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Original Research Article

New rectum dose surface mapping methodology to identify rectal subregions associated with toxicities following prostate cancer radiotherapy

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A R T I C L E I N F O A B S T R A C T Keywords: Background and purpose: Growing evidence suggests that spatial dose variations across the rectal surface influence toxicity risk after radiotherapy. Existing methodologies employ a fixed, arbitrary physical extent for rectal dose mapping, limiting their analysis. We developed a method to standardise rectum contours, unfold them into 2D cylindrical surface maps, and identify subregions where higher doses increase rectal toxicities. Materials and methods: Data of 1,048 patients with prostate cancer from the REQUITE study were used. Deep learning based automatic segmentations were generated to ensure consistency. Rectum length was standardised

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using linear transformations superior and inferior to the prostate. The automatic contours were validated against the manual contours through contour variation assessment with cylindrical mapping. Voxel-based analysis of the dose surface maps for the manual and automatic contours against individual rectal toxicities was performed using Student's t permutation test and Cox Proportional Hazards Model (CPHM). Significance was defined by permutation testing.

Results: Our method enabled the analysis of 1,048 patients using automatic segmentation. Student's *t*-test showed significance (p < 0.05) in the lower posterior for clinical-reported proctitis and patient-reported bowel urgency. Univariable CPHM identified a 3 % increased risk per Gy for clinician-reported proctitis and a 2 % increased risk per Gy for patient-reported bowel urgency. No other endpoints were significant.

Conclusion: We developed a methodology that unfolds the rectum to a 2D surface map. The lower posterior was significant for clinician-reported proctitis and patient-reported bowel urgency, suggesting that reducing the dose in the region could decrease toxicity risk.

1. Introduction

Prostate cancer is the second most common cancer in men and has an incidence rate of 16 % [1]. For prostate cancer patients undergoing radiotherapy, rectal toxicity is a significant concern. While the occurrence rates of rectal toxicities are low [2,3] some patients can endure severe and long-lasting complications, impacting their quality of life [4–6]. The understanding of mechanisms driving rectal toxicity remains incomplete [6].

Several studies explored the relationship between rectal dose and its effects on patients. Many current models used for predicting toxicity in prostate cancer radiotherapy are based on dose-volume histograms [7–11], with the disadvantage that 3D dose distributions are compressed into a single number, thereby removing the dose's spatial information [12,13]. To address this, recent research focuses on voxel-wise analysis, with the aim of finding anatomical subregions that are dose-sensitive [14–16]. For example, Hoogeman et al. [17] projected the rectal wall into a 2D map by calculating the central axis and segmenting it and Shelley et al. [18] used finite element modeling to preserve rectal anatomy and found that upper and lower rectal regions are associated with proctitis and rectal bleeding.

However, these studies encountered limitations in fully exploiting these methodologies, especially in accounting for variations in rectum length and prostate positioning across contouring protocols. Despite the promise of voxel-wise analysis, the accurate modeling of the rectum and consistent contouring remain significant challenges. The variability in rectal anatomy and inconsistencies in contouring practices have been identified as key limiting factors [17].

These limitations raise the need for consistent contouring in large multicenter studies [19,20]. Inter-Observer Variation (IOV) on the rectum and its consequence on dosimetry has been widely reported [21,22], and machine learning algorithms have been developed to automate contouring, with comparable performance to clinicians [23–26]. The main advantage of auto segmentation is consistency and lack of bias introduced by different contouring protocols and IOV [23–25]. Auto-segmentation was shown to reduce contouring and dose inconsistencies and improve outcome modelling of clinical trials [27].

In this study we aim to develop a new methodology to produce dose surface maps of the rectum which, together with auto segmented delineations, is designed to account for length differences and, therefore, maximise the inclusion of patients in the study. This method implements a novel approach to unfold and standardise the rectum, while keeping the relative position of the prostate fixed. We deploy an autosegmentation model to standardise rectum segmentations across the large, multi-centre REQUITE dataset. We perform voxel-based analysis to identify spatial drivers of rectal surface dose and toxicity outcomes using both the automatically and clinically delineated structures [28].

2. Materials and methods

2.1. Patient cohort

The patient cohort was taken from the REQUITE study, a multicentre, international prospective study with standardised longitudinal data collection (Table 1). Data were available for 1,758 patients with prostate cancer recruited at 17 hospitals in 7 European countries and the USA, treated and followed up between April 2014 and October 2016 [29]. For each patient, planning CT scans, segmentations, planned dose distributions, and demographic information were accessible. Additionally, clinician and patient-reported outcomes were recorded, assessing toxicity levels for a minimum of two years post-radiotherapy. Clinicianreported outcomes were obtained using the Common Terminology Criteria for Adverse Events (CTCAE) scale v4.0 [30] at baseline (0 months), 1 month, 12 months, 24 months, and 36 months. Patients also reported toxicities through validated questionnaires [31] which followed the REQUITE study protocols [29]. All patients gave written informed consent. The study was approved by local ethics committees

Table 1

Clinical and demographic data for the 1,048 REQUITE prostate cancer patients that were included in the analysis. All patients were treated using external beam radiotherapy. IQR = interquartile range, PSA = prostate-specific antigen, SD = standard deviation, fx = fraction.

Clinical data for REQUITE prostate cancer patients ($n = 1,048$)		
Age (years)		
Median (IQR)Mean	70 (65–75)69	
(STD)	(7.1)	
Weight (kg)		
Median (IQR)	82 (74 – 91)	
Mean (STD)	83 (14)	
T stage, n (%)		
T1a/T1b/T1c	260 (25 %)	
T2a/T2b/T2c	424 (40 %)	
T3a/T3b	131 (13 %)	
T4	12 (1 %)	
Not known	221 (21 %)	
Gleason score, n (%)		
≤ 6	167 (16 %)	
7	619 (59 %)	
≥ 8	257 (25 %)	
PSA (ng/ml)		
Median (IQR)	9.6 (6.6 – 16)	
Mean (STD)	15.6 (22.3)	
Treatment dose (Gy)		
Mean total dose (STD)	71.2 (6.8)	
Median total dose (IQR)	74.0 (68.5 – 76)	
Mean dose/fx (STD)	2.2 (0.4)	
Median dose/fx (IQR)	2.0 (2.0-2.2)	
Clinical history, n (%)		
Diabetes	145 (14 %)	
Inflammatory bowel disease	31 (3 %)	
Hemorrhoids	232 (22 %)	
Hypertension	532 (51 %)	
Previous abdominal surgery	365 (35 %)	

[29].

2.2. Rectum contours

2.2.1. Automatic contours

For each patient, deep learning contours of the prostate, rectum and bladder were generated using ADMIRE® v3.4, (Elekta AB, Sweden), referred to as automatic contours. These were assumed to have better cranial-caudal consistency due to consistent contouring extent from above the anus to the start of the sigmoid. Contours created by the treating oncologist are referred to as manual contours. Automatic contours were visually inspected for gross failures.

2.2.2. Identification of manual contours

As the data in REQUITE was collected from multiple centres across 8 countries, contour naming was inconsistent and in multiple languages. To identify the correct manual rectum contours, we calculated the Sørensen–Dice similarity coefficient (SDC) [32] and the average of the absolute distances in 3D (d) between the delineation and the automatic rectum. These metrics were combined in a coefficient $\psi = \frac{d}{1 + \text{SDC}(V_{\text{auto.}}, V)}$, in order to limit cases where the anus was identified as the rectum. The delineation with the smallest value of ψ was assumed to be the manual rectum contour. Inspection of the name of the identified delineation was conducted to confirm the accuracy of the identification.

2.2.3. Local validation of automatic contours

To validate the automatic contours, we used cylindrical mapping using the craniocaudal axis (y) as the main axis. On each transversal slice, the centre of mass (CoM) of the automatic contour was identified. Distances from the CoM to the chosen segmentation boundary (automatic or manual) were computed for 100 equidistant angles, both for the $r_{manual}(\theta, y)$ and $r_{auto}(\theta, y)$. The zero degrees angle was set at the right side of the rectum. Then, the radial distances between the automatic and manual contours were used to quantify local contour variation by defining a radial difference $\Delta r(\theta, y) = r_{manual}(\theta, y) - r_{auto}(\theta, y)$. We calculated $\Delta r(\theta, y)$ for each slice and angle of the automatic rectum delineation resulting in ΔR maps. ΔR maps had a variable number of rows (corresponding to the number of slices of the automatic rectum) and a fixed number of columns (corresponding to 100 angles). Since the automatic rectum contour was used as a reference, there were slices where voxels had an undefined $r_{manual}(\theta, y)$. In these cases, $\Delta r(\theta, y)$ were set to a NaN.

We calculated and reported statistics on the contour variation. For the analysis, we included only the subset of patients whose contour variation did not exceed \pm 3 mm for all voxels, ensuring consistency between manual and automatic rectum contours.

2.3. Surface dose mapping and standardisation of the rectum length

To map the rectal surface dose, we used the cylindrical mapping described above. Instead of populating the maps with radial differences, we sampled the planned radiotherapy dose at these angles and distances to produce a 2D dose surface map.

A coordinate transformation was applied to the surface maps, allowing all maps to be transformed to a standard length, arbitrarily chosen to be 61 slices, see Fig. 1. The CoM of the automatic prostate served as the origin. For a rectum extending between a and b (cranial to caudal extent), slices in the upper half were linearly transformed from (prostate CoM, *a*], to (0, 30]. Similarly, the slices in the bottom half of the rectum were transformed from [*b*, prostate CoM), to [-30, 0) using a second linear transformation. This method assumed that the anatomical structure of the rectum can be aligned relative to the prostate and is the same for shorter and longer rectum contours.

This process resulted in a map of 61 slices and was applied to both the dose and contour variation data. The physical dose was converted to biologically effective dose (BED) using $\alpha/\beta = 3$ Gy [33]. We calculated and reported the variation in linear scaling across patients for the upper and lower half of the automatic rectum.

2.4. Statistical analysis

All non-baseline toxicities were analysed, including clinicianreported proctitis, rectal bleeding, diarrhoea, and patient-reported bowel pain, constipation, bowel urgency, diarrhoea, and bowel control (Table 2). Endpoints with less than 5 % event cases were excluded.

We used two methodologies for 2D voxel-wise analysis: (1) a twotailed Student's *t*-test for binary analysis with the highest-reported toxicities dichotomised to grade < 2 and \geq 2 as this is of clinical significance [30]; (2) Cox Proportional Hazards Model (CPHM) for time-toevent analysis [34]. The time to event was chosen to be time to the

Table 2

Event cases until maximum of 3-years post radiotherapy for various toxicity endpoints of the 1,048 REQUITE prostate cancer patients that were included in the analysis.

Clinical endpoint	Grading system	Incidence n (%)
Proctitis \geq Grade 2	CTCAE	137 (13 %)
$Diarrhoea \ge Grade 2$	CTCAE	89 (8 %)
Rectal bleeding \geq Grade 2	CTCAE	61 (6 %)
Sphincter control \geq Grade 2	CTCAE	12 (1 %)
Bowel urgency \geq Grade 2	Patient-reported	640 (61 %)
$Diarrhoea \ge Grade 2$	Patient reported	71 (7 %)
Bowel control \geq Grade 2	Patient-reported	153 (15 %)
Pain bowels \geq Grade 2	Patient-reported	156 (15 %)



Fig. 1. Schematic diagram showing the coordinate transformation process of a rectum contour to a standardised length of 61 slices using two linear transformations and interpolation on the top half and bottom half of the rectum. The centre of mass (CoM) of the automatic prostate coordinate in the craniocaudal direction is chosen as the origin point and the process results in a bilinear field after following the height standardisation methodology described.

highest reported toxicity (worst scoring event) excluding the baseline toxicity. The CPHM analysis has two main advantages: it includes clinical variables through multivariable voxel-based analysis and accounts for time-to-event. Covariates tested in our study included patient age, as well as rectal and prostate volumes, both derived from the automatic contours. The CPHM analysis yielded multi-channel images, with each channel representing hazard ratios of the observed data for a given covariate [34].

Statistical significance per voxel was assessed via permutation testing to account for multiple comparisons due to its non-parametric nature [35,36], using the methodology described by Green *et al.* [34]. For CPHM, the outcome labels, survival times and covariates were permuted 1,000 times to preserve computational efficiency while retaining low confidence level uncertainty [35]. The max hazard ratio across the image was calculated to summarise each permutation. The 95th percentile of max hazard ratios was set as the significance threshold (p < 0.05), which was then used to threshold the real hazard ratio map and identify regions associated with the outcome. The same procedure was followed for the Student's *t*-test to find the significance value from the distribution of max t-statistics.

3. Results

3.1. Local validation of automatic contours

Files for automatic contouring were unavailable for 210 patients. Another 103 patients were excluded due to failed automatic prostate contouring, while 68 were removed for misidentified manual rectum contours. Additionally, 84 patients that received brachytherapy treatment after External Beam Radiotherapy (EBRT) were excluded as the full rectal dose could not be reconstructed. Fig. 2 shows the contour variation quantification results, with the mean variation of the voxel-wise maps for the mean ΔR centered around $\mu = -0.3$ mm (Fig. 2(a)). 95.9 % of patients had a mean contour variation within \pm 3 mm, Fig. 2 (c). There were patients for which the two contours were inconsistent, leading to slices in which their variation exceeded 5 mm (Supp. Material 1). 245 patients were removed due to voxels with contour variation exceeding \pm 3 mm, to ensure sufficient agreement between manual and automatic contours, leaving 1,048 patients for the final analysis. A flowchart illustrating the data pre-processing is available in Supplementary Material 2.

For 818 patients the manual rectum contour was shorter than the automatic contour, in either the caudal or cranial direction, corresponding to patients with NaN values in the dose surface maps for the manual rectum.





Fig. 2. Contour variation quantification. Voxel-wise (a) mean and (b) standard deviation summaries for ΔR for n = 1,273 and (c) histogram showing frequency of average contour variation of all voxels for a given patient. The mean of all voxels in (a) is very close to 0 (-0.3 mm) and there are two clusters for which the mean ΔR is < -1 mm. The standard deviation remained relatively small in the whole region of the rectum apart from the upper anterior region. The large deviation is due to some erroneous patients for which the manual rectum contour was not consistent with the automatic contour, leading to extreme variations. This deviation is also observed in the long negative tail in (c).

The standard deviation of the scaling across patients for the lower half of the rectum was 0.28 while for the upper half of the rectum was 0.37.

3.2. Student's t-test results

Fig. 3 shows the two-tailed Student's *t*-test results using the dose surface maps of the automatic and manual contours. Significance (p < 0.05) was found for clinician-reported proctitis and patient-reported bowel urgency, with no significance for other toxicities. For both endpoints, the 95 % significance region included the lower posterior. Small regions in the upper and lower anterior, as well as the upper posterior, also showed significance for bowel urgency, however these had high dose standard deviation (see Supp. Material 3). Significant regions corresponded to the lower tail of the *t*-test, indicating that higher doses in these areas increase toxicity risk. The negative t-value regions suggest a higher mean dose for the event group (see Supp. Material 4). Similar spatial locations were identified for the manual contours, but the significant regions were smaller, see Fig. 3.

3.3. CPHM results

Results of the univariable voxel-wise CPHM analysis are shown in Fig. 4. Significance (p < 0.05) was found in the lower posterior for clinician-reported proctitis using automatic contours, indicating 3 % increased risk per Gy. Patient-reported bowel urgency was significant in the lower posterior for both the automatic and manual contours suggesting approximately 2 % increased risk per Gy, see Fig. 4. No other endpoints showed significance. The multivariable voxel-wise CPHM analysis results were consistent with the univariable analysis (Supp. Material 5).

4. Discussion

This study introduces a novel method for standardising the rectum length and projecting the rectum into 2D surface maps while maintaining the prostate's relative position. Consistent delineation of rectal anatomy was achieved using deep-learning auto-segmentation. Analysis of 1,048 patients revealed an association between higher planned doses in the lower posterior and increased risk of proctitis and bowel urgency. This methodology offers the advantage to map anatomical locations consistently across patients and study the entire length of the rectum. Without linear rescaling, the analysis would not have accounted for individual anatomical variations in a comparable way.

Our study used the large prospective multicenter REQUITE data, which captures the diversity of treatment deliveries, segmentation protocols and patient demographics, allowing for greater generalisability compared to smaller, single-center studies. Previous studies have demonstrated correlation between toxicity and dose at the lower rectum [15,18,37-39]. Huang et al. [40], and Jackson et al. [41] conducted dose-volume histogram analysis and showed that rectal complications increase with higher irradiated volume, while Cho et al. [42] identified a correlation between dose at the rectal posterior and higher risk of proctitis. These studies, however, did not account for 3D anatomy. A study by Onjukka et al. [37] demonstrated a link between higher doses at the posterior rectum and rectal bleeding using a voxel-wise analysis. Shelley et al. [18] investigated the relationship between voxel-level dose and rectal toxicity and identified significant regions in the lower posterior for rectal bleeding and proctitis. The methodologies in these studies did not account for the variable rectal length and the relative prostate position and, additionally, Shelley et al. [18] faced limitations due to small sample size. Shelley's investigation differed in toxicity rates, patient treatment, and dose evaluation method, as it focused on patients undergoing image-guided intensity modulated radiotherapy (IG-IMRT) and employed accumulated rather than planned dose. Despite variations in methods, sample sizes and limitations, we find our study consistent with the findings of Shelley et al. [18] and Onjukka et al. [37] for proctitis.

While our findings agree with previous research findings [18,37], voxel-based analysis has limitations due to the inherent spatial correlation of the dose [43]. Because of this, we cannot rule out the importance of other regions other than the posterior. The derived region presented in this work is purely statistical and not clinically derived, however it provides guidance on generating meaningful hypotheses to be tested in future clinical studies. The lack of significance in the anterior region could be attributed to the lack of dose variation, since all patients received high doses in the region closest to the tumour. Validation in further cohorts is needed before any clinical translation studies.

Using manual rectum contours introduces limitations due to



Fig. 3. Four surface maps showing the output distribution values from Student's t-tests for 1,048 patients, with regions of 95% significance outlined. The top two maps illustrate the significant regions for proctitis, comparing the dose at the manual rectum (right) versus the automatic rectum (left). The bottom two maps show the same comparison for bowel urgency. Results suggest that reducing the dose in the significant regions could be beneficial for the outcome.



Fig. 4. Four hazard ratio maps from univariable Cox Proportional Hazards Model (CPHM) analysis performed on 1,048 patients, with regions of 95% significance outlined. The top two maps illustrate the significant regions for proctitis, comparing the dose at the manual rectum (right) versus the automatic rectum (left). The bottom two maps show the same comparison for bowel urgency. For proctitis using the automatic dose, results suggest a risk increase of 3.3–4.0% per Gy increase in dose. For bowel urgency, a risk increase of 1.8–2.2% per Gy increase in dose is observed for the automatic dose, whereas for the manual dose the risk increase is 2.1–2.4%.

inconsistent lengths influenced by local segmentation protocols. Among 1,048 patients, 818 had shorter manual rectum contours than automatic ones, which would make standardisation challenging without the automatic segmentations. In this context, automatic contours provide a more consistent baseline for analysis. However, the method of standardising the rectum assumed that rectal anatomy relative to the prostate is independent of the rectum length, which may not be entirely accurate.

Despite near-zero mean contour variation indicating strong agreement, the lower posterior showed the highest negative mean variation (Fig. 2), likely reflecting contouring challenges or protocol differences in the region. The regions of significance found by the Student's *t*-test for proctitis and bowel urgency using the dose at the manual rectum were predominantly smaller, suggesting that the analysis for the manual rectum may be limited by the inconsistent cranio-caudal extension of the rectum. This observation is further supported by the CPHM analysis, which showed significance for proctitis when using the automatic contours, while no significant findings were observed for the manual rectum. The differences in results between the Student's *t*-test and the CPHM analysis could be attributed to the fact that one is a binary analysis while the other is a time-to-event analysis. Both methodologies, however, highlight similar regions which strengthens our results.

Sripadam *et al.* [44] showed that rectal volume can decrease during treatment. Similarly, van Herk *et al.* [45] identified rectal filling as a significant contributor to prostate motion, while Stasi *et al.* [10] observed rectal volume variation between planning and treatment. These studies highlight the discrepancy between planned and delivered doses due to rectal motion, which is a limitation of this study. Multiple studies support that using delivered doses can yield more accurate predictions [18,46,47]. Shelley *et al.* [18] found larger significant regions for the accumulated dose, suggesting that certain rectal regions may be missed with the planned dose, however improvements were small. Scaife *et al.* [48] found that the delivered doses were generally lower than planned doses, though their analysis was limited by insufficient scan coverage of the lower rectum. In our study, the lack of delivered dose data prevented an assessment of rectal motion and the impact of accumulated dose on treatment outcomes.

Current clinical practices increasingly adopt spacers, which have shown to reduce the mean rectal doses and reduce rectal toxicities [49]. Spacers could reduce doses in the lower posterior, which is the region of interest in this study. However, rectal spacers were not used in this study, so their impact could not be estimated.

Finally, studies have shown that dose to pelvic floor muscles, including the internal and external anal sphincter as well as the puborectalis muscles surrounding the rectum, correlates with toxicity endpoints such as urgency and fecal incontinence [50–52]. An in-depth analysis of rectal toxicities should combine dose surface mapping with anatomical mapping using image registration as done in studies of prostate cancer [16] and lung cancer [53].

This study has found that the lower region of the rectum can be important in predicting certain dose related side effects in prostate cancer radiotherapy, supporting previous findings. However, the region often has variations in contouring and may be overlooked with local segmentation protocols, leading to suboptimal treatment planning and outcomes.

To summarise, our study quantified rectal doses for 1,048 prostate cancer patients in the REQUITE dataset using deep-learning auto-segmentation. A novel method standardised and unfolded the rectum while keeping the prostate fixed, which allowed to project the dose distribution into a 2D surface map. Statistical analysis revealed a significant region in the lower posterior (p < 0.05) associated with clinician-reported proctitis and patient-reported bowel urgency. Reducing the dose in this area could lower toxicity risk, highlighting opportunities for optimising treatment and improving patient care.

CRediT authorship contribution statement

Artemis Bouzaki: Methodology, Formal analysis, Investigation, Visualization, Writing – original draft, Writing – review & editing, Software. Dylan Green: Investigation, Writing – review & editing. Marcel van Herk: Conceptualization, Resources, Writing – review & editing, Funding acquisition. Jane Shortall: Writing – review & editing. Tanuj Puri: Writing – review & editing. Sarah Kerns: Data curation, Writing – review & editing. David Azria: Data curation, Writing – review & editing. Marrie-Pierre Farcy-Jacquet: Data curation, Writing – review & editing. Jenny Chang-Claude: Data curation, Writing – review & editing. Ananya Choudhury: Data curation, Writing – review & editing. Alison Dunning: Data curation, Writing - review & editing. Maarten Lambrecht: Data curation, Writing - review & editing. Barbara Avuzzi: Data curation, Writing - review & editing. Dirk De Ruysscher: Funding acquisition, Writing - review & editing. Petra Seibold: Data curation, Writing - review & editing. Elena Sperk: Data curation, Writing - review & editing. Christopher Talbot: Data curation, Writing - review & editing. Ana Vega: Data curation, Writing review & editing. Liv Veldeman: Data curation, Writing - review & editing. Adam Webb: Data curation, Writing - review & editing. Barry Rosenstein: Data curation, Writing - review & editing. Catharine M. West: Data curation, Writing - review & editing. Eliana Gioscio: Data curation, Writing - review & editing. Tiziana Rancati: Data curation, Writing - review & editing. Eliana Vasquez Osorio: Conceptualization, Funding acquisition, Methodology, Writing - review & editing, Project administration, Resources, Supervision. Alan McWilliam: Conceptualization, Funding acquisition, Methodology, Writing - review & editing, Project administration, Resources, Supervision, Data curation.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

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