

Maize Yield Response to Water Supply and Fertilizer Input in a Semi-Arid Environment of Northeast China

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Abstract

Maize grain yield varies highly with water availability as well as with fertilization and relevant agricultural management practices. With a 311-A optimized saturation design, field experiments were conducted between 2006 and 2009 to examine the yield response of spring maize (Zhengdan 958, *Zea mays* L) to irrigation (I), nitrogen fertilization (total nitrogen, urea-46% nitrogen,) and phosphorus fertilization (I), calcium superphosphate-13% I) in a semi-arid area environment of Northeast China. According to our estimated yield function, the results showed that I is the dominant factor in determining maize grain yield followed by I, while I plays a relatively minor role. The strength of interaction effects among I, I and I0 on maize grain yield follows the sequence I1 is negative. To achieve maximum grain yield (10506.0 kg·ha⁻¹) for spring maize in the study area, the optimum application rates of I1, I1 and I2 are 930.4 m³·ha⁻¹, 304.9 kg·ha⁻¹ and 133.2 kg·ha⁻¹ respectively that leads to a possible economic profit (I2 of 10548.4 CNY·ha⁻¹ (CNY, Chinese Yuan). Alternately, to obtain the best I2 (10827.3 CNY·ha⁻¹), the optimum application rates of I3, I4 and I5 are 682.4 m³·ha⁻¹, 241.0 kg·ha⁻¹ and 111.7 kg·ha⁻¹ respectively that produces a potential grain yield of 10289.5 kg·ha⁻¹.

Citation: Yin G, Gu J, Zhang F, Hao L, Cong P, et al. (2014) Maize Yield Response to Water Supply and Fertilizer Input in a Semi-Arid Environment of Northeast China. PLoS ONE 9(1): e86099. doi:10.1371/journal.pone.0086099

Editor: Hany A. El-Shemy, Cairo University, Egypt

Received July 3, 2013; Accepted December 4, 2013; Published January 20, 2014

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Funding: This work was funded by the Special Fund for Agro-scientific Research in the Public Interest (201303125-9) and National Major Technology Program (2011BAD16B12, 2012BAD09B02, 2013BAD05B07). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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Competing Interests: The authors have declared that no competing interests exist.

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Introduction

Maize is the third most important grain crop after rice and wheat grown in China [1,2]. In order to ensure food security for its vast population, the Chinese government and its research institutions have made extensive efforts to improve maize grain production in North China since the 1950s [3–5].

Water scarcity and soil infertility are two critical factors limiting maize grain yield over most regions of North China [6-8]. Although irrigation and fertilization are widely applied to improve maize productivity [9,10], maize production in China has not been able to keep pace with grain demand [11,12]. At the same time, low water use efficiency aggravates water stress in North China [13-15] while excessive inputs of chemical fertilizer result in surplus nitrogen and phosphorus in soils that cause eutrophication of surface water as well as greenhouse gas emissions [16-20]. In modern agriculture, such consequences arise mainly from a limited understanding of how irrigation and fertilization affect maize production and a biased estimation of the yield function for identifying maize yield variation. In this context, there is a need to investigate the combined effect of water supply and fertilizer input on maize productivity in North China.

Many field studies have been conducted since the 1990s to examine main and interaction effects of irrigation and fertilization on maize productivity around the world, including North China [21–26]. The optimum coupling or combination of water supply and fertilizer inputs has been derived to seek maximum maize grain yield or to achieve maximum water and fertilizer use

efficiency [27-30]. However, these studies mostly focused on the individual influences of irrigation (I), nitrogen application (N), phosphorus application (P) and/or their binary combination effects on maize productivity. A holistic understanding of the ternary combination effect of I. N and P on maize productivity is still developing. The economic efficiency of growing maize is another important factor influencing maize grain production [31-34]. Farmers will grow more maize if the economic profits of growing maize are higher than for other crops. Profits associated with maize production, however, decrease with improper management practices as well as with increasing energy, material and human labor costs in the context of global climate change [35-39]. The declining profit rate dampens farmers' enthusiasm for growing maize and consequently impacts maize grain production [40,41]. Thus, it is important to improve maize productivity while taking into account the economic evaluation of growing maize.

The relationship between maize grain yield and management practices varies over time and space depending on the maize cultivars, climatic conditions and cropping systems. Knowledge obtained from studies in other regions may not be valid in any specific area of North China. Therefore, the objectives of this study were (1) to construct a yield function to examine the combination effect of I, N and P on maize productivity using field experimental data collected from 2006 to 2009 in a semi-arid environment of Northeast China and (2) to use the estimated yield function for further deriving optimum application rates of I, N and

 ${\cal P}$ based on the criteria of maximum grain yield and best economic profit.

Materials and Methods

Site and Soil

The field study was conducted from 2006 to 2009 at the field experimental station of Liaoning Key Laboratory of Water-Saving Agriculture in Fuxin County of Northeast China (42°08′14″ N, 121°44′21″ E). This region is a warm temperate zone with a temperate continental monsoon climate. According to the Fuxin Weather Station, the average annual temperature is 7.2°C with an average of 2865.5 hrs of annual sunshine. It is a typical semi-arid area with average annual precipitation of 480 mm, over 60% of which occurs from June to August. The compensation of water resources depends mainly on precipitation of atmosphere. Annual precipitation and precipitation during the maize growing season of Fuxin County are shown in Figure 1.

The main agricultural soil in the region is cinnamon soil which develops through a combination of calcium carbonate leaching, illuviation and humification. It is characterized by a thin humus layer and a medium or thick solum. Its bulk density is $1.51~{\rm g\cdot cm}^{-3}$, pH (H₂O) is 7.5-8.5 and the average soil organic matter content is $10.2~{\rm g\cdot kg}^{-1}$. The average soil total nitrogen and available phosphorus concentrations are $6.1~{\rm g\cdot kg}^{-1}$ and $4.0~{\rm g\cdot kg}^{-1}$, respectively.

Experimental Design and Treatments

To reduce cost and size, the experiment in this study was implemented according to a 311-A optimized saturation design [42]. This system consisted of three factors at five levels. There were 11 treatments with 3 replicates each for a total of 33 experimental plots. Each experimental plot was 40 m² in size (10 m×4 m). The water supply and fertilizer inputs were standardized for comparability by applying a non-dimensional linear code substitution (Table 1). Rates of N and P in Table 1 were expressed in format of pure nitrogen and P2O5 that were supplemented by urea (46% total nitrogen) and calcium superphosphate (13% P_2O_5). One unit of I, N and P represented 225 $m^3 \cdot ha^{-1}$ of I, 112.5 kg·ha⁻¹ of total nitrogen and 67.5 kg·ha⁻¹ of P_2O_5 , which indicated 225 $m^3 \cdot ha^{-1}$ of water supply, 244.6 kg·ha⁻¹ of urea and 519.2 kg·ha⁻¹ of calcium superphosphate, respectively. One third of the urea used in each treatment was applied at the sowing stage and the remaining amount at the early jointing stage. All calcium superphosphate was applied at the

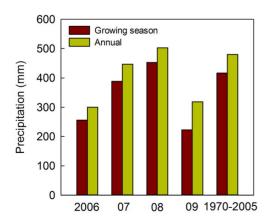


Figure 1. Annual precipitation and precipitation during maize growing season during 2006–2009 in Fuxin County. doi:10.1371/journal.pone.0086099.g001

sowing stage in each treatment. Experimental plots were variously irrigated at the jointing stage. All necessary permits were obtained for the described field experiments. The land user and owner approved the field-work activities at each experiment plot. The field employed in this study is not protected in any way, and the study did not involve any endangered or protected species.

Data Collection and Analysis

The maize cultivar in this experiment was Zhengdan 958 (Zea mays L). In China, the planting area of Zhengdan 958 was 4.54 million ha in 2009, and the planting area of this variety is still the largest in 2012 [43]. Zhengdan 958 has outstanding yield performance. It can generate relatively stable yield under various environmental conditions and has good disease resistance. This variety can be planted in high density and the ideal planting density is 60,000 to 75,000 plants per ha. In this study, maize plant density was 60,000 plants per ha with 50 cm between rows. The maize was planted in late April and harvested in late September. At maize maturity, the outer two rows in each experimental plot were considered as edge effects and not harvested, while the remaining middle rows were hand-harvested for analysis of maize grain yield. The effective area of each experimental plot was approximately 20 m². The average fresh ear weight (G_1, kg) of each treatment was estimated and the average grain yield, Y (kg·ha⁻¹), was computed as:

$$Y = k \times G_1/20 \times 10000$$

where k is the ratio of grain dry weight to fresh ear weight for each treatment. To estimate the values of k, ten medium-sized ears were sampled from each experimental plot and their average fresh ear weight (G_2, kg) and average fresh grain weight (G_3, kg) were measured for each treatment The grain of Zhengdan 958 dries slowly before harvest. Extra moisture in maize grain should be removed before estimating the average maize grain yield. The

Table 1. Experimental treatments of maize using a 311-A optimized saturation design during 2006–2009 in Fuxin County.

Treatments	Code level			Application rate		
	İ	N	Р	1	N	Р
	X_1^{\ddagger}	X ₂	<i>X</i> ₃	m³·ha ⁻¹	kg∙ha ^{−1}	kg∙ha ^{−1}
1	2	0	0	900	225	135
2	-2	0	0	0	225	135
3	1	-1.414	-1.414	675	66	39.54
4	1	1.414	-1.414	675	384	39.54
5	1	-1.414	1.414	675	66	230.46
6	1	1.414	1.414	675	384	230.46
7	-1	2	0	225	450	135
8	-1	-2	0	225	0	135
9	-1	0	2	225	225	270
10	-1	0	-2	225	225	0
11	0	0	0	450	225	135

 $^{^{\}dagger}$ I, N and P are abbreviations for irrigation, nitrogen fertilization and phosphorus fertilization, respectively.

 $^{{}^{\}ddagger}X_1$, X_2 and X_3 are non-dimensional linear code for irrigation, nitrogen fertilization and phosphorus fertilization, respectively. doi:10.1371/journal.pone.0086099.t001

average moisture content of fresh grain for each treatment (A%) was therefore determined using a PM-8188 Grain Moisture Tester (Japan). Then k was calculated as:

$$k = \frac{G_3}{G_2} \times \frac{(100 - A)}{(100 - 18)}$$

A quadratic regression orthogonal design was used to construct the yield function in this study. Regression analysis was conducted by R software and the results were presented in graphs by SigmaPlot 10.0.

Results and Discussion

Yield Function

The average maize grain yield of each treatment from 2006 to 2009 varied between 8468.2 kg·ha⁻¹ and 10478.2 kg·ha⁻¹. The best-fitted yield function, which quantified the relationship between maize grain yield (Y_{LNP}) and the code levels of I, \mathcal{N} and P (i.e., X_I , X_2 , X_3), was expressed as:

$$Y_{INP} = 10130.63^{**} + 232.55X_1^{**} + 363.44X_2^{**} + 151.46X_3^{*}$$
$$-75.19X_1^2 - 436.54X_2^{2**} - 349.33X_3^{2**}$$
$$+120.94X_1X_2^{**} + 55.11X_2X_3 - 98.17X_1X_3$$
 (1)

where the coefficient of determination (R^2) for the regression is 0.87 at the significance level of P < 0.01 (F-test). The reliability of coefficients for X_I , X_2 and X_3 are tested by a t-test. The symbol "**" stands for the significance at the level of P < 0.01 and the symbol "*" for the significance at the level of P < 0.05. In addition, the coefficients of X_1^2 , X_2X_3 and X_1X_3 were significant at P < 0.20, P < 0.40 and P < 0.10. Considering the importance of I, N and P on maize growth, the parameters in equation (1) were all taken into account when estimating maize grain yield in this study.

The code levels of I, \mathcal{N} and P were proportional to their actual rates in each treatment. Thus, equation (1) appropriately described the combination effects of water spply and fertilizer input on maize grain yield in the semi-arid area examined in this study. It accounted for 87% of the variation in maize grain yield. Because of its accuracy and explicitness, equation (1) was used to analyze the main and individual effects as well as the interaction effects of I, \mathcal{N} and P on maize grain yield (Text S1).

The main effects of I, N and P on maize grain yield were evaluated by comparing their corresponding coefficients in equation (1). The positive coefficients for X_1 , X_2 and X_3 suggested that I, N and P all had positive effects on maize grain yield. The largest value was observed for the coefficient of X_2 , indicating that N was the dominant factor influencing maximum maize grain yield. Similarly, I was recognized as a secondary factor determining maize grain yield, whereas P was a relatively minor factor. These results were partially consistent with findings from other studies around the world. Nitrogen input has a large effect on maize grain yield because maize production is an extractive process, with removal of maize equating to removal of nitrogen from the soil [44,45]. The significant effect of water supply on maize grain yield in arid and semi-arid regions is ubiquitous and easily understood. It should be noted that the removal of phosphorus from agricultural soils by maize harvesting was also apparent in this study. It is well-established that supplemental phosphorus can significantly improve maize grain yield as well [7,9,17,22]. In our study, the decreased response of maize grain yield to P compared to $\mathcal N$ and I may be due to the dry soil conditions which are characteristics of semi-arid areas in Northeast China.

To examine the individual effects of I, \mathcal{N} and P on maize grain yield, each factor was selected as an independent variable with the other two factors fixed at 0 in equation (1) (Text S1). Then a subset of equations of yield function was derived respectively as:

$$Y_I = 10130.63 + 232.55X_1 - 75.19X_1^2 \tag{2}$$

$$Y_N = 10130.63 + 363.44X_2 - 436.54X_2^2 \tag{3}$$

$$Y_P = 10130.63 + 151.46X_3 - 349.33X_3^2 \tag{4}$$

The individual effects of I, \mathcal{N} and P on maize grain yield derived from equations (2) \sim (4) are presented in Figure 2. The relationships between maize grain yield and I, \mathcal{N} and P can be modeled using second-order parabolic equations. Apexes were observed when examining the trend of maize grain yield as the rates of I, \mathcal{N} and P increased. Before the apex, maize grain yield increased as the rates of I, \mathcal{N} and P increased. After the apex, maize grain yield decreased as the rates of I, \mathcal{N} and P increased. These findings indicate that there must be optimum application rates of I, \mathcal{N} and P when implementing agricultural management practices to improve maize grain yield.

The optimum application rates of I, \mathcal{N} and P as individual influencing factors on maize grain yield were determined using marginal yield curves (Figure 3), which were the first-order differential analysis of equations (2) \sim (4). The marginal yield showed a monotonic descending trend with the increasing rates of I, \mathcal{N} and P and had intersections with the x-axis. The intersection points revealed the optimum application rates of I, \mathcal{N} and P. The code values at the intersecting points were +1.546, +0.416 and +0.217 for I, \mathcal{N} and P, respectively. According to Table 1, they represented 797.9 m 3 ·ha $^{-1}$ of I, 271.8 kg·ha $^{-1}$ of \mathcal{N} and 149.6 kg·ha $^{-1}$ of P. In addition, levels of the majority of marginal yields before the intersections with the x-axis followed the sequence \mathcal{N} >P> I. This suggests that the maize grain yield increased most with an increasing application rate of \mathcal{N} compared to the increases of I and P. Maize grain yield was more sensitive to P than I.

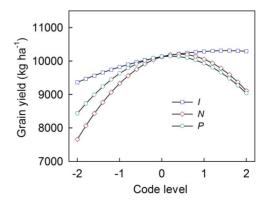


Figure 2. The individual effect of *I, N* and *P* on maize grain yield by fixing two factors at 0 level. *I, N* and *P* represent irrigation, nitrogen application and phosphorus application, respectively. doi:10.1371/journal.pone.0086099.g002

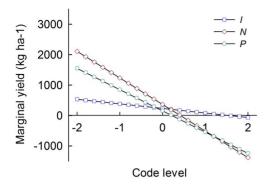


Figure 3. Marginal grain yield with respect to I, N and P through the first-order differential analysis of equations (2) \sim (4). I, N and P represent irrigation, nitrogen application and phosphorus application, respectively.

doi:10.1371/journal.pone.0086099.g003

The interaction effects of I, N and P on maize grain yield were all less than their main effects in equation (1). By comparing the absolute values of the coefficients of X_1X_2 , X_1X_3 and X_2X_3 , the strength of interaction effects of I, N and P on maize grain yield followed the sequence N+I > P+I > N+P. The coefficient for X_1X_2 was positive, indicating that the interaction of I and N had a positive effect on maize grain yield. This finding is presented in Figure 4, which was constructed by fixing P at 0 level. In general, the maize grain yield increased as the application rates of I and Nincreased: an increase of any one input stimulates maize growth and creates a need for the other input. The optimum application rates of I and N for the highest grain yield (10,505.7 kg·ha⁻¹) were at code levels of +2.117 and +0.710, which corresponded to 926.3 $\text{m}^3 \cdot \text{ha}^{-1}$ of I and 304.9 kg·ha⁻¹ of N. The negative coefficient for X_1X_3 suggested that the interaction of I and P was antagonistic and had an inhibitory effect on maize grain yield. This relationship is presented in Figure 5, which was constructed by fixing \mathcal{N} at 0 level. At code levels of +1.547 and -0.001, equal to 798.1 m³·ha⁻¹ of I and 134.9 kg·ha⁻¹ of P, respectively, maize grain yield reached its highest value of 10,310.4 kg·ha⁻¹. Although the coefficient of X_2X_3 was positive, the interaction of \mathcal{N} and P on maize grain yield was similar to that of I and \mathcal{N} , but weaker and not significant at a relatively high level. This partly reveals the importance of water supply in maize growth in semi-arid areas. No contour plot of this interaction is presented here, although the interaction between Nand P on maize grain yield was used to estimate the optimum schemes in next part.

Optimum Schemes

The findings presented above indicate the complex and sometimes antagonistic interactions of water supply and fertilizer input on maize productivity in semi-arid areas of Northeast China. The maize grain yield does not always increase as the additions of I, \mathcal{N} and P improve. The optimum application rates of I, \mathcal{N} and P were estimated to obtain the maximum grain yield and best economic profit.

To maximize maize grain yield, we set the first-order partial derivatives of equation (1) to zero (Text S1):

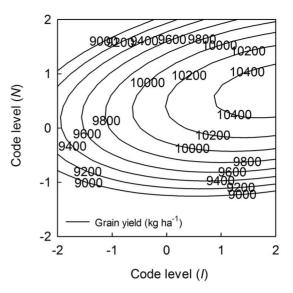


Figure 4. Interaction effects of / and // on maize grain yield by fixing *P* **at 0 level.** *I* and *N* represent irrigation and nitrogen application, respectively. doi:10.1371/journal.pone.0086099.g004

$$\begin{cases} \frac{\partial Y}{\partial X_1} = 232.55 - 150.38X_1 + 120.94X_2 - 98.17X_3 = 0\\ \frac{\partial Y}{\partial X_2} = 363.44 + 120.94X_1 - 873.08X_2 + 55.11X_3 = 0\\ \frac{\partial Y}{\partial X_3} = 151.46 - 98.17X_1 + 55.11X_2 - 698.66X_3 = 0 \end{cases}$$

By solving the above equations, the maximum maize grain yield, $10506.0 \text{ kg} \cdot \text{ha}^{-1}$, can be obtained when the code levels of I, \mathcal{N} and P were at +2.135, +0.710 and -0.027, respectively. According to Table 1, the optimum rates of I, \mathcal{N} and P for the

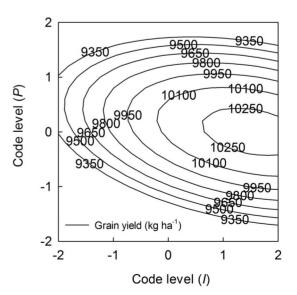


Figure 5. Interaction effects of / **and** P **on maize grain yield by fixing** N **at 0 level.** / and P represent irrigation and phosphorus application, respectively. doi:10.1371/journal.pone.0086099.g005

maximum maize grain yield were 930.4 m³·ha⁻¹, 304.9 kg·ha⁻¹ and 133.2 kg·ha⁻¹, respectively.

Compared to the optimum application rates of I, \mathcal{N} and P based on single-factor and binary combination effects, the optimum application rates based on the ternary combination effect have higher demand for I and \mathcal{N} and less demand for P. This should be attributed to the effects of complex interactions of I, \mathcal{N} and P on maize grain yield. In addition, maize grain yield showed different sensitivities to I, \mathcal{N} and P in Figure 3. In the case of the ternary combination, these factors were considered holistically when estimating the maximum of the yield function. The optimum scheme indicated by the ternary combination enabled farmers to maximize maize grain yield by adjusting management practices without considering the cost of maize growing.

The increase of water supply and fertilizer input may increase maize grain yield as well as the economic profit. The economic profit of growing maize, *EP*, is decided by the relationship between outputs (i.e., the maize grain yield times the price of maize grain) and inputs (i.e., cost of irrigation, fertilization and seed). This relationship can be expressed as:

$$EP = p \cdot Y_{INP} - p_1 \cdot (225X_1 + 450) - p_2 \cdot (112.5X_2 + 225) - p_3 \cdot (67.5X_3 + 135) - C_{Sped}$$
(5)

where p stands for the price of maize grain (1.3 CNY·kg⁻¹, CNY, Chinese Yuan), and p_1 , p_2 and p_3 for the prices of water (0.750 CNY·m⁻³, i.e., electricity and labor fees for irrigation), urea (3.913 CNY·kg⁻¹) and calcium superphosphate CNY·kg⁻¹), respectively. Note that the prices of urea and calcium superphosphate per kilogram were calculated from the cost of them to provide a kilogram of pure total nitrogen and P₂O₅. C_{Seed} represents the cost of maize seeds for each treatment in this study (450 CNY·ha⁻¹). To obtain the maximum economic profit, the highest value of equation (5) was solved using the method of firstorder partial derivatives as well. The results show that the highest economic profit (10827.3 CNY·ha⁻¹) was obtained when I, N and P were at code levels of 1.033, 0.142 and -0.345, respectively. This optimum scheme consisted of a ternary combination of I, Nand \hat{P} at rates of 682.4 m³·ha⁻¹, 241.0 kg·ha⁻¹ and 111.7 kg·ha⁻¹, respectively.

To further discern the results, we compared the two optimum schemes based on the maximum grain yield and the best economic profit. The economic profit of the optimum scheme for maximum grain yield (10506.0 kg·ha⁻¹) was 10548.4 CNY·ha⁻¹ while the

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grain yield of the optimum scheme for the best economic profit (10827.3 CNY·ha⁻¹) was 10289.5 kg·ha⁻¹. Notwithstanding a little higher yield, the optimum scheme for maximum grain yield produced less economic profit than that of the optimum scheme for the best economic profit. In addition, the optimum scheme for maximum grain yield consumed more water and fertilizer than the optimum scheme for the best economic profit. This apparently will increase demand on water and mineral resources and result in leaching of surplus nitrogen and phosphorus into soil and water environments. In contrast, the optimum scheme for the best economic profit produced both relatively high grain yield and economic profit while consumed relatively less water and fertilizer. Considering the water resource and soil conditions, the optimum scheme for the best economic profit was therefore more acceptable and should be recommended in the study area.

Conclusions

Yield response of spring maize (Zhengdan 958, Zea mays L) to water supply and fertilizer input in a semi-arid area of Northeast China was studied. In this field experiment, I, N and P as well as their interaction effects had significant influences on maize grain yield. The yield function derived by the ternary combination was able to describe these influences holistically. To obtain maximum maize grain yield (10506.0 kg·ha⁻¹) in the semi-arid areas examined in this study, the optimum application rates of I, N and P based on the present findings were 930.4 m³·ha⁻¹, 304.9 kg·ha⁻¹ and 133.2 kg·ha⁻¹, respectively. Alternately, to obtain the best economic profit (10827.3 CNY·ha⁻¹), the optimum application rates of I, N and P were 682.4 m³·ha⁻¹, 241.0 kg·ha⁻¹ and 111.7 kg·ha⁻¹, respectively. The latter scheme is recommended in the study area because of its relatively high grain yield and economic profit performance.

Supporting Information

Text S1 A brief interpretation of formulas employed in the manuscript.

(DOCX)

Author Contributions

Conceived and designed the experiments: GHY FSZ ZXL. Performed the experiments: GHY FSZ JG LH PFC. Analyzed the data: GHY FSZ JG. Contributed reagents/materials/analysis tools: GHY FSZ. Wrote the paper: GHY FSZ.

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