

# Survival in a cohort of patients with lung cancer: the role of age and gender in prognosis

Juliana Pereira Franceschini<sup>1</sup>, Sérgio Jamnik<sup>1</sup>, Ilka Lopes Santoro<sup>1</sup>

 Disciplina de Pneumologia, Universidade Federal de São Paulo – UNIFESP – São Paulo (SP) Brasil.

Submitted: 21 September 2016. Accepted: 10 July 2017.

Study carried out in the Disciplina de Pneumologia, Universidade Federal de São Paulo - UNIFESP - São Paulo (SP) Brasil

### ABSTRACT

Objective: To determine the demographic and clinical characteristics of patients with non-small cell lung cancer (NSCLC), as well as their disease course, by age group and gender. Methods: This was a retrospective cohort study of patients diagnosed with NSCLC from 2000 to 2012 and followed until July 2015 in a tertiary referral hospital in the city of São Paulo, Brazil. Based on the 25th and 75th percentiles of the age distribution, patients were stratified into three age groups: < 55 years;  $\geq 55$  and < 72 years; and  $\geq 72$ years. Survival time was evaluated during the follow-up period of the study. Functions of overall and gender-specific survival stratified by age groups (event: all-cause mortality) were calculated using the Kaplan-Meier method. Differences among survival curves were assessed via the log-rank test. Results: We included 790 patients with the following age distribution: < 55 years, 165 patients;  $\geq$  55 and < 72 years, 423; and  $\geq$  72 years, 202. In the entire sample, there were 493 men (62.4%). Adenocarcinoma was the most common histological pattern in the < 72-year age groups; 575 patients (73%) presented with advanced disease (stages IIIB-IV). The median 5-year survival was 12 months (95% CI: 4-46 months), with no significant differences among the age groups studied. Conclusions: NSCLC remains more common in men, although we found an increase in the proportion of the disease in women in the < 55-year age group. Adenocarcinoma predominated in women. In men, squamous cell carcinoma predominated in the  $\geq$  72year age group. Most patients presented with advanced-stage disease at diagnosis. There were no statistical differences in survival between genders or among age groups.

Keywords: Lung neoplasms; Age groups, Sex; Survival.

# **INTRODUCTION**

Changes in the demographic structure of the Brazilian population indicate a process of population aging. According to data from the Brazilian Institute of Geography and Statistics, the proportion of elderly individuals increased from 7.2% in 2000 to 11.7% in 2015, currently consisting of a contingent of more than 23 million people.<sup>(1)</sup> The demographic transition results in an epidemiological transition, which means that the population's disease profile has changed to that of chronic diseases.

In Brazil, as well as in other countries in the world, lung cancer remains an elderly disease.<sup>(2-4)</sup> The proportion of lung cancer patients aged 50 or younger is estimated to be at most 12%.<sup>(5-9)</sup> In addition, lung cancer has ceased to be an almost exclusively male disease and has become increasingly common among women. Furthermore, this neoplasm occurs more frequently in patients with a history of smoking, and the closing gap in smoking rates between men and women is one of the contributing factors to the increase in the incidence of lung cancer in women.<sup>(10)</sup>

There are few studies in the literature that have evaluated the course of non-small cell lung cancer (NSCLC) by age group and gender. Therefore, the objective of the present study was to determine the major demographic and clinical characteristics of patients with NSCLC, as well as their disease course, by gender and age group.

### **METHODS**

This was a retrospective cohort study nested within a structured database (which is part of a hospital tumor registry) and involving lung cancer patients followed in a tertiary referral hospital in the city of São Paulo, Brazil. The present study was approved by the local research ethics committee, and all patients gave written informed consent at the time of their entry into the database.

The start data of observation was January 1, 2000, and the deadline for inclusion of new cases in the cohort was July 31, 2012; for the purpose of the study, patients were followed until July 31, 2015. We included all patients with a histologically and cytologically proven diagnosis of NSCLC who were treated in the aforementioned hospital. At the time of diagnosis, data on demographic and clinical variables, such as age, gender, functional status (as measured by the Karnofsky performance status), smoking status (never vs. current or former smoker), and smoking history (in pack-years) were collected, as were data on tumor-related variables, such as histological type (adenocarcinoma, squamous

Correspondence to:

Ilka Lopes Santoro. Disciplina de Pneumologia, Universidade Federal de São Paulo, Rua Botucatu, 740, 3º andar, CEP 04023-062, São Paulo, SP, Brasil. Tel./Fax: 55 11 5576-4238 or 55 11 5082-5105. E-mail: ilkasantoro@gmail.com Financial support: None.

carcinoma, other), stage (IA-IIIA vs. IIIB-IV), and presence of site-specific metastasis, categorized as intrathoracic or extrathoracic.

Based on the 25th and 75th percentiles of the age distribution, patients were stratified into three age groups: < 55 years;  $\geq$  55 and < 72 years; and  $\geq$  72 years. Survival time, defined as the time between the date of histological diagnosis and the date of the last event, was recorded during follow-up. A last event was defined as all-cause mortality (date of death), as the patient being alive at the end of the study follow-up, or as the patient being lost to follow-up—this patient was censored at the last date of contact.

Data are expressed as mean  $\pm$  SD or as median (interquartile range). Categorical variables were analyzed with the chi-square test or Fisher's exact test, and numerical variables were analyzed using one-way ANOVA (complemented by the Bonferroni test) or the Kruskal-Wallis test (complemented by Duncan's test) depending on the sample distribution. Functions of overall and gender-specific survival stratified by age group were calculated using the Kaplan-Meier method. Differences among survival curves were assessed via the log-rank test. Test results with an a error < 5% (p < 0.05) were considered significant. Data were analyzed with the Statistical Package for the Social Sciences, version 17.0 (SPSS Inc., Chicago, IL, USA).

#### RESULTS

During the study inclusion period, we identified 790 patients with a diagnosis of NSCLC, 493 (62.4%) of whom were male and 297 (37.6%) of whom were female. The mean age of the entire study sample was  $64 \pm 11$  years, ranging from 27 to 93 years. It is important to emphasize that the female gender had a lower median age and a lower 25th percentile than those of the male gender (62 and 54 vs. 66 and 58 years, respectively). In addition, we observed that 10% of women were less than 50 years of age at the time of diagnosis, whereas only 5% of men were in the youngest age group. Figure 1 illustrates the distribution of the 790 patients studied, divided by gender, in relation to age percentiles.

Male patients predominated in all age groups studied; however, the female/male ratio was highest in the youngest age group, and this difference was statistically significant (p = 0.012; Table 1).

Although the median Karnofsky performance status was the same for the three age groups, the  $\geq$  72-year age group had a lower proportion of patients with Karnofsky performance status above the median than did the other age groups (p = 0.007; Table 1).

Smoking was confirmed in 664 (84%) of the cases evaluated, with 462 men (94%) and 202 women (68%) being smokers. There was a predominance of smokers among male patients for all age groups. In addition, the female/male ratio of smokers increased inversely



Figure 1. Age percentile distribution, by gender, for the 790 patients studied.

proportionally to increasing age group; however, this difference did not reach statistical significance (Table 1).

The median smoking history of the entire sample was 45 pack-years (interquartile range: 30-63 pack-years), with patients in the  $\geq$  72-year age group having a higher mean smoking history than those in the other age groups (p < 0.001). Although women smoked less than men in all age groups, the gender difference in smoking history was lowest in the youngest age group (Table 1).

Adenocarcinoma was the most common histological pattern for individuals aged 71 or younger, although this difference did not reach statistical significance (Table 1). In the female gender, adenocarcinoma predominated in all age groups, whereas squamous carcinoma predominated in the oldest age group in the male gender. Although there was a predominance of adenocarcinoma among women, regardless of smoking status, the proportion of adenocarcinoma among neversmoking women was higher (p = 0.04). In the male gender, there was a predominance of adenocarcinoma among neversmoking among neversmoking status a predominance of squamous carcinoma among smokers (p = 0.001).

A total of 575 patients (73%) were found to have advanced NSCLC (stages IIIB-IV). There was no difference in disease stage distribution among the different age groups studied (Table 1). A total of 368 patients (47%) did not present with metastasis at diagnosis. The  $\geq$  55- and < 72-year age group had the highest proportion of patients without metastasis, whereas the < 55-year age group had the highest proportion of individuals with extrathoracic metastasis (p < 0.046; Table 1). The most common site of metastasis was the lung, followed by the pleura. Of the extrathoracic sites of metastasis, the brain was the most common. There were no differences in the



Table 1. Demographic and clinical data of 79	0 patients with non-small	cell lung cancer, by	age group. <sup>a</sup>
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Variable		Age, years		р
	< 55	$\geq$ 55 and < 72	≥ 72	
	(n = 165)	(n = 423)	(n = 202)	
Male gender	87 (53)	279 (66)	127 (63)	0.012*
Karnofsky performance status	80.7 ± 14.4	79.9 ± 14.7	76.4 ± 15.3	0.007‡
Smoking	136 (82)	363 (86)	165 (82)	0.34*
Male	78 (90)	263 (94)	121 (95)	0.21*
Female	58 (74)	100 (69)	44 (59)	0.10*
Smoking history, pack-years	34.7 ± 22.7	53.3 ± 30.2	63.4 ± 41.4	< 0.001‡
Male	39.1 ± 24.5	57.8 ± 28.8	70.7 ± 42.2	
Female	28.9 ± 18.8	41.6 ± 30.6	42.2 ± 31.3	
Tumor size, cm	5.1 ± 2.6	4.7 ± 2.5	4.8 ± 2.3	0.52 <sup>‡</sup>
Histological type				0.13*
Adenocarcinoma	92 (56)	216 (51)	91 (45)	
Squamous cell carcinoma	52 (32)	170 (40)	91 (45)	
Other	21 (12)	37 (09)	20 (10)	
Baseline stage of disease				0.057*
IA-IIIA	34 (21)	127 (30)	52 (26)	
IIIB-IV	131 (79)	294 (70)	150 (74)	
Metastasis at T0				0.046*
MO	70 (42)	213 (50)	85 (42)	
M1a	45 (27)	108 (26)	71 (35)	
M1b	50 (30)	102 (24)	46 (23)	
Deaths	83 (50)	232 (55)	105 (52)	0.56*
Follow-up, months	4.9 [1.3-13.2]	6.5 [2.0-16.3]	4.4 [1.4-12.9]	0.07 <sup>†</sup>

T0: date on which the diagnosis of lung cancer was confirmed; M0: no metastasis; M1a: lung or pleural/pericardial metastasis; and M1b: adrenal, brain, liver, bone, or other metastasis. <sup>a</sup>Values expressed as n (%), mean ± SD, or median [interquartile range]. \*Chi-square test. <sup>a</sup>One-way ANOVA (complemented by the Bonferroni test). <sup>a</sup>Kruskal-Wallis test (complemented by Duncan's test).

distribution of metastasis sites among the age groups (Table 2).

The median 5-year survival was 12 months (95% CI: 4-46 months) with a follow-up of at least 3 years (Table 1). There was no significant difference in agegroup specific survival (Figure 2). The same was true for gender-specific survival.

#### DISCUSSION

Lung cancer is a disease that predominates in elderly individuals, and, according to the literature, its incidence gradually increases with advancing age.<sup>(3,11)</sup> However, 21% of the study population were less than 55 years of age at diagnosis, with 10% of women being less than 50 years of age. Group stratification was based on percentiles of the age distribution rather than on the international definition of elderly, which considers the age of 60 years as a cut-off point for developing countries. The percentile-based cut-off point was chosen because we wanted to adjust the patient group distribution to the particular characteristics of our sample.

The world literature shows that, although most lung cancer patients in all age groups are male, the gender difference in the number of cases is smaller in younger age groups,<sup>(12)</sup> which corroborates the results of the present study, since the proportion of women was highest in the < 55-year age group. Similarly, it is known that, in general, young patients with lung cancer are female, are never smokers, predominantly have adenocarcinoma histology, and are diagnosed at an advanced stage of disease.<sup>(13)</sup>

According to the World Health Organization, women currently represent 20% of smokers worldwide.<sup>(14)</sup> In the present study, not only was the proportion of female smokers higher in the youngest age group than in the others, but also the gender difference in smoking history was smaller. This is in line with data on smoking in Brazil, which demonstrate that the decline in the number of smokers has been less pronounced among females than males since 1980, when this type of epidemiological research began.<sup>(11,15)</sup> The decrease in smoking habit observed over the study period was concomitant with national interventions for smoking control, such as pictorial cigarette pack warnings, advertising restriction, laws establishing smoke-free environments, and cigarette price and tax increases.<sup>(16,17)</sup>

Another contributing factor to closing the gap in smoking rates between women and men in the < 55-year age group is the probable greater difficulty experienced by women in quitting smoking.<sup>(18-20)</sup> This is probably related both to physical factors, such as the relationship between nicotine and female hormones, and to emotional factors associated with mood and

affect. In addition, earlier age at smoking initiation and longer-term tobacco consumption translate into greater difficulty in quitting smoking. $^{(11)}$ 

The increase in smoking among women is one of the factors that may explain the increase in lung cancer mortality in this population.<sup>(21,22)</sup> In our study, we observed a higher number of women in the < 55-year age group than in the other age groups. The < 55-year age group comprised a higher proportion of patients diagnosed with adenocarcinoma. In general, adenocarcinoma is more weakly related to the smoking habit than is squamous carcinoma,<sup>(23,24)</sup> which was more common in individuals who were older and had a greater smoking history.

There were no differences in survival among the different age groups studied. This may be related to

disease stage at diagnosis, which also did not differ among the age groups, with most individuals presenting with either locally advanced or metastatic disease at diagnosis, which is in agreement with the findings reported in the international literature.<sup>(25)</sup> With regard to metastasis, we found that, in the oldest age group, the prevalence of brain and adrenal metastasis was lower than in the other age groups studied, although there were no significant differences.

International consensus guidelines on cancer treatment are not always applicable to patients in extreme age groups (i.e., young or elderly patients). Therefore, appropriate follow-up of such individuals becomes increasingly important.<sup>(26,27)</sup> With advancing age, patients are more likely to have comorbidities that may lead to polypharmacy, and, in addition, physiological changes inherent in the aging process may impair renal

**Table 2.** Distribution of sites of intrathoracic and extrathoracic metastasis for the three groups of patients with non-small cell lung cancer, by age group.<sup>a</sup>

Variable		Age, years		р
	< 55	≥ 55 and < 72	≥ 72	
	(n = 165)	(n = 423)	(n = 202)	
M1a				0.24
Lung	23 (51)	54 (50)	44 (62)	
Pleura/pericardium	22 (49)	54 (50)	27 (38)	
M1b				0.40
Adrenal	8 (16)	17 (17)	4 (9)	
Brain	18 (36)	38 (37)	11 (24)	
Liver	7 (14)	19 (19)	14 (30)	
Bone	13 (26)	24 (24)	14 (30)	
Other	4 (8)	4 (4)	3 (7)	

<sup>a</sup>Values expressed as n (%).



Figure 2. Overall and gender-specific survival probability curves for non-small cell lung cancer patients stratified by age group.



and hepatic functions, bringing about changes that affect the pharmacokinetics and pharmacodynamics of medications, with a consequent increase in adverse effects associated with chemotherapy treatment.<sup>(3,27)</sup> In the present study, functional status in the oldest age group, as measured by the Karnofsky performance status, was lower than that in the other age groups, which may be related to the presence of frailty and comorbidities.

The major contribution of the present study is that it described and compared the clinical characteristics of a sample of lung cancer patients of different age groups, with an emphasis on extreme age groups. Among its limitations is the fact that it is a retrospective study conducted in a single tertiary referral center, which may increase the risk of selection bias inherent in the design, with implications for the external validity of the results, although patient inclusion was consecutive. In addition, it was not possible to determine possible comorbidities in the patients by using objective methods, such as the Charlson comorbidity index.

The present study presented the characteristics of lung cancer patients, stratified by age group. On that basis, we conclude that the frequency of NSCLC remains higher among men, although we observed an increase in the proportion of the disease in women in the youngest age group (< 55 years) when compared with the other age groups. Adenocarcinoma was the predominant histological type in women. In men, squamous carcinoma predominated in patients  $\geq$  72 years of age. Most patients presented with advancedstage disease at diagnosis. There were no statistical differences in survival between genders or among age groups.

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