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Electronic properties of $(\text{Sb};\text{Bi})_2\text{Te}_3$ colloidal heterostructured nanoplates down to the single particle level

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We investigate the potential use of colloidal nanoplates of Sb_2Te_3 by conducting transport on single particle with in mind their potential use as 3D topological insulator material. We develop a synthetic procedure for the growth of plates with large lateral extension and probe their infrared optical and transport properties. These two properties are used as probe for the determination of the bulk carrier density and agree on a value in the $2\text{--}3 \times 10^{19} \text{ cm}^{-3}$ range. Such value is compatible with the metallic side of the Mott criterion which is also confirmed by the weak thermal dependence of the conductance. By investigating the transport at the single particle level we demonstrate that the hole mobility in this system is around $40 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$. For the bulk material mixing n-type Bi_2Te_3 with the p-type Sb_2Te_3 has been a successful way to control the carrier density. Here we apply this approach to the case of colloidal obtained nanoplates by growing a core-shell heterostructure of $\text{Sb}_2\text{Te}_3/\text{Bi}_2\text{Te}_3$ and demonstrates a reduction of the carrier density by a factor 2.5.

Bismuth and antimony chalcogenides (tetradymite group with formula such as $(\text{Sb};\text{Bi})_2(\text{Se};\text{Te})_3$) have attracted great interest in the past for their thermoelectric properties^{1–5}. The heavy mass of these materials leads to a large spin orbit coupling which results in an inverted band structure. Over this past decade, it is the original electronic structure of bismuth and antimony chalcogenides which has driven most of interest in these compounds. Indeed they appear as model 3D topological insulator^{6–9}, with conducting surface-states and an insulating core, as long as the material can be obtained under an intrinsic form.

Sb_2Te_3 is a 0.3 eV band gap semiconductor. This material has common antisite defects^{10–12} where Sb atoms replace Te atoms, which tends to result in a p-type doping¹³. Controlling the bulk carrier density in topological insulator compounds is a key challenge since the Fermi level of the material needs to be close to its Dirac point for electronic transport to be dominated by topologically protected surface states. Moreover, the conductance of the material is the sum of the surface and bulk contribution. Because of the narrow band gap nature of these topological insulator materials and their deviation from stoichiometry, the bulk is generally not as insulating as desired. By reducing the bulk carrier density and the associated conductance, the weight of the surface contribution in transport is expected to increase and make the surface observation more likely to occur.

The Mott criterion can be used to estimate whether the material will behave as a metal or as an insulator. Metallic behavior is expected to occur if $a_0 n^{1/3} > 0.25^{14}$, where n is the carrier density and $a_0 = \frac{h^2 \epsilon_0 \epsilon_r}{\pi m^* e^2}$ the Bohr radius with h the Planck constant, ϵ_0 the vacuum permittivity, ϵ_r the material dielectric constant, m^* the effective mass and e the proton charge. Due to large dielectric constant ($\epsilon > 50$) of Sb_2Te_3 , the Bohr radius is large which

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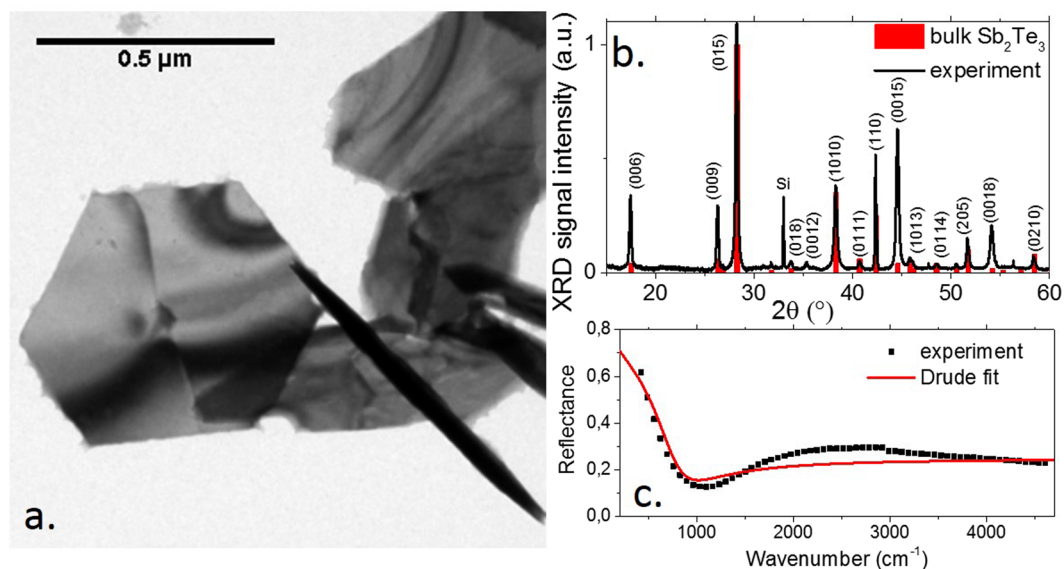


Figure 1. (a) TEM image of Sb_2Te_3 nanoplates. (b) X-ray diffraction pattern for a film of Sb_2Te_3 compared with reference. (c) Reflectance spectrum of a film of Sb_2Te_3 nanoplates and its empirical fit.

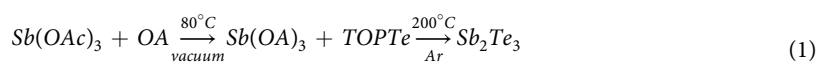
makes the Mott criterion fulfilled even for low carrier densities. Typically the threshold carrier density is estimated to be $\approx 2 \times 10^{16} \text{ cm}^{-3}$ assuming an effective mass of $0.1 m_0$ where m_0 is rest mass of an electron. As a result, Sb_2Te_3 typically behaves as a metal⁶. Alloying n-type (Bi_2Se_3 and Bi_2Te_3) and p-type (Sb_2Te_3) materials is a possible way to obtain a charge compensation and reduce the overall bulk carrier density. While this type of approaches have been extensively studied for bulk and thin film materials^{15–17} almost no work has been dedicated to colloidal synthesized materials.

The tetradymites are layered 2D materials^{18,19}. Each layer is 1 nm thick and is composed of 5 atoms (quintuplet). So far, most of the efforts towards growth of these materials have been focused on physical methods such as molecular beam epitaxy²⁰, chemical vapor deposition²¹, pulsed laser deposition and exfoliation²². Chemical solvothermal methods have been proposed^{23,24}, however all these works were driven by the investigation of the thermoelectric properties and very little work was dedicated to the understanding of the electronic and spectroscopic properties of $(\text{Sb};\text{Bi})_2\text{Te}_3$ obtained under colloidal form. In this letter, we develop a colloidal synthesis of Sb_2Te_3 nanoplates and investigate their transport properties from a thin film down to the single particle level. We demonstrate that the material is indeed *p*-type. In the last part of the paper, we focus on the control of the carrier density within these plates by growing in solution a heterostructure combining an *n*-type (Bi based) and a *p*-type (Sb based) layer. We obtain by this way a decrease of the bulk carrier density by a factor ≈ 3 . This work paves the way for the use of colloidal heterostructure as model 3D topological insulator.

Results and Discussion

Synthesis. The chemical synthesis of Sb_2Te_3 nanoplates has been investigated using solvothermal methods in aqueous^{25–28}, or polar organic solvents^{29–31}. We see two main limitations to these approaches, which are (i) the risk of oxidation of the material, and (ii) the final thickness of the nanoplate being limited to thick sheets ($> 50 \text{ nm}$ ^{26,27}). Hot injection methods in organic solvents are well established and lead to high monodispersity. Synthesis of Sb_2Te_3 nanoparticles in organic medium has also been proposed³². The latter is based on the thermal decomposition of single precursor containing antimony and tellurium at high temperature^{33–35}. In this report, we rather use a bulky antimony precursor of antimony oleate, prepared from antimony acetate in presence of excess of oleic acid at a temperature where the acetic acid can be removed under vacuum (85°C).

In a typical synthesis, the temperature is raised around 200°C under Ar and the Te precursor (triethylphosphine complexed with Te) is quickly injected in the flask. The reaction is conducted in non-coordinating solvent such as octadecene since we observe that coordinating solvent such as oleylamine leads to the formation of oxide instead of the telluride. The solution rapidly darkens, and after 1 min a grey metallic appearance is observed. The product is cleaned via addition of polar solvent and by the help of centrifugation. The particles can be stored in non-polar solvents such as hexane and toluene, but typically an immediate precipitation of the suspension is observed.



The obtained nanoplates typically present a hexagonal structure with lateral size ranging from 200 nm to 1 μm and a thickness from a few quintuplets (QL) up to 50 nm, see Figs 1a and S7. The detailed investigation of the effects of temperature, synthesis duration and stoichiometry on the final product is discussed in the SI, see Figs S1 to S5. The diffraction peaks from the XRD pattern are fully consistent with the trigonal phase ($R\bar{3}m$) of Sb_2Te_3

(00-015-0874), see Fig. 1b. Energy dispersive X-ray (EDX) analysis (Fig. S6 and Table S1) confirmed the presence of both antimony and tellurium in the final compound and showed that the material is very close to stoichiometry $\text{Sb}_2\text{Te}_{3+x}$ with $x = -0.1 \pm 0.05$, but is systematically Te deficient, consistent with previous report of this material^{10–12}. This non stoichiometry of the compound is responsible for the metallic aspect of the solution and is further confirmed by the reflectance measurement, see Fig. 1c. The IR spectrum in Fig. 1c is poorly structured which suggests that the absorption results from free electrons.

To confirm this hypothesis, we can model the reflectivity assuming a Drude model^{36,37} for the free electrons. In this case, the expression of the real (ε_1) and imaginary (ε_2) part of the dielectric constant are given by

$$\varepsilon_1(\omega) = \varepsilon_\infty - \frac{\omega_p^2}{\omega^2 + \gamma^2} \quad (2)$$

and

$$\varepsilon_2(\omega) = \frac{\gamma\omega_p^2}{\omega(\omega^2 + \gamma^2)} \quad (3)$$

where ε_∞ is the dielectric constant at high frequency, ω_p the plasmon frequency and γ the damping rate. The reflectivity signal is given for a semi-infinite medium, by

$$R(\omega) = \frac{(n-1)^2 + k^2}{(n+1)^2 + k^2} \quad (4)$$

with n and k respectively the real and imaginary part of the optical index. The latter can be related to the dielectric constant by

$$n(\omega) = \sqrt{\frac{\varepsilon_1 + \sqrt{\varepsilon_1^2 + \varepsilon_2^2}}{2}} \quad (5)$$

and

$$k(\omega) = \sqrt{\frac{\sqrt{\varepsilon_1^2 + \varepsilon_2^2} - \varepsilon_1}{2}} \quad (6)$$

We obtain a reasonable agreement with obtained experimental data, see Fig. 1c, assuming $\omega_p = 2460 \text{ cm}^{-1}$ and $1/\gamma = 14 \text{ fs}$. From the plasmon frequency, we can estimate the carrier density n from the equation

$$\hbar\omega_p = \sqrt{\frac{ne^2}{\varepsilon_\infty m^*}} \quad (7)$$

to be $n = 3.6 \times 10^{19} \text{ cm}^{-3}$ which is consistent with the realization of the Mott criterion of metallic nature.

Transport in Sb_2Te_3 nanoplates. In the following section, we investigate the transport properties of the single Sb_2Te_3 nanoplate and correlate our observations with optical method of deducing the carrier density. We first start with ensemble measurements by conducting transport on nanoplates films. The films are conductive and present an ohmic behavior at room temperature, see Fig. 2a. The temperature dependence of the current presents a small decrease of the conductance as the temperature is reduced, see Fig. 2b. between room temperature and 77 K, the temperature dependence is nicely fitted with the Arrhenius law, with a small activation energy of $\approx 30 \text{ meV}$. This is typical behaviour of thin films made of poorly coupled metallic grains^{38,39}.

Ultimately, the goal is to make single nanoparticle devices to observe the signature of surface states. In the next step, we switch from ensemble measurement to single particle measurement. Connecting a single nanocrystal can be especially difficult^{40–42}, however the large lateral extension of the Sb_2Te_3 nanoplate makes possible the connection of a single particle using careful e-beam lithography, see Fig. 2c.

After wire-bonding of the connections to the single nanoplate, the sample is immediately cooled down to low-temperature (2.3 K). The conductance at zero drain voltage is measured with a lock-in ($I_{AC} = 10 \text{ nA}$) as function of the gate voltage, Fig. 2d. We observe a p-type behavior with a rise of the conductance as holes ($V_{GS} < 0$) are injected in the nanoplate. The conductance as a function of gate voltage show reproducible fluctuations which are not simply due to electrical noise. These fluctuations are most likely related to Coulomb blockade or possibly universal conductance fluctuations^{41,42}. Indeed, while regular Coulomb peaks as a function of gate voltage are usually observed in nano-sized devices weakly coupled to the electrodes, however, when the device is more strongly coupled to electrodes as in the measurement presented in this paper, the Coulomb peaks become fainter oscillations. Furthermore, because the conductance in a nanoplatelet is not averaged on a macroscopic number of disorder configurations, the conductance fluctuates with the gate voltage because of the changing electrostatic potential responsible for electron scattering.

From the curve, we can also extract the hole mobility thanks to the relation

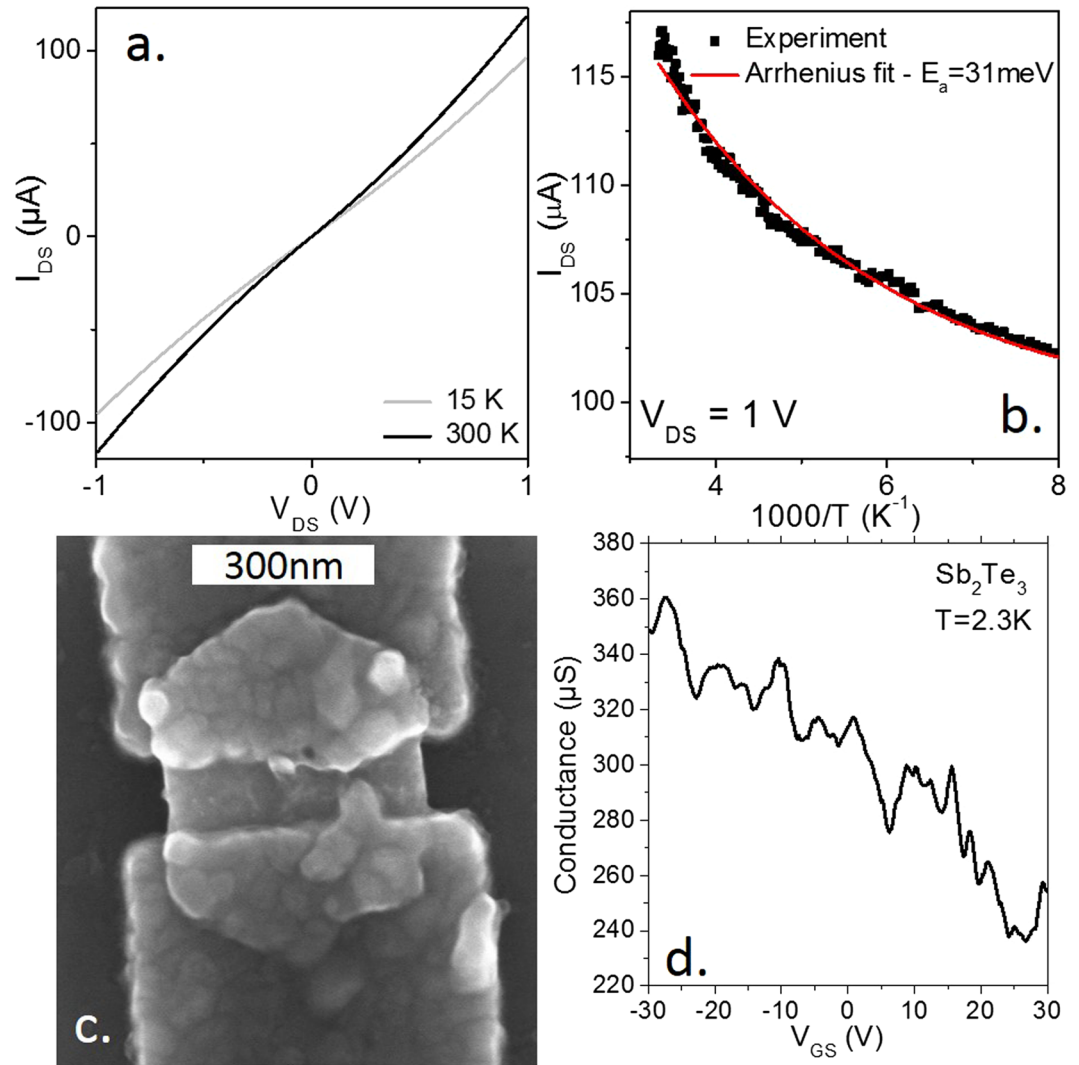


Figure 2. (a) Current as a function of applied bias for a thin film of Sb_2Te_3 nanoplates. The measurement is made in vacuum. (b) Current as a function of temperature for a thin film of Sb_2Te_3 nanoplates. (c) SEM image of a single Sb_2Te_3 nanoplate connected to two Al electrodes. (d) Transfer curve (conductance as a function of gate bias) for a single Sb_2Te_3 nanoplate.

$$\mu = \frac{L}{WC_{\Sigma}V_{DS}} \frac{\partial i}{\partial V_{GS}} \tag{8}$$

with L the inter electrode spacing (≈ 120 nm), W the width of the film (≈ 420 nm), C_{Σ} the sheet capacitance (11.5 nF.cm $^{-2}$), V_{DS} the applied bias and $\frac{\partial i}{\partial V_{GS}}$ the transconductance. We estimate the mobility in the single plane to be in the $30\text{--}50$ cm 2 V $^{-1}$ s $^{-1}$ range which is only one decade below the typical values obtained for molecular beam epitaxy (MBE) grown film⁴³.

We can then use this mobility value to estimate the transport carrier density n_{trans} . The latter relates to the conductance (G) through the relation

$$n_{trans} = \frac{L}{eWt\mu} G \tag{9}$$

where t is the nanoplate thickness (≈ 10 nm). We estimate the value to be 1.8×10^{19} cm $^{-3}$ in good agreement with our estimation based on optical measurements.

Control of carrier density. Transport and optical measurement agree over a bulk hole carrier density in the $2\text{--}3 \times 10^{19}$ cm $^{-3}$ range. We can use this value to determine the position of the Fermi level with respect to the Dirac point: $E_D - E_F$. The Fermi vector is estimated to be

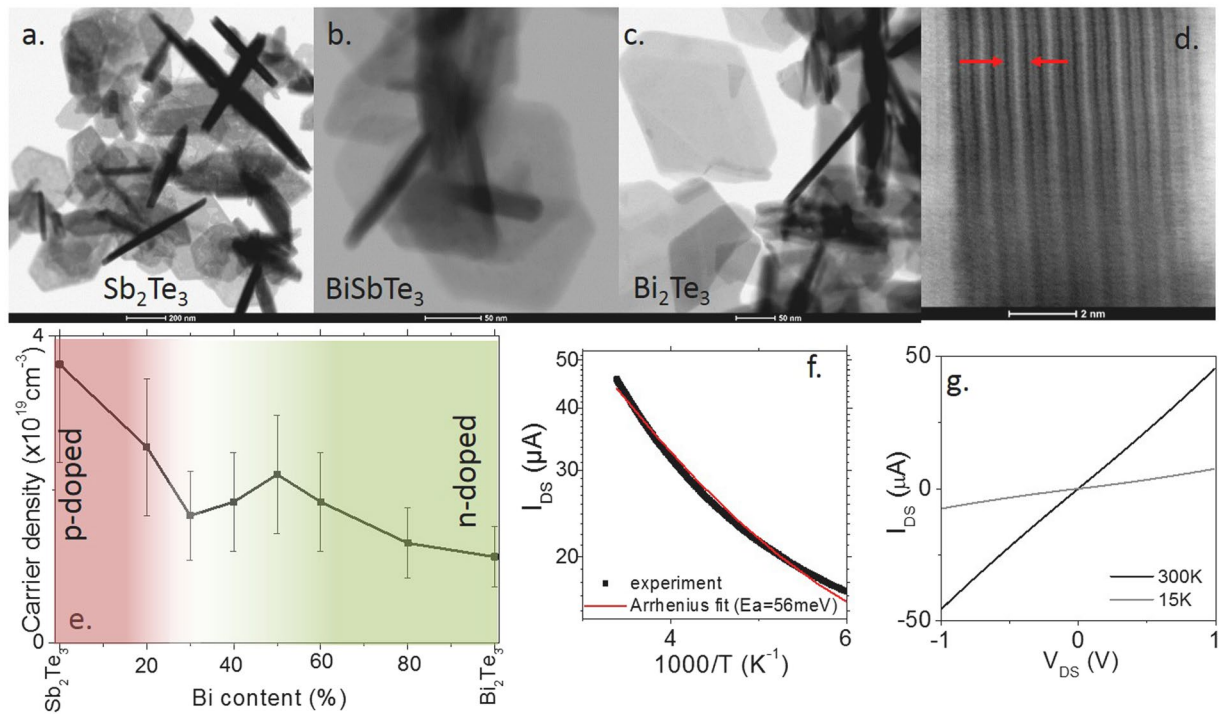


Figure 3. TEM images of (a) Sb_2Te_3 nanoplates, (b) BiSbTe_3 nanoplates, (c) Bi_2Te_3 nanoplates. (d) High resolution TEM image of a Bi_2Te_3 nanoplate lying on the edge. (e) Optical carrier density as a function of Bi content in $(\text{Sb};\text{Bi})_2\text{Te}_3$ heterostructure nanoplates. The error bars have been obtained by repeating the measurement on several samples of a given composition. (f) Current as a function of temperature for a thin film of $(\text{Sb}_{70};\text{Bi}_{30})_2\text{Te}_3$ nanoplates. (g) Current as a function of applied bias for a thin film of $(\text{Sb}_{70};\text{Bi}_{30})_2\text{Te}_3$ nanoplates. The measurements are made in vacuum.

$$k_F = (3\pi^2 n)^{1/3} = 0.9 \text{nm}^{-1} \quad (10)$$

we can thus estimate

$$E_D - E_F = \hbar v_F k_F = 295 \text{meV} \quad (11)$$

using v_F the Fermi velocity⁷ taken as $5 \times 10^5 \text{m.s}^{-1}$. To reduce this energy shift between the Fermi level and the Dirac point of this material we then investigate the mixing of the *p*-type Sb_2Te_3 nanoplate with *n*-type Bi_2Te_3 material. To do so, we conduct the same reaction as before and replace a part of the antimony oleate by bismuth oleate. The reaction leads to the formation of $(\text{Sb};\text{Bi})_2\text{Te}_3$ heterostructured nanoplates, see Fig. 3a–c. Their lateral extension is reduced as the Bi content rises. Typically, 500 nm nanoplates are obtained for Sb rich material, while nanoplates with lateral extension below 200 nm are obtained with Bi rich condition. The lamellar aspect of the material is highlighted by conducting high resolution TEM on nanoplates lying on the side, see Fig. 3d.

We synthesize a series of $(\text{Sb};\text{Bi})_2\text{Te}_3$ nanoplates with various Bi content and then use the same fitting approach as for the reflectance of the film of Sb_2Te_3 to determine for each Bi ratio the value of the plasma frequency and the associated carrier density, see Figs 3e and S11, Table S3. As the Bi content is increased, we observe that the carrier density drops and passes by minimum around 30%. Here the carrier density is reduced by a factor 2.5 compared to pure Sb_2Te_3 nanoplates. The dependence of the carrier density with the Bi content is not simply a V shape curve as it may have been expected while switching from a *p*-type to *n*-type material. This results because of combination of carrier density change and effective mass change while estimating the carrier density from the plasmon frequency. We further confirm the reduction of the metallic character by measuring the transport properties of the film, see Fig. 3f,g. $(\text{Sb};\text{Bi})_2\text{Te}_3$ nanoplates present a stronger temperature dependence with a drop of the conductance by a factor 10 between 300 K and 15 K, while the drop was only of 20% for pure Sb_2Te_3 nanoplates in the same range of temperature. The high temperature activation energy extracted from the Arrhenius fit is typically twice larger and equal to 56 meV (compared to 30 meV for Sb_2Te_3).

To unveil the exact nature of the formed $(\text{Sb};\text{Bi})_2\text{Te}_3$ nanoplates we use scanning transmission electron microscopy coupled with X-ray energy dispersive spectroscopy to combine nm scale resolution with chemistry composition, see Figs 4, S12 and S13. The $(\text{Sb};\text{Bi})_2\text{Te}_3$ nanoplates are actually not forming an homogeneous alloy, but rather from a core shell structure. The core is made of Bi_2Te_3 , while the shell is made of Sb_2Te_3 . This suggest a higher reactivity of the bismuth compared to antimony towards tellurium, and is consistent with our observation that for similar growth conditions smaller nanoplates of Bi_2Te_3 are formed. Bi is more reactive towards Te which favors the nucleation step and leads to the formation of lots of small seeds. Sb, which is less reactive than Bi will

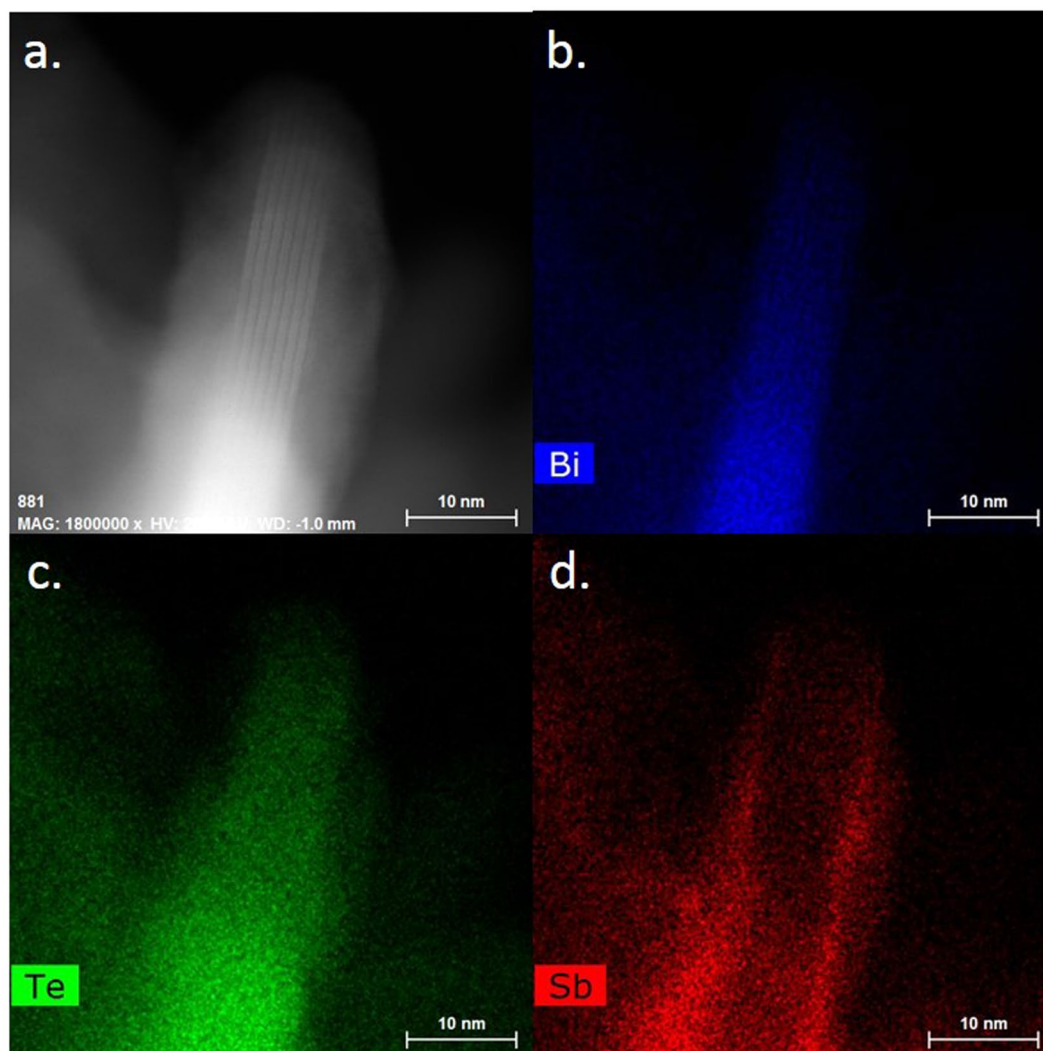


Figure 4. (a) HAADF STEM image of the (Bi,Sb)₂Te₃ nanoplate. The composition map of the Bi, Te and Sb of the same area are shown as separate images on part (b–d) respectively.

react immediately with left over Te and grow a shell on the Bi₂Te₃ nanoplate, which behave as nucleation center. The doping control which has been demonstrated here thus differs from the approach developed for bulk or thin film in this way that charge compensation occurs at the atomic scale in the heterostructure.

Conclusion

In this paper, we investigate the optical and transport properties of Sb₂Te₃ nanoplates with in mind their possible use as nanosize topological insulator material. Both reflectance and transport measurement agree on the metallic character of these objects with a carrier density in the $2\text{--}3 \times 10^{19} \text{ cm}^{-3}$ range. We then demonstrate the feasibility to conduct transport at the single particle level and determine the mobility to be between 30 and $50 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. Finally we demonstrate that building an heterostructure of (Sb;Bi)₂Te₃ with a core shell structure can be reliably used to tune the carrier density by a factor 2.5, down to the low 10^{19} cm^{-3} range.

Experimental Section

Chemicals. Antimony acetate (Sb(OAc)₃, 99.99% metal basis, Aldrich), bismuth acetate (Bi(OAc)₃, 99.999% metal basis, Aldrich), selenium powder (99.99% Strem Chemicals), tellurium powder (99.997% (trace metals basis), Alfa Aesar), Na₂S nonahydrate (99.99%, Aldrich), Oleic acid (90% technical grade, Aldrich), Trioctylphosphine (TOP 380, 98%, Cytec), octadecene (ODE, 90% technical grade, Aldrich), hexane (95% RPE-ACS, Carlo Erba), ethanol (anhydrous 99.9%, Carlo Erba), N-methyl formamide (NMFA, 99%, Alfa Aesar.)

Preparation of Sb(OA)₃. In a 100 mL three neck flask, 1 g (3.35 mmol) of Sb(OAc)₃ and 40 mL of oleic acid are loaded and put under vacuum at 85 °C for 30 min. The final solution is clear yellowish and used as a stock solution.

Preparation of Bi(OA)₃. In a 100 mL three neck flask, 0.5 g (1.3 mmol) of Bi(OAc)₃ and 20 mL of oleic acid are loaded and put under vacuum at 85 °C for 30 min. The final mixture is used as a stock solution.

Preparation of 1 M TOPTe. Trioctylphosphine complexed with tellurium is obtained by mixing 2.54 g of Te powder with 20 mL of TOP in a 50 mL three-neck flask. The solution is then degassed under vacuum for 30 min at 80 °C. The mixture is further heated under Ar at 270 °C until the powder gets fully dissolved. At this temperature the solution is orange and becomes yellow once cooled. The stock solution is kept in the glove box.

Synthesis of Sb₂Te₃. In a 25 mL three neck flask, 4 mL of the antimony oleate in ODE (0.33 mmol Sb) are diluted with 10 mL of additional ODE. The flask is degassed under vacuum at 85 °C for 30 min. Then the atmosphere is switched to Ar and the temperature is raised to 200 °C. 0.5 mL of 1 M TOPTe is quickly injected and the solution rapidly turns metallic grey. The heating is continued for 5 min before the heating mantle is removed and air flow on the outside of the flask is used to cool the solution. The nanoparticles are precipitated by addition of ethanol and centrifuged for 3 min. The clear supernatant is discarded and the pellet is redispersed in hexane. The cleaning procedure is repeated two additional times.

Synthesis of Bi₂Te₃. 4 mL of the bismuth oleate solution (0.25 mmol Bi) and 10 mL of ODE are added to a 25 mL 3 neck flask. The flask is degassed under vacuum at 85 °C for 30 min. The atmosphere is then switched to Ar and the temperature raised to 200 °C. 0.4 mL of TOPTe (1 M) are quickly injected and the solution rapidly turns metallic grey. The heating is continued for 5 min before the reaction is cooled down. The nanoparticles are precipitated by addition of ethanol and centrifuged for 3 min. The cleaning procedure is repeated two additional times.

Material characterization. Transmission electron microscopy (TEM) images were captured on JEOL 2010 and FEI Titan Themis microscopes. For X-ray diffraction (XRD), the nanoparticles were drop casted on a Si substrate from a hexane solution. Data was collected on a Philips X'Pert diffractometer equipped with Cu K_α line at 0.154 nm. Infrared spectra were measured on a Bruker Vertex 70 FTIR used in an ATR configuration with a ~700 °C global source and a DTGS detector. The spectra were averaged 32 times with a resolution of 4 cm⁻¹. Energy dispersive X-ray analysis was conducted on an Oxford probe in a FEI Magellan scanning electron microscope at 10 kV and 100 pA.

Transport measurement. For ensemble transport measurements, we prepared, using standard lithography methods, gold electrodes on Si/SiO₂ wafers (400 nm of oxide). The electrodes are interdigitated and include 25 pairs. Each electrodes is 2.5 mm long with a 20 μm spacing. Thin film of nanoplates over this interdigitated gold electrodes are subjected to ligand exchange with S²⁻ ions by dipping the film of nanoplatelets within a solution of Na₂S in N-methylformamide⁴⁴. The film is then rinsed in ethanol and dried. Measurements are made with a Keithley 2400 source-meter, using a probe station operated in air at room temperature.

For single particle measurements, the solution of Sb₂Te₃ nanoparticle is first drop casted on a wafer. On this wafer, the level of aggregation is high and prevents single particle connection. To obtain isolated single nanoplates, this film is transferred onto a Si/SiO₂ wafer (300 nm of oxide) using a PDMS stamp. The film is then dipped into a 1% Na₂S in N-methyl formamide for 45 s. Two electrodes are deposited using standard e-beam approach. Just before the metal deposition the surface is cleaned using Ar ion beam. Finally, 5 nm of titanium and 80 nm of aluminum are evaporated using an e-beam evaporator.

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Author Contributions

W.M., S.I., N.G. and E.L. synthesized the material, W.M., C.L. and B.M. prepare the electrodes and conduct the ensemble transport measurements. A.A. and H.A. performed the single particle measurements. X.-Z.X. and G.P. did the T.E.M. imaging. All authors analyses the data and E.L. write the manuscript.

Additional Information

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