

Advancements and Perspectives in Optical Biosensors

Shahriar Mostufa, Bahareh Rezaei, Stefano Ciannella, Parsa Yari, Jenifer Gómez-Pastora, Rui He, and Kai Wu*



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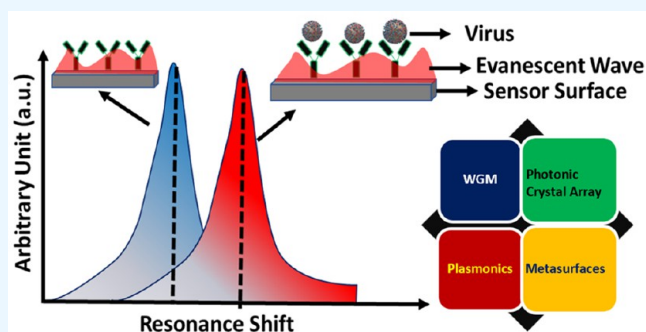
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ABSTRACT: Optical biosensors exhibit immense potential, offering extraordinary possibilities for biosensing due to their high sensitivity, reusability, and ultrafast sensing capabilities. This review provides a concise overview of optical biosensors, encompassing various platforms, operational mechanisms, and underlying physics, and it summarizes recent advancements in the field. Special attention is given to plasmonic biosensors and metasurface-based biosensors, emphasizing their significant performance in bioassays and, thus, their increasing attraction in biosensing research, positioning them as excellent candidates for lab-on-chip and point-of-care devices. For plasmonic biosensors, we emphasize surface plasmon resonance (SPR) and its subcategories, along with localized surface plasmon resonance (LSPR) devices and surface enhance Raman spectroscopy (SERS), highlighting their ability to perform diverse bioassays. Additionally, we discuss recently emerged metasurface-based biosensors. Toward the conclusion of this review, we address current challenges, opportunities, and prospects in optical biosensing. Considering the advancements and advantages presented by optical biosensors, it is foreseeable that they will become a robust and widespread platform for early disease diagnostics.



1. INTRODUCTION

Biosensors are devices that can detect and quantify the specific existence of biological substances and molecular compounds from biological samples.¹ Their fundamental part is to convert the molecular recognitions or binding events into physical signals. Among the various approaches to convert biological interactions to physical signals, optical biosensors have attracted significant attention in biosensing research due to their broad sensitivity and wide range of applications.² Besides that, optical biosensors offer significant advantages due to their low noise and immunity to electromagnetic interference.^{3,4} Optical biosensors represent an essential analytical tool for providing necessary information regarding the bioassay's concentrations, kinetics of binding, and molecular structures. They utilize numerous optical phenomena such as reflection, absorption, fluorescence, scattering transmission, and intensity to classify and quantify the target analytes for quantitative bioassays.⁵ Over the past two decades, the optical biosensors based on the analyte refractive index change, such as whispering gallery mode (WGM),^{6,7} photonic crystal waveguide cavity resonators,^{8,9} surface plasmon resonance (SPR),^{10,11} localized surface plasmon resonance (LSPR),^{12,13} optical fiber plasmonic coating,^{14,15} photonic crystal fibers (PCF),^{16,17} and most recently, metasurfaces^{18,19} emerged as potential candidates for biomedical use. The optical resonance sensors are susceptible to the surrounding medium, and any

changes in the medium caused by the binding events of ligand analytes or the concentrations of analytes can easily be detected without the requirement of complex labels/tags. Unlike magnetic sensors such as giant magnetic resistance (GMR)^{20–24} and magnetic tunnel junctions (MTJ)^{25,26} sensors, where the target biological substances are tagged with magnetic nanoparticles (MNPs),²² the optical sensors can detect the real-time refractive index change of analytes in the interaction of the dielectric interface without any labels/tags.^{1,27,28}

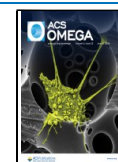
A critical aspect of identifying and controlling diseases requires highly sensitive, ultrafast, and cost-effective (reusable) diagnostic techniques. The conventional polymerase chain reaction (PCR)^{29–31} and enzyme-linked immunosorbent assays (ELISA)^{32,33} are powerful tools for detecting diseases but are time-consuming and cost-ineffective.³⁴ For instance, the ELISA method requires several reagents to interact and create a signal (e.g., incubation steps are time-consuming, and for some pathogens, it is not even realizable). On the other

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hand, while the PCR method is accurate and robust for detection, it is limited to previously known nucleic acid sequences. Consequently, optical-based biosensors have emerged as a promising alternative for biomolecule detection. The fundamental detection principle of optical biosensors relies on the evanescent wave mechanism—a wave that exponentially decays by penetrating the outer medium of the sensor surface. This mechanism is observed at the interface between the medium and the surfaces of the photonic sensors. The biomolecular interaction, represented by changes in the refractive index in bioassays, influences the properties of the evanescent wave. As the evanescent wave is exponentially decaying, it exists only at a minimal distance from the sensor surface. Thus, most of the optical biosensors rely on the induced change in the effective refractive index in the evanescent area region. As a result, optimizing the structure of optical sensors is crucial for achieving peak performance, necessitating significant effective refractive index changes.³⁵ This optimization involves careful consideration of factors such as operating wavelength, sensor structural parameters, sensor surface biofunctionalization, and the resolutions of the optical spectrum analyzer (OSA) device. Each of these elements is a prerequisite that collectively contributes to the detection sensitivity of optical biosensors. Therefore, a sophisticated design is essential to enhance the biosensor's detection capability. In optical biosensing, some essential sensor parameters are sensitivity, specificity, signal-to-noise ratio, detection limit, etc. The sensitivity is calculated based on the change of the resonance condition, such as for the wavelength-based interrogation method; the wavelength sensitivity is defined as the ratio of the resonance wavelength shifts due to the change in the concentration of bioassays.³⁶ Similarly, for the angular interrogation method, the sensitivity is calculated as the ratio of resonance angle changes with the concentration change in the bioassays.²⁸ The detection limit is the minimum amount of concentration required to detect a change in the resonance condition. Specificity is defined as the capability of the sensor to produce the response only in the presence of a specific target molecule.³⁷ The signal-to-noise ratio (SNR) is defined as the ratio of the signal power to noise power over a spectral bandwidth. The SNR considers the noise from all sources, such as thermal, electrical, optical, and even environmental.

Optical biosensors based on resonance have demonstrated tremendous advancements and are actively investigated by the research community as they offer high sensitivity down to single biomolecule interactions without labeling.^{38–42} For instance, the organophosphorus (OP) pesticide by optofluidic ring resonator⁴³ and microRNAs (miRNAs)⁴⁴ are detectable by label-free optical biosensors. Furthermore, various surface modifications, biofunctionalization, and immobilization methods are necessary for specific bioassays and the achievement of the full potential of optical sensing devices.³⁵ Various biomolecules can be used as receptors, and several types of methods exist for the biofunctionalization of the sensor surface,^{45,46} such as physical absorption,⁴⁷ covalent binding,⁴⁸ and noncovalent interactions.⁴⁹ Moreover, advancements in microfabrication and microfluidic channel technologies have facilitated the reduction in the size of optical sensors. This progress positions them as highly promising point-of-care (POC) devices for the early detection of diseases and medical diagnostics.⁵⁰ Additionally, optical sensors have all the features present to be effectively used as POC devices, such as label-free

detections, reusability, low cost and high sensitivity, and notably, multiple analyte detection (i.e., multiplexing). Likewise, the miniaturized lab-on-a-chip (LOC)^{51,52} optical biosensor devices, incorporating all functionalities from sample preparation to signal generation, are portable and user-friendly, catering to a broad user base.

This review provides a comprehensive overview of the sensing principles, recent advancements, and applications of optical resonance biosensors across various platforms, showcasing their efficacy in detecting diverse bioassays. The illustration of the optical biosensors covered in this review is showcased in Figure 1, and the application of these sensors in

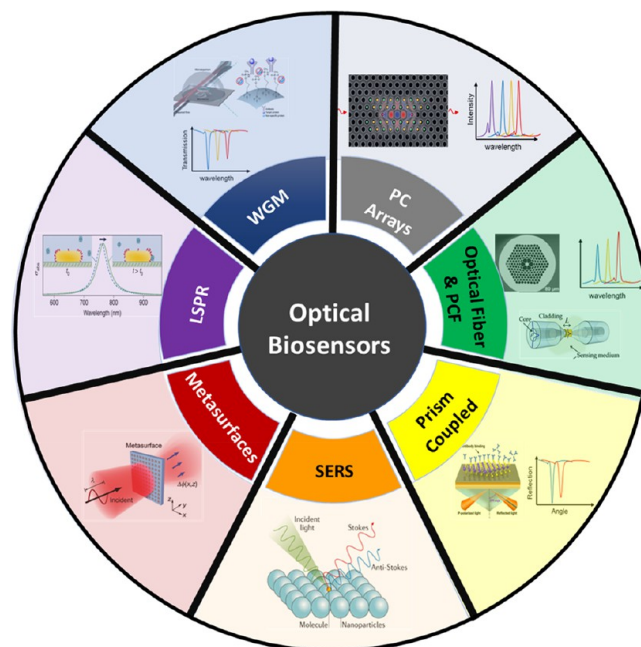


Figure 1. Schematic drawing overview of different types of optical biosensors covered in this review. Whispering gallery mode (WGM) reprinted from ref 53 under a Creative Commons Attribution 4.0 International License, 2015. 2D photonic crystal array reprinted with permission from ref 54. Copyright 2019 AIP Publishing. Prism coupled SPR angular modulation-based biosensor reprinted from ref 55 under a Creative Commons Attribution 4.0 International License, 2016. Photonic crystal fiber (PCF) based biosensing reprinted with permission from ref 56. Copyright 2020 Optical Society of America. Optical fibers with plasmonic coating biosensing reprinted from ref 57 under a Creative Commons Attribution 3.0 International License, 2011. Surface enhance Raman spectroscopy (SERS) reprinted with permission from ref 58. Copyright 2021 Springer Nature Limited. Metasurfaces based optical biosensors reprinted with permission from ref 59. Copyright 2016 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.

several bioassays and their performance are summarized in Table 1 at the end of this review. Our focus has primarily been on the different kinds of optical biosensors and how they have evolved for their use in biomedical applications. Section 2 provides an overview of WGM biosensing applications and recent developments. Section 3 discusses various photonic crystal cavities and their potential applications in biosensing. In Section 4, we reviewed prism-coupled SPR sensors, optical fibers with surface plasmonic coating sensors, photonic crystal fiber sensors, LSPR biosensors, and surface-enhanced Raman spectroscopy (SERS). Furthermore, in Section 5, we delve into

Table 1. Summary of Optical Biosensors on Numerous Platforms Reported in the Literature

| Technology Platform | Optical Structure | Materials | Modulation Technique | Bioassays analyte (Molar mass) | Detection limit | Sensitivity | Year ^[ref] | |
|----------------------------------|--|--|------------------------------------|---|---|-----------------------------------|---|---------------------|
| Whispering Gallery Mode (WGM) | Microsphere | Silica | Wavelength | Single influenza A virus (InfA) virions (5.2×10^{-16} g) | - | - | 2008 ⁶³ | |
| | Microtoroid | - | Wavelength | Single protein-protein and receptor-ligand (~15.2 kDa) | 2.5 nm (nanoparticle radius) | - | 2016 ⁴⁰ | |
| Photonic Crystal Array Waveguide | Microsphere | Au-NPs/GO _x | Wavelength | Glucose (180.15 g/mol) | - | - | 2020 ¹⁵⁶ | |
| | Microcavity | Polymer | Wavelength | Horse radish peroxidase (HRP) (~40 kDa) vascular endothelial growth factor (VEGF) (~40 kDa) | 0.3 ng/mL 17.8 fg/mL | - | 2020 ¹⁵⁷ | |
| | WGM fiber | - | Wavelength | Streptavidin (~60 kDa) | 3 nM | 0.008 nm/nM | 2022 ¹⁵⁸ | |
| | Ring microcavity | Hollow-core microbottle cavity (HC-MBC) functionalized | Wavelength | Label-free DNA | 260 aM | - | 2023 ¹⁵⁹ | |
| | Plasmon coupled micro rings | GaN/AuNPs | Wavelength | Urine pH value | 10 ⁻⁹ M | - | 2023 ¹⁶⁰ | |
| Plasmonic Resonance | Line defect-M shaped | Si | Wavelength | Simulation analysis (RI) | - | 570 nm/RIU | 2011 ¹⁶¹ | |
| | Nanocavity | SOI | Angular | Human IgG molecules | 1.5 fg | 2.3 ± 0.24 × 10 ⁵ nm/M | 2011 ¹⁶² | |
| | Nanobeam cavity | SOI | Angular | Single polystyrene | 14 nm radius (NP) | 439 nm/RIU | 2015 ¹⁶³ | |
| | Nanohole photonic crystals with plasmonics | Si with gold (Au) | Angular | Epstein-Barr nuclear antigen-1 (EBNA-1) antibody | 10 ⁻³ μg/mL | - | 2017 ¹⁶⁴ | |
| | Ring-shaped resonators | silicon rods | Angular | Cancer biomarkers | - | 308.5 nm/RIU | 2023 ¹⁶⁵ | |
| | Prism coupled | Prism/gold/borophene/antimonene/SM | Angular | - | 4.84 × 10 ⁻⁶ RIU | 206.26 deg/RIU | 2021 ⁹² | |
| | Plasmonic Resonance | Prism coupled | Au/PtSe ₂ /graphene | Angular | Hemoglobin in blood and urine glucose | - | 200 deg/RIU | 2021 ⁸⁵ |
| | | Prism coupled | TiO ₂ /Au/graphene | Angular | Cancer biomarkers | - | 292.86 deg/RIU | 2022 ²⁸ |
| | | Prism coupled | Prism/gold/ε-SnSe/gold/graphene/SM | Angular | - | - | 214 deg/RIU | 2022 ⁹³ |
| | | Prism coupled | Au/Ag/AIN | Angular | Urine glucose | - | 411 deg/RIU | 2023 ¹⁶⁶ |
| Prism coupled | | Ag/BiFeO ₃ /AgNC/BiFeO ₃ | Angular | Water pollution | 6.058 × 10 ⁻⁴ RIU | 448.1 deg/RIU | 2023 ¹⁶⁷ | |
| Prism coupled | | Au/tantalum pentoxide (Ta ₂ O ₅)/antimonene | Angular | miRNA | 76.91 fM | 2.53 × 10 ⁻⁵ deg/(fM) | 2024 ⁹⁴ | |
| Prism coupled | | Silver/gold coated | Angular | mouse IgG antibodies | 4.12 × 10 ⁻³ RIU | 356 nm/RIU | 2001 ¹⁶⁸ | |
| Prism coupled | | Gold-coated BAF10 grating | Angular | Blood glucose | - | 2600 nm/RIU | 2020 ¹⁶⁹ | |
| Prism coupled | | Gold grating/polydimethylsiloxane | Angular | Glucose and industrial solution | - | 311.97 nm/RIU | 2022 ¹⁷⁰ | |
| Prism coupled | | Ag/TiO ₂ /ZnO grating | Angular | Cancer bioassays | - | 12633.57 nm/RIU | 2023 ⁸⁶ | |
| Photonic crystal fiber | Photonic crystal fiber | Silver coated D shape | Wavelength | Biochemical | - | 21,700 nm/RIU | 2017 ¹¹⁰ | |
| | Photonic crystal fiber | D shape side polish (Au) | Wavelength | Bovine serum albumin | - | 6,328 nm/RIU | 2019 ¹⁷¹ | |
| | Photonic crystal fiber | PCF LSPR biosensor (Au nanodisks) | Wavelength | Refractive index | - | 500 nm/RIU | 2020 ¹⁷² | |
| | Photonic crystal fiber | Microchannel (Au/TiO ₂) | Wavelength | Refractive index | 6.83 × 10 ⁻¹² RIU ² / nm | 121,000 nm/RIU | 2021 ¹⁷³ | |
| | Photonic crystal fiber | MXene between Silica and Gold | Wavelength | Protein, viruses, cancer, and blood cells | 1.075 × 10 ⁻⁶ (RIU) | 13,000 nm/RIU | 2022 ¹⁷⁴ | |
| Optical fiber | Optical fiber | Ω-Shaped Au/MoS ₂ | Wavelength | <i>Salmonella typhimurium</i> C-reactive protein | 128 CFU/mL | 64,582 (a.u.)/RIU | 2018 ¹⁷⁵ | |
| | Optical fiber | Coreless Ti/Au hollow core multimodal fiber | Wavelength | <i>E. coli</i> bacteria | 94 CFU/mL | 0.6 nm/(1000 CFU/mL) | 2019 ⁹⁶ | |
| | Optical fiber | Coreless Ti/Au hollow core multimodal fiber | Wavelength | human immunoglobulin G (HlgG) DNA hybridization | 0.465 μg/mL 1 pM | 215 nm/(mg/mL) 4.04 nm/log(μM) | 2019 ⁹⁷ 2022 ¹⁷⁶ | |

Table 1. continued

| Technology Platform | Optical Structure | Materials | Modulation Technique | Bioassays analyte (Molar mass) | Detection limit | Sensitivity | Year ^[ref] |
|---------------------|-------------------|---|----------------------------|---|---|---|---|
| Metasurfaces | | GO/Gold NP/MoS ₂ NP Silanized ball resonator | | Cardiac Troponin I protein CD44 cells concentrations | 96.26 (ng/mL) 107 ag/mL (4.7 aM) | 3.4 pm/(ng/mL) - | 2022 ¹⁷⁷ 2022 ¹⁷⁸ |
| | | gold coated tilted fiber Bragg grating | | HER2 (Human Epidermal Growth Factor Receptor-2) Acetylcholine | 10 ⁻¹² g/mL 0.45 μg/mL | - 0.4912 nm/(μg/mL) | 2023 ¹⁷⁹ 2024 ¹⁸⁰ |
| | | Gold nanorods | Wavelength | Alpha fetoprotein-Glutamine transferase isozymes II Mouse IgG | 0.02524 μg/mL ~3 molecules per μm ² | - - | 2017 ¹⁵¹ 2019 ¹⁸¹ |
| | | Double split ring | - | Streptavidin–biotin binding L-proline, D-glucose, and sodium chloride, nanoparticles (liposomes) | 15 nM ~1 pg | - - | 2019 ¹⁸² 2021 ¹⁸³ |
| | | Elliptical zigzag array | - | extracellular vesicles | 204 fM | 305 nm/RIU | 2021 ¹⁸⁴ |
| | | Gradient Au nanorods array nanotrench structures | Al/Ge/Au | carcinoem- bryonic antigen (CEA) cancer biomarkers CA199 and CA125 streptavidin | 0.1 ng/mL 0.01 U/mL 0.14 μg/mL | 76.5 GHz/RIU 65 GHz/RIU 41,600 nm/RIU | 2021 ¹⁸⁵ 2022 ¹⁸⁶ 2022 ¹⁵⁰ |
| | | 2D asymmetric microarray Pattern structure Au Split-ring resonator Nanorod HMM L corner | - - - - Au/SiC | Cancer bioassays | - | 3.74 THz/RIU | 2023 ⁸⁶ 2024 ¹⁸⁷ |
| | | double-open square and ring resonator | Metal/SiO ₂ /Si | MicroRNA (miRNA) | 100 aM | 5.56 GHz/ IgC _m RNA ₂₁ | |

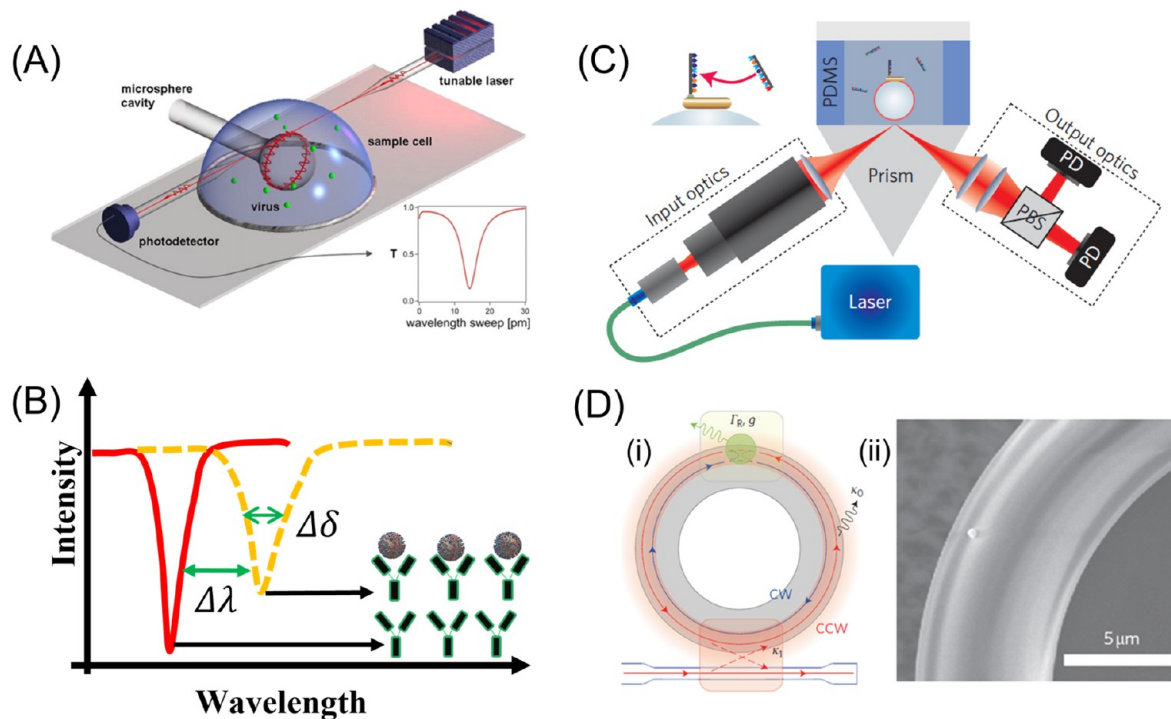


Figure 2. (A) Experimental demonstration of WGM in biosensing. (A) Reprinted with permission from ref 63. Copyright 2008 by The National Academy of Sciences of the USA. (B) Depending on the surface refractive index, the resonance wavelength shifts in the transmission spectrum curve. (C) Enhancing the performance of WGM biosensor with plasmonic nanorods for detecting a single oligonucleotide; for liquid sample, polydimethylsiloxane (PDMS), and for detecting transverse magnetic (TM) and transverse electric (TE) polarization, the photodetector (PD) polarizing beam splitter is employed. (C) Reprinted with permission from ref 66. Copyright 2014 Springer Nature Limited. (D) Deposited nanoparticle (radius 150 nm) onto the microtoroid cavity resonator surface for a mode splitting experiment (i) schematic view, (ii) scanning electrode microscope (SEM) images. (D) Reprinted with permission from ref 68. Copyright 2010 Springer Nature Limited.

the most recent developments and biosensing applications achieved through metasurfaces. Then, in Section 6, we present the current research focus, developments, and challenges of optical biosensors, highlighting the necessary advancements to transform them into effective POC devices.

2. WHISPERING GALLERY MODE (WGM) BIOSENSORS

In 1878, Lord Rayleigh unveiled the whispering gallery phenomenon at St. Paul's Cathedral, showcasing its application in acoustic waves. Subsequently, this phenomenon found resonance in the realm of optics, specifically within micro-optical WGM resonators, where light waves propagate near the resonator boundary.⁶⁰ The presence of WGM in the optical resonator is a result of total internal reflection (TIR). The greater the difference in the refractive index between the resonator cavity and its surroundings, the stronger the confinement, leading to a higher observed quality factor (Q). Nevertheless, the propagating wave inside the resonator possesses an evanescent tail that extends beyond the cavity, fostering a coupling between the WGM propagating wave and the environment, which works as a sensing probe for the surrounding medium variations.⁶¹

The optical resonance condition^{61,62} for WGM is denoted as $m\lambda = 2\pi Rn_{\text{eff}}$ for one round trip, where the integer number is denoted as m , λ is the light wavelength, R is the radius of the resonator, and n_{eff} is the effective refractive index between the resonator and the environment. Hence, any change in the physical size or the refractive index of the resonator induced by a variation of bioassays or chemical composition of the

medium would change the resonance condition in a way such as wavelength shifting, mode splitting, and the line width broadening of the initial condition. This inherent sensitivity to alterations in the external medium makes the WGM sensor an ideal candidate for biosensing applications.

Vollmer et al.⁶³ demonstrated the exquisite capability of this sensor to detect individual particles of the influenza virus, elucidating their experimental setup in Figure 2(A). When a virion binds to the microresonator surface, there is a resonance wavelength shift obtained by monitoring the output transmission spectrum. Similarly, as illustrated in Figure 2(B), when the immobilized antibodies specifically bind with the analyte on the resonator surface, the resonance shifts due to the refractive index modulation within the surrounding medium.⁶⁴ For instance, Quan et al.⁶⁵ numerically unveil the peptide thickness growth based on the change in resonance frequency of the WGM sensor. Later, in pursuit of augmenting performance and pushing the limits of detection for WGM sensors, Baaske et al.⁶⁶ utilized gold nanorods to enhance the plasmons depicted in Figure 2(C). They also presented the single oligonucleotides (single nucleic acid) detection, which was impossible to detect with only WGM sensors. The plasmonic resonance can enhance the optical signal on the resonator surface as well as the resonance shift due to the binding of an oligonucleotide. In addition, Subramanian et al.⁶⁷ employed multiple gold nanorod structures for sensing protein conformational variation.

Furthermore, Zhu et al.⁶⁸ reported the detection and sizing of individual particles by using the mode-splitting phenomenon observed in the transmission spectrum of microtoroid

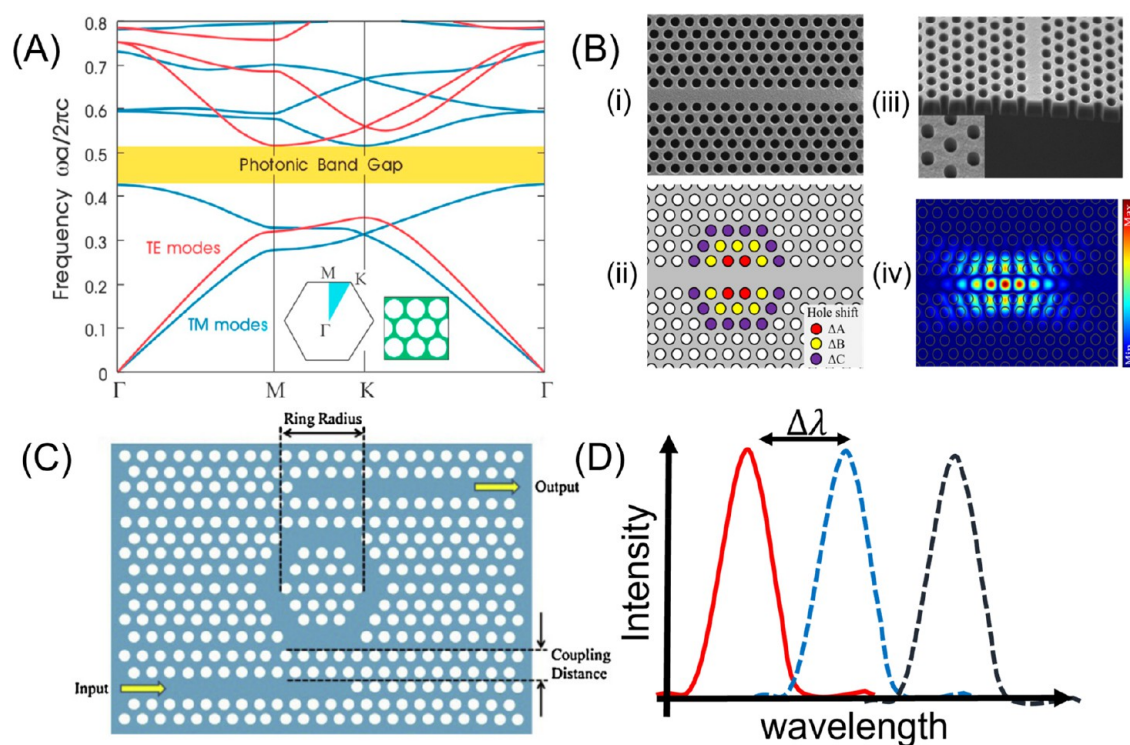


Figure 3. (A) Photonic bands representations where the TE mode is marked with red and the TM mode is marked with blue, and the complete PBG is presented in yellow. (A) Reprinted with permission from ref 82. Copyright 1997 Springer Nature Limited. (B) (i) SEM image of fabricated PhA with line defects, (ii) schematic representation of PhA with line width defects at marked red, yellow, and purple holes; (iii) SEM image of cross-section view showing air hole onto the silicon substrate, (iv) cavity resonance mode numerical results. (B) Reprinted from ref 83 under a Creative Commons Attribution 4.0 International License, 2017. (C) PhA ring cavity. (C) Reprinted with permission from ref 75. Copyright 2015 Elsevier B.V. (D) Presentations of transmission spectrum at output.

structure, as showcased in Figure 2(D). They demonstrated that, given the same frequency, two modes exist in a degenerate state: clockwise (CW) and counterclockwise (CCW). Through examining the presence of degenerate CW and CCW modes, they discovered that mode splitting is caused by the disruption of this degeneracy by nanoparticle scatterers (particles scatter onto the surface of the WGM sensor). Thus, it is also feasible to determine the particle's size using this mode splitting in the transmission spectrum.

Later, Kim et al.⁶⁹ extended this exploration on the mode splitting but in the aquatic medium for detecting the target nanoparticle in the water by optimizing the resonator's size and focusing on the quality factor. In an aquatic medium, the Q -factor undergoes substantial decreases during the transition from an air medium to a water medium, impacting the resonator due to absorption losses in water. Therefore, a high Q -factor in WGM is essential for sensing in aquatic mediums. The sensitivity and quality factor of the WGM sensor depend not only on the resonator's structure but also on material losses, absorption, and the presence of surface scatterers, all of which play a crucial role in its performance. Therefore, in recent years, significant developments have been made by combining the WGM resonators with advanced optical materials. For instance, microresonators fabricated with chalcogenide glass can achieve as high as 5×10^4 Q -factor.⁷⁰ It is because the chalcogenide glass provides a high linear refractive index (e.g., refractive index around 2–3); so, higher confinement is achieved for having high contrast and reduced radiative losses.⁷¹ Recently, Duan et al.⁷² proposed a machine learning (ML) technique that uses neural network algorithms

to forecast the outcome and harness the entire transmission signal rather than merely concentrating on monitoring a single mode of the WGM transmission spectrum.

3. 2D PHOTONIC CRYSTAL ARRAY (NANOCAVITY) BIOSENSORS

The photonic crystal structure is a dielectric periodic arrangement that can manipulate light in the optical wavelength scale. It was first proposed by Yablonovitch⁷³ and John⁷⁴ back in 1987, and since then, it has found its way to a wide range of applications in optical sensing.^{75,76} This section focuses on the 2D photonic crystal array (PhA) structure and its potential application in optical biosensing. The spatial periodic variation in the refractive index in photonic crystals can limit the transmission of electromagnetic (EM) waves or light in particular frequency bands within their structure. Periodic arrangements lead to the creation of photonic band gaps. The term photonic band gap (PBG) refers to a certain band frequency restriction, as highlighted in Figure 3(A). The PBG is similar to the electronics band gap found in semiconductor materials.⁷⁷ PBG, a distinctive feature of PhA structures compared to optical fibers and other waveguides, is rooted in the Bloch theory of solid-state physics. It modulates electromagnetic waves with corresponding wavelengths through Bragg's law. As the light cannot propagate into a certain PBG frequency, this phenomenon is used to make the PhA a waveguide structure by introducing defects and slabs.

As shown in Figure 3(B), a line defect is initially introduced by removing one line from the periodic arrangement of PhA. This allows the light wave to propagate into the structure

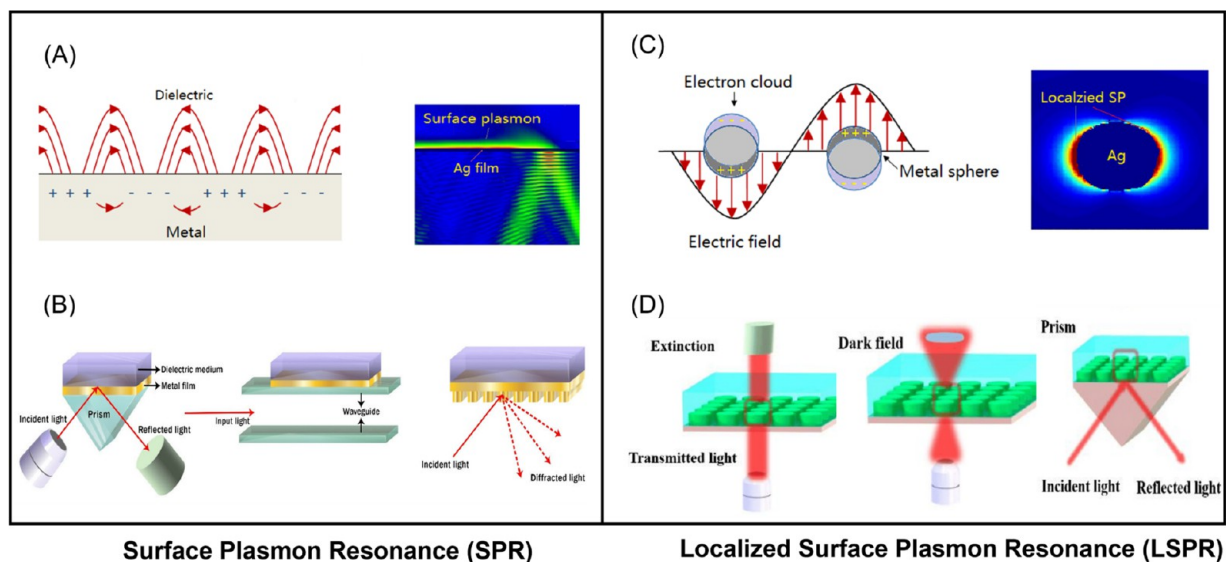


Figure 4. (A) Schematic of surface plasmon polariton (SPP) wave generation using a thin metal layer with the corresponding simulation results (right). (C) The generation of localized surface plasmon resonance (LSPR) in metallic nanostructures along with the simulation outcomes (right). (B) and (D) exhibit the different biosensing methods for exciting SPR and LSPR, respectively. (A) and (C) reprinted with permission from ref 88. Copyright 2012 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. (B) Reprinted from ref 89 under a Creative Commons Attribution 4.0 International License, 2022. (D) Reprinted from ref 84 under a Creative Commons Attribution 4.0 International License, 2021.

without loss in the periodic arrangement. The presence of the line defect enables light with a frequency within the PBG range to propagate without losses, as it cannot enter the periodic arrangement due to the band gap. Consequently, the structure becomes a waveguide through the line defect or slab line. However, for biosensing applications, the confinement of evanescent waves is essential. Therefore, an optical cavity is established within the PhA structure to meet this requirement.

As presented in Figure 3(B) (ii), the cavity is formed by altering the diameters of some air holes along the line defect slab, and Figure 3(B) (iv) illustrates the resonance mode within the cavity. Likewise, Zhang et al.⁷⁵ present a ring cavity structure in Figure 3(C). Within the cavity region, where samples are either placed or certain air holes are filled with samples, the evanescent wave interacts with the sample material (bioassay refractive index). Depending on the variations in the sample, resonance shifts are observed in the output transmission spectrum, as shown in Figure 3(D).

For instance, Lee et al.⁷⁸ demonstrated a PhA structure for detecting a total mass of 2.5 fg bovine serum albumin (BSA) binding with glutaraldehyde. They have experimentally fabricated their device on silicon on insulator (SOI) wafer and coated their sensor surface with various sizes of protein to obtain the resonance shifts, while BSA binds to glutaraldehyde. Similarly, the surface of the PhA immobilized with a specific biotarget capture for a selective biomolecular and wide range of detection was reviewed, as showcased by Inan et al.⁷⁶ Scullion et al.⁷⁹ presented the detection of a minimum concentration of 15 nM of avidin in an ultracompact-sized cavity of 2.2 μm^2 . They first diluted the egg-white lyophilized avidin powder from 100 $\mu\text{g}/\text{mL}$ to 1 ng/mL in phosphate-buffered saline (PBS) and then injected it into their PhA sensor to monitor the resonance redshifts for all the concentrations. In summary, the cavity region is sensitive to the local area of the defects, and both the cavity region and wavelength in the PhA structure can be tuned by altering the

structure.⁸⁰ Therefore, it can be used in combination with optofluidic technology for potential biomedical applications.⁸¹

4. NANOPLASMONIC BIOSENSORS

4.1. Fundamentals of Nanoplasmonics. Plasmonic refers to the oscillation of free electrons triggered by the influence of the excitation of the EM wave (lightwave). This collective oscillation of the electrons exists in the large metallic surface and the localized area of the metallic nanoparticle. For the surface plasmon resonance (SPR), also known as surface plasmon waves (SPW), the plasmonic mode is excited and propagates along the interface of a metal and the dielectric medium (i.e., the sensing medium),⁸⁴ as depicted in Figure 4(A). This phenomenon generates an evanescent field that serves as a sensitive probe for biosensing applications, reacting to changes in the surrounding medium. SPR interaction is attainable in several ways, including prism coupling,⁸⁵ grating coupling,⁸⁶ and waveguide coupling,⁸⁷ as illustrated in Figure 4(B).

On the other hand, scenarios where the phenomenon is restricted to subwavelength-sized metallic nanoparticles with specific excitation frequency are known as LSPR, shown in Figure 4(C). LSPR originates from nonpropagating conduction electron waves for the interaction between the EM field and the metal nanosized structure. Upon excitation by light waves, LSPR leads to increased absorption, scattering, and EM field enhancement near the nanoparticle or nanostructure metals.⁸⁴ Figure 4(D) exhibits several methods for exciting the LSPR: (i) extinction, (ii) dark field, and (iii) prism. LSPR-based biosensors offer faster assays as samples disperse more rapidly over nanoparticle surfaces compared to metallic thin films, benefiting from enhanced evanescent waves that are generated in LSPR near the metallic nanostructure. In the following sections, we reviewed several SPR and LSPR configurations and their potential applications in biosensing.

4.2. Multilayer Structures (Prism Coupled) Biosensors. In the multilayer angular modulation based SPR

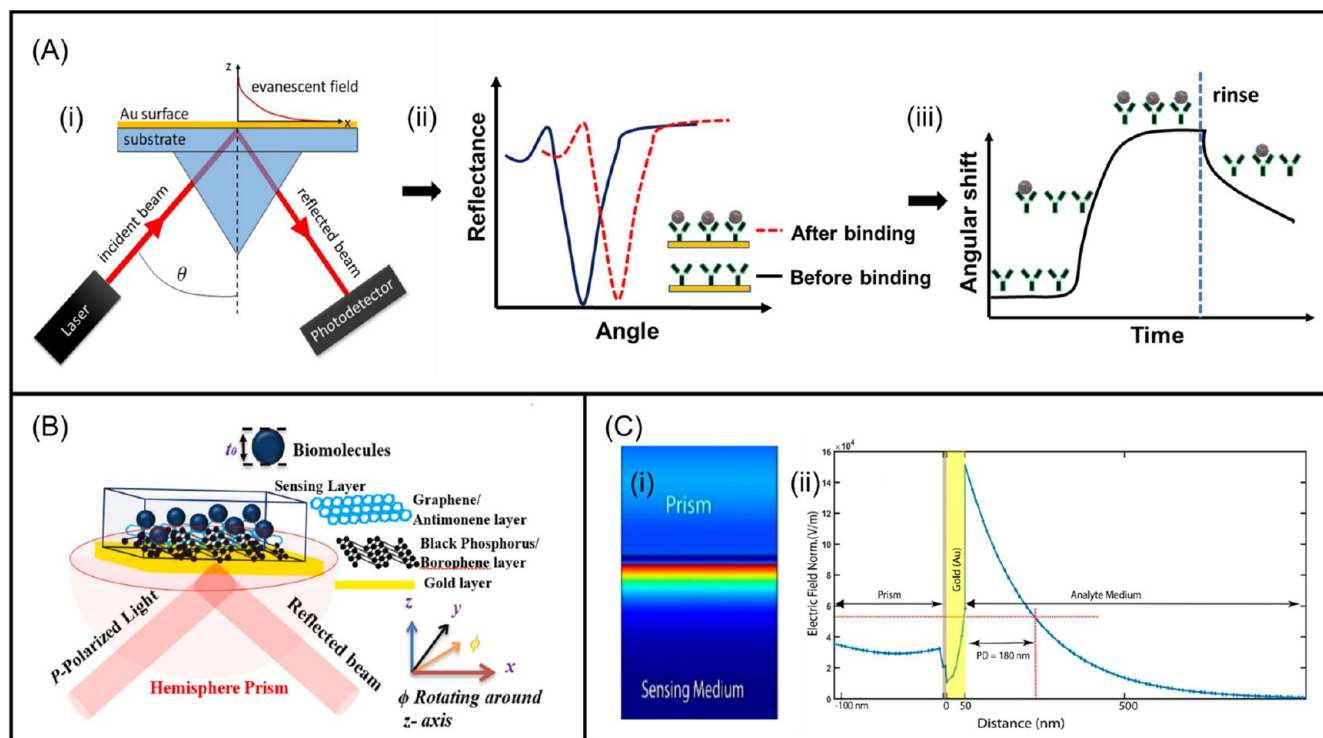


Figure 5. (A) The system architecture of a surface plasmon resonance instrument: device setup showing angle modulation technique (i), resonance angle shift due to binding interaction between the probe ligand and the analyte (ii), real-time sensorgram curve (iii). (A) Reprinted from ref 91 under a Creative Commons Attribution 4.0 International License, 2022. (B) Sensor performance enhancement using the multilayers with 2D materials along with a metallic layer. (B) Reprinted with permission from ref 92. Copyright 2021 Elsevier B.V. (C) FEM simulation results showing field enhancement in the metallic layer (i) and the penetration depth of the evanescent wave from the metal layer (right (ii)). (C) Reprinted from ref 28, under a Creative Commons Attribution 4.0 International License, 2022.

detection approach, the metallic thin film is excited with an optical light wave for generating the surface plasmon polaritons (SPP) (the SPP propagated wave is known as SPW), which propagates along the waveguide interface between metal and dielectric layer. For the propagation of SPW, the wavevector of SPP and the incident light wave must match. Depending on the arrangement of the metal and the dielectric layer, there are two types of multilayer prism-based SPR configurations, the Otto⁹⁰ and the Kretschmann⁸⁵ configurations. This section is focused on the Kretschmann configuration, a widely utilized arrangement in SPR biosensing.⁹¹

Figure 5(A) illustrates the biosensing mechanism in the Kretschmann configuration. Initially, a gold thin film is immobilized with a probe ligand, and upon excitation with an optical wave, a resonance curve is obtained. Subsequently, the analyte is introduced, leading to analyte-ligand interactions on the sensor surface. This results in a shift in the resonance angle, as shown in Figure 5(A) (ii). The real-time monitoring of this resonance angle shift, depicted in a sensorgram, provides a representation of binding interactions. The sensor detects changes in the sensing medium using the evanescent wave that extends outside the sensor surface to the sensing medium (existing several nanometers outside the metal layer), as illustrated in Figure 5(C). Additionally, enhanced field distribution has been observed from the finite element method (FEM) analysis results on the gold surface under resonance conditions.²⁸

Recent advancements have seen a resurgence in the popularity of prism-based multilayered hybridized SPR refractive index biosensors, particularly through the innovative

integration of multilayer configurations and the inclusion of novel 2D material layers. These enhancements, as highlighted in Figure 5(B), contribute significantly to enhancing sensor performance and stability.⁹² In 2021, Verma et al.⁹² proposed a sophisticated multilayered biosensor incorporating 2D materials capable of detecting DNA/RNA and other biomolecules. The enhanced sensitivity was attributed to the borophene and antimonene layers, with borophene's high electronic density facilitating chemical binding and effective charge transfer and antimonene's delocalized 5s/5p orbitals enhancing biomolecular absorptions. Additionally, Sathya et al.⁹³ introduced a bimetallic SPR biosensor featuring multilayer 2D materials, where the *e*-SnSe (tin selenide) was sandwiched between dual gold layers, followed by graphene for medical, chemical, and biological biomarker identification. Their sensor exhibited a marked increase in sensitivity, about 93.81% higher than traditional sensors without 2D materials, achieving a sensitivity of 214 deg per refractive index unit (deg/RIU). Most recently, Phan et al.⁹⁴ demonstrated the detection of miRNA in a concentration range of 0–1000 fM using an Au/tantalum pentoxide (Ta₂O₅)/antimonene layer and reported a sensitivity of 2.53×10^{-5} deg/fM.

4.3. Optical Fiber Plasmonic Biosensors. Fiber optics relies on the total internal reflection (TIR), where a crucial condition is that the refractive index of the core must exceed that of the cladding, and the incident light at the core-cladding boundary surpasses the critical angle (θ_c), following Snell's law:

$$\theta_c = \sin^{-1}(n_2/n_1) \quad (1)$$

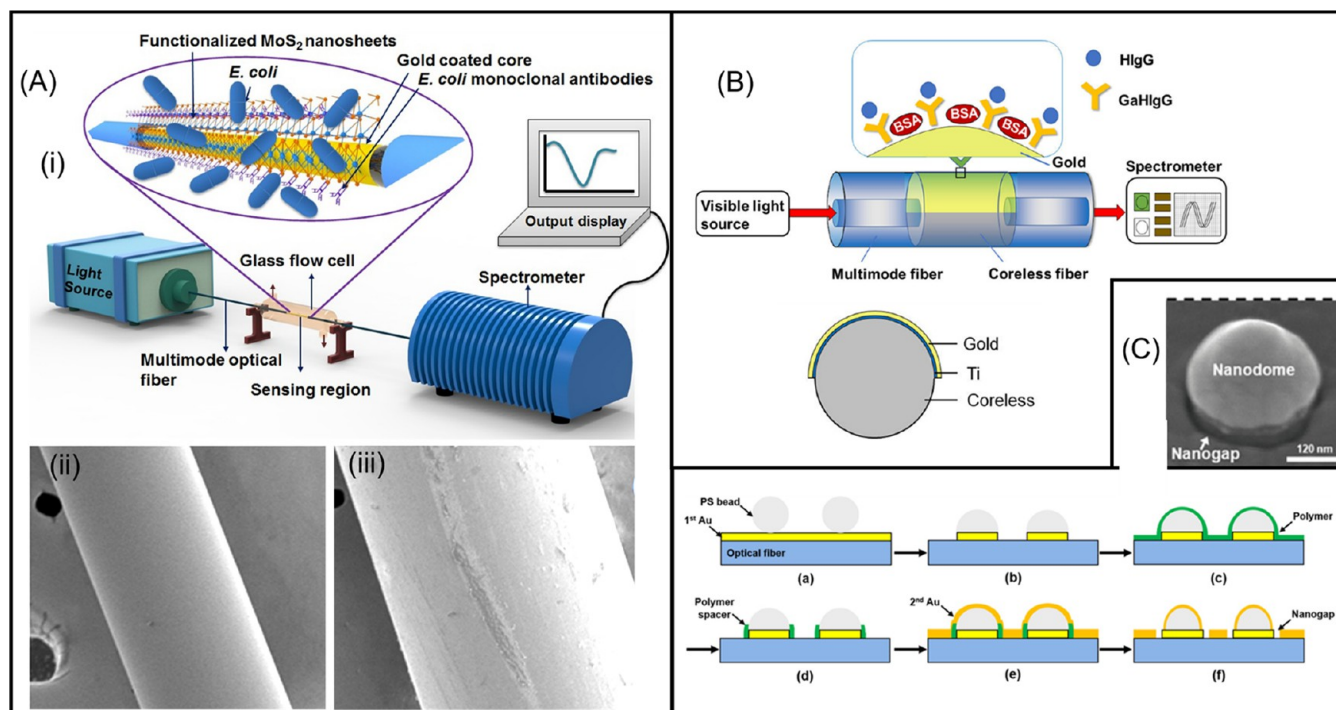


Figure 6. (A) Immunosensor for *E. coli* detection using fiber optics plasmonic sensors with biofunctionalized MoS₂ nanosheets (i). (ii) and (iii) represent only the Au and Au/MoS₂ optical fiber structure with a field emission scanning electron microscope (FESEM) image, respectively, where functionalized MoS₂ is observable by surface coarseness. (A) Reprinted with permission from ref 96. Copyright 2010 Elsevier B.V. (B) SPR-based optical fiber biosensor with immobilized GaHIgG for detecting HIgG. (B) Reprinted with permission from ref 97. Copyright 2019 IOP Publishing Ltd. (C) The SEM image and the fabrication of dome array with nanogaps (DANG) for optical fiber-based plasmonic biosensing. (C) Reprinted with permission from ref 98. Copyright 2022 American Chemical Society.

where n_1 and n_2 represent the refractive indices of the core and cladding, respectively. While propagating inside the optical fiber, the majority of the light stays inside the core, and only a few, termed evanescent waves, go outside to the cladding region. The penetration depth (d_p) of the evanescent wave can be determined as follows:⁹⁵

$$d_p = \lambda / \pi \sqrt{n_{co}^2 \sin^2 \theta - n_{cl}^2} \quad (2)$$

where the incident wavelength is λ , and the angle of incidence at the core-cladding interface is denoted by θ , while n_{co} and n_{cl} signify the refractive indices of the core and the cladding, respectively.

Therefore, for sensing purposes, it is essential to expose the evanescent wave to the metal-dielectric layer to enhance the SPP. The excitations of SPP can be achieved by modifying some parts of the cladding layer with plasmonic material, as depicted in Figure 6(A,B). Following the metal layer, the sensing medium (dielectric layer) is utilized for biosensing purposes. Under resonance conditions, the wave vector of the incident light aligns with that of SPP. Consequently, any variations in the sensing medium during resonance conditions result in changes in the resonance, enabling the detection of analytes.

Several plasmonics fiber optics-based immunosensors have recently been proposed, exhibiting extraordinary performance. For instance, Kaushik et al.⁹⁶ proposed an *Escherichia coli* (*E. coli*) rapid detection using the optical fiber sensor coated with Au/immobilized molybdenum disulfide (MoS₂) materials shown in Figure 6(A). The functionalization of this 2D nanomaterial (MoS₂), immobilized with the monoclonal antibodies, achieved a detection limit of 94 CFU/mL for

selective detection of *E. coli* bacteria. Similarly, Lang et al.⁹⁷ proposed a multimodal coreless fiber optics-based biosensor coated with Ti/Au for the selective detection of human immunoglobulin G (HIgG). They immobilized goat antihuman immunoglobulin G (GaHIgG) on the sensor surface for the specific detection of HIgG. The proposed sensor achieved a detection limit of 0.465 $\mu\text{g/mL}$ and a sensitivity of 215 nm/(mg/mL), as depicted in Figure 6(B). Most recently, Kim et al.⁹⁸ showed the nanogap dome using the Au for an optical fiber-based plasmonic sensor, illustrated in Figure 6(C), achieving the detection limit of 38 fg/mL for the antibody–antigen interactions of thyroglobulin.

4.4. Photonic Crystal Fibers (PCF) Biosensors. Over the last 20 years, photonic crystal fibers (PCFs) have garnered significant research interest due to their superior optical characteristics, which are essential in various emerging technological fields.^{87,99,100} Integrating air holes within the fiber structure broadens the spectrum of waveguide parameters, facilitating distinct guiding mechanisms. PCFs are broadly categorized into two types based on their guiding mechanisms: (i) index-guiding PCFs, which operate through modified TIR,¹⁰¹ similar to traditional fibers, and (ii) photonic bandgap fibers (PBG)-PCFs, which confine light within a low-index solid, hollow core,¹⁰² allowing only specific light wavelengths to pass through. The unique optical properties of PCFs are attributed to their porous structure, which is defined by three main elements: the PCF material, the structural array, and the functional materials (surface coating materials) used in the PCF. These factors collectively contribute to the PCFs' potential in sensor development and other device applications. Photonic crystals revealed their applications in various

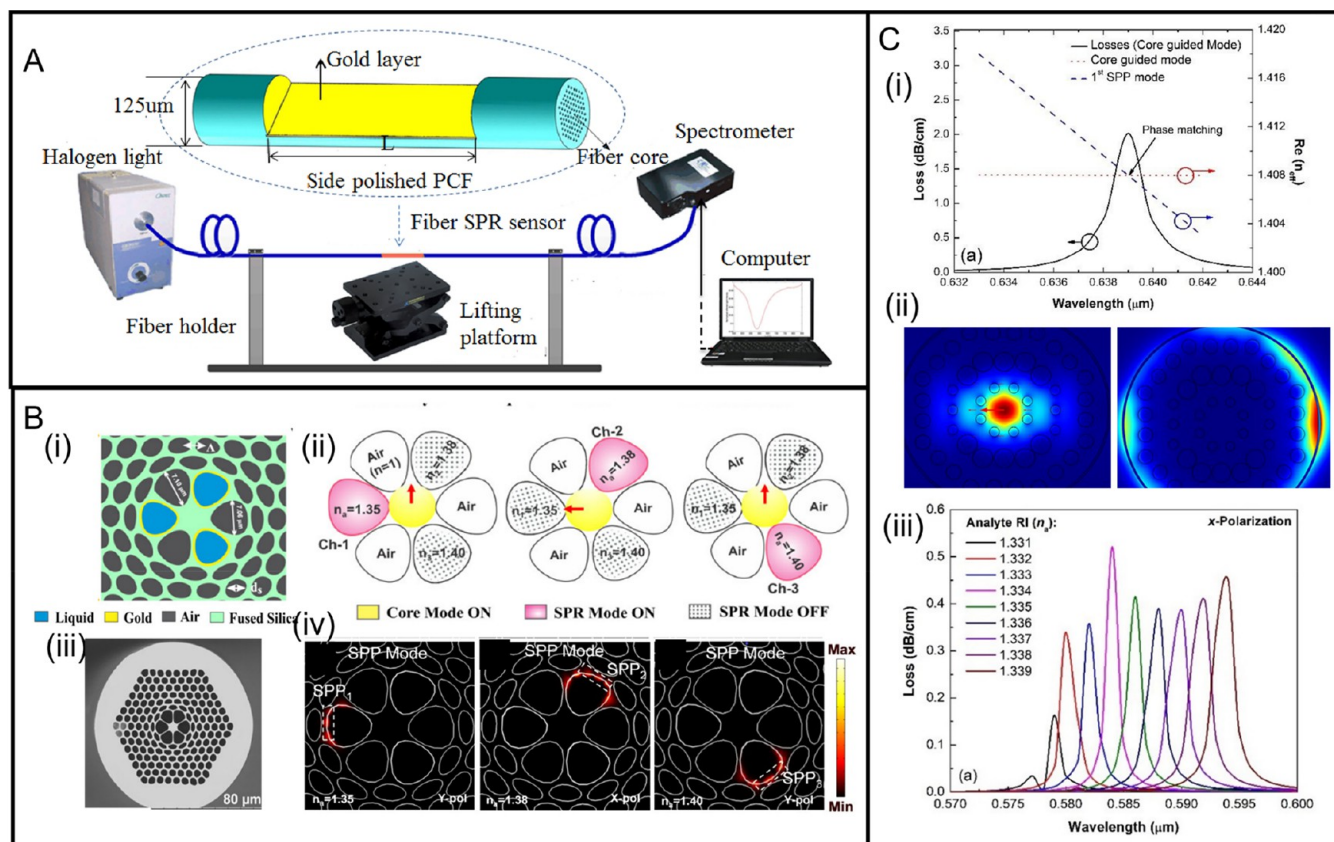


Figure 7. (A) D-shaped PCF real-time measurement system schematic setup. (A) Reprinted with permission from ref 110. Copyright 2017 Optical Society of America. (B) Multiplexed PCF: (i) schematic drawing, (ii) different channels for flowing microfluidic analyte, (iii) SEM image, and (iv) the plasmonic excitation. (B) Reprinted with permission from ref 56. Copyright 2020 Optical Society of America. (C) Graphene-based PCF (i) confinement loss (ii) FEM numerical results for core (left) and plasmonic mode (right) (iii) Alteration shifting of confinement loss (resonance peaks) as a function of the target analyte. (C) Reprinted from ref 111. Under a Creative Commons Attribution 4.0 International License, 2021.

promising areas, including tunable fiber polarization filters,¹⁰³ PCF lasers,¹⁰⁴ high-efficiency polarizers,¹⁰⁵ and PCF sensors.¹⁰⁰

The SPR-based PCFs operate on the principle of phase-matching conditions of the SPP model with the guided propagation mode. This phenomenon, depicted in Figure 7(C) (i), is commonly referred to as the resonance condition. From the FEM analysis, the core mode and the SPP mode can be observed, as shown in Figure 7(C) (ii). Similarly, the PCFs SPR is sensitive to the medium RI, and based on the medium RI or the sensing medium variation, the confinement loss shifts (the resonance conditions for SPP and guided light matching), as presented in Figure 7(C) (iii). The fundamental sensing setup, encompassing all instruments from the optical source to the OSA for the PCFs-based devices for biosensing, is shown in Figure 7(A).

There is a significant amount of literature on PCFs-based SPR biosensors reporting on bioassay detection. For instance, *Pseudomonas* bacteria detection using circular PCF was demonstrated by Jahan et al.,¹⁰⁶ who reported a 20,000 nm/RIU wavelength shift sensitivity. Similarly, Ahmed et al.¹⁰⁷ introduced a PCF design that was coated with Au and magnesium fluoride (MgF₂) and obtained a remarkable sensitivity of 27,958.49 nm/RIU. In 2022, Shakya et al.¹⁰⁸ incorporated titanium dioxide (TiO₂) in their PCF design, creating a robust bond between the metal and the analyte layer. Haider et al.⁵⁶ demonstrated grapefruit-shaped PCF for detecting multiple analytes at the same time, as shown in

Figure 7(B). Here, three channels were filled with different analytes, and SPP was excited about different sensing wavelengths, as shown in Figure 7(B) (ii, iv). Hence, the versatility of PCFs can be enhanced by modifying parameters like hole size, arrangement, spacing, and shape. Current research focuses on altering PCF shapes, aligning PCFs with standard optical fibers, and utilizing sensitization materials to augment PCF properties. Recognizing these advancements and innovations paves the way for identifying potential trends and challenges in the forthcoming years.¹⁰⁹

4.5. Localized Surface Plasmon Resonance (LSPR) Biosensors. Among plasmonic biosensors, the LSPR-based biosensors stand out as the most common example of evanescent field-based detection. Like SPR, this evanescent wave is generated by the oscillation of electrons at the interface of the metal nanostructure and dielectric layer. Notably, in conventional plasmonic sensors, the penetration distance of the evanescent wave is around 200–400 nm, as illustrated in Figure 8(A), which is larger than that of most bioassays.¹³ Consequently, conventional biosensors generate a large evanescent wave, resulting in limited interaction with light and biomolecules. This limitation has been addressed by recent advances in nanophotonic (LSPR) structures. Nanoplasmonic structures can confine light near their surfaces to dimensions down to tens of nanometers. This confinement creates a strong EM field near the structures, enhancing the performance of molecular fingerprint and bioassay sensing because of strong evanescent field excitations.¹¹²

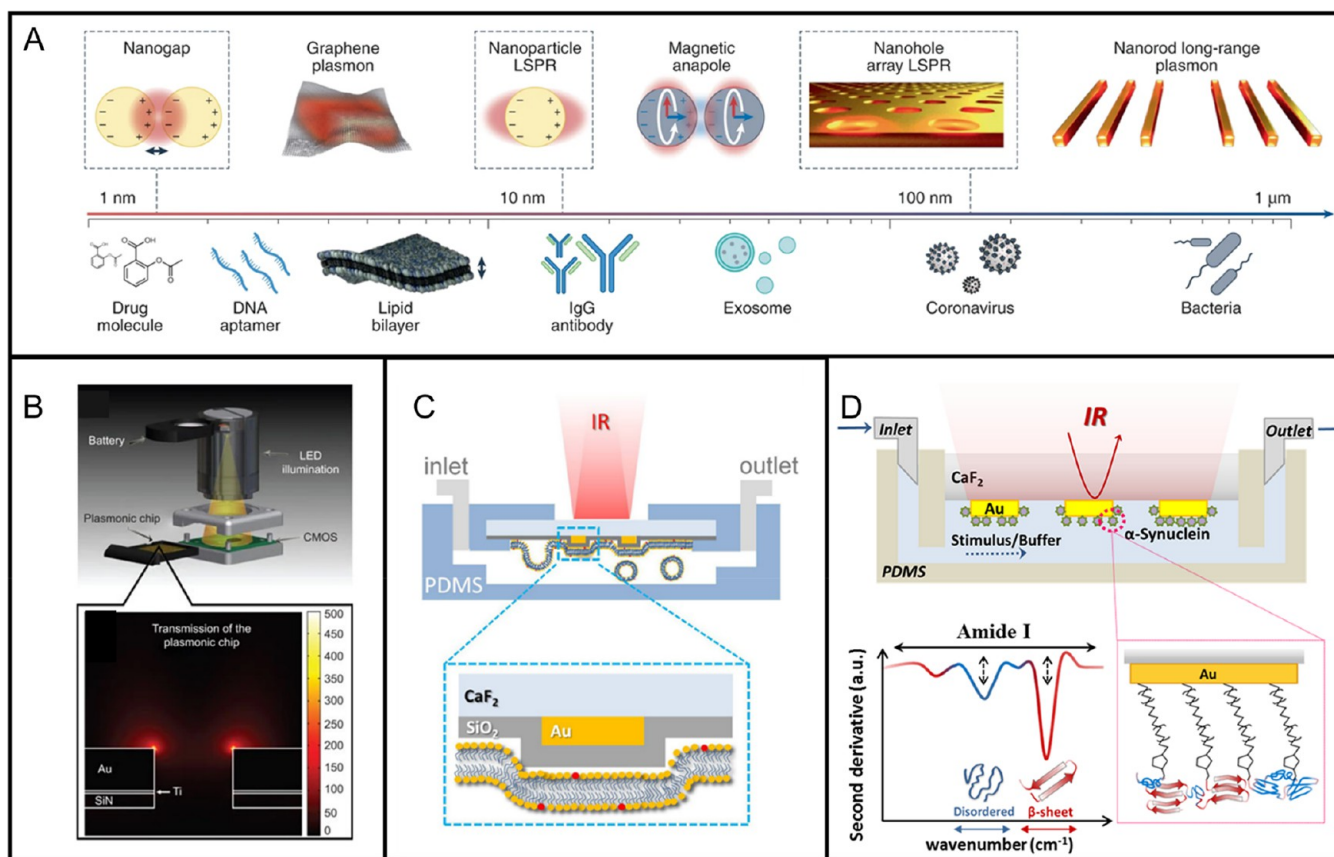


Figure 8. (A) Common bioassays concerning the evanescent wave decay length for numerous nanoplasmonic structures. (A) Reprinted with permission from ref 13. Copyright 2022, Springer Nature Limited. (B) Plasmonic microarrays for an on-chip sensing platform and field enhancement in Au nanoholes with Finite-Difference Time-Domain (FDTD) simulations. (B) Reprinted from ref 118 under the Creative Commons Attribution-NonCommercial-No Derivative Works 3.0 Unported License. (C) The experimental schematic drawing of the fluid chamber for measuring Texas Red DHPE and DOPC which is presented by red and yellow headgroups of phospholipid bioassays. (C) Reprinted with permission from ref 113. Copyright 2016 American Chemical Society. (D) The real-time measurement of the mid-IR range gold nanoantenna array immobilized with proteins (α -synuclein) with different secondary structures (top) and the spectrum exhibits the signature of amide I where the β -sheet component is marked with red color while the blue represents randomly disordered conformation (bottom). (D) Reprinted with permission from ref 114. Copyright 2018 American Chemical Society.

For instance, Limaj et al.¹¹³ presented real-time lipid membrane monitoring with an IR plasmonic sensor having high field enhancements. Their proposed biosensor can detect the formation kinetics and vibrational fingerprints of the lipid molecules in the aqueous medium, as shown in Figure 8(C). The vesicle of lipids was prepared by mixing the Texas Red DHPE (1,2-dihexadecanoyl-*sn*-glycero-3-phos-phoe-thanol-amine) and DOPC (1,2-dioleoyl-*sn*-glycero-3-phosphocholine) with chloroform. Etezadi et al.¹¹⁴ proposed an enhanced plasmonic nanoantenna and, for the first time, demonstrated the real-time observation of β -sheets due to conformational change in the α -synuclein protein monolayer under various conditions, as shown in Figure 8(D). The protein's monolayer secondary structural dynamics were observed by analyzing the fingerprint of amide I. The conformational variations dynamics of proteins were triggered by environment modulation. Similarly, Adato et al.¹¹⁵ also demonstrated the protein vibrations using a nanoantenna array. Furthermore, Yanik et al.¹¹⁶ demonstrated the Fano resonance phenomenon (the asymmetric line shape of resonance curves occurs by coupling subradiant narrow line width modes with super radiant broad line width modes) for monitoring the monolayer of the proteins. Interestingly, Hao et al.¹¹⁷ presented that by breaking the structural symmetry, the Fano resonance can be excited by

directly coupling between the higher order mode and the dipolar mode of the ring cavity and disk, respectively. Cetin et al.¹¹⁸ presented an on-chip hand-held portable plasmonic microarray with lens biosensing platforms shown in Figure 8(B). The large-scale plasmonic microarray design is integrated into a single device, which enables the same sensor array to be multiplexed and digitally imaged. Li et al.¹¹⁹ demonstrated the real-time identification of cell-secreted substances in the live cell with the plasmonic nanohole arrays.

Yanik et al.³⁴ presented the specific detection of intact viruses with plasmonic sensors by dividing the sensor surface into two parts: one functional with antibodies and one unfunctionalized serving as a reference, as showcased in Figure 9(A). The antibody-immobilized portion of the sensor captured the virus, leading to a resonance shift when the biological fluid containing the virus passed over its surface. In contrast, the reference unfunctionalized sensor did not exhibit any resonance changes in contact with the pathogen (vesicular stomatitis virus (VSV)). Additionally, they showcased the detection of a pseudotyped Ebola (PT-Ebola) by immobilizing the Ebola glycoprotein on the sensor chip.

In addition, Zijlstra et al.¹²¹ showed the real-time detection of single molecules by coating the Au nanorod with biotin receptors without any surface amplifications and observed the

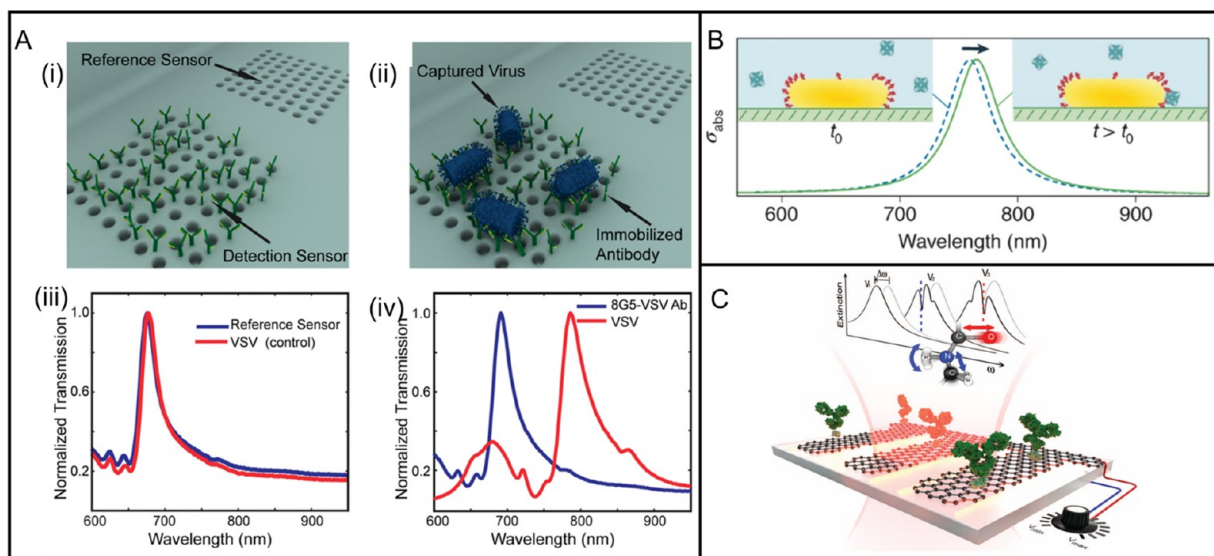


Figure 9. (A) Schematic drawing of nanoplasmonic optofluidic biosensors. (i) The detection sensor contains immobilized antibodies on the sensor surface, and the reference sensor is unfunctionalized. (ii) The antibody on the detection sensor captures the vesicular stomatitis virus (VSV). (iii) For the reference sensor, which is not functionalized, there is no shift in the resonance observed. (iv) The functionalized sensor exhibits a shift in the resonance curve, demonstrating the selective detection of VSV at 10^9 PFU/mL. (A) Reprinted with permission from ref 34. Copyright 2010 American Chemical Society. (B) Biotin functionalized gold nanorod for detecting the conjugates of streptavidin-R-phycoerythrin by real-time binding. (B) Reprinted with permission from ref 121. Copyright 2012 Springer Nature Limited. (C) Mid-IR biosensor with tunable graphene for protein sensing using the molecular vibration with resonance shifting. (C) Reprinted with permission from ref 120. Copyright 2015 American Association for the Advancement of Science.

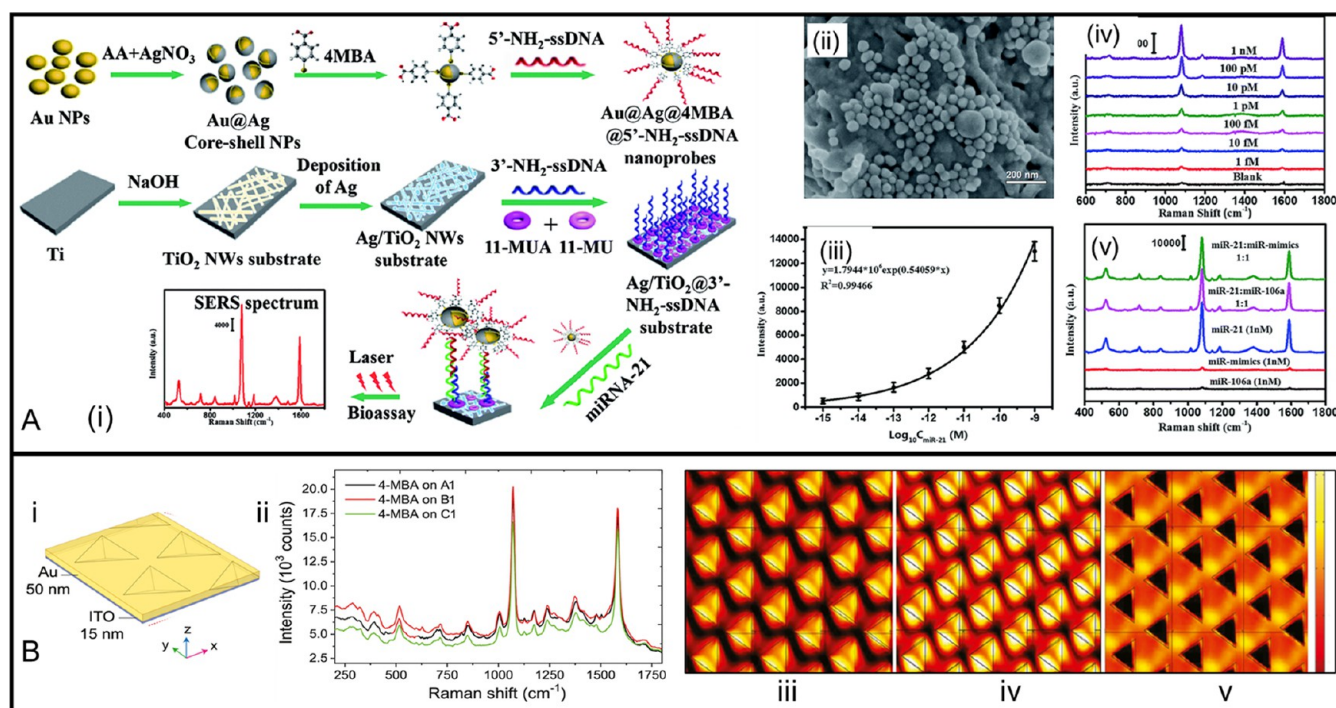


Figure 10. (A) (i) SERS schematics of sandwich bioassays where Au@Ag@4MBA-NH₂-ssDNA probes and substrate were used as the silver NPs-decorated titanium dioxide nanowire (Ag/TiO₂ NWs); (ii) SEM images of sandwich bioassays; (iii–iv) the intensity change due to the concentration of miRNA-21; (v) at the same concentration of 1 nM, the SERS spectrum of miR-mimics, miR-106a, miRNA-21, and a concentration ratio of 1:1 with their mixture solutions. (A) Reprinted from ref 125 under the Attribution-NonCommercial 3.0 Unported (CC BY-NC 3.0). (B) (i) unit cell structure of Au nanopyramid array SERS substrate; (ii) SERS spectrum for 4-mercaptobenzoic acid (4-MBA) probe on the Au nanopyramid structure for different substrate structure parameters configurations of A1, B1, and C1; and near-field enhancement maps ($|E|/E_0$) for these three different structure configurations of the nanopyramid array in (iii), (iv) and (v) respectively. (B) Reprinted with permission from ref 124. Copyright 2021 American Chemical Society.

plasmonic resonance shifts, as depicted in Figure 9(B). Rodrigo et al.¹²⁰ demonstrated the fingerprinting of protein

molecules with molecular dimensions of <10 nm and quantitative analysis using a mid-IR tunable graphene-based

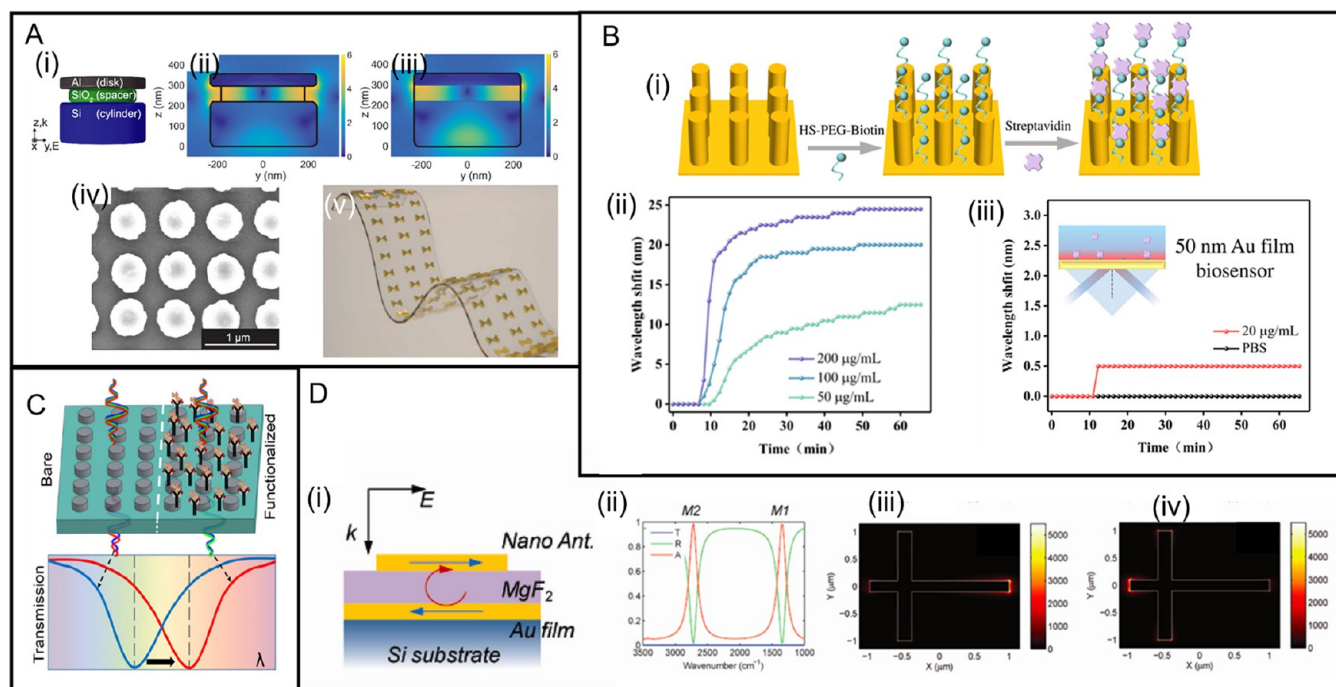


Figure 11. (A) (i) Hybrid metal Al/insulator (SiO_2) structure (ii) with and (iii) without undercut. (iv) SEM top view image. (A) (i–iv) reprinted with permission from ref 134. Copyright 2020 American Chemical Society. (v) Metamaterials printed on a flexible substrate. (A) (v) reprinted with permission from ref 154. Copyright 2011 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. (B) The diagram of the biofunctionalization process of Au nanorod hyperbolic metamaterials (NHMMs) biosensors (i) and the sensorgram is shown in (ii–iii) and in the inset showing the overall structure of the sensor setup. (B) Reprinted with permission from ref 150. Copyright 2022 Chinese Laser Press. (C) The metasurface before immobilized without the target ligand and after the ligand analyte binding showing a shift in the resonance spectrum dip. (C) Reprinted with permission from ref 37. Copyright 2022 American Chemical Society. (D) Structure of Au and insulator MgF_2 for unsymmetric cross-shape structure (i), the absorption of the dual-band shown in (ii) and the (iii–iv) electric field intensity for two resonance peaks. (D) Reprinted with permission from ref 153. Copyright 2012 American Chemical Society.

biosensor (see Figure 9(C)). The incident light wave on the graphene surface intensified the interaction with the surface proteins. The narrow resonance peak was generated by light being absorbed by protein biomolecules, and the shift occurred based on the vibrational bands of the proteins. Notably, graphene's LSPR near-field distribution in the mid-IR band exhibits a tighter field confinement than Au.

4.6. Surface Enhanced Raman Spectroscopy (SERS). Surface Enhanced Raman scattering (SERS) was first reported in 1974 on pyridine in the silver electrode.¹²² Since then, the SERS has gained much interest in research because the use of noble metals (gold, silver, and copper) can certainly enhance electromagnetic fields. Moreover, SERS has some advantages compared to fluorescent-based immunoassays in terms of stability and multiplexed detection.¹²³ Generally, two major techniques are used for SERS-based detection: one is the label-free approach, and another one is the indirect approach with Raman reported labeled. For instance, in the direct label-free detection method, the SERS signal is obtained using the metallic nanoparticles or the metallic nanoparticles as SERS substrates for specific proteins. Meanwhile, for the indirect method, the Raman-reported molecule is linked with the target protein, and a SERS signal is obtained. For example, Palermo et al.¹²⁴ demonstrated the Au pyramid nanohole array structures for enhancing the signal of SERS biosensing, depicted in Figure 10(B). They have showcased that the SERS spectrum intensity changes for the 4-mercaptobenzoic acid (4-MBA) probe depending on the structural parameters of these pyramids. Similarly, Peng et al.¹²⁵ presented SERS-based

sandwich bioassays using the Au@Ag core-shell and Ag/ TiO_2 nanowire substrate for miRNA-21 exhibiting the low limit of detection of 0.75 fM illustrated in Figure 10(A). Also, from Figure 10(A) (iii) and (iv), it can be observed that depending on the change of concentration, the intensity of the SERS changes. Furthermore, Rippl et al.¹²⁶ presented a plasmonics octupolar framework of the Au film structure where the SERS enhancement factor achieved 9×10^7 and detected the bacterial toxin. Finally, Wang et al.¹²⁷ showcased a multiplexed approach SERS for detecting the prostate-specific antigen (PSA) and α -fetoprotein (AFP) in the human serum by using the SiO_2 @Ag immune probes and gold-film hemisphere array (Au-FHA) immune substrate. Their SERS signal can detect the lowest detection concentration of 3.38 and 4.87 fg/mL for PSA and AFP, respectively.

5. METASURFACE-BASED BIOSENSORS

Metasurfaces have recently emerged as one of the most potent biosensing platforms.^{128,129} Their exceptional light-matter interaction and little optical power loss have enabled them to possess unique characteristics and be capable of exotic optical sensing properties. In optical biosensing, using metasurfaces to confine light into the nanoscale results in the generation of EM hotspots, enabling the detection of a wide range of bioassays. The foundation of metasurfaces is typically composed of dielectric insulator materials and plasmonic materials such as Au, Ag, and Al.

In plasmonic sensing techniques, the intrinsic losses of the metals cause limitations in detections, which can be overcome

with metasurfaces by using an insulator layer having a low optical loss and high refractive index.¹⁸ The resonance in the metasurfaces is mainly based on the Mie type resonance, where the resonance wavelength and the effective refractive index both depend on the geometric structure,^{130,131} and the feature size (unit cell size of metasurfaces) is much smaller compared to the incident light wavelength. This proximity gives rise to the oscillation of displacement currents, manifesting electric and magnetic resonances simultaneously, whereas, in plasmonic sensing, the magnetic fields are very weak. The near field hotspots' Mie scattering results in the engagement with the bioassays in the vicinity of the resonator. By optimizing the metasurface design for a specific application, resonators can be made sensitive to the response of the surrounding medium. Binding interactions involving the target analyte in the medium change the refractive index, which can be easily detected by measuring changes in the resonance conditions. Commercial numerical software packages such as CST Studio Suite¹³² and COMSOL Multiphysics³⁶ are mainly used to observe electric and magnetic field augmentation during resonance conditions.

Karawdeniya et al.³⁷ exhibited the improvement of a metasurface-based sensor sensitivity by surface functionalization and surface texturing, as illustrated in Figure 11(C). Although metasurfaces have shown tremendous sensitivity and versatility in many branches of optical sensing, bare metasurfaces inherently lack target specificity. Therefore, surface modification methods allow metasurfaces to selectively capture specific bioassays by enabling them to choose particular analytes. Surface modification can be achieved through covalent, noncovalent, and hybrid methods.

Bi et al.¹³³ employed magnetic control in conjunction with the metasurface biosensor to improve performance in identifying the SARS-CoV-2 spike protein. They linked the antibody (Ab) to the surface of Au nanoparticles first and then to the magnetic nanoparticles (MNPs) (Ab-Au@Fe₃O₄) to enable the magnetic controls. The researchers observed an increase in sensitivity when an external magnetic field was applied. In the absence of the field, particles exhibited Brownian movements, dispersing randomly. However, with the presence of the external magnetic field, the particles congregated at a specific spot and became controllable within the slit zone. This controlled gathering of particles in the presence of the magnetic field contributed to enhanced sensitivity in the sensing system. Similarly, Ray et al.¹³⁴ demonstrated the sensitivity improvement of 245 nm/RIU using the undercut in the insulator layer shown in Figure 11(A) (i–iv). The electric field enhancement for the undercut is clearly outside the structure, whereas for having no undercut, it is buried inside the structure's dielectric spacer layer. Thus, the electric field outside the structure enhances the interaction with the medium (e.g., bioassays present in the medium), resulting in sensitivity enhancements.

Metamaterials are getting much attention, especially when it comes to operating in the THz frequency spectrum. For instance, O'Hara et al.¹³⁵ used a terahertz time-domain spectroscopy (THz-TDS) approach for a split ring resonator (SRR) metamaterial structure and, for the first time, showcased the transmission resonance shifting effects for THz sensing applications. Similarly, on SRR-designed metamaterials, Singh et al.¹³⁶ investigated the impact of geometrical structural gap orientation, and Chiam et al.¹³⁷ reported enhancement of the sensitivity and dynamic tuning by the influence of the aspect ratio and the substrate thickness. Gu

et al.¹³⁸ also showed resonance behavior on flexible and straightforward self-aligned photolithography fabrication based on double-layered close-ring pair terahertz metamaterials. Furthermore, Tian et al.¹³⁹ presented the plasmonic hole array of superconductors to gain active control over the thermal and resonance frequency of the THz transmission. They fabricated the unit cell using the material yttrium barium copper oxide hole array on the sapphire substrate and measured the thermal response from room temperature 297 K to 51.4 K and showcased that sharp resonance can be achieved at lower temperatures by cooling the superconductor hole arrays.

Saleh et al.¹⁴⁰ designed a metamaterial asymmetric split resonator for sensing glucose levels with concentrations from 41 to 312 mg/dL, which covers hypoglycemia normal and hyperglycemia conditions with a reporting sensitivity of 438 kHz/(mg/dL). Similarly, Chen et al.¹⁴¹ showcased the detection of methanol using THz sensing by multimodal resonances in the terahertz regime by breaking the structural symmetry of dual-wire structures. Again, Xu et al.¹⁴² demonstrated a microfluidic integrated dual torus toroidal metamaterial THz structure with a sensitivity of 124.3 GHz per refractive index. Sreekanth et al.¹⁴³ presented the excitations of Brewster modes using a hyperbolic metamaterials (HMMs) structure comprising alternating TiN layers and phase change materials and achieved a sensitivity of about 1.5×10^{-4} RIU deg⁻¹. Also, the confinement of the EM radiation in the subwavelength cavity is important to achieve light-matter interactions. The radiative and the nonradiative losses define the quality factor (Q) of the confined mode at resonance. For instance, Gupta et al.¹⁴⁴ demonstrated an "I" shaped THz metamaterial design capable of confining huge EM waves in low mode volume, giving rise to a significant quality factor per effective volume. Also, Lim et al.¹⁴⁵ reviewed the Fano resonant metamaterial structures, focusing on the latest developments of high-Q. Sajeed et al.¹⁴⁶ used the THz-TDS approach for identifying 2D reduced graphene oxide (rGO) using the dipole cavities (hole array), showcasing 12 GHz/ μ m sensitivity for the porous rGO film. Usually, the change from graphene oxide (GO) to rGO is confirmed by using FTIR, Raman spectroscopy, X-ray diffraction (XRD), etc. However, they have demonstrated that the GO to rGO can also be verified experimentally and theoretically by observing the shift in the resonance frequency, which forms the fundamental basis for 2D materials detections.

Furthermore, Hendry et al.¹⁴⁷ presented the plasmonic planar chiral metamaterials generated super chiral EM field that is very sensitive to the structure of chiral supramolecules. Also, they have reported that the effective refractive index variation of chiral samples exposed to left- and right-handed superchiral fields are 10⁶ times larger than other optical polarimetry measurements, which allows the characterization of picogram quantities. Similarly, this chiral sensing approach is used further for detecting the interaction of antigen and antibody¹⁴⁸ and, most recently, the multiplexed biosensing for identifying the proteins and virions of SARS-CoV-2, Norovirus, and Zika virus.¹⁴⁹

In addition to this, Yan et al.¹⁵⁰ demonstrated nanorod hyperbolic metamaterials and functionalized them with specific streptavidin immobilization, as shown in Figure 11(B). The sensorgram shows that the wavelength shifts depending on the concentration of streptavidin. Their reported sensitivity was 41,600 nm/RIU in the NIR region, and the detection limit was

0.14 $\mu\text{g/mL}$. Geng et al.¹⁵¹ showcased the terahertz (THz) metamaterial with the microfluidic channel for liver cancer (glutamine transferase isozymes II (GGT-II) and alpha-fetoprotein (AFP)) bioassays detections using 19 and 14.2 GHz resonance wavelength shifts. Sreekanth et al.¹⁵² proposed a hyperbolic metamaterial structure using Au/Al₂O₃ insulators and demonstrated the detection limit of a molecular weight up to 244 Da via streptavidin–biotin affinity interaction. Chen et al.¹⁵³ demonstrated the dual-band perfect absorber, achieving the maximum absorbance of 98% in two bands, as demonstrated in Figure 11(D), by breaking the cross-structural symmetry. Consequently, for two resonance bands, there are two different resonances of the electric field intensity, as well depicted in Figure 11(D) (iii–iv). Aksu et al.¹⁵⁴ presented the metasurface nanostructures on the flexible substrate for the first time fabricated using nano stencil lithography (NSL), which is capable of nanopatterning on the flexible substrate as shown in Figure 11(A) (v). This flexibility of metasurfaces is interesting because the optical responses can be turned mechanically based on substrate flexibility. Since metasurface performance also depends on the design approach, artificial intelligence (AI)¹⁵⁵ can be further implemented in the designing and data processing steps to design sensors with specific optical properties.

6. DEVELOPMENTS AND FUTURE PERSPECTIVES

The progression of material science and the accuracy of numerical analysis play vital roles in the recent development of optical biosensors. Simulation software such as COMSOL Multiphysics,^{28,36} FDTD,^{188,189} and CST¹⁹⁰ provides a closer look and helps to analyze experimental results for various geometrical and material parameters optimizations of biosensing platforms. Additionally, the combination of microfluidics^{191,192} and, importantly, the most recent developments in flexible and stretchable¹⁵⁴ optical sensors have opened pathways to new functionalities and have become the most useable POC devices. The emphasis on POC devices for biosensing in healthcare and diagnostics has developed significantly in the last few decades.¹⁹³ One of the most challenging parts of developing POC devices is reducing the size without affecting the device's performance. Another one is the trade-off between the cost with respect to the detection accuracy of the POC biosensing devices. Developing a POC device that can detect molecular diagnosis with high accuracy and cost-effectiveness is difficult. Also, the accuracy of detection using the POC devices depends on the capability of handling the samples, so automated testing sample preparation is a prerequisite for working with consumers who are not experts in health care. Despite the challenges posed by the POC biosensors, several breakthroughs have been possible. For instance, the development of microfluidic technologies in fluidic engineering has given control over the flow in the micro- and nanoscale volumes.¹⁹⁴ Furthermore, wearable, flexible substrate-based POC biosensors have also gained increasing popularity. Moreover, the flexible substrate-based POC wearable devices with integrated graphene not only provide the continuous monitoring method in a noninvasive approach but also enhance the sensor sensitivity.¹⁹⁵ Finally, improved human device interfaces are becoming possible with the integration of AI for data analysis and accurate response in decision-making.

Each of the optical biosensors has its pros and cons in terms of operation and biosensing. However, compared to WGM and

PhA optical biosensors, the research and development are currently more focused on plasmonics⁹⁵ and metasurface-based biosensing as they showcase high sensitivity and versatility. The flexibility and multifunctional materials have fueled recent research on the innovation of optical biosensors. Also, researchers are using the hybridization of the biosensors with various platforms to enhance their performance. Recently, emerging materials such as graphene,^{196–198} transition metal dichalcogenide (TMDC),^{199,200} and hybrid (graphene-TMDC)²⁰¹ have found their way into substantially developed ultrasensitive plasmonic biosensing compared to conventional bare metallic substrates. The reason behind the performance improvement with graphene and TMDC is that they contribute more electrons to transfer on the sensing surface to enhance the resonance oscillation that contributes to the efficiency of the sensor.

The emerging field of metasurfaces holds promise for LOC platforms and has garnered increased attention from optical biosensing research communities due to its versatility and high sensitivity. Nevertheless, several challenges persist,¹⁸ including the absence of universal methods for large-scale metasurfaces fabrication, which currently relies on various laser direct write and nanoimprinting methods. Although metasurfaces report high sensitivities, their overall performance has not yet reached the levels achieved by plasmonic sensors. Furthermore, integrating plasmonic into systems containing microfluidics, complementary metal-oxide-semiconductor (CMOS) chips, and LOC devices is more mature, while dielectric metasurfaces are still in their infancy.

7. CONCLUSIVE REMARKS

This comprehensive review outlines various optical resonance biosensors, including WGM, PhA nanocavity, SPR, LSPR, and metasurfaces. Our discussion encompasses their structural design, sensing principles, the physics underlying their mechanisms, applications, current research progress, and their role in detecting diverse biomaterials. We initiated our review with the WGM microcavity, where the primary research focus centers on enhancing the quality factor to augment interactions with light and biomolecules. Subsequently, we delved into the PhA nanocavity, operating on PBG, and examined the development of nanocavities tailored to specific analyte sensing. After that, detailed insights into SPR and LSPR were provided, highlighting recent achievements in these sensing schemes as well as highlighted on SERS. We then shifted our focus to emerging metasurfaces, elucidating how they overcome the limitations of plasmonics and addressing associated challenges of their own. Additionally, we compiled a summary and tabulated the detailing of recent developments, minimum detection capabilities, and other characteristics of the bioassays for various optical biosensors, spanning from past to most recent developments in Table 1.

While these biosensors exhibit unique sensing mechanisms, further exploration is needed. In recent years, breakthroughs in different technological fields, such as microfabrication, electronics, physics, chemistry, biotechnology, and materials, have significantly advanced the fundamental understanding of optical biosensing. The gaps in multidimensional research focusing on specific applications are diminishing, which significantly accelerates biosensing research. Furthermore, early disease diagnosis is crucial for preventing widespread outbreaks, as evidenced by the recent COVID-19 pandemic. Plasmonics and metasurfaces-based biosensors are prevalent in

drug and vaccine research facilities. However, efforts are underway to make these biosensors more robust and reusable and integrate them into LOC systems to become mainstream in disease detection. Researchers are actively working on miniaturizing and compacting these optical biosensors into POC devices. One important point to note is that while maximizing sensitivity is a primary goal in developing biosensors, it is equally critical to balance cost-effectiveness, reusability, portability, and flexibility. This approach ensures the viability of these biosensors as POC platforms for medical applications, aiming to prevent and control potential outbreaks in the future.

AUTHOR INFORMATION

Corresponding Author

Kai Wu – Department of Electrical and Computer Engineering, Texas Tech University, Lubbock, Texas 79409, United States; orcid.org/0000-0002-9444-6112; Email: kai.wu@ttu.edu

Authors

Shahriar Mostufa – Department of Electrical and Computer Engineering, Texas Tech University, Lubbock, Texas 79409, United States; orcid.org/0000-0002-3326-4817

Bahareh Rezaei – Department of Electrical and Computer Engineering, Texas Tech University, Lubbock, Texas 79409, United States

Stefano Ciannella – Department of Chemical Engineering, Texas Tech University, Lubbock, Texas 79409, United States

Parsa Yari – Department of Electrical and Computer Engineering, Texas Tech University, Lubbock, Texas 79409, United States

Jenifer Gómez-Pastora – Department of Chemical Engineering, Texas Tech University, Lubbock, Texas 79409, United States

Rui He – Department of Electrical and Computer Engineering, Texas Tech University, Lubbock, Texas 79409, United States; orcid.org/0000-0002-2368-7269

Complete contact information is available at: <https://pubs.acs.org/10.1021/acsomega.4c01872>

Notes

The authors declare no competing financial interest.

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ABBREVIATIONS

| | |
|----------|---|
| (Ab) | Antibody |
| (AI) | Artificial Intelligence |
| (Au-FHA) | Gold Film Hemisphere Array |
| (AFP) | Alpha-fetoprotein |
| (CW) | Clockwise |
| (CCW) | Counterclockwise |
| (CEA) | Carcinoembryonic Antigen |
| (CMOS) | Complementary Metal Oxide Semiconductor |
| (DANG) | Dome Array with Nanogaps |

| | |
|---------------------|--|
| (DHPE) | 1,2-dihexadecanoyl- <i>sn</i> -glycero-3-phosphoethanolamine |
| (DOPC) | 1,2-dioleoyl- <i>sn</i> -glycero-3-phosphocholine |
| (EM) | Electromagnetic |
| (ELISA) | Enzyme-Linked Immunosorbent Assays |
| (FDTD) | Finite Difference Time Domain |
| (FESEM) | Field Emission Scanning Electron Microscope |
| (FEM) | Finite Element Method |
| (GaHlgG) | Goat Anti-Human Immunoglobulin G |
| (GMR) | Giant Magnetic Resistance |
| (GGT-II) | Glutamine Transferase Isozymes II |
| (HIgG) | Human Immunoglobulin G |
| (InfA) | Influenza A virus |
| (LSPR) | Localized Surface Plasmon Resonance |
| (LOC) | Lab-on-Chip |
| (MgF ₂) | Magnesium Fluoride |
| (ML) | Machine Learning |
| (MNP) | Magnetic Nanoparticles |
| (MoS ₂) | Molybdenum Disulfide |
| (MTJ) | Magnetic Tunnel Junctions |
| (NHMMs) | Nanorod Hyperbolic Metamaterials |
| (OP) | Organophosphorus |
| (OSA) | Optical Spectrum Analyzer |
| (PCR) | Polymerase Chain Reaction |
| (PD) | Polarization Photodetector |
| (PDMS) | Polydimethylsiloxane |
| (PhA) | Photonic Crystal Array |
| (PBS) | Phosphate Buffered Saline |
| (PBG) | Photonic Band Gap |
| (PSA) | Prostate Specific Antigen |
| (PhA) | Photonic Crystal Array |
| (POC) | Point-of-Care |
| (r-GO) | Reduced Graphene Oxide |
| (SEMs) | Scanning Electrode Microscope |
| (SERS) | Surface Enhance Raman Scattering |
| (SOI) | Silicon on Insulator |
| (SPR) | Surface Plasmon Resonance |
| (SPW) | Surface Plasmon Waves |
| (SPP) | Surface Plasmon Polaritons |
| (TE) | Transverse Electric |
| (THz-TDS) | Terahertz Time-Domain Spectroscopy |
| (TIR) | Total Internal Reflection |
| (TM) | Transverse Magnetic |
| (TMDC) | Transition Metal Dichalcogenide |
| (VSV) | Vesicular Stomatitis Virus |
| (WGM) | Whispering Gallery Mode |
| (XRD) | X-ray diffraction |

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