

Associations Between Negative Symptoms and Effort Discounting in Patients With Schizophrenia and Major Depressive Disorder

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Deficits in goal-directed decision making and motivation are hallmark characteristics of several neuropsychiatric disorders, including schizophrenia (SZ) and major depressive disorder (MDD). Studies using effort-based decision-making tasks have shown that both patients with SZ and MDD invest less physical effort in order to obtain rewards. However, how these motivational deficits relate to clinically assessed symptom dimensions such as apathy remains controversial. Using a grip-strength-based effort discounting task we assessed effort-based decision-making behavior in healthy controls (HC) ($N = 18$), patients with SZ ($N = 42$), and MDD ($N = 44$). We then investigated how effort discounting relates to different symptom dimensions. There were no differences in effort discounting between HC participants and patients with SZ or MDD. In addition, we did not observe a correlation between effort discounting and negative symptoms (NS) in patients with SZ or MDD. In conclusion, the current study does not support an association between effort discounting and NS in SZ or MDD. Further studies are needed to investigate effort discounting and its relation to psychopathological dimensions across different neuropsychiatric disorders.

Key words: schizophrenia/major depressive disorder/effort discounting/negative symptoms

Introduction

Deficits in goal-directed decision making and motivation resulting in suboptimal clinical outcomes characterize several neuropsychiatric disorders, including

schizophrenia (SZ) and major depressive disorder (MDD).^{1,2} These impairments significantly contribute to reduced functioning and quality of life and therefore understanding the mechanisms of abnormal decision-making processes in different neuropsychiatric disorders is imperative to the development of potential treatments.³⁻⁵

Effort-based decision-making paradigms have proven to be a powerful tool for unraveling different aspects of motivational processes.⁶ In effort-based decision-making tasks, participants make several decisions on how much effort to exert (eg, squeeze a hand grip or press a button a certain number of times) to obtain a given level of reward.⁷ One of the most consistent findings across studies is that compared to healthy controls (HC), both patients with SZ⁸⁻¹⁴ and MDD^{15,16} are less willing to invest physical effort in order to obtain monetary rewards.

How abnormal effort-based decision-making processes relate to psychopathological symptom dimensions such as negative symptoms (NS) is less clear. NS, ie, impairments in motivation, social interactions, ability to experience pleasure, but also the reduction in expression of emotions and speech, constitute a separate symptom dimension in patients with SZ, and are highly relevant to clinical outcome and functional impairments.^{17,18} Although NS were initially described in patients with SZ,¹⁹ several domains of NS such as motivational deficits and anhedonia are also prevalent in many other psychiatric disorders such as MDD.^{5,20} While various studies have shown that in patients with SZ effort expenditure is associated with NS,^{8,10,12,21} several others have found no associations^{9,13,22}

(for review see ²³). In patients with MDD, reduced effort expenditure and allocation have been associated with anticipatory anhedonia^{15,24} and the number of effortful choices has been shown to relate to cognitive function.²⁵ However, similar to studies in patients with SZ, these associations have not been consistently observed or reported in MDD.^{16,26}

To specifically investigate the relationship between decision making and NS, our group has recently developed a task that allows to measure effort discounting.²⁷ This effort discounting task is based on a grip strength paradigm. Study participants first squeeze a hand grip with maximal strength for calibration. During the task, participants then make a series of decisions about whether to exert no effort for a small reward or a higher effort for a larger reward. This allows for a subjective measure of how monetary reward is devalued in proportion to effort. Hartmann et al showed that in patients with SZ, increased effort discounting was strongly correlated with lower apathy but not with diminished expression.²⁷ In other words, apathetic patients were less likely to invest physical effort to obtain a reward.

In recent years, an increasing number of studies has begun disentangling the complex interactions between reward and motivation across diagnostic entities. However, studies directly comparing different neuropsychiatric disorders such as MDD and SZ, aiming at teasing apart common and different mechanisms of effort-based decision making and specifically how these relate to NS remain sparse.

The overall aim of the present study was to compare the discounting of monetary reward by physical effort between patients with SZ, MDD, and HC and investigate in these disorders how effort discounting relates to motivational deficits and anhedonia. We hypothesized that patients with SZ and MDD with higher apathy scores make fewer effortful choices for higher rewards than control participants. Based on previous studies,^{16,26} we further hypothesized patients with MDD to make fewer effortful choices than HC. Additionally, we expected patients' performance to relate to anhedonia, ie, higher anhedonia levels would correlate with fewer effortful choices. Lastly, in an exploratory approach, we investigated potential associations between effort discounting and other psychopathological dimensions such as positive symptoms, symptoms of disorganization, and cognition.

Methods

Forty-two patients meeting the DSM-IV²⁸ criteria for SZ, 44 patients with MDD and 18 HC participants were recruited. More patients (SZ and MDD) than controls were recruited to have adequate power for the correlational analyses. Patients were recruited from outpatient and inpatient units of the Psychiatric Hospital of the University of Zurich and affiliated institutions. Diagnoses

were confirmed by conducting the Mini-International Neuropsychiatric Interview.²⁹ All patients were clinically stable and under a stable dose of medication for at least 2 weeks prior to testing. Inpatients were at the end of their hospitalization and engaged in a multimodal therapy program and activities outside the hospital.^{27,30–33} The average duration of hospitalization for patients with SZ and MDD in Swiss psychiatric hospitals is longer than in most other countries, so the majority of patients would have been treated as outpatients in other health care systems. HC were recruited from the community via advertisement. All participants gave written informed consent and the project was approved by the Ethics Committee of the Canton of Zurich. The inclusion age was between 18 and 65 years.

We excluded patients with any disorder other than the abovementioned DSM-IV Axis I disorders (thus, patients with SZ did not have comorbid MDD and MDD patients did not have a history of psychotic disorder), use of benzodiazepines (except lorazepam equivalents of 1 mg or less per day) and acute psychotic symptoms. Chlorpromazine equivalents were calculated according to.³⁴ Participants with any alcohol use disorder based on lifetime criteria and participants with a current abuse or dependency of cannabis or any other substance abuse were excluded. HC were excluded if any lifetime psychiatric diagnosis was present in the structured Mini-International Neuropsychiatric Interview.

Assessment of Psychopathology and Cognition

NS were assessed using the Brief Negative Symptom Scale (BNSS).^{35,36} Positive symptoms, symptoms of disorganization, and NS were assessed using the 5-factor model of the Positive and Negative Syndrome Scale (PANSS)³⁷: The positive factor was computed from items P1, P3, P5, G9, the disorganized factor was calculated based on items P2, N5, G11, and the NS factor was calculated based on items N1, N2, N3, N4, N6, G7. Cognition was assessed with the Brief Neurocognitive Assessment (BNA).³⁸ With the BNA, a cognitive score is computed for each participant by combining results from the Letter-Number-Span Test and the Symbol Coding Test. The BNA was shown to be highly correlated with the MATRICS Consensus Cognitive Battery (MCCB)³⁹ and has similarly good validity criteria.⁴⁰ Global level of functioning was assessed with the Personal and Social Performance (PSP) Scale.⁴¹

Effort Task

The experimental procedure closely followed the one described in Hartmann et al.²⁷ Physical effort was assessed by an isometric dynamometer (Sensory-Motor Systems Laboratory, ETH Zurich; measuring range: 0–600 N). After the instructions were delivered, the experimental session began with 2 calibration trials. Participants had

to squeeze the dynamometer with their dominant hand as hard as possible for 3.5 s. Maximum voluntary contraction (MVC) was defined as the mean force exerted during the period 1–3.5 s after the go signal in the 2 trials. The experiment began after 5 training trials.

On every trial, participants had to make a choice between (a) exerting no effort and receiving a default small amount of money (1 CHF, equivalent to 1 USD) or (b) exerting one of 4 levels of effort and receiving one of the larger rewards. The 4 levels of effort used were 40%, 60%, 80%, and 100% MVC. They were paired with one of the following rewards: 1.5 CHF, 2 CHF, 2.5 CHF, 3 CHF, and 5 CHF. Each level of effort appeared with each reward 4 times, resulting in a total of 80 trials (some participants [$N = 57$] performed an additional number of trials containing lower and higher reward values, in order to estimate reward discounting, see below). Participants could take a self-paced break after 40 trials. All trials were randomized for each participant, such that the same condition could not occur more than 2 times in a row.

Maximum choice time was not restricted. If an effortful option was chosen, participants had to maintain the given effort level for 3.5 s in order to obtain the reward (effective effort was quantified for 2.5 s: 1–3.5 s). The individual adjustment of the effort level based on the calibration trials ensured that the participants were physically capable of maintaining each effort level. To avoid any effects of loss aversion,^{42,43} participants were given the default reward of 1 CHF if they failed to maintain the required effort level. This approach was chosen, because in line with prospect theory the 1 CHF payout in the low-effort condition can be viewed as the reference point for the decision between the low-effort and the high-effort condition. A payout of 0 CHF in the high-effort condition would thus be perceived as a monetary loss by the participant. Conversely, the effortful option would be viewed as risky because in case of failure to achieve the required effort it could lead to less reward than the no reward option. We aimed to avoid any confounding effects of loss and risk aversion to isolate the effort component as much as possible. Visual feedback was provided about the required level of effort, the duration of the trial, and participants' level of effort in real time. If the effortless choice was made, participants simply waited for 3.5 s before receiving the 1 CHF reward. Time costs were thus held constant between the effortful and effortless options.

After participants completed all the experimental trials, 5 trials were selected at random for the final payout. Participants then performed another 2 calibration trials at the end of the task, identical to the ones described above, in order to control for the effects of fatigue.

Finally, using a visual-analogue scale, participants answered a series of questions about (a) how satisfying

they found the 5 offered rewards used during the experiment; (b) how strenuous they found the 4 effort levels; (c) how advantageous they perceived the different effort-reward combinations presented during the task.

Data Processing

As the reward provided for the effortful option increases within a given effort level, participants are expected to switch from choosing the effortless option to choosing the effortful option, even though the trials are randomized (figure 1A). The task thus allows establishing the amount of reward for which the participant is equally likely to choose the effortless and the effortful option. This amount is formalized as the “indifference point.” Indifference points were obtained for each effort level by fitting a logistic function (Matlab (2018), ezyfit function) to the fraction of effortful choices across all reward levels (figures 1A and 1B). The obtained indifference points allowed calculating the relative subjective value (SV) of reward, ie, how each of the 4 effort levels reduced (discounted) the SV of monetary reward in each participant. For each effort level this was achieved by dividing the default 1 CHF reward by the corresponding indifference point value (figure 1C).

To measure overall effort discounting, following Hartmann et al,²⁷ we used the area under the curve (AUC). For each participant, we computed the AUC of the relative SVs over the 4 effort levels (figure 1C). Smaller AUC represents stronger effort discounting, ie, increasing effort leads to a stronger devaluation of reward and decrease in effortful choices.

During the experiment, indifference points for each effort level were calculated in real time. If, for a given effort level the participant failed to switch by never choosing the effortful option or by always choosing the effortful option (ie, their individual indifference points were outside the reward options provided), additional trials were presented for that effort level. Specifically, when a participant never chose the effortful option at a given effort level even at the usual maximum 5 CHF, the effortful option was presented with a higher than usual reward in 3 additional trials (6 CHF, 7 CHF, and 10 CHF). Conversely, if the participant always chose the effortful option even at the usual minimum of 1.5 CHF, 3 additional trials used smaller than usual rewards (1.20, 1.10, and 1.05 CHF reward) for that effort level. Trials were presented in fixed order: ascending when enticing the participant to choose the effortful option and descending when enticing the participant to choose the effortless option. A participant could receive a maximum number of 12 additional trials (resulting in a total of 92 trials). The additional trials made it possible to calculate indifference points for participants who failed to switch at a given effort level.

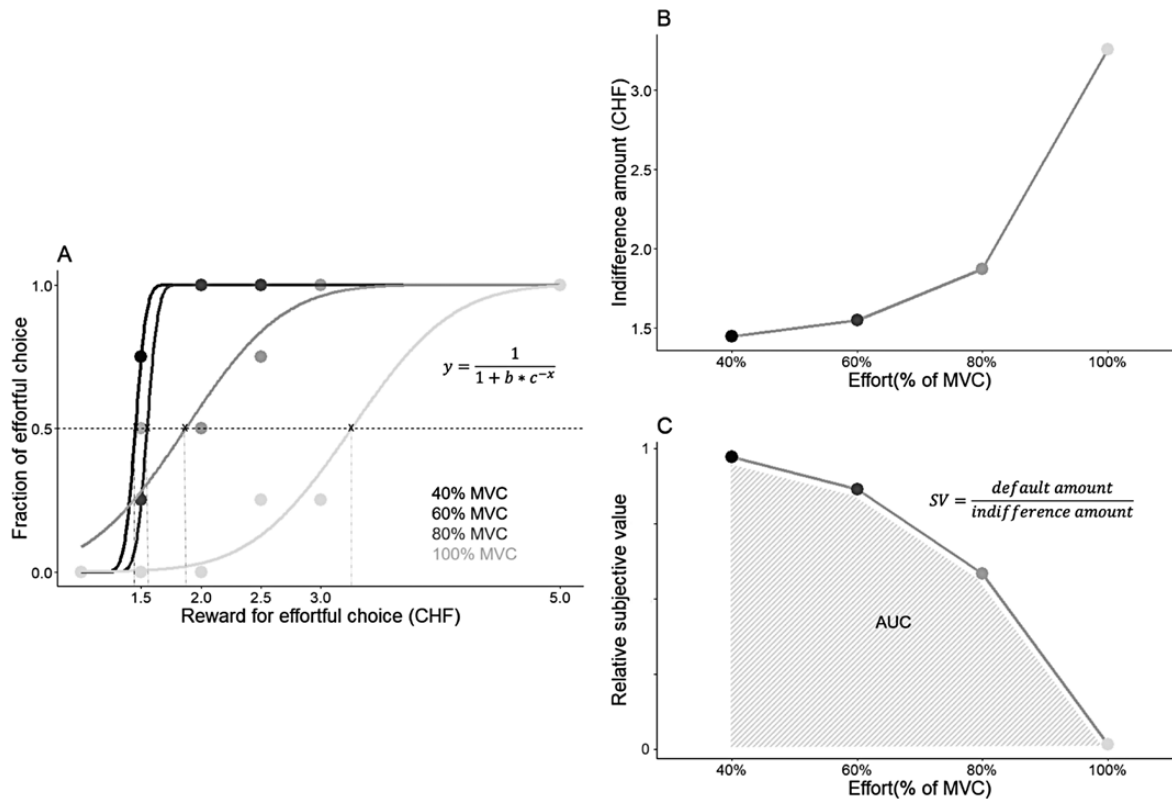


Fig. 1. Data processing for effort discounting. Illustration from 1 example participant. (A) Indifference points for each effort level are obtained by fitting a logistic function to the proportion of effortful choices to interpolate the precise amount of reward that each participant required to be indifferent between the effortful and effortless options (ie, the amount of reward at which each option would be chosen equally often: fraction = 0.5). (B) Indifference points for each effort level obtained in (A). (C) Discount curve for the same participant. The relative subjective value (SV) of reward is calculated for each effort level by dividing the default amount (1 Swiss Franc [CHF]) by the respective indifference amount obtained in (A). The area under the discount curve (AUC) formed by the SV values constitutes the main dependent variable reflecting effort discounting (smaller areas correspond to stronger discounting). *Note:* MVC, maximum voluntary contraction.

Data Analysis and Statistics

First, normal distribution was tested using the Shapiro-Wilk test. To test for differences between 2 groups, we used 2-sided Student *t* tests (if data were normally distributed) or Mann-Whitney *U* tests (if data were not normally distributed). For comparisons of more than 2 groups, we performed 1-way ANOVAs with Tukey’s test for post hoc comparisons. General linear models were used to test for the effects of covariates and Tukey’s correction was applied for follow-up of post hoc effects. For correlations between task parameters and symptom domains, Pearson (for normally distributed data) or Spearman (if data were not normally distributed) correlation coefficients were used. All *P* values <.05 were considered statistically significant. No correlations were calculated for the HC group, based on the low level of psychopathological symptoms. Statistical analyses were computed with SPSS version 25 (IBM Corp., SPSS Inc., Chicago, IL), GraphPad Prism software 8 (GraphPad Software Inc.), and R (R Core Team 2020).

Results

Clinical variables and psychopathological assessment parameters are summarized in [table 1](#).

Task Performance

We first investigated general effort task performance ([table 1](#)). There were no differences between the 3 groups in the overall number of trials completed ($F(2,101) = 2.52, P = .086$) or number of chosen effortful trials ($F(2,101) = 0.87, P = .4$). The proportion of chosen and successfully completed effortful trials for each group was as follows: HC: 69.1%, SZ: 71.5%, MDD: 71.2% ($F(2, 101) = 0.15, P = .860$). When analyzing the proportion of successful effortful trials for each effort and reward condition during the main experiment (ie, without additional trials), there was a significant reward × group interaction ($F(2,101) = 8.4, P < .001$), with post hoc tests revealing that both patients with SZ and MDD chose significantly more effortful trials in the 1.5 CHF reward condition than the control

Table 1. Sociodemographic Variables, Clinical Data and Task Performance Parameters

	Statistics				Post Hoc
	HC (n = 18)	SZ (n = 42)	MDD (n = 44)	Test Statistics	
Clinical variables					
Age (years)	33.00 ± 9.49	33.19 ± 10.30	36.18 ± 11.06	F(2, 101) = 1.07	¹ P = .347
Sex (male/female)	9/9	30/12	18/26	$\chi^2 = 8.28$	² P = .016
Education (years)	14.25 ± 2.20	12.39 ± 3.97	14.51 ± 3.16	F(2, 101) = 4.60	¹ P = .012
Inpatients/outpatients	—	19/23	20/24	$\chi^2 = 0.75$	² P = .388
Monthly income (CHF)	4388.89 ± 2054.80	2792.68 ± 1860.70	3250.00 ± 2190.10	F(2, 100) = 3.83	¹ P = .025
Handedness (right/left/both)	15/1/2	33/8/1	43/0/1	$\chi^2 = 13.18$	² P = .010
Number of psychotic episodes	0	4.98 ± 5.14	0	F(2, 101) = 28.91	¹ P < .001
Number of depressive episodes	0	0.10 ± 0.30	3.57 ± 3.16	F(2, 101) = 36.36	¹ P < .001
Number of hospitalizations	0	5.26 ± 5.42	1.23 ± 1.31	F(2, 101) = 19.81	¹ P < .001
Chlorpromazine equivalents (mg/day)	0	477.64 ± 391.36	2.28 ± 11.19	F(2, 101) = 45.59	¹ P < .001
BNSS					
BNSS apathy	0.78 ± 1.11	18.43 ± 9.36	20.23 ± 7.48	F(2, 101) = 43.64	^a P < .001 ^b P < .001 P = .529
BNSS diminished expression	0.22 ± 0.43	9.64 ± 7.85	6.98 ± 6.34	F(2, 101) = 13.28	^a P < .001 ^b P = .001 ^c P = .143
PANSS					
PANSS positive factor	—	5.74 ± 2.43	4.36 ± 1.06	U = 559	³ P < .001
PANSS negative factor	—	15.40 ± 6.85	13.50 ± 5.20	U = 801	³ P = .287
PANSS disorganized factor	—	5.62 ± 2.25	4.36 ± 1.30	U = 621.5	³ P = .007
CDSS (total)	—	3.07 ± 3.75	12.09 ± 5.13	U = 136	³ P < .001
BDI (total)	1.33 ± 2.63	15.00 ± 9.68	25.52 ± 12.92	F(2, 98) = 35.08	^a P < .001 ^b P < .001 ^c P < .001

Table 1. Continued

	Statistics				Post Hoc
	HC (n = 18)	SZ (n = 42)	MDD (n = 44)	Test Statistics	
TEPS					
TEPS anticipatory	46.89 ± 5.43	42.70 ± 8.28	36.48 ± 8.84	$F(2,96) = 12.31$	^a $P = .177$ ^b $P < .001$
TEPS consummatory	37.61 ± 7.02	36.51 ± 8.00	32.70 ± 7.57	$F(2, 96) = 3.77$	^c $P = .003$ ^a $P = .871$ ^b $P = .061$
Cognition (BNA)	-0.04 ± 0.68	-0.91 ± 0.69	-0.12 ± 0.84	$F(2,98) = 13.96$	^c $P = .070$ ^a $P < .001$ ^b $P = .920$
Global functioning (PSP total)	96.89 ± 4.78	52.24 ± 14.55	57.57 ± 16.71	$F(2,101) = 64.18$	^c $P < .001$ ^a $P < .001$ ^b $P < .001$
Effort task performance					
MVC (N)	342.69 ± 100.18	309.61 ± 98.93	285.71 ± 92.49	$F(2, 101) = 2.30$	¹ $P = .105$
Time to reach MVC (s)	42.31 ± 12.39	38.63 ± 15.52	39.42 ± 12.22	$F(2, 101) = 0.46$	¹ $P = .632$
Fatigue (MVC1-MVC2)	-17.37 ± 54.09	-21.87 ± 75.16	-6.32 ± 51.59	$F(2,100) = 0.68$	¹ $P = .508$
Final payout (in CHF)	12.19 ± 2.99	12.57 ± 3.29	12.22 ± 2.83	$F(2,101) = 0.18$	¹ $P = .839$
Total trial number	81.33 ± 1.85	83.50 ± 4.03	83.48 ± 3.93	$F(2,101) = 2.52$	¹ $P = .086$
% successful effortful trials	69.09 ± 11.39	71.46 ± 18.57	71.20 ± 14.54	$F(2, 101) = 0.15$	¹ $P = .860$

Statistics: ¹One-way analysis of variance, ²chi-square test, ³Mann-Whitney U test. Post hoc (Tukey): ^aHC vs SZ, ^bHC vs MDD, ^cSZ vs MDD. Note: BDI, Beck Depression Inventory; BNA, Brief Neurocognitive Assessment; BNSS, Brief Negative Symptom Scale; CDSS, Calgary Depression Scale for Schizophrenia; CHF, Swiss Francs; HC, healthy controls; MDD, major depressive disorder; MVC, maximum voluntary contraction; PANSS, Positive and Negative Syndrome Scale; PSP, Personal and Social Performance Scale; schizophrenia; TEPS, Temporal Experience of Pleasure Scale. Significant results are indicated in bold. Data are presented as mean ± SD.

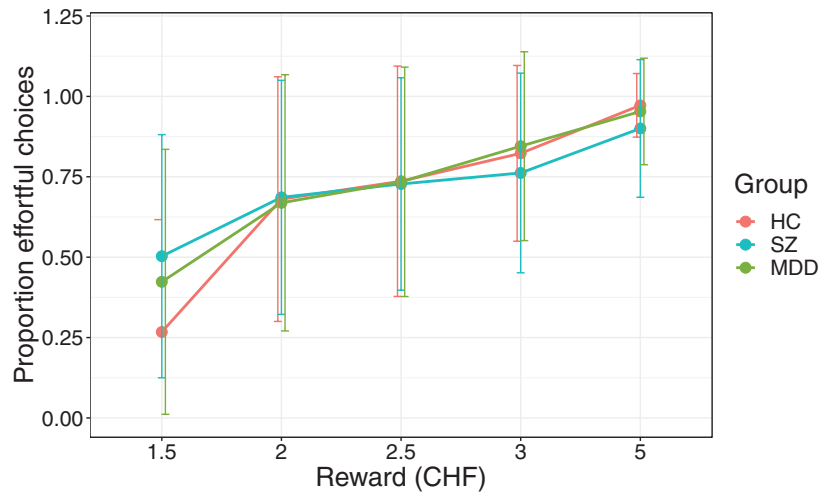


Fig. 2. Proportion of effortful choices for each of the 5 reward values used in the experiment. Participants with SZ and MDD chose significantly more effortful trials for the lowest reward (1.5 CHF). Error bars represent SDs. *Note:* HC, healthy controls; MDD, major depressive disorder; SZ, schizophrenia.

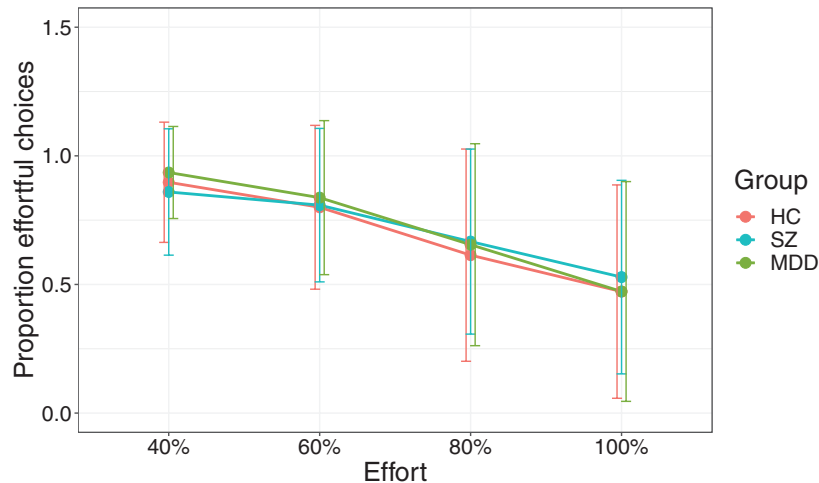


Fig. 3. Proportion of effortful choices for each of the 4 effort levels used in the experiment. All participants showed a decrease in effortful choices with increasing effort. SZ participants showed a nonsignificant decrease between 40% and 60% effort. Error bars indicate SDs. *Note:* HC, healthy controls; MDD, major depressive disorder; SZ, schizophrenia.

group (HC vs SZ $P < .001$, HC vs MDD $P = .013$, SZ vs MDD $P = .15$). Thus, both patient groups chose to perform effort for minimal reward more frequently than control participants (figures 2 and 3).

The 3 groups did not differ on MVC before the experiment ($F(2, 101) = 2.30$, $P = .105$), time to reach MVC ($F(2, 101) = 0.46$, $P = .632$), fatigue ($F(2,100) = 0.68$, $P = .508$), or final payout ($F(2,101) = 0.18$, $P = .839$). Fatigue was assessed as the difference between the force exerted in the calibration trials before and after the experiment. Additionally, to assess fatigue during the experiment, we binned the 80 trials by 20, and compared the number of chosen effortful trials and the number of effortful trials successfully completed between the 4 bins

across groups. No significant differences were observed (all $R^2 < 0.011$, all $P > .8$).

Group Differences in Effort Discounting

Similar to Hartmann et al²⁷ all groups on average showed effort discounting (ie, the average relative SVs decreased with increasing effort, figure 4). This result was confirmed by an ANOVA on a linear mixed effect model on SV values with group, SV and gender as fixed effects and subject as a random effect. A main effect of SV ($F(3,288) = 91.2$, $P < .001$) was observed, but no main effect of group ($F(2,96) = 1.3$, $P = .27$), or gender ($F(1,96) = 0.1$, $P = .7$).

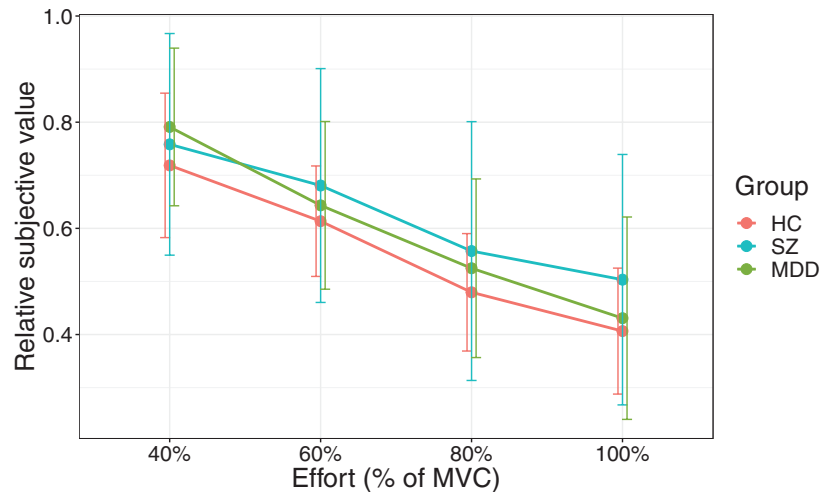


Fig. 4. Group means of SV values for the 3 groups plotted against the 4 effort levels (% MVC) showing effort discounting. Error bars represent SDs. *Note:* HC, healthy controls; MDD, major depressive disorder; MVC, maximum voluntary contraction; SV, subjective value; SZ, schizophrenia.

Importantly, we observed a group \times SV interaction ($F(6,288) = 2.67, P = .02$). Numerically, for the 100% effort condition participants with SZ were more willing to exert effort than the 2 other groups, with post hoc comparisons not reaching significance (SZ vs HC: $P = .071$; SZ vs MDD: $P = .07$). Post hoc contrasts also showed that MDD participants significantly reduced SV values with all increases in effort level. This was not the case for SZ and HC participants, whose SV values did not significantly differ between 40% and 60% effort and 80% and 100% effort (for both groups, there was a significant difference between 60% and 80% effort).

Despite the general trend of SV values to drop with increasing effort, individually, not all subjects showed this pattern (ie, main SV effect). In this substantial number of participants (HC = 1, SZ = 10, MDD = 6), SVs for the highest effort level (100%) were higher or equal to those for the lowest effort level (40%).

Moreover, other combinations of nondecreasing SV values (eg, $SV(80\% \text{ effort}) > SV(60\% \text{ effort})$) were found for an additional: 2 HC, 13 SZ, and 8 MDD participants. Thus, a high proportion of SZ participants did not perform the task as expected, either because they always chose the effortful option irrespective of reward (resulting in SV values of 1 for all effort levels) or because they discounted reward more for lower effort than higher effort. A chi-square test comparing the total number of such participants within each group (HC = 3/18, SZ = 23/42, MDD = 14/42) showed a significant main effect of group ($\chi^2(2) = 8.7, P = .01$), the only significant post hoc difference between SZ and HC participants ($P = .015$).

Association Between Effort Discounting and NS

We investigated the correlation between effort discounting and NS in both patients with SZ and MDD

(table 2). We did not observe a significant correlation between BNSS apathy and effort valuation (AUC) in patients with SZ ($r(p) = 0.150, P = .344$) or MDD ($r(s) = 0.238, P = .120$). Further, there was no correlation between BNSS diminished expression and AUC in patients with SZ ($r(s) = 0.266, P = .088$) or MDD ($r(s) = 0.226, P = .141$), nor between AUC and the Temporal Experience of Pleasure Scale (TEPS) anticipatory (SZ: $r(s) = -0.321, P = .053$; MDD: $r(s) = -0.148, P = .337$) or TEPS consummatory subscale (SZ: $r(s) = -0.048, P = .776$; MDD: $r(s) = -0.113, P = .464$). AUC did not correlate with depressive symptoms as measured by clinician administered Calgary Depression Scale for Schizophrenia (SZ: $r(p) = 0.301, P = .053$; MDD: $r(s) = 0.113, P = .467$) or the participant rated Beck Depression Inventory (BDI) (SZ: $r(p) = 0.111, P = .501$; MDD: $r(s) = 0.092, P = .554$).

Association of Covariates With Effort Discounting

Next, we performed correlational analyses between effort valuation (AUC) and other covariates assessed in our study (table 2). We found no correlations between composite cognitive score (as assessed by the BNA) and AUC (SZ: $r(p) = -0.045, P = .780$; MDD: $r(s) = -0.038, P = .809$). Interestingly, there was a positive correlation between the PANSS disorganized factor and AUC in patients with SZ ($r(s) = 0.336, P = .030$) but not MDD ($r(s) = 0.057, P = .713$). There was also a positive correlation between the PANSS positive factor and AUC in SZ ($r(s) = 0.356, P = .021$) but not in MDD ($r(s) = -0.099, P = .524$).

Taken together these analyses suggest that SZ participants showing higher levels of disorganization and positive symptoms also showed counterintuitive task performance, ie, made more effortful choices, particularly for lower rewards.

Table 2. Correlations Between Effort Discounting (Area Under the Curve, AUC) and Clinical and Psychopathological Variables in Patients With SZ and MDD

	SZ (n = 42)	MDD (n = 44)
Clinical variables		
Number of hospitalizations	$r(s) = 0.030, P = .852$	$r(s) = 0.238, P = .121$
Monthly income (CHF)	$r(s) = 0.065, P = .687$	$r(s) = -0.372, P = .013$
Chlorpromazine equivalents (mg/day) ¹	$r(s) = -0.053, P = .741$	$r(s) = -0.095, P = .540$
BNSS		
BNSS apathy	$r(p) = 0.150, P = .344$	$r(s) = 0.238, P = .120$
BNSS diminished expression	$r(s) = 0.266, P = .088$	$r(s) = 0.226, P = .141$
PANSS		
PANSS positive factor	$r(s) = 0.356, P = .021$	$r(s) = -0.099, P = .524$
PANSS negative factor	$r(s) = 0.132, P = .405$	$r(s) = 0.247, P = .106$
PANSS disorganized factor	$r(s) = 0.336, P = .030$	$r(s) = 0.057, P = .713$
CDSS (total)	$r(p) = 0.301, P = .053$	$r(s) = 0.113, P = .467$
BDI (total)	$r(p) = 0.111, P = .501$	$r(s) = 0.092, P = .554$
TEPS		
TEPS anticipatory	$r(s) = -0.321, P = .053$	$r(s) = -0.148, P = .337$
TEPS consummatory	$r(s) = -0.048, P = .776$	$r(s) = -0.113, P = .464$
Cognition (BNA)	$r(p) = -0.045, P = .780$	$r(s) = -0.038, P = .809$
Global functioning (PSP total)	$r(p) = -0.172, P = .277$	$r(s) = -0.051, P = .742$
Effort task performance		
Maximum voluntary contraction (MVC)	$r(p) = -0.222, P = .158$	$r(s) = -0.027, P = .864$
Anticipatory reward pleasure (average, VAS)	$r(p) = 0.054, P = .734$	$r(s) = 0.336, P = .026$
Perceived effort (average, VAS)	$r(s) = -0.102, P = .522$	$r(s) = 0.089, P = .565$

Statistics: Pearson correlation coefficients $r(p)$ were calculated for normally distributed data, Spearman correlation coefficients $r(s)$ were used for nonnormally distributed data. *Note:* BDI, Beck Depression Inventory; BNA, Brief Neurocognitive Assessment; BNSS, Brief Negative Symptom Scale; CDSS, Calgary Depression Scale for Schizophrenia; CHF, Swiss Francs; HC, healthy controls; MDD, major depressive disorder; MVC, maximum voluntary contraction; PANSS, Positive and Negative Syndrome Scale; PSP, Personal and Social Performance Scale; SZ, schizophrenia; TEPS, Temporal Experience of Pleasure Scale; VAS, Visual Analogue Scale. Significant results are indicated in bold. ¹No correlations of chlorpromazine equivalents were calculated in patients with MDD because only 2 participants had values >0.

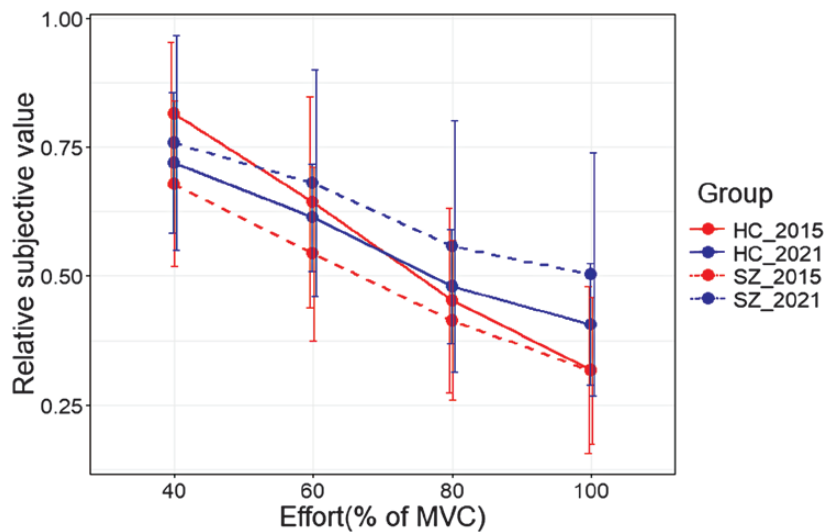


Fig. 5. Effort discounting (SV values) for the SZ (dashed lines) and HC groups (solid lines) in 2015 (red) and 2021 (blue) plotted against the 4 effort levels. Error bars represent SDs. *Note:* HC, healthy controls; MVC, maximum voluntary contraction; SV, subjective value; SZ, schizophrenia.

No associations of task performance with psychopathology were observed in the MDD group.

Self-report Measures of Monetary Reward Valuation and Perceived Effort

Linear mixed effect models were fit to the subjective valuation scores with group, either effort or reward and their interaction as fixed effects and subject as random effect. The 3 groups did not differ on their subjective evaluation of effort difficulty ($F(2,101) = 0.56, P = .57$) and displayed a main effect of effort, judging higher levels of effort as more strenuous ($F(3,303) = 589.2, P < .001$). There was no group \times effort interaction ($F(6,303) = 1.6, P = .1$).

For subjective evaluation of reward a main effect of group was found ($F(2,98) = 4.9, P = .009$), with participants with MDD judging rewards as more satisfying than participants with SZ ($P = .0095$). A main effect of reward indicated that all participants increased their appreciation of the reward as its value increased ($F(7,686) = 161.3, P < .001$). There was no interaction ($F(14,686) = 1.6, P = .08$).

Finally, when assessing how advantageous every effort-reward combination was rated, we observed a significant group \times reward interaction ($F(8,1919) = 4.1, P < .0001$). Post hoc comparisons showed that the SZ group judged the 1.5 CHF reward level as more advantageous than both HC ($P = .003$) and MDD ($P = .0095$) participants, and the 2 CHF reward level as more advantageous than HC ($P = .01$) participants. This result mirrors the higher number of effortful trials selected by SZ participants for the 1.5 CHF lowest reward condition. Thus, this reward value was judged as more satisfactory by SZ participants and resulted in more effortful choices.

Further Effects of Covariates on Effort Discounting

To further explore the impact of positive and disorganization symptoms, as well as subjective ratings on effort discounting in SZ patients we performed a linear regression to predict SV values. For this, we averaged the ratings for the lowest reward (1.5 CHF) across effort levels. As stated above, it is expected that SV values decrease with increasing effort, and thus SV for 100% effort is expected to be lower than SV for 40% effort. We thus computed a composite SV score by subtracting SV for 100% from SV for 40% effort. An ANOVA on this regression showed that the only significant result obtained was for PANSS disorganization score ($P = .03$). Thus, disorganization appears to be the most robust predictor of patients' performance on the task and might account for the unusual performance pattern observed.

Comparison to Hartmann et al

In order to better understand any differences between the participants in the current HC and SZ groups and those in our previous work, we directly compared the 4 groups in a linear mixed effect model with SV, group and study as fixed effects and subjects as random effect.²⁷ An ANOVA on this model yielded a significant effect of SV ($F(3,349.67) = 109.1, P < .0001$) and study ($F(1,421.6) = 14.3, P = .0002$), and group \times study ($F(1,355.8) = 15.7, P < .0001$) and SV \times study interactions ($F(3,349.7) = 4.1, P = .007$), [figure 5](#). The group \times study interaction showed no difference between HC participants in 2015 and 2021 ($P = .86$), whereas SZ participants in 2021 had significantly higher SV scores than 2015 SZ participants ($P < .0001$). The SV \times study interaction showed that in 2021 the SVs for 80% and 100% effort levels were significantly higher than in 2015. Taken together these results indicate that the differences between the 2 cohorts stem from reduced effort discounting in the 2021 SZ group.

Analyses Excluding Outliers

We performed similar analyses as described above while excluding “non-discounter” participants—ie, those whose SV 100% values were equal or higher than their SV40% values. These analyses are presented in [Supplementary Material](#).

Discussion

The main finding of the current study was that we did not observe differences in effort discounting between HC participants and patients with SZ or MDD and that there were no associations between effort discounting and NS in patients with SZ or MDD.

Most notably, we did not observe the previously reported association between apathy and effort discounting.²⁷ While there were no obvious methodological differences (eg, the same instructions and a similar dynamometer were used) or variations in sociodemographic or clinical characteristics of the 2 cohorts, the most obvious difference was the high proportion of our SZ participants (and a somewhat lower proportion of MDD participants) who did not show effort discounting, ie, chose the effortful option irrespective of reward or chose to perform more effort for less reward. This is in sharp contrast with the study of Hartmann et al²⁷ where only 1 participant failed to show discounting. This result is surprising, given that the same instructions were delivered to participants in both studies and it was ensured that participants exerted maximal effort during the calibration phase, so that task difficulty was adequately individually adapted. A recent

study using an effort-based task (in the form of button presses) in patients with SZ and HC participants has also reported that patients made high-effort choices irrespective of the reward amount they could win (1 euro vs 1 cent).⁴⁴ It thus appears that in certain circumstances patients with SZ tend to disregard effort to maximize reward. This strategy may appear rational, as indeed, if the high effort can be maintained throughout the task, this should result in maximizing reward. In our task this reward maximization strategy may be successful even when effort cannot consistently be maintained, because participants received the default reward of 1 CHF in failed trials. However, in both our task, and that of Pretus et al,⁴⁴ reward maximization in patients was not observed: in the former we find no difference between patients and controls in the amount won, and in the latter patients ended up with lesser total gains than controls.

Although a direct comparison with other studies is often difficult, eg, because of differences in task design and high variability in scales used to assess clinical symptoms,⁴⁵ it is worthwhile noting that several studies have also not observed an association between effort discounting and NS. Docx et al have also used a hand grip-based effort task to investigate effort discounting but have not found an association with motivational symptoms (assessed by the avolition or anhedonia subscale of the Scale for the Assessment of Negative Symptoms).²² These results are in line with 2 large studies that investigated and compared several different effort-based decision-making paradigms and also failed to find an association between a hand grip effort task and total NS (as assessed by the PANSS negative factor) or apathy, as assessed by the motivation and pleasure (MAP) scale of the Clinical Assessment Interview for Negative Symptoms (CAINS).^{11,21} In summary, while we could not replicate our previous findings, the results of the current study are in line with several other studies, reporting little evidence for an association between NS and physical effort discounting.

Since the majority of physical effort discounting studies have used button-pressing paradigms (in contrast to the present use of a hand grip-based task), it is important to compare the results of the present study with these paradigms. Using a balloon effort task, Gold et al have found a negative association between high-effort choices and total levels of NS.¹⁰ While in a subsequent study, the correlation with total NS (PANSS negative factor) could not be replicated, a negative correlation with MAP-CAINS was found.^{11,21} The same 2 studies have also investigated associations between the “Effort Expenditure for Rewards Task” (EEfRT) and PANSS negative factor/MAP-CAINS and found modest but significant correlations.^{11,21} However, while several studies have replicated the negative correlations between NS (either total NS and/or apathy) and the EEfRT⁸ in patients with SZ, several other studies have found no association^{9,12,13,46,47} or even a positive association.¹⁴

Our second aim was to assess effort-based decision making in patients with MDD. Compared to patients with SZ, there are fewer studies that have investigated how effort-based decision making relates to psychopathological symptoms and to our knowledge, no study has so far investigated effort discounting and NS in depressed patients. Some previous studies using the EEfRT and probabilistic reward task have shown MDD patients to make fewer effortful choices than HC.^{15,16,26} We have not observed this difference with our paradigm. MDD participants discounted reward similar to HC participants, requiring more reward to exert more effort. It should be noted that Yang et al¹⁵ only observed a significant difference between first episode MDD patients and HC participants, which might explain the difference compared to our study, in which MDD patients had on average more than 3 depressive episodes. No difference was observed between remitted MDD patients and HC, even though, similar to SZ patients, MDD patients also chose more effortful trials for the lowest reward than HC participants. Overall, however, MDD participants appeared motivated by monetary reward, unlike, eg, the patients in the study of Treadway et al, who did not make more effortful choices for more reward.¹⁶ Of note, we observed in patients with MDD but not SZ a significant correlation between effort discounting and monthly income, indicating that socioeconomic status is worth taking into consideration. Previous research using the EEfRT task has also shown that males choose a higher proportion of high effort—high reward options than females. Although not directly comparable, our results showed males required higher rewards to choose the most effortful options (100% MVC) as compared to 80% MVC, while females required the same amount of reward for both. Investigating potential sex differences in effort-based decision-making paradigms in future studies will therefore be important.

As mentioned above, few studies have investigated how effort tasks relate to depression symptomatology. Studies with button-pressing paradigms have shown reduced effort expenditure in patients with MDD compared to HC, but have mostly failed to show a relation to depressive symptomatology (assessed by BDI).^{15,16} In a grip task paradigm, patients with MDD showed less effort expenditure than HC, but effort discounting did not correlate with depressive symptoms, as measured by the BDI.⁴⁸ Similarly, we found no correlations between task performance and symptomatology or cognitive ability in MDD patients.

One interesting finding of our study was the positive correlation between AUC and disorganization scores (as measured by the PANSS) in patients with SZ but not MDD. More disorganized patients tended to show less effort discounting (ie, made more effortful choices for less reward). Even though patients in our study did not show higher disorganization scores than the patients

in Hartmann et al,²⁷ their level of disorganization was related to their performance on the task. Interestingly, SZ participants made more effortful choices for low rewards and also judged those rewards as more satisfying than HC and MDD participants. In addition, the propensity to evaluate low reward-effort combinations more favorably was correlated with the PANSS disorganization score. Disorganization still correlated with AUC even after non-discounter participants were removed from the analysis (see [Supplementary Material](#)). Although compared to other symptom domains symptoms of disorganization have been less investigated, several studies have shown an association with functional outcomes,^{49,50} impaired quality of life,⁵¹ and lack of insight.⁵²

In conclusion, the current study does not support an association between effort discounting and NS in SZ or MDD. Despite these negative results, research on effort-based decision making to develop objective measures of NS remains of interest for 2 main reasons. First, this type of task is suitable for a translational approach as similar tasks can be developed for humans and animals. Second, this type of task could provide an objective complement to more subjective clinical assessments. However, in order to move forward it will be essential to better understand the source of heterogeneity in the existing results. It would be important to better standardize tasks and psychopathological assessment in future studies. Larger sample sizes would be helpful to delineate individual differences in effort-based decision making. Overall, despite the negative results in the present study, further studies directly comparing different effort tasks between patients with SZ and MDD are needed, before definitive conclusions can be drawn.

Supplementary Material

Supplementary data are available at *Schizophrenia Bulletin Open* online.

Acknowledgments

This work was supported by a Postdoc. Mobility Fellowship of the Swiss National Science Foundation (F.C.), a Walter and Gertrud Siegenthaler Postdoctoral Fellowship (F.C.), and project support by the Hartmann Mueller Foundation (F.C.) and the Olga Mayenfisch Foundation (M.H.). F.K. was supported by an Early Postdoc Mobility Fellowship of the Swiss National Science Foundation. S.K. was funded by the Swiss National Science foundation (10001CL_169783) The authors have declared that there are no conflicts of interest in relation to the subject of this study.

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