	-0.48 (-3.02, 2.14)	3.61 (-1.86, 9.38)
Lag 3	0.58 (0.16, 1.01)**	0.38 (0.00, 0.76)**	0.79 (-0.57, 2.17)	-0.95 (-3.51, 1.68)	1.54 (-3.67, 7.03)
Lag 4	0.42 (0.00, 0.85)**	0.39 (0.01, 0.77)**	0.53 (-0.83, 1.90)	2.79 (0.04, 5.61)**	4.39 (-0.80, 9.85)
Lag 5	0.32 (-0.08, 0.72)	0.28 (-0.09, 0.66)	0.79 (-0.58, 2.18)	2.59 (-0.20, 5.46)	4.29 (-0.65, 9.48)
Lag 6	0.23 (-0.16, 0.63)	0.33 (-0.05, 0.70)	0.80 (-0.55, 2.16)	2.98 (0.24, 5.79)**	3.33 (-1.45, 8.34)
Lag 7	-0.19 (-0.59, 0.22)	-0.01 (-0.39, 0.37)	0.12 (-1.24, 1.49)	-0.32 (-3.01, 2.44)	-2.16 (-6.79, 2.69)
Cold	<b>I</b>	L			1
Lag 0	0.22 (-0.16, 0.60)	0.27 (-0.05, 0.59)	1.77 (0.74, 2.80)**	0.89 (-0.27, 2.06)	3.41 (0.96, 5.93)**
Lag I	0.13 (-0.25, 0.51)	0.18 (-0.14, 0.50)	1.78 (0.64, 2.93)**	1.05 (-0.11, 2.22)	2.12 (-0.35, 4.65)
Lag 2	0.06 (-0.28, 0.41)	0.16 (-0.13, 0.45)	0.75 (-0.26, 1.78)	-0.07 (-1.17, 1.04)	0.53 (-1.70, 2.80)
Lag 3	-0.06 (-0.38, 0.26)	0.05 (-0.23, 0.33)	-0.07 (-1.03, 0.89)	-0.39 (-1.48, 0.71)	-0.18 (-2.29, 1.97)
Lag 4	0.05 (-0.25, 0.35)	0.13 (-0.15, 0.40)	-0.07 (-0.99, 0.86)	-0.30 (-1.37, 0.79)	-0.04 (-2.09, 2.06)
Lag 5	0.21 (-0.07, 0.49)	0.29 (0.04, 0.55)**	0.55 (-0.30, 1.41)	0.03 (-1.02, 1.09)	1.33 (-0.58, 3.28)
Lag 6	0.28 (0.01, 0.55)**	0.37 (0.13, 0.62)**	0.40 (-0.42, 1.23)	0.53 (-0.53, 1.61)	0.60 (-1.21, 2.44)
Lag 7	0.05 (-0.22, 0.33)	0.15 (-0.10, 0.40)	-0.29 (-1.10, 0.53)	0.25 (-0.79, 1.30)	-1.10 (-2.86, 0.69)

 $\textbf{Notes: } **P{<}0.01; *P{<}0.05. \ ^{a}Percentage \ change \ (\%) \ with \ 95\% \ Cls \ in the \ relative \ risks \ with \ per \ I \ mg/m^3 \ increase \ in \ CO \ levels. \ and \$ 

**Abbreviations:** CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; PM<sub>25</sub>, particulate matter  $\leq$ 2.5  $\mu$ m in aerodynamic diameter; PM<sub>10</sub>, particulate matter  $\leq$ 10  $\mu$ m in aerodynamic diameter; SO<sub>2</sub>, sulfur dioxide.

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