ELSEVIER

Contents lists available at ScienceDirect

The Breast

journal homepage: www.journals.elsevier.com/the-breast





Early prediction of cardiovascular events following treatments in female breast cancer patients: Application of real-world data and artificial intelligence

Quynh T.N. Nguyen ^{a,b}, Shwu-Jiuan Lin ^a, Phung-Anh Nguyen ^{c,d,e,f}, Phan Thanh Phuc ^g, Min-Huei Hsu ^{c,g}, Chun-Yao Huang ^h, Chin-Sheng Hung ⁱ, Christine Y. Lu ^{j,k,l}, Jason C. Hsu ^{d,e,f,g,*}

- ^a School of Pharmacy, College of Pharmacy, Taipei Medical University, Taipei City, Taiwan
- b Institute of Pharmaceutical Education and Research, Binh Duong University, Binh Duong province, Viet Nam
- ^c Graduate Institute of Data Science, College of Management, Taipei Medical University, Taipei, Taiwan
- ^d Clinical Data Center, Office of Data Science, Taipei Medical University, Taipei City, Taiwan
- ^e Clinical Big Data Research Center, Taipei Medical University Hospital, Taipei Medical University, Taipei City, Taiwan
- f Research Center of Health Care Industry Data Science, College of Management, Taipei Medical University, Taipei City, Taiwan
- g International Ph.D. Program in Biotech and Healthcare Management, College of Management, Taipei Medical University, Taipei City, Taiwan
- ^h Division of Cardiology, School of Medicine, College of Medicine, Taipei Medical University, Taipei City, Taiwan
- ⁱ Department of Surgery, Taipei Medical University Hospital, Taipei Medical University, Taipei City, Taiwan
- ^j Department of Population Medicine, Harvard Medical School and Harvard Pilgrim Health Care Institute, Boston, MA, USA
- k Kolling Institute, Faculty of Medicine and Health, The University of Sydney and the Northern Sydney Local Health District, Sydney, NSW, Australia
- ¹ School of Pharmacy, Faculty of Medicine and Health, The University of Sydney, Sydney, New South Wales, Australia

1. Introduction

Although breast cancer is not common in males, it is the leading cancer for both sexes combined [1]. Nonetheless, the 5-year survival rate among breast cancer patients is quite high, reaching 90 % [2]. The global count of cancer survivors is on the rise, emerging as a rapidly expanding group within the healthcare systems of numerous countries [3,4]. Considering many shared risk factors between cardiovascular disease and cancer (such as obesity, diabetes, smoking, and alcohol consumption) [5], the population of cancer patients is confronted with a heightened risk of cardiac issues.

Another significant concern is the association between cancer treatment and cardiac disease. Cardiotoxic effects of anticancer medication were initially identified in the 1960s. For instance, anthracyclines can lead to cardiac failure, while antimetabolites carry a high risk of heart attack [6,7]. Many epidemiological studies have also found an association between new anticancer drugs (such as targeted therapy and immune therapy) and cardiovascular events [8–12]. With the advancement of anticancer drugs, other heart-related ADRs have emerged and attracted the attention of health authorities, pharmaceutical companies, and clinicians.

Cardiovascular complications can interrupt cancer treatment and significantly impact life quality of cancer survivors. To predict such adverse events, researchers have conducted machine learning studies. Most models are based on physiochemical properties, making them more suitable for drug discovery and development [13,14]. Some studies have considered clinical features and the implementation in clinical practice. However, they have explored general cancer populations [15,16]. To our knowledge, machine learning models developed for predicting cardiovascular events in breast cancer patients is limited.

In this study, we developed a computational model to predict cardiovascular events following systemic therapy in female patients who suffered from breast cancer. We aimed to evaluate model performance and identify important features for predicting the outcomes.

2. Methods

2.1. Data source

This is a retrospective study utilizing data from Taipei Medical University Clinical Research Database (TMUCRD) [17]. TMUCRD

E-mail address: jasonhsu@tmu.edu.tw (J.C. Hsu).

^{*} Corresponding author. International Ph.D. Program in Biotech and Healthcare Management, College of Management, Taipei Medical University, 11F., No. 301, Yuantong Rd, Zhonghe District, New Taipei City, 235, Taiwan.

obtains data from electronic health records of three affiliated hospitals. This database includes data from 3.8 million individuals in the period between 1998 and 2020, comprising basic information, comorbidities, medication use, laboratory test results, semi-structured, and unstructured data. TMUCRD is linked to Taiwan Cancer Registry (TCR) which provides details of cancer characteristics such as stage, tumor size, lymph node, specific biomarkers, etc. Prior to analysis, this study received approval from Taipei Medical University Joint Institute Review Board. The data was anonymized to ensure privacy.

2.2. Cohort selection

In the current study, female patients confirmed with primary breast cancer (ICD-O-3 code: C50) from 2004 to 2020 were selected. Exclusion criteria were age under 18 years old, absence of medical history during observational period (1 year prior to diagnosis date), and no record of chemotherapy or targeted therapy administration on the database.

2.3. Outcome definition

The outcome was defined by utilizing electronic health records from TMUCRD. The focus of our investigation was cardiovascular events, which comprised arrhythmia, myocardial infarction (MI), conduction disorders, coronary artery diseases (CAD), heart failure (HF), and stroke. The index date was determined as the date when patients were prescribed chemo and/or targeted agents. The outcome was the occurrence of any cardiovascular events during the follow-up time (1 year since the index date). To identify these outcomes, we extracted data using *International Classification of Diseases 9 and 10* from inpatient and outpatient records. The outcome included newly diagnosed cardiovascular events and cardiovascular events that required hospitalization. Details of the ICD code for six outcomes are listed in Supplementary materials.

2.4. Feature selection

This study has incorporated many clinical features which consisted of.

- Demographic information: well-known risk factors for cardiac disease include age, tobacco and alcohol consumption, body mass index (BMI).
- 2. Cancer-related factors: cancer stage, tumor size, specific biomarkers of breast cancer (e.g., human epidermal growth factor receptor 2, hormone status), and cancer treatments (e.g., radiation, surgery).
- 3. Comorbidities: hyperlipidemia, hypertension, diabetes, liver disease, kidney disease, cerebrovascular disease, chronic pulmonary disease, and pre-existing heart conditions are considered conditions that may favor the development of cardiac events according to literature review [18–22]. Utilizing the power of machine learning to explore new predictors, our prediction model also incorporated other diseases from Charlson comorbidities index.
- 4. Concurrent medications: top 10 frequently used medications in the cohort were selected, including statins, metformin and other biguanides, calcium channel blockers (CCBs), beta-blockers, angiotensin II receptor blockers (ARBs), benzodiazepines, antiplatelets, sulfonylureas, DPP-4 inhibitors, and coxibs.
- 5. Laboratory tests: cardiac biomarkers (Troponin T/I, BNP/pro-BNP), left ventricular ejection fraction (LVEF), and other routine lab tests.

For the assessment of comorbidities, we evaluated those diagnosed during one year prior to the index date. Pre-existing cardiac disease is defined as any cardiac event diagnosed before the index date. Regarding medication usage, we considered patients who had received the medications for more than one month within the year prior to the index date.

2.5. Model development and evaluation

The features were tested on 8 machine learning models: Logistic Regression, Linear Discriminant Analysis, Bagging Classifier, Gradient Boosting Classifier, Random Forest Classifier, Light Gradient Boosting Machine Classifier, Extreme Gradient Boosting Classifier, and Voting Classifier. Voting Classifier is an ensemble technique that combines various models to predict an outcome. In this study, Voting Classifier was an ensemble of LDA and RF. The outcome was predicted based on the average probability given to it (soft voting).

The training dataset consisted of data from two hospitals (Taipei Medical University and Wan-Fang hospitals). To evaluate general performance and optimize hyperparameters for the machine learning algorithms, we employed stratified 5-fold cross-validation on the training dataset. For external testing and model generalization, data from Shuang-ho hospital was utilized as the external testing dataset.

To analyze the contribution of features to the best model, we utilized SHAP values (Shapley Additive explanation). Various metrics were employed to evaluate the model's performance, encompassing area under the receiver operating characteristic curve (AUROC or AUC), accuracy, sensitivity (recall), specificity, positive predictive value (precision or PPV), negative predictive value (NPV), and F1-score.

MSSQL Server 2017 was used to process data. Models were trained and tested using Python version 3.8.

3. Results

3.1. Overview of the study population

We found 6464 females who were newly diagnosed with breast cancer and registered in the TCR. 3039 patients were excluded, comprising those younger than 18 years old, individuals lacking medical history on the database, and subjects who did not undergo cancer treatment at the affiliated hospitals. Eventually, 1285 individuals who received chemotherapy or targeted agents were included in the study. This dataset comprised 16,519 visits, with 9015 visits allocated to the training data and 7504 visits to the testing data (Fig. 1).

The baseline characteristics of the studied population are presented in Table 1. The mean (SD) age and BMI were 56.1 years (11.5 years) and 24.3 (4.0), respectively. Most patients were diagnosed with early stages: stage 0 (3.7 %), stage I (27.8 %), and stage II (49.5 %). They were less likely to drink (5.4 %) or smoke (7.3 %). A majority of the cohort underwent surgery (91.7 %) and/or radiation therapy (62.4 %) as first course treatment. Among the population, 97.9 % were treated with chemotherapy, 6.8 % were administered with targeted therapy. Hypertension (22.6 %), hyperlipidemia (17.9 %), and diabetes (14.2 %) were the three most common comorbidities in the cohort. Furthermore, 241 (18.8 %) patients had pre-existing cardiac disease. Within 1 year since the index date, 36 (2.8 %) patients experienced severe cardiovascular events, including 18 newly diagnosed cases and 18 cases with a history of heart disease requiring hospitalization. Coronary artery disease was the most common type (n = 14), followed by arrhythmia (n = 12), heart failure (n = 9), and stroke (n = 7). Only one case of conduction disorder was reported, while no myocardial infarction occurred during the follow-up period.

3.2. Model performance

The receiver operator characteristic curves of various models are demonstrated in Fig. 2. LR was observed with the lowest AUC (0.57). The other machine learning models exhibited moderate performance (AUC ranged from 0.65 to 0.71). Models with the highest AUC were Gradient Boosting and Voting Classifier (0.71). Regarding other metrics, Voting Classifier had an overall better performance compared to Gradient Boosting (i.e., accuracy: 0.84 versus 0.64; precision 0.09 versus 0.06; recall: 0.49 versus 0.75; and F1-score: 0.15 versus 0.11). The

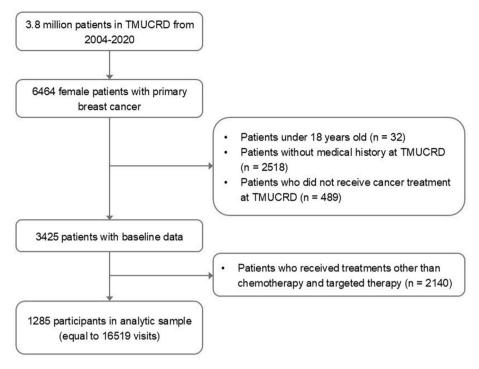


Fig. 1. Cohort selection process.

performance of other models is shown in Table 2. Most models, except for LR and LGBM, had AUPRCs at least 2 times higher than the baseline of random classifier.

Voting Classifier was selected as the optimal classifier for further experiment. The model was applied to patients with a specific type of anti-cancer drug. 6 classes of drug were antimetabolites, alkylating agents, alkaloids, anthracyclines, taxanes, and anti-HER2 therapies (Fig. S1). Model performance for each type of therapy was relatively good, especially for alkylating agents and anti-HER2 therapy (AUC: 0.79 and 0.76 respectively). Average precision of the model for alkylating agents was 10 times higher than the baseline (0.245 vs 0.024).

3.3. Importance score

Importance score was graphed to determine the association between features and cardiac outcome in the best model (Fig. 3). Ten features with the highest important scores were age, tumor size, hypertension, HbA1c, HDL, creatinine, bilirubin, BUN, ALT, and diabetes.

4. Discussion

In this study, machine learning algorithms were applied to predict cardiovascular events following systemic therapy among breast cancer population. Most models showed relatively good performance. Overall, Voting Classifier was the best model (AUC: 0.71, accuracy: 0.84; precision: 0.09, recall: 0.49, F1-score: 0.15). Another analysis was made to investigate how well the model perform for specific anti-cancer drug. In addition, contribution of each feature to the prediction model was also examined.

To date, several risk scores have been proposed to assess cardio-vascular risk for breast cancer population. Most studies focused on trastuzumab or anthracycline users and provided a scoring tool to generally evaluate the cardiovascular risk they might get. Age and comorbidities were the most often used predictors [23–27]. One study included regional or distant invasion as predictors [27]. Some studies considered dose of anticancer drugs [24,27] and cardiac function parameter such as baseline LVEF [23,26,28], In these studies, a risk score was assigned to each risk factor. The prediction models were

constructed of a few numbers of risk factors using logistic regression [23, 28–31] or Cox regression [24,32] as the main algorithm. Discrimination ability was quantified by C-index or AUROC. In general, the models had moderate discrimination (C-index: 0.70–0.79) [23,26,27]. The highest C-index was observed with CHEMO-RADIAT score (C-index = 0.87) [24]. Feng et al. found that predictive model using deceleration capacity of heart rate had a better discrimination than model using baseline LVEF (AUROC: 0.88 vs 0.77, respectively) [31]. Other models had low AUROC, ranging from 0.56 to 0.70 [29,30,33].

Prediction model for cardiotoxicity in breast cancer patients using artificial intelligence approach is limited. Chang et al. reported machine learning models to predict cardiac dysfunction induced by anthracycline [34]. Among the investigated models, Multilayer Perceptron showed an AUC of 0.66 for cardiac dysfunction outcome and an AUC of 0.79 for heart failure with reduced ejection fraction outcome. However, as the data was not stratified during sampling, the model performance might be overestimated. In our study, stratifying by outcome ensured that each class is proportionately presented in both the training and testing sets. This approach prevents any outcome class from being overrepresented or underrepresented, which could lead to biased model training and unreliable performance estimates. Furthermore, unlike previous studies, we took into consideration various cardiac outcomes beyond heart dysfunction. Heart failure is a late toxicity which may be a result of less severe conditions such as arrhythmia, conduction disorder, coronary artery disease. In studies that focused on cardiac dysfunction, the follow up duration was up to 3 or 7 years [24-27]. In the current study, we focused on cardiac events that happened within 1 year since drug use. Detecting early onset cardiovascular events may help to prevent life-threatening conditions. In addition, previous studies only focused on either trastuzumab or anthracycline. Since there is evidence for cardiotoxicity of other anticancer drugs including antimetabolites, alkylating agents, taxanes [35-37]; we also explored our model for these medications.

Our model helps to differentiate between patients who are at increased risk of having cardiotoxicity and patients who are at low risk. According to ESC guidelines, echocardiography should be performed every three months in patients receiving trastuzumab, even in the low-risk group [38]. On the other hand, some studies suggested that

Table 1 Characteristics of the study population.

| | Overall (n=1285) | Training cohort ^a (n=703) | Testing cohort ^b (n=582) |
|---|--|---|---|
| Cardiac outcomes, N (%) | 36 (2.8) | 22 (3.1) | 14 (2.4) |
| Arrhythmia | 12 (0.9) | 9 (1.3) | 3 (0.5) |
| CAD | 14 (1.1) | 10 (1.4) | 4 (0.7) |
| HF | 9 (0.7) | 4 (0.6) | 5 (0.9) |
| Conduction disorder | 1 (0.1) | 1 (0.1) | 0 (0) |
| Myocardial infarction | 0 (0) | 0 (0) | 0 (0.0) |
| Stroke | 7 (0.5) | 3 (0.4) | 4 (0.7) |
| Newly diagnosed cardiac events | 18 (1.4) | 8 (1.1) | 10 (1.7) |
| Demographic information | F(1 (11 F) | 56 6 (10.1) | FF F (10.7) |
| Age, Mean (SD), yrs. | 56.1 (11.5) | 56.6 (12.1) 24.1 (4.0) | 55.5 (10.7) |
| BMI, Mean (SD), kg/m ² Smoking, N (%) | 24.3 (4.0) 94 (7.3) | 46 (6.5) | 24.6 (4.1) 48 (8.2) |
| Drinking, N (%) | 69 (5.4) | 45 (6.4) | 24 (4.1) |
| Cancer condition | 07 (3.4) | 43 (0.4) | 24 (4.1) |
| Tumor size, mm | | | |
| Mean (SD) | 29.3 (20.0) | 29.6 (21.1) | 28.9 (18.4) |
| Median [IQR] | 24.0 [16.0, | 24.0 [16.0, | 24.0 [17.0, |
| | 35.0] | 35.0] | 35.0] |
| Cancer stage, N (%) | | | |
| stage = 0 | 47 (3.7) | 29 (4.1) | 18 (3.1) |
| stage = 1 | 357 (27.8) | 214 (30.4) | 143 (24.6) |
| stage = 2 | 636 (49.5) | 355 (50.5) | 281 (48.3) |
| stage = 3 | 78 (6.1) | 46 (6.5) | 32 (5.5) |
| stage = 4 | 96 (7.5) | 50 (7.1) | 46 (7.9) |
| Unknown | 71 (5.5) | 9 (1.3) | 62 (10.7) |
| HER2, N (%) | 920 (62.9) | 447 (69 6) | 272 (64.1) |
| Negative Positive | 820 (63.8) | 447 (63.6) | 373 (64.1) 165 (28.4) |
| Unknown | 358 (27.9) 107 (8.3) | 193 (27.5) 63 (9.0) | 44 (7.6) |
| PR, N (%) | 107 (6.3) | 03 (9.0) | 44 (7.0) |
| Negative | 390 (30.4) | 232 (33.0) | 158 (27.1) |
| Positive | 841 (65.4) | 447 (63.6) | 394 (67.7) |
| Unknown | 54 (4.2) | 24 (3.4) | 30 (5.2) |
| ER, N (%) | , | . (, | , |
| Negative | 294 (22.9) | 159 (22.6) | 135 (23.2) |
| Positive | 934 (72.7) | 520 (74.0) | 414 (71.1) |
| Unknown | 57 (4.4) | 24 (3.4) | 33 (5.7) |
| Radiation therapy, N (%) | 802 (62.4) | 355 (50.5) | 447 (76.8) |
| Surgery, N (%) | 1178 (91.7) | 638 (90.8) | 540 (92.8) |
| Anti-cancer drug, N (%) | | | |
| Targeted therapy | 87 (6.8) | 67 (9.5) | 20 (3.4) |
| Anti-HER2 | 70 (5.4) | 55 (7.8) | 15 (2.6) |
| Kinase inhibitors | 17 (1.4) | 12 (1.7) | 5 (1.8) |
| Chemotherapy Antimetabolites | 1258 (97.9) 308 (24.0) | 684 (97.3) | 574 (98.6) 133 (22.9) |
| Alkylating agents | 1101 (85.7) | 175 (24.9) 587 (83.5) | 514 (88.3) |
| Alkaloids | 14 (1.1) | 6 (0.9) | 8 (1.4) |
| Anthracyclines | 902 (70.2) | 449 (63.9) | 453 (77.8) |
| Taxanes | 155 (12.1) | 95 (13.5) | 60 (10.3) |
| Comorbidity, N (%) | () | () | () |
| Pre-existing cardiac disease* | 241 (18.8) | 147 (20.9) | 94 (16.2) |
| Hypertension | 290 (22.6) | 174 (24.8) | 116 (19.9) |
| Hyperlipidemia | 230 (17.9) | 144 (20.5) | 86 (14.8) |
| Renal diseases | 34 (2.6) | 20 (2.8) | 14 (2.4) |
| Chronic pulmonary | 98 (7.6) | 74 (10.5) | 24 (4.1) |
| diseases | | | |
| | | 00 (14 1) | 04 (14 4) |
| Diabetes | 183 (14.2) | 99 (14.1) | 84 (14.4) |
| Cerebrovascular disease | 79 (6.1) | 47 (6.7) | 32 (5.5) |
| Cerebrovascular disease Liver diseases | 79 (6.1) 150 (11.7) | 47 (6.7) 90 (12.8) | 32 (5.5) 60 (10.3) |
| Cerebrovascular disease | 79 (6.1) | 47 (6.7) | 32 (5.5) |
| Cerebrovascular disease Liver diseases Peripheral vascular | 79 (6.1) 150 (11.7) | 47 (6.7) 90 (12.8) | 32 (5.5) 60 (10.3) |
| Cerebrovascular disease Liver diseases Peripheral vascular disease | 79 (6.1) 150 (11.7) 4 (0.3) | 47 (6.7) 90 (12.8) 3 (0.4) | 32 (5.5) 60 (10.3) 1 (0.2) |
| Cerebrovascular disease Liver diseases Peripheral vascular disease Dementia Rheumatic disease Peptic ulcer disease | 79 (6.1) 150 (11.7) 4 (0.3) 31 (2.4) 31 (2.4) 69 (5.4) | 47 (6.7) 90 (12.8) 3 (0.4) 22 (3.1) | 32 (5.5) 60 (10.3) 1 (0.2) 9 (1.5) |
| Cerebrovascular disease Liver diseases Peripheral vascular disease Dementia Rheumatic disease Peptic ulcer disease Concurrent medication (AT | 79 (6.1) 150 (11.7) 4 (0.3) 31 (2.4) 31 (2.4) 69 (5.4) C code), N (%) | 47 (6.7) 90 (12.8) 3 (0.4) 22 (3.1) 23 (3.3) | 32 (5.5) 60 (10.3) 1 (0.2) 9 (1.5) 8 (1.4) |
| Cerebrovascular disease Liver diseases Peripheral vascular disease Dementia Rheumatic disease Peptic ulcer disease Concurrent medication (AT Biguanides (A10BA) | 79 (6.1) 150 (11.7) 4 (0.3) 31 (2.4) 31 (2.4) 69 (5.4) C code), N (%) 104 (8.1) | 47 (6.7) 90 (12.8) 3 (0.4) 22 (3.1) 23 (3.3) 47 (6.7) 61 (8.7) | 32 (5.5) 60 (10.3) 1 (0.2) 9 (1.5) 8 (1.4) 22 (3.8) 43 (7.4) |
| Cerebrovascular disease Liver diseases Peripheral vascular disease Dementia Rheumatic disease Peptic ulcer disease Concurrent medication (AT Biguanides (A10BA) Statins (C10AA) | 79 (6.1) 150 (11.7) 4 (0.3) 31 (2.4) 31 (2.4) 69 (5.4) C code), N (%) 104 (8.1) 150 (11.7) | 47 (6.7) 90 (12.8) 3 (0.4) 22 (3.1) 23 (3.3) 47 (6.7) 61 (8.7) 90 (12.8) | 32 (5.5) 60 (10.3) 1 (0.2) 9 (1.5) 8 (1.4) 22 (3.8) 43 (7.4) 60 (10.3) |
| Cerebrovascular disease Liver diseases Peripheral vascular disease Dementia Rheumatic disease Peptic ulcer disease Concurrent medication (AT Biguanides (A10BA) | 79 (6.1) 150 (11.7) 4 (0.3) 31 (2.4) 31 (2.4) 69 (5.4) C code), N (%) 104 (8.1) | 47 (6.7) 90 (12.8) 3 (0.4) 22 (3.1) 23 (3.3) 47 (6.7) 61 (8.7) | 32 (5.5) 60 (10.3) 1 (0.2) 9 (1.5) 8 (1.4) 22 (3.8) 43 (7.4) |

Table 1 (continued)

| | Overall (n=1285) | Training cohort ^a | Testing cohort ^b |
|--------------------------|------------------|---------------------------------|--------------------------------|
| | | (n=703) | (n=582) |
| Calcium channel blockers | 121 (9.4) | 73 (10.4) | 48 (8.2) |
| (C08CA) | | | |
| Angiotensin II receptor | 119 (9.3) | 77 (11.0) | 42 (7.2) |
| blockers (C09CA) | | | |
| Benzodiazepines | 192 (14.9) | 98 (13.9) | 94 (16.2) |
| (N05BA) | | | |
| Sulfonylureas (A10BB) | 49 (3.8) | 16 (2.3) | 33 (5.7) |
| DPP-4 inhibitors (A10BH) | 44 (3.4) | 27 (3.8) | 17 (2.9) |
| Coxibs (M01AH) | 76 (5.9) | 43 (6.1) | 33 (5.7) |
| Laboratory test | | | |
| Creatinine, Mean (SD) | 0.8 (0.7) | 0.7 (0.4) | 0.8 (0.9) |
| BUN, Mean (SD) | 15.5 (10.6) | 15.1 (9.29) | 17.6 (15.5) |
| Bilirubin, Mean (SD) | 0.65 (0.54) | 0.57 (0.21) | 0.83 (0.89) |
| AST, Mean (SD) | 25.1 (36.7) | 25.1 (36.7) | 23.0 (NA) |
| ALT, Mean (SD) | 24.3 (26.5) | 24.4 (26.5) | 15.0 (3.61) |
| Cholesterol, Mean (SD) | 200 (38.1) | 232 (NA) | 199 (38.2) |
| HDL, Mean (SD) | 56.5 (16.1) | 57.9 (17.2) | 53.3 (12.9) |
| LDL, Mean (SD) | 111 (31.9) | 110 (32.6) | 112 (30.9) |
| WBC, Mean (SD) | 6.57 (2.44) | 6.77 (2.47) | 6.35 (2.38) |
| RBC, Mean (SD) | 4.24 (0.513) | 4.29 (0.522) | 4.19 (0.497) |
| PLT, Mean (SD) | 274 (92.0) | 250 (75.1) | 301 (101) |
| HCT, Mean (SD) | 37.1 (4.17) | 37.5 (4.46) | 36.7 (3.76) |
| MCV, Mean (SD) | 87.8 (7.28) | 87.6 (7.67) | 88.0 (6.80) |
| MCHC, Mean (SD) | 33.7 (0.948) | 33.6 (0.971) | 33.8 (0.904) |
| MCH, Mean (SD) | 30.1 (3.08) | 30.4 (3.31) | 29.8 (2.76) |
| Troponin I, Mean (SD) | 0.09 (0.36) | 0.03 (0.07) | 0.18 (0.55) |
| BNP, Mean (SD) | 266 (621) | 845 (1130) | 84.7 (161) |
| NT-pro BNP, Mean (SD) | 1240 (1390) | 1640 (1400) | 50.0 (NA) |
| LVEF, Mean (SD) | 70.0 (29.9) | 67.8 (8.08) | 72.2 (41.5) |

Note: SD, Standard deviation; yrs., Years; IQR, Interquartile Range; BMI, Body mass index.

*Pre-existing cardiac disease includes myocardial infarction, heart failure, arrhythmia, coronary artery disease, conduction disorder, and stroke diagnosed before the index date; DPP-4: Dipeptidyl peptidase 4; BUN, Blood urea nitrogen; AST, Aspartate aminotransferase; ALT, Alanine aminotransferase; HDL, Highdensity lipoprotein; LDL, Low-density lipoprotein; WBC, White blood count; RBC, Red blood count; PLT, Platelet; HCT, hematocrit; MCV, Mean corpuscular volume; MCHC, Mean corpuscular hemoglobin concentration; MCH, Mean corpuscular hemoglobin; BNP, B-type natriuretic peptide; NT-pro BNP, N-terminal pro b-type natriuretic peptide; LVEF: Left ventricular ejection fraction.

intensive cardiac monitoring might not be essential for patients who deemed to be at low risk [39–41]. Our model facilitates a more targeted screening approach, where women identified as high-risk can undergo more frequent screenings and women identified as low risk can undergo less frequent screenings. Moreover, this model was built on patient's time series data, enabling it to assess not only new anticancer drug users but also patients who have been using the medication over an extended period. Patients' conditions change over time, especially cancer characteristics and laboratory indexes. Before every cycle of chemotherapy, physicians can use the tool to evaluate cardiovascular risk and enable simultaneous surveillance for individual patients.

This study supports the conclusions drawn from previous research. Age, hypertension, and diabetes are well-known risk factors for cardiovascular disease in the general population as well as in patients receiving anticancer therapy [42–45]. These variables were also identified as important features of the current model. Another strong predictor was tumor size. Large tumor increased the risk of CVD death in breast cancer patients receiving chemotherapy [46]. Routine blood test values, such as HbA1c, HDL, bilirubin, creatinine, BUN and ALT, significantly contributed to the predictive power of this model. HbA1c is not only a diagnostic index for type 2 diabetes but also a predictor for cardiovascular disease in both diabetes and non-diabetes populations. A cohort study of 608,474 individuals without diabetes at baseline showed

^a The training set included the data from Taipei Medical University and Wan-Fang hospitals.

^b The testing set included the data from Shuang Ho hospital.

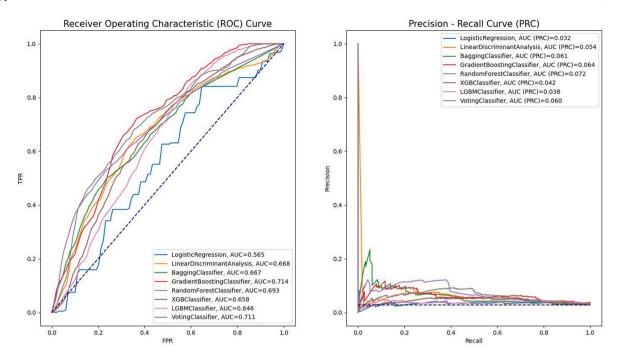


Fig. 2. Performance of the prediction models in the testing dataset.

Table 2Comparison of predictive performance of different models.

| Model | AUC | Accuracy | Sensitivity | Specificity | NPV | PPV | F1-score |
|------------------------------|------|----------|-------------|-------------|------|------|----------|
| Logistic Regression | 0.57 | 0.37 | 0.84 | 0.36 | 0.99 | 0.04 | 0.07 |
| Linear Discriminant Analysis | 0.67 | 0.64 | 0.69 | 0.64 | 0.99 | 0.05 | 0.1 |
| Bagging Classifier | 0.67 | 0.44 | 0.76 | 0.43 | 0.98 | 0.04 | 0.07 |
| Gradient Boosting Classifier | 0.71 | 0.64 | 0.75 | 0.63 | 0.99 | 0.06 | 0.11 |
| Random Forest Classifier | 0.69 | 0.62 | 0.69 | 0.62 | 0.99 | 0.05 | 0.09 |
| XGB Classifier | 0.66 | 0.64 | 0.66 | 0.63 | 0.98 | 0.05 | 0.09 |
| LGBM Classifier | 0.65 | 0.42 | 0.86 | 0.41 | 0.99 | 0.04 | 0.08 |
| Voting Classifier | 0.71 | 0.84 | 0.49 | 0.85 | 0.98 | 0.09 | 0.15 |

Note: AUC, area under the curve; LGBM, Light Gradient Boosting Machine; XGB, Extreme Gradient Boosting; NPV, negative prediction value; PPV, positive prediction value.

that elevated HbA1c was associated with an increased risk of CVD outcomes [47]. In other studies, a J-shaped relationship was found between HbA1c levels and heart failure incidence [48,49]. High HDL level was associated with a low anthracycline-induced cardiotoxicity risk in patients with diffuse large B-cell lymphoma [50]. In addition, HDL showed a protective effect against doxorubin-induced cardiotoxicity via scavenger receptor class B type 1, phosphatidylinositol 3-kinase, and Akt-dependent manner [51]. According to recent meta-analysis and population-based studies [52–54], serum bilirubin is inversely associated with CVDs risk in general population. Associations between creatinine, BUN, ALT and cardiovascular disease were also reported [55–58]. Findings from this study offer new insights for CVD risk management in breast cancer patients undergoing chemotherapy, as the importance of routine lab test is often overlooked.

There are several limitations in this study. Firstly, the study utilized a secondary dataset which was previously employed to develop a prediction model for mortality in breast cancer patients [59]. Many laboratory features had a high proportion of missing data. MICE imputation was applied to handle this issue. However, future study with a prospective design could provide high-quality input, potentially enhancing model performance. Secondly, small sample size is another factor that affects the model's performance, especially when cardiovascular outcome is rare. This limitation can be overcome in the future as we expand this study to other cancer populations. Thirdly, although external validation was conducted, the data was also from a hospital

located in northern Taiwan. To ensure generalizability of the results, the model should be validated by data from other areas or other countries. Fourthly, although SHAP importance provides an overview about the relationship between variables and outcome, it does not reveal direction of the association between them. Deeper investigation into how each factor is associated with the outcome is necessary. Fifthly, the inclusion of additional risk factors, such as endocrine therapy and laterality, did not improve the model's performance (Fig. S2, Table S4). Therefore, these variables were not included in the final model. One possible reason is that these two variables may not be highly relevant for prediction. Additionally, the imbalanced data (with an outcome ratio of 0.024) makes achieving high performance challenging. Lastly, since this study included patients with more than one type of anticancer drug, we could not examine the impact of the dose on cardiac outcomes. Further study including dose as a predictor for each class of drug is needed.

5. Conclusion

In the current study, we developed various machine learning models to predict cardiovascular risk after treatment with chemotherapy and targeted agents in breast cancer patients. Among the models, Voting Classifier showed the best performance. As the model was built on a time-series concept, it could support clinicians to assess cardiovascular risk for individual patients before, during, or after receiving anticancer drug. Additional research investigating the practical implementation of

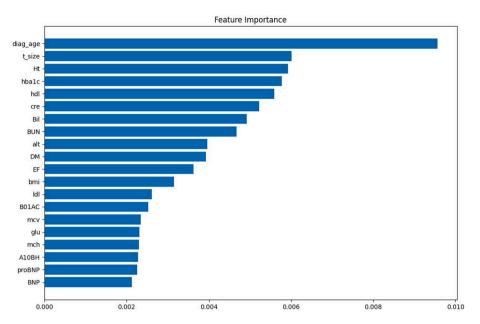


Fig. 3. Top 20 important features in the Voting Classifier model.

Note: diag_age: age; t_size: tumor size; Ht: hypertension; hba1c: HbA1c; hdl: high-density lipoprotein; cre: creatinine; Bil: bilirubin; BUN: blood urea nitrogen; alt: alanine aminotransferase; DM: diabetes; EF: left ventricular ejection fraction; bmi: body mass index; ldl: low-density lipoprotein; B01AC: antiplatelets; mcv: mean corpuscular volume; glu: glucose; mch: mean corpuscular hemoglobin; A10BH: DPP-4 inhibitors; proBNP: NT-pro BNP; BNP: b-type natriuretic peptide.

this model in clinical settings is necessary.

CRediT authorship contribution statement

Quynh T.N. Nguyen: Writing – original draft, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. Shwu-Jiuan Lin: Writing – review & editing, Supervision, Methodology. Phung-Anh Nguyen: Writing – review & editing, Methodology, Investigation, Formal analysis, Conceptualization. Phan Thanh Phuc: Writing – review & editing, Software.Min-Huei Hsu: Writing – review & editing, Methodology, Resources, Project administration, Funding acquisition. Chun-Yao Huang: Writing – review & editing, Methodology. Chin-Sheng Hung: Writing – review & editing, Methodology. Christine Y. Lu: Writing – review & editing, Methodology. Jason C. Hsu: Writing – review & editing, Supervision, Resources, Project administration, Methodology, Investigation, Funding acquisition, Conceptualization.

Ethical approval

This study was approved by the Taipei Medical University–Joint Institutional Review Board (IRB No. N202201089). All data were deidentified before the analysis and thus informed consent was not required.

Funding sources

This work was supported by Taiwan National Science and Technology Council (grant no. NSTC 113-2321-B-038-006).

Declaration of competing interest

All authors declare no conflict of interest.

Glossary

ALT Alanine aminotransferase

AUPRC Area under the precision-recall curve

AUROC Area under the receiver operating characteristic curve

BMI Body mass index
BUN Blood urea nitrogen
CAD Coronary artery disease
CVD Cardiovascular disease
DPP-4 Dipeptidyl peptidase 4
ER Estrogen receptor

ESC European society of cardiology GBM Gradient boosting machine HDL High-density lipoprotein

HER2 Human epidermal growth factor receptor 2

HF Heart failure

LDA Linear discriminant analysis LGBM Light gradient boosting machine

LR Logistic regression

LVEF Left ventricular ejection fraction

MI Myocardial infarction

MICE Multivariate imputation by chained-equations

NPV Negative predictive value
PPV Positive predictive value
PR Progesterone receptor
RF Random Forest

SHAP Shapley additive explanations

TCR Taiwan cancer registry

TMUCRD Taipei Medical University clinical research database

Appendix A. Supplementary data

Supplementary data to this article can be found online at $\frac{\text{https:}}{\text{doi.}}$ org/10.1016/j.breast.2025.104438.

References

- [1] Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, et al. Global cancer statistics 2020: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. CA Cancer J Clin 2021;71:209–49.
- [2] Siegel RL, Miller KD, Fuchs HE, Jemal A. Cancer statistics. CA Cancer J Clin 2022; 72:7–33. 2022.
- $\begin{tabular}{ll} [3] & Cancer survival statistics & Cancer Research UK. \end{tabular}$
- [4] Gallicchio L, Devasia TP, Tonorezos E, Mollica MA, Mariotto A. Estimation of the number of individuals living with metastatic cancer in the United States. JNCI: J Natl Cancer Inst 2022;114:1476–83.

- [5] Koene RJ, Prizment AE, Blaes A, Konety SH. Shared risk factors in cardiovascular disease and cancer. Circulation 2016;133:1104–14.
- [6] Moslehi JJ. Cardiovascular toxic effects of targeted cancer therapies. N Engl J Med 2016;375:1457–67.
- [7] Levis BE, Binkley PF, Shapiro CL. Cardiotoxic effects of anthracycline-based therapy: what is the evidence and what are the potential harms? Lancet Oncol 2017;18:e445–56.
- [8] Li J, Gu J. Cardiovascular toxicities with vascular endothelial growth factor receptor tyrosine kinase inhibitors in cancer patients: a meta-analysis of 77 randomized controlled trials. Clin Drug Invest 2018;38:1109–23.
- [9] Brown JR, Hillmen P, O'Brien S, Barrientos JC, Reddy NM, Coutre SE, et al. Extended follow-up and impact of high-risk prognostic factors from the phase 3 RESONATE study in patients with previously treated CLL/SLL. Leukemia 2018;32: 83–91.
- [10] Lidbrink E, Chmielowska E, Otremba B, Bouhlel A, Lauer S, Liste Hermoso M, et al. A real-world study of cardiac events in > 3700 patients with HER2-positive early breast cancer treated with trastuzumab: final analysis of the OHERA study. Breast Cancer Res Treat 2019;174:187–96.
- [11] Chu TF, Rupnick MA, Kerkela R, Dallabrida SM, Zurakowski D, Nguyen L, et al. Cardiotoxicity associated with tyrosine kinase inhibitor sunitinib. Lancet 2007; 370:2011–9
- [12] Hu J, Tian R, Ma Y, Zhen H, Ma X, Su Q, et al. Risk of cardiac adverse events in patients treated with immune checkpoint inhibitor regimens: a systematic review and meta-analysis. Front Oncol 2021;11.
- [13] Yang M, Tao B, Chen C, Jia W, Sun S, Zhang T, et al. Machine learning models based on molecular fingerprints and an Extreme gradient boosting method lead to the discovery of JAK2 inhibitors. J Chem Inf Model 2019;59:5002–12.
- [14] Cai C, Guo P, Zhou Y, Zhou J, Wang Q, Zhang F, et al. Deep learning-based prediction of drug-induced cardiotoxicity. J Chem Inf Model 2019;59:1073–84.
- [15] Yang X, Gong Y, Waheed N, March K, Bian J, Hogan WR, et al. Identifying cancer patients at risk for heart failure using machine learning methods. AMIA Annu Symp Proc. 2019;2019:933–41.
- [16] Li C, Chen L, Chou C, Ngorsuraches S, Qian J. Using machine learning approaches to predict short-term risk of cardiotoxicity among patients with colorectal cancer after starting fluoropyrimidine-based chemotherapy. Cardiovasc Toxicol 2022;22: 130–40.
- [17] Nguyen P-A, Hsu M-H, Chang T-H, Yang H-C, Huang C-W, Liao C-T, Lu CY, Hsu JC. Taipei Medical University Clinical Research Database: a collaborative hospital EHR database aligned with international common data standards. BMJ Health Care Inform 2024;31:e100890. https://doi.org/10.1136/bmihci-2023-100890.
- [18] Nakai M, Iwanaga Y, Sumita Y, Wada S, Hiramatsu H, Iihara K, et al. Associations among cardiovascular and cerebrovascular diseases: analysis of the nationwide claims-based JROAD-DPC dataset. PLoS One 2022;17:e0264390.
- [19] Sarnak MJ, Levey AS, Schoolwerth AC, Coresh J, Culleton B, Hamm LL, et al. Kidney disease as a risk factor for development of cardiovascular disease. Circulation 2003:108:2154–69.
- [20] Chen H, Luo X, Du Y, He C, Lu Y, Shi Z, et al. Association between chronic obstructive pulmonary disease and cardiovascular disease in adults aged 40 years and above: data from NHANES 2013–2018. BMC Pulm Med 2023;23.
- [21] Roca-Fernandez A, Banerjee R, Thomaides-Brears H, Telford A, Sanyal A, Neubauer S, et al. Liver disease is a significant risk factor for cardiovascular outcomes – a UK Biobank study. J Hepatol 2023;79:1085–95.
- [22] Jin H, Xu J, Sui Z, Wang L. Risk factors from Framingham risk score for anthracyclines cardiotoxicity in breast cancer: a systematic review and metaanalysis. Front Cardiovasc Med 2023:10.
- [23] Upshaw JN, Ruthazer R, Miller KD, Parsons SK, Erban JK, O'Neill AM, et al. Personalized decision making in early stage breast cancer: applying clinical prediction models for anthracycline cardiotoxicity and breast cancer mortality demonstrates substantial heterogeneity of benefit-harm trade-off. Clin Breast Cancer 2019:19:259, 67.e1.
- [24] Kim DY, Park MS, Youn JC, Lee S, Choi JH, Jung MH, et al. Development and validation of a risk score model for predicting the cardiovascular outcomes after breast cancer therapy: the CHEMO-RADIAT score. J Am Heart Assoc 2021;10.
- [25] Ezaz G, Long JB, Gross CP, Chen J. Risk prediction model for heart failure and cardiomyopathy after adjuvant trastuzumab therapy for breast cancer. J Am Heart Assoc 2014:3:e000472. e.
- [26] Romond EH, Jeong J-H, Rastogi P, Swain SM, Geyer CE, Ewer MS, et al. Seven-year follow-up assessment of cardiac function in NSABP B-31, a randomized trial comparing doxorubicin and cyclophosphamide followed by paclitaxel (ACP) with ACP plus trastuzumab as adjuvant therapy for patients with node-positive, human epidermal gr. J Clin Oncol 2012;30:3792-9.
- [27] Fogarassy G, Vathy-Fogarassy Á, Kenessey I, Kásler M, Forster T. Risk prediction model for long-term heart failure incidence after epirubicin chemotherapy for breast cancer – a real-world data-based, nationwide classification analysis. Int J Cardiol 2019;285:47–52.
- [28] Goel S, Liu J, Guo H, Barry W, Bell R, Murray B, et al. Decline in left ventricular ejection fraction following anthracyclines predicts trastuzumab cardiotoxicity. JACC (J Am Coll Cardiol): Heart Fail 2019;7:795–804.
- [29] Otchere P, Adekoya O, Governor SB, Vuppuluri N, Prabhakar A, Pak S, et al. Development of cardiac risk prediction model in patients with HER-2 positive breast cancer on trastuzumab therapy. Cardio-Oncology 2023;9.
- [30] Battisti NML, Andres MS, Lee KA, Ramalingam S, Nash T, Mappouridou S, et al. Incidence of cardiotoxicity and validation of the Heart Failure Association-International Cardio-Oncology Society risk stratification tool in patients treated with trastuzumab for HER2-positive early breast cancer. Breast Cancer Res Treat 2021;188:149–63.

- [31] Feng Y, Qin Z, Yang Z. Deceleration capacity of heart rate predicts trastuzumabrelated cardiotoxicity in patients with HER2-positive breast cancer: a prospective observational study. J Clin Pharm Therapeut 2021;46:93–8.
- [32] Choe JC, Choi JH, Choi JH, Ahn J, Park JS, Lee HW, et al. Prolonged electromechanical delay as an early predictor of trastuzumab-induced cardiotoxicity in patients undergoing treatment for breast cancer. Clin Cardiol 2018;41:1308–14.
- [33] Narayan HK, French B, Khan AM, Plappert T, Hyman D, Bajulaiye A, et al. Noninvasive measures of ventricular-arterial coupling and circumferential strain predict cancer therapeutics-related cardiac dysfunction. JACC (J Am Coll Cardiol): Cardiovascular Imaging 2016;9:1131–41.
- [34] Chang W-T, Liu C-F, Feng Y-H, Liao C-T, Wang J-J, Chen Z-C, et al. An artificial intelligence approach for predicting cardiotoxicity in breast cancer patients receiving anthracycline. Arch Toxicol 2022;96:2731–7.
- [35] Ng R, Better N, Green MD. Anticancer agents and cardiotoxicity. Semin Oncol 2006;33:2–14.
- [36] Ades F, Zardavas D, Pinto AC, Criscitiello C, Aftimos P, De Azambuja E. Cardiotoxicity of systemic agents used in breast cancer. Breast 2014;23:317–28.
- [37] Lenneman CG, Sawyer DB. Cardio-oncology. Circ Res 2016;118:1008–20.
- [38] Lyon AR, López-Fernández T, Couch LS, Asteggiano R, Aznar MC, Bergler-Klein J, et al. ESC guidelines on cardio-oncology developed in collaboration with the European hematology association (EHA), the European society for therapeutic radiology and oncology (ESTRO) and the international cardio-oncology society (IC-OS). Eur Heart J 2022;43:4229–361. 2022.
- [39] Yu AF, Dang CT, Jorgensen J, Moskowitz CS, Defusco P, Oligino E, et al. Rationale and design of a cardiac safety study for reduced cardiotoxicity surveillance during HER2-targeted therapy. Cardio-Oncology 2023;9.
- [40] Dent S, Fergusson D, Aseyev O, Stober C, Pond G, Awan AA, et al. A randomized trial comparing 3- versus 4-monthly cardiac monitoring in patients receiving trastuzumab-based chemotherapy for early breast cancer. Curr Oncol 2021;28: 5073–83.
- [41] Rushton M, Johnson C, Dent S. Trastuzumab-induced cardiotoxicity: testing a clinical risk score in a real-world cardio-oncology population. Curr Oncol 2017;24: 176–80.
- [42] Mellitus Diabetes. A major risk factor for cardiovascular disease. Circulation 1999; 100:1132–3.
- [43] Stevens SL, Wood S, Koshiaris C, Law K, Glasziou P, Stevens RJ, et al. Blood pressure variability and cardiovascular disease: systematic review and metaanalysis. BMJ 2016:i4098.
- [44] Dhingra R, Vasan RS. Age as a risk factor. Med Clin 2012;96:87–91.
- [45] Jousilahti P, Vartiainen E, Tuomilehto J, Puska P. Sex, age, cardiovascular risk factors, and coronary heart disease. Circulation 1999;99:1165–72.
- [46] Chi K, Luo Z, Zhao H, Li Y, Liang Y, Xiao Z, et al. The impact of tumor characteristics on cardiovascular disease death in breast cancer patients with CT or RT: a population-based study. Front Cardiovasc Med 2023;10.
 [47] Butalia S, Chu LM, Dover DC, Lau D, Yeung RO, Eurich DT, et al. Association
- [47] Butalia S, Chu LM, Dover DC, Lau D, Yeung RO, Eurich DT, et al. Association between hemoglobin A1c and development of cardiovascular disease in Canadian men and women without diabetes at baseline: a population-based study of 608 474 adults. J Am Heart Assoc 2024;13.
- [48] Parry HM, Deshmukh H, Levin D, Van Zuydam N, Elder DHJ, Morris AD, et al. Both high and low HbA1c predict incident heart failure in type 2 diabetes mellitus. Circulation: Heart Fail 2015:8:236–42.
- [49] Wan EYF, Fung CSC, Wong CKH, Chin WY, Lam CLK. Association of hemoglobin A1c levels with cardiovascular disease and mortality in Chinese patients with diabetes. J Am Coll Cardiol 2016;67:456–8.
- [50] Ou W, Jiang T, Zhang N, Lu K, Weng Y, Zhou X, et al. Role of HDL cholesterol in anthracycline-induced subclinical cardiotoxicity: a prospective observational study in patients with diffuse large B-cell lymphoma treated with R-CHOP. BMJ Open 2024;14:e074541.
- [51] Durham KK, Chathely KM, Mak KC, Momen A, Thomas CT, Zhao Y-Y, et al. HDL protects against doxorubicin-induced cardiotoxicity in a scavenger receptor class B type 1-, PI3K-, and Akt-dependent manner. Am J Physiol Heart Circ Physiol 2018; 314:H31–44.
- [52] Li C, Wu W, Song Y, Xu S, Wu X. The nonlinear relationship between total bilirubin and coronary heart disease: a dose-response meta-analysis. Front Cardiovasc Med 2022:8.
- [53] Marconi VC, Duncan MS, So-Armah K, Re VL, Lim JK, Butt AA, et al. Bilirubin is inversely associated with cardiovascular disease among HIV-positive and HIVnegative individuals in VACS (veterans aging cohort study). J Am Heart Assoc 2018;7:e007792.
- [54] Kunutsor SK, Bakker SJL, Gansevoort RT, Chowdhury R, Dullaart RPF. Circulating total bilirubin and risk of incident cardiovascular disease in the general population. Arterioscler Thromb Vasc Biol 2015;35:716–24.
- [55] Kim K, Kim DS, Kim K-N. Serum alanine aminotransferase level as a risk factor for coronary heart disease prediction in Koreans: analysis of the Korea national health and nutrition examination survey (V-1, 2010 and V-2, 2011). Korean Journal of Family Medicine 2019;40:124–8.
- [56] Hong C, Zhu H, Zhou X, Zhai X, Li S, Ma W, et al. Association of blood urea nitrogen with cardiovascular diseases and all-cause mortality in USA adults: results from NHANES 1999–2006. Nutrients 2023;15:461.

- [57] Wannamethee SG, Shaper AG, Perry IJ. Serum creatinine concentration and risk of cardiovascular disease: a possible marker for increased risk of stroke. Stroke 1997; 28:557-63
- [58] Chen X, Jin H, Wang D, Liu J, Qin Y, Zhang Y, et al. Serum creatinine levels, traditional cardiovascular risk factors and 10-year cardiovascular risk in Chinese patients with hypertension. Front Endocrinol 2023;14.
- [59] Nguyen QTN, Nguyen PA, Wang CJ, Phuc PT, Lin RK, Hung CS, et al. Machine learning approaches for predicting 5-year breast cancer survival: a multicenter study. Cancer Sci 2023;114:4063–72.