

Risk for prolonged hospitalization and mortality in aged community acquired pneumonia patients: a retrospective study in Japan

Ayako Matsuo,^{1,2,*} Ayako Takamori,³ Futoshi Kawaura,² Yasunari Iwanaga,⁴ Hitomi Ono,² Naomi Kobayashi-Watanabe,² Motohisa Kuwahara,² Kuniaki Takagi,¹ Zennzou Nagasawa,¹ Kazuma Fujimoto,¹ and Shinnichiro Hayashi^{1,2}

¹International University of Health and Welfare Graduate School of Medicine, 137-1 Enokizu, Oookawa-city, Fukuoka 831-8501, Japan

²Divisions of Respiriology and ⁴Otorhinolaryngology, Kouhou-kai Takagi Hospital, 141-11 Sakami, Oookawa-city, Fukuoka 831-0016, Japan

³Clinical Research Center, Saga University Hospital, 5-1-1 Nabeshima, Saga 849-8501, Japan

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The present study aimed to reveal; i) risk for prolonged hospitalization and mortality in aged community acquired pneumonia patients, and ii) whether swallowing ability was related to re-hospitalization. The present retrospective study included 92 patients older than 75 years hospitalized with community acquired pneumonia in Takagi Hospital between April 2017 and March 2018. The patients were classified into 3 groups; discharged within 17 days (group I): hospitalized more than 18 days (group II): died during the hospitalization (group III). Swallowing ability was evaluated if available. Univariate analysis indicated males and body mass index (BMI) in group I ($n = 24$) were higher than group II ($n = 46$). Group III ($n = 22$) had low serum albumin, low BMI, and severe disease progression compared with group I. Multivariate analysis demonstrated that group II BMI was lower than group I [odds ratio (OR) = 1.18, $p = 0.042$]. Group III had lower serum albumin level compared with group I (OR = 81.01, $p = 0.025$). Diabetes mellitus ($p = 0.009$), but not swallowing disability, was risk for readmission. Malnutrition represented by low albumin enhanced mortality rate in the pneumonia patients, and low BMI and diabetes mellitus might increase the pneumonia risk.

Key Words: serum albumin, body mass index, CURB-65, comorbidity, swallowing ability

Community and hospital acquired pneumonia is still one of the major lethal causes in Japan, especially in aged generations,⁽¹⁻⁷⁾ whereas several preventive medical practices including vaccination for pneumonia have been performed.⁽⁸⁻¹⁰⁾ In aged populations, majority of community acquired pneumonia might be caused by aspiration and/or dysphagia, which might lead to a high recurrence rate and poor prognosis of the disease.^(2-4,6,11,12) In a real world clinical situation, definition and diagnosis of aspiration pneumonia and/or community acquired pneumonia have not been well-established and therapeutic approaches might be the almost same between aspiration and non-aspiration pneumonia.^(3,6,12) Whereas several studies suggested the several risks for community acquired pneumonia including aspiration pneumonia, the reports which demonstrated the risk factors for prolonged hospitalization and patients' prognosis of the disease were limited in Japan.^(4,6,13,14)

The present retrospective chart review in the Japan single institute in aimed to reveal risk factors for prolonged stay and mortality in newly hospitalized community acquired pneumonia patients more than 75 years old in order to take steps for medical care for aged pneumonia patients. In addition, the present study

examined if swallowing ability was related to the repeated hospitalization of the pneumonia patients.

Methods

In the present retrospective study, 92 patients older than 75 years who hospitalized with community acquired pneumonia in department of respiratory of Kouhou-kai Takagi Hospital between April 2017 and March 2018 were examined. Patients of hospital acquired pneumonia were not included. The patients were classified into 3 groups according to their clinical courses; the patients who discharged within 17 days from the admission (group I): the patients who hospitalized more than 18 days (group II): the patients who died during the hospital stay (group III). The factors collected from the medical records were as follows; age, gender, body mass index, serum albumin, pneumonia severity, general condition, comorbidities, and repeated hospitalization within one year. CURB-65 (score 1 or 0; confusion, blood urea nitrogen >19 mg/dl, respiratory rate ≥ 30 breaths/min, low blood pressure, age ≥ 65 years) was used as index for pneumonia severity at admission.⁽⁷⁾ The general condition of the patients was evaluated by Eastern Cooperative Oncology Group Performance Status at admission as follows; status 0: fully active, able to carry on all pre-disease performance without restriction, status 1: restricted in physically strenuous activity but ambulatory and able to carry out work of a light sedentary nature like light house work and office work, status 2: ambulatory and capable of all self-care but unable to carry out any work activities up and about more than 50% of waking hours, status 3: capable of only limited self-care confined to bed or chair more than 50% of waking hours, status 4: completely disabled and not able to carry on any self-care totally confined to bed and/or chair.⁽¹⁵⁾ In addition, the swallowing ability was evaluated, if available, with the informed consent. The speech therapist tested the swallowing ability with a repetitive saliva swallowing test, a modified water swallow test, and a food test as previous demonstrated.⁽¹⁶⁾ The patients were categorized into grade 1 to 10 with comprehensive judgement as indicated in Table 1. Grades 1 to 3 cannot eat by mouth; grades 4 to 6 relate to varying degrees of oral feeding with non-oral supplementation (nasogastric or gastrostomy tube feeding or parenteral nutrition); grades 7 to 9 relate to varying degrees of oral feeding without non-oral supplementation; grade 10 is normal. All procedures

*To whom correspondence should be addressed.
E-mail: matsuo@kouhoukai.org

Table 1. Grading the oral feeding and swallowing ability

Grade 1: Nothing by mouth (no adaptation to swallowing training)
Grade 2: Nothing by mouth (adaptation only to fundamental swallowing training)
Grade 3: Nothing by mouth (possible of swallow training with food in appropriate situation)
Grade 4: Minimal food attempts for pleasure (need non-oral supplementation)
Grade 5: Oral diet to certain extent in one or two meal with non-oral supplementation
Grade 6: Oral diet to certain extent in every meal with non-oral supplementation
Grade 7: Dysphagia diet in every meal
Grade 8: Total oral diet without food which is difficult to swallow
Grade 9: Total oral diet with no restriction, but requiring watching
Grade 10: Normal swallowing ability

Table 2. Characteristics of patients of subdivided administrated pneumonia patients with their clinical courses

	Group I	Group II	p value	Group III	p value
Number of patients	24	46		22	
Age (mean ± SD, year)	84.3 ± 5.0	85.2 ± 5.2	0.529	85.4 ± 6.7	0.540
Gender, males/females	17/7	21/25	0.045*	13/9	0.403
BMI (kg/m ²)	22.5 ± 4.8	19.4 ± 3.5	0.004*	18.2 ± 4.6	0.004*
Serum albumin (mg/dl)	3.4 ± 0.36	3.2 ± 0.41	0.080	2.6 ± 0.56	<.0001*
CURB-65					
1	17 (70.8%)	23 (50.0%)	0.138	4 (18.2%)	0.001*
2	7 (29.2%)	18 (39.1%)		9 (40.9%)	
3	0 (0.0%)	2 (4.4%)		6 (27.3%)	
4	0 (0.0%)	3 (6.5%)		3 (13.6%)	
ECOG PS					
0	8 (33.3%)	9 (19.6%)	0.404	3 (13.6%)	0.057
1	10 (41.7%)	17 (37.0%)		5 (22.7%)	
2	3 (12.5%)	8 (17.4%)		4 (18.2%)	
3	3 (12.5%)	7 (15.2%)		5 (22.7%)	
4	0 (0.0%)	5 (10.9%)		5 (22.7%)	
Comorbidity					
Congestive heart failure	1 (4.1%)	2 (4.3%)	NA	1 (4.5%)	NA
Chronic kidney disease	0 (0.0%)	1 (2.2%)	NA	0 (0.0%)	NA
Cerebrovascular disease	5 (20.8%)	14 (30.4%)	0.391	5 (22.7%)	0.876
Neuromuscular disease	0 (0.0%)	6 (13.0%)	0.088	2 (9.1%)	NA
Chronic pulmonary disease	14 (53.8%)	18 (39.1%)	0.126	10 (45.5%)	0.382
Psychosis	0 (0.0%)	3 (6.5%)	NA	1 (4.5%)	NA
Dementia	1 (4.1%)	5 (10.9%)	NA	3 (13.6%)	NA
Diabetes mellitus	5 (20.8%)	7 (15.2%)	0.554	3 (13.6%)	0.520
Collagen disease	0 (0.0%)	3 (6.5%)	NA	0 (0.0%)	NA
Swallowing ability (n)	8.5 ± 0.7 (2)	6.9 ± 1.9 (14)	0.268	6.1 ± 1.9 (8)	0.130
Readmission	8 (33.3%)	20 (43.5%)	0.411		

*Statistical significance. Group I: patients who discharged within 17 days from the admission. Group II: patients who hospitalized more than 18 days. Group III: patients who died during the hospital stay. CURB-65, confusion; blood urea nitrogen >19 mg/dl, respiratory rate ≥30 breaths/min, low blood pressure, age ≥65. CURB-65 was evaluated in 3 groups, 1/2/3 + 4. ECOG PS, Eastern Cooperative Oncology Group performance status. PS was evaluated in 4 groups, 0/1/2/3 + 4. BMI, body mass index; NA, not available.

performed in the present study were approved by the Kouhou-kai Takagi Hospital Ethics Committee.

Baseline characteristics of three groups' patients were compared using Chi-squared or Fisher's exact test for categorical variables. For multivariate analysis, we applied the multivariable logistic regression model with explanatory variables which has the significance levels (univariate $p < 0.15$ on statistical tests). The odds ratios (OR) and 95% confidence interval (CI) were shown in tables. JMP Pro 14 was used for all analyses. Statistical significance was defined as $p < 0.05$.

Results

All the 92 pneumonia patients were divided into group I, group

II and group III. Table 2 indicated the baseline characteristics of the patients; i) discharged within 17 days (group I, $n = 24$), ii) the patients who hospitalized more than 18 days (group II, $n = 46$) and, iii) the patients who died during the hospital stay (group III, $n = 22$). Group I vs group II and group I vs group III were compared using univariate analysis regarding age, gender, body mass index (BMI), serum albumin, pneumonia severity with CURB-65, performance status with ECOG PS, comorbidity (congestive heart failure, chronic kidney disease, cerebrovascular disease, neuromuscular disease, chronic pulmonary disease, psychosis, dementia, diabetes mellitus, and collagen disease), and functional level of swallowing ability. Comparing group I with group II, gender of males and BMI were higher in group I, and other factors including pneumonia severity at admission,

performance status at admission, comorbidities and the swallowing ability did not affect the length of the hospital stay of the pneumonia patients. Readmission rate was not different between groups I and II. Regarding group III who died during the hospital stay, the serum albumin level and BMI were lower and disease progression of pneumonia was more severe compared with group I. Other factors including performance status, comorbidities and swallowing ability were not different between the two groups.

A multivariate analysis was performed regarding group I and group II or group I and group III to reveal risk for hospitalization and life prognosis (Table 3). The variable factors with $p < 0.15$ and more than 5 were selected. Group II patients had lower BMI compared with group I patients (OR = 1.18, 95% CI: 1.01–1.39, $p = 0.042$). Group III patients had lower serum albumin level compared with group I patients (OR = 81.01, 95% CI: 1.76–3,728.54, $p = 0.025$).

The characteristics of readmitted patients caused by repeated pneumonia and no-readmitted patients who evaluated functional level of swallowing were indicated in Table 4. There was no significant difference between readmitted patients and no-readmitted patients regarding age, gender, and nutrition status, severity of pneumonia, PS, and swallowing ability. Regarding comorbidity, there was more diabetes patients in readmitted patients compared with no-readmitted patients.

Table 3. Multivariate analysis compared group I with group II or group III

	OR (95% CI)	<i>p</i> value
Group I vs Group II		
Gender (males)	2.76 (0.76–9.96)	0.122
BMI	1.18 (1.01–1.39)	0.042*
Serum albumin	2.53 (0.44–14.53)	0.299
CURB-65	0.40 (0.12–1.40)	0.153
Chronic pulmonary disease	2.46 (0.72–8.45)	0.152
Group I vs Group III		
BMI	1.39 (0.81–2.39)	0.228
Serum albumin	81.0 (1.76–3,728.54)	0.025*
CURB-65	0.03 (0.001–1.39)	0.073

Analyzed factors: *p* values less than 0.15 in univariate analysis and having more than 5 data in each group. *Statistical significance. Group I: patients who discharged within 17 days from the admission. Group II: patients who hospitalized more than 18 days. Group III: patients who died during the hospital stay. OR, odds ratio; CI, confidence interval; BMI, body mass index; CURB-65, confusion; blood urea nitrogen >19 mg/dl, respiratory rate ≥ 30 breaths/min, low blood pressure, age ≥ 65 .

Table 4. Clinical background of patients evaluated the swallowing ability were compared between the discharged patients without readmission caused by repeated pneumonia

	Readmission	No-readmission	<i>p</i> value
Number of patients	7	9	
Age (mean \pm SD, year)	84.9 \pm 4.9	85.6 \pm 5.7	0.799
Gender, males/females	6/1	4/5	0.091
BMI (kg/m ²)	21.4 \pm 3.2	18.9 \pm 3.2	0.151
Serum albumin (mg/dl)	3.2 \pm 0.4	2.9 \pm 0.5	0.161
CURB-65			0.152
	1	2 (22.2%)	
	2	3 (42.9%)	
	3	0 (0.0%)	
	4	0 (0.0%)	
ECOG PS			0.484
	0	1 (11.1%)	
	1	2 (28.6%)	
	2	1 (14.3%)	
	3	3 (42.9%)	
	4	0 (0.0%)	
Length of hospital stay (days)	38.7 \pm 21.4	63.6 \pm 56.4	0.290
Comorbidity			
Congestive heart failure	0 (0.0%)	0 (0.0%)	NA
Chronic kidney disease	1 (14.3%)	0 (0.0%)	NA
Cerebrovascular disease	2 (28.6%)	3 (33.3%)	0.839
Neuromuscular disease	1 (14.3%)	2 (22.2%)	0.687
Chronic pulmonary disease	1 (14.3%)	2 (22.2%)	0.687
Psychosis	0 (0.0%)	0 (0.0%)	NA
Dementia	2 (28.6%)	2 (22.2%)	0.771
Diabetes mellitus	4 (57.1%)	0 (0.0%)	0.009*
Collagen disease	1 (14.3%)	1 (11.1%)	1.000
Swallowing ability	7.3 \pm 1.7	7.0 \pm 2.0	0.767

*Statistical significance. BMI, body mass index; CURB-65, confusion; blood urea nitrogen >19 mg/dl, respiratory rate ≥ 30 breaths/min, low blood pressure, age ≥ 65 . CURB-65 was evaluated in 3 groups, 1/2/3 + 4. ECOG PS, Eastern Cooperative Oncology Group performance status. PS was evaluated in 4 groups, 0/1/2/3 + 4. NA, not available.

Discussion

The present retrospective chart review evaluated by multivariate analysis indicated; i) the risk factor for the prolonged hospitalization in the aged community acquired pneumonia patients more than 75 years old was low BMI, and ii) the risk factor for mortality in the pneumonia patients was low serum albumin. In addition, univariate analysis for the pneumonia patients revealed that the gender of females was the risk for prolonged hospitalization and that pneumonia severity and low BMI were the risks for mortality. The risk for repeated hospitalization with pneumonia was the patients of diabetes mellitus, and the swallowing ability was not directly related to the repeated hospitalization.

The low serum albumin level and severity of pneumonia on the admission were the risk factors for mortality in the aged community acquired pneumonia patients in the present study. The low serum albumin level, an indicator of malnutrition,⁽¹⁷⁾ was applicable for evaluation of the disease prognosis including the patients with severe sepsis and percutaneous endoscopic gastrostomy.^(18–20) Several reports showed that serum albumin levels were associated with mortality and/or prognosis of the pneumonia,^(4,21,22) which was consistent with the result of the present study. Several indicators including procalcitonin,^(23–25) which was not evaluated in the present study, might be applicable for evaluation for prognosis of the pneumonia.

Low BMI on admission, a kind of an indicator for malnutrition, was a risk factor for the prolonged hospitalization in the aged pneumonia with multivariate analysis and was related to the mortality with univariate analysis. The result emphasized the nutrition status was an important factor for disease prognosis of aged hospitalized patients with community acquired pneumonia. Evaluation of the nutrition status with BMI was essential in prognosis of other pulmonary disease patients including the chronic obstructive pulmonary disease and the nosocomial infection.^(26–29)

The present study suggested that the gender of females might be risk for the prolonged hospitalization. The reason why the hospitalization stay tended to be long in the aged females pneumonia patients was not clear,⁽³⁰⁾ as the number of the patients

with good performance status (0 + 1) was not different between males (14 cases) and females (13 cases) and there was no significance difference between the genders regarding the other factors evaluated in the present study.

The complication of diabetes mellitus for the community acquired pneumonia was a risk factor for the repeated hospitalization with the pneumonia in the present study, although decrease in the swallowing ability did not enhance the repeated hospitalization. Repeated hospitalization with diabetes mellitus was compatible with several previous reports which demonstrated that pneumonia-related hospitalization was closely associated with diabetes mellitus,^(31–34) and that increase in HbA1c exacerbated the risk of the community acquired pneumonia.⁽³⁵⁾ The reasons for no relationship between the swallowing disability and repeated hospitalization were partly due to specialized diet for protection of dysphagia during hospitalization and the small number of the tested patients, which warrant further exploration.

There are several limitations in the present study; i) a retrospective study in a single institute with a relatively small sample size, ii) observation period for repeated hospitalization was one year, and iii) limited number of patients for evaluation of the swallowing ability.

In conclusion, the present retrospective chart review indicated risk of the poor prognosis of the mortality rate and the prolonged hospitalization in the aged community acquired pneumonia patients was due to malnutrition represented by the low serum albumin and the low BMI in addition to disease severity of the pneumonia.

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Conflict of Interest

No potential conflicts of interest were disclosed.

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