

Prevention of venous thromboembolism in patients with cancer with direct oral anticoagulants

A systematic review and meta-analysis

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Abstract

Background: Patients with cancer are of a high level risk of venous thromboembolism (VTE). Low molecular weight heparin (LMWH) is recommended as the normal treatment for cancer-associated venous thrombosis. Recently, some studies suggest that patients with cancer-associated venous thrombosis can get a good efficacy and safety profile from treating with direct oral anticoagulants (DOACs) compared with other anticoagulants. However, when it comes to the efficacy of DAOCs in preventing VTE in patient with cancer, the data are limited. Thus, we performed such a meta-analysis to determine the efficacy and safety of DOACs in preventing VTE in patient with cancer compared with LMWHs.

Methods: Medline/PubMed and CENTRAL (The Cochrane Central Register of Controlled Trials) were systematically searched for relevant studies. For each trial, data on VTE, major bleeding, or bleeding were extracted by 2 reviewers independently. Pooled risk ratios (RRs) were calculated by using Review Manager 5.3 software and the significance was determined by the *Z* test.

Results: A total of 6 studies with 7185 patients were included in our meta-analysis. DOACs (RR=0.55, 95% confidence interval [95%CI]: 0.34–0.90, l^2 =31%) had a similar prevention effect of VTE to LMWH (RR=0.59, 95% CI: 0.37–0.95, l^2 =59%). DOACs (RR=1.52, 95% CI: 0.99–2.33, l^2 =0%) yielded a similar bleeding occurrence rate compared with LMWH (RR=1.35, 95% CI: 1.07–1.70, l^2 =35%). DOACs (RR=1.95, 95% CI: 0.88–4.30, l^2 =0%) showed a sight higher major bleeding occurrence rate than LMWH (RR=1.38, 95% CI: 0.88–2.14, l^2 =0%).

Conclusion: DOACs show comparable efficacy to LMWH in cancer patients without VTE with a slightly higher major bleeding occurrence rate. DOACs are inclined to be an alternative thromboprophylaxis strategy in cancer patients as they have superiorities compared to traditional anticoagulation agents. Further studies are still demanded as exiting relevant researches are limited.

Abbreviations: DOACs = direct oral anticoagulants, LMWH = low molecular weight heparin, VTE = venous thromboembolism. **Keywords:** oral anticoagulants, low molecular weight heparin, cancer, thrombosis, prevention

1. Introduction

It is well-known that cancer and its treatments are risk factors for venous thromboembolism (VTE), including deep-vein thrombosis (DVT) and pulmonary embolism (PE). Compared with the general population, the risk of VTE increased by 7-times and the risk is inclined to be increased further in patient with advanced stage of malignancy.^[1,2] Furthermore, the risk is considerably associated with racial and gender differences of the patient, the histologic type and primary site of the cancer, duration of its treatment (e.g., chemotherapy and antiangiogenic agents).^[3-5]

Low molecular weight heparin (LMWH) has been the primary treatment for VTE in patient diagnosed with cancer for many years. One classical randomized clinical trial conducted by Lee and Levine demonstrated a relative ratio (RR) reduction of recurrent VTE in patients treated with dalteparin without increasing the risk of bleeding compared with oral anti-coagulants.^[6] Then some guidelines recommended LMWHs as the preferred treatment for VTE in patient with malignancy.^[7,8]

In recent years, the direct oral anticoagulants (DOACs), including the factor IIa (thrombin) inhibitor dabigatran and the factor Xa inhibitors (apixaban, rivaroxaban, and edoxaban), have been developed and are recommended to be applied in VTE patients without cancer.^[8] Also, these new oral agents have been investigated for use in cancer patients and were attractive in these population, as they are utilized in a fixed dose and do not need to be adjusted for continuous laboratory monitoring index (international normalized ratio).^[9] In the NCCN guideline, edoxaban and rivaroxban are advised to be preferred choices for patients with cancer who diagnosed with VTE.^[10]

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However, existing studies on the efficacy and safety profile of DOACs in preventing VTE in cancer patients without VTE are limited and some of their research conclusions lack consistency due to heterogeneity. Therefore, we conducted such a metaanalysis to compare DOACs vs LMWHs in preventing VTE in patient with cancer.

2. Methods

We performed the meta-analysis in agreement with the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) statement.^[11] An ethical approval is not necessary in our meta-analysis.

2.1. Literature search and eligibility

Databases including Medline/PubMed and CENTRAL (The Cochrane Central Register of Controlled Trials) were systematically searched up until March 2019 for relevant papers. Search terms included: oral anticoagulants, rivaroxaban, apixaban endoxaban, low-molecular-weight-heparin, enoxaparin, dalteparin, tinzaparin, pulmonary embolism, deep vein thrombosis, venous thromboembolism, cancer. Dabigatran was removed from the search terms as its mechanism is different from other DOACs and the search results were limited to clinical trials. Also, we further reviewed the references of included studies and recently published reviews for relevant studies.

The abstract of studies generated by above searching strategy were independently screened by 2 reviewers (HC and JY), then they retrieved relevant studies and determined which ones were eligible. Included studies had to fit in following criteria: randomized controlled trials (RCTs); cancer patients without a concurrent VTE; treatments comparing LMWH or DOACs with placebo or no intervention; and outcomes: VTE (DVT or PE), bleeding, major bleeding. Case reports, review articles, guidelines, and textbook chapters were excluded from our analysis.

2.2. Data extraction and quality assessment

Two reviewers independently reviewed the full-text articles of relevant studies and extracted interested data. Any discrepancies were resolved through discussion with a 3rd reviewer to come to an agreement. The following data were extracted from each included study: publication information; the sample size of experimental group and control group; intervention (type of anticoagulants, doses, and duration of anticoagulation treatment).

The quality of the included studies was assessed by using the Jadad score which evaluates the study quality based on the randomization, binding, and description of study withdrawals to acquire a score of 0 to 5.^[12] Studies were regarded to be of high quality if the Jadad score was ≥ 3 and of low quality if the score was ≤ 2 .

2.3. Statistical analysis

The occurrence of VTE, bleeding, and major bleeding for the different anticoagulation treatment was used to calculate a separate pooled RR for each included study. The amount of statistical heterogeneity was quantified by the I^2 statistic. I^2 of more than 25%, 50%, and 75% were associated with low heterogeneity, moderate heterogeneity, and high heterogeneity, respectively. A fixed-effects model was used if $I^2 < 50\%$ or a random-effects if $I^2 > 50\%$. The Z test was used to determine the significance of the pooled RR. A funnel plot was used to assess publication bias statistically and statistically significant was considered if a *P*-value <.05, while the Review Manager 5.3 software was used for meta-analysis.

3. Results

3.1. Study characteristics

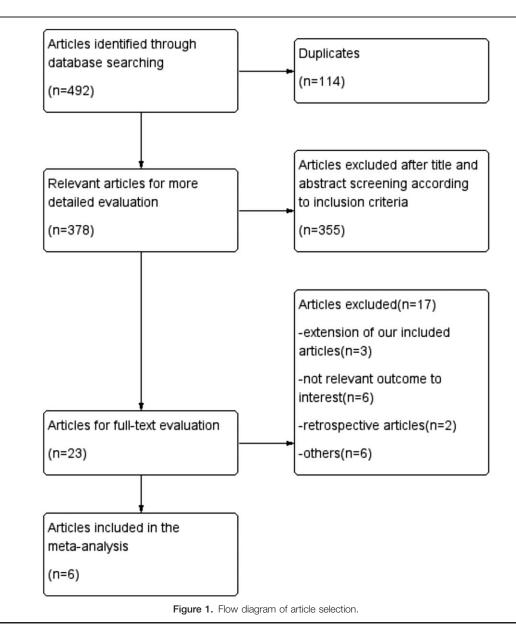
The initial literature search yielded a total of 492 trials, from which 114 removed due to duplication. Another 355 articles were excluded after title and abstract screening because they were not in accordance with our inclusion criteria. After evaluating full-text of remaining articles, 17 trials were excluded for following reasons: extension of our included articles; not including the outcomes of our research; retrospective articles; others (limited sample size or not placebo/no intervention control trials). Finally, 6 eligible RCTs of 7185 patients with cancer were included in the meta-analysis.^[13–18] The evaluation of the articles is shown in the flow diagram (Fig. 1). Table 1 shows some important details of the studies included in our meta-analysis. The patients of all included studies had a different type of malignancy. Two trials evaluated the use of DOACs in cancer patients without

Table 1

Ch	ar	act	teristics	of studies	inclu	ded	l in	the	meta-analysis.	

Study (year)	No. of patients	Interventions	Treatment duration	Quality score	
Agnelli et al (2009) ^[13]	779/387	Intervention: nadroparin 3800 U OD Control: placebo	4 mo	5	
Agnelli et al (2012) ^[14]	1608/1604	Intervention: semuloparin 20 mg OD Control: placebo	3.5 mo	4	
Doormaal et al (2011) ^[15]	244/259	Intervention: nadroparin (therapeutic dose for 2 weeks, and half therapeutic dose for 4 weeks) Control: no intervention	6 wk	3	
Haas et al (2012) ^[16]	447/453	Intervention: certoparin 3000 U OD Control: placebo	6 mo	4	
Carrier et al (2019) ^[17]	288/275	Intervention: apixaban 2.5 mg BID Control: placebo	6 mo	4	
Khorana et al (2019) ^[18]	420/421	Intervention: rivaroxban 10 mg OD Control: placebo	6 mo	4	

BID = twice daily, OD = once daily, U = unit.



VTE.^[17,18] And 4 trials evaluated the use of LMWH in these patients.^[13–16] The concomitant treatments contained chemo-therapy, radiotherapy, surgery, or hormone therapy.

3.2. VTE occurrence

All included trials were available to examine the efficacy of DOACs or LMWH on prevention VTE occurrence in patients with cancer. A pool analysis showed that low statistical heterogeneity was found for this outcome. According to the random-effects model, the use of anticoagulants significantly reduced the VTE occurrence rate (RR=0.57, 95% confidence interval [95% CI]: 0.41–0.78, I^2 =43%) (Fig. 2).

For prevention of VTE occurrence in subgroup analysis, DOACs (RR=0.55, 95% CI: 0.34–0.90, I^2 =31%) showed a similar prevention effect compared with LMWH (RR=0.59, 95% CI: 0.37–0.95, I^2 =59%). Totally, these trials suggested that 37 of 708 patients experienced VTE in the DOAC group vs 65 of 696 patients in the control group; 70 of 3063 patients

experienced VTE in the LMWH group vs 114 of 2685 patients in the control group. And the funnel plot for VTE occurrence showed no evidence of publication bias (Fig. 3).

3.3. Bleeding occurrence

All the trials assessed bleeding (including major bleeding and clinically relevant nonmajor bleeding) due to anticoagulant treatment in patients with cancer. A pool analysis showed that no significant statistical heterogeneity was found for this outcome. According to the fixed-effects model, the use of anticoagulants slightly increased the bleeding occurrence rate (RR = 1.39, 95% CI: 1.13–1.70, $I^2 = 0\%$) (Fig. 4).

For bleeding occurrence in subgroup analysis, DOACs (RR = 1.52, 95% CI: 0.99–2.33, $I^2=0\%$) showed a similar bleeding occurrence rate compared with LMWH (RR=1.35, 95% CI: 1.07–1.70, $I^2=35\%$). Totally, these trials suggested that bleeding occurred in 50 of 693 patients in the DOACs group vs 32 of 679 patients in the control group; bleeding occurred in 176 of 3049

	Anticoagul	ation	Contr	ol		Risk Ratio	Risk Ratio	
Study or Subgroup	Events Total		Events Total		Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl	
1.1.1 NOAC								
Carrier et al.2019	12	288	28	275	14.8%	0.41 [0.21, 0.79]		
Khorana et al.2019	25	420	37	421	20.4%	0.68 [0.42, 1.10]		
Subtotal (95% CI)		708		696	35.2%	0.55 [0.34, 0.90]	•	
Total events	37		65					
Heterogeneity: Tau ² =	0.04; Chi ² = 1	.46, df=	1 (P = 0	23); 12:	= 31%			
Test for overall effect.	Z = 2.40 (P =	0.02)						
1.1.2 LMWH								
Agnelli et al.2009	15	769	15	381	13.5%	0.50 [0.24, 1.00]		
Agnelli et al.2012	20	1608	55	1604	19.7%	0.36 [0.22, 0.60]		
Doormaal et al.2011	16	244	15	259	14.0%	1.13 [0.57, 2.24]		
Haas et al.2012	19	442	29	441	17.6%	0.65 [0.37, 1.15]		
Subtotal (95% CI)		3063		2685	64.8%	0.59 [0.37, 0.95]	•	
Total events	70		114					
Heterogeneity: Tau ² =	0.14; Chi ² = 7	.33, df =	: 3 (P = 0	.06); 12:	= 59%			
Test for overall effect .	Z= 2.19 (P=	0.03)						
Total (95% CI)		3771		3381	100.0%	0.57 [0.41, 0.78]	•	
Total events	107		179				12 122 24	
Heterogeneity: Tau ² =	0.07; Chi ² = 8	.79, df=	5 (P = 0	12); 12:	= 43%		0.01 0.1 1 10	100
Test for overall effect .	Z = 3.46 (P =	0.0006)					Favours anticoagulation Favours control	100
Test for subaroup diffe	erences: Chi ²	= 0.03.	df = 1 (P	= 0.86)	I ² = 0%		Favours anticoaguiation Favours control	

Figure 2. Forest plot showing the effect of anticoagulant treatment on venous thromboembolism occurrence in patients with cancer. CI = confidence interval, LMWH = low molecular weight heparin; NOAC = novel oral anticoagulant.

patients in the LMWH group vs 106 of 2674 patients in the control group. And the funnel plot for VTE occurrence showed no evidence of publication bias (Fig. 5).

3.4. Major bleeding occurrence

Major bleeding occurrence was assessed in all the included trials to evaluate the safety of thromboprophylaxis of VTE in cancer patients. A pool analysis showed that no significant statistical heterogeneity was found for this outcome. According to the fixed-effects model, the use of anticoagulants increased the major bleeding occurrence rate (RR=1.50, 95% CI: 1.02–2.21, $I^2 = 0\%$) (Fig. 6).

For major bleeding occurrence in subgroup analysis, DOACs (RR=1.95, 95% CI: 0.88–4.30, I^2 =0%) showed a higher bleeding occurrence rate than LMWH (RR=1.38, 95% CI:

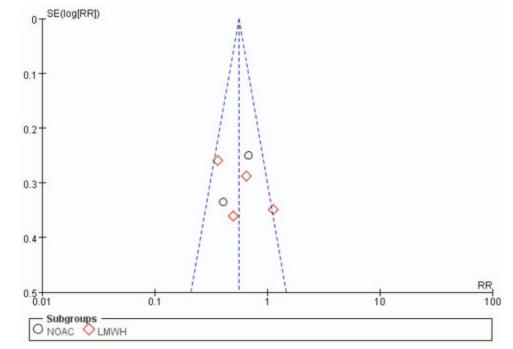


Figure 3. Funnel plot for venous thromboembolism occurrence. LMWH = low molecular weight heparin, NOAC = novel oral anticoagulant, RR = risk ratio.

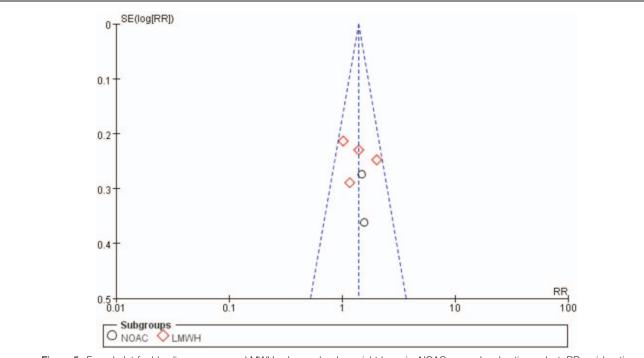
	anticoagulantion		Control		Risk Ratio		Risk	Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixe	ed, 95% Cl	
2.1.1 NOAC									
Carrier et al.2019	31	288	20	275	13.8%	1.48 [0.86, 2.53]			
Khorana et al.2019	19	405	12	404	8.1%	1.58 [0.78, 3.21]	-	-	
Subtotal (95% CI)		693		679	22.0%	1.52 [0.99, 2.33]		•	
Total events	50		32						
Heterogeneity: Chi ² = I	0.02, df = 1 (P	= 0.89);	I ² = 0%						
Test for overall effect.	Z=1.91 (P=0	0.06)							
2.1.2 LMWH									
Agnelli et al.2009	62	769	30	381	27.1%	1.02 [0.67, 1.56]		-	
Agnelli et al.2012	45	1589	32	1583	21.7%	1.40 [0.90, 2.19]			
Doormaal et al.2011	23	244	21	259	13.8%	1.16 [0.66, 2.05]	1	•	
Haas et al.2012	46	447	23	451	15.5%	2.02 [1.24, 3.27]			
Subtotal (95% CI)		3049		2674	78.0%	1.35 [1.07, 1.70]		•	
Total events	176		106						
Heterogeneity: Chi ² = -	4.63, df = 3 (P	= 0.20);	I* = 35%						
Test for overall effect.	Z = 2.52 (P = 0	0.01)							
Total (95% CI)		3742		3353	100.0%	1.39 [1.13, 1.70]		•	
Total events	226		138						
Heterogeneity: Chi ² = -	4.90, df = 5 (P	= 0.43);	I ² = 0%				0.01 0.1	1 10	100
Test for overall effect: 2	Z = 3.13 (P = 0	0.002)					Favours anticoagulantion		10
Test for subaroup diffe	erences: Chi ²	= 0.22. d	f=1 (P=	0.64).	$ ^2 = 0\%$		ravours anticoaguiantion	Favours control	

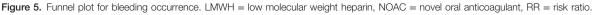
Figure 4. Forest plot showing the effect of anticoagulant treatment on bleeding occurrence in patients with cancer. Cl = confidence interval, LMWH = low molecular weight heparin.

0.88–2.14, $I^2 = 0\%$). Totally, these studies suggested that major bleeding occurred in 18 of 693 patients in the DOACs group vs 9 of 679 patients in the control group; major bleeding occurred in 47 of 3049 patients in the LMWH group vs 33 of 2674 patients in the control group. And the funnel plot for VTE occurrence showed no evidence of publication bias (Fig. 7).

4. Discussion

The objective of the meta-analysis is to evaluate DOACs vs LMWH for the thromboprophylaxis of VTE in cancer patients who had no VTE by pooling data from all the available clinical trials. Many authors have studied the role of LMWH in patients with cancer. A relevant meta-analysis conducted by Che et al



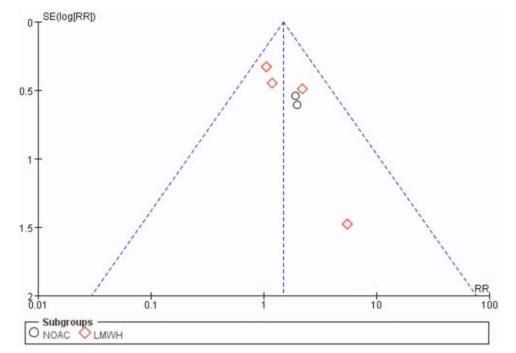


	anticoagulantion		Control		Risk Ratio		Risk Ratio
Study or Subgroup	Events Total		Events Total		Weight M-H, Fixed, 95% C		M-H, Fixed, 95% Cl
3.1.1 NOAC							
Carrier et al.2019	10	288	5	275	12.0%	1.91 [0.66, 5.52]	
Khorana et al.2019	8	405	4	404	9.4%	2.00 [0.61, 6.57]	
Subtotal (95% CI)		693		679	21.4%	1.95 [0.88, 4.30]	-
Total events	18		9				
Heterogeneity: Chi ² = I	0.00, df = 1 (P	= 0.96);	I ² = 0%				
Test for overall effect.	Z = 1.65 (P = 0	0.10)					
3.1.2 LMWH							
Agnelli et al.2009	5	769	0	381	1.6%	5.46 [0.30, 98.43]	
Agnelli et al.2012	19	1589	18	1583	42.4%	1.05 [0.55, 2.00]	_ _
Doormaal et al.2011	10	244	9	259	20.5%	1.18 [0.49, 2.85]	
Haas et al. 2012	13	447	6	451	14.0%	2.19 [0.84, 5.70]	
Subtotal (95% CI)		3049		2674	78.6%	1.38 [0.88, 2.14]	◆
Total events	47		33				
Heterogeneity: Chi ² = 1	2.56, df = 3 (P	= 0.46);	² = 0%				
Test for overall effect: .	Z=1.41 (P=0	0.16)					
Total (95% CI)		3742		3353	100.0%	1.50 [1.02, 2.21]	◆
Total events	65		42				
Heterogeneity: Chi ² = 1	3.24, df = 5 (P	= 0.66);	I ² = 0%				0.01 0.1 1 10 100
Test for overall effect: .	Z = 2.05 (P = 0	0.04)					Favours anticoagulantion Favours control
Test for subaroup diffe	erences: Chi*	= 0.56. d	f=1 (P=	0.45).	$ ^2 = 0\%$		Favours anticoaguiantion Favours control

Figure 6. Forest plot showing the effect of anticoagulant treatment on major bleeding occurrence in patients with cancer. Cl = confidence interval, LMWH = low molecular weight heparin, NOAC = novel oral anticoagulant.

revealed that LMWH is effective in preventing VTE (RR=0.53 95% CI: 0.42–0.67, I^2 =5.9%) and increases bleeding occurrence (RR=1.32, 95% CI: 1.08–1.62, I^2 =40.4%) in cancer patients without VTE, there is no significant effect on major bleeding occurrence (RR=1.22, 95% CI: 0.87–1.71, I^2 =9.2%).^[19] LMWH reduces the incidence of symptomatic VTE by about half (RR=0.54, 95% CI: 0.38–0.75, I^2 =0%) with a significantly 3-fold higher risk of clinically relevant bleeding (RR=3.40, 95%

CI: 1.20–9.63, $I^2 = 78\%$) compared to no intervention in a metaanalysis by Di Nisio et al.^[20] And the upper bound of CI suggests that heparin treatment is associated with double risk of major bleeding (RR=1.44, 95% CI: 0.98–2.11, $I^2 = 0\%$) while the CI is wide but close to statistical significance. Another Cochrane systematic review conducted by Akl EA and colleagues found that parenteral anticoagulation (with either unfractionated heparin or LMWH) reduces VTE occurrence (RR=0.56, 95% CI: 0.47–





0.68, $I^2 = 0\%$) but increases major bleeding risk (RR = 1.30, 95% CI: 0.94–1.79, $I^2 = 0\%$).^[21] Some meta-analyses evaluated the efficacy and safety of LMWH in the lung cancer patients without VTE. Thein et al. found that LMWH prevent VTE without increasing major bleeding events but with increasing clinically relevant bleeding occurrence.^[22] Another meta-analysis conducted by Fuentes et al demonstrated that VTE prophylaxis with LMWH reduces the VTE episodes without an apparent increase bleeding risk among ambulatory patients with lung cancer.^[23]

Additionally, treatment benefits of LMWH are different when applied to patients with different histological types of cancer. The efficacy and safety of VTE prophylaxis in pancreatic and lung cancer subgroup meta-analyses suggested that LMWN treatment benefits are specific to these populations.^[24,25]

In recent years, DOACs have been a novel treatment choice for VTE with following advantages: lack of need for routine laboratory monitoring, oral route of administration, and limited drug–drug or food–drug interactions. Prior review and metaanalysis studies showed that DOACs seems to be more effective and safer than LMWH in treatment of VTE in patients with cancer.^[26,27] However, the benefits of prevention VTE with DOACs in cancer patients without VTE are unclear and relevant studies are limited. Two recent trials evaluated the use of DOACs as thromboprophylaxis in patients with cancer.^[17,18] So, we conducted this meta-analysis.

In our meta-analysis, thromboprophylaxis with anticoagulant agents (including DOACs and LMWH) vielded a significant reduction of VTE in patients with cancer with a slight increase in bleeding. When compared with LMWH, DOACs had a similar prevention effect of VTE, a similar bleeding occurrence rate and a slightly higher major bleeding occurrence rate. It is noteworthy that 95% CI of RR was wide with regard to the effect of DOACs on major bleeding occurrence. In the CASSINI trial, rates of the VTE or VTE-related death (6.0% vs 8.8%, P = .10) and of major bleeding (2.0% vs 1.0%, P=.27) were similar between rivaroxaban and placebo.^[18] In AVERT trial, Apixaban significantly reduced VTE occurrence (4.2% vs 10.2%, P < .001), but significantly increased rates of major bleeding (3.5% vs 1.8%, P=.046) compared with placebo.^[17] So the problem whether DOACs significantly increases major bleeding risk cannot reach a unanimous conclusion and further studies are needed.

At the same time, patients' satisfaction and quality of life influenced by anticoagulants should also be concerned. Cancer patients who need longer duration of anticoagulant treatment seem to have poor compliance of treatment due daily subcutaneous injection of LMWH.^[28] Some published studies demonstrated that patients with cancer were more satisfied with DOACs (rivaroxban) than LMWH during anticoagulant treatment.^[29]

Hence, DOACs are inclined to attract growing attention as an alternative strategy for prevention of venous thromboembolism in cancer patients without VTE. And further relevant studies are still demanded to provide future direction and evidence for the role of DOACs in these patients because existing studies are limited.

Several limitations should be concerned in our meta-analysis: not all the relevant RCTs which probed the efficacy and safety of LMWH in preventing VTE in patients with cancer were included in our meta-analysis, and relevant studies examined DOACs are limited; optimal duration of anticoagulation therapy was not evaluated to come to an agreement, although most of included studies evaluated a 6-month of anticoagulation; patients with cancer of different types or stages and different prevention agents (e.g., medicine, dosing, and duration) were included in selected studies, a significant heterogeneity should not be ignored in our meta-analysis.

5. Conclusion

The DOACs show comparable efficacy to LMWH in cancer patients without VTE. And a slightly higher risk of major bleeding with DOACs was observed, but 95% CI was wide. DOACs are inclined to be an alternative strategy for prevention of VTE in cancer patients without VTE. Future studies are required to assess the efficacy and safety of DOACs for the prevention of VTE in this population due to limited available studies.

Author contributions

Conceptualization: Hailong Chen, Rui Tao, Jin Yang. Data curation: Rui Tao, Hui Zhao, Jianjun Jiang. Formal analysis: Hailong Chen, Jin Yang. Funding acquisition: Jin Yang. Investigation: Hailong Chen, Hui Zhao, Jin Yang. Methodology: Rui Tao, Jianjun Jiang. Software: Hailong Chen, Jianjun Jiang. Supervision: Rui Tao, Hui Zhao. Validation: Jin Yang. Writing – original draft: Hailong Chen. Writing – review & editing: Hailong Chen, Jin Yang. Hailong Chen orcid: 0000-0001-6572-8535.

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