

# Raman Spectroscopy for the Quantitative Analysis of Solid Dosage Forms of the Active Pharmaceutical Ingredient of Febuxostat

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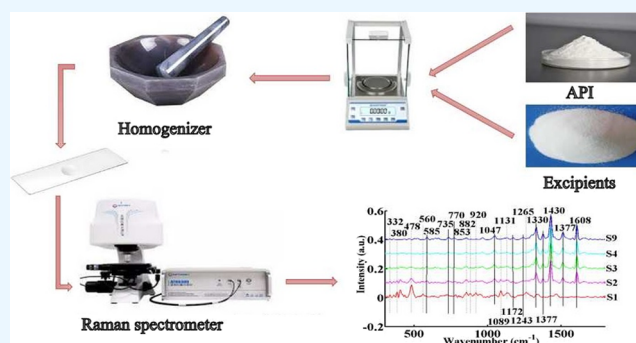
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**ABSTRACT:** Raman spectroscopy has been used to characterize and quantify the solid dosage forms of the commercially available drug febuxostat. For this purpose, different formulations consisting of the febuxostat (API) and excipients with different concentrations of the API are prepared and analyzed by Raman spectroscopy to identify different spectral features related to the febuxostat API and excipients. Multivariate data analysis tools such as principal component analysis (PCA) and partial least-squares regression (PLSR) analysis are used for qualitative and quantitative analyses. PCA has been found to be useful for the qualitative monitoring of various solid dosage forms. PLSR analysis has led to the successful prediction of API concentration in the unknown samples with a sensitivity and a selectivity of 98 and 99%, respectively. Moreover, the root-mean-square error (RMSE) of calibration and validation of the PLSR model has been found to be 2.9033 and 1.35, respectively. Notably, it is found to be very helpful for the comparison between the self-made formulations of febuxostat and commercially available febuxostat tablets (40 and 80 mg) of two different brands (Gouric and Zurig). These results showed that Raman spectroscopy can be a useful and reliable technique for identifying and quantifying the active pharmaceutical ingredient (API) in commercially available solid dosage forms.



## 1. INTRODUCTION

Febuxostat is commercially used to treat hyperuricemia, including gout in the persistent stage, and is sold under the brand names *Adenuric* and *Uloric*. This drug was approved by the European Commission,<sup>1</sup> and in recent years, the FDA granted the use of febuxostat for the treatment of main kidney complications, chronic gout, and hyperuricemia for patients that do not have enough response regarding allopurinol.<sup>2</sup> Febuxostat blocks both its oxidized and reduced forms of xanthine oxidoreductase, which is essential for the conversion of xanthine into uric acid.<sup>3</sup> This can lead to control and lower the production of uric acid,<sup>4</sup> which causes an acute form of arthritis gout.

Various analytical techniques for determining the solid dosage forms of febuxostat have been reported, which include high-pressure liquid chromatography (HPLC),<sup>5</sup> ultraviolet spectrophotometric methods,<sup>6</sup> liquid chromatography, mass spectrometry,<sup>7</sup> and HPTLC,<sup>8</sup> in which toluene and methanol are used as a mobile phase and a large amount of sample is required. Mass spectrometry (MS) and nuclear magnetic resonance (NMR) spectroscopy are generally employed in the pharmaceutical research field. Although these methods are precise and reliable in solid dosage determination of

febuxostat,<sup>9</sup> but running cost of these techniques is high. Raman spectroscopy has been employed for various analytical applications such as disease diagnosis,<sup>10</sup> screening of dengue infection,<sup>11</sup> characterization of different essential oils,<sup>12</sup> characterization of exopolysaccharides,<sup>13</sup> and characterization of organometallic complex formation.<sup>14</sup> Raman spectroscopy provides three main advantages over traditional techniques in solid dosage formulations. First, Raman spectroscopy is able to get a spectrum even when a sample is present within a sealed translucent container. Second, there is very little or no need for sample preparation, as the pharmaceutically active material is separated as crystalline salts. Third, coupling with an optical microscope study of minute particles in homogeneous solid-state matrices is possible.<sup>15</sup> Due to the benefits of Raman spectroscopy, this technique is most widely employed as an analytical tool in the recognition, characterization, and

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exploration of formulated pharmaceutical products.<sup>16</sup> Notably, regarding the use of HPLC for the samples having low concentrations of an analyte, such as febuxostat,<sup>17</sup> sitagliptin,<sup>18</sup> and cefixime,<sup>19</sup> another modality of Raman spectroscopy called surface-enhanced Raman spectroscopy (SERS) can be employed.<sup>20</sup> Raman spectroscopy has previously been used to characterize pharmaceutical drugs such as sitagliptin,<sup>20</sup> cefixime,<sup>21</sup> losartan potassium,<sup>22</sup> ciprofloxacin,<sup>23</sup> paracetamol,<sup>24</sup> and ampicillin<sup>25</sup> in both qualitative and quantitative ways.

In this study, solid dosage formulations of febuxostat API (Figure 1) are being characterized using Raman spectroscopy,

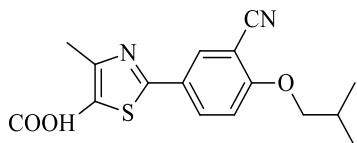


Figure 1. Chemical structure of febuxostat.

and it is found that there is no such study published yet. Different formulations of the febuxostat API are made by mixing the API and excipients in varied quantities. For qualitative analysis, principal component analysis (PCA) is employed for these drug formulations, which differentiates the different samples of API using spectral changes with an increase in the concentration of API. For quantitative analysis, partial least-squares regression analysis (PLSR) is being investigated for the determination of the concentration of self-made unknown/blind febuxostat samples.

## 2. RESULTS AND DISCUSSION

### 2.1. Mean Raman Spectra of Febuxostat Samples.

Figure 2 represents the mean Raman spectra of various

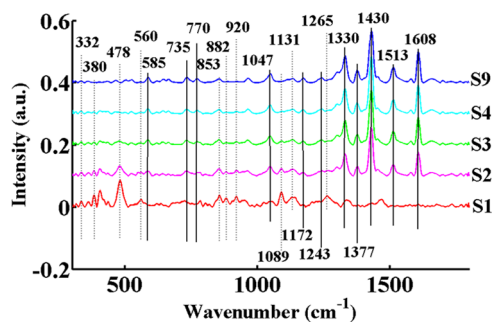


Figure 2. Mean Raman spectra of febuxostat with five different formulations S1: pure excipients, S2–S4: different concentrations of the API, and excipients S9: pure febuxostat API.

pharmaceutical samples, including the pure active pharmaceutical ingredient (API) of febuxostat (S9), pure excipients (S1), and seven different concentrations of API combined with excipients (S2 to S8). Raman spectral features associated with febuxostat API and those associated with excipients are labeled with dotted lines, while the most significant differences are represented by vertical solid lines. Table 1 lists the peak assignments of these differentiated spectral features along with the relevant references from the literature. The Raman spectral features of different febuxostat formulations are associated with an increase in API concentration and a decrease in excipient concentration. It is concluded from the mean Raman plot that

Table 1. Details of Raman Spectral Peaks Found in the Spectral Data of Different Samples of the Febuxostat API

wavenumber (cm <sup>-1</sup> )	peak assignments	references
332	NCC in-plane def.	26
380	starch str.	27
478	titanium bend	27
560	CO <sub>2</sub> rock vib. mode	28
585	OH out-of-plane bend	20
735	CS str. due to thiazole bands	29
770	C–H and C–CN out-of-plane bend	30
853	δ(CCH) in the aromatic ring	31
882	C–C str., CH <sub>2</sub> rock	32
920	CNC in-plane def.	33
1243	CH <sub>2</sub> wag, C–N str.	34
1047	C–O–C asym. and sym. str. vib.	35
1089	C–O str. and CH <sub>3</sub> rock	32
1131	C–N str.	36
1172	str. modes of aromatic benzene	37
1265	C–N str. vib. in amide	38
1330	C–N str. and imidazole ring str.	39
1377	C–N str. in the amide group	40
1430	CH <sub>3</sub> sci.	41
1513	C=C str. vib. of the phenyl ring	42
1608	C=O str, ν(C=N) azomethine	21,43

as the febuxostat API concentration in solid dosage forms increases, the intensity of different features goes on increasing.

The Raman features associated with API have been observed at 1513 and 1608 cm<sup>-1</sup> because of the stretching vibrations of C=O and ν(C=N) in the azomethine group and showed an increase in intensity as the concentration of API increases. Raman spectral features observed at 1430 and 1377 cm<sup>-1</sup> are due to scissoring vibration in the CH<sub>3</sub> group and C–N stretching vibration in the amide group. Characteristics Raman peaks at 1330, 1243, and 1265 cm<sup>-1</sup> are assigned to CN stretching vibrations and CH<sub>2</sub> wagging vibrations in the amide group, while the peak at 1172 cm<sup>-1</sup> represents the benzene stretching modes. The stretching vibrations in the C–O–C group, which are symmetric and asymmetric, enable the identification of a strong Raman feature at 1047 cm<sup>-1</sup>. Furthermore, two Raman peaks were observed at 1330 and 770 cm<sup>-1</sup> due to the stretching modes of the benzene ring and the out-of-plane bending of C–H and C–CN. Another distinguished Raman feature observed at 735 cm<sup>-1</sup> is assigned to CS stretching due to thiazole bands. The Raman spectral features associated with excipients can be observed in the mean Raman plot (Figure 2) at 478 and 560 cm<sup>-1</sup> due to titanium bending and rocking vibration modes of CO<sub>2</sub>, respectively. Some characteristic Raman features of excipients have been observed at 332 and 380 cm<sup>-1</sup> because of in-plane deformation of NCC and stretching vibration in starch, respectively. The Raman peaks at 853, 882, and 920 cm<sup>-1</sup>, respectively, represent the vibration of the aromatic ring, C–C stretching and CH<sub>2</sub> rocking, and CNC in-plane deformation. The CH<sub>3</sub> rocking and C–N stretching vibrations, which are attributed to excipients, are represented by the most prominent Raman features, indicating highly intense peaks at 1089 and 1131 cm<sup>-1</sup>.

**2.2. Principal Component Analysis (PCA).** PCA is used to perform the qualitative analysis of Raman spectral data sets of different formulations of febuxostat API with different concentrations of excipients. In order to identify changes in

spectral features that occur in various formulations of febusostat as a result of an increase in API concentration and a decrease in excipient concentration, this multivariate data analysis technique is used to clarify the variability in Raman spectral data sets. The PCA scatter plot of samples (S1 to S9) clearly differentiates the Raman spectral data sets of the samples in the form of clusters, as shown in Figure 3.

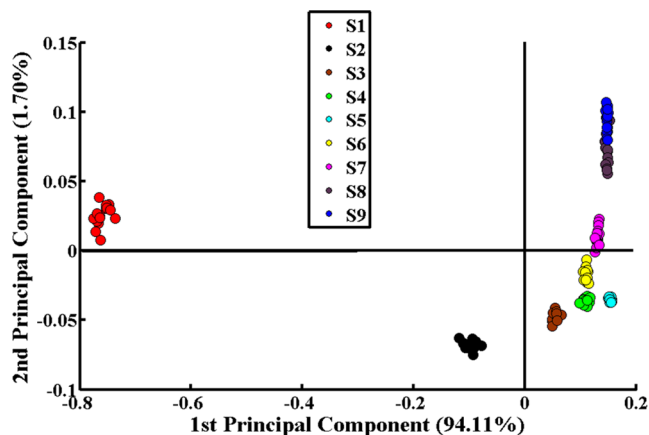


Figure 3. PCA scatter plot of Raman spectral data for the solid dosage forms of febusostat (S1–S9).

To confirm the Raman spectral features shown in Figure 2, pairwise PCA is carried out on Raman spectral data sets of pure excipients (S1) and the pure febusostat API. As can be seen, PC-1 explains 94.11% of the variability in the spectral data sets, while PC-2 explains 1.70%. (S9). These results are presented in the PCA scatter plot (Figure 4(a)) and PCA loadings (Figure 4(b)).

Raman spectral data for pure excipients (S1) are clustered along the PC-1 negative axis, whereas data for the pure

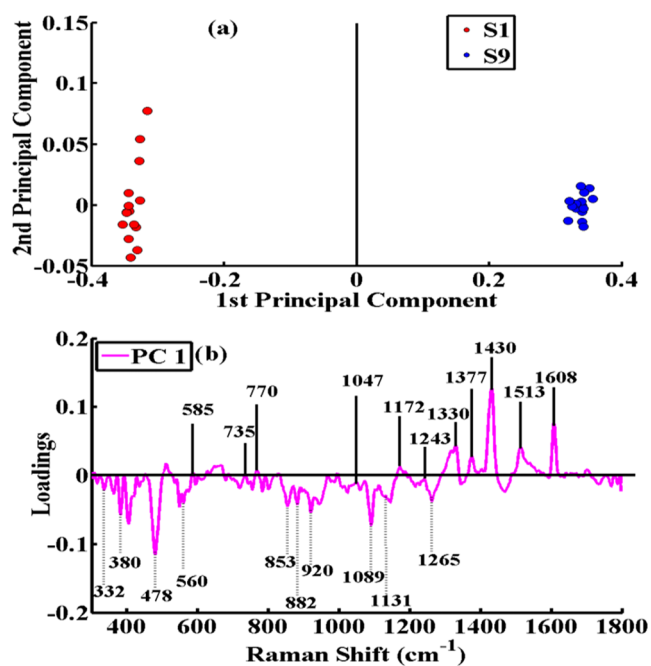


Figure 4. Pairwise PCA scatter plot (a) and PCA loadings (b) are used to display the Raman spectral data of pure excipients (S1) and the pure febusostat API (S9).

febusostat API (S9) are clustered along the positive axis. The negative and positive loadings in Figure 4(b) are the spectral features responsible for this differentiation. The Raman features identified as positive PC-1 loadings, which are associated with the pure API, are found to be at 585  $\text{cm}^{-1}$  (out-of-plane bending of the carboxylic OH group), 735  $\text{cm}^{-1}$  (CS stretching due to thiazole bands), 770  $\text{cm}^{-1}$  (out-of-plane bending of C–CN and C–H), 1047  $\text{cm}^{-1}$  (stretching vibration of C–O–C with symmetric and asymmetric modes), 1172  $\text{cm}^{-1}$  (stretching vibration of the aromatic benzene ring), 1243  $\text{cm}^{-1}$  ( $\text{CH}_2$  wagging, C–N stretching), 1330  $\text{cm}^{-1}$  (CN stretching vibration), 1377  $\text{cm}^{-1}$  (amide C–N stretching vibration), 1430  $\text{cm}^{-1}$  ( $\text{CH}_3$  scissoring vibration), 1513  $\text{cm}^{-1}$  (phenyl ring stretching vibration of C=C), and 1608  $\text{cm}^{-1}$  (stretching C=O,  $\nu(\text{C}=\text{N})$  stretching in the azomethine group).

The negative loadings represent the Raman features of excipients with dotted lines observed at 332  $\text{cm}^{-1}$  (in-plane deformation of NCC), 380  $\text{cm}^{-1}$  (stretching vibration in starch), 478  $\text{cm}^{-1}$  (bending vibrations in titanium), 560  $\text{cm}^{-1}$  ( $\text{CO}_2$  rocking vibration mode), 853  $\text{cm}^{-1}$  (bending  $\delta(\text{CCH})$  in the aromatic ring), 882  $\text{cm}^{-1}$  (C–C stretching,  $\text{CH}_2$  rocking), 920  $\text{cm}^{-1}$  (CNC in-plane deformation), 1089  $\text{cm}^{-1}$  (C–O stretching and  $\text{CH}_3$  rocking), 1131  $\text{cm}^{-1}$  (stretching vibration of C–N), and 1265  $\text{cm}^{-1}$  (stretching vibration of C–N in amide). These results demonstrate that PCA can distinguish the active pharmaceutical ingredient (API) from excipients based on Raman spectral features.

PCA analysis has major advantages as it removes the correlated features, reduces overfitting, and improves visualization.<sup>44</sup> Regarding its disadvantages, PCA is not robust with respect to missing values, which means that if the data have gaps or errors, this data analysis tool may not work properly or produce inaccurate results. In order to confirm the analysis capability and efficiency of PCA for the Raman spectral data sets used in the current study, another multivariate data analysis technique called PLSR is employed. This analysis explains the maximum variability in the response within the context of linear regression.<sup>45</sup> PLSR models can be prone to overfitting, especially when the number of latent variables/components is not properly chosen.<sup>46</sup> To overcome this issue, the number of latent variables/components required to build the PLSR model is carefully chosen, as indicated in Figure 5.

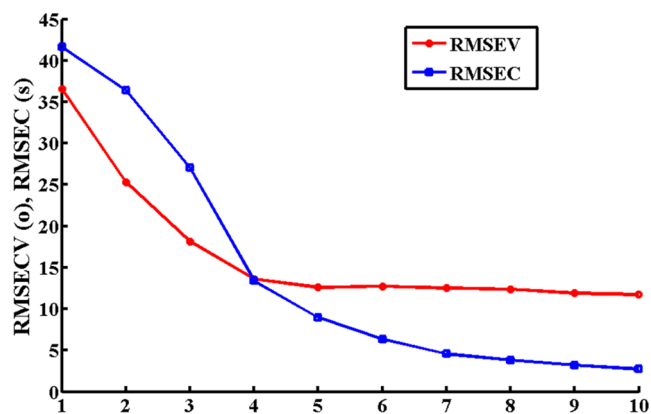


Figure 5. Optimal number of latent variables (LV) for PLSR model development for Raman spectral data sets of various formulations of the febusostat API.



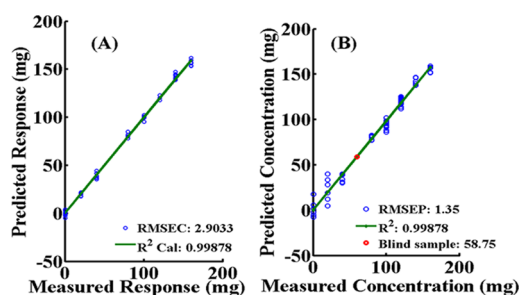
### 2.3. Partial Least-Squares Regression Analysis (PLSR).

The potential of Raman spectroscopy to predict the concentration of the API in blind pharmaceutical samples was investigated by using a PLSR model of Raman spectral data collected from various concentrations of febuxostat. The Raman spectral data of febuxostat with nine different formulations/concentrations were assembled in a matrix and excluded from the sample from which the model's performance was assessed as an unknown concentration. To evaluate the efficiency of the developed model, other febuxostat formulations also taken as unknown/blind samples are given in Table 2. After the predictive model has been established, it can be helpful in evaluating and monitoring the different unknown concentrations of febuxostat.

**Table 2. Details of Some Unknown Febuxostat Samples Randomly Selected to Evaluate the Demonstration of a PLS Regression Model for Predicting API Concentrations**

sample names	measured concentration	predicted concentration	$R^2$	RMSEC	RMSEV
S2	20 mg	21.45 mg	0.98760	2.6702	1.45
S3	40 mg	41.63 mg	0.99708	0.7665	1.63
S4	60 mg	58.65 mg	0.99878	2.9033	1.35
S5	80 mg	79.23 mg	0.99910	0.8454	0.77
S6	100 mg	101.1 mg	0.99908	1.9809	1.10
S8	140 mg	142.03 mg	0.98607	2.4701	2.03

The complexity of the optimal model was determined by using cross-validation with calibration. This process involves the calibration data set to select the optimal number of LV and cross-validation to evaluate the developed PLSR model. Notably, four latent variables (LVs) were selected for developing this predictive model, which provides the lowest root-mean-square error, as given in Figure 5. The root-mean-square error of calibration (RMSEC) is 2.9033 mg and the reliability of this calibration ( $R^2$ ) is 0.99%, which is shown by the PLSR calibration model in Figure 6(A).

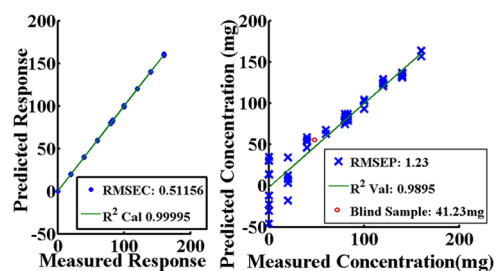


**Figure 6.** Demonstration of a calibration set (A) and a prediction set (B) of the partial least-squares regression (PLSR) model for quantifying a self-made blind febuxostat sample (S4).

These values clearly indicate the reliability of the PLSR model for the calibration of Raman data sets representing different drug (febuxostat) concentrations based on the response of Raman spectroscopy. The PLSR validation model was used to predict the unknown concentration of febuxostat S4 and randomly selected other formulations as unknown/blind samples. The unknown sample (S4) having an actual concentration of API as 60 mg, as shown in Figure 6(B), was predicted by the PLSR model as 58.65 mg. The root-mean-square error of cross-validation (RMSEP) is observed as

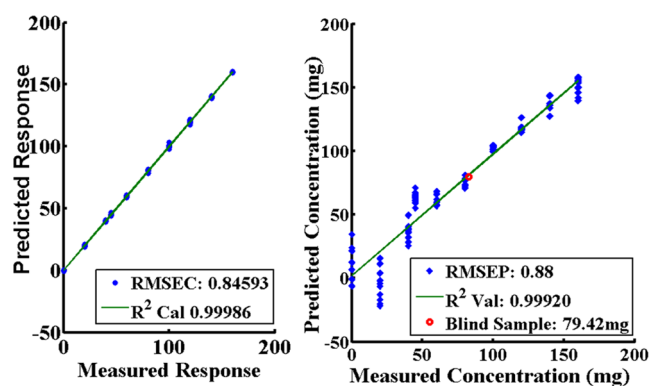
1.35 mg, and the reliability of the validation model is found to be 0.99%.

The PLSR model is also built to compare different prepared febuxostat formulations with the commercially available standard formulations of febuxostat (40 and 80 mg) of two different brands (Gouric and Zurig). This built PLSR model showed 99% accuracy, sensitivity, and specificity in unknown samples' (febuxostat) concentration prediction as compared with that of standard samples (febuxostat). Figures 7 and 8



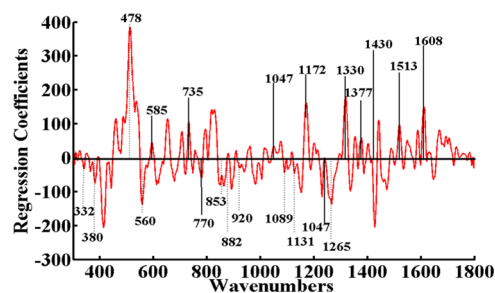
**Figure 7.** Demonstration of a calibration set and a prediction set of the PLSR model for quantification of commercially available febuxostat of 40 mg (Gouric brand) as a blind sample.

show the demonstration of the calibration set and the prediction set of the PLSR model for commercially available febuxostat of 40 and 80 mg (Gouric brand) as a blind sample.



**Figure 8.** Demonstration of a calibration set and a prediction set of the PLSR model for quantification of commercially available febuxostat of 80 mg (Gouric brand) as a blind sample.

**2.4. Coefficients of Regression Analysis.** As shown in Figure 9, the PLSR regression coefficients indicate the presence of Raman spectral features associated with API



**Figure 9.** Raman spectral data sets for different formulations of febuxostat API (S1–S9) from the regression coefficients of the PLSR model.

(febuxostat) and excipients. The regression coefficients associated with API are observed at  $585\text{ cm}^{-1}$  (out-of-plane bending of the carboxylic OH group),  $735\text{ cm}^{-1}$  (CS stretching due to thiazole bands),  $770\text{ cm}^{-1}$  (out-of-plane bending of C–CN and C–H),  $1047\text{ cm}^{-1}$  (stretching vibration of C–O–C with symmetric and asymmetric modes),  $1172\text{ cm}^{-1}$  (stretching vibration of the aromatic benzene ring),  $1243\text{ cm}^{-1}$  ( $\text{CH}_2$  wagging, C–N stretching),  $1330\text{ cm}^{-1}$  (C–N stretching vibration),  $1377\text{ cm}^{-1}$  (amide C–N stretching vibration),  $1430\text{ cm}^{-1}$  ( $\text{CH}_3$  scissoring vibration),  $1513\text{ cm}^{-1}$  (phenyl ring stretching vibration of C=C), and  $1608\text{ cm}^{-1}$  (C=O stretching,  $\nu(\text{C}=\text{N})$  in the azomethine group). The regression coefficients associated with excipients are observed at  $332\text{ cm}^{-1}$  (in-plane deformation of NCC),  $380\text{ cm}^{-1}$  (stretching vibration in starch), and  $478\text{ cm}^{-1}$  (bending vibrations in titanium). The Raman spectral features in the mean Raman spectra of various formulations of febuxostat API are identical to the regression coefficients of the PLSR model (Figure 2).

### 3. CONCLUSIONS

In this study, solid dosage analysis of different formulations of febuxostat API has been carried out using Raman spectroscopy and different multivariate data analysis techniques such as the PCA and PLSR models. For this purpose, various spectral features associated with febuxostat API are identified and used for both qualitative and quantitative analyses. The quantitative analysis of the blind sample was also done by use of partial least-squares regression analysis to test the performance of the PLSR prediction model. This analytical method has the potential to be employed in the pharmaceutical sector.

### 4. MATERIALS AND METHODS

**4.1. Sample Preparation.** Febuxostat API was obtained from Fynk Pharmaceuticals (Pvt.) (Lahore, Pakistan) and was used as a reference standard. Different concentrations of excipients and API were mixed in milligrams to form different formulations, as shown in Table 3. Different pharmaceutical

**Table 3. Details of Nine Febuxostat Samples That Were Made by Mixing different Concentrations of API and Excipients**

sample name	API (mg)	excipients (mg)	total weight (mg)
S1	0	160	160
S2	20	140	160
S3	40	120	160
S4	60	100	160
S5	80	80	160
S6	100	60	160
S7	120	40	160
S8	140	20	160
S9	160	0	160

formulations were made by mixing of API of febuxostat and different excipients, including talcum powder, magnesium, titanium, starch, and lactose, in an equal ratio, followed by their homogenization using a pestle mortar.

**4.2. Raman Spectral Acquisition.** Raman spectral measurements for each febuxostat sample in powder form were performed by using a Raman spectrometer (ATR8300BS Optosky, China). From each febuxostat sample, by placing each sample on the aluminum slide, 15 Raman spectra were

collected. For this, a 40 mW 785 nm laser was shed on the sample with the help of a 40X objective lens and an acquisition time of 10 s to acquire Raman spectra in the wavenumber range of  $300\text{--}1800\text{ cm}^{-1}$ .

**4.3. Data Preprocessing.** To preprocess the Raman spectral data, MatLab version 7.8.0.347 and an established set of protocols were used for all of the febuxostat samples. Preprocessing included the steps of smoothing, vector normalization, baseline correction, and substrate removal. The contribution of the substrate (aluminum slide) was subtracted from the spectral data sets, and the spectra were smoothed using the Savitzky–Golay method in three 15-point windows. Savitzky–Golay smoothing filters are used to remove noise from a signal. The rubber band correction was used to adjust the baseline of the raw Raman spectra. Baseline correction is a significant preprocessing method used to distinguish the true spectroscopic signals and remove background noise. Notably, the intensity-to-concentration relationship in the spectral data was preserved by vector normalization of the spectra for the PCA qualitative analysis but not for the PLSR quantitative analysis.

**4.4. Data Analysis.** The Raman spectral data of different samples of febuxostat are analyzed by calculating their respective mean spectra. Table 1 shows how the results were interpreted using Raman spectral feature assignments from the literature. Different samples of febuxostat with varying API concentrations were classified using PCA. Principal component analysis (PCA) is a statistical method that converts the correlated variables into a smaller set of uncorrelated variables known as principal components, thereby reducing the dimensionality of data while maintaining variability (PCs).<sup>47</sup> The first principal component accounts for the predominant source of data variability, the second principal component accounts for the second-highest source of the remaining variability, and so on.

The PC loadings can be seen as an orthogonal dimension that enables the differentiation of different classes of spectral data based on their coefficients because each spectrum of different febuxostat formulations scores along these dimensions.<sup>48</sup> Partial least-squares regression is used to analyze the covariance of Raman spectral data ( $x$ -variables) and known concentrations ( $y$ -variables) to perform the quantitative analysis of different formulations of the API of febuxostat. A regression model was developed based on how the Raman spectral characteristics of febuxostat API changed as the percentage weight of API in different formulations increased. The validity of this predictive PLS regression model is evaluated using cross-validation and root-mean-square error of calibration (RMSECV). Through the use of leave-one sample (15 spectra) out cross-validation, the optimal number of latent variables (LVs) is required to develop a reliable model that avoids overfitting, and this method was used to ensure that the PLSR analysis was not biased because all spectra obtained from a formulation were included in either the calibration or test data sets.

### ■ ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsomega.3c05243>.

PCA scatter plot, mean Raman spectra, and PLSR model details of two commercial drugs (PDF)

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### Notes

The authors declare no competing financial interest.

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