

1 **RanBALL: An Ensemble Random Projection Model for** 2 **Identifying Subtypes of B-cell Acute Lymphoblastic Leukemia**

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13

14 **Abstract**

15 As the most common pediatric malignancy, B-cell acute lymphoblastic leukemia (B-ALL)
16 has multiple distinct subtypes characterized by recurrent and sporadic somatic and
17 germline genetic alterations. Identification of B-ALL subtypes can facilitate risk stratification
18 and enable tailored therapeutic approaches. Existing methods for B-ALL subtyping
19 primarily depend on immunophenotypic, cytogenetic and genomic analyses, which would
20 be costly, complicated, and laborious in clinical practice applications. To overcome these
21 challenges, we present **RanBALL** (an Ensemble **R**andom Projection-Based Model for
22 Identifying **B**-Cell **A**cute **L**ymphoblastic **L**eukemia Subtypes), an accurate and cost-
23 effective model for B-ALL subtype identification based on transcriptomic profiling only.
24 RanBALL leverages random projection (RP) to construct an ensemble of dimension-
25 reduced multi-class support vector machine (SVM) classifiers for B-ALL subtyping. Results
26 based on 100 times 5-fold cross validation tests for >1700 B-ALL patients demonstrated
27 that the proposed model achieved an accuracy of 93.35%, indicating promising prediction
28 capabilities of RanBALL for B-ALL subtyping. The high accuracies of RanBALL suggested
29 that our model could effectively capture underlying patterns of transcriptomic profiling for
30 accurate B-ALL subtype identification. We believe RanBALL will facilitate the discovery of
31 B-ALL subtype-specific marker genes and therapeutic targets, and eventually have
32 consequential positive impacts on downstream risk stratification and tailored treatment
33 design.

34

35 **Background**

36 B-cell Acute Lymphoblastic Leukemia (B-ALL) is a hematological malignancy that
37 originates from the precursor B-cells of the bone marrow. As the most common acute
38 lymphoblastic leukemia (ALL) type, B-ALL was diagnosed among 6,000 ALL patients each
39 year especially for children younger than 5 years of age (1,2), manifests through the

40 abnormal proliferation of immature B-cells. The clinic diagnostic and biologic heterogeneity
41 of B-ALL present a significant challenge in terms of subtype classification and therapy
42 stratification (3,4) for the disease. In addition, studies have also highlighted the requirement
43 of precise subtype identification for highly diverse therapeutic approaches according to
44 each patient (5), since they have specific responses to treatment and prognoses (6–8). So
45 far, multiple distinct B-ALL subtypes have been characterized through recurrent and
46 sporadic somatic and germline genetic alterations, (e.g., BCR-ABL1 (Philadelphia (Ph)
47 chromosome), TCF3-PBX1 (9), hypodiploid (10), etc.), and the survival rates of this
48 malignancy in children can be dramatically increased to more than 90% (11,12) with
49 effective identification and tailored treatment of different subtypes (13). However, the
50 heterogeneity of B-ALL presents a significant challenge in terms of subtype classification
51 and treatment stratification (3,4). The study comprehensively reviewed the etiologic
52 heterogeneity of childhood acute lymphoblastic leukemia across different subtypes,
53 highlighting the critical need for further investigations into risk factors that are specific to
54 each subtype (14). Another research focused on BCR/ABL1-like ALL, a high-risk subtype
55 distinguished by specific genetic alterations, emphasizing the importance of refined
56 diagnostic algorithms and the development of targeted therapies to improve treatment
57 outcomes (15). Based on integrated genomic analysis of 1,988 childhood and adult cases,
58 23 B-ALL subtypes have been identified by chromosomal rearrangements (16), sequence
59 mutations (17,18) and heterogeneous genomic alterations (19–21).

60
61 The conventional methods for B-ALL subtype identification primarily depends on a
62 combination of morphological, immunophenotypic, cytogenetic, and molecular
63 characteristics (22,23). Given the advancements in next-generation sequencing (NGS)
64 (24,25), transcriptome profiling is found to be an informative tool to unveil chromosomal
65 rearrangements in individual tumors for genetic or clinical marker discovery (21,26). The
66 study explored practical considerations for utilizing RNA sequencing in managing B-
67 lymphoblastic leukemia, underscoring RNA-Seq's capability to accurately assign specific
68 molecular subtypes in the majority of patients (27). In addition, large cohort studies for new
69 subtype detection and rapid classification with large-scale datasets raise more interest in
70 the progress of precision medicine (12,28,29). For similar case as B-ALL under the
71 category of leukemia, Umeda et. al (30) have identified the genomic atlas of pediatric acute
72 myeloid leukemia (pAML) and determined 23 distinct molecular subtypes through large-
73 scale gene alteration analysis. Although genetic quantification presents baseline
74 parameters needed, it is difficult and costly for systematic analysis linking existing B-ALL
75 subtypes with expression profiles (31) or classifying rare subtypes with standard laboratory
76 tests, cause these methods typically involve integrating different forms of NGS
77 methodologies (32) like whole-genome sequencing (WGS) (33), whole-exome sequencing
78 (WES) (34), cytogenetic assays (35) etc. Moreover, extensive manual curation of the
79 results is required before being considered as standard identification.

80
81 In recent years, machine learning (ML) has emerged as a powerful tool in the field of
82 biomedical research, enabling the analysis of complex datasets and the discovery of
83 hidden patterns. The high volume of RNA-seq data calls for cost-effective processing

84 algorithms like machine learning to reveal the inner relationship between genomics and
85 clinical conditions. The application of ML models to the identification of B-ALL subtypes
86 has the potential to revolutionize our understanding of this disease and improve patient
87 outcomes (36). Unsupervised clustering was first applied to microarrays for prediction yet
88 had low performance considering individual heterogeneity will result in variable group
89 assignments under different gene set definitions among different research institutions (37).
90 In recent years, more presented machine learning tools have started to train reliable
91 classifiers with well-defined terms of B-ALL subtype allocation from WHO-HAEM5 (38),
92 and ICC (39) classifications before applying the model to systematic research like new
93 biomarker detection (40) and risk parameter recognition (41) in unknown datasets. For
94 instance, Allspice R package was developed to predict the B-ALL subtypes and driver
95 genes based on centroid model (26). ALLSorts introduced by Schmidt et. al (42)
96 demonstrate high accuracy and probability of subtype classification when attributing 18
97 previously defined groups to more than 1200 samples with logistic regression. Beder et. al
98 (37) then expand the possibility of multi-class and novel subtype identification with
99 ALLCatchR while underlying development trajectories of BCP-ALL. However, the evolving
100 landscape of B-ALL subtypes has currently encompassed 26 distinct subcategories (38,39),
101 combining a continuously expanding, not to mention those uncharted categories that hold
102 crucial clinical significance. Under these circumstances, fast and precise computational
103 tools adept at subtype classifying from vast and intricate datasets are needed (43).

104

105 Here we introduce **RanBALL** (an Ensemble **R**andom Projection-Based Model for
106 Identifying **B**-Cell **A**cute **L**ymphoblastic **L**eukemia Subtypes), an accurate and cost-
107 effective model for B-ALL subtype identification based on transcriptomic profiling only. High
108 robustness and consistency were achieved in 1743 samples with 93.35% accuracy through
109 100 times 5-fold cross-validation. Moreover, RanBALL has superior improvement over
110 state-of-art classifiers, which indicates that this model will have huge potential for further
111 clinical application. It represents a significant advancement in the precision identification
112 of B-ALL subtypes, offering a powerful tool for clinical applications. The development of
113 RanBALL not only improve risk stratification and optimize treatment strategies but also
114 opens new possibilities for personalized medicine in the future.

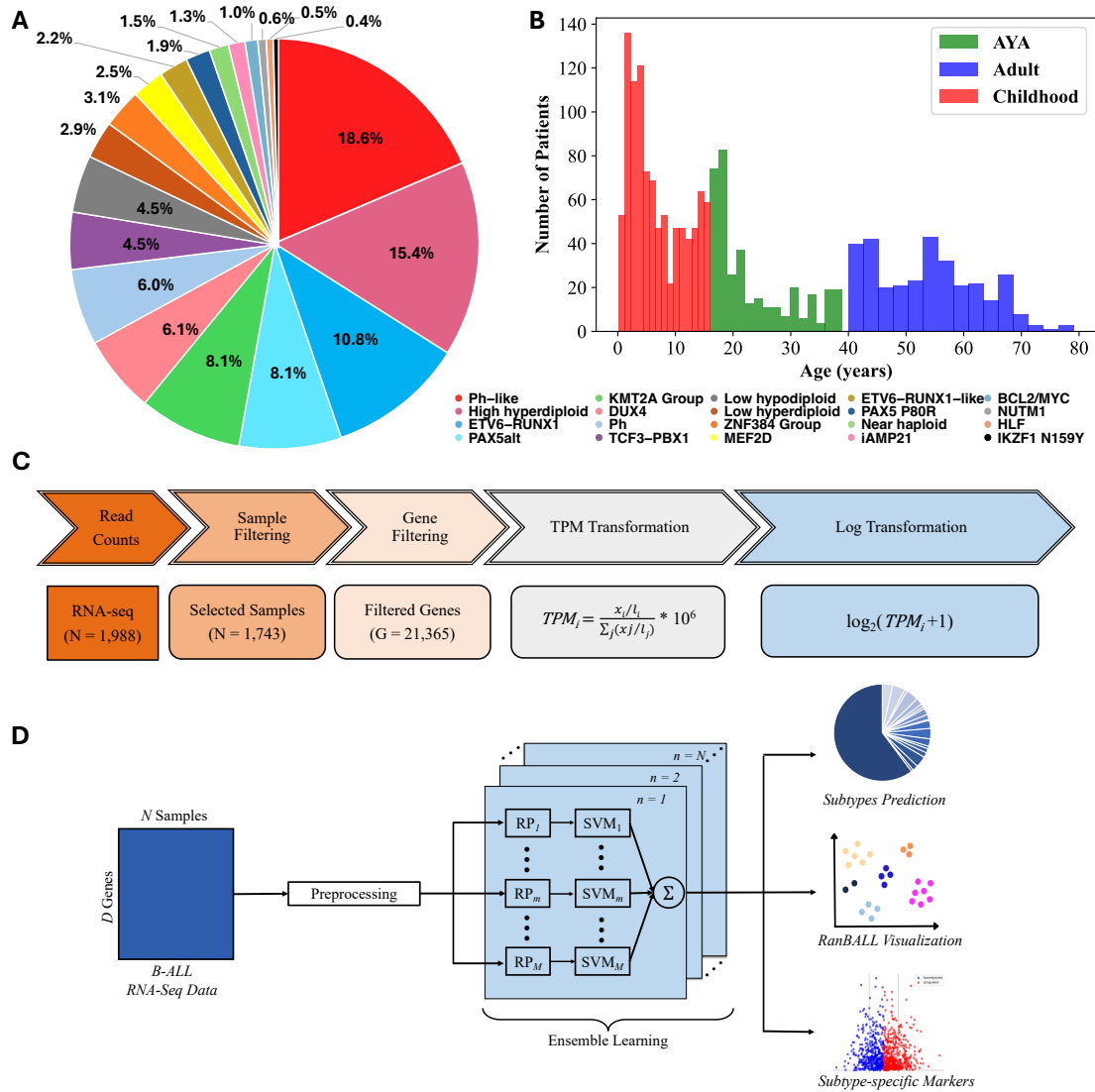
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116 **Methods**

117 **B-ALL dataset**

118 The RNA-seq data and clinical information of B-ALL samples were obtained from St. Jude
119 Cloud (<https://pecan.stjude.cloud/static/hg19/pan-all/BALL-1988S-HTSeq.zip>). The
120 dataset includes 1988 samples that were classified as 23 B-ALL subtypes from the study
121 (21). In data processing, samples with two subtypes and those identified as “other”
122 categories were filtered out. Additionally, the samples were processed by referring to the
123 classification architecture outlined in the ALLSorts classifier (42). Due to the limited number
124 of samples in subtypes “ZNF384-like” and “KMT2A-like” that could potentially compromise
125 the effectiveness of the model training, they were grouped together with subtypes “ZNF384”
126 and “KMT2A” into categories “ZNF384 Group” and “KMT2A Group”, respectively. Samples

127 classified as the “CRLF2(non-Ph-like)” subtype were excluded, as their identification is
 128 more appropriately addressed through alternate analysis. Finally, the B-ALL dataset
 129 contains a total of 1,743 samples across 20 distinct categories. The pie chart (Fig. 1A)
 130 illustrates the distribution of these samples among the various subtypes. The age
 131 age distribution and numbers for different age group of B-ALL dataset is shown in the Fig. 1B.
 132 The distribution showed a higher concentration of B-ALL cases in younger age groups,
 133 with notable peaks in childhood and young adulthood.



134
 135 **Figure 1. Overview of B-ALL subtype identification study using RanBALL framework.**
 136 **(A)** B-ALL dataset composition. The pie chart shows the distribution of 1,743 B-ALL
 137 samples across 20 molecular subtypes, each represented by a distinct color. Percentages
 138 reflect the relative prevalence of each subtype within the dataset. **(B)** The age
 139 distribution and numbers for different age group of B-ALL dataset. Age distribution across B-ALL
 140 patients. The histogram illustrates the number of patients within each age group across
 141 three categories: childhood (red), adolescent and young adult (AYA, green), and adult
 142 (blue). **(C)** Transcriptomic data preprocessing pipeline. The flowchart outlines the multi-
 143 step preprocessing applied to the RNA-seq data, starting with raw read counts and ending
 144 with log-transformed TPM values for 21,365 genes from 1,743 selected samples. **(D)** The

145 framework of RanBALL. The preprocessed data is dimensionally reduced using random
146 projection (RP), and an ensemble of multi-class Support Vector Machines (SVMs) is
147 trained on multiple reduced matrices. The symbol m represents the m -th reduced-
148 dimensional data matrix. We predefined dimension of 1000 in this framework. The symbol
149 n indicates the n -th predicted subtype. The RanBALL possesses the capability to predict
150 20 distinct subtypes. The final prediction is an aggregated output from the ensemble. In
151 addition to subtype prediction, RanBALL supports enhanced visualization of subtype
152 clusters and identification of subtype-specific markers.

153

154 **The RanBALL framework**

155 RanBALL is an ensemble-based model, designed to assist healthcare professionals in
156 accurately identifying B-ALL subtypes using RNA-seq data (Fig. 1D). Leveraging the
157 random projection and SVM techniques, our current model enables to identify accurately
158 and efficiently 20 distinct B-ALL subtypes, which could provide reliable diagnostic insights
159 that can significantly aid clinical decision-making processes. The RanBALL model accepts
160 different types of gene expression data as input data, including gene raw counts,
161 Fragments Per Kilobase of transcript per Million mapped reads (FPKM) and Transcripts
162 Per Million (TPM). The different data types would be uniformly transformed into $\log_2(\text{TPM} + 1)$
163 for predicting the B-ALL subtypes. Following data preprocessing and normalization,
164 RanBALL conducts random projection to lower data dimensions. Multi-class SVM models
165 serve as classifiers on the reduced-dimensional data in each iteration. Finally, ensemble
166 predictions are generated by averaging probabilities across multiple runs, yielding the
167 highest probability subtype prediction for each sample.

168

169 **Data Preprocessing**

170 The data preprocessing steps are illustrated as Fig. 1C. For the raw gene expression
171 counts of 1988 B-ALL samples, only the gene expressed in at least 75% of the samples
172 were retained, resulting in and final 21635 of the 52007 original genes were kept. The gene
173 Ensembl IDs were kept in the study. Subsequently, we normalized the raw read counts to
174 Transcripts Per Million (TPM). The total exon length of gene was calculated as effective
175 length of the gene and the information of gene exons was extracted from the gtf file
176 ([http://ftp.ensembl.org/pub/release-](http://ftp.ensembl.org/pub/release-109/gtf/homo_sapiens/Homo_sapiens.GRCh38.109.gtf.gz)
177 [109/gtf/homo_sapiens/Homo_sapiens.GRCh38.109.gtf.gz](http://ftp.ensembl.org/pub/release-109/gtf/homo_sapiens/Homo_sapiens.GRCh38.109.gtf.gz)). After sequencing depth
178 normalization, TPM values were log-transformed using the formula $\log_2(x+1)$. Ultimately,
179 the B-ALL subtype clinical information was combined with the log-transformed TPM for
180 subsequent training and analysis.

181

182 **Random Projection**

183 Random Projection is a dimensionality reduction technique that aims to reduce the
184 dimensionality of high-dimensional data while approximately preserving pairwise distances
185 between data points. It is based on the Johnson–Lindenstrauss lemma (44). The Johnson–
186 Lindenstrauss lemma provides a theoretical justification that a high-dimensional dataset
187 can be approximately projected into a low-dimensional space while approximately
188 preserving pairwise distances between data points. Specifically, the original D -dimensional

189 data are projected onto a d -dimensional subspace through multiplying the original D -
190 dimensional data matrix by the $d \times N$ random projection matrix. Namely,

$$\mathbf{A} = \frac{1}{\sqrt{d}} \mathbf{R} \mathbf{T} \in \mathbb{R}^{d \times N}, \quad \mathbf{T} \in \mathbb{R}^{d \times N}, \quad \mathbf{R} \in \mathbb{R}^{d \times D} \quad (1)$$

191 The random projection matrix \mathbf{R} should conform to any distributions with zero mean and
192 unit variance, so that the random projection matrix \mathbf{R} will give a mapping that satisfies the
193 Johnson–Lindenstrauss lemma. In the study, the matrix \mathbf{T} represents the original
194 transcriptomic dataset, with D corresponding to the number of gene Ensembl ID and N
195 denoting the number of B-ALL samples. For computational efficiency and the requirement
196 of sparseness, we implemented a highly sparse RP method (45) This method determines
197 the elements of \mathbf{R} (i.e., r_{ij}) as follows:

$$r_{i,j} = \sqrt{p} \begin{cases} 1, & \text{with probability } \frac{1}{2p}, \\ 0, & \text{with probability } 1 - \frac{1}{p}, \\ -1, & \text{with probability } \frac{1}{2p}, \end{cases} \quad \text{where } i = \{1, \dots, d\}, \\ j = \{1, \dots, D\} \quad (2)$$

198 In accordance with the recommendation (45), we selected $p = \sqrt{D}$.

199

200 Ensemble RP Model

201 After data preprocessing, the transcriptomic profiling of B-ALL samples was projected to
202 low dimensional space by random projection. To obtain reliable and robust performance,
203 we selected 30 subspace dimensions 1000. The transformed low dimensional data matrix
204 was used for training an ensemble of multi-class support vector machine (SVM) classifiers,
205 each corresponding to one of the RP matrices of various dimensions. In the training
206 process, the “linear” kernel was chosen in the SVM classifier. To develop a robust model,
207 we ensembled the predicted probability scores of each B-ALL subtype for different low-
208 dimensional data matrix and obtained an ensemble model. Fig. 2B shows that the
209 ensemble method has better and stable performance than individual method. The
210 ensemble score S_m^{en} for each subtype was calculated by averaging all the prediction
211 probability scores from each m -th SVM model in the ensemble:

$$S_m^{en} = \frac{1}{M} \sum_{m=1}^M \sum_{\gamma \in S_m} \sum_{n=1}^N \alpha_{m,\gamma} y_{m,\gamma} K(\mathbf{A}, \mathbf{A}_k), \quad (3)$$

212 where S_m is the set of support vector indexes corresponding to the m -th SVM, $\alpha_{m,\gamma}$ are the
213 Lagrange multipliers, N is the number of predicted subtypes, $y_{m,\gamma}$ is the class label for each
214 subtype, $K(\cdot, \cdot)$ is the linear kernel function. The \mathbf{A} represents the projected RNA-seq
215 data, and the k correspond to the B-ALL sample. In addition, M is the ensemble size.

216

217 Performance Evaluation

218 This study applies 10 times 5-fold cross-validation (46) during the model training and
219 testing. For model performance, we measure accuracy (Acc), F1-Score ($F1$), and Matthews

220 correlation coefficient (*MCC*) (47) as follows:

$$Acc = \frac{TP + TN}{TP + FP + FN + TN} \quad (4)$$

$$F1 = \frac{2TP}{2TP + FP + FN} \quad (5)$$

$$MCC = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}} \quad (6)$$

221 True positives (*TP*) denote the count of samples predicted to possess the specific subtype,
222 which aligns with clinical documentation. False positives (*FP*) represent the count of
223 samples incorrectly classified into different categories. True negatives (*TN*) indicate the
224 count of samples predicted as 'other' that genuinely do not belong to the specified subtype
225 category, while false negatives (*FN*) refer to the count of samples predicted as 'other' but
226 are indeed found within the specified subtype category. The F1-Score is a statistical
227 measure used to evaluate the accuracy of a classification model, which is a way to balance
228 the trade-off between precision and recall. A high precision might indicate a low tolerance
229 for false positives, while a high recall might indicate a low tolerance for false negatives.
230 The F1-Score helps to find a balance between these two factors, making it a useful metric
231 for evaluating the overall quality of a classification model. It is particularly useful in
232 situations where the class distribution is imbalanced. In addition, *MCC* is a balanced
233 measure that takes into account true and false positives and negatives. This makes it
234 particularly helpful in imbalanced datasets where the number of positive instances may be
235 very different from the number of negative instances.

236

237 **Visualization**

238 RanBALL utilizes a weighted combination of two key matrices: a dimension-reduced
239 feature matrix and a sample-to-subtype matrix derived from prediction results. The
240 dimension-reduced feature matrix is obtained through Random Projection technique. This
241 matrix is then normalized using Z-Score, centering and scaling the data along each
242 dimension across samples. The prediction subtype for each sample is encoded by one-hot
243 encoding to create a sample-to-subtype matrix, where each row corresponds to a sample,
244 and each column represents a subtype. This matrix was then normalized using a Z-Score
245 transformation across all samples to ensure that the data is centered and scaled, making
246 the features comparable with the dimension-reduced matrix. These two matrices are then
247 combined with different weights to formulate the final visualization matrix, combining the
248 predicted subtype information with the dimensional features. We defined w as the weight
249 ratio of the dimension-reduced feature matrix over the sample-to-subtype matrix. This
250 weight can be adjusted to emphasize either the reduced feature space ($w > 1$) or the
251 predicted subtype information ($0 < w < 1$) in the final visualization. This combined matrix
252 serves as the input for t-SNE visualization, allowing for a more informative and potentially
253 more biologically relevant representation of the data.

254

255 **Differential gene expression analysis**

256 Differential gene expression analysis was performed by edgeR package (3.40.2) (48). The
257 voom method was applied to model differential gene expression. The raw counts were
258 transformed to $\log_2(\text{CPM})$ for differential gene expression analysis. The cutoffs of $\text{FDR} <$
259 0.05 , and absolute $\log_2\text{FC} > 1$ were applied to define significantly differentially expressed
260 genes (DEGs). The heatmap plot was generated by Pheatmap package (1.0.12) (49).

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262 **Results**

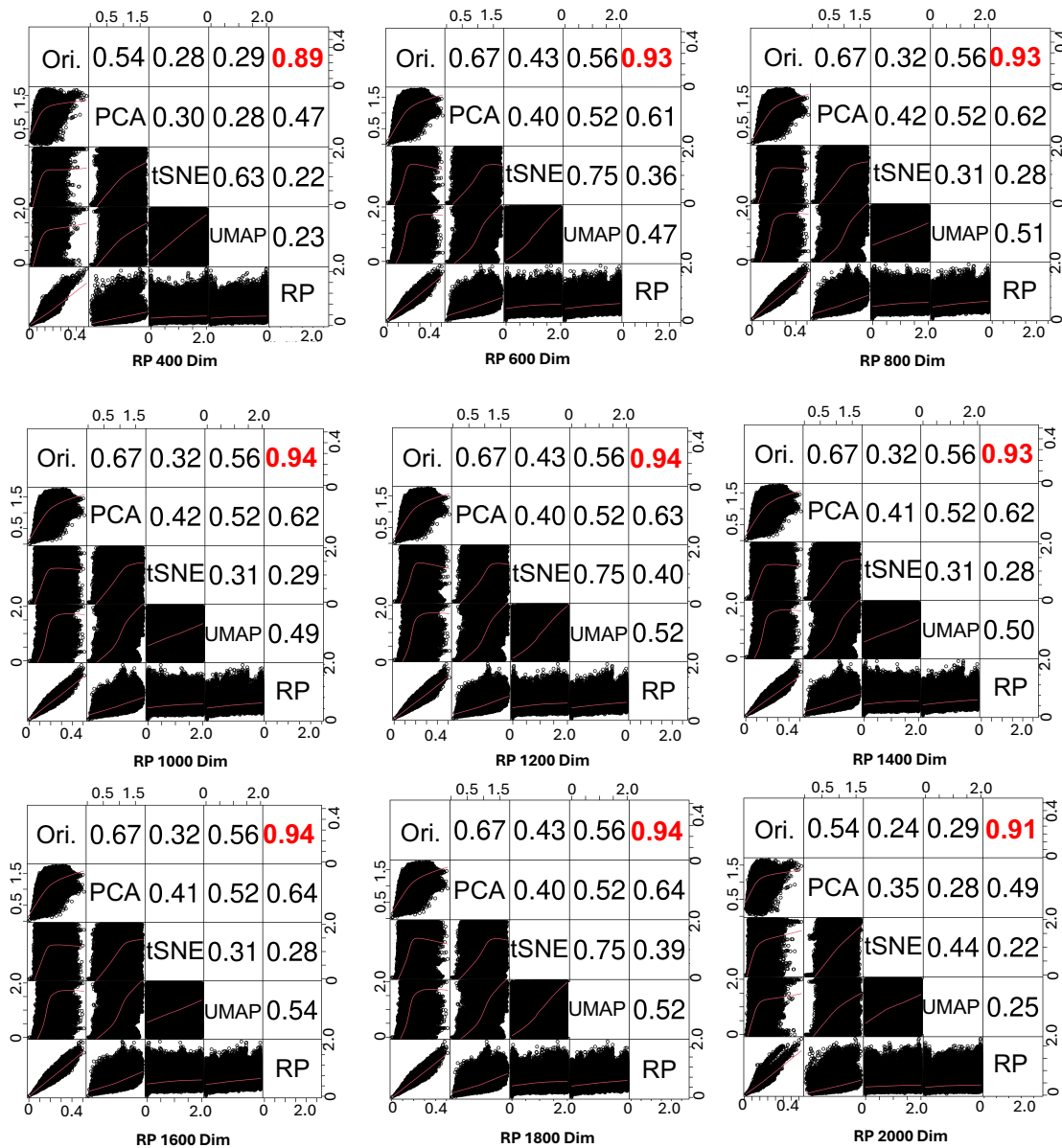
263 **RanBALL applies ensemble random projection for multi-class prediction**

264 RanBALL is an ensemble random projection-based multi-class classification model
265 specifically designed for B-ALL subtyping using gene expression profiling. Employing
266 random projection (RP) as its dimensionality reduction technique, RanBALL operates on
267 gene expression data organized in a matrix format, where rows correspond to genes and
268 columns represent cells. The processing pipeline encompasses four main steps: (1) data
269 preprocessing and normalization, (2) RP-based dimension reduction, (3) multi-class
270 classification, and (4) ensemble-based result determination, as depicted in Fig. 1D. In the
271 step of data preprocessing and normalization, raw counts were converted to log-
272 transformed Transcripts Per Million (TPM) values (Fig. 1C). This step is crucial for
273 normalizing the data across different samples and reducing the impact of technical
274 variations. RP is then applied to reduce the dimensionality of the processed data matrix.
275 RP offers several key advantages that make it a valuable technique, particularly when
276 working with high-dimensional data. First, RP provides significant computational efficiency
277 (50), which is crucial for reducing the computational burden in large-scale datasets.
278 Moreover, it approximately preserves the distances between data points (51), ensuring that
279 the intrinsic data structure remains largely intact. This property allows RP to effectively
280 maintain the relationships within the original data, even after dimensionality reduction.
281 Finally, RP is theoretically grounded in the Johnson-Lindenstrauss lemma (45), which
282 guarantees that the projection can preserve pairwise distances with high probability,
283 making it both a practical and theoretically effective method for dimensionality reduction.
284 In this process, a random matrix is generated to project the high-dimensional data onto a
285 lower-dimensional space. The original data is multiplied by this random matrix, creating a
286 lower-dimensional representation. We randomly generated 30 different low-dimensional
287 representations, each with 1,000 dimensions. This multiple projection approach contributes
288 to the ensemble nature of the model, increasing robustness and reducing the impact of
289 any single projection. After dimensionality reduction, the multi-class SVM was trained on
290 the reduced-dimension data to classify samples into different B-ALL subtypes. By
291 aggregating the outcomes from various runs within the same dimension, the ensemble
292 approach is applied to consolidate results, leading to the assignment of final prediction
293 labels to samples. In addition, the predicted subtypes can provide additional information
294 with the original gene expression profiling data for grouping data points in visualizations,
295 aiding in the identification of clusters or patterns. In summary, RanBALL is particularly
296 suited for the high-dimensional nature of gene expression data and the complex task of B-
297 ALL subtyping, offering both accurate classification and improved visualization capabilities.

298 **RanBALL preserves sample-to-sample distance**

299 To explain the contribution of RP for dimension reduction in RanBALL, we investigated the
300 degree of distortion caused by dimension reduction and compared the correlation of
301 sample-to-sample distances after shrink with PCA (52), t-SNE (53) and UMAP (54),
302 respectively, in different levels. We conducted Pearson correlation analysis to assess the
303 similarities in sample-to-sample distances between the original and dimension-reduced
304 data. As depicted in Fig. 2A, random projection achieves nearly perfect similarities in
305 sample-to-sample distance, with correlation coefficients exceeding 0.93. For example,
306 when reducing the data to 1000 dimensions (from 21,635 to 1000), the correlation remains
307 high at 0.94, indicating the preservation of almost all embedded information post-
308 dimension reduction. The remarkable performance of random projection (RP) can be
309 attributed to several key factors. One critical factor is RP's ability to preserve pairwise
310 distances (51), which plays a central role in maintaining high correlation coefficients
311 between the original and projected data. This property is theoretically supported by the
312 Johnson-Lindenstrauss lemma (45), which guarantees that a set of points in high-
313 dimensional space can be projected onto a lower-dimensional space while approximately
314 maintaining relative distances with high probability. Furthermore, RP's linear
315 transformation ensures that the overall structure of the data (55), including relative
316 distances between samples, is preserved without introducing complex non-linear
317 distortions. This simplicity not only enhances computational efficiency but also minimizes
318 the risk of overfitting to specific data patterns. In contrast, correlations observed with PCA,
319 t-SNE, and UMAP are notably lower (overall below 0.67, with a minimum of 0.32). This
320 disparity in performance can be explained by the inherent characteristics of these methods.
321 While effective for linear dimensionality reduction, PCA focuses on preserving directions of
322 maximum variance, potentially losing information crucial for maintaining sample-to-sample
323 distances but not significantly contributing to overall variance. As non-linear techniques
324 designed for dimension reduction and low-dimensional visualization, t-SNE and UMAP
325 focus on preserving local structure and often distort global structure. These could be the
326 reasons to explain their poor performance in preserving overall sample-to-sample
327 distances in this context. RP's exceptional performance in preserving sample-to-sample
328 distances while significantly reducing dimensionality makes it particularly well-suited for
329 the high-dimensional, complex nature of gene expression data in B-ALL subtyping.

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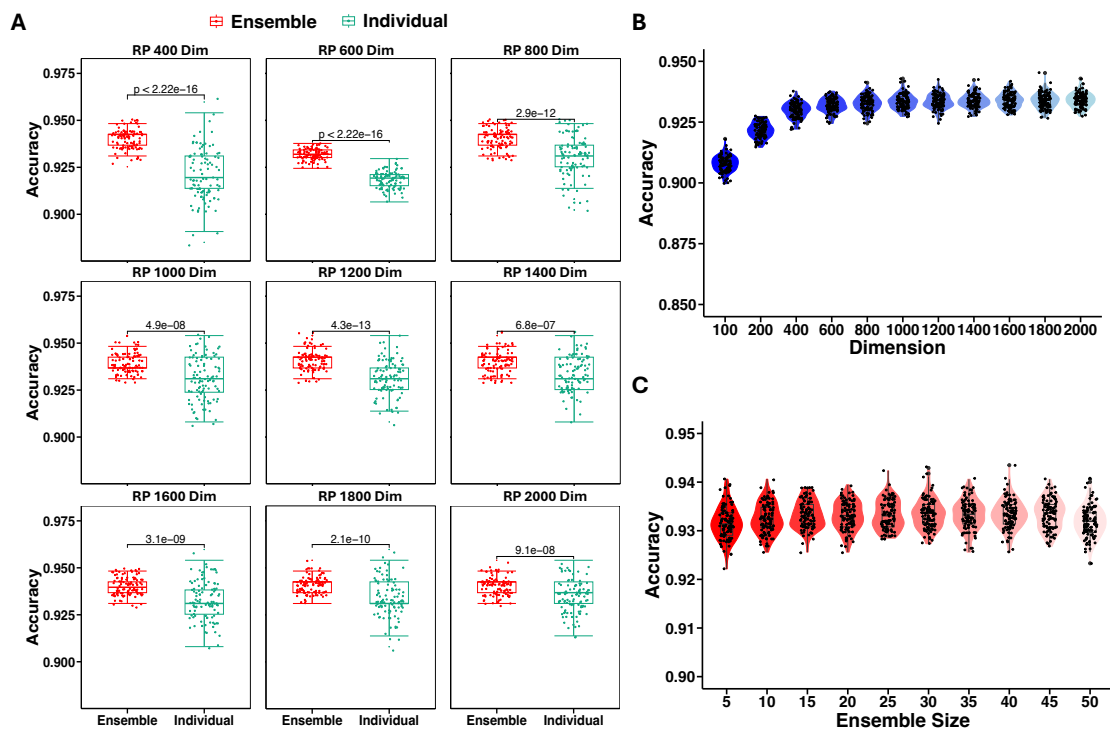
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Figure 2. Comparative analysis of random projection with PCA, t-SNE, and UMAP for dimensionality reduction. This figure compares the performance of random projection (RP) with other widely used dimensionality reduction techniques across different dimensions (400 to 2000). The upper triangular section of each matrix displays the Pearson correlation coefficients (PCC) between the sample-to-sample distances in the original high-dimensional space (Ori.) and the corresponding reduced-dimensional space for each method. Higher PCC values indicate better preservation of the original data structure. RP consistently achieves higher PCCs (highlighted in red), where it outperforms PCA, t-SNE, and UMAP. The lower triangular section provides scatter plots of pairwise distances between samples before and after dimensionality reduction, illustrating how well each method preserves the relative distances between points.

Ensemble method has better performance than individual method

To ensure the robust and stable performance of RanBALL, we applied ensemble learning to the predicted results obtained after dimensionality reduction with multi-class SVM. By

347 aggregating predictions from multiple models, ensemble methods typically lead to better
348 performance than relying on individual models. Additionally, ensemble methods help to
349 reduce overfitting by averaging the biases of different models, thus providing a more
350 generalizable solution. The Fig. 3A shows the performance between ensemble and
351 individual methods with repeated 100 times experiments. Focusing on overall accuracy
352 metrics, the result revealed that the ensemble method's prediction exhibited greater
353 performance and stability with statistical significance compared to individual tests across
354 all dimensions, indicating its superiority in generating stable and trustworthy prediction
355 outcomes. The original dimension was reduced from 400 to 2000, with an interval of 200,
356 to test the performances of different dimensions. It also helps in finding the optimal reduced
357 dimensionality that balances model performance and computational efficiency. The results
358 show that there is no significant difference across conditions (Fig. 3B). Based on that the
359 dimension of 1000 provides a substantial reduction from the original dimension while
360 maintaining performance, 1000 was chosen for the subsequent model training. Next, we
361 compared the performance with different ensemble sizes. Fig. 3C demonstrates that the
362 ensemble size of 30 has better and more stable performance in term of accuracy. Based
363 on this finding, we selected the ensemble size of 30 for the model training. The empirical
364 approach to determining these parameters ensures that the final model configuration is
365 well-suited to the B-ALL subtyping with complex gene expression data.



366

367 **Figure 3. The performance evaluation of ensemble learning in RanBALL. (A)**
368 Comparative analysis of overall accuracy between ensemble and individual methods
369 across different reduced dimensions. Red boxes represent the accuracy distribution of the
370 ensemble method aggregating 30 random projections, while green boxes denote the
371 accuracy distribution of individual classifiers on single random projections. Statistical
372 significance was assessed using the Wilcoxon signed-rank test, with p-values displayed
373 above each comparison. **(B)** The model performance across different reduced dimensions.

374 The violin plot illustrates the distribution of accuracy scores for dimensions ranging from
375 100 to 2000, with an interval of 200. **(C)** The model performance across different ensemble
376 sizes. Violin plots depict the distribution of accuracy scores for ensemble sizes ranging
377 from 5 to 50. Black dots represent individual data points, while the violin shape shows the
378 probability density of the data.

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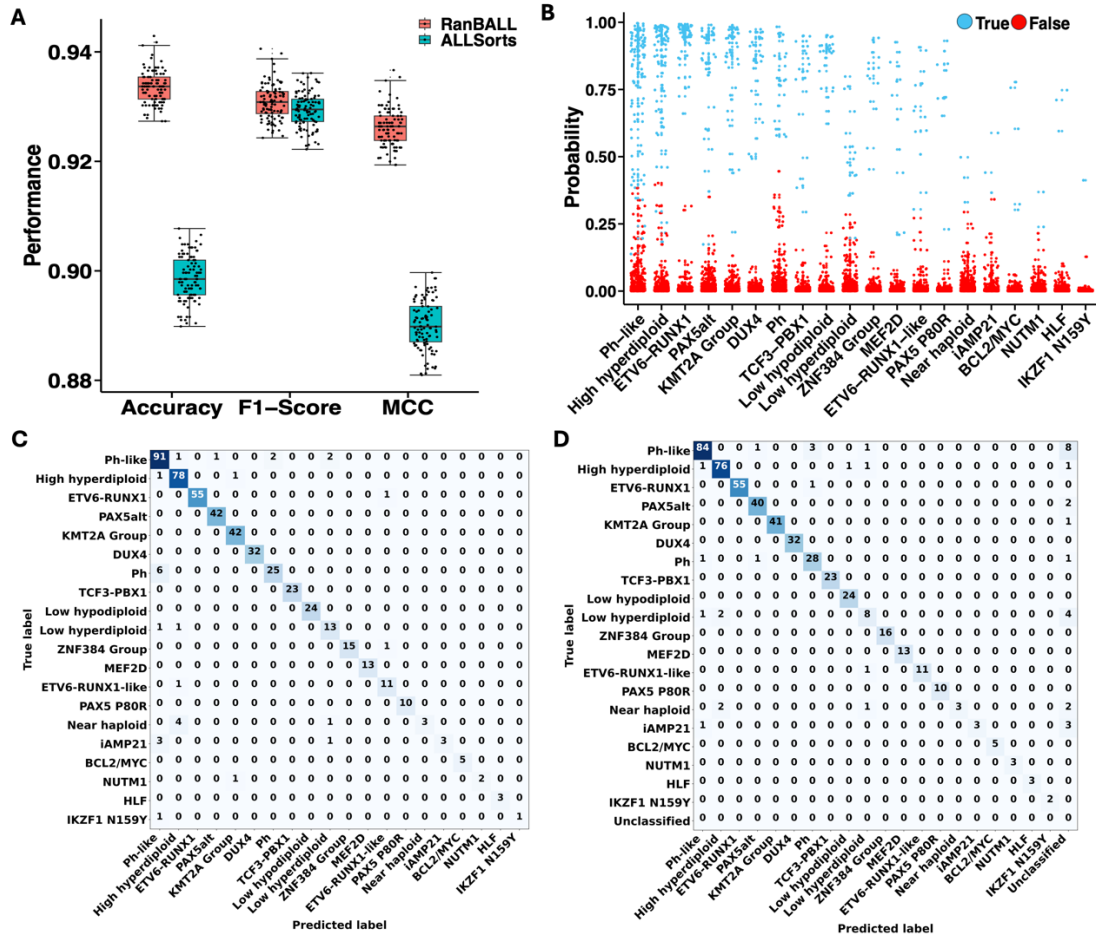
380 **RanBALL outperforms existing model**

381 To assess the performance of the RanBALL model and its potential generalizability to
382 unseen data, we employed a rigorous 10 times 5 folds cross-validation methodology on an
383 RNA-seq dataset comprising 1743 B-ALL samples with 20 subtypes as described in Fig.
384 1A. Our RanBALL model yields notable average results exhibiting an accuracy of 93.35%
385 ($\pm 0.23\%$), an F1 score of 93.10% ($\pm 0.25\%$) and a MCC of 92.62% ($\pm 0.25\%$) (Fig. 4A).
386 These metrics collectively offer a comprehensive evaluation of the model's efficacy. Given
387 its exceptional performance across these metrics, the RanBALL model demonstrates
388 significant promise for enhancing B-ALL clinical diagnosis. Additionally, we conducted a
389 comparative analysis of the performance between RanBALL and ALLSorts (42), a well-
390 established logistic regression classifier for B-ALL subtyping with the same data. As
391 illustrated in Fig. 4A, RanBALL exhibited superior performance compared to ALLSorts in
392 terms of Accuracy (improved by 3%), F1 Score (improved by 1%) and MCC (improved by
393 3%). Notably, the superior F1 score of RanBALL suggests a more balanced trade-off
394 between precision and recall relative to ALLSorts. The MCC performance matrix offers a
395 balanced assessment even in scenarios where classes exhibit disparate sizes, indicating
396 that RanBALL excels particularly in multiclass classification settings with imbalanced class
397 distributions compared to ALLSorts.

398

399 Subsequently, we applied the RanBALL model to a hold-out test set derived from the B-
400 ALL dataset. This test set, comprised of 521 samples, generated by randomly sampling
401 30% of the entire B-ALL dataset. The RanBALL model demonstrated a commendable
402 accuracy of 94.24% on this held-out test subset. The prediction probabilities of each test
403 sample are shown in Fig. 4B, demonstrating the model's consistent ability to maintain high
404 confidence levels for accurate predictions. The robust performance of the model,
405 evidenced by high-probability predictions, underscores its proficiency in discerning intrinsic
406 data patterns, thereby yielding confident and reliable outcomes. Notably, it exhibits the
407 capability to deliver accurate predictions even for subtypes characterized by limited sample
408 sizes. However, it's important to acknowledge that prediction probabilities for such
409 subtypes may not attain exceptionally high levels. The 30% held-out test was also
410 performed with the ALLSorts with an accuracy of 89.64% on the same test dataset. The
411 confusion matrices are illustrated in Fig. 4C, D, provide a detailed breakdown of the
412 model's prediction ability for each subtype in test data. Some subtypes (9/20) have been
413 correctly classified with no misclassifications observed for two computational models, such
414 as PAX5alt, KMT2A, DUX4, TCF3-PBX1, Low hypodiploid, MEF2D, PAX5 P80R,
415 BCL2/MYC and HLF Group. For some subtypes with similar characteristics and features,
416 the model may have a certain possibility to predict the sample to be another class. For
417 RanBALL, 2 samples were wrongly predicted as the Ph subtype in the Ph-like Group (97

418 samples), while 3 were wrongly classified as the Ph subtype in the Ph-like Group with
 419 ALLSorts. This situation also occurs in the subtypes related to chromosome number (Near
 420 haploid, Low hyperdiploid, and High hyperdiploid), suggesting that future research
 421 directions should improve the prediction accuracy in these subtypes with similar
 422 characteristics and features to achieve better clinical applications.



423
 424 **Figure 4. Comprehensive performance analysis of RanBALL in comparison with**
 425 **ALLSorts for B-ALL subtyping. (A)** Comparative performance metrics of RanBALL and
 426 ALLSorts. Accuracy, F1 Score and MCC were used for evaluating model performance. Box
 427 plots illustrate the distribution of Accuracy, F1-Score, and MCC across 100 times 5 folds
 428 cross validation. **(B)** Prediction probability distribution for the 30% held-out test set using
 429 RanBALL. Each point represents the probability of a sample (out of 521) being classified
 430 into a specific B-ALL subtype. Specifically, the blue dots indicate the specific subtype that
 431 the RanBALL model predicts to align with the categories on the horizontal axis. **(C, D)**
 432 Confusion matrices for the 30% held-out test set, comparing RanBALL (C) and ALLSorts
 433 (D) performance. Each cell shows the number of samples classified, with the diagonal
 434 representing correct classifications (True Positives). Color intensity correlates with the
 435 number of samples.

436

437 **RanBALL visualizes data better than state-of-the-art methods**

438 RanBALL demonstrates superior visualization capabilities compared to traditional methods
 439 by incorporating predicted subtype information. Specifically, the predicted subtype

440 information for each sample was encoded using one-hot encoding and normalized by Z-
441 score. This normalization process was applied both to the reduced dimensionality matrix
442 and the one-hot encoded subtype information. These two matrices were then concatenated
443 for visualization using t-SNE. We selected the t-SNE, one of the powerful and
444 representative methods for visualizing high-dimensional data, to compare the performance
445 of visualization.

446
447 Fig. 5A illustrates the effectiveness of RanBALL in visualizing B-ALL samples, where
448 distinct subtypes are well-clustered, reflecting the model's capability to maintain and
449 highlight the inherent structure in the data. This visualization allows for easy identification
450 and interpretation of the 20 different subtypes, ranging from common subtypes like
451 BCL2/MYC and DUX4 to rarer subtypes such as ZNF384 Group and iAMP21. Each
452 subtype, represented by different colors and labels, forms tight, distinct clusters. In contrast,
453 Fig. 5B presents a t-SNE visualization without the integration of predicted subtype
454 information. This results in a less structured and more dispersed representation of the data,
455 where subtype boundaries are less distinct and overlap more significantly. Subtypes such
456 as High hyperdiploid, KMT2A Group, PH and Ph-like do not cluster as clearly, indicating
457 that key relationships between subtypes may be obscured without the subtype prediction
458 information. The difference between these two visualizations underscores the value of
459 RanBALL's approach in enhancing the interpretability and informative visual
460 representations of complex transcriptomic data. By leveraging predicted subtype
461 information, RanBALL not only improves visual clarity but also potentially reveals
462 biologically meaningful relationships between subtypes. This enhanced visualization
463 technique could provide valuable information for researchers in identifying patterns,
464 outliers, and potential new subgroups within B-ALL samples, ultimately leading to better
465 understanding and classification of this complex disease.

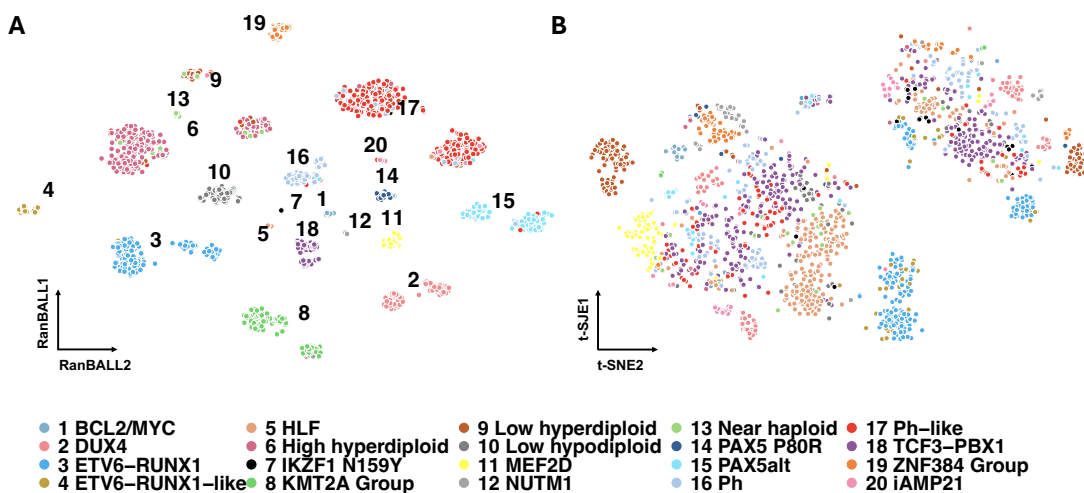
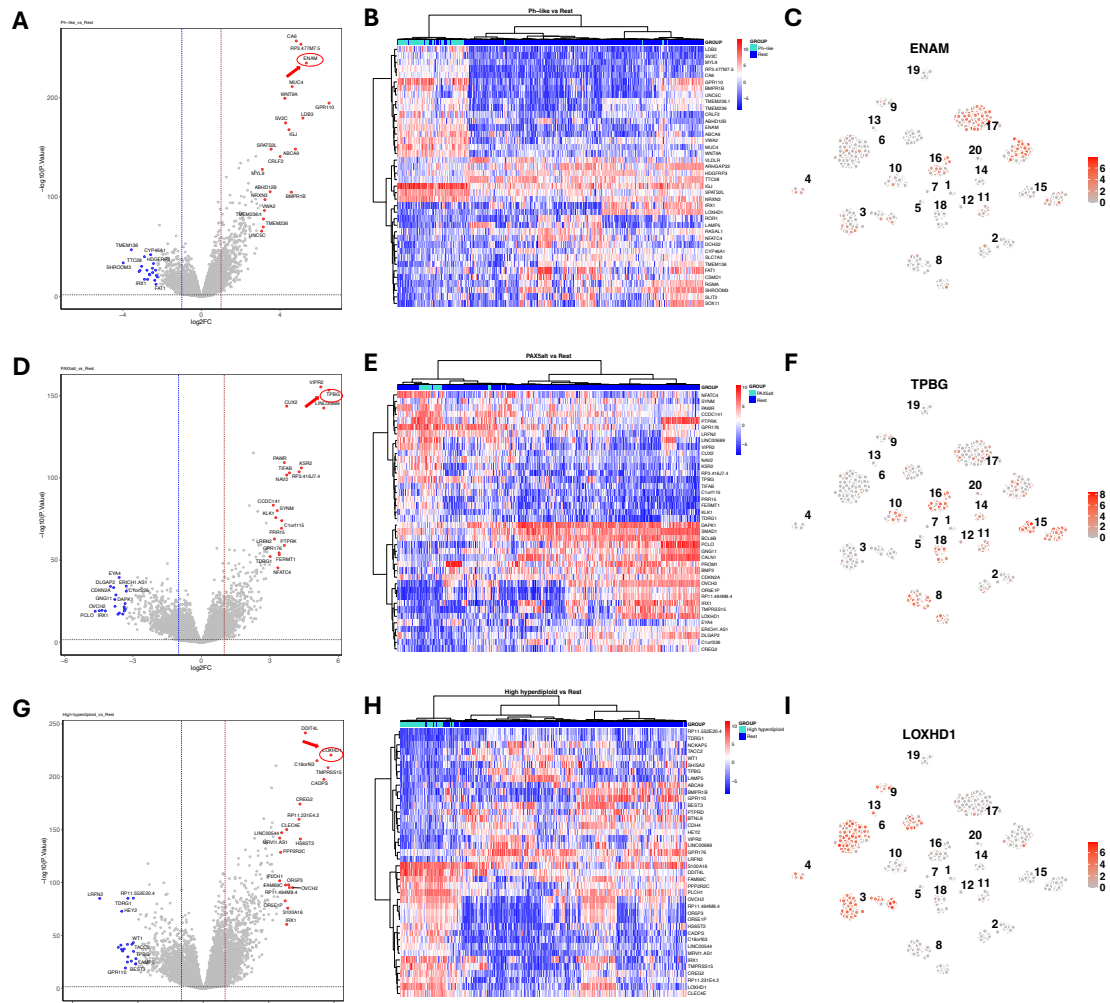


Figure 5. Comparative visualization of B-ALL subtype clustering using RanBALL-derived features and traditional t-SNE. (A) Enhanced t-SNE visualization of the reduced dimension matrix incorporating predicted subtype information. (B) t-SNE visualization of the reduced dimension matrix without incorporating RanBALL's predicted subtype information. The same color scheme was used in the two plots.

473 **Differential expression analysis for B-ALL subtypes**

474 To investigate the gene expression patterns for each B-ALL subtype, we performed
475 differential expression analysis. Fig. 6A illustrates the differential expressed genes (DEG)
476 between Ph-like B-ALL and the rest subtypes. The expression plots of the upregulated
477 DEG ENAM across all B-ALL samples are shown in Fig. 6C, highlighting its specific
478 overexpression in the Ph-like subtype. The ENAM gene was specifically expressed at the
479 samples with Ph-like subtype. The heatmap displays the expression profiles of top 20 DEG
480 (Fig. 6B). It indicates the potential differences among subtypes within the biological
481 functions and processes. Among the most upregulated genes, CRLF2, one of the most
482 important genes in Ph-like ALL, is consistent with its known role in activating JAK-STAT
483 signaling in a subset of Ph-like cases (56,57). Other significantly overexpressed genes,
484 including GPR110, ENAM, LDB3, and IGJ, suggesting alterations in cell adhesion,
485 signaling, and immunoglobulin production (56,58–60). Notably, SPATS2L overexpression
486 has been associated with poor prognosis (61,62). We also conducted differential
487 expression analysis on the PAX5alt subtype (Fig. 6D~F). These upregulated genes may
488 play crucial roles in promoting cell proliferation, survival, and signaling pathways in PAX5alt
489 B-ALL. For instance, TPBG is upregulated in high-risk cytogenetic subgroups and
490 overexpressed on the plasma membrane of lymphoblasts collected at relapse in patients
491 with B-cell precursor ALL (63). Similarly, KSR2, a kinase suppressor of Ras 2, has been
492 implicated in dysregulation of multiple signaling (64), suggesting a similar altered signaling
493 pathway in PAX5alt B-ALL. Additionally, TIFAB has been shown to regulate USP15-
494 mediated p53 signaling in stressed and malignant hematopoiesis (65). Interestingly,
495 NFATC4 significant upregulation in PAX5alt B-ALL contrasts with its significant
496 downregulation in Ph-like B-ALL, highlighting distinct transcriptional programs between
497 these subtypes. For differential expression analysis between High hyperdiploid and other
498 subtypes (Fig. 6G~I), the upregulated gene DDIT4L has been identified as therapeutic
499 targets in PDX ALL carrying the recently described DUX4-IGH translocation (66). Notably,
500 the upregulated gene OVCH2 was observed that it was downregulated in ALL (67,68).
501 Additionally, S100A16 has been implicated in suppressing the growth and survival of
502 leukemia cells in adults with Ph-negative B-ALL (69).

503



504

505 **Figure 6. Differential expression analysis within B-ALL subtypes. (A, D, G)** Volcano

506 plots illustrating differential gene expression between specific B-ALL subtypes and all other

507 subtypes. The x-axis represents log2 fold change, while the y-axis shows -log10(p-value).

508 Red dots indicate 20 significantly up-regulated genes, blue dots represent 20 significantly

509 down-regulated genes. Top 20 DEGs are labeled, with the most significant gene circled in

510 red. (A) Ph-like vs. rest; (D) PAX5alt vs. rest; (G) High hyperdiploid vs. rest. (B, E, H)

511 Heatmaps displaying expression patterns of the top 20 DEGs for each subtype comparison.

512 Rows represent genes, columns represent samples. Color scale ranges from blue (low

513 expression) to red (high expression). Hierarchical clustering dendrograms are shown for

514 both genes and samples. Sidebar annotations indicate sample subtypes and relative level

515 of gene expression. (B) Ph-like vs. rest; (E) PAX5alt vs. rest; (H) High hyperdiploid vs. rest.

516 (C, F, I) The expression plot of the up-regulated DEG for Ph-like subtype. RanBALL plots

517 visualizing the expression levels of the significantly up-regulated gene for each subtype

518 across all B-ALL samples. Each point represents a sample, colored by expression intensity

519 (red: high, grey: low). Numbers indicate different B-ALL subtypes. (C) DEG for Ph-like

520 (ENAM); (F) DEG for PAX5alt (TPBG); (I) DEG for High hyperdiploid (LOXHD1).

521

522

523

524 Discussion

525 In this study, we introduced an ensemble-based model, RanBALL, which integrates
526 Random Projection and Support Vector Machine (SVM) techniques to accurately identify
527 B-cell Acute Lymphoblastic Leukemia (B-ALL) subtypes using solely RNA-seq data.
528 Random Projection demonstrates efficacy in reducing the dimensionality of high-
529 dimensional data while retaining informative features present in RNA-seq data. The
530 experiments indicated that the ensemble method achieve superior stability and better
531 performance than individual method. The RanBALL model runs independent from prior
532 genomic knowledge for B-ALL subtype identification. Our results underscored the
533 robustness of the proposed model, attaining high levels of accuracy, F1 score, and MCC
534 value, indicating promising prediction capabilities of RanBALL for B-ALL subtyping. The
535 application of ML models in B-ALL subtype identification demonstrates the feasibility of
536 leveraging complex datasets to discover subtle differences among patients. This approach
537 overcomes the limitations of traditional subtyping methods, which often rely on a limited
538 set of markers and may not capture the full spectrum of disease heterogeneity.

539
540 Compared to existing methods for B-ALL subtyping, RanBALL consistently exhibited
541 superior performance metrics over ALLSorts, particularly in terms of Accuracy, F1 Score
542 and MCC value. However, there is still room for improvement in certain B-ALL subtypes,
543 necessitating further enhancement of prediction capabilities. First, the generalizability of
544 our findings may be limited by the composition of the training datasets, which were derived
545 from specific patient populations. Future studies should aim to validate our models in
546 diverse and independent cohorts to ensure their broad applicability. Second, the predictive
547 performance of our models could be influenced by technical and biological confounders
548 (70), such as batch effects and sample quality. Rigorous data preprocessing and quality
549 control measures will be essential to mitigate these factors in future work. Advanced
550 computational methods can be applied to remove the batch effects to improve the
551 performance of model. Finally, the observed imbalance among B-ALL subtypes within the
552 dataset may also potentially impede model performance. To address this issue, data
553 augmentation techniques (71) can be applied to augment the representation of minority
554 subtypes.

555
556 Additionally, future research efforts may focus on mitigating batch effects between different
557 B-ALL clinical cohorts to better address real-world challenges and facilitate clinical
558 applications (72). Furthermore, the integration of additional data types, such as genetic
559 (73,74), epigenetic (75,76) and imaging data (43,77), may further enhance the accuracy
560 and reliability of ML models in B-ALL subtype identification. The advent of single cell
561 sequencing technologies has revolutionized our ability to dissect heterogeneity of B-ALL,
562 enabling the characterization of cellular subpopulations and their functional states at an
563 unprecedented resolution (78–82). The integration of multi-scale multi-omics and multi-
564 modality can provide valuable insights into the molecular landscape of B-ALL subtypes and
565 inform personalized therapeutic approaches.

566

567 We anticipate that the deployment of RanBALL will yield significant positive impacts on
568 clinical diagnosis, personalized treatment strategies, and risk stratification within the realm
569 of biomedical research and practical clinical settings. This is particularly critical as distinct
570 B-ALL subtypes may respond differentially to various treatments, and precise subtype
571 identification can aid clinicians in selecting the most efficacious treatment regimen for
572 individual patients. Moreover, the diverse outcomes and survival rates associated with
573 different B-ALL subtypes underscore the importance of accurate subtype classification. To
574 facilitate further extending and accessibility of RanBALL, we have developed an open-
575 source Python package, available at <https://github.com/wan-mlab/RanBALL>.

576

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582

583 **Authors' contributions**

584 L.L.: data preprocessing, machine learning model development, data analysis and
585 interpretation, manuscript preparation, editing, and review. H.X.: data analysis and
586 interpretation, manuscript preparation, editing, and review. X.W.: manuscript preparation,
587 editing, and review. Z.T.: biological and clinical expertise, manuscript editing and review.
588 J.D.K.: biological and clinical expertise, manuscript editing and review. J.W.: manuscript
589 editing and review. S.W.: study concept and design, manuscript editing and review.

590

591 **Data availability**

592 The RNA-seq data of B-ALL samples can be publicly accessed from St. Jude Cloud
593 (<https://pecan.stjude.cloud/static/hg19/pan-all/BALL-1988S-HTSeq.zip>). The RanBALL
594 package can be accessed at <https://github.com/wan-mlab/RanBALL>.

595

596 **Competing Interests**

597 The authors declare no conflict of interest.

598

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618

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