Biobehavioral Pathways and Cancer Progression: Insights for Improving Well-Being and Cancer Outcomes

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Abstract

The relationship between psychosocial factors and cancer has intrigued people for centuries. In the last several decades there has been an expansion of mechanistic research that has revealed insights regarding how stress activates neuroendocrine stress-response systems to impact cancer progression. Here, we review emerging mechanistic findings on key pathways implicated in the effect of stress on cancer progression, including the cellular immune response, inflammation, angiogenesis, and metastasis, with a primary focus on the mediating role of the sympathetic nervous system. We discuss converging findings from preclinical and clinical cancer research that describe these pathways and research that reveals how these stress pathways may be targeted via pharmacological and mind-body based interventions. While further research is required, the body of work reviewed here highlights the need for and feasibility of an integrated approach to target stress pathways in cancer patients to achieve comprehensive cancer treatment.

Keywords

biobehavioral, stress, cancer progression, beta-adrenergic, sympathetic nervous system, mind-body interventions, cortisol, inflammation

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Introduction

There has been a long history of fascination regarding a potential relationship between psychological factors and cancer dating back to the ancient Greeks.¹ In the second century A.D. the Greek physician Galen proposed that women with melancholic (depressive) dispositions were more likely to have tumors of the reproductive organs than women with a "sanguine" (optimistic) disposition. This notion was propounded by physicians throughout the Middle Ages and even into the 20th century.^{1,2} Epidemiologic studies, using more systematic approaches, have further revealed the association between psychological factors in both cancer incidence (emergence of a new cancer in a previously cancer-free individual) and progression of an already existing cancer.

The relationship between psychological factors and cancer incidence remains controversial, as some studies have identified a relationship between cancer incidence and psychological adversity, including traumatic or severe life events, severe distress, or long-term depression,³⁻⁹ while other studies have been unable to document such relationships.^{4,10-12} Interested readers are referred to a recent

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Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage). review addressing the potential molecular mechanisms underlying the role of stress in tumor initiation.¹³

In contrast, more consistent associations between psychosocial risk factors and cancer progression have emerged in the epidemiological literature. The majority of studies have identified an association between trauma history,¹⁴ social isolation,¹⁵⁻¹⁷ distress,¹⁸ or depression,¹⁹⁻²¹ with more rapid disease progression or shorter survival, although some studies have not supported such relationships.²² A recent meta-analysis of over 280 000 patients with breast cancer reported that both depression and anxiety were associated with a higher risk of recurrence and of all-cause mortality, and that depression was additionally associated with greater breast-cancer specific mortality.²³ Other metaanalyses provide further support that depression,²⁴ stressful life events,^{25,26} and social isolation²⁷ are associated with poorer survival in cancer patients.

Over the last several decades there has been an expansion of mechanistic research examining how biobehavioral pathways impact cancer progression. This review will discuss the key stress pathways that have been implicated in tumor progression with a focus on the sympathetic nervous system. We will examine effects of stress on immune cells including effects on cellular immunity and inflammation, noting that investigation of psychoneuro immunology was the primary driver of this field of research in its early stages. We will also describe evidence that has emerged over the last 2 decades on the direct effects of stress on cancer cells and other cells in the tumor microenvironment. Finally, we will discuss how this understanding can be leveraged to improve outcomes for patients, including some of the pharmacological and mind-body based interventions that operate via stress-related pathways.

Neuroendocrine Stress Response Systems

Stress has been defined as a challenge that exceeds the organism's perceived ability to respond.²⁸ Stressors can be acute (short-term) or chronic (long-lasting or ongoing).²⁹ The human organism has a highly orchestrated response to stress. When a stressor is encountered, the individual's evaluation of the severity of the challenge and their ability to respond results in activation of a variety of pathways in the central nervous system, particularly in the cortical and limbic areas of the brain. The integrated response of the brain is transduced into the body via 2 key systems. The sympathetic nervous system (SNS) mediates the "fight or flight response" with release of neurotransmitters including epinephrine and norepinephrine, and the hypothalamic pituitary adrenal (HPA) axis mediates the "defeat/withdrawal" response with release of cortisol. A variety of neurohormonal mediators such as oxytocin and endorphins are also involved in the stress response. These responses are integrated into a physiological stress response.28,30-32

The SNS serves as a pivotal homeostatic switch that regulates major physiological systems in response to external stress stimuli.33 Activation of the SNS leads to the release of epinephrine (adrenaline) from the adrenal medulla into the blood circulation and release of norepinephrine (noradrenaline) from sympathetic nerve fibers that are present in most tissues throughout the body³³ including various types of tumor.³⁴⁻³⁷ The sympathetic nerves and adrenal comprise the sympathoadrenal system.28 medulla Epinephrine and norepinephrine bind to α - and β -adrenergic receptors (β AR). Both of these neurotransmitters have higher affinity towards αAR than βAR , although βAR subtypes are dominant in the tumor microenvironment.³⁸ Upon binding with these receptors, neurotransmitters acti-

vate a cascade of downstream signaling that regulates gene

transcription, protein expression, and cellular functions.³⁹ The HPA response involves production of corticotrophin releasing factor (CRF) and arginine vasopressin by the hypothalamus, activating the pituitary to secrete adrenocorticotropic hormone (ACTH) which stimulates the adrenal cortex to produce the glucocorticoid hormone cortisol. Cortisol is secreted according to a diurnal rhythm, cresting in the early morning before awakening and decreasing over the course of the day to reach a nadir late at night.^{40,41} The diurnal cortisol rhythm can become dysregulated through extensive stress, inflammation, or disease.⁴¹ In such cases the slope often becomes flatter; with elevations of evening cortisol or blunting of the rise of morning cortisol.⁴¹ Flattened cortisol slopes have been associated with poorer health in multiple conditions, including cancer as will be described below.41 Glucocorticoids play a key role in regulating growth and metabolism and also provide endogenous control of inflammation.32 The SNS and HPA stress response pathways are evolutionarily adaptive in that they prepare the organism to mobilize resources in the face of threat. However, prolonged mobilization of these stress response systems, which is common in many modern-day chronic stressors, can have negative consequences for many body systems.^{31,42,43} The impact of prolonged activation of the SNS and the HPA includes downregulation of cellular immunity, upregulation of inflammatory responses, metabolic dysregulation, and loss of sensitivity to glucocorticoid feedback which would otherwise downregulate inflammation.31,42,43 Chronic over-activation of the neuroendocrine stress response may result in allostatic overload, which in turn can lead to negative health outcomes, increased risk for cardiovascular and metabolic diseases, and increased vulnerability to infections.28,31,44,45

Certain checks and balances are built into the stress response systems, along with processes that promote restoration. The parasympathetic nervous system, including the vagus nerve and cholinergic mediators, plays an important role in antagonism of SNS signaling and inflammatory control.²⁸ Oxytocin, a peptide synthesized in the hypothalamus and secreted by the posterior pituitary, attenuates the stress response by decreasing cortisol production, lowering blood pressure, activating the parasympathetic nervous system, and increasing vagal tone.⁴⁶ In keeping with its role as an anxiolytic, oxytocin is linked with positive mood states, stimulates affiliative behavior in response to stress, decreases inflammation, and enhances the cellular immune response.⁴⁷

While neurohormones from these neuroendocrine systems may communicate with the tumor via peripheral circulation, anatomical evidence suggests direct communication with the tumor may also occur. Nerves have been documented in different types of tumors, including breast,48-50 prostate,³⁶ pancreatic,⁵¹ head and neck,³⁴ gastric,⁵² ovarian,⁵³ and salivary cystic carcinoma.³⁵ Additionally, the presence of nerves in tumors has been linked with more invasive tumors, higher tumor grade, and enhanced regional and distant metastasis.^{34,37,48,49,51} Examination of the type of nerves found in tumors showed that sympathetic nerves were associated with poor recurrence-free survival, while the presence of parasympathetic nerves was associated with better recurrence-free survival in women with breast cancer.³⁷ Preclinical studies have confirmed a causal role of sympathetic nerves in cancer progression. Depletion of sympathetic nerves using either a toxin called 6-hydroxydopamine, a viral vector, or a surgical strategy, reduced norepinephrine levels in tumors and decreased tumor mass and metastasis in preclinical models of breast cancer.^{37,54,55} On the other hand, selective activation of parasympathetic nerves using a viral vector approach similarly decreased tumor mass and metastasis in preclinical models of breast cancer.³⁷ However, parasympathetic nerves have also been shown to exert pro-tumorigenic effects in stomach cancer, suggesting that the role of different types of nerves in cancer progression may differ across different cancer types.⁵² These findings highlight that neuroendocrine systems can interact with the tumor via systemic pathways and local tumor innervation.

In addition to these systemic effects, a variety of cells in the tumor microenvironment also express receptors that are responsive to these neuroendocrine pathways, allowing stress to induce localized changes in the microenvironment that regulate cancer progression. In times of stress, cancer cells, immune cells and other stromal cells in the tumor microenvironment (eg, adipocytes, fibroblasts) respond to neuroendocrine effectors through cell surface receptors including β-adrenergic receptors (βAR)⁵⁶⁻⁵⁹ and glucocorticoid receptors.^{60,61} A body of preclinical studies has demonstrated that elevation of neuroendocrine signaling by stressors such as chronic restraint or social isolation increased progression of solid tumors in mouse models of breast cancer, 56,58,62,63 pancreatic cancer, 64 ovarian cancer, 65 prostate cancer,⁶⁶ colorectal cancer,^{67,68} lung cancer,⁶⁹ and hematopoietic tumors including leukemia⁷⁰ and lymphoma.⁵⁷ On the other hand, paradigms including enriched environment and exercise that elevate catecholamine and endorphins while also activating sensory nerves

have been shown to exert anti-tumor effects in animal models.^{71,72} These seemingly opposing findings highlight the complex interaction between these neuroendocrine pathways and their impact on cancer progression. In the next sections, we will examine preclinical and clinical findings that describe how the SNS and HPA axes mediate the adverse effects of stress on cancer progression.

Stress and the Cellular Immune Response: The Role of Psychoneuroimmunology in the Context of Cancer

The immune system has a critical role in tumor surveillance and elimination. Immune effector cells-including natural killer (NK) and T cells-identify tumor cells in peripheral circulation and target them for destruction, as well as attacking tumor cells in primary and metastatic tumor sites. The impact of stress on the cancer-related immune response is evident in a substantial body of psychoneuroimmunology (PNI) research dating back more than 50 years. Studies in the general population documented that stress and other negative psychological states such as social isolation, depression, bereavement, and marital discord are associated with consistent neuroendocrine alterations and impairments of the cellular immune response, including number and activity of T cells, B cells and related cytokines, and NK cells.⁷³ Neuroendocrine alterations associated with social support/isolation that are thought to mediate downstream effects on the immune response and on other tumor-related pathways are described in Box 1.

Some of the earliest research on stress and cancer in humans focused on NK cells, which perform surveillance for tumor cells and destroy tumor cells independent of the effects of T cells. For example, among early-stage breast cancer patients, poor social support after surgery was associated with decrements in NK cell cytotoxicity both concurrently and 3 months later.⁸¹⁻⁸³ Subsequently, Andersen and colleagues reported that breast cancer patients with higher levels of stress between surgery and chemotherapy showed impairments in NK cell activation and cytotoxicity and reduced T cell proliferation, indicating compromised innate and cellular immunity.84 Moreover, changes in the immune response paralleled changes in stress levels following breast cancer treatment. Specifically, those patients who reported an early decrease in post-operative stress also had the most rapid recovery of NK cell cytotoxicity following treatment.⁸⁵ Another study reported that greater social attachment (emotional social support) was associated with increased numbers of white blood cells in breast cancer patients 3 months after completion of chemotherapy.⁸⁶ Social support has also been associated with higher levels of cellular immune functioning (NK and T cell response) in breast and ovarian cancer patients.^{87,88} Similarly, in

Box I. Neuroendocrine Correlates of Social Support

- Some of the strongest links between psychosocial factors and cancer come from studies of social support. These studies suggest that in the context of cancer, social support may modulate key neuroendocrine mediators of tumor progression, including norepinephrine, cortisol, and oxytocin. These mediators are thought to underlie the social support-immune relationships and social support-tumor relationships discussed in the review.
- High social support has been associated with lower mean salivary cortisol in metastatic breast cancer patients⁷⁴ and with steeper diurnal cortisol slope in ovarian cancer patients surviving more than 5 years.⁷⁵ Social support may also modulate signaling through the sympathetic nervous system. Higher levels of social attachment (emotional social support) were associated with lower levels of both tumor and ascites norepinephrine in ovarian cancer patients.⁷⁶ Similar associations have been observed in childhood cancer patients, where social support from friends predicted lower urinary norepinephrine, and self-worth and family support were related to lower urinary epinephrine.⁷⁷ Additionally, at the time of surgery, ovarian cancer patients reporting higher levels of the facet of social support involving nurturing of others aspect had higher levels of tumor-associated oxytocin.⁷⁸ Taken together these findings indicate more normalized neuroendocrine profiles associated with social support.
- It may also be important to distinguish between negative aspects of social support (such as criticism or social constraints) and positive aspects of social support, and to consider that they may have differential effects, and that negative social support may be qualitatively different than social isolation. Illustrating this point, one study of 181 breast cancer patients found that high levels of negative social support were associated with a flatter (less healthy) diurnal cortisol slope, but in contrast to findings in other labs, found no relationships of positive social support with cortisol slope.⁷⁹
- Social support has important implications with respect to clinical prognoses in cancer patients. For example, among epithelial ovarian cancer patients, those with greater social support had an approximately 13% lower risk of death, controlling for clinical covariates.⁸⁰ A meta-analysis including 87 studies of cancer patients reported that high levels of perceived social support were associated with a 25% decreased relative risk for mortality, and presence of a larger social network and being married were associated with 20% and 12% decreased relative risk for mortality, respectively.²⁷
- It is not clear what elements of social support are most potent in driving the neuroendocrine-immune-tumor cascade. It is possible that an increased sense of safety, opportunities for emotional expression, feeling understood or supported by others, or a sense of efficacy may reduce threat physiology and be driving some of the neuroendocrine processes underlying these effects.

post-surgical breast cancer patients, greater anxiety was related to lower production of interleukin-2 (IL-2), a key growth factor for T cell proliferation. In contrast, greater positive affect (often defined as a tendency to experience positive emotions)^{89,90} was related to higher levels of interleukin-12 (IL-12) and interferon gamma (IFN γ) production, suggesting more robust cell-mediated immunity and potentially better tumor control.⁹¹

In addition to these observations in the peripheral blood, stress-immune relationships impair the local immune response in several cancer types by impacting tumor infiltrating lymphocytes (TIL) in the tumor microenvironment (TME). Among women undergoing surgery for ovarian cancer, those reporting lower levels of social support showed poorer NK cell cytotoxicity in both peripheral blood and in tumor infiltrating immune cells.⁸⁸Additionally, ovarian cancer patients reporting higher levels of distress had poorer NK cell cytotoxicity in TIL and impaired antitumor T cell cytokine response in tumors, ascites, and in circulating lymphocytes.92 Another study examined the effects of stress on the local immune response to tumor in basal cell carcinoma. Tumor biopsies were taken from basal cell carcinoma patients who had experienced early life adversity. Those who had experienced not only early life adversity but also had experienced a recent traumatic event had an impaired local immune response to the tumor as indicated by markers linked to signal transduction, immune cell activation, and migration (CD25, CD3e, ICAM-1, and CD68) as compared to patients with early childhood adversity who had not experienced a recent severe life event.⁹³ Taken together these findings demonstrate that social factors and psychological stress are associated with changes in immune cells in the tumor microenvironment that can impair cancer control.

The impact of chronic stress on anticancer immunity is mediated at least in part through the activation of the sympathetic nervous system and downstream βAR signaling. Mechanistic studies have shown that activation of sympathetic nerves in lymphoid tissues including the spleen and lymph nodes inhibits trafficking of lymphocytes to these organs^{58,94} and inhibits production of cytokines including Type 1 interferons that support the cellular immune response.⁹⁵ Activation of β AR signaling, in particular β_2 AR, also stimulates immune cells that downregulate the cellular immune response and promote humoral immunity,96-98 thereby downregulating components of the immune system that are most relevant to tumor control. Similar regulation of β AR signaling in immune cells in the tumor microenvironment has also been reported. Animal studies showed that activation of the SNS by chronic stress (physical restraint) or cold stress (exposure to cold temperatures) increased recruitment of macrophages and myeloid-derived suppressor cells to tumors^{56,58,97} and reduced numbers of functional cytotoxic CD8⁺ T cells within the tumor.^{57,99} Conversely, blocking β AR signaling with the beta-blocker (β -blocker) drug propranolol inhibited the effects of stress on the recruitment of immunosuppressive myeloid cells, restored NK cell cytotoxicity, increased CD8⁺ T cells in mammary tumors, and consequently slowed cancer progression.^{56,58,97,99,100} These studies raise the possibility that the SNS may be targeted to enhance anti-cancer immunity within the tumor microenvironment.

Stress and Inflammation in Cancer

Inflammation is described by Hanahan and Weinberg as an "enabling characteristic" that supports the development and progression of cancer.^{101,102} Negative psychosocial factors such as depression, stress, and social isolation have been associated with higher levels of inflammation across several cancer types. Pro-inflammatory cytokines including IL-6 are activated as part of the stress response, and are also involved in key processes related to tumor metastasis such as angiogenesis.^{103,104}

In women with advanced stage ovarian cancer, greater social isolation was associated with higher levels of IL-6 both in peripheral blood and in ascites (malignant effusions surrounding tumors), highlighting a relationship between psychosocial risk factors and inflammatory processes that could support tumor growth.¹⁰⁵ In women with breast cancer, elevated depressive symptomatology after surgery was associated with higher circulating levels of inflammatory cytokines including IL-6, tumor necrosis factor alpha (TNF- α), and interleukin-1 beta (IL-1 β).¹⁰⁶ Similarly, breast cancer patients with higher levels of social isolation had a greater shift from an anti-tumor M1 macrophage phenotype to a pro-tumor M2 macrophage phenotype in their tumor tissue.¹⁰⁷ In breast cancer patients, negative mood and greater serum cortisol levels have been associated with RAGE receptor (Receptor for Advanced Glycation End products) ligand s100A8/A9, a key driver of inflammation, as described in more detail below.¹⁰⁸ Additionally, higher levels of negative affect and lower levels of positive affect in women with breast cancer post-surgery were associated with greater expression of inflammatory genes and their receptors in circulating leukocytes, ¹⁰⁹ whereas higher levels of social well-being were associated with lower levels of pro-inflammatory and pro-metastatic leukocyte gene expression.⁸⁷ Similar patterns were observed in metastatic renal cell cancer patients, in whom higher levels of depressive symptoms were associated with increased expression of pro-inflammatory and pro-metastatic genes in leukocytes. A subset of these patients showed a similar profile of inflammatory changes in tumor as well.²¹

Mechanistically, chronic stress promotes inflammation via activation of the SNS and downstream β AR signaling. For example, neural activation of adrenergic signaling in

immune cells including NK cells, monocytes, and macrophages increases production of pro-inflammatory cytokines and chemokines, as well as enzymes that support prostaglandin synthesis, inflammation, and pain including cyclooxygenase-2 (COX2).56,110-112 Stress-induced βAR signaling modulates the pattern of gene expression by macrophages, leading to a wound healing phenotype.¹¹³ These macrophages have increased expression of inflammatory mediators and reduced antigen presentation, leading to impaired anticancer immunity.¹¹³ Similarly, adrenergic signaling also polarizes monocytes released from the bone marrow to an inflammatory phenotype.^{95,114,115} These myeloid cells modulate both immune cells and tumor cells via the RAGE receptor.¹¹⁶ RAGE and RAGE ligands are important drivers of inflammation, and when activated are associated with greater lymph node metastasis, distant metastasis, and differentiation of tumor tissue in breast cancer.^{116,117} A key mediator of RAGE activation is the heterodimer s100A8/A9 ligand which has been associated with more rapid development of tumors and metastasis.¹¹⁷ Additionally, stress hormones, including norepinephrine and cortisol have been shown to increase production of pro-inflammatory S100A8/A9 proteins by polymorphonuclear leukocytes, which can lead to the reactivation of dormant tumor cells,¹¹⁸ highlighting an important mechanism whereby stress is implicated in tumor recurrence.

In addition to heightening the inflammatory signature of immune cells, chronic stress also supports a pro-inflammatory tumor microenvironment. Direct activation of βAR signaling in tumor cells elevated the expression of pro-inflammatory genes and has been linked to cancer progression in multiple studies.56,58,62,64 Adrenergic signaling promotes inflammation by increasing the recruitment of macrophages to primary tumors in mouse models of breast cancer^{56,58} and other cancer types.^{119,120} Tumorassociated macrophages have a critical role in supporting cancer progression by increasing the blood and lymph vascular network in the primary tumor.^{56,58} The recruitment of macrophages into the tumor is effectively blocked by propranolol.56,58 Moreover, the gut microbiome has also been implicated in the effects of stress on inflammation.¹²¹ However, whether sympathetic nerves or βAR signaling play a role in the effects of stress on microbiome remains unknown. A recent study reported a critical role of gut microbiome in modulating sympathetic activity in the gut, raising the possibility that strategies that target the gut microbiome could be harnessed to inhibit the adverse effects of sympathetic activity on the progression of solid tumors in the gut.¹²² These studies highlight a role for the SNS in mediating the effects of stress on inflammation in cancer, thus pointing to the possibility of targeting this system to improve cancer outcomes.

Pathways of Cancer Progression: Angiogenesis and Lymphangiogenesis

Angiogenesis and lymphangiogenesis refer to the growth of new blood and lymph vessels, respectively, in the tumor microenvironment.¹²³ These processes contribute to cancer progression: new vessels serve as conduits for nutrient supply, which is critical for exponential tumor growth, and also serve as pathways for tumor cell dissemination.¹²⁴ Both processes are regulated by positive and negative signaling from tumor cells and stromal cells in the tumor microenvironment.125,126 Key molecules supporting angiogenesis and lymphangiogenesis include vascular endothelial growth factor (VEGF), IL-6, and interleukin-8 (IL-8).103,104 The impact of psychosocial factors on angiogenesis and lymphangiogenesis is evident in studies that examined these key molecules in cancer patients with specific psychosocial risk factors. Loneliness was associated with greater expression of tumor VEGF at the time of surgery in colon cancer patients,¹²⁷ while depression and poor quality of life were associated with higher levels of serum VEGF both before and 6 weeks after surgery in these patients.¹²⁸ Conversely, women with ovarian cancer reporting higher levels of social support had lower levels of VEGF in serum pre-surgery¹²⁹ as well as in primary tumor, after adjusting for relevant clinical variables.130

Preclinical research revealed that both angiogenesis and lymphangiogenesis are highly regulated by the SNS, indicating a possible role of the SNS in mediating the effects of psychosocial factors on vessel growth. SNS activation increases angiogenesis and lymphangiogenesis in tumors by upregulating expression of vascular endothelial growth factors (VEGF-A and VEGF-C). 56,58,65,131 The expanded vasculature network in the tumor provides new routes of tumor cell dissemination that enhance metastasis progression.56,58 Preclinical studies showed that activation of BAR signaling in tumor cells increases the production of VEGF and IL-6 in different cancer types including melanoma, nasopharyngeal, and ovarian cancer cells.^{56,65,132-134} Emerging studies have shown that the SNS also interacts with endothelial cells to promote angiogenesis via BAR signaling.59,135 Genetic deletion of βAR in endothelial cells altered endothelial metabolism which inhibited angiogenesis in the tumor and slowed the progression of prostate cancer in a mouse model.⁵⁹ However, whether this effect is generalizable to other cancer types is yet to be explored.

Pathways of Cancer Progression: Invasion and Metastasis

In addition to the impact on anti-cancer immunity, inflammation, angiogenesis, and lymphangiogenesis, stress regulates various aspects of tumor cell behavior that drive tumor cell dissemination. During cancer progression, tumor cells

switch from an epithelial to a mesenchymal phenotype in a process known as the epithelial mesenchymal transition (EMT).¹³⁶ In the switch to a mesenchymal phenotype, tumor cells take on embryonic characteristics and become invasive. In addition to increased invasiveness, EMT polarization is associated with immunosuppression, chemoresistance, and evasion of apoptosis.137,138 Clinical studies show links between stress factors and EMT polarization. In socially isolated breast cancer patients, the primary tumor showed polarization to a pattern of mesenchymal gene expression, a process that appeared to be β -adrenergically mediated.107 A similar EMT polarization was observed in both primary tumor¹³⁹ and exosomes (tumor-derived extracellular vesicles) of socially isolated women with ovarian cancer.¹⁴⁰ In addition to polarizing tumor cells to a more mesenchymal phenotype, stress also affected the release of proteases such as matrix metalloproteases (MMPs), that promote the breakdown and remodeling of the extracellular matrix (ECM), enabling both local and distal tumor spread.¹⁴¹ In women with ovarian cancer, depression, current life stress, or negative affect were associated with greater expression of pro-metastatic MMP9 in tumorassociated macrophages (CD68+ cells); conversely, higher levels of social support were associated with lower levels of MMP9 in primary tumors.¹³⁰ Similarly, depressed patients with renal cell carcinoma showed elevated expression of pro-metastatic MMPs in tumor tissue.²¹

Paralleling these findings, in vitro studies revealed that β-adrenergic signaling upregulates expression of MMPs including MMP2 and MMP9 in tumor cells of various cancer types.^{62,64,133,142} Pharmacological activation of BAR signaling using isoprenaline promoted migration and invasion of breast cancer,^{62,143-145} ovarian cancer,¹⁴² and pancreatic cancer cell lines.⁶⁴ Mechanistic studies showed that BAR signaling enhanced tumor cell invasion by inducing the formation of invadopodia, subcellular structures that degrade the extracellular matrix.¹⁴³ Additionally, βAR regulation of actomyosin dynamics reduced the deformability of tumor cells, resulting in stiffer tumor cells with enhanced contractile and invasive properties.¹⁴⁴ Mechanistic studies in mice revealed that the β_2 AR subtype of the receptor was critical for these effects as downregulation of $\beta_2 AR$ in tumor cells using short hairpin RNA inhibited invasion and metastasis following SNS activation.⁶²

Epithelial cells are anchorage dependent, meaning they normally survive only when adhered to the extracellular matrix (ECM). When epithelial cells detach from the surrounding matrix, they undergo a form of programmed cell death (apoptosis) called anoikis. Tumor cells become resistant to anoikis, enhancing their survival and their metastatic potential.^{146,147} Resistance to anoikis is increased by β AR signaling in pre-clinical models of ovarian cancer, effects which are abrogated by β -blockade. These effects are mediated by focal adhesion kinase (FAK), a tyrosine kinase that promotes cell cycle progression, survival of tumor cells and migration. In response to stimulation by NE, FAK demonstrated increased activation (pFAK^{Y397}). Clinically, primary tumor tissue from ovarian cancer patients with higher levels of depression or higher levels of NE showed elevations in pFAK^{Y397}, which was also linked to poorer overall survival in these patients.¹⁴⁸

Taken together, these findings indicate that psychosocial stress factors are linked to many tumor pathways that support invasion and metastasis through SNS activation and β AR signaling. Collectively, these clinical and mechanistic findings converge to show that diverse cellular components of the TME, including immune effector cells, tumor cells and other stromal cells, are sensitive to the regulation by stress, particularly via β AR signaling. Therefore, approaches that target β AR signaling may slow cancer progression by targeting these different cellular components of the tumor microenvironment. These intervention strategies will be discussed shortly.

Effects of Glucocorticoids and Oxytocin on Tumor Growth and Progression

In addition to activating the SNS, stress impacts cancer progression through the actions of glucocorticoids. Stress activates the HPA axis, which controls glucocorticoid release from the adrenal cortex. Glucocorticoids are important in inflammatory control, but at elevated levels have negative effects, including suppression of the cellular immune response, which impairs immunosurveillance of cancer cells.^{28,32} Glucocorticoids can also act directly on cancer cells to stimulate growth,149 inhibit apoptosis,135 promote tumor progression,¹⁵⁰⁻¹⁵² and induce chemoresistance.¹⁵³ Additionally, glucocorticoids are able to modulate transcriptional activity in tumor-associated fibroblasts and adipocytes to make the tumor microenvironment more favorable for tumor growth and progression.¹⁵⁴ Glucocorticoids have been shown to affect DNA repair in cancer cells, suggesting HPA activation may magnify the accumulation of DNA damage as cancer develops.¹⁵⁰ High levels of the glucocorticoid receptor (GR) in early stage breast cancer patients who are estrogen receptor negative (ER-) have been associated with shorter relapse free survival; moreover, a glucocorticoid activity signature has been identified in ER-breast cancer patients associated with chemotherapy resistance and greater likelihood of relapse.¹⁵⁵ Glucocorticoid treatment was shown to increase GR activation in metastatic sites, modulating expression of genes involved in invasion, and increasing colonization of metastatic target organs by tumor cells,¹⁵¹ all processes which could promote disease progression. Altered diurnal cortisol rhythms, specifically more flattened cortisol slopes, have been observed in several types of cancer and have been associated with poorer survival in patients with ovarian, breast, lung, and renal cell cancers.^{21,156-158} Taken together, these findings highlight the importance of glucocorticoid related processes in tumor progression.

Further studies are needed to fully understand how HPA signaling via glucocorticoids impacts cancer progression and what processes are involved in alterations of diurnal rhythms. As synthetic glucocorticoids are often used to offset the side effects of chemotherapy, the findings may have significant implications for their routine use in cancer treatment.^{151,154} A recent preclinical breast cancer study showed that the effects of synthetic glucocorticoids on cancer progression may change depending on the dose, with lower doses suppressing tumor growth and metastasis and higher doses promoting tumor progression.¹⁵⁹ For specific cancers, glucocorticoid receptor antagonists administered in conjunction with chemotherapy may enhance the effectiveness of chemotherapy.¹⁵⁵

Oxytocin is a neuropeptide that is released from the brain and plays a key role in social bonding. Oxytocin has anti-proliferative, anti-migratory, and anti-invasive effects on a variety of tumor cells, including ovarian cancer cells, both in vitro and in vivo.¹⁶⁰⁻¹⁶² Oxytocin levels in the ovarian tumor microenvironment were associated with lower levels of inflammation as measured by IL-6 both in circulating blood and in the tumor microenvironment. In vitro studies also showed that oxytocin blunted IL-6 secretion from multiple ovarian tumor cell lines. Moreover, ascites oxytocin was related to longer survival in ovarian cancer patients.¹⁶³

Figure 1 describes the mechanisms outlined above and their relationship to the clinical cancer course.¹⁶⁴

Psychological Well-Being and Cancer: Physiological Mechanisms

Positive psychological factors are thought to act as resources to buffer the effects of disease on both mental and physical health.¹⁶⁵ Psychological well-being is a multifaceted concept including factors such as benefit finding, eudaimonic well-being, positive affect, social connection, and resilience.¹⁶⁵ These resources are qualitatively different than the mere absence of negative attributes such as stress, depression, and anxiety.^{166,167} Each of these individual aspects of well-being has been associated with biological outcomes in cancer patients. This section will focus on clinical studies as well-being is more difficult to measure in pre-clinical models.

Benefit Finding

Many cancer patients report finding benefit in the experience of cancer, and a growing body of evidence has demonstrated associations between benefit finding and positive



Figure 1. Effects of stress response processes on evaluation of level of threat, interaction of sympathetic nervous system (SNS), and hypothalamic-pituitary-adrenal (HPA) axis with tumor cells and cells in the tumor microenvironment, and ultimate effects on tumor progression and the clinical course of cancer.

Source: Figure originally published in Green McDonald P, O'Connell M, Lutgendorf SK. Psychoneuroimmunology and cancer: a decade of discovery, paradigm shifts, and methodological innovations. Brain Behav Immun. 2013;30:S1-S9. doi:10.1016/j.bbi.2013.01.003.¹⁶⁴

physiological effects in cancer.^{168,169} Benefit finding has been described through a variety of constructs, including posttraumatic growth (beneficial changes in life perspective following a traumatic life event) and meaning making.^{170,171} Several studies have examined potential mechanisms that might explain the relationships observed between benefit finding and biological outcomes; a systematic review of this literature proposed that changes in a variety of psychological domains involved in benefit finding could enhance allostatic processes, buffering against negative catabolic stress systems in cancer patients and other chronically ill populations.¹⁶⁸ Benefit finding has been associated with steeper (healthier) diurnal cortisol slopes in men treated for prostate cancer,¹⁷² and increases in benefit finding have been related to reductions in afternoon to evening serum cortisol levels after a stress management intervention in women with early-stage breast cancer, suggesting that this aspect of well-being is associated with better HPA axis regulation in cancer patients.¹⁷³ Posttraumatic growth has been associated with steeper diurnal cortisol slopes in women with metastatic breast cancer.¹⁷⁴ As flatter diurnal cortisol slopes have shown prognostic significance in a variety of cancers as noted above,^{21,156-158} these relationships of

benefit finding and post-traumatic growth with cortisol slope may have clinical significance.

In addition to associations with the HPA axis, possible immunomodulatory effects have been explored with respect to benefit finding. Among early-stage breast cancer patients participating in a psychosocial intervention in the months following surgery, increases in benefit-finding were associated with increases in lymphocyte proliferation,¹⁷⁵ indicative of a more robust immune response. In hepatocellular carcinoma patients, assessed before chemotherapy and at 3 and 6-month follow-ups, those reporting greater posttraumatic growth had higher counts of peripheral blood leukocytes at each follow-up, suggesting more rapid recovery from chemotherapy. Moreover, those patients above the median in post-traumatic growth had approximately 6 months longer survival time than those patients below the median.¹⁷¹ It has also been proposed that benefit finding could mediate positive effects of interventions like yoga.¹⁷⁶ Benefit finding has been shown to be both independent of disease severity¹⁷⁷ and associated with disease severity,¹⁷⁸ depending on the context; this indicates the potential importance of evaluating clinical covariates when examining this construct.

Eudaimonic Well-Being

Eudaimonic well-being involves meaning, fulfillment, and purpose, as opposed to hedonic well-being which focuses on positive emotions, pleasure, and pain-avoidance.¹⁷⁹ One specific correlate that has received substantial attention is the conserved transcriptional response to adversity (CTRA), a shift in gene expression associated with chronic stress or uncertainty that is characterized by up-regulation of proinflammatory genes and down-regulation of Type I interferon- and antibody-related genes that, when chronically activated, are associated with inflammation-mediated diseases and poor health outcomes.¹⁸⁰ Eudaimonic well-being has been associated with down-regulation of the CTRA in healthy adults,¹⁸⁰⁻¹⁸² as well as in cancer patients.¹⁸³ Among ovarian cancer patients, women reporting higher levels of eudaimonic well-being had lower levels of tumor norepinephrine, a stress hormone that, as noted above, has been associated with many pathways supporting tumor growth and progression. Additionally, at the time of surgery, ovarian cancer patients reporting higher levels of purpose in life, positive affect, and nurturing of others had higher levels of tumor-associated oxytocin,77 which as noted above has antiinflammatory and anti-stress properties.

Although the construct of hedonic well-being has not been as strongly related to advantageous biological outcomes, positive affect has nevertheless been associated with a variety of beneficial biological outcomes in cancer patients and in community populations. In patients with metastatic renal-cell carcinoma, positive affect was associated with increased hemoglobin and improved survival outcomes.¹⁸⁴ In post-surgical breast cancer patients, a greater ratio of positive-to-negative affect was associated with less pro-inflammatory gene expression in leukocytes, including cytokine, chemokine, and COX2 genes.¹⁰⁹ In early-stage breast cancer patients at treatment completion, high arousal positive affect was associated with lower levels of soluble tumor necrosis factor receptor II (sTNF-RII), thought to be a marker of TNF α pro-inflammatory activity, and predicted stability in those lowered levels over 1 year.¹⁸⁵

Many of the factors described above come together in the concept of resilience, or the capacity to recover quickly from challenges. In one recent large-scale registry study, low levels of stress resilience among men assessed by interview in late adolescence were associated with increased mortality risk among those who subsequently developed cancer, particularly for oropharyngeal, upper respiratory, and prostate cancers and Hodgkin's lymphoma.¹⁸⁶ Another study of 487 invasive breast cancer patients reported that patients with higher levels of resilience assessed pre-surgery had a 63% lower risk of cancer progression, and an 80% lower risk of both cancer-related mortality and allcause mortality over the subsequent 10 years as compared to those with less resilience.¹⁸⁷ Although there are a limited number of preclinical studies on these relationships, one potential mechanism for these effects can be seen in murine models, where positive environment stimulation (eustress) such as life in an enriched environment were recently associated with anti-tumor immune function.⁷¹ Taken together, these findings indicate a modest association of positive psychosocial factors with more normalized neuroendocrine profiles, higher levels of cellular immunity, lower inflammation, less expression of tumor transcriptional profiles supporting invasion and metastasis, and to some extent with survival.

Pharmacologic Approaches to Reduce Stress-Related Cancer Progression

Understanding the role of β -adrenergic signaling has provided molecular insight into the translational applicability of integrative care interventions and a molecular target for pharmacologic intervention. As discussed above, pre-clinical studies show that β-blockade mitigates stress-induced cancer progression through βAR signaling. Researchers have now begun to utilize the same β -adrenergic antagonist drugs that were used in pre-clinical studies to block stress effects on tumor progression and metastasis in human trials. This approach is supported by a significant body of retrospective pharmacoepidemiologic studies that demonstrate reduced cancer progression among individuals exposed to β -blockers.¹⁸⁸⁻¹⁹⁷ A number of studies have highlighted effects for nonselective β-blockers that target both $\beta_1 AR$ and $\beta_2 AR$. The use of β -blockers has been linked to reduced rates of progression for several solid and hematologic malignancies.188-190,197-200 In breast cancer patients these effects include reduced distant metastases and decreased cancer recurrence and cancer-specific mortality.^{188,189} Decreased tumor progression at 2.5 year follow up was observed in propranolol-treated patients with melanoma compared to a subgroup that did not use beta-blockade,¹⁹⁰ while incidental β-blocker use among patients with metastatic colorectal cancer significantly predicted both progression-free and overall survival.¹⁹⁷ β-blocker use has also been associated with decreased distant metastasis-free survival, disease-free survival, and overall survival among patients with non-smallcell lung cancer¹⁹⁹ and a reduced risk of disease-specific death and overall mortality among hematologic malignancy patients.200

While there is substantial pharmacoepidemiologic evidence for a potential protective effect of β -blockers on cancer outcomes, other observational studies have failed to identify similar association on cancer progression (breast²⁰¹), cancer-specific mortality (melanoma²⁰² and colorectal^{203,204}), or recurrence-free or overall survival in patients with nonsmall cell lung cancer.²⁰⁵ There are many plausible reasons

for this lack of observed association, including potential variation by tumor subtype, incomplete data, sampling bias, or variation in surgical or other cancer interventions. One plausible issue is that most patients included in those studies were prescribed newer generation β -blockers that are cardio selective (targeting the β_1 -adrenergic receptor) and thus do not target the β_2 AR. Experimental model systems have identified the β_2 -adrenergic pathway to be implicated in the physiological effects of stress on cancer progression.^{62,97,98,206-209} Epidemiological studies in cancer patients that compared use of cardio selective versus nonselective β-blockade found a favorable effect of non-selective β-blockade.^{189,200} Another important issue is the potential for confounding in non-randomized observational research where indication for β -blocker use is correlated with many diseases that are likely to adversely impact cancer progression. As such, it is critical to conduct additional experimental studies involving randomization of cancer patients to treatment specifically with antagonists that also target β_2 AR such as propranolol.

Several small randomized controlled trials (RCTs) have examined the effect of β-blockade on biomarkers of tumor progression, controlling for some of the confounders described above. These studies have been conducted in breast, ovarian, colorectal, and hematopoietic cancers and found promising initial results showing favorable changes in tumor gene expression profiles following β-blocker administration.²¹⁰⁻²¹⁷ Breast cancer patients have been evaluated both with propranolol alone and in combination with COX-2 inhibitors^{212,217,218}; similar favorable changes in tumor biomarkers were observed as in their companion preclinical models (described above). Propranolol administered for 1 week prior to surgery in early-stage breast cancer patients resulted in down-regulated expression of mesenchymal genes within the tumor, an indication of reduced tumor aggressiveness.²¹² Results from this RCT of 60 women with early-stage breast cancer support the potential for β-blockade to reduce metastatic capacity. Data from another RCT of 38 women with early-stage breast cancer receiving perioperative treatment with propranolol and the COX-2 inhibitor etodolac have also demonstrated favorable impacts on other tumor transcriptome profiles.²¹⁷ Similar impacts of perioperative treatment with propranolol and etodolac on tumor transcriptome profiles were observed in a RCT of 34 patients with colorectal cancer.²¹⁰ Finally, in another RCT of breast cancer patients undergoing surgery and receiving propranolol and/or the COX-2 inhibitor parecoxib, propranolol administration, but not parecoxib alone, abrogated the increased T regulatory cell activity and accompanying suppression of CD4⁺ T cell responses after surgery.²¹⁸ Here, the addition of parecoxib to the propranolol regimen did not demonstrate any additional benefit beyond those evident for propranolol alone.

Molecular biomarker patterns have demonstrated similar improvements following propranolol exposure in nonbreast cancer populations as well. In one study, patients undergoing hematopoietic stem cell transplantation (HCT) following a multiple myeloma diagnosis were administered peri-transplant propranolol, with gene expression assessed once before transplant and 2 times following HCT.²¹⁵ Propranolol-treated patients showed significantly greater decreases in the stress-related 'conserved transcriptional response to adversity' (CTRA) gene expression signature from baseline to post-transplant compared to the control group. As noted above, the CTRA involves up-regulated expression of genes involved in inflammation (eg, IL1B, IL8, *PTGS2[COX2]*) and a complementary down-regulation of genes involved in antiviral responses (eg, IFIT-, OAS-, and MX-family genes). Studies in cellular and animal models have shown that CTRA gene expression is evoked primarily by sympathetic nervous system signaling through β-adrenergic receptors on immune cells.^{114,115} Further, it has been identified as an indicator of biobehavioral impact on cancer progression.^{219,220} Hematopoietic stem cell transplantation patients treated with propranolol showed improvement in other pertinent hematological gene transcripts as well. Results also indicated nonsignificant trends toward accelerated platelet and neutrophil engraftment and decreased infections posttransplant in propranololtreated patients, providing preliminary indications of potential clinical benefit of β-blocker administration. In an RCT of ovarian cancer patients undergoing tumor debulking, peri-surgical propranolol significantly lowered plasma CA-125 levels, a marker of tumor burden, though it was not effective at reducing C-reactive protein, cortisol, or anxiety.213

Non-randomized treatment trials also suggest a potential positive influence of β -blockade in cancer. In a prospective study of 53 patients with Stage IB to IIIA cutaneous melanoma, patients taking daily propranolol were significantly less likely to experience melanoma recurrence than their non-propranolol counterparts,²²¹ amounting to an 80% reduction in cancer recurrence risk among propranolol users. This effect persisted even after adjusting for known prognostic factors. Another study of 23 patients with Stage II-IV epithelial ovarian cancer showed that overall QOL, anxiety, and depression improved, while leukocyte expression of pro-inflammatory genes declined significantly after completion of chemotherapy accompanied by propranolol.²¹⁶

While findings from these recent Phase II RCTs have yielded promising biomarker results in tumor tissues and circulating immune cells, it is important to note that none of these studies involved sufficient sample size or follow-up duration to detect impact on clinical outcomes. In line with the majority of rigorous preclinical data, these observations underscore the translational need for larger Phase III clinical trials powered to detect the impact of β -blockade on cancer recurrence and survival. There are an increasing number of larger ongoing β -blocker RCTs aimed at assessing clinical cancer outcomes.²²² However, an obstacle to success in these studies is the limitation in recruitment due to competition with other traditional pharma-supported oncology trials that typically prohibit additional treatment with another agent such as propranolol.^{214,223} As such, several attempts to test the impact of β -blockade in the context of cancer treatment have been terminated prematurely due to poor accrual (NCT02596867, NCT01857817, NCT03323710) or funding obstacles (NCT01988831). One potential solution is to evaluate propranolol as a stratified arm in trials of a traditional antineoplastic agents^{224,225}; however, this approach is still in its nascency.

If these barriers to translation can be overcome, β blockade may end up being leveraged for particularly vulnerable populations (high distress, low socioeconomic status, depressed, etc.). Preclinical and early clinical data suggest that β -blockers could be used alongside traditional and emerging cancer treatments such as immunotherapy. It will be important for future studies to determine if it is sufficient to target the downstream neurobiological effects of psychosocial stress (eg, using β -blockers), or whether it is also important to target psychosocial distress itself.

Psychosocial Intervention Effects on Stress and Biobehavioral Processes in Cancer

Given the parallel data from pre-clinical experiments and observational clinical studies cited above, indicating strong effects of stress response systems on tumor growth and on the tumor microenvironment, the next step in understanding the role of stress in clinical populations is to experimentally block the stress response and examine downstream effects on cancer-relevant biomarkers and clinical outcomes such as disease progression and survival. Using a similar logic to that underlying the use of β -blockers to block SNS signaling in clinical populations, stress management interventions have been used in cancer patients to modulate stress processes. Stress-management interventions have the potential advantage of working across all stress-response systems and not confining their actions to SNS signaling. Interventions used include cognitive-behavioral therapy (CBT)-based, mindfulness-based and physical-based stress management approaches. The CBT-based approaches teach skills for changing cognitive appraisals of stressful stimuli (cognitive restructuring), improving coping responses to emerging challenges, and teaching interpersonal skills to build social support and reduce social disruption.^{226,227} Mindfulness approaches work by increasing awareness and developing a non-judgmental attitude toward stressful thoughts.²²⁸ Other interventions work by changing bodily

tension and physiological activation through physical approaches such as yoga, Tai-Chi, massage, exercise, acupuncture, and biofield/energy manipulation.²²⁹ Relatively few studies have experimentally demonstrated that interventions can modulate psychological adaptation (eg, lowered distress, negative affect and social disruption, and increased positive affect and benefit finding) in tandem with changes in neuroendocrine (eg, decreased or normalized SNS and HPA activity), and immune system functioning (decreased inflammation and improved cellular/antiviral

Cognitive-Behavioral Approaches

of the strongest evidence available.

In one of the first studies to show effects of a CBT-based intervention on biobehavioral processes in cancer patients, Fawzy et al²³¹ showed in patients with Stage I to II malignant melanoma that a 6-week stress management intervention (relaxation techniques and coping skills training) reduced distress and negative mood, increased cell-mediated immune function (NK cell cytotoxicity) at 6 months²³² and increased time to recurrence and greater overall survival at 6 and 10 years.^{233,234}

immunity).73,230 Studies cited below are a selection of some

Another CBT-based group intervention that included relaxation, cognitive restructuring, coping skills training, and health behavior change strategies provided over 12 months in post-surgical Stage II to III breast cancer patients decreased distress, decreased lymphocyte proliferative responses (LPR– indicative of functional capacity of the immune system to respond to a stimulus), increased healthy eating habits, and reduced smoking rates over 4 months compared to treatment as usual (TAU).²²⁶ By 12 months intervention participants had better staff-rated health status.²³⁵ Patients in the intervention also had lower breast cancer specific mortality rates as well as a 45% reduced risk of cancer recurrence at 11.5-year median follow-up versus TAU.²³⁶

Investigators then conducted secondary analyses comparing patients in the trial who had recurred (N=48) versus those who had not (N=48) and who were matched on sociodemographic and prognostic factors.237 During the 12-months following recurrence, those who had previously received the intervention showed decreased negative mood, increased social support, and greater LPR and NK cell cytotoxicity compared to their counterparts who had received TAU. Once women had recurred there was a reduced risk of death over an 80-month follow-up among those who had been previously assigned to the intervention arm (vs control).²³⁸ Thus CBT-based stress management that improves psychological adaptation (decreased distress) may increase cellular immune function (LPR) early in treatment, prevent inflammatory changes during survivorship, decrease the odds of recurrence and produce

persisting benefits in psychological and immune functioning and health outcomes after the disease recurs.

Another CBT-based group stress management intervention, cognitive behavioral stress management (CBSM), is a 10-weekprogram that teaches cognitive, behavioral and interpersonal skills through in-session activities, CBTbased homework and daily practice of relaxation exercises (progressive muscle relaxation, diaphragmatic breathing, guided imagery, meditation).²²⁷ CBSM was shown in 2 RCTs of Stage 0 to III breast cancer patients recruited after surgery to improve cancer-specific intrusive thoughts, mood, social disruption, and quality of life, 239-241 decrease evening serum cortisol 173,242; and increase LPR and interleukin-2 (IL-2) and interferon-gamma (IFN-y) production to anti-CD3 stimulation^{175,243} over the initial 12 months of primary treatment compared to those assigned to a 1-day psychoeducational control. CBSM effects on Th1 cytokine (IL-2 and IFNy) production may be important for supporting anti-cancer and anti-viral immune signaling.^{244,245} As noted above, reducing evening cortisol is important since flatter diurnal cortisol slopes (due in part to higher evening output) predict decreased survival in multiple cancers.^{21,156-158}

Women assigned to CBSM (vs psychoeducational control) in this trial also showed down-regulation of leukocyte genes for pro-inflammatory cytokines, inflammatory chemokines and their receptors, COX2, and mediators of tissue remodeling and EMT (MMPs); and upregulation of anti-viral immune and anti-tumor response genes.¹⁰⁹ Bioinformatic analyses inferred that this gene expression pattern reflects decreased nuclear factor kappa beta NF- κ B/Rel and the Globin Transcription Factor (GATA) family activity, and increased activity of interferon response factors, which were linked to stress and SNS signaling in prior work.²⁴⁶ CBSM also increased leukocyte glucocorticoid receptor (GR)-related gene expression including an over-representation of GR response elements in the promoters of CBSM-up-regulated genes.¹⁰⁹ These findings suggest that CBSM may reduce inflammatory activity and mediators of tumor invasion as well as reducing stress-induced desensitization of the glucocorticoid receptor,²⁴⁷ thus making cells more responsive to the antiinflammatory effects of glucocorticoids.²⁴⁸ Taken together, these changes would be consistent with processes that would inhibit disease progression.

Patients assigned to CBSM also showed lower odds of all-cause and breast cancer-specific mortality and recurrence at 11-year median follow-up versus psychoeducation controls, after controlling for demographic and medical covariates.²⁴⁹ Since inflammation relates to breast cancer progression,^{58,250} it was plausible that CBSM-related changes in leukocyte transcriptional activities during primary treatment might explain its effects on increased time to recurrence at 8 to 15 years. Using the 53-gene Conserved

Transcriptional Response to Adversity (CTRA) composite derived from circulating leukocytes, investigators found that women assigned to the control condition had significantly increased CTRA over 6 to 12 months while those in CBSM had slightly decreased CTRA. Less CTRA increases over 12 months of primary treatment predicted greater 11 year disease free survival (DFS).²²⁰ This may have implications for stress management in other cancers; for example, greater expression of CTRA predicts decreased disease-free survival in recipients of hematopoietic stem cell transplant for acute myelogenous leukemia.²¹⁹ Together these CBT-based stress management RCTs suggest it might be possible to improve long-term health outcomes in breast cancer patients by modulating immune cell activities (eg, inflammation and anti-viral immune signaling).

Since these combined approaches, which include relaxation training, CBT, and Health Education^{226,227} have been shown to improve psychological adaptation, biobehavioral processes, and health outcomes in breast cancer patients, it is important to understand which specific intervention elements are accounting for these effects. One "dismantling" trial compared the effects of 3 group-based interventions-5-week relaxation training versus 5-week CBT versus 5-week health education—in a 3-armed RCT in postsurgical breast cancer patients. Those assigned to either relaxation training or CBT showed improved mood and emotional well-being251 and reduced inflammatory signaling (circulating s100A8/A9 levels²⁵² and leukocyte NF-κB DNA binding²⁵³) over 12 months compared to those in Health Education. Women showing the greatest increases in perceived stress management skills showed the least s100A8/A9 levels and NF-kB binding over 12 months.^{252,253} Since all 3 conditions were the same length and groupbased the differential effects of CBT and RT versus HE are likely due to stress management skills training rather than attention or the presence of a supportive group. Importantly s100A8/A9 levels have been shown to predict breast cancer metastasis²⁵⁰ and greater NF-kB nuclear binding may enhance inflammatory gene expression. Hence a brief stress management intervention focused on either CBT or relaxation training may be sufficient to bring about changes in biobehavioral processes relevant for breast cancer disease progression. Even briefer interventions have been associated with immunologic changes in cancer patients. One study showed a 2-session stress management intervention teaching CBT and relaxation training skills offered to men prior to prostate cancer surgery decreased negative mood and increased NK cell cytotoxicity by 48 hours after surgery.²¹

In sum, a small number of CBT-based stress management studies have shown effects on biobehavioral processes relevant to cancer progression, and 3 of these have also shown effects on long-term clinical outcomes approximately 10 to 11 years later.^{233,236,249} Similar CBT-based stress management interventions have not been evaluated for long-term clinical effects in cancers beyond breast cancer and malignant melanoma. Given work reviewed here linking stress and biobehavioral processes to clinical outcomes in ovarian cancer, lung cancer, renal cell carcinoma, and hematological cancers it is important to test whether the effects of CBT-based approaches generalize beyond those established to date.

Mindfulness Based Stress Reduction (MBSR)

MBSR interventions have been tested with a similar goal, to determine if the MBSR-induced reductions in the stress response could ultimately enhance biomarkers indicative of stronger protection against recurrence. MBSR comprises 4 to 8 weeks of training in meditation techniques (awarenessraising and mindful movement), mindfulness and stress didactics, and group support, and is often followed by a weekend retreat.²²⁸ In an early non-randomized intervention, study patients with breast or prostate cancer, Stages 0 to II, who were at least 3 months post-surgery and prior to receipt of chemotherapy or radiation received an 8-week MBSR program showed reductions in salivary cortisol²⁵⁴ and NK cell production of IL-10 over 12 months.²²⁸ One RCT in Stage 0 to II post-surgical breast cancer patients who did not receive chemotherapy found that 8 weeks of MBSR was associated with greater increases in NK cell cytotoxicity and IFNy production versus a no-treatment control.²⁵⁵ In another RCT among 82 Stage 0 to III breast cancer patients recently completing lumpectomy and adjuvant radiation with or without chemotherapy, women receiving 6 weeks of MBSR showed greater LPR to phytohemagglutinin (PHA) and an increased ratio of Th1:Th2 cell numbers versus TAU controls up to 2 weeks after the intervention.^{255,256} In another RCT, younger breast cancer survivors (<50 years) who had completed cancer treatment 3 months to 10 years prior were randomized to 6-week group-based mindfulness awareness practices (MAP) intervention (N=39) or wait-list control (N=32). Those assigned to the MAP intervention showed decreases in pre-post intervention perceived stress, and reduced leukocyte NF-kB and increased GR and IFN Type-I gene expression versus controls, suggestive of decreased inflammation and increased inflammatory control.257 Although mindfulness has shown salutary biobehavioral effects in cancer patients these are based on small samples and short follow-up periods with unclear relevance for cancer progression. Future work should test the effects of mindfulness-based approaches on biobehavioral processes and longer-term health outcomes in larger samples of cancer patients.

Physical Stress Management Approaches

The National Center for Complementary and Integrative Health (NCCIH) classifies yoga, Tai Chi, massage,

acupuncture as physical, or combined physical/psychological integrative medicine approaches.²²⁹ Breast cancer survivors who had completed treatment assigned to 12 weeks of yoga showed decreased inflammatory markers in 2 RCTs.^{258,259} Kiecolt-Glaser et al²⁵⁸ reported lower LPS-stimulated production of the pro-inflammatory cytokines IL-1B, IL-6, and TNF- α at 3-month follow-up in those assigned to Hatha yoga (N=100) versus wait-list controls (N=100). Bower and colleagues showed that breast cancer survivors with persistent fatigue randomized to a 12-week Iyengar yoga intervention (N=16) demonstrated reduced leukocyte gene expression for N-κB and cAMP response element-binding protein (CREB) family transcription factors and increased GR gene expression versus those assigned to a 12-week health education control (N=15) over a 3-month follow-up. Those in yoga also showed reduced sTNFR-II pre-post intervention versus controls but had no significant difference in changes in CRP, IL-6, or diurnal salivary cortisol.²⁵⁹ These results point to lowered propensity for inflammation and greater inflammatory control, which may be related to both fatigue and recurrence.

An RCT examining effects of Tai-Chi showed decreased leukocyte inflammatory gene expression in breast cancer survivors with insomnia.²⁶⁰ Compared to breast cancer survivors assigned to CBT for insomnia (CBT-I) those in the Tai-Chi condition (both 3 months of weekly sessions) showed greater reductions in monocyte production of IL-6 and TNF; and reduced leukocyte gene expression for proinflammatory mediators. Bioinformatics analyses inferred that these transcriptional changes were representative of reduced NF-kB signaling, and increased Type I Interferon anti-viral responding and antibody-making genes mirroring the CTRA pattern—over 3 months, and that these transcriptional changes were largely accounted for by monocytes.²⁶⁰

Physical exercise is another physical-based stress management approach that has been used in cancer patients.⁷³ Physical exercise interventions targeting physical activity, strength, and aerobic fitness have been shown to produce beneficial effects in cancer patients.²⁶¹ Findings showing short-term stress appears to enhance anti-tumor immunity⁷³ raise the possibility that the beneficial effects of exercise or physical activity in the context of cancer^{262,263} may work by activating short-term stress physiology and its effects on anti-tumor immunity.⁷³

More "passive" physical approaches involving body manipulations such as massage (breast cancer patients undergoing radiation),²⁶⁴ acupuncture with warmed needles (moxibustion) (colorectal cancer patients undergoing chemotherapy),²⁶⁵ and Biofield therapy/healing touch (cervical cancer patients receiving chemoradiation²⁶⁶) showed increased or stabilized NK cell counts or NK cell cytotoxicity in cancer patients. The results of these trials of physical-based stress management interventions, though based on small samples and short follow-ups, are provocative, suggesting improved control over inflammation and enhanced cellular immunity, and encourage future work with larger samples and longer follow-up periods to assess effects on recurrence and survival.

While the RCTs for CBT-based approaches involved the largest samples sizes and are the only trials showing effects of stress management on both biobehavioral processes and long-term clinical outcomes, they may involve a large investment of time in learning techniques, a willingness to disclose in group formats, and therefore may be only feasible in highly motivated populations. Approaches that do not require patients' exploration of psychological issues (Yoga, Tai-Chi, Biofield therapy) or challenging long-standing cognitive appraisal patterns and coping strategies (mindfulness meditation), may in fact be more preferable to some patients. Comparative effectiveness trials examining effects of different stress management and pharmacological approaches on patient-reported outcomes, biobehavioral processes, and long-term clinical outcomes may be used to address what works best for which patients.

Future Directions

Remotely-Delivered Interventions

Technological innovations make it now possible to offer psychological and mind-body interventions remotely.²⁶⁷ Little is known about the ability of remotely-delivered empirically validated stress management interventions to affect stress and biobehavioral processes in cancer patients.²⁶⁸ One trial showed that men with advanced prostate cancer assigned to an on-line CBSM intervention showed greater improvements in perceived stress management skills and quality of life and a steeper diurnal salivary cortisol slope at 6 months²⁶⁹ but no differences in serum inflammatory cytokines compared to those assigned to an on-line health education control.²⁷⁰ Other ongoing trials are examining the impact of remotely-delivered CBSM in breast²⁷¹ and prostate cancer patients²⁷² who are at earlier stages of disease. Because chronic stress can dampen the immune response to the influenza vaccine in older populations²⁷³ and since treated cancer patients have 4 times the risk of influenza-related mortality,274 one ongoing trial examines the effects of a remotely-delivered CBSM intervention on stress, inflammation and immunologic responses to influenza vaccine in distressed older women undergoing primary treatment for Stage 0 to III breast cancer. Another ongoing RCT is testing whether older Hispanic men with prostate cancer assigned to a similar 10-week remotelydelivered CBSM intervention (Spanish translated) show improvements in inflammatory gene expression, physical symptoms, and QoL.²⁷² These ongoing trials will require long-term follow-up (~10 years) to establish the clinical impact of remotely-delivered interventions.

Addressing Understudied and Underserved Populations

While stress management interventions have been efficacious in reducing self-reported stress and adversity in different patient groups including Black breast cancer survivors²⁷⁵ and Hispanic men with prostate cancer,²⁷⁶ effects on biobehavioral processes and clinical outcomes have been poorly characterized if at all. Expansion of stress management and mind-body trials to diverse populations and ethnic, cultural adaptation of interventions as indicated for these populations, and attention to feasibility/acceptability of interventions is important in this regard. Since stress processes contribute to poorer clinical outcomes in a wide range of solid tumors^{19,21,80,140,158,277} and hematologic cancers,^{219,278} it is imperative that trials evaluate the effects of stress management interventions in these and other cancers, especially in those conditions known to be characterized by racial/ethnic health disparities.

Considerations for Dissemination of Biobehavioral Research in Clinical Oncology Settings

Contemporary questions in intervention research address when, where, and for whom stress management interventions might be best used in clinical oncology settings (for review see Antoni and Dhabhar⁷³). It may be most fruitful to intervene to modulate biobehavioral processes at the earliest possible point in the cancer experience, for example, at the time of diagnosis or peri-surgically. With the emerging use of neo-adjuvant chemotherapy in the context of breast cancer it is plausible to test whether stress management interventions can improve the effectiveness of these regimens in shrinking tumor size between neoadjuvant initiation and surgical debulking, along with examination of the role of stress management on post-surgical outcomes.

Given the established effects of surgery on stress-related biobehavioral processes,²⁷⁹ it is arguable that the peri-surgical period is an important point to explore in further biobehavioral intervention trials.²⁸⁰ This setting has already been exploited in pharmacologic trials targeting biobehavioral stress processes.^{217,281-283} It will also be important to conduct trials testing the effects of "embedding" stress management interventions into adjuvant chemotherapy therapy settings such as chemotherapy infusion suites and the peri-and post hematopoietic stem cell transplant setting, where interventions could be delivered remotely to test effects on biobehavioral processes during treatment, and lasting clinical benefits between and beyond infusion visits.⁷³ In light of the strong links between stress processes and the immune response, biobehavioral processes may be important moderators of the effects of immunotherapy and should be investigated in that setting as well. Given research showing that stress-induced activation of neuroendocrine systems may compromise the effects of adjuvant therapies for cancer,²⁸⁴⁻²⁸⁶ and that some stress management interventions may decrease circulating levels of cortisol²⁴² and leukocyte glucocorticoid receptor expression¹⁰⁹ in breast cancer patients undergoing primary treatment, this raises the intriguing possibility of using pharmacologic or cognitivebehavioral stress management approaches to optimize the effectiveness of adjuvant chemotherapy regimens.

Future work should also test the effects of evidencebased interventions delivered in the period just after notification of recurrence. This is a very stressful period, possibly more stressful than the initial diagnosis of primary disease, where stress management skills may improve immune functioning and survival.²³⁸ We also know little about the effects of stress management interventions later in the cancer treatment process. Most evidence on the effects of stress management interventions on biobehavioral processes comes from post-surgical patients or cancer "survivors" who have completed primary treatment only months prior. It remains to be determined whether these interventions can modulate biobehavioral processes in patients on longerterm oral endocrine or chemotherapeutic regimens or those with chronic (eg, hematologic cancers) or advanced cancers. For example, one large multisite psychosocial RCT for metastatic breast cancer patients failed to show effects of a supportive expressive group psychotherapy intervention on survival, suggesting that more needs to be understood about the impact of stress management on biologically advanced cancers.²⁸⁷ It is possible that the effects of modulating stress through psychosocial interventions may be limited to early stages of disease. A meta-analysis of 15 randomized trials for cancer patients that met Cochrane criteria for methodological quality (N=3000) found that although psychosocial interventions did not provide an overall survival benefit, interventions tested in patients with non-metastatic disease (6 trials; N=1448) showed a 41% reduced risk of cancer mortality.²⁸⁸ Beyond considerations of extent of disease and timing within curative and adjuvant treatment it is important to explore psychosocial and biomedical host factors that predict differential effects of one stress management approach over another (eg, mindfulness meditation vs CBT vs β-adrenergic blockade), or a combination of these approaches. Targeting specific stress management approaches for specific cancer patients may become another extension of precision oncology care in the future.73

Implications for Clinical Practice

As increasing numbers of cancer patients recognize the effects of stress on cancer outcomes and actively pursue integrative and other complementary forms of adjunct cancer treatments, it is increasingly important that healthcare providers understand patients' expectations and motivations²⁸⁹ as well as the evidence-based literature supporting such interventions. As many integrative interventions such as meditation, yoga, Tai Chi, and QiGong are known to modulate neuroendocrine stress response systems as well as inflammation, it is likely that such integrative practices engage the systems described above and can impact mechanisms known to impact tumor growth.²⁹⁰ One of the important lessons from the literature reviewed above is the role of systemic influences on tumor growth and development-the fact that tumor growth is influenced by signaling and metabolites from far outside the tumor microenvironment. This suggests that other integrative approaches that affect balance in the body including manipulative and body-based practices, biofield therapies, and whole medical systems as well as pharmacologic stress management approaches may have significant impacts on the tumor microenvironment.

Directions for Future Research

In summary, some of the key questions for future research address specificity of intervention doses, and integration of stress management approaches with medical care. Future research is needed to specify the extent of practice (or dose) of these interventions that is necessary to have clinically meaningful effects, the mechanisms by which such effects may occur, and windows in treatment when such interventions will be most effective (eg, peri-surgical, during or post treatment, post-recurrence). RCTs are needed to examine whether certain treatments are more well-suited to specific windows in treatment (eg, β-blockers and COX2 inhibitors during a peri-surgical window),²⁹¹ and to what extent are the effects of meditation or CBSM equivalent to those of β -blockers for those patients for whom β -blockers would be contraindicated. Many of the biomarker studies are short term and more research needs to address long-term health outcomes and differential efficacy of interventions for longterm outcomes. As noted above, RCTs testing efficacy of these interventions in diverse populations and cultural adaptation of interventions are critically needed. As the emergence of late effects of cancer treatment, including cardio-toxicity²⁹² and cancer-accelerated aging²⁹³ have become more salient research concerns, testing the effects of stress management interventions and mechanisms of action on these processes presents another challenge for future research. RCTs examining whether stress modulating interventions can enhance efficacy of immunotherapy and decrease chemoresistance are also needed. Other questions for future research include how to provide optimal integration of these modalities with standard cancer care to provide a truly integrative approach.

Conclusions

Given the extensive nature of the evidence supporting a role of stress response systems in tumor growth, it becomes critical to integrate these principles in assessment and treatment of cancer patients who may be at risk, in both traditional and in integrative settings. With increasing research, supportive evidence, and patient interest in integrative care modalities, biobehavioral oncologic interventions that reduce cancer progression by modulating targeted molecular pathways are well poised to become an integral part of comprehensive cancer treatment.

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