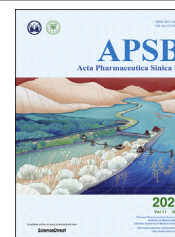




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ORIGINAL ARTICLE

VEGFR2-targeted antibody fused with IFN α mut regulates the tumor microenvironment of colorectal cancer and exhibits potent anti-tumor and anti-metastasis activity



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Abstract Although interferon α (IFN α) and anti-angiogenesis antibodies have shown appropriate clinical benefit in the treatment of malignant cancer, they are deficient in clinical applications. Previously, we described an anti-vascular endothelial growth factor receptor 2 (VEGFR2)-IFN α fusion protein named JZA01, which showed increased *in vivo* half-life and reduced side effects compared with IFN α , and it was more effective than the anti-VEGFR2 antibody against tumors. However, the affinity of the IFN α component of the fusion protein for its receptor-IFNAR1 was decreased. To address this problem, an IFN α -mutant fused with anti-VEGFR2 was designed to produce anti-VEGFR2-IFN α mut, which was used to target VEGFR2 with enhanced anti-tumor and anti-metastasis efficacy. Anti-VEGFR2-IFN α mut specifically inhibited proliferation of tumor cells and promoted apoptosis. In addition, anti-VEGFR2-IFN α mut inhibited migration of colorectal cancer cells and invasion by regulating the PI3K–AKT–GSK3 β –snail signal pathway. Anti-VEGFR2-IFN α mut showed superior anti-tumor efficacy with improved tumor microenvironment (TME) by enhancing dendritic cell maturation, dendritic cell activity, and increasing tumor-infiltrating CD8⁺ T cells. Thus, this study provides a novel approach for the treatment of metastatic colorectal cancer, and this design may become a new approach to cancer immunotherapy.

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1. Introduction

Colorectal cancer (CRC) is the third most common cancer, and it was the second leading cause of mortality in 2018, according to global cancer statistics¹. The main cause of colorectal cancer death is liver metastasis, and half of colorectal cancer patients had liver metastases before or after surgery². In recent years, anti-VEGF/VEGFR therapeutic antibodies have prolonged the survival of CRC patients while exhibiting a low toxic profile^{3,4}. Ramucirumab, which is a fully humanized monoclonal antibody (mAb) that targets the vascular endothelial growth factor receptor 2 (VEGFR2), was approved by the U.S. Food and Drug Administration (FDA) for the treatment of metastatic colorectal cancer in April 2015^{5,6}. The VEGF/VEGFR2 signaling pathway plays a major role in physiological and pathological angiogenesis, and the angiogenesis in cancer is essential for tumor growth and metastasis^{7–9}. However, as single agent, these anti-VEGF/VEGFR2 antibodies have limited efficacy against CRC. In addition, there were preclinical reports that VEGF inhibitors reduced primary tumor growth, but promoted tumor invasiveness and metastasis^{10–14}. Hence, it is important to explore the mechanisms of antibody action and to develop novel strategies to enhance their efficacy against invasiveness and metastasis and to minimize undesirable side-effects. Hypoxia may play an important role in invasiveness and metastasis, and some strategies to address hypoxia that was induced by anti-angiogenesis have been developed, such as the small molecule inhibitor of HIF- α ^{15,16}. However, we speculate that hypoxia is not the sole cause of invasiveness and metastasis, and the VEGF/VEGFR2 inhibitor may also alter the tumor microenvironment (TME) to encourage invasiveness and metastasis.

The TME, which consists of resident fibroblasts, endothelial cells, pericytes, leukocytes, extracellular matrix, immune cells^{17,18}, and the immune cells infiltrated in the solid tumor such as macrophages or neutrophils play a significant role in the metastasis of malignancy^{19–22}. Therefore, we hypothesized that targeting a molecule that can regulate the tumor immune microenvironment along with anti-VEGFR2 may solve the problem of invasiveness and metastasis that is induced by VEGF/VEGFR2 inhibitor.

IFN α can activate the immune system of cancer patients, enhance the cytotoxicity of NK cells, induce the generation and survival of CTLs and memory CD8⁺ T cells, and promote the expression of MHC molecules in dendritic cell maturation in tumors^{23,24}. IFN α also exhibits direct cytotoxicity by inhibiting the proliferation of tumor cells and by promoting apoptosis of those cells²⁵. In addition, IFN α reduced tumor metastasis^{26,27}. On the basis of these findings, the combination therapy of the anti-VEGF antibody bevacizumab with IFN α was approved by the FDA in 2009 as a first-line treatment for metastatic renal cell carcinoma (mRCC)^{28–31}.

In previous studies, we fused IFN α 2 with anti-VEGFR2 to produce a fusion antibody, named JZA01, and demonstrated that it had a good anti-tumor effect in metastatic CRC. In addition, JZA01 enhanced antigen presentation and promoted the

maturation of dendritic cells³². However, we found that the affinity of IFN α 2 for its receptor IFNAR1 and its direct cytotoxicity was decreased in JZA01. Because there are reports that IFNs with higher affinity for IFNAR may be better anticancer therapeutics³³, we mutated IFN α to produce a higher affinity IFN α -mutant and fused it with anti-VEGFR2 to produce JZA02 in this study. We found that the affinity of JZA02 for IFNAR1 increased five times compared with JZA01, and it had improved anti-tumor efficacy compared with JZA01 both *in vitro* and *in vivo*. Moreover, in the mouse model for colorectal cancer liver metastasis, JZA02 inhibited the activation of the PI3K-AKT-GSK3 β signaling pathway to inhibit liver metastasis, and it regulated the TME more efficiently by promoting dendritic cell maturation and by enhancing the infiltration of CD8⁺ T cells.

In summary, we have characterized a novel fusion protein, JZA02, and its superior anti-tumor and anti-metastasis properties against CRC were also shown both *in vivo* and *in vitro*.

2. Materials and methods

2.1. Cell culture and animals

The Chinese hamster ovary cell line CHO-pro (Amproteine Co., Ltd., Hangzhou, China) was cultured in IMDM medium (Gibco, Grand Island, NY, USA) supplemented with 5% (*v/v*) fetal bovine serum (FBS, Gibco, Auckland, New Zealand). The human colorectal cancer cell lines HCT-116 and SW620 obtained from the cell bank of the Chinese Academy of Science (Shanghai, China) were cultured in 1640 medium (Gibco). The human liver cell line HL-7702 were preserved in our lab and cultured in DMEM medium (high glucose) that contained 10% (*v/v*) FBS. All peripheral blood mononuclear cell (PBMC) healthy donors gave informed consent for this study, and it was approved by the Ethical Committee of China Pharmaceutical University (Nanjing, China). The nude mice were purchased from Comparative Medicine Centre of Yangzhou University (Yangzhou, China) and were fed in a specific pathogen-free (SPF) environment.

2.2. The production of HCT-116-Luc cells and HCT-116-Luc-LM3 cells

The packaging plasmid, pHBLV-CMVIE-ZsGreen-T2A-Luc (Hanbio, Shanghai, China), helper plasmids, PSPAX2 and PMD2G, were cotransfected to 293T cells with LipoFiter (Hanbio). Lentivirus-containing supernatants were harvested 48 h after transfection, and recombinant lentiviruses were concentrated by ultracentrifugation. Then, the lentiviruses were added to the HCT-116 cells, and the transfected HCT-116 cells expressed green fluorescent protein (GFP) and luciferase after 48 h. Transfected HCT-116 cells were enriched by FACS sorting through a GFP tag with a purity >90%, and the sorted cells were diluted into a single cell suspension through continuous dilution. We obtained 10 clones with stable, highly expressed GFP and luciferase, which was verified by bioluminescence and reporter assays. The highly

metastatic tumor variant HCT-116-Luc-LM3 cells were obtained by serial transplantation of liver metastatic clones. Briefly, liver tumor masses were minced into small pieces, digested with collagenase/hyaluronidase solution (Stem Cell Technologies, Vancouver, Canada) at 37 °C until a single-cell suspension was obtained, and cultured as described previously. Metastatic clones were tested for luciferase activity to confirm their cellular origin. The cells were re-injected into the spleen until a third-generation metastasis was achieved.

2.3. Analysis of binding affinity

The binding affinity of JZA01 and JZA02 to human IFNAR1 was measured with Biacore X100 (GE Healthcare, Sweden). Anti-human IgG (Fc) antibody was immobilized on sensor chip CM5 using a Human Antibody Capture Kit (GE Healthcare, Uppsala, Sweden). After JZA01 and JZA02 were captured, IFNAR1 was injected at different concentrations into a running buffer (HBS-EP, pH 7.4). One flow cell of the sensor chip was set as a control. The captured antibody–analyte complex was removed by a regeneration solution (3 mol/L magnesium chloride). The association rate constant k_a and dissociation rate constant k_d were calculated and analyzed using the bivalent analyte model, and the equilibrium dissociation constant (K_D) was calculated according to Eq. (1):

$$K_D = k_d/k_a \quad (1)$$

In addition, the binding affinity of JZA02 to tyrosine KDR (human VEGFR2) was measured as described previously.

2.4. Cell proliferation assay

Cells were plated onto a 96-well plate with 4×10^3 cells/well. After overnight starvation and incubation with different concentrations of treatments at 37 °C for 72 h, cell proliferation was quantified by a MTT assay. Inhibition (%) was calculated as Eq. (2):

$$\text{Inhibition (\%)} = (1 - \text{OD}_{\text{experiment}} / \text{OD}_{\text{control}}) \times 100 \quad (2)$$

2.5. Apoptosis assay

Colorectal cancer cell HCT-116 and SW620 were incubated in 6-well plate with different treatments (JZA02 100 nmol/L, JZA01 100 nmol/L, and IFN α 200 nmol/L) at 37 °C for 48 h, the supernatant was removed, and cells were washed three times with PBS followed by staining with annexin V-FITC and propidium iodide (PI). After being washed by PBS three times, cells were analyzed by flow cytometry to distinguish populations of early apoptotic (Annexin V⁺/PI⁻), late apoptotic (Annexin V⁺/PI⁺), and necrotic (Annexin V⁻/PI⁺) cells using an Annexin V/PI Apoptosis Assay Kit (Sangon Biotech, Shanghai, China). The percentage of apoptotic cells was calculated as the sum of the percentages of early apoptotic and late apoptotic cells.

2.6. Cell invasion assay

For invasion assays, 1×10^4 HCT-116 cells suspended in 100 μ L RPMI 1640 media supplemented with 1% (v/v) FBS and different treatments (100 nmol/L of JZA02 or JZA01, or 200 nmol/L IFN α) were incubated in the upper well of 24-well Transwell chambers (Millipore, Billerica, MA, USA), which were pretreated with

matrigel (BD Bioscience, San Jose, CA, USA). The lower chambers were filled with 600 μ L of RPMI 1640 media that contained 5% (v/v) FBS. After a 12–24 h incubation, the upper cells were removed, and the lower cells were fixed with 4% polyoxymethylene for 20 min and then stained with 1% (w/v) crystalline violet before being photographed under an OLYMPUS inverted microscope at 100 \times magnification. The number of invasive cells was determined with the Image-Pro-Plus program according to Eq. (3):

$$\text{Inhibition (\%)} = [(\text{Control}_{\text{number}} - \text{Experiment}_{\text{number}}) / \text{Control}_{\text{number}}] \times 100 \quad (3)$$

2.7. Scratch assay

HCT-116 cells (2×10^5 cells/well) that were suspended in RPMI 1640 media that contained 5% (v/v) FBS were incubated in a 12-well plate until they were adherent. A straight line was drawn in the middle of each well with a 1 mL tip after removing the supernatant. An aliquot of 1640 media supplemented with different treatments (100 nmol/L JZA02 or JZA01, or 200 nmol/L IFN α) was then added into corresponding wells. Images were taken using an OLYMPUS inverted microscope at 100 \times magnification. The length of migration was analyzed using the Image-Pro-Plus program according to Eq. (4):

$$\text{Inhibition (\%)} = (1 - \text{Length}_{\text{experiment}} / \text{Length}_{\text{control}}) \times 100 \quad (4)$$

2.8. MHC class I and MHC class II expression on the tumor surface

HCT-116 or SW620 cells (3×10^5 cells/well) were cultured in 6-well plates at 37 °C with different treatments (JZA00 50 nmol/L, JZA01 50 nmol/L, or IFN α 100 nmol/L) for 48 h. After that, cells were incubated with anti-MHC class I (Bioscience, 560965) antibody and anti-MHC class II (Bioscience, 560943) antibody for 1 h before being analyzed by flow cytometry.

2.9. Maturation of dendritic cells

Mononuclear cells and immature dendritic cells were isolated from human peripheral blood mononuclear cells (hPBMCs) and cultured in AIM-V medium (Gibco, 087-0112DK) that contained IL-4 (800 U/mL) and GM-CSF (150 ng/mL) for 4 days. Half of the medium was changed every 2 days. Different treatments (JZA00 50 nmol/L, JZA01 50 nmol/L, and IFN α 100 nmol/L) were given on the 4th day for 48 h. After that, cells were incubated with different antibodies (anti-CD80, Biosciences 560925; anti-CD86, Bioscience 560956; anti-MHC class I, Bioscience 560965; and anti-MHC class II, Bioscience 560943) for 1 h in PBS that contained 2% FBS and detected by flow cytometry.

2.10. Anti-tumor efficacy in xenograft tumor model

Colorectal cancer xenograft model was established by injecting HCT-116 cells (1×10^7) subcutaneously into BALB/c nude mice (4–6 weeks old, Yangzhou University Comparative Medicine Centre, Yangzhou, China). A total of 25 HCT-116-bearing mice were divided into five groups (five mice/group): control group,

JZA01 group (1 mg/kg), JZA02 group (1 mg/kg), IFN α group (1 mg/kg) and JZA00+IFN α group (1 mg/kg+0.2 mg/kg). All treatments were administered twice a week by tail vein injection from Day 0 to Day 36. Tumor volumes were calculated using the formula Eq. (5):

$$V = LW^2/2 \quad (5)$$

where L is longer diameter of tumor, W is shorter diameter of vertical direction. The mice were sacrificed 36 days after treatment, and tumors were prepared for immunohistochemistry (IHC) and immunofluorescence (IF) analysis. Formalin-fixed, paraffin-embedded tumor tissues were cut into 5 μ m sections. For IHC staining, the sections were incubated with anti-Ki67, anti-CD8 antibody (Cell Signaling Technology, Boston, MA, USA), then horseradish peroxidase-labeled secondary antibody and analyzed with the Vectastain ABC Kit (Dako, Copenhagen, Denmark). For IF staining, the sections were incubated with anti-IFN γ (Abcam, Cambridge, UK), and slides were mounted with Vectashield mounting media contained DAPI before being analyzed under a fluorescence microscope. Tumor sections were viewed and photographed using a Zeiss Axio Vert A1 microscope (Carl Zeiss, Thornwood, NY, USA).

All animal experiments were conducted under protocols approved by the Ministry of Health of the People's Republic of China (Document No. 55, 2001) and the Guidelines for the Care and in accordance with the Provision and General Recommendation of Chinese Experimental Animals Administration Legislation and approved by the Science and Technology Department of Jiangsu Province, China [SYXK (SU) 2016–0011].

2.11. *In vivo experimental model of liver metastasis of colorectal cancer*

BALB/c nude mice (4–6 weeks old) were fed in the SPF environment. Mice were anesthetized using 3.8% (*w/v*) chloral hydrate (10 μ L/g), and an incision was made in the middle of the abdomen. HCT-116-Luc-LM3 cells (5×10^6) that stably expressed luciferase were injected into mice spleen. A total of 35 surged mice were divided randomly into seven groups (five mice/group): PBS group, ramucirumab group (1.5 mg/kg), oxaliplatin group (5 mg/kg), JZA02 high dose group (1.5 mg/kg), JZA02 low dose group (1.0 mg/kg), JZA00 combined with IFN α group (1.5 mg/kg+0.2 mg/kg), and high dose JZA02 combined with oxaliplatin (1.5 mg/kg+5 mg/kg). Liver metastases were monitored using bioluminescence imaging. Briefly, anesthetized mice were injected intraperitoneally with 200 μ L of 15 mg/mL D-luciferin potassium salt (Beyotime, Shanghai, China) dissolved in sterile PBS. Bioluminescent images were acquired using an IVIS Imaging System (Xenogen, Alameda, CA, USA) 15 min after intraperitoneal injection of D-luciferin potassium salt. Analyses were performed with Living image software (Xenogen). At the end, mice were sacrificed, and the livers were used for Hematoxylin-eosin (HE) staining, IHC, and IF staining.

2.12. *Statistical analysis*

All data were indicated as mean \pm standard deviation (SD). Data were compared using the one-way ANOVA, and $P < 0.05$ was considered statistically significant. Analyses were performed using GraphPad Prism software (San Diego, CA, USA).

3. Results

3.1. *Construction and characterization of JZA02*

Overlap polymerase chain reaction (PCR) was used to produce IFN α mut with the mutations H57Y, E58N, and Q61S, and fused the IFN α mut to the carboxy terminus of the H chain of JZA00 using a G4S linker to obtain a fusion antibody, JZA02 (Fig. 1A and B). Non-reducing SDS-PAGE electrophoresis and Western blot analysis with anti-human IFN α confirmed that the protein was assembled correctly and was of the appropriate molecular weight (Fig. 1C).

3.2. *JZA02 had a higher affinity with IFNAR1 than JZA01 did*

Previously, JZA01 was shown specifically bound to VEGFR2²⁶. The binding affinity of IFNAR1 to immobilized JZA02 or JZA01 was evaluated by Biacore (GE), and the affinity was calculated using the 1:1 binding model (Fig. 1D). JZA02 exhibited high affinity for IFNAR1 ($K_D = 9.88 \times 10^{-9}$ mol/L), which was comparable to that of IFN α ($K_D = 4.58 \times 10^{-9}$ mol/L) and greater than that of JZA01 ($K_D = 5.20 \times 10^{-8}$ mol/L).

3.3. *JZA02 inhibited proliferation and promoted apoptosis of colorectal cancer cells*

To study the activity of JZA02, we evaluated its effects on inhibiting proliferation and promoting apoptosis of colorectal cancer cells. The selective cytotoxicity of JZA02 and JZA01 was assessed on VEGFR2-positive cell lines, such as HCT-116 and SW620, and VEGFR2-negative cell line HL-7702 by MTT assay. JZA02 and JZA01 exhibited significant anti-proliferative activity on HCT-116 and SW620 in a dose-dependent manner, and the inhibition in growth of the two cell lines treated with JZA02 was higher than JZA01 and was similar to that of IFN α (Fig. 1E). Caco-2 cells and HT-29 were treated with the same procedure, and the result was similar to that with HCT-116 and SW620 cells (Supporting Information Fig. S1A), but JZA02 and JZA01 showed no cytotoxicity in normal cell line HL-7702 (Fig. 1E). To evaluate the ability of JZA02 and JZA01 to induce CRC apoptosis, HCT-116 and SW620 cells were treated with 100 nmol/L JZA02, JZA01, or 200 nmol/L IFN α for 48 h and examined by flow cytometry following Annexin V/PI staining (Fig. 2A). There was more apoptosis following the 72-h treatment with 100 nmol/L JZA02 than with 100 nmol/L JZA01 for both cell lines (Fig. 2B and Fig. S1B). In conclusion, JZA02 was more effective than JZA01 and IFN α in inhibiting proliferation and in promoting apoptosis of VEGFR2-positive cell lines, which might be attributed to the non-targeting property of free recombinant IFN α . No significant difference was observed between treatments using VEGFR2-negative cell line HL-7702.

3.4. *JZA02 treatment enhanced dendritic cell maturation and activity*

To compare the immune activation function of JZA01 and JZA02, cells were treated with 100 nmol/L for 48 h, and the MHC class I expression was evaluated. For both HCT-116 and SW620 cell lines, expression of MHC I treated with JZA02 was higher than in the PBS-, JZA01-, or IFN α -treated groups, and the expression of MHC I on the surface of both cell lines was similar after JZA01 or IFN α treatment (Fig. 2C and D). There was a small increase in MHC class II expression after JZA02, JZA01, or IFN α treatments

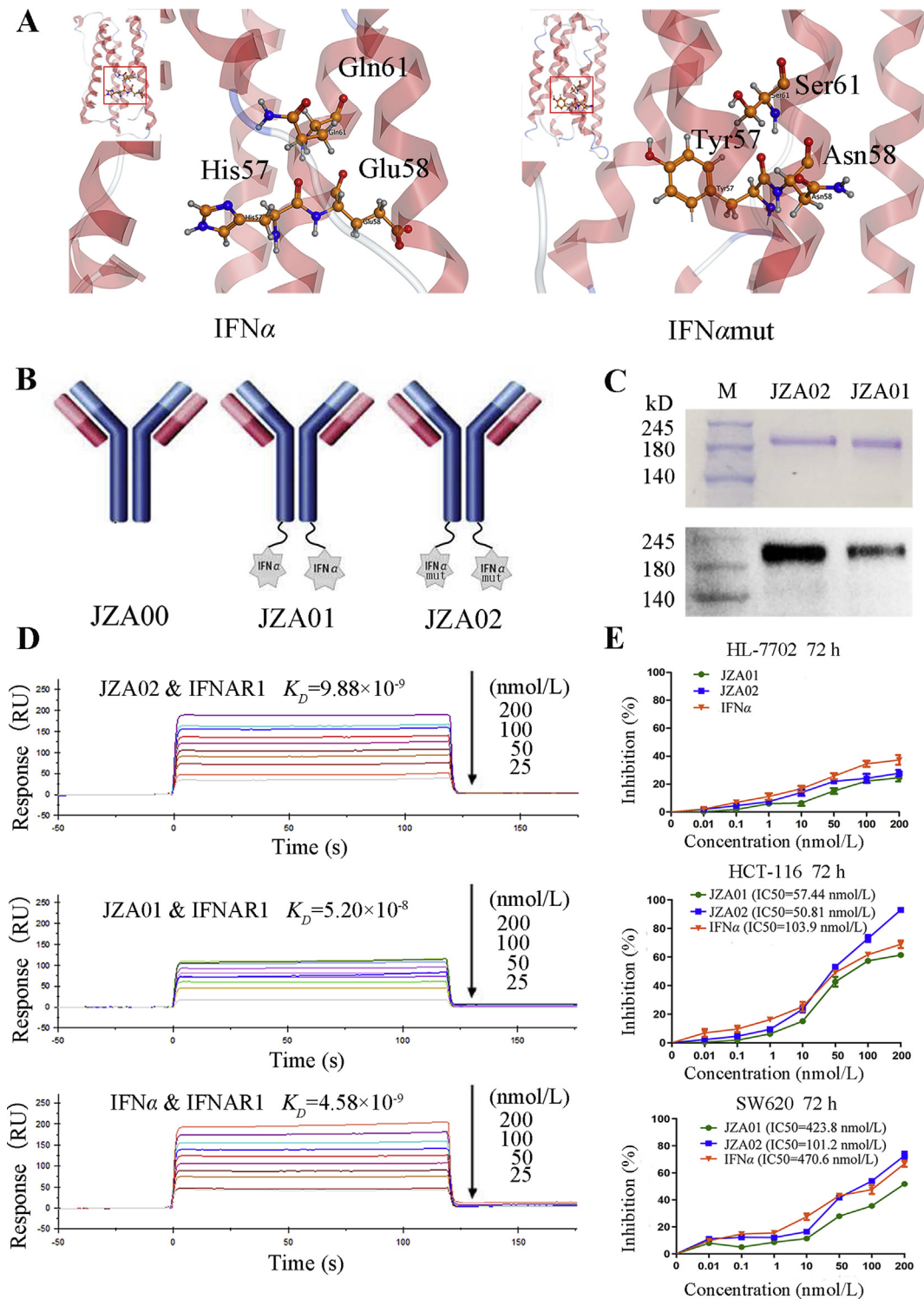


Figure 1 Construction and characterization of JZA02. (A) 3-D structural model of IFN α and IFN α mut. MOE was used to build the 3-D model of IFN α and IFN α mut. (B) Diagram of JZA01 and JZA02. IFN α or IFN α mut was linked to the C-terminal of the H-chain of JZA00 with a G4S linker using overlap PCR. (C) SDS-PAGE analysis of JZA02 followed by either Coomassie blue staining or Western blot analysis with anti-IFN α antibody show that it was intact and assembled properly. (D) The binding affinity of immobilized JZA02, JZA01, or IFN α with IFNAR1 was determined with the Biacore system. The association rate increased with increasing concentration of the IFNAR1 (from bottom to top), which ranged from 0.78125 to 200 nmol/L. The complex dissociated when buffer was flowed through for 120 s. (E) HL-7702 cells, HCT-116 cells, and SW620 cells were treated with varying concentrations of IFN α , JZA01, or JZA02 for 72 h, respectively, and a MTT assay was used to detect the surviving cells. Inhibition of proliferation was plotted relative to the proliferation of untreated controls which was set to 100%.

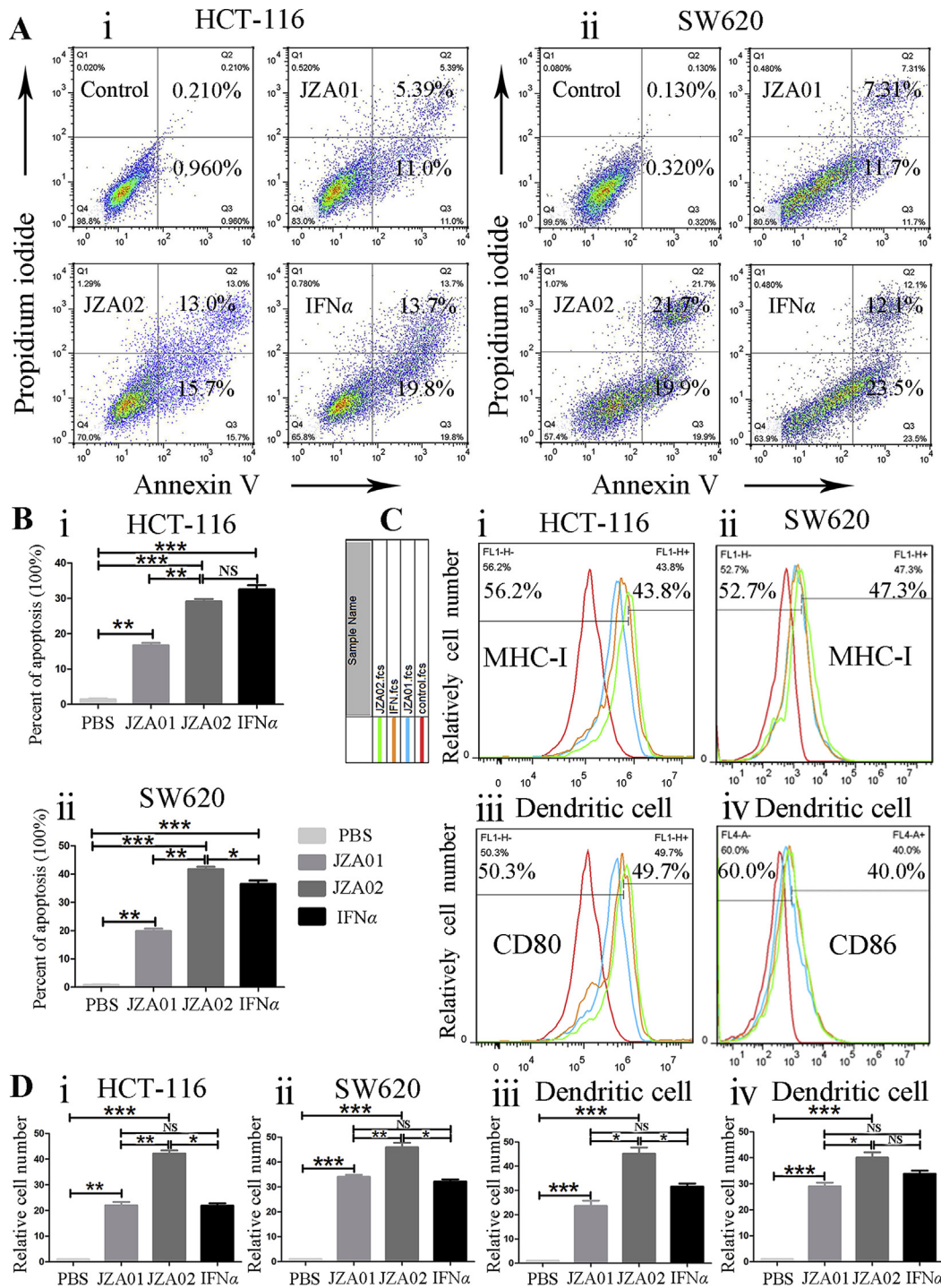


Figure 2 JZA02 promoted apoptosis and enhanced the maturation and activity of dendritic cells. (A) JZA02 induced apoptosis of HCT-116 and SW620. HCT-116 and SW620 cells were incubated with 100 nmol/L JZA01, 100 nmol/L JZA02, or 200 nmol/L IFN α for 48 h and analyzed by flow cytometry following staining with Annexin V-FITC and PI. (B) Annexin V positive cells in Q2 and Q3 were considered apoptotic and are shown quantitatively as the mean \pm SD, $n = 3$; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. (C) Flow cytometry assay of the expression of MHC class I of HCT-116 and SW620 cells under different treatments (JZA01 100 nmol/L, JZA02 100 nmol/L, or IFN α 200 nmol/L) for 48 h. Immature dendritic cells were isolated from non-activated hPBMCs and incubated under different treatments for 72 h. The expressions of CD80 and CD86 were detected by flow cytometry assay. The red, green, orange, and blue lines represent PBS treatment, JZA02 treatment, IFN α treatment, and JZA01 treatment, respectively. (D) The results in (C) are presented as the mean \pm SD, $n = 3$; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

(Fig. S1C). To explore the ability of JZA02 to activate dendritic cells, immature dendritic cells were separated from mononuclear cells that were isolated from hPBMCs, and were cultured in AIM-V media which contained IL-4 (800 U/mL) and GM-CSF (150 ng/mL) for 4 days. These cells were then incubated with JZA02 (50 nmol/L), JZA01 (50 nmol/L), or IFN α (100 nmol/L) for 48 h. Flow cytometry was then used to detect the expression of CD80, CD86 (Fig. 2C), MHC-I, and MHC-II (Fig. S1C) on the surface of dendritic cells. We observed that the expressions of CD80, CD86, and MHC-I on the surface of dendritic cells treated with JZA02 was higher than that treated with JZA01 or IFN α , and there was no significant difference between the JZA01-treated group and the IFN α -treated group. In conclusion, following treatment with JZA02, colorectal tumor cells and dendritic cells expressed more MHC class I and JZA02 promoted dendritic cell maturation to a greater extent than the JZA01- or IFN α -treated groups.

3.5. JZA02 inhibited migration and invasion of colorectal cancer cells

HCT-116 cells were cultured in 6-well plates until adherent, and then a 1 mL tip was used to draw a straight line in the middle of each well before adding 100 nmol/L JZA02, 100 nmol/L JZA01, or 200 nmol/L IFN α . Photographs were taken at 0, 6, 12, and 24 h using an OLYMPUS inverted microscope at 100 \times magnification. JZA02 exhibited more effective inhibition of migration of HCT-116 cells than JZA01, but it was not more effective than IFN α (Fig. 3A and Fig. S1D). Additionally, in the Transwell invasion assay, the inhibition of HCT-116 cells treated with JZA02 was higher than that treated with JZA01 and IFN α using the same concentrations (Fig. 3B). In conclusion, JZA02 was more effective than JZA01 in inhibiting the invasion and migration of tumor cells HCT-116.

3.6. JZA02 suppressed tumor growth and influenced the tumor microenvironment in HCT-116-bearing nude mice

To evaluate the efficacy of JZA02 in inhibiting colorectal tumor growth compared with JZA01 and IFN α , 1×10^7 HCT-116 cells were inoculated subcutaneously in the armpit of BALB/c nude mice, and the tumor-bearing mice were treated twice a week with JZA02 (1 mg/kg), JZA01 (1 mg/kg), IFN α (0.2 mg/kg) or IFN α combined with JZA00 (0.2 mg/kg+1 mg/kg). At the same time, 5×10^6 hPBMCs from healthy donors were injected into the tumor-bearing mice once a week, and the tumor volume was measured during the treatment. At the end of the treatment, the growth of colorectal tumors was most inhibited in the JZA02-treated group (89.45%). JZA01 and IFN α reduced tumor burdens by 78% and 48% at a dose of 1 mg/kg, respectively, and JZA00 (1 mg/kg) combined with IFN α (0.2 mg/kg) reduced tumor burdens by 60%. Growth inhibition of the different treatment groups varied, but the maximum growth inhibition occurred in the JZA02 group (Fig. 4A). The detailed graph of tumors and the data for mice and tumor weights are shown in Supporting Information Fig. S2A–S2C. In addition, IHC shows that there was a significant decrease in the expression of cell proliferation marker Ki-67 in JZA02-treated tumors compared with other groups (Fig. 4B), and the infiltration of CD8 $^+$ T cells into tumors in mice treated with JZA02 was greater than in mice treated with JZA01, IFN α , or IFN α +JZA00 (Fig. 4C). Thus, the treatment influenced the TME.

3.7. JZA02 treatment attenuated colon cancer liver metastasis in a nude mouse model

Metastasis remains a major problem in CRC. To determine if JZA02 had anti-metastasis efficacy, a colon cancer liver metastasis model was established in nude mice. The liver is the most common site for CRC metastasis, and intrasplenic injections recapitulate the process of liver metastasis. For this metastasis model, we generated stable HCT-116 clones that expressed firefly luciferase (HCT-116-Luc) constitutively, and obtained a highly metastatic tumor variant by three subsequent transplantations of liver metastatic clones, which was named variant HCT-116-Luc-LM3.

For the splenic injection metastasis model, 5×10^6 HCT-116-Luc-LM3 cells were injected into the spleen of nude mice, and these mice were kept in a SPF environment. A week following surgery, bioluminescence signals were obvious in the mice (Fig. 5A). Then, these mice were assigned randomly to groups (5 mice/group) to receive various treatments. After 21 days of treatment, bioluminescent imaging was used to evaluate the growth and metastasis of the HCT-116-Luc-LM3 cells to the liver. The bioluminescent signal in the JZA02 high dose group and the JZA02+oxaliplatin group was lower and, therefore, the growth and metastasis of CRC cells to the liver reduced in these two groups compared with that treated with ramucirumab, oxaliplatin, or JZA00+IFN α (Fig. 5A). In addition, the high dose JZA02 treatment was more effective in reducing the growth and metastasis of cancer cells than the low dose JZA02 treatment. At the end of treatment, every mouse was dissected and the liver removed to observe the metastatic nodes. The result is consistent with the bioluminescent images (Fig. 5B). In conclusion, JZA02 inhibited the growth of colorectal tumor and reduced metastasis to the liver of the colon cancer *in vivo*.

3.8. JZA02 treatment influenced the tumor microenvironment in the liver and regulated the metastasis index of tumor cells in the liver

In this study, we demonstrate that JZA02 treatment inhibited tumor growth and attenuated metastasis of colon cancer to the liver. To determine if JZA02 altered the TME to reduce metastasis to the liver, immunofluorescence was used to determine the infiltration of CD8 $^+$ T cells and the expression of IFN γ in the mouse liver. Greater infiltration of CD8 $^+$ T cells were found in the JZA02-treated group compared with PBS-treated animals (Fig. 5C). In addition, we used immunohistochemistry and Western blot assay to determine the expression of metastasis-associated proteins in the liver of mice treated with high dose JZA02 compared with the PBS-treated group (Fig. 6A and B, and Fig. S2D). JZA02 increased the expression of E-cadherin and decreased the expression of MMP-9, vimentin, snail, and β -catenin. In summary, JZA02 may have activated the immune system to regulate the expression of the proteins that were associated with metastasis to inhibit metastasis of colorectal cancer to the liver.

3.9. JZA02 inhibited the activation of the PI3K–AKT–GSK3 β –snail signal pathway and regulated the metastasis-associated index in colorectal cancer cells

In the process of cancer development and metastasis, E-cadherin, MMP-9, vimentin, and β -catenin play significant roles in cell migration, invasion, and cell-matrix adhesion. Therefore, the

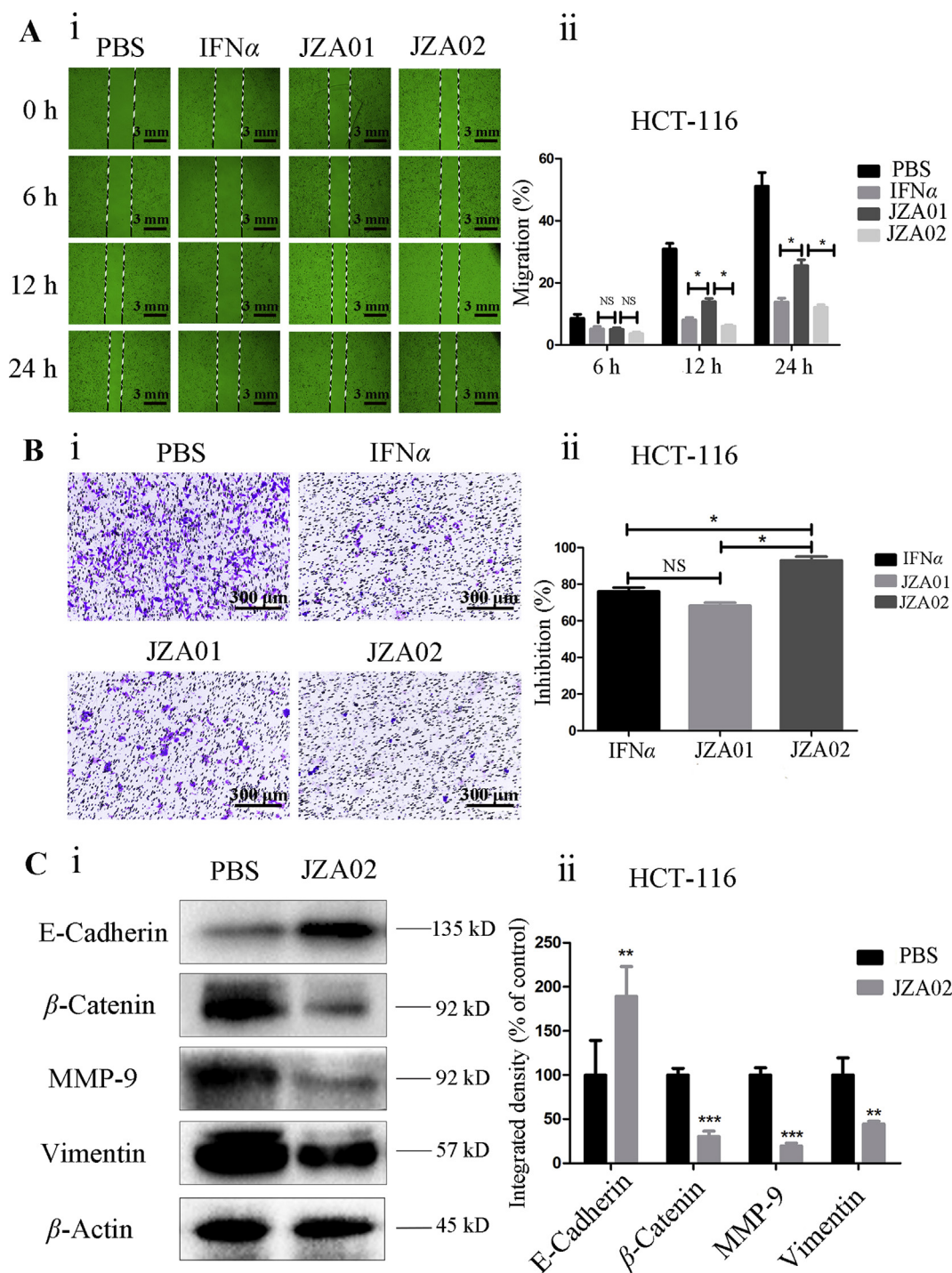


Figure 3 JZA02 inhibited migration and invasion of HCT-116 cells and regulated the EMT of HCT-116 cells. (A) For the scratch test, HCT-116 cells were incubated in 24-well plates with JZA01, JZA02, or IFN α and photographed at 0, 12, and 24 h. The migration lengths were measured by Image-Pro Plus 6.0 (i) and the data (ii) are presented as the mean \pm SD, $n = 3$; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. (B) Photomicrographs (i) and quantitative analysis (ii) of a Transwell invasion assay indicated that JZA02 suppressed the invasion of HCT-116 cells better than IFN α or JZA01. The count of invasive cells treated with different drugs was measured by Image-Pro Plus 6.0. Inhibition (%) = [(control-experiment)/control] \times 100. (C) Western blot analysis. (i) Western blot analysis for the EMT index of HCT-116 cells that underwent treatment with PBS and JZA02. (ii) Gray scanning and data statistics of (i) based on the integrated density of β -actin. Data are presented as the mean \pm SD, $n = 3$; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

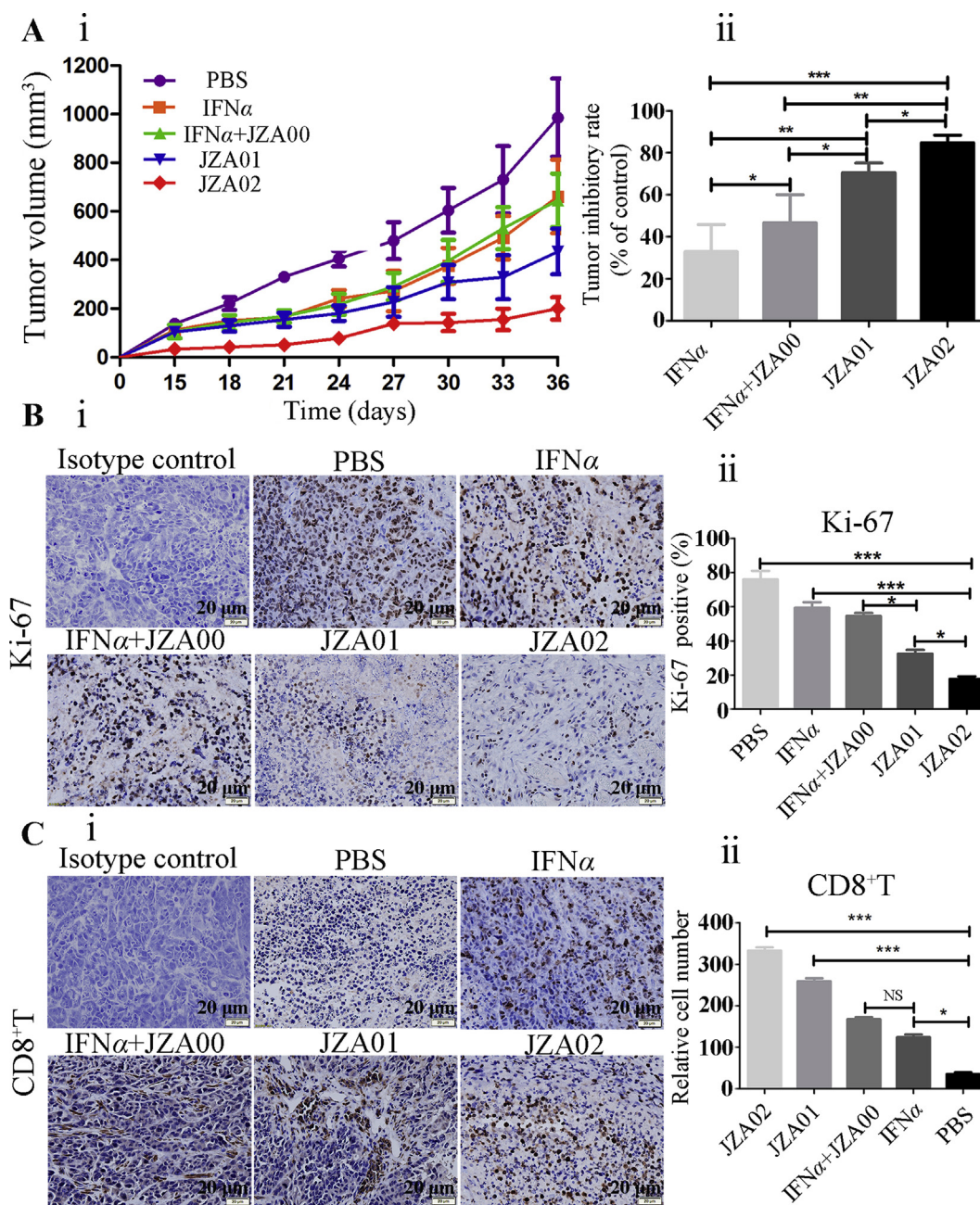


Figure 4 JZA02 had superior antitumor efficacy in HCT-116-bearing nude mice and influenced the tumor microenvironment. (A) (i) Female nude mice 4–6 weeks of age ($n = 5$ /group) were injected in the left armpit with 5×10^6 HCT-116 cells and 1×10^7 unstimulated hPBMCs and then were treated with JZA01 (1 mg/kg), JZA02 (1 mg/kg), IFN α (0.2 mg/kg), or JZA00+IFN α (1 mg/kg+0.2 mg/kg) twice a week, and tumor volumes were monitored for 36 days. (ii) Tumor inhibition of different groups. The data for tumor volumes are given as the mean \pm SD ($n = 5$). (B) (i) Expression of Ki-67 in paraffin sections of xenografted tumor identified with an anti-Ki-67 antibody (brown staining). After 36 days of treatment, tumors were harvested for detected. (ii) The tumor cells that expressed Ki-67 were counted with Image-Pro-Plus. Ki-67 positive (%) = (cells that expressed Ki-67/total cells) \times 100. (C) (i) The infiltration of CD8⁺ T cells was identified with an anti-CD8 antibody (brown staining). (ii) Semiquantitative and statistical analysis of the infiltration of CD8⁺ T cells. The relative number of CD8⁺ T cells was calculated with Image-Pro-Plus. All pictures were photographed by an inverted OLYMPUS microscope at 400 \times magnification.

effect of JZA02 on the expression of E-cadherin, MMP-9, vimentin, and β -catenin in HCT-116-Luc-LM3 and SW620 cells was determined by Western blot. JZA02 increased the expression of E-cadherin, but reduced the expression of MMP-9, vimentin, and β -catenin in HCT-116 and HCT-116-Luc-LM3 cells (Figs. 3C and 6B). We also found that JZA02 inhibited the expression of

snail, an epithelial–mesenchymal transition (EMT)-related transcription factor. So, these results suggest that JZA02 might target transcriptional and posttranslational modifications of snail to regulate the expression of proteins that were associated with cell invasion and migration. Studies of signal transduction pathways have demonstrated that PI3K/AKT and GSK-3 β were involved

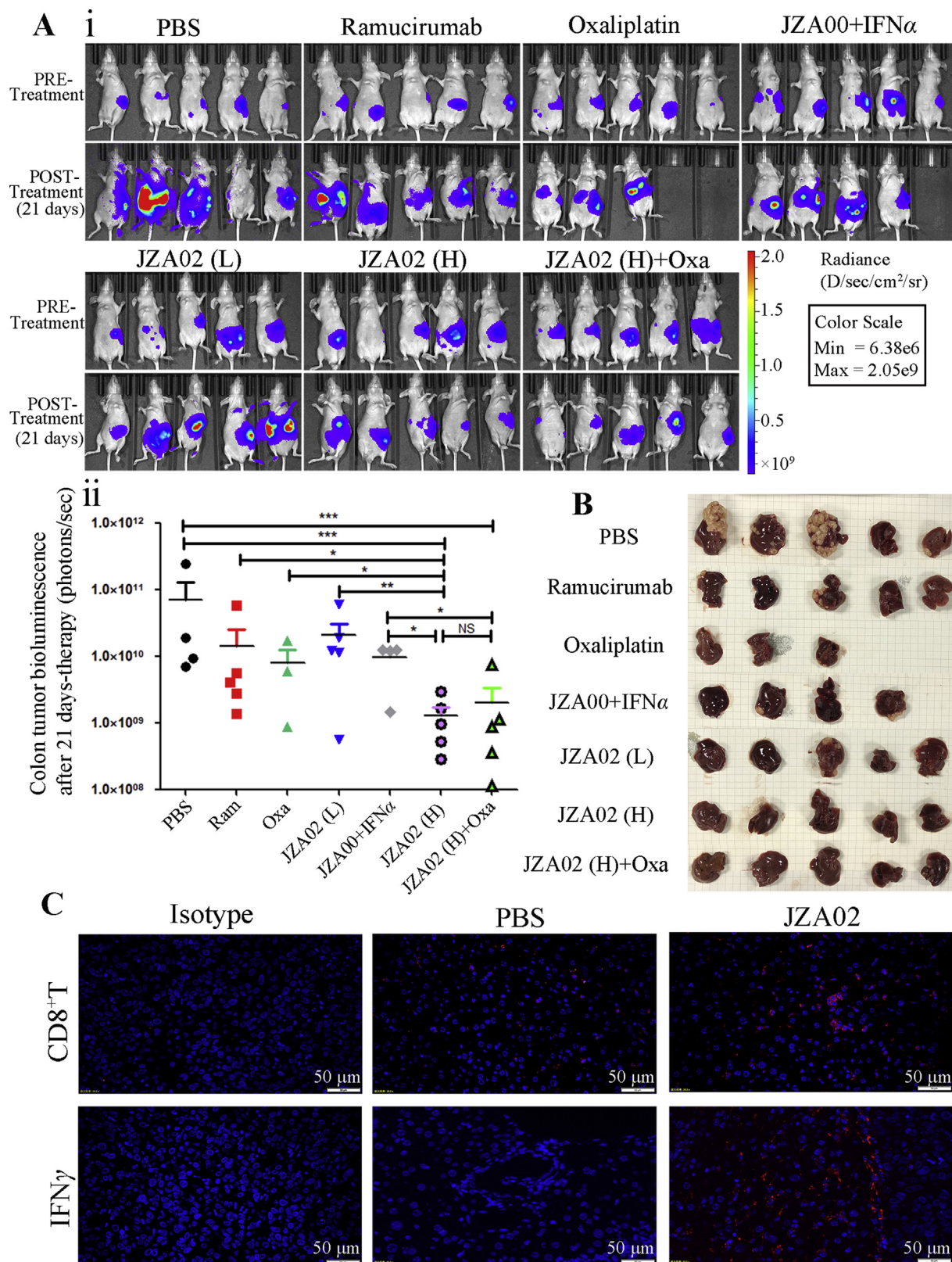


Figure 5 JZA01 had superior ability to prevent metastasis in nude mice model for liver metastasis of colorectal cancer by influencing the tumor microenvironment. (A) (i) Mice (4–6 weeks of age) were anesthetized using 3.8% (*w/v*) chloral hydrate (10 μ L/g), and an incision was made in the middle of the abdomen. 5×10^6 HCT-116-Luc-LM3 cells stably expressing firefly luciferase were injected into their spleens. After 1 week, an IVIS Imaging System was used to assess the success of engraftment and mice were divided into different treatment groups. After 21 days of treatment, an IVIS Imaging System was used to determine the extent of tumor growth (the POST-Treatment group). (ii) Tumor growth was quantified by bioluminescent imaging. (B) Livers from every group were harvested and photographed. (C) The infiltration of CD8⁺ T cells and expression of IFN γ in livers of mice was identified with an anti-CD8 antibody (red staining) and an anti-IFN γ antibody (red fluorescence). All pictures were photographed by an inverted OLYMPUS microscope at 400 \times magnification.

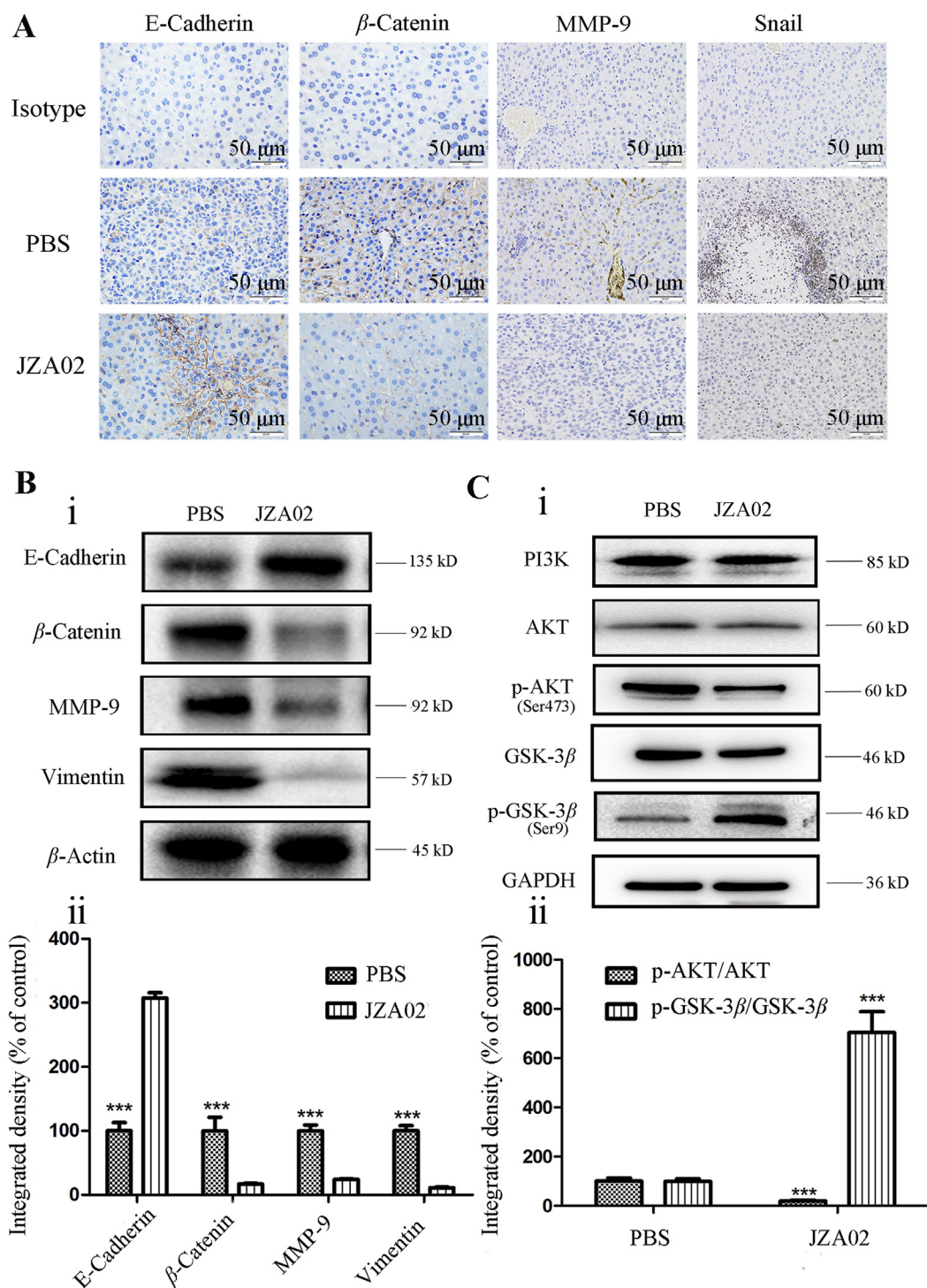


Figure 6 Changes in protein expression associated with the effect of JZA02 treatment on metastasis. (A) IHC that was used to detect the index associated with metastasis in the liver of mice that underwent different treatments. (B) (i) Western blot that was used to detect the index associated with metastasis in the liver of mice undergoing different treatments. (ii) Gray scanning and data statistics of (i) based on the integrated density of β -actin. Data are presented as the mean \pm SD, $n = 3$; $*P < 0.05$, $**P < 0.01$, $***P < 0.001$. (C) (i) Western blot analysis for p-AKT/AKT and p-GSK3 β /GSK3 β in HCT-116-Luc-LM3 cells with treatments of PBS and JZA02. (ii) Gray scanning and data statistics of (i) based on the integrated density of β -actin. Data are presented as the mean \pm SD, $n = 3$; $*P < 0.05$, $**P < 0.01$, $***P < 0.001$.

with the regulation of snail expression at the level of transcription. In our study, JZA02 inhibited the expression of p-AKT and promoted the expression of p-GSK-3 β , but the total amount of AKT and GSK-3 β was constant, and the expression of snail was

inhibited (Fig. 6C and Fig. S2E). GN25 (a novel inhibitor of snail–P53 binding) and JZA02 can suppress the migration and invasion of HCT-116 cells as showed in Fig. S2E. In summary, it appeared that JZA02 inhibited the activation of the

PI3K–AKT–GSK3 β signal pathway to downregulate and to suppress snail expression and, therefore, prevented tumor metastasis by interfering with EMT.

4. Discussion

Liver metastasis of colorectal cancer is an urgent medical problem clinically^{34–37}. Some reports have shown that the metastasis of tumors was associated with the tumor microenvironment^{19–22}. So we speculated that therapies that regulate the TME effectively may influence the metastasis of tumors.

IFN α exhibited efficacy in cancer therapy by inhibiting tumor development and metastasis, in part, because of its regulation of the TME^{24,25,38–42}. But major limitations for IFN α therapy are systemic toxicity and a short *in vivo* half-life⁴³, although many strategies have been used to address this problem. For example, IFN α has been coupled with PEG, albumin, or the antibody Fc fragment, but these approaches did not eliminate serious systemic toxicity^{44–46}. In contrast, Ab–IFN α fusion protein may be a solution for this problem. Anti-CD20-IFN α had both improved pharmacokinetics and tumor-targeting in the absence of non-specific toxicity^{47,48}. Based on these findings, in our previous study, we fused IFN α with the anti-VEGFR2 antibody that yielded a novel fused antibody, JZA01, which had significant anti-cancer activity *in vitro* and *in vivo*³².

It has been reported that IFNs, which has a higher affinity for IFNAR, may be a better anticancer therapeutic; IFN α mut has shown excellent anti-tumor properties in a previous study³³. Therefore, we mutated the IFN α to increase its affinity for IFNAR1 and fused IFN α mut with JZA00 to produce another fusion antibody, JZA02. At first, we verified that JZA02 exhibited higher affinity for IFNAR1 than did JZA01. Then, we found that JZA02 was more effective than JZA01 in inhibiting colorectal cell proliferation, in inducing colorectal cell apoptosis *in vitro*, and in promoting dendritic cell maturation and activity *in vivo*. JZA02 also promoted colorectal cancer cells HCT-116 and SW620 to increase their expression of MHC-I. In addition, in HCT-116 tumor-bearing nude mice, JZA02 exhibited superior anti-tumor efficacy compared with JZA01 and IFN α . Subsequent IHC and IF analyses showed that JZA02 was more effective than IFN α and JZA01 in stimulating the release of cytokines and the infiltration of CD8⁺ T cells in the tumor, which suggested it had improved efficacy to regulate the TME *in vivo* in agreement with what we observed *in vitro*. Taken together, JZA02 that contained a mutant IFN α with a higher affinity for IFNAR1 showed improved anti-tumor properties.

Once we had demonstrated that JZA02 regulated the TME effectively, we speculated that JZA02 with its functions of both anti-angiogenesis and regulation of TME might reduce liver metastasis of colorectal cancer. Indeed, we found this to be the case in the mouse model of colorectal cancer liver metastasis, where JZA02 inhibited the activation of the PI3K–AKT–GSK3 β signal pathway that led to downregulation of the expression of snail, an EMT-related transcription factor. The downregulation of snail interfered with EMT through the regulation of the expression of E-cadherin, MMP-9, vimentin, and β -catenin. Thus, JZA02 exhibited significant efficacy in preventing metastasis. In future studies, we will attempt to identify the specific genes that determine the metastasis of colorectal cancer cells, which may be highly expressed in HCT-116-Luc-LM3.

Overall, this study has some important implications for the field of antitumor immune therapy. JZA02, in which JZA00 was fused with IFN α mut through G4S linker, possessed higher affinity for IFNAR1 compared with JZA01 that contained wild-type IFN α . By regulating the TME, JZA02 exhibited significant anti-metastasis activity. Our hope is that it may provide an approach to address the promotion of the migration and invasion of cancer cells that a treatment with only a VEGF/VEGFR2 inhibitor entails. Collectively, this study provides a new approach for the treatment of colorectal cancer, especially when the cancer has spread to the patient's liver.

5. Conclusions

Our study illuminated that anti-VEGFR2-IFN α mut (JZA02) enhanced the infiltration of CD8⁺ T cells in the TME and promoted the maturation of dendritic cells. At the same time, it also inhibited the activation of the PI3K–AKT–GSK3 β signal pathway. It possessed anti-tumor and anti-metastasis properties. This design, which fused the anti-VEGFR2 antibody with IFN or its mutant, broadens the use of therapy for cancer based on anti-angiogenesis. This can provide us with some insights for the design of promising cancer immunotherapies in the future.

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Author contributions

Conception and design: Juan Zhang; development of methodology: Pengzhao Shang, Rui Gao, Yijia Zhu, Xiaorui Zhang, Yang Wang, and Hui Peng; acquisition of data: Pengzhao Shang, Rui Gao, Xiaorui Zhang, and Yang Wang; analysis and interpretation of data: Pengzhao Shang, and Rui Gao; writing, review, and/or revision of the manuscript: Pengzhao Shang, Rui Gao, Juan Zhang, and Min Wang; study supervision: Juan Zhang.

Conflicts of interest

The authors declare no potential conflicts of interest.

Appendix A. Supporting information

Supporting data to this article can be found online at <https://doi.org/10.1016/j.apsb.2020.09.008>.

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