

## ORIGINAL ARTICLE

# Multilevel mortality risk factors among pediatric hematology-oncology patients with deterioration

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## Abstract

**Background:** Hospitalized pediatric hematology-oncology patients have frequent clinical deterioration events (CDEs) requiring intensive care unit (ICU) interventions

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and resulting in high mortality, particularly in resource-limited settings. This study identifies independent risk factors for CDE mortality in hospitals providing childhood cancer care in Latin America and Spain.

**Methods:** Centers implemented a prospective CDE registry, defined as unplanned transfer to a higher level of care, use of ICU-level interventions on the ward, or nonpalliative ward death. The authors analyzed registry data from April 2017 to December 2022. The primary outcome was CDEs mortality, defined as death occurring during ICU admission, <24 hours of ICU discharge, or end of ward-based ICU interventions. Multilevel modeling identified event-, patient-, and hospital-level independent risk factors for CDE mortality.

**Results:** Among 69 participating hospitals in 18 countries, 4134 CDEs were reported in 3319 pediatric hematology-oncology patients with an event mortality of 26.8% (1108 events). Of all CDEs, 33.7% used ICU interventions on the ward and 87.5% were transferred to a higher level of care. In multilevel modeling, significant independent risk factors for event mortality present at the start of deterioration included patient (disease relapse) and event (e.g., reason for hospital admission, use of ICU intervention on wards, abnormal lactate, platelets, or C-reactive protein, reason for deterioration, and number of organs with dysfunction); hospital factors were not significant predictors of mortality.

**Conclusions:** Hospitalized pediatric hematology-oncology patients with CDE have high mortality with significant variability across centers. Mortality, however, is largely driven by modifiable event-level factors, demonstrating the need for targeted interventions to improve survival.

**KEYWORDS**

clinical deterioration, intensive care, Latin America, pediatric oncology, resource-limited settings

**INTRODUCTION**

A third of childhood cancer deaths after starting treatment are due to treatment-related complications; in low- and middle-income countries, one in every 15 children with cancer experiences treatment-related mortality.<sup>1</sup> Children with cancer frequently develop critical illness,<sup>2</sup> with rates of critical complications increasing over time.<sup>3</sup> In high-resource settings, excellent outcomes for critically ill children with cancer are possible with coordinated, multidisciplinary supportive and critical care.<sup>4</sup> Over 90% of children with cancer, however, live in resource-limited settings.<sup>5</sup> Hospitals in these settings have variable access to material and human resources,<sup>6,7</sup> resulting in challenges managing critical illness in high-risk patients such as children with cancer.<sup>8</sup>

To improve outcomes for critically ill children with cancer, it is imperative to understand the presentation, mechanism, and risk factors for mortality among these patients. In high-resource settings, cancer type, severity of illness, and presence of infection are associated with mortality among critically ill children with cancer.<sup>2,4</sup> Data from resource-limited settings, however, are mostly limited to single-center studies, preventing generalization across settings and

evaluation of center-level risk factors for mortality.<sup>8</sup> Additionally, children with cancer in resource-limited settings frequently develop critical illness outside of a formal intensive care unit (ICU) setting,<sup>9,10</sup> requiring a more inclusive definition to accurately evaluate factors contributing to mortality.

In 2017, the St. Jude Global Critical Care Program<sup>11</sup> worked with regional stakeholders in Latin America to develop Proyecto Escala de Valoración de Alerta Temprana (EVAT), a multidisciplinary quality improvement collaborative to improve the care of children with cancer who develop critical illness.<sup>12</sup> As part of this collaborative, hospitals implement a prospective quality improvement registry of clinical deterioration events, or events where hospitalized patients develop acute critical illness, occurring among children with cancer and other blood disorders in their hospitals. In 2021, preliminary analysis of the first year of registry data demonstrated modifiable risk for mortality, including higher severity of illness at event recognition and use of ICU-level interventions on the wards, but was limited by the relatively small number of collaborating centers.<sup>10</sup> The objective of this study is to expand on this preliminary analysis and describe multilevel risk factors for CDE mortality among hospitalized children with cancer in Latin America and Spain over 5 years.

## MATERIALS AND METHODS

### Study design and setting

This study is a retrospective analysis of data collected in a de-identified, prospective quality improvement registry of clinical deterioration events (CDEs) as part of Proyecto EVAT, a quality improvement collaborative to improve outcomes for children with cancer through implementation of a pediatric early warning system (PEWS) (Figures S1 and S2).<sup>12,13</sup> Methods of data collection are similar to those previously described<sup>10,14</sup> and briefly summarized below.

Centers are eligible to participate in Proyecto EVAT if they provide inpatient childhood cancer care and are primarily Spanish- or Portuguese-speaking; they are recruited through collaboration with St. Jude Global<sup>15</sup> or learning about the program from colleagues. Participating centers have a range of resources and hospital characteristics, with most identifying as resource-limited due to a range of resource challenges, including inadequate nursing and physician staffing, insufficient ICU resources, and patients with low socioeconomic, education, and nutritional status.

This study includes all registry data reported from April 1, 2017 (start of Proyecto EVAT) through December 31, 2022. All centers contributing data to the registry were included in analysis. Deterioration occurring in patients with limitations on escalation of care (do-not-resuscitate order or equivalent) was not considered CDEs and was not reported in the registry.

### Data collection

Centers participating in Proyecto EVAT identify a local multidisciplinary leadership team to lead program activities. From the start the program, hospitals participate in a prospective de-identified quality improvement registry of CDEs among hospitalized children with cancer at and continue data collection through 18 months post-PEWS implementation.<sup>16</sup> For each CDE, a de-identified case report form is completed by the local site lead (Figure S3) and emailed to St. Jude, where it is entered into a REDCap database<sup>17</sup> by a bilingual clinical research associate. Registry data are routinely checked by St. Jude research staff for missing and incorrect values to assure data quality. The case report form documents characteristics of the deterioration event and patient oncologic history. In addition, site leads provide institutional data on hospital structure and resources for childhood cancer care. Each center is also classified by country income-level as per World Bank criteria.<sup>18</sup>

### Definitions

CDE was used as an inclusive definition of the development of acute critical illness<sup>9</sup> and defined as an event in a hospitalized child with cancer or other blood disorder requiring (1) unplanned transfer to the ICU, (2) ICU-level intervention on the ward, or (3) ward death in a patient without limitations to escalation of care (do-not-resuscitate

order or equivalent). ICU-level interventions were defined as invasive or noninvasive mechanical ventilation (CPAP [continuous positive airway pressure], BiPAP [bilevel positive airway pressure], ventilation through a tracheostomy, or intubation with mechanical ventilation), vasoactive infusions, or cardiopulmonary resuscitation (CPR). A CDE started when the patient first met criteria for CDE and ended at death, at time of ICU discharge, or end of ICU-level interventions on the ward; all events were followed to this time point. If ICU interventions were re-started and/or ICU re-admission occurred within 24 hours, this was considered as part of the same CDE. If ICU interventions and/or ICU re-admission was required more than 24 hours following CDE end, this was considered a new CDE and documented as a new entry in the registry. CDE mortality, or death during the deterioration event, was defined as a death occurring during ICU admission, while receiving ward-based ICU interventions, or within 24 hours of ICU discharge or end of ward-based ICU interventions.

The primary event was the first event experienced by the patient who met criteria for a CDE. Cardiac arrest was defined as CPR or primary event as death on the ward; cardiopulmonary arrest was a cardiac arrest or intubation/mechanical ventilation on the ward. Critical deterioration, a pragmatic standardized measure of late identification of deterioration and/or ICU transfer, was defined per the literature as an event requiring life-sustaining interventions (ICU interventions, transfer to a higher-level of care, or death) within 12 hours of event start.<sup>19,20</sup> For patients transferred from the ward during a CDE, higher-level-of care was defined as an ICU, emergency room, or other hospital area designed for critically ill patients. Data collected in the CDE registry documented events that occurred before, during, and after PEWS implementation at participating centers.<sup>14</sup> PEWS implementation included documentation of PEWS with every set of vital signs in the nursing flow-sheet of every hospitalized child with cancer as part of routine care.<sup>12</sup> Following PEWS implementation, the CDE case report form (Figure S2) included the highest PEWS documented in the medical record during the 24 hours before the start of deterioration; as a result, PEWS documentation was a proxy-measure of PEWS use in the patient's care during the deterioration event. As previously described, PEWS documentation was nearly 100% at centers using PEWS post-implementation with a high degree of accuracy.<sup>14,16</sup> As a result, CDEs without a documented PEWS represented those that occurred before PEWS implementation at the center and represented events during which PEWS was not used in patient care (rather than data missing from the medical record).

Laboratory values, including the lactate (mmol/L), C-reactive protein (CRP, mg/L), absolute neutrophil count (cells/ $\mu$ L), and platelet count ( $\times 10^3$ /mm), were recorded if collected as part of routine care within 24 hours of the start of the event. If multiple laboratory values were collected, the value closest to the start of the event was documented. Organ dysfunction and sepsis severity were defined by Goldstein et al.<sup>21</sup> criteria; Pediatric Index of Mortality 2 (PIM2) is a measure of severity of illness at the start of critical care and was defined using standard criteria.<sup>22</sup> Delay in identification of deterioration or transfer to the ICU was determined by the clinical team; for patients transferred to a higher level of care, the time waiting for a bed (hours) was also documented.

## Statistical analysis

The primary outcome was CDE mortality. All CDEs during the study period occurring among patients with a pediatric hematology-oncology diagnosis with a documented event outcome (survival or death) were included in analysis. Each CDE was used as the unit of analysis. Descriptive statistics summarized CDE characteristics at the level of the event, patient, and center. Continuous variables were reported with median and interquartile range (IQR) and categorical variables were reported with frequency and percentages.

Minimum distance estimation was used to identify the optimal cut-point values from the receiver operating characteristic (ROC) curves.<sup>23</sup> The optimal cut-point values were used to convert continuous laboratory (lactate, neutrophils, platelets, CRP) to categorical (abnormal vs. not) variables.

Univariate analysis was conducted using Wilcoxon rank sum test and  $\chi^2$  test to compare event, patient, and hospital characteristics (Table S1 lists potential risk factors with descriptions). Potential confounding variables and collinearity were identified statistically through pairwise association or correlation analysis ( $\chi^2$  test or Spearman's rank correlation coefficient), and inclusion of variable was based on clinical appropriateness. Significant risk factors in univariate analyses were used to build a mixed-effects multi-level logistic regression model. Use of ICU interventions on the wards, ward cardiopulmonary arrest, and cardiac arrest were collinear; hence only use of ICU interventions on wards was included in the multilevel modeling. Multi-level modeling was conducted iteratively by adding event-level, patient-level, and hospital-level predictors to account for the hierarchical nesting of data. Intercept was included as a random effect to represent the variance of intercepts between event-level, patient-level, and hospital-level factors. Odds ratio was estimated on each level of the risk factor if it was categorical or estimated on one-unit change of the risk factor if it was continuous. Model performance was evaluated and compared by Akaike information criterion (AIC). Missing laboratory data represented resource-limitations (test not available) or clinical decision-making (test not used in the patients care) rather than a failure to document a collected value. Accordingly, laboratory data were not considered to occur at random but rather to be informative of resource availability and practices in resource-limited settings; hence missing laboratory values considered as a separate level for laboratory data. *p* values of  $<.05$  were considered significant. Data was processed and analyzed using R, version 4.3.3 and SAS, version 9.4.

## Human subjects

The Proyecto EVAT and the CDE registry was approved by the St. Jude institutional review board (IRB) as quality improvement; retrospective analysis of de-identified registry data was classified by the IRB as nonhuman subjects research with no consent required for data collection and analysis. All collaborating centers obtained institutional approval to participate in Proyecto EVAT; additional

ethical review board approvals were obtained when needed per local standards.

## RESULTS

### Sample characteristics

Among 69 centers in 18 countries (Figure 1; Table S2 with center characteristics), 4290 clinical deterioration events were reported; 142 events among nonpediatric hematology-oncology (PHO) were excluded; an additional 14 events were excluded due to lack of documented CDE outcome. The final sample contained 4134 CDEs (range 3–405/center) among 3319 individual patients.

Table 1 describes event characteristics. Events started a median of 3.17 days (IQR, 0–181 days) after hospital admission. The most common primary event was unplanned transfer to the ICU (2756 of 4134, 67%). However, 33.7% (1392 of 4134 events) used at least one ICU-level intervention on the ward, and 53.7% (2218 of 4134 events) met criteria for critical deterioration. Ultimately, 87.5% (3619 of



**FIGURE 1** Map of participating centers. This figure shows centers (*n* = 69 centers) participating in the prospective registry of clinical deterioration events included in this study. Numbers inside circle demonstrate number of participating centers in each listed country. Blue color demonstrates country income level (low-middle income, upper-middle income, or high-income) per World Bank criteria.<sup>18</sup>

**TABLE 1** Characteristics of CDE.

Characteristic	Variable	No. (%), n = 4134
Primary CDE	Unplanned ICU transfer	2756 (67)
	Vasoactive on ward	895 (22)
	Mechanical ventilation on ward	374 (9)
	CPR on ward	90 (2)
	Ward death	18 (0.4)
	Missing	1
Service during event start	Oncology ward	3274 (79.2)
	Pediatric ward	192 (4.6)
	Transplant ward	535 (12.9)
	Oncology-intermediate ward (UNOP <sup>a</sup> only)	127 (3.1)
	Other	6 (0.2)
Ward ICU-level intervention	Any (vasoactive, mechanical ventilation, and/or CPR)	1392 (33.7)
	Vasoactive on ward <sup>b</sup>	1110 (26.9)
	Mechanical ventilation on ward <sup>b</sup>	577 (14.0)
	CPR on ward <sup>b</sup>	185 (4.5)
	None	2742 (66.3)
CDE	Yes	2218 (53.7)
	No	1916 (46.3)
Evaluated by ICU team on ward	Yes	3016 (73)
	No	1118 (27)
Transfer to a higher level-of-care (unit type)	No	515 (12.5)
	ICU	3105 (76.2)
	IMCU	367 (8.9)
	ED	132 (3.2)
	COVID area	3 (0.07)
	Other	10 (0.2)
	Missing	2

Abbreviations: CDE, clinical deterioration event; CPR, cardiopulmonary resuscitation; ED, emergency department; ICU, intensive care unit; IMCU, intermediate care unit.

<sup>a</sup>UNOP (Unidad Nacional de Oncología Pediátrica) in Guatemala has a separate intermediate oncology ward that is managed as a ward hospital area, not an ICU, but is reported separately than their oncology ward.

<sup>b</sup>Event could have used more than one ICU-level intervention on wards.

4134 events) were transferred to a higher level of care. Of those not transferred (515 events) (Table S3), the most common documented reasons were lack of ICU space (34.3%) and not being considered sick enough to require ICU care (28%).

Of all CDEs, the median event duration was 4 days (IQR, 1.94–8.44) and hospital length of stay was a median of 34 days (IQR, 18–57). Among those transferred to a higher level of care ( $n = 3619$ ), the ICU length-of-stay was a median of 4.22 days (IQR, 2.01–8.74). Among all CDEs, 56% required vasoactive infusions, 40% required mechanical ventilation, and 16% required CPR (Figure S4).

### CDE mortality

Event mortality was 26.8% (1108 events; range, 0%–90% mortality/center); 479 (43.2%) of these occurred within 48 hours of event start. Mortality was highest among those who required ICU-level interventions (Figure S4). Among 515 events not transferred to a higher level of care, mortality was 37% (191 of 515). Of these deaths, 81.7% (156 of 191) occurred among events where the ICU declined admission, no ICU space was available, or the patient died before ICU bed availability (Table S3).

### Multilevel risk-factors for CDE mortality

For laboratory values at the start of deterioration (lactate, platelets, neutrophils, and CRP), ROC curves were used to identify the optimal threshold for abnormal values conveying higher mortality risk (Figures S5–S8; Tables S4–S7). The same strategy was applied for hours waiting for an ICU bed (Figure S9; Table S8). Table S9 reports a summary of the final values used.

Univariate analysis for event-, patient-, and hospital-level risk factors for mortality present at the start of CDE are shown in Tables 2 and 3. Event-level risk factors were assessed at the start of deterioration ( $n = 4134$ , Table 2) and at time of transfer to a higher level of care for those transferred ( $n = 3612$ , Table S10). Notable significant risk factors for mortality in univariate analysis present at the start of deterioration included event (reason for hospital admission, use of ICU-level intervention on the ward, cardiopulmonary or cardiac arrest on the ward, lack of documented PEWS, abnormal lactate, platelets, neutrophils, or CRP, delay in event identification or ICU transfer, deterioration due to sepsis, respiratory, other cardiovascular, or neurologic dysfunction, and number of organs with dysfunction), patient (oncologic diagnosis and relapsed oncologic disease), and hospital (governance, type, lack of dedicated PHO unit, presence of department of quality and safety, type of physician responsible for ward care, type of ICU, number of hospital beds, and annual volume of PHO diagnoses) factors. Among those transferred to a higher level of care, additional significant event-level

**TABLE 2** Univariate risk factors for CDE mortality (event-level factors—all patients,  $n = 4134$ ).

Variable	Options	All events $N = 4134$	Mortality $N = 1108$	Survival $N = 3026$	Univariate $p^a$
Reason for hospital admission, No. (%)	New or relapsed cancer	1832	573 (31.28)	1259 (68.72)	<.0001
	Scheduled admission	771	177 (22.96)	594 (77.04)	
	Acute admission	1501	348 (23.18)	1153 (76.82)	
	Other	28	10 (35.71)	18 (64.29)	
	Missing	2			
Any ward ICU interventions, No. (%)	No	2742	570 (20.79)	2172 (79.21)	<.0001
	Yes	1392	538 (38.65)	854 (61.35)	
Ward cardiopulmonary arrest, No. (%)	No	3522	723 (20.53)	2799 (79.47)	<.0001
	Yes	612	385 (62.91)	227 (37.09)	
Ward cardiac arrest, No. (%)	No	3915	906 (23.14)	3009 (76.86)	<.0001
	Yes	219	202 (92.24)	17 (7.76)	
Documented PEWS, No. (%)	No	1875	585 (31.20)	1290 (68.80)	<.0001
	Yes	2259	523 (23.15)	1736 (76.85)	
Abnormal lactate, No. (%)	0	1721	337 (19.58)	1384 (80.42)	<.0001
	1	1278	443 (34.66)	835 (65.34)	
	Missing	1135			
Abnormal platelets, No. (%)	0	2638	571 (21.65)	2067 (78.35)	<.0001
	1	1311	466 (35.55)	845 (64.45)	
	Missing	185			
Abnormal neutrophils, No. (%)	0	1766	379 (21.46)	1387 (78.54)	<.0001
	1	2145	640 (29.84)	1505 (70.16)	
	Missing	223			
Abnormal CRP, No. (%)	0	806	136 (16.87)	670 (83.13)	<.0001
	1	1836	462 (25.16)	1374 (74.84)	
	Missing	1492			
Delay in identifying the deterioration or ICU transfer, No. (%)	Yes	1219	430 (35.27)	789 (64.73)	<.0001
	No	2910	676 (23.23)	2234 (76.77)	
	Missing	5			
Patient's first CDE, No. (%)	No	858	208 (24.24)	650 (75.76)	.0631
	Yes	3276	900 (27.47)	2376 (72.53)	
Time of event start, No. (%)	Night	1534	409 (26.66)	1125 (73.34)	.9048
	Day	2600	699 (26.88)	1901 (73.12)	
Day of event start, No. (%)	Weekday	3135	838 (26.73)	2297 (73.27)	.8861
	Weekend	999	270 (27.03)	729 (72.97)	
Reason for deterioration, No. (%) <sup>b</sup>	Sepsis/septic shock	2510	713 (28.41)	1797 (71.59)	.0042
	Respiratory dysfunction	1603	607 (37.87)	996 (62.13)	<.0001
	Other CV dysfunction	985	376 (38.17)	609 (61.83)	<.0001
	Neurologic dysfunction	697	258 (37.02)	439 (62.98)	<.0001
	Hematologic dysfunction	182	60 (32.97)	122 (67.03)	.0665
	Metabolic dysfunction	96	11 (11.46)	85 (88.54)	.0009
	Renal dysfunction	58	13 (22.41)	45 (77.59)	.5415
	Tumor lysis syndrome	54	8 (14.81)	46 (85.19)	.0647
	Pancreatitis	38	6 (15.79)	32 (84.21)	.1752
	Hepatic dysfunction	22	10 (45.45)	12 (54.55)	.0820
	Other oncologic emergency	28	3 (10.71)	25 (89.29)	.0864
	Other	98	18 (18.37)	80 (81.63)	.0730
No. organs with dysfunction	Median [IQR]	1.00 [1.00–2.00]	3.00 [2.00–3.00]	1.00 [0.00–2.00]	<.0001

Abbreviations: CDE, clinical deterioration event; CRP-C, reactive protein; CV, cardiovascular; ICU, intensive care unit; IQR, interquartile range; PEWS, pediatric early warning system.

<sup>a</sup> $p$  value comparing survivors and nonsurvivors.

<sup>b</sup>Event could have used more than one reason for deterioration.



**TABLE 3** Univariate risk factors for CDE mortality (patient and hospital factors,  $n = 4134$ ).

Level	Variable	Options	All events N = 4134	Mortality N = 1108	Survival N = 3026	Univariate $p^a$
Patient	Age	Years, median [IQR]	8.7 [3.8–13.3]	8.5 [3.7–13.67]	8.7 [3.8–13.2]	.7559
	Sex, No. (%)	Male	2250	596 (26.49)	1654 (73.51)	.6677
		Female	1876	509 (27.13)	1367 (72.87)	
		Missing	8			
	Oncologic diagnosis, No. (%)	Heme malignancy	3087	843 (27.31)	2244 (72.69)	.0019
		Solid tumor	657	155 (23.59)	502 (76.41)	
		CNS tumor	227	49 (21.59)	178 (78.41)	
		Nonmalignant heme	162	61 (37.65)	101 (62.35)	
		Other	1	0 (0)	1 (100.00)	
	Leukemia in first induction, No. (%)	Yes	1531	444 (29.00)	1087 (71.00)	.1825
		No	1988	535 (26.91)	1453 (73.09)	
		Missing	36			
	Relapsed oncologic disease, No. (%)	Yes	743	246 (33.11)	497 (66.89)	<.0001
		No	3389	861 (25.41)	2528 (74.59)	
		Missing	2			
Hospital	Country income level, No. (%)	High income	324	73 (22.53)	251 (77.47)	.1164
		Lower middle income	339	100 (29.5)	239 (70.5)	
		Upper middle income	3471	935 (26.94)	2536 (73.06)	
	Hospital governance, No. (%)	Mixed (public/private)	1114	227 (20.38)	887 (79.62)	<.0001
		Private	637	112 (17.58)	525 (82.42)	
		Public	2383	769 (32.27)	1614 (67.73)	
	Hospital type, No. (%)	General (adults and pediatric)	933	279 (29.9)	654 (70.1)	<.0001
		Oncology (adults and pediatric)	937	236 (25.19)	701 (74.81)	
		Other (describe)	45	10 (22.22)	35 (77.78)	
		Pediatric multidisciplinary	1431	458 (32.01)	973 (67.99)	
		Pediatric oncology	671	87 (12.97)	584 (87.03)	
		Women's and children's	117	38 (32.48)	79 (67.52)	
	Separate PHO unit, No. (%)	Yes	3821	998 (26.12)	2823 (73.88)	.0007
		No (integrated with another unit)	313	110 (35.14)	203 (64.86)	
	Dept quality and safety, No. (%)	No	826	179 (21.67)	647 (78.33)	.0002
		Yes	3308	929 (28.08)	2379 (71.92)	
	Physician providing ward care, No. (%)	Pediatric hematologist-oncologist	2960	847 (28.61)	2113 (71.39)	<.0001
		Pediatric hematology-oncology fellow or trainee	36	11 (30.56)	25 (69.44)	
		Pediatrician	531	136 (25.61)	395 (74.39)	
		Other	607	114 (18.78)	493 (81.22)	
	Type of ICU, No. (%)	Pediatric oncology ICU	1030	144 (13.98)	886 (86.02)	<.0001
		Pediatric multidisciplinary ICU	2351	761 (32.37)	1590 (67.63)	
		Adult ICU	535	143 (26.73)	392 (73.27)	
		Other non-ICU	218	60 (27.52)	158 (72.48)	
	No. inpatient beds	Median [IQR]	187 [77–300]	200 [77–350]	187 [77–280]	.0030
	No. annual PHO diagnoses	Median [IQR]	110 [60–320]	110 [60–200]	150 [60–320]	.0022
	Patients/nurse on ward	Median [IQR]	5 [4–7]	5 [4–7]	5 [4–7]	.3452

Abbreviations: CNS, central nervous system; Dept, department; ICU, intensive care unit; IQR, interquartile range; PHO, pediatric hematology oncology.

<sup>a</sup> $p$  value comparing survivors and nonsurvivors.

risk factors at the time of transfer included PIM2, ICU bed availability, presence of septic shock, type of higher level of care, longer time waiting for ICU bed, respiratory or hemodynamic support as reason for ICU transfer, and number of organs with dysfunction.

Multi-level modeling evaluated risk factors at the level of the event, patient, and hospital. The best model selected had an AIC of 3635.6 (Table S11). Significant independent risk factors for event mortality present at the start of deterioration ( $n = 4134$ ) included event (reason for hospital admission, use of ICU intervention on wards, documented PEWS, abnormal lactate, platelets, or C-reactive protein, delay in identifying or managing deterioration, reason for deterioration, and number of organs with dysfunction) and patient (disease relapse) factors (Table 4). Hospital factors were not significant predictors of mortality. When evaluating risk factors present at the time of transfer to a higher level of care ( $n = 3619$ ), again only event and patient factors were significant independent predictors of mortality (Table 4; Table S12).

## DISCUSSION

In this study, we describe characteristics and outcomes of over 4000 clinical deterioration events (CDE) among hospitalized children with cancer and other blood disorders across 69 variably resourced centers in 18 countries. The definition of CDE describes the development of acute critical illness among hospitalized children with cancer, including at hospitals without formal ICUs.<sup>9,10,14,24</sup> Our findings highlight independent event- and patient-level risk factors for mortality present at the start of deterioration or at time of transfer to a higher level of care. Notably, hospital-level factors, including the structure, governance, pediatric oncology volume, and human and ICU resources, were not significant risk factors for mortality in multilevel analysis. These findings add further evidence to the importance of modifiable event-level factors (e.g., individual clinical decision-making, local clinical practices, and hospital systems-level processes) rather than fixed hospital characteristics (e.g., organization, governance, or resources) as important targets for interventions to reduce mortality among these high-risk patients.

All centers included in this study participate in Proyecto EVAT, a quality improvement collaborative to support PEWS implementation.<sup>12</sup> Registry data used in this analysis represent clinical deterioration that occurred before, during, and after PEWS implementation at these centers. Events with a documented PEWS occurred when PEWS was routinely used in patient care (after PEWS implementation), thus representing active PEWS use in the care of the deteriorating patient. In past work, we demonstrated multiple benefits of PEWS at the level of the patient, clinician, medical team, and institution,<sup>25–33</sup> including a significant reduction in clinical deterioration event mortality.<sup>14</sup> As expected, PEWS use was independently protective against mortality in this study, adding further evidence to support global use of PEWS in the care of all hospitalized children with cancer.

In addition to lack of PEWS use, this study identified other independent risk factors for mortality that can be leveraged to identify

patients at high-risk death and who may benefit from early identification, timely ICU admission, and appropriate ICU-level interventions. Additionally, controlling for PEWS use, we identified modifiable clinical practices associated with mortality, such as use of ICU-level interventions on the ward, delay in identification or intervention for deterioration, long time waiting for an ICU bed ( $>3$  hours), and late transfer to a higher level of care at a higher severity of illness (more organ dysfunction and high PIM2). Prior research from high-research settings have similarly identified late ICU transfer, defined as severity of illness and organ dysfunction or treatment delays, as risk factors for mortality among hospitalized PHO patients.<sup>4,19,34–37</sup> These practices represent important targets for novel interventions aimed to change clinical practice and further improve patient outcomes. Deterioration due to sepsis or septic shock had a protective effect on mortality, suggesting that global work to improve sepsis care, including guidelines for sepsis management in children,<sup>38</sup> has also impacted outcomes for children with cancer. Future work should target development of guidance on how to improve clinical management of neurologic and respiratory dysfunction, both independently associated with higher mortality in this study. Greater focus on management of patients at higher risk of mortality from deterioration, such as those with cancer relapse, is also needed. Finally, these findings highlight the importance of continuous quality improvement, including hospital-based outcomes registries and ongoing collection, review, and action based on local data,<sup>39</sup> as an integral component of improving outcomes for all hospitalized children.

Our finding that hospital-level factors were not associated with mortality is consistent with other studies showing hospital characteristics are less important to outcomes when controlling for other factors.<sup>40</sup> These findings, however, must be interpreted in the context of centers included in this study. Although inclusion of 69 centers in 18 countries enriches this analysis, most centers were in middle-income countries. Despite a range of resource limitations, ICU interventions were widely available to most critically ill patients. Although ICU resources varied, only five centers had no formal ICUs for PHO patients. Although included centers are representative of facilities providing childhood cancer care in Latin America, the impact of resources on mortality may be different in settings with no or very limited access to ICU-level interventions. Additionally, these findings do not account for center-level variables not explored in this analysis, such as medication availability and staff training. However, these findings emphasize that physical presence of ICUs and availability of ICU interventions does not guarantee their actual availability and appropriate use for children with cancer in real-world settings. A third of deterioration events used ICU-level interventions on the ward and one-fifth of events ultimately transferred to the ICU spent more than 3 hours waiting for an ICU bed. Among events not transferred to a higher level of care, over a third died, most due to lack of timely availability of ICU beds, highlighting global shortages in ICU infrastructure.<sup>6</sup> Even when ICU interventions were available and used, mortality was high, consistent with prior studies of children with cancer who require organ support.<sup>2,8</sup> These results demonstrate



**TABLE 4** Significant risk factors for CDE mortality in multilevel model comparing survivors and nonsurvivors.

Variable (reference level)	OR (95% CI) <sup>c</sup>	p <sup>c</sup>
All CDEs (n = 4134) <sup>a</sup>		
Any ward ICU interventions (Ref: no)		
Yes	1.666 (1.354–2.051)	<.0001
New or relapsed cancer as reason for hospital admit (Ref: scheduled admission)		
New or relapsed cancer	1.644 (1.271–2.126)	.0002
Documented PEWS (Ref: no)		
Yes	0.8 (0.658–0.973)	.0255
Abnormal lactate (Ref: ≤2 mmol/L)		
>2 mmol/L	1.569 (1.282–1.92)	<.0001
Missing lactate	1.5 (1.152–1.954)	.0026
Abnormal platelets (Ref: ≥40 × 10 <sup>3</sup> /mm)		
<40 × 10 <sup>3</sup> /mm	1.568 (1.283–1.917)	<.0001
Abnormal C-reactive protein (Ref: ≤12.2 mg/L)		
>12.2 mg/L	1.693 (1.341–2.138)	.0005
Missing C-reactive protein	1.578 (1.219–2.042)	.0017
Delays in identifying the deterioration (Ref: no)		
Yes	1.306 (1.076–1.586)	.0069
Reason for deterioration (sepsis/septic shock) (Ref: no)		
Yes	0.743 (0.6–0.92)	.0064
Reason for deterioration (neurologic dysfunction) (Ref: no)		
Yes	1.594 (1.244–2.042)	.0002
Reason for deterioration (respiratory dysfunction) (Ref: no)		
Yes	1.723 (1.426–2.082)	<.0001
No. of organs with dysfunction at event start (unit change)	2.184 (2.001–2.384)	<.0001
Relapsed oncologic disease (Ref: no)		
Yes	1.742 (1.387–2.187)	<.0001
CDEs transferred to higher level of care (n = 3619) <sup>b</sup>		
New or relapsed cancer as reason for hospital admit (Ref: scheduled admission)		
New or relapsed cancer	1.33 (0.952–1.857)	.0094
Abnormal lactate (Ref: ≤2 mmol/L)		
>2 mmol/L	1.33 (1.029–1.719)	.0292
Missing lactate	1.662 (1.163–2.375)	.0053
Abnormal C-reactive protein (Ref: ≤12.2 mg/L)		
>12.2 mg/L	1.496 (1.12–1.999)	.0065
Missing lactate	1.491 (1.068–2.082)	.0189
Reason for deterioration (sepsis/septic shock) (Ref: no)		
Yes	0.499 (0.336–0.74)	.0006
PIM2 (unit change)	6.677 (3.766–11.838)	<.0001
Reason for ICU transfer (hemodynamic support) (Ref: no)		
Yes	1.36 (1.002–1.846)	.0489
No. of organs with dysfunction at transfer (unit change)	3.296 (2.96–3.67)	<.0001
Relapsed oncologic disease (Ref: no)		
Yes	1.831 (1.365–2.457)	<.0001

Note: Only variables significant in the multivariable model included in this table.

Abbreviations: CI, confidence interval; CDE, clinical deterioration event; ICU, intensive care unit; OR, odds ratio; PEWS, pediatric early warning system; PIM2, Pediatric Index of Mortality 2 score.

<sup>a</sup>See Table S11 for complete multi-level models for all CDEs (n = 4134).

<sup>b</sup>See Table S12 for complete multi-level models for CDEs transferred to higher level of care (n = 3619).

<sup>c</sup>ORs and p are reported for the significant level versus reference. See Tables S11 and S12 for type III test; p values are reported for the significant risk factors.

the need to look beyond simple availability of ICU resources to focus on improving timely and appropriate use of available resources in the care of children with cancer. They also highlight the need to move from identifying risk factors for mortality to interventional studies that support appropriate use of existing technologies and novel interventions to improve outcomes. Future work should leverage clinical and comparative effectiveness research to develop and compare different management interventions<sup>41</sup> for clinical deterioration and implementation science methods<sup>42</sup> to identify effective strategies to ensure their sustainable implementation in the care of all children with cancer globally. Additionally, we must develop new interventions, beyond PEWS,<sup>14,27</sup> to predict and prevent clinical deterioration in high-risk patients as a mechanism to avoid complications and reduce treatment-related mortality.

This study is strengthened by a large sample size of children with cancer who experienced clinical deterioration at diverse hospitals providing childhood cancer care in Latin America and Spain. This sample is representative of the diversity of PHO patients, including different ages, oncologic diagnoses, and disease stages, making these findings generally applicable to hospitalized children with cancer. The study's large sample size also facilitated inclusion of data-driven thresholds for lactate, platelets, neutrophils, and C-reactive protein at the start of deterioration that are associated with mortality in these patients. Although the expected dose-response is present across all variables, with higher mortality among more abnormal values, the identified thresholds differ from those in scoring systems developed for general pediatric patients (for example, the Phoenix pediatric sepsis criteria uses a lactate of  $>5$  as higher risk).<sup>43</sup> These findings add further evidence that traditional risk-scoring systems developed in general pediatrics do not always perform well among children with cancer, emphasizing the need for future work to prospectively assess general pediatric tools and tailor them to these unique patients.<sup>36,44</sup> Additionally, this work highlights potential differences in risk factors for mortality among children with cancer who develop critical illness in high-resource versus resource-limited settings, where access to blood products, supportive medications, and ICU interventions are different.

This study has several limitations in addition to those discussed above. As an analysis of prospectively collected quality improvement registry data, it is limited by traditional challenges of this study design. Although centers prospectively identified clinical deterioration events, clinical details were retrospectively extracted from patient charts. Clinical data not available in these records, notably laboratory data not collected as part of patient care or not available at a given center, were missing from the registry. To address missing laboratory data, we used "missing" as a category in multilevel analysis; missing lactate and CRP were independent risk factors for mortality. Future work should prospectively collect clinical data to inform ongoing research. Centers included in this analysis represent hospitals managing children with cancer who participate in Proyecto EVAT, resulting in more centers from some countries (e.g., Mexico, 30 centers) compared to others (e.g., Guatemala, one center). This is due to differences in population size and structure of cancer care in these

countries.<sup>45</sup> Our use of event-level rather than center-level analysis, and inclusion of center-level variables in the multivariable model, however, accounts for this potential bias. Finally, this study includes only hospitals in Latin America and Spain, most of which had access to formal ICUs or ICU-level interventions and all of which chose to join Proyecto EVAT. This may limit generalizability of findings beyond cancer care in these countries and to hospitals with more limited ICU-resources and less interest in collaborative quality improvement. Further work is needed to evaluate if risk factors for mortality for children with cancer experiencing clinical deterioration identified in this study are similar in other global regions and centers.

In conclusion, hospitalized children with cancer and other blood disorders who experience clinical deterioration in resource-limited settings frequently require ward-based ICU interventions and have high mortality, with significant variability in outcomes across centers. When controlling for event-, patient-, and hospital-level factors, this variability was not associated with hospital characteristics or resources to treat critical illness. Instead, mortality was driven by modifiable event-level factors, such as use of ICU-level interventions on the wards and late transfer to higher level of care. These findings highlight an urgent need for targeted contextually relevant interventions to promote appropriate, timely use of ICU-level interventions and, if available, early ICU transfer for children with cancer who develop critical illness to improve global survival among children with cancer.

## AUTHOR CONTRIBUTIONS

**Asya Agulnik:** Conceptualization, funding acquisition, resources, methodology, writing—original draft, and writing—review and editing. **Maricela Robles-Murguía:** Project administration, data curation, validation, investigation, visualization, and writing—review and editing. **Yichen Chen:** Methodology, data curation, software, validation, formal analysis, investigation, writing—original draft, visualization, and writing—review and editing. **Hilmarie Muniz-Talavera:** Project administration, data curation, validation, investigation, and writing—review and editing. **Linh Pham:** Data curation, software, validation, formal analysis, investigation, visualization, and writing—review and editing. **Angela Carrillo:** Data curation, validation, investigation, and writing—review and editing. **Adolfo Cardenas-Aguirre:** Project administration, investigation, and writing—review and editing. **Juli-ana Costa:** Project administration, investigation, and writing—review and editing. **Alejandra Mendez Aceituno:** Investigation, project administration, and writing—review and editing. **Carlos Acuña Aguirre:** Investigation and writing—review and editing. **Ana Berenice Aguilar Roman:** Investigation and writing—review and editing. **Shillel Yahamy Alvarez Arellano:** Investigation and writing—review and editing. **Leticia Aradi Andrade Sarmiento:** Investigation and writing—review and editing. **Daniela Arce Cabrera:** Investigation and writing—review and editing. **Erika Esther Blasco Arriaga:** Investigation and writing—review and editing. **Claudia María De León Gutiérrez:** Investigation and writing—review and editing. **Rosdali Diaz-Coronado:** Investigation and writing—review and editing. **Maria do Céu Diniz Borborema:** Investigation and writing—review and

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## CONFLICT OF INTEREST STATEMENT

Asya Agulnik reports grant and/or contract funding from the National Cancer Institute. Alejandra Méndez reports consulting fees from St. Jude Children's Research Hospital. The other authors declare no conflicts of interest.

## DATA AVAILABILITY STATEMENT

De-identified data (including data dictionaries) that underlie the results reported in this article, as well as the study protocol, statistical

analysis plan, and analytic code, will be made available beginning 6 months and ending 5 years after article publication to researchers who provide a methodologically sound proposal that has been reviewed by the Proyecto EVAT Steering Committee to achieve the aims of the approved proposal. Proposals should be directed to Asya Agulnik. Data requestors will need to sign a data access agreement.

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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