

Endoscopic ultrasonography is useful for predicting perforation in the endoscopic resection of gastric submucosal tumors originating from the muscularis propria: a retrospective case-control study

Yi Lu^{1,2}*, Xianhua Zhuo^{1,2,3}*, Qinghua Zhong^{2,4}, Jiachen Sun^{1,2}, Chujun Li^{1,2}, Min Zhi^{2,5}

¹Department of Gastrointestinal Endoscopy, The Sixth Affiliated Hospital, Sun Yat-sen University, Guangzhou; ²Guangdong Provincial Key Laboratory of Colorectal and Pelvic Floor Diseases, The Sixth Affiliated Hospital, Sun Yat-sen University, Guangzhou; ³Department of Otolaryngology, Sun Yat-Sen Memorial Hospital, Sun Yat-Sen University, Guangzhou; ⁴Department of Endoscopic Surgery, The Sixth Affiliated Hospital, Sun Yat-sen University, Guangzhou; ⁵Department of Gastroenterology, The Sixth Affiliated Hospital, Sun Yat-sen University, Guangzhou, China

Purpose: Models for predicting perforation during endoscopic resection (ER) of gastric submucosal tumors (SMTs) originating from the muscularis propria (MP) are rare. Therefore, this study was conducted to determine important parameters in endoscopic ultrasonography (EUS) images to predict perforation and to build predictive models.

Methods: Consecutive patients with gastric SMTs originating from the MP who received ER from May 1, 2013 to January 15, 2021 were retrospectively reviewed. They were classified into case and control groups based on the presence of perforation. Logistic multivariate analysis was used to identify potential variables and build predictive models (models 1 and 2: with and without information on tumor pathology, respectively).

Results: In total, 199 EUS procedures (194 patients) were finally chosen, with 99 procedures in the case group and 100 in the control group. The ratio of the inner distance to the outer distance (I/O ratio) was significantly larger in the case group than in the control group (median ratio, 2.20 vs. 1.53; P<0.001). Multivariate analysis showed that age (odds ratio [OR], 1.036 in model 1; OR, 1.046 in model 2), the I/O ratio (OR, 2.731 in model 1; OR, 2.372 in model 2), and the pathology of the tumors (OR, 10.977 for gastrointestinal stromal tumors; OR, 15.051 for others in model 1) were risk factors for perforation. The two models to predict perforation had areas under the curve of 0.836 (model 1) and 0.755 (model 2).

Conclusion: EUS was useful in predicting perforation in ER for gastric SMTs originating from the MP. Two predictive models were developed.

Keywords: Endosonography; Stomach; Submucosal tumors; Perforation; Muscularis propria Key points: Endoscopic ultrasonography (EUS) provided important information for predicting perforation in endoscopic resection for gastric submucosal tumors originating from the muscularis propria. The ratio of the inner distance to the outer distance measured on EUS images was an important parameter. Two models were built to predict perforation with good accuracy.

ULTRA SONO GRAPHY

ORIGINAL ARTICLE

https://doi.org/10.14366/usg.21265 eISSN: 2288-5943 Ultrasonography 2023;42:78-88

Received: December 29, 2021 Revised: July 4, 2022 Accepted: July 17, 2022

Correspondence to:

Chujun Li, MD, Department of Gastrointestinal Endoscopy, The Sixth Affiliated Hospital, Sun Yat-sen University and Guangdong Provincial Key Laboratory of Colorectal and Pelvic Floor Diseases, The Sixth Affiliated Hospital, Sun Yat-sen University, 26 Yuancun Erheng Road, Guangzhou 510655, China

Tel. +86-2038254116 Fax. +86-2038254116 E-mail: lichujun@mail.sysu.edu.cn

*These authors contributed equally to this work.

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited

Copyright © 2023 Korean Society of Ultrasound in Medicine (KSUM)



How to cite this article:

Lu Y, Zhuo X, Zhong Q, Sun J, Li C, Zhi M. Endoscopic ultrasonography is useful for predicting perforation in the endoscopic resection of gastric submucosal tumors originating from the muscularis propria: a retrospective case-control study. Ultrasonography. 2023 Jan;42(1):78-88.

Introduction

Gastric submucosal tumors (SMTs) are occasionally found during routine gastroduodenoscopy (prevalence, 0.2%-3%) [1], most of which are gastrointestinal stromal tumors (GISTs) and leiomyomas. Based on the newly published European Society of Gastrointestinal Endoscopy (ESGE) guideline, the indications for the treatment of SMTs are as follows: risk of malignancy (GISTs, neuroendocrine neoplasms, and granular cell tumors); symptoms such as obstructions or bleeding; and lesions in specific locations for patients receiving bariatric surgery [2]. If there is an indication for resection, the ESGE suggests endoscopic resection (ER) as an alternative to laparoscopic surgery for gastric GISTs <35 mm in size that protrude into the gastric lumen. ER, which includes endoscopic submucosal excavation (ESE), endoscopic full-thickness resection (EFTR), and submucosal tunneling endoscopic resection (STER), can be performed to curatively resect tumors in an en bloc fashion [3,4], with a high RO resection rate, a low serious complication rate, and a low recurrence rate [2].

The pooled estimate rate of delayed perforation was reported to be 0.14%-5.78%, and the pooled rate of delayed bleeding was 0.14%-1.01% [5,6]. ESE is an endoscopic submucosal dissection (ESD)—derived technology, and it is more suitable for the ER of SMTs originating from the muscularis propria (MP) [7]. For SMTs involving the serosa and extraluminal component, another ESD-derived technology known as EFTR is applied [8-10]. Although both of these procedures can curatively resect tumors in an en bloc fashion, perforation seems to result in more problems. Compared with EFTR, ESE tends to have advantages of earlier postoperative feeding, shorter postoperative hospital stays, lower hospitalization expenses, and a lower probability of infection [8,11]. Hence, attempts should be made to avoid perforation during ER if the circumstances are appropriate. Being able to predict the risk of perforation would help endoscopists be more cautious and be prepared ahead of time; moreover, appropriate patients could be selected for inexperienced endoscopists.

Endoscopic ultrasonography (EUS) is an important technique in the diagnosis of SMTs, as it can provide information on the layer from which the SMTs originate, as well as the size, borderline, and echoes to help evaluate the possible pathology of the SMTs [12]. Additionally, it can show information on the membrane integrity, spatial relationship between the tumor capsule and the serosal layer, and other factors that predict procedural complications and patients' prognoses [13]. Zhang et al. [13] showed that SMTs located in the superficial MP with a length of the muscular connection <40% of the tumor capsular semi-circumference may have a lower risk for perforation.

In real-world clinical practice, it is sometimes difficult to differentiate the superficial and deep MP, due to a lack of a quantification index and the fact that the length of the connection is hard to measure, as it is usually a curved line rather than a straight line. The authors agree with the above-mentioned researchers that a deeper location of the SMT is associated with a higher risk of perforation, and a quantification index would help prove this hypothesis. Therefore, this study was conducted to determine important parameters in EUS images to predict perforation and to attempt to build predictive models to quide clinical practice.

Materials and Methods

Compliance with Ethical Standards

The study protocol was approved by the Institutional Review Board of the Sixth Affiliated Hospital, Sun Yat-sen University (approval code: 2021ZSLYEC-060). The requirement for informed consent from human subjects was waived by the Institutional Review Board.

Patients

Consecutive patients with gastric SMTs originating from MP who received ER in the authors' affiliated hospital from May 1, 2013 to January 15, 2021 were retrospectively reviewed. The criteria for resection of SMTs were as follows: a risk of malignancy, symptoms such as obstructions or bleeding, and an eagerness to resect the tumor from the patients (rather than surveillance). The inclusion criteria were as follows: (1) EUS was performed in the authors' affiliated hospital, and EUS images could be obtained; (2) gastric SMTs were confirmed by using EUS; (3) SMTs originated from the MP; and (4) ER was performed in the authors' affiliated hospital. The exclusion criteria were as follows: (1) failure of ER due to comorbidities; (2) use of band ligation; (3) use of both ER and laparoscopic resection at the start of the treatment (except for cases in which ER failed, followed by conversion to laparoscopic surgery); and (4) use of STER. The patients were classified into two groups based on whether they had perforation (the case group), or not (the control group). Perforation was defined as endoscopically visible perforation during the ER procedure, EFTR, or if perforation was not noticed during ER but was confirmed by using computed tomography (CT) or X-ray results after the procedure (Fig. 1 shows the EUS and ER images of typical cases with or without perforation).

Endoscopic Equipment

The machines used to perform EUS were EU-ME1 (Olympus, Tokyo, Japan), MAJ-1720 (Olympus), SU-9000 (Fujifilm, Tokyo, Japan), SP-900 (Fujifilm). The ultrasonic endoscopes or ultrasound probes used were GF-UE260-AL5 (Olympus), GF-UCT240-AL5 (Olympus),

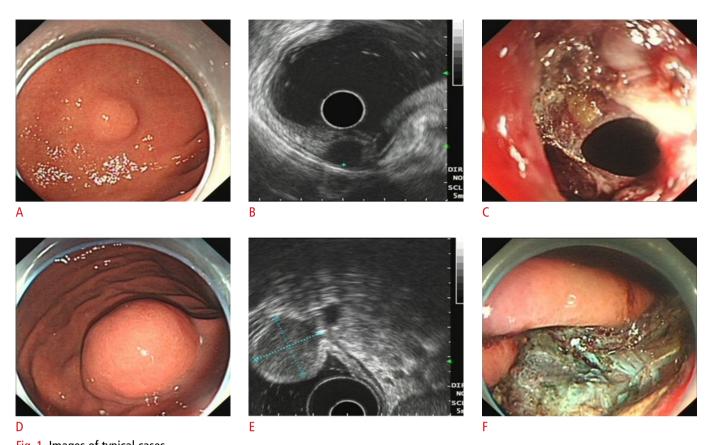


Fig. 1. Images of typical cases.

The endoscopic, endoscopic ultrasonography (EUS) and endoscopic resection (ER) images of typical case with perforation (A–C) and without perforation (D–F) are shown.

UM-2R (Olympus), UM-3R (Olympus), EG-530UT2 (Fujifilm), EG-580UT (Fujifilm), EG-580U (Fujifilm), P2612-M, and P2615-M. (Fujifilm). The EUS procedure was performed via the water immersion method, balloon assistance, or both methods at distinct times. The frequency used for GF-UE260-AL5, GF-UCT240-AL5, EG-530UT2, EG-580UT, and EG-580U was 7.5 MHz or 12 MHz; the frequency for UM-2R or UM-3R was 20 MHz; the frequency for P2612-M was 12 MHz, and the frequency for P2615-M was 15 MHz.

ER Procedure

The endoscopists who performed the ER procedures were divided into two groups based on their experience (experienced endoscopists were defined as those with experience of more than 25 cases every year for gastric SMTs, and inexperienced endoscopists were those with experiences of no more than 25 cases). Some patients were treated under intravenous anesthesia by using propofol with airway intubation, whereas other patients were sedated with midazolam and dezocine. In both situations, heart rate, blood pressure, and oxygen saturation were monitored. Gastroduodenoscopy (GIF-Q260J or GIF-HQ290, Olympus) was used during the procedures. A short,

transparent cap (Olympus) was attached to the tip of the endoscope to improve visualization to facilitate resection. A dual-knife (KD-655L/KD-655Q, Olympus), IT-knife (KD-611, Olympus), hook-knife (KD-620LR, Olympus), or combinations thereof were used to dissect the submucosal layer and to peel the tumor. Other equipment that was used included injection needles (ET2522-C4, ENDO-FLEX GmbH, Voerde, Germany), snares (NOE342216-C, ENDO-FLEX GmbH), high-frequency hemostatic forceps (FD-410LR, Olympus), and hemoclips.

ER was performed in the following manner [3]: first, marker dots were made by using electrocoagulation close to the lesion; subsequently, methylene blue solution (several milliliters) was injected around the lesion by using the injection needle to lift the mucosa off (in some procedures, this step was omitted). The mucosa was incised along the marker dots by using the dual-knife or hook-knife, and the MP layer was peeled along the capsule of the lesions with a dual-knife, IT-knife, hook-knife, or a combination of the knives. After the resection of the tumors, exposed vessels on the wound surface were coagulated via dual-knife or high-frequency hemostatic forceps to prevent delayed bleeding, and clips were

placed (or a purse-string suture was performed) to prevent delayed bleeding or perforation [14]. If perforation occurred in the procedure, then a circumferential incision was made surrounding the lesion by using a dual-knife, IT-knife, or hook-knife. The gastric wall defect was then closed by placing clips or by making purse-string sutures. If pneumoperitoneum occurred, a 20-gauge needle was inserted into the right lower quadrant of the abdomen to decompress the abdominal cavity. A nasogastric tube was then inserted after ER for those cases who had perforation during the procedure.

Pathology Diagnosis

All of the resected specimens were fixed in 10% buffered formalin, embedded with paraffin, sectioned into slides, and stained with hematoxylin and eosin, as well as several immunohistochemical stains (Dog1, alpha-SMA, c-Kit, CD34, desmin, actin, S-100, and other stains). A GIST was diagnosed based on the presence of spindle or epithelioid cells with positive c-Kit, CD34, and Dog1 staining; additionally, leiomyoma or leiomyosarcoma was diagnosed based on positive actin staining, and schwannoma was diagnosed based on positive S-100 staining.

Data Collection

Patients' electronic medical records were reviewed to obtain the following data: age, sex, height, weight, medical history, laboratory tests (routine blood tests, serum albumin [ALB], and carcinoembryonic antigen), imaging results (abdominal CT, EUS findings [the origin, location, and size of the SMT] and the EUS images), gastroscopy findings (the procedure records of ER including procedure time, endoscopists, incidences of perforation, and incidences of en bloc resection), pathology results, final diagnosis, postoperative complications, and other data.

Measurements and Outcome Parameters

To evaluate the depth of the SMT that was located using a quantification index, we developed a parameter known as the ratio of inner distance to the outer distance (I/O ratio). First, we reviewed the EUS images of the patients, and selected one case with the maximum cross-sectional axis of the SMT. Afterwards, two experienced endoscopists (with experience involving more than 200 cases of EUS) discussed and selected the points of the SMT with the most prominent protrusions to the mucosal surface and serosal surface, respectively. If the two endoscopists could not agree with each other, then a third experienced endoscopist evaluated the data. Third, after the two points were determined, Adobe Photoshop CS6 version 13.0×64 (the Ruler tool) was used to measure their distance to the mucosal surface (inner distance) and serosal surface (outer distance) (Fig. 2 shows what the inner distance and outer distance



Fig. 2. Endoscopic ultrasonography image showing the ratio of inner distance to outer distance (I/O ratio).

Endoscopic ultrasonography image of a patient with submucosal tumor originating from muscularis propria showing how to measure the I/O ratio, and the length of the blue line was called the inner distance, while the red line was called the outer distance.

represents); to minimize the measurement error, we measured each distance five times and then eliminated the smallest and largest values. The average value of the remaining three values was regarded as the final distance. Finally, the ratio of the inner distance and the outer distance was calculated, which was represented by the I/O ratio. The endoscopists who selected the images, discussed and selected the points, and measured the I/O were all blinded to whether the images were in the case or the control groups.

The primary outcome parameter was to build models to predict the risk of perforation and to evaluate its accuracy. The secondary outcome parameters included differences between the case and control groups in the EUS findings (the origin, location, size, and I/O ratio of the SMTs).

Statistical Analysis

SPSS version 22 (IBM Corp., Armonk, NY, USA) was used to perform the statistical analyses. As none of the continuous variables in this study had a normal distribution, they were expressed as the median (range) and tested using the nonparametric Mann-Whitney U test. Categorical variables were expressed as numbers (percentages) and tested using the chi-square test. Univariate analysis was first performed, and variables with a P-value <0.1 or that were of interest were included in the logistic multivariate analysis (the forward logistic regression model was chosen). The regression equation

(predictive model) was obtained, the regression coefficients were regarded as the weights for the respective variables, and a predictive value for each patient was calculated. MedCalc version 15.2.2 (MedCalc Software bvba, Ostend, Belgium; http://www.medcalc.org; 2015) was used to draw the receiver operating characteristic (ROC) curve for the use of the predictive values to assess the ability of the model and to identify the optimal cutoff value (with the maximum Youden index) for predicting perforation. The area under the ROC curve (AUC), sensitivity, specificity, positive likelihood ratio (PLR), negative likelihood ratio (NLR), and their 95% confidence intervals (CIs) were also calculated. Statistical significance was defined as P<0.05 (two-tailed).

Results

Baseline Characteristics of Patients

From May 1, 2013, to January 15, 2021, 692 EUS procedures were confirmed to have gastric SMTs originating from the MP, and 199 EUS procedures (194 patients, with five patients having two SMTs, and each SMT being regarded as a separate EUS procedure for the analysis) were finally chosen for analysis. Fig. 3 shows the selection algorithm.

The median age of the patients was 53 years old (range, 19 to 81 years), and 88 (44.22%) of them were men. The two groups had no significant difference in sex, body mass index, or history of hypertension or diabetes. Among the laboratory tests, the ALB level seemed to be higher in the control group (P=0.040).

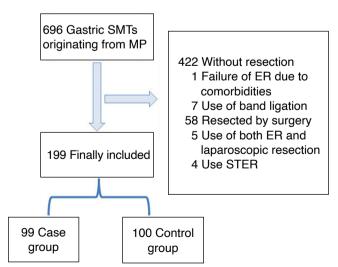


Fig. 3. Selection algorithm of the patients. SMT, submucosal tumor; MP, muscularis propria; ER, endoscopic resection; STER, submucosal tunnel endoscopic resection.

Endoscopic Characteristics and EUS Findings

The median value of the long axis and short axis of the tumor was 1 cm (range, 0.3 to 5 cm) and 0.8 cm (range, 0.3 to 4 cm), respectively, with no significant difference between the two groups. A total of 30.65% of the SMTs mainly grew intraluminally; additionally, 12.06% of the SMTs mainly grew extraluminally, and 57.29% of the SMTs mainly grew both intraluminally and extraluminally. The two groups showed no difference in the growth pattern. The median I/O ratio of the patients was 1.79 (range, 0.31 to 6.24), and the ratio was higher in the case group (P<0.001). The baseline and endoscopic characteristics of the patients are shown in Table 1.

Clinical Outcomes of ER

During ER, 82.91% of the procedures were performed under sedation, and 17.09% of the procedures were under general anesthesia, with no significant difference between the two groups (P=0.730). Five patients (2.51%) experienced technical failure of the ER procedure (all of the patients were in the case group). In one patient, the tumor was too large, and it was partially resected in the first ER procedure; several days later, the tumor was successfully resected in the second ER procedure. In the second patient, the tumor fell into the abdominal cavity after resection by endoscopy, and it was retrieved using laparoscopic surgery. In the third patient, there were difficulties with handling the perforation after resection of the tumor, and a decision was made to perform laparoscopic surgery. The fourth patient did not cooperate well after the perforation and was finally transferred to the operating room; the tumor was then en bloc resected under general anesthesia. For the last patient, the tumor could not be found during the procedure. The clinical outcomes of the patients are shown in Table 2.

The *en bloc* resection rate was 96.48%, with no difference between the two groups (P>0.99). ER lasted for a median of 73 minutes (range, 22 to 543 minutes), and it was longer in the case group (P=0.016). Twenty-one patients (21.21%) in the case group had abdominocentesis during ER. A total of 66.83% of the procedures used injections, and 98.9% of the procedures were performed by experienced endoscopists, with no significant difference between the two groups.

Univariate and Multivariate Analysis

The univariate analysis showed that age, SMT located in the gastric fundus, the I/O ratio, and the pathology of the SMT were potential risk factors for predicting perforation (Table 3). The multivariate binary logistic analysis further proved that age, the I/O ratio, and the pathology were predictive model parameters. However, most of the time, it was not possible to identify the pathology results of the

Table 1. Baseline and endoscopic characteristics of patients with SMTs originating from the muscularis propria

	Total (n=199)	Case (n=99)	Control (n=100)	P-value
Age (year), mean±SD	53±16	55±13	50±18	<0.001
Male sex	88 (44.2)	43 (43.4)	45 (45.0)	0.824
BMI (kg/m²)	23.05 (15.91–36.16)	23.03 (15.91–36.16)	23.22 (16.18–31.59)	0.488
Medical history				
Hypertension	29 (14.6)	19 (19.2)	10 (10.0)	0.066
Diabetes	3 (1.5)	2 (2.0)	1 (1.0)	0.621
Hb (g/L)	136 (88–175)	137 (88–175)	135 (88–167)	0.240
ALB (g/L)	44 (33–57)	44 (33–57)	45 (34–55)	0.040
CEA (ng/mL)	1.76 (>0.5-12.89)	1.85 (>0.5-8.64)	1.66 (0.55-12.89)	0.380
Endoscopic findings				
Size (cm)				
Long axis	1 (0.3–5)	1 (0.3–5)	1 (0.4–4)	0.373
Short axis	0.8 (0.3-4)	0.8 (0.3-4)	1 (0.3–3)	0.807
Location				
Gastric fundus	128 (64.3)	71 (71.7)	57 (57.0)	0.030
Gastric body	64 (32.2)	26 (26.3)	38 (38.0)	0.076
Gastric antrum	5 (2.5)	2 (2.0)	3 (3.0)	>0.99
Cardia	1 (0.5)	0	1 (1.0)	>0.99
Gastric angle	1 (0.5)	0	1 (1.0)	>0.99
EUS findings				
Size (mm)				
Long axis	9.6 (3.1–48.5)	9.8 (4.3-48.5)	9.4 (3.1–25.2)	0.632
Short axis	6.25 (2.2–41)	6.5 (2.4–41)	5.9 (2.2–23.7)	0.400
Growth pattern				
Mainly intraluminal	61 (30.7)	33 (33.3)	28 (28.0)	0.415
Mainly extraluminal	24 (12.1)	13 (13.1)	11 (11.0)	0.644
Both	114 (57.3)	53 (53.5)	61 (61.0)	0.287
I/O ratio	1.79 (0.31-6.24)	2.20 (0.63-6.24)	1.53 (0.31-4.44)	< 0.001

Values are presented as number (%) or median (range) unless otherwise indicated.

SMT, submucosal tumor; BMI, body mass index; Hb, hemoglobin; ALB, albumin; CEA, carcinoembryonic antigen; EUS, endoscopic ultrasonography; I/O ratio, ratio of the inner distance to the outer distance.

SMT before ER; thus, two models were built that differed in terms of whether pathology was included as a parameter: model 1 included pathology, and model 2 did not. Table 4 shows the multivariate analysis results of the two models. The predictive value in model 1 was: $1/[1+e^{-(-5.740+0.036*Age+1.005*1/0 \text{ ratio}+2.396*GIST+2.71*others)}]$, and the predictive value in model 2 was: $1/[1+e^{-(-4.097+0.045*Age+0.864*1/0 \text{ ratio})}]$.

The AUCs of model 1 and model 2 were 0.836 (95% CI, 0.778 to 0.885; P<0.001) and 0.755 (95% CI, 0.689 to 0.813; P<0.001), respectively (Fig. 4 shows the ROC curves of the predictive ability of the two models). In model 1, if 0.64479 was used as the cutoff point, the sensitivity was 64.7% (95% CI, 54.4% to 74.0%), the specificity was 90.0% (95% CI, 82.4% to 95.1%), the PLR was 6.46 (95% CI, 3.50 to 11.80), the NLR was 0.39 (95% CI, 0.30 to 0.50),

and the accuracy rate was 77.39%. In model 2, if 0.49665 was the cutoff point, the sensitivity was 69.7% (95% CI, 59.6% to 78.5%), the specificity was 76.0% (95% CI, 66.4% to 84.0%), the PLR was 2.90 (95% CI, 2.00 to 4.20), the NLR was 0.40 (95% CI, 0.30 to 0.50), and the accuracy rate was 72.86%.

Discussion

In this study, the patients in the case group tended to be older, have lower ALB levels, have SMTs more commonly located at the gastric fundus, have a higher I/O ratio, and have a higher proportion of GISTs or schwannomas. The multivariate analysis further helped in building two models to predict perforation, and the AUCs of model

Table 2. Clinical outcomes of patients with SMTs originating from the muscularis propria who underwent ER

-				
	Total (n=199)	Case (n=99)	Control (n=100)	P-value
Anesthesia				
Sedation	165 (82.9)	83 (83.8)	82 (82.0)	0.730
General anesthesia	34 (17.1)	16 (16.2)	18 (18.0)	
Technical failure	5 (2.5)	5 (5.1)	0	0.029
En bloc resection	192 (96.5)	96 (97.0)	96 (96.0)	>0.99
Time of ER (min)	73 (22–543)	89 (23–543)	65 (22–368)	0.016
Use of injection	133 (66.8)	68 (68.7)	65 (65.0)	0.581
ER performed by experienced endoscopists	197 (99.0)	98 (99.0)	99 (99.0)	>0.99
Other complications				
Infection	9 (4.5)	8 (8.1)	1 (1.0)	0.018
Hemorrhage	2 (1.0)	1 (1.0)	1 (1.0)	>0.99
Subcutaneous emphysema	1 (0.5)	1 (1.0)	0	0.497
Hospital stay after ER (day)	6 (2–27)	6 (2–27)	5 (2–21)	< 0.001
Pathology				
GIST	127 (63.8)	81 (81.8)	46 (46.0)	< 0.001
Leiomyoma	56 (28.1)	8 (8.1)	48 (48.0)	< 0.001
Ectopic pancreas	6 (3.0)	2 (2.0)	4 (4.0)	0.683
Schwannoma	5 (2.5)	5 (5.1)	0	0.029
Others	5 (2.5)	3 (3.0)	2 (2.0)	0.683

Values are presented as number (%) or median (range).

SMT, submucosal tumor; ER, endoscopic resection; GIST, gastrointestinal stromal tumor.

Table 3. Univariate analysis of potential variables to predict perforation of endoscopic resection for gastric SMTs originating from the muscularis propria

	OR	95% CI	P-value
Age	1.048	1.022-1.075	<0.001
Sex	1.066	0.609-1.865	0.824
Hypertension	1.922	0.862-4.283	0.110
ALB	1.003	0.994-1.013	0.501
Long axis on endoscopy >1 cm	0.737	0.419-1.294	0.288
Long axis on EUS > 10 mm	1.248	0.714–2.181	0.438
SMT in gastric fundus	1.913	1.060-3.451	0.031
Growth pattern (reference: intraluminal)			
Extraluminal	1.356	0.727-2.531	0.338
Both	1.360	0.562-3.290	0.495
I/O ratio	2.452	1.693-3.552	<0.001
Pathology (reference: leiomyoma)			
GIST	10.565	4.601-24.262	<0.001
Others	10.000	2.840-35.212	<0.001

SMT, submucosal tumor; OR, odds ratio; CI, confidence interval; ALB, albumin; EUS, endoscopic ultrasonography; I/O ratio, ratio of the inner distance to the outer distance; GIST, gastrointestinal stromal tumor.

Table 4. Multivariate analysis to identify the independent discriminating factors

Factor	Estimate	Standard error	OR	95% CI	P-value
Model 1: with pathology					
Intercept	-5.740	1.051	0.003	-	< 0.001
Age	0.036	0.015	1.036	1.006-1.068	0.019
I/O ratio	1.005	0.224	2.731	1.760-4.237	< 0.001
Pathology (reference: leiomyoma)					
GIST	2.396	0.482	10.977	4.269-28.223	< 0.001
Others	2.711	0.738	15.051	3.544-63.927	< 0.001
Model 2: without pathology					
Intercept	-4.097	0.836	0.017	_	< 0.001
Age	0.045	0.014	1.046	1.018-1.074	0.001
I/O ratio	0.864	0.190	2.372	1.635-3.441	< 0.001

OR, odds ratio; CI, confidence interval; I/O ratio, ratio of the inner distance to the outer distance; GIST, gastrointestinal stromal tumor.

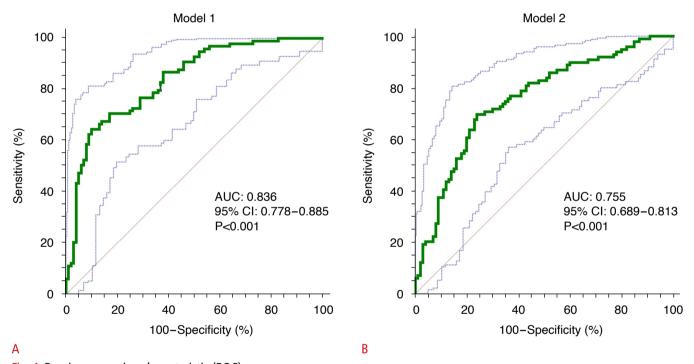


Fig. 4. Receiver operating characteristic (ROC) curves.

ROC curves show the predicting ability of the model 1 (A) and model 2 (B). AUC, area under the receiver operating characteristic curve; CI, confidence interval.

1 and model 2 were 0.836 and 0.755, respectively.

In the case group, a certain proportion (21.21%) of patients had abdominocentesis during ER; these data have not been published in previous studies, thus indicating the importance of avoiding perforation if the situation is appropriate. A literature search demonstrated that there was still a lack of articles investigating risk factors using EUS images to predict perforation. Zhang et al. analyzed 43 patients and found that more SMTs in

the perforation group were located in the deep MP and had a length of the muscular connection ≥40% of the tumor capsular semi-circumference; however, they did not perform univariate or multivariate analyses [13]. Moreover, it was difficult to apply their findings in realistic situations. The authors' experiences supported the proposal that deeper SMTs have a higher risk of perforation; hence, this study developed a new parameter known as the I/O ratio. The reason for the use of this ratio is that this was a retrospective

study, meaning that it was not possible to know the absolute distance; however, it was possible to measure the relative distance, so the ratio was adopted, with a larger ratio indicating a deeper SMT. For the first time, these results proved that the I/O ratio was much higher in the case group, and the univariate and multivariate analyses further supported that the I/O ratio was a risk factor for perforation. This phenomenon is considered reasonable, as a deeper tumor location means that deeper layers were cut, and the higher chance it had to be perforated in ER.

Age was another risk factor for perforation and was selected as a parameter in the model in this study. However, in previous studies, the researchers thought that age was not a risk factor for perforation, but was a possible risk factor for delayed bleeding [15,16]. The reason for this phenomenon may be that the present study regarded age as a continuous variable and did not divide the patients into different age groups, whereas in previous studies, the researchers classified the patients into different age groups. The reason why age was a risk factor for perforation was unknown, although a possible explanation is that older patients were more likely to have comorbid hypertension or other diseases involving vascular sclerosis.

The univariate analysis showed that SMTs located at the gastric fundus were also a risk factor for perforation. Previous studies have supported the idea that tumors located at the upper portion of the stomach were more likely to be perforated [17,18]. There may be several reasons for this. First, it was difficult to reach the fundus due to retroflexion of the endoscope. Second, the knife was vertically oriented to the fundus, which could also result in a prolonged procedure. Third, the gastric fundus is thinner than other parts of the stomach. Fourth, the submucosal arteries were larger in the upper stomach, and intraoperative bleeding may have impaired the field of view during ER [3,19-21]. Moreover, tumors located at the upper portion of the stomach were reported to be associated with incomplete resection [18]; additionally, the spleen and many blood vessels surrounding the gastric fundus increased the risk of other complications [3]. Hence, it was suggested that only experienced endoscopists should perform such procedures [3].

Another interesting finding of this study is that the pathology of the tumors was a risk factor for perforation, as leiomyoma was a protective factor, whereas GIST and schwannoma were risk factors. A reason for this phenomenon may be that, compared with other tumors, leiomyoma was softer, with greater elasticity and better compliance, whereas GIST and schwannoma were relatively harder and were more likely to be perforated. These results were slightly different from a previous study [13], as those researchers showed no difference for perforation regarding pathology; however, in their study, their sample size was smaller than that in this study.

Moreover, Hsu et al. [22] showed that ESD procedures tended to be more likely to involve complications (perforation, delayed bleeding, and surgical interventions) for GISTs than for leiomyomas, which was in accordance with the present results in some ways. The predictive models were constructed with consideration of the fact that it was often not possible to know the pathology result of the SMT before ER; therefore, two models were built, differing in terms of whether pathology was included or not. If the pathology of the SMT is known, model 1 could be used. Of note, a study showed that artificial intelligence (AI) technology could help in differentiating GISTs and non-GISTs [23], especially for cases with sizes ≥20 mm. However, at the present time, the technology of AI has not been widely used in clinical practice; thus, if the pathology is not known in advance, model 2 could be used to make a prediction.

This study had some limitations. First, this was a single-centered study, and the predictive models that were built were not tested in other centers; external validation would be needed to apply the models to other centers. Second, this was a retrospective study, and some information was lacking; for example, the absolute distance of the tumor edge to the mucous layer and serosal layer may also be important factors, but it was not possible to evaluate this factor in the present study. Hence, a well-designed prospective study would be better for the assessment of inner and outer distances. Third, most of the tumors in this study were smaller than 20 mm, which has been reported to be a risk factor for perforation in gastric ESD in other studies [21,24]; thus, this factor was not regarded as an important parameter in the model. The inclusion of more patients with SMTs >20 mm could possibly enable a better model to be built. Fourth, the I/O ratio was measured in this study, although the distance may be influenced by several factors. For example, if the balloon-assisted method was used, the thickness of the layer seemed to change depending on the degree of pressing against the lesion, and if the tumor was adjacent to the liver or the spleen, their thickness would also be influenced. Additionally, various endoscopes and probes were used in this study, which could possibly influence the results; however, as both the inner distance and outer distance would be influenced, calculating their ratio might attenuate the impact of this issue. Thus, caution is warranted in these situations when using this method. Fifth, the function of EUS images in predicting perforation was evaluated in a preliminary sense, and the AUC values of model 1 and model 2 were only 0.836 and 0.755, respectively. However, both of these values were >0.7, which was not optimal, and models with greater AUC, sensitivity, and specificity are still needed.

In conclusion, EUS was useful in predicting perforation of ER for gastric SMTs originating from the MP, and the I/O ratio was an important factor. In addition, age, tumors located in the gastric

fundus, and tumor pathology of GISTs or schwannomas were also possible risk factors. Overall, this study developed two models for predicting perforation, which may serve as a useful tool in clinical practice.

ORCID: Yi Lu: https://orcid.org/0000-0003-3979-5421; Xianhua Zhuo: https://orcid.org/0000-0002-5033-9112; Qinghua Zhong: https://orcid.org/0000-0001-6199-5599; Jiachen Sun: https://orcid.org/0000-0003-3646-1039; Chujun Li: https://orcid.org/0000-0001-6215-9971; Min Zhi: https://orcid.org/0000-0001-8178-5572

Author Contributions

Conceptualization: Lu Y, Li C, Zhi M. Data acquisition: Lu Y, Zhuo X, Zhong Q, Sun J. Data analysis or interpretation: Lu Y, Zhuo X. Drafting of the manuscript: Lu Y, Zhuo X, Zhong Q, Sun J. Critical revision of the manuscript: Li C, Zhi M. Approval of the final version of the manuscript: all authors.

Conflict of Interest

No potential conflict of interest relevant to this article was reported.

Acknowledgments

This study was funded by grants from the National Key R&D Program of China (Grant No. 2017YFC1308800), and the Sixth Affiliated Hospital of Sun Yat-sen University of Horizontal Program (Grant No. H202101162024041054).

References

- McCarty TR, Ryou M. Endoscopic diagnosis and management of gastric subepithelial lesions. Curr Opin Gastroenterol 2020;36:530-537.
- Deprez PH, Moons LM, O'Toole D, Gincul R, Seicean A, Pimentel-Nunes P, et al. Endoscopic management of subepithelial lesions including neuroendocrine neoplasms: European Society of Gastrointestinal Endoscopy (ESGE) Guideline. Endoscopy 2022;54:412-429.
- 3. Li B, Chen T, Qi ZP, Yao LQ, Xu MD, Shi Q, et al. Efficacy and safety of endoscopic resection for small submucosal tumors originating from the muscularis propria layer in the gastric fundus. Surg Endosc 2019;33:2553-2561.
- 4. Zhai YQ, Chai NL, Zhang WG, Li HK, Lu ZS, Feng XX, et al. Endoscopic versus surgical resection in the management of gastric schwannomas. Surg Endosc 2021;35:6132-6138.
- 5. Ponte Neto FL, de Moura DT, Sagae VM, Ribeiro IB, Mancini FC, Boghossian MB, et al. Endoscopic resection of esophageal and gastric submucosal tumors from the muscularis propria layer: submucosal tunneling endoscopic resection versus endoscopic submucosal excavation: a systematic review and meta-analysis.

- Surg Endosc 2021;35:6413-6426.
- Granata A, Martino A, Ligresti D, Tuzzolino F, Lombardi G, Traina M. Exposed endoscopic full-thickness resection without laparoscopic assistance for gastric submucosal tumors: a systematic review and pooled analysis. Dig Liver Dis 2022;54:729-736.
- 7. Suzuki H, Ikeda K. Endoscopic mucosal resection and full thickness resection with complete defect closure for early gastrointestinal malignancies. Endoscopy 2001;33:437-439.
- 8. Zhai YQ, Chai NL, Li HK, Lu ZS, Feng XX, Zhang WG, et al. Endoscopic submucosal excavation and endoscopic full-thickness resection for gastric schwannoma: five-year experience from a large tertiary center in China. Surg Endosc 2020;34:4943-4949.
- Du C, Chai N, Linghu E, Li H, Zhai Y, Li L, et al. Clinical outcomes of endoscopic resection for the treatment of gastric gastrointestinal stromal tumors originating from the muscularis propria: a 7-year experience from a large tertiary center in China. Surg Endosc 2022;36:1544-1553.
- Dray X, Giday SA, Buscaglia JM, Gabrielson KL, Kantsevoy SV, Magno P, et al. Omentoplasty for gastrotomy closure after natural orifice transluminal endoscopic surgery procedures (with video). Gastrointest Endosc 2009;70:131-140.
- Li DM, Ren LL, Jiang YP. Long-term outcomes of endoscopic resection for gastric subepithelial tumors. Surg Laparosc Endosc Percutan Tech 2020;30:187-191.
- 12. Kida M, Kawaguchi Y, Miyata E, Hasegawa R, Kaneko T, Yamauchi H, et al. Endoscopic ultrasonography diagnosis of subepithelial lesions. Dig Endosc 2017;29:431-443.
- Zhang Y, Wang Z, Jin T, Li KQ, Hao K, Zhang W, et al. Hyperechoic demarcation line between a tumor and the muscularis propria layer as a marker for deciding the endoscopic treatment of gastric submucosal tumor. J Zhejiang Univ Sci B 2017;18:707-716.
- 14. Qiao Z, Ling X, Zhu J, Ying G, Xu L, Zhu H, et al. Therapeutic application of purse-string sutures with nylon loops and metal clips under single-channel endoscopy for repair of gastrointestinal wall defects. Exp Ther Med 2018;15:4356-4360.
- Sugimoto T, Okamoto M, Mitsuno Y, Kondo S, Ogura K, Ohmae T, et al. Endoscopic submucosal dissection is an effective and safe therapy for early gastric neoplasms: a multicenter feasible study. J Clin Gastroenterol 2012;46:124-129.
- Toyokawa T, Inaba T, Omote S, Okamoto A, Miyasaka R, Watanabe K, et al. Risk factors for perforation and delayed bleeding associated with endoscopic submucosal dissection for early gastric neoplasms: analysis of 1123 lesions. J Gastroenterol Hepatol 2012;27:907-912.
- 17. Mannen K, Tsunada S, Hara M, Yamaguchi K, Sakata Y, Fujise T, et al. Risk factors for complications of endoscopic submucosal dissection in gastric tumors: analysis of 478 lesions. J Gastroenterol 2010;45:30-36.
- 18. Yoon JY, Shim CN, Chung SH, Park W, Chung H, Lee H, et al. Impact

- of tumor location on clinical outcomes of gastric endoscopic submucosal dissection. World J Gastroenterol 2014;20:8631-8637.
- 19. Li L, Wang F, Wu B, Wang Q, Wang C, Liu J. Endoscopic submucosal dissection of gastric fundus subepithelial tumors originating from the muscularis propria. Exp Ther Med 2013;6:391-395.
- 20. Qiao WG, Ren YT, Gong W, Jiang B, Liu SD, Zhou D, et al. Capaspiration lumpectomy for small submucosal tumors originating from the muscularis propria of the gastric fundus: a preliminary study (with videos). J Dig Dis 2015;16:642-648.
- 21. Ohta T, Ishihara R, Uedo N, Takeuchi Y, Nagai K, Matsui F, et al. Factors predicting perforation during endoscopic submucosal dissection for gastric cancer. Gastrointest Endosc 2012;75:1159-

- 1165.
- 22. Hsu WH, Wu TS, Hsieh MS, Kung YM, Wang YK, Wu JY, et al. Comparison of endoscopic submucosal dissection application on mucosal tumor and subepithelial tumor in stomach. J Cancer 2021;12:765-770.
- 23. Kuwahara T, Hara K, Mizuno N, Haba S, Okuno N, Koda H, et al. Current status of artificial intelligence analysis for endoscopic ultrasonography. Dig Endosc 2021;33:298-305.
- 24. Kim HJ, Chung H, Jung DH, Park JC, Shin SK, Lee SK, et al. Clinical outcomes of and management strategy for perforations associated with endoscopic submucosal dissection of an upper gastrointestinal epithelial neoplasm. Surg Endosc 2016;30:5059-5067.