

# Factors Affecting the Decision to Initiate **Anticoagulation After Spine Surgery: Findings From the AOSpine Anticoagulation Global Initiative**

Global Spine Journal 2022, Vol. 12(4) 548-558 © The Author(s) 2020 Article reuse guidelines: sagepub.com/iournals-permissions DOI: 10.1177/2192568220948027 journals.sagepub.com/home/gsj



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## Abstract

Study Design: Cross-sectional, international survey.

**Objectives:** To identify factors influencing pharmacologic anticoagulation initiation after spine surgery based on the AOSpine Anticoagulation Global Survey.

**Methods:** This survey was distributed to the international membership of AOSpine (n = 3805). A Likert-type scale described grade practice-specific factors on a scale from low (1) to high (5) importance, and patient-specific factors a scale from low (0) to high (3) importance. Analysis was performed to determine which factors were significant in the decision making surrounding the initiation of pharmacologic anticoagulation.

**Results:** A total of 316 spine surgeons from 64 countries completed the survey. In terms of practice-specific factors considered to initiate treatment, expert opinion was graded the highest (mean grade  $\pm$  SD = 3.2  $\pm$  1.3), followed by fellowship training (3.2  $\pm$  1.3). Conversely, previous studies (2.7  $\pm$  1.2) and unspecified guidelines were considered least important (2.6  $\pm$  1.6). Patient body mass index (2.0  $\pm$  1.0) and postoperative mobilization (2.3  $\pm$  1.0) were deemed most important and graded highly overall. Those who rated estimated blood loss with greater importance in anticoagulation initiation decision making were more likely to

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administer thromboprophylaxis at later times (hazard ratio [HR] = 0.68-0.71), while those who rated drain output with greater importance were likely to administer thromboprophylaxis at earlier times (HR = 1.32-1.43).

**Conclusion:** Among our global cohort of spine surgeons, certain patient factors (ie, patient mobilization and body mass index) and practice-specific factors (ie, expert opinion and fellowship training) were considered to be most important when considering anticoagulation start times.

#### **Keywords**

timing, anticoagulation, antiplatelet, epidural hematoma, deep vein thrombosis, pulmonary embolism, initiation, pharmacologic

## Introduction

Venous thromboembolism (VTE) is a preventable cause of perioperative morbidity and mortality after spine surgery, with a variable reported incidence of 0.3% to 31%.<sup>1-7</sup> This wide range of VTE after spine surgery can be explained by the variation in indication for spine surgery, diverse patient populations, as well as lack of consistency in the method and timing of diagnosis. Given the heterogeneity of medical comorbidities, spinal pathology, and surgical techniques, a need for patient-specific anti-coagulation guidelines is mounting.

Both pharmacologic and non-pharmacologic methods of VTE prophylaxis are available after spine surgery. Early patient mobilization, sequential compression devices (SCDs), and compression stockings are common non-pharmacologic approaches to VTE prophylaxis.<sup>4,8,9</sup> Pharmacologic VTE prophylaxis consists of agents that target platelet function or other various points in the coagulation cascades.<sup>10-13</sup> Examples of such medications include aspirin, heparin, low-molecular-weight heparin (LMWH), and warfarin. These agents can provide powerful prophylaxis against one potentially devastating complication (VTE), but their use must be balanced against equally significant adverse events, including epidural hematoma, excessive blood loss, and persistent wound drainage with subsequent infection.<sup>14-16</sup>

There are currently no widely accepted global guidelines regarding timing of initiation of perioperative VTE prophylaxis for patients undergoing spine surgery. Although some studies have made recommendations regarding timing of the start of anti-coagulation and anti-platelet medications, as well as created recommendations in patients undergoing spine surgery for spinal cord injury, these have been limited by small sample sizes that prevent extensive assessment of risk factors and heterogeneity among anti-coagulation methods.<sup>17-21</sup> In 2009, the North American Spine Society (NASS) released clinical guidelines based on the current body of evidence related to anti-thrombotic therapies in spine surgery; however, thus far, these have failed to capture input from a global perspective and have yet to be adopted worldwide.<sup>12</sup>

Given the growing need for consensus surrounding recommendations regarding perioperative anticoagulation management in spine surgery, we conducted a global survey of spine surgeons within the AOSpine network to gauge their knowledge, attitudes and practices on this topic. Our preliminary findings have been reported by Louie et al.<sup>22</sup> With that serving as a foundation, the specific focus of this study was to identify factors that contribute to the decision making of the initiation of anticoagulation after spine surgery among a global group of spine surgeons. We hypothesized that the survey responses will show heterogeneity in anticoagulation start times, with few instances of consensus of important patient or surgical factors influencing initiation decisionmaking.

## Methods

## Survey Design

AOSpine Anticoagulation Global Survey methodology and overall findings are detailed in Louie et al.<sup>22</sup> In brief, a survey questionnaire was developed and included surgeon demographics, rationale for anticoagulation following spine surgery, risk stratification, the use of published/unpublished guidelines to guide treatment, and the use of multidisciplinary teams. This survey was distributed to the AOSpine membership that opted to receive such requests via email (n = 3805 members out of approximately 6000 members).

The specific focus section assessed respondent demographics and perioperative factors that affect the timing of anticoagulation prophylaxis based on medical comorbidities and previous episodes of thrombosis and/or embolus. Survey respondents were queried to grade the influence of various practice-specific (fellowship training, expert opinion, previous studies, unspecified guidelines) and perioperative specific considerations (length of procedure, number of operative levels, estimated blood loss [EBL], drain output, patient mobilization, body mass index [BMI]) when making decisions regarding anticoagulation initiation. Practitioners were asked to utilize a Likert-type scale to grade practice-specific factors on a scale from low (1) to high (5) importance, and patient-specific factors a scale from low (0) to high (3) importance.<sup>23</sup>

Respondents were then asked to report their postoperative day of anticoagulation initiation in a series of hypothetical patient scenarios. Twenty-five possible scenarios were assessed and included patients who were either anticoagulation naive or currently being medicated with aspirin, warfarin, or another pharmacologic agent with or without a history of deep venous thrombosis (DVT), pulmonary embolism (PE), atrial fibrillation, coronary artery disease (CAD), placement of a cardiac valve, or placement of a cardiac stent. For scenarios where patients were taking pharmacologic anticoagulation, possible responses ranged from postoperative day 0 to beyond postoperative day 5. For patients not previously taking anticoagulant medication, possible responses ranged from postoperative day 0 to beyond postoperative day 7.

## Statistical Analyses

All statistical analyses were performed with Stata version 13.1 (StataCorp LLC). Interpretation and categorization of all freeresponse survey answers were made by one independent reviewer to group similar categories for analyses. Calculation of percentages and means was made for count data and rankorder questions, respectively. Hypothetical patient scenarios were further stratified to evaluate timing of thromboprophylaxis for history of specific conditions and individual medications, with survey responses right censored to the final postoperative day response. Kaplan-Meier and log-rank test analysis was used to assess individual variables for importance in influencing timing to postoperative anticoagulation. All variables were then fitted to a series of Cox proportional hazards models to further evaluate the hazard ratio (HR) and associated P values and 95% confidence intervals (CIs) for each factor considered. Higher HRs corresponded to earlier initiation of anticoagulation. Model covariates included age, specialty, fellowship, years since completion of fellowship training, geographic region, practice type (academic, private, combined), annual practice case volume, and all queried practice-specific (fellowship training, expert opinion, previous studies, unspecified guidelines, other specialty involvement) and perioperative-specific considerations (procedure length, number of operative levels, EBL, drain output, patient mobilization, and patient BMI). Proportional hazards assumptions were met and validated using Schoenfeld residuals. The threshold for statistical significance for all tests was established at P < .05.

#### Results

We have previously reported the initial findings from The AOSpine Anticoagulation Global Survey regarding the demographics of the respondents.<sup>22</sup> Briefly, 316 spine surgeons from 64 countries completed the survey (Table 1). When stratified by continent, Europe had the largest survey representation (31.7%), South America/Latin America (19.9%), and Asia (18.4%). Respondents were between the ages of 35 and 44 (42.1%) or 45 to 54 (27.2%) years, and were typically fellowship-trained (74.7%), and orthopedic surgeons (65.5%). Most were within 5 years (26.4%) or 5 to 10 years (23.1%) of completing their training, and practiced at academic (39.6%) or combined private/academic institutions (46.2%). The vast majority of respondents performed an estimated 101 to 200 cases per year (35.4%).

Regarding general practice-specific factors considered during initiation of anticoagulation therapy, expert opinion was considered most important (mean grade  $\pm$  SD = 3.2  $\pm$  1.3), followed by fellowship training (mean grade: 3.2; SD  $\pm$  1.3). Conversely, previous studies (mean grade: 2.7; SD  $\pm$  1.2) and unspecified

Table 1. Survey Respondent Demographics
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Demographic Total Age (years) 25-34 35-44 45-54	n 316 43	% 100
Age (years) 25-34 35-44 45-54	43	100
25-34 35-44 45-54		
25-34 35-44 45-54		
45-54		13.6
	133	42.1
FF //	86	27.2
55-64	44	13.9
<u>≥</u> 65	10	3.2
Specialty		
Orthopedics	207	65.5
Neurosurgery	102	32.3
Trauma	7	2.2
Fellowship trained		
No	80	25.3
Yes	236	74.7
Years posttraining		
<5	81	26.4
5-10	71	23.1
10-15	57	18.6
15-20	46	15.0
>20	52	16.9
Continent		
North America	43	13.6
Europe	100	31.7
Asia	58	18.4
South America/Latin America	63	19.9
Middle East	32	10.1
Africa	12	3.8
Australia	8	2.5
Practice type	•	
Academic	125	39.6
Private	45	14.2
Both	146	46.2
Volume (cases/year)		10.2
<100	79	25.0
100-200	112	35.4
200-300	61	19.3
300-400	34	10.8
400-500	12	3.8
>500	12	5.7

guidelines were considered least important (mean grade: 2.6; SD  $\pm$  1.6). For patient-specific factors, most variables considered were graded with similar importance. Drain output (mean grade: 1.2; SD  $\pm$  1.0) and number of operative levels (mean grade: 1.2; SD  $\pm$  1.0) were considered least important, followed by EBL (mean grade: 1.2; SD  $\pm$  1.0) and procedure length (mean grade: 1.3; SD  $\pm$  1.1). Patient BMI (mean grade: 2.0; SD  $\pm$  1.0) and postoperative mobilization (mean grade: 2.3; SD  $\pm$  1.0) were deemed most important and graded highly overall. These results are further illustrated in Table 2.

When accounting for multiple factors using a Cox proportional hazards model approach, EBL and drain output were the only factors significantly associated with the provided scenarios that included both patient history (e.g. DVT, PE, CAD, etc) as well as previous anticoagulant use (e.g. warfarin,

Table 2. Factors Ranked by Importance for Anticoagulation Initiation.

Factor	Overall	Total
Factor	Mean	SD
General factors (out of 5, $5 = high$		
importance) <sup>a</sup>		
Fellowship training	3.2	1.3
Expert opinion	3.2	1.3
Studies	2.7	1.2
Guidelines	2.6	1.6
Patient-specific factors for initiation		
(out of 3, 3 = high importance) <sup>b</sup>		
Length of procedure	1.3	1.1
Number of operative levels	1.2	1.0
Estimated blood loss	1.2	1.0
Drain output	1.2	1.0
Patient mobilization	2.3	1.0
Body mass index	2.0	1.0

<sup>a</sup> Mean ranking of importance for general factors was ordered from 1 to 5: I = Iow importance, 5 = Iow importance.

<sup>b</sup> Mean ranking of importance for specific factors was ordered from 0 to 3: 0 = low importance, 3 = high importance.

acetylsalicylic acid [ASA], or other agent) (Table 3). These variables demonstrated an inverse relationship with respect to anti-coagulation timing. Those who rated EBL with greater importance were likely to administer thromboprophylaxis at later times, and those who rated drain output with greater importance were likely to administer thromboprophylaxis at earlier times. This was particularly true in scenarios with patients with a history of DVT on warfarin (EBL: HR = 0.68, 95% CI = 0.49-0.93, P = .015; drain output: HR = 1.40, 95% CI = 1.05-1.87, P = .021), PE on ASA (EBL: HR = 0.69, 95% CI = 0.48-0.97, P = .035; drain output: HR =1.43, 95% CI = 1.03-1.98, P = .033), or CAD on ASA (EBL: HR = 0.70, 95% CI = 0.50-0.96, P = .029; drain output: HR = 1.36, 95% CI = 1.02-1.81, P = .034), or another unspecified agent (EBL: HR = 0.71, 95% CI = 0.52-0.98, P = .035; drain output: HR = 1.32, 95% CI = 1.00-1.73, P = .049).

These factors were also analyzed separately based on patient history (Table 4) or previous anticoagulant used (Table 5). In the setting of a history of DVT, EBL importance (HR = 0.71, 95% CI = 0.50-1.00, P < .050) was associated with shorter time to anticoagulation, while patient mobilization importance (HR = 1.40, 95% CI = 1.04-1.88, P = .029) was associated with longer time to anticoagulation. EBL was the only factor associated with an individual pharmacologic agent (previous warfarin use, HR = 0.71, 95% CI = 0.50-0.99, P = .046). Factors were also analyzed for scenarios where the patient was not previously on anticoagulation. In this analysis, no factors were significantly associated with the timing of anticoagulation (Table 6).

## Discussion

Perioperative thromboprophylaxis usage and timing in spine surgery remains a controversial topic given the lack of consensus on optimal strategies. This area of perioperative management remains challenging for surgeons given the heterogeneity in patient populations as well as various indications for spine surgery. All strategies, unfortunately, weigh the risk of clinically significant VTE versus early postoperative. With no widespread acceptance, the timing of anticoagulation initiation in the perioperative period of spine surgery can remain challenging. To this end, we conducted the largest survey of spine experts worldwide, and have identified several factors in the decision making on the timing of initiation of perioperative anticoagulation. The following were noted to be relative among these spine experts: (1) patient mobilization and BMI are important "patient-specific" factors when deciding initiation of anticoagulation (without consideration of specific patient presentations); (2) expert opinion and fellowship training were the most substantial "practice-specific" factors, with formal guidelines and studies considered less important; (3) when accounting for multiple factors, opinions regarding the importance of EBL and drain output were associated with anticoagulation initiation timing in numerous patient scenarios involving both significant patient history (eg, DVT, PE, CAD, etc) as well as previous anticoagulant use (eg, warfarin, ASA, or other agent); and (4) opinions regarding the importance of EBL and patient mobilization were associated with anticoagulation timing in patients with a history of DVT.

The spine survey participants consensus was that their decisions concerning anticoagulation therapy were more heavily on expert opinion and fellowship training, rather than formal guidelines or literature, in directing them regarding timing of perioperative anti-coagulation. This highlights the lack of consensus on timing of anticoagulant initiation in spine surgery. Several survey studies have attempted to elucidate patterns regarding anticoagulant use in spine surgery, although these have been limited by geographic area, poor attention to timing regarding anticoagulation, and lack of discernable patterns driving decision making.<sup>18,19</sup> In 2009, NASS released clinical guidelines regarding antithrombotic therapies in spine surgery.<sup>12</sup> Although these guidelines address the question of timing of anticoagulation in the perioperative period by cautioning surgeons to balance the risk of thromboembolic events with the risk of epidural bleeding and wound complications, they do not address the factors that should be considered, nor do they provide firm direction for decision making. Additionally, the lack of a global perspective in the NASS guidelines weaken their generalizability. In the preliminary findings from our survey data, Louie and colleagues<sup>22</sup> reported that only 14% of respondents described following hospital, national, or other unspecified guidelines when deciding on the use of anticoagulation. Despite this notion by our survey respondents, the AOSpine group has released thromboprophylaxis guidelines for patients suffering from spinal cord injury, which is the highest risk group for VTE in patients undergoing spine surgery.<sup>20,21</sup> All in all, the existing literature, as well as our survey data recognizes the lack of reliable data, as well as a failure to widely disseminate existing guidelines.

When not involving patient scenarios specifically, our survey of surgeons found that patient mobilization and BMI are

	L	DVT (on ASA)		Ô	DVT (on warfarin)	(ب	ND	DVT (on other agent)	ent)		PE (on ASA)		R	PE (on warfarin)	(L	R	PE (on other agent)	ent)
	¥	95% CI	٩	Ħ	95% CI	ط	HR	95% CI	ط	HR	95% CI	٩	HR	95% CI	ط	HR	95% CI	٩
Respondent demographics																		
Age	0.93	0.57-1.51	.766	1.03	065-1.63	.894	1.22	0.78-1.91	.386	1.06	0.67-1.68	.798	1.17	0.75-1.83	.482	0.95	0.60-1.52	.836
Specialty	0.92	0.60-1.40	107.	0.99	0.65-1.52	.978	0.85	0.56-1.29	.436	0.89	0.58-1.38	608.	0.85	0.55-1.30	.443	0.84	0.55-1.30	44
Fellowship trained	0.87	0.50-1.49	600	0.60	0.56-1.47	.682	0.68	0.41-1.11	.125	0.00	0.53-1.54	707.	0.91	0.55-1.51	.726	0.78	0.46-1.31	.342
Years Posttraining	10.1	0.73-1.39	.963	0.92	0.68-1.25	.592	0.84	0.62-1.14	.261	0.91	0.66-1.25	.546	0.86	0.63-1.16	309	0.91	0.67-1.26	.58
Continent	0.98	0.82-1.18	841	0.94	0.79-1.13	509	0.89	0.74-1.08	238	0.95	0.78-1.15	592	0.92	0.76-1.12	401	0.91	0.75-1.10	46
Practice type	0.95	0.74-1.22	679	0.90	0.72-1.13	365	0.94	0.74-1.19	594	0.94	0.73-1.21	644	0.88	0.69-1.11	286	0.92	0.72-1.18	5
Volume	1.10	0.92-1.31	293	1.08	0.90-1.29	414	1.10	0.92-1.31	282	1.15	0.95-1.39	.154	1.10	0.92-1.33	293	1.15	0.96-1.39	129
General factors considered															Ì			
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ratient-specific factors for initiation	000		201				10.0		010	-		00.	10 -			-	0 71 1 20	Ľ
	74.0	0.60-1.40	.003	0.77	0.6/-1.48	700	16.0	0.64-1.46	8/8 8/8	60.1	0.72-1.63	040.	c). 1	7C 1 01 0	778.	1.12	89.1-67.0	ij,
Number of operative levels	51.1	0./4-1./4	1/2	0.76	0.64-1.44	408.	/0.1	0./0-1.62	797	90.1	0./0-1.61	./84	0.89	15.1-86.0	009	c.4.0	0.62-1.43	<
Estimated blood loss	0.70	0.51-0.97	.034	0.68	0.49-0.93	.015	0.73	0.52-1.02	.068	0.69	0.48-0.97	.035	0.69	0.49-0.96	.030	0.71	0.51-1.00	.051
Drain output	1.19	0.90-1.57	.223	I.40	1.05-1.87	.021	I.25	0.91-1.72	.162	I.43	1.03-1.98	.033	1.33	0.97-1.83	.076	1.31	0.95-1.79	<u>.</u> 09
Patient mobilization	1.27	0.92-1.75	.152	1.20	0.88-1.65	.245	1.13	0.84-1.52	.432	1.12	0.81-1.53	.499	I.   4	0.84-1.56	.392	1.26	0.92-1.73	<u>.</u>
Body mass index	0.84	0.61-1.17	.310	0.82	0.59-1.14	.237	0.92	0.68-1.24	.577	0.82	0.59-1.13	.222	0.94	0.68-1.28	.676	0.76	0.55-1.04	8 <u>.</u>
	0	CAD (on ASA)		Q	CAD (on warfarin)	(u	CAE	CAD (on other agent)	ent)		A-Fib (on ASA)		A-Fi.	A-Fib (on warfarin)	rin)	A-Fil	A-Fib (on other agent)	gent)
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	É	10 % 64	L	È	10 %02	-		D %C4	-		D % C2	-			-		D %CL	-
Respondent demographics																		
Age	0.92	0.58-1.46	.726	0.99	0.65-1.51	.976	0.94	0.60-1.47	.790	1.02	0.65-1.60	.926	0.92	0.60-1.39	.678	I.09	0.71-1.67	9.
Specialty	0.90	0.59-1.37	.611	0.84	0.55-1.29	.436	0.78	0.51-1.20	.254	0.94	0.61-1.46	799	0.89	0.59-1.36	109.	0.81	0.53-1.25	.348
Fellowship trained	I.02	0.62-1.70	.928	0.84	0.52-1.36	.481	0.76	0.46-1.24	.267	0.79	0.47-1.33	.377	0.83	0.51-1.34	.440	0.71	0.43-1.17	≝.
Years posttraining	I.02	0.75-1.39	.896	0.94	0.71-1.26	.686	0.93	0.70-1.26	.655	0.94	0.69-1.29	.713	0.98	0.74-1.31	.894	0.88	0.66-1.18	ň
Continent	0.91	0.75-1.11	.373	0.92	0.76-1.11	.362	0.88	0.73-1.07	.193	0.88	0.72-1.07	.208	0.93	0.77-1.12	.433	0.84	0.69-1.02	.076
Practice type	00 <sup>.</sup> I	0.79-1.27	.995	0.90	0.72-1.13	.370	0.93	0.74-1.18	.558	00 <sup>.</sup> I	0.78-1.28	.982	0.93	0.73-1.17	.514	0.94	0.74-1.19	ŝ
Volume	I.02	0.84-1.24	.841	10.1	0.84-1.21	.957	90. I	0.88-1.27	.543	10.1	0.83-1.23	.937	1.04	0.87-1.25	.660	I.03	0.85-1.23	ř.
General factors considered																		
Fellowship training	1.16	0.90-1.50	.248	1.05	0.83-1.34	.671	1.12	0.88-1.44	.363	1.22	0.94-1.59	.139	1.02	0.81-1.30	.846	Ξ.	0.86-1.42	4
Expert opinion	0.95	0.75-1.19	.640	0.60	0.72-1.12	.358	0.97	0.77-1.22	.812	0.98	0.77-1.23	.845	0.90	0.72-1.13	.373	0.96	0.76-1.20	12
Studies	1.04	0.82-1.31	.766	0.98	0.78-1.23	.854	0.97	0.77-1.23	.826	00.1	0.79-1.28	.974	0.99	0.78-1.25	.938	0.94	0.74-1.19	6
Guidelines	1.09	0.90-1.32	.394	1.02	0.85-1.22	.855	Π.Ι	0.92-1.33	.290	1.12	0.93-1.36	.241	0.99	0.83-1.18	.935	1.06	0.88-1.27	.558
Patient-specific factors for initiation																		
Length of procedure	1.05	0.70-1.58	.807		0.74-1.66	.622	1.12	0.75-1.68	.573	I.I8	0.78-1.80	.432	1.17	0.79-1.74	.436	1.19	0.78-1.81	.413
Number of operative levels	1.07	0.71-1.60	.749	0.92	0.61-1.38	.684	0.96	0.64-1.44	.847	00 <sup>.</sup> I	0.67-1.49	966.		0.60-1.32	.557	0.95	0.63-1.43	Ľ.
Estimated blood loss	0.70	0.50-0.96	.029	0.71	0.52-0.97	.032	0.71	0.52-0.98	.035	0.76	0.56-1.05	.096	0.72	0.53-0.98	.035	0.78	0.57-1.06	=
Drain output	1.36	1.02-1.81	.034	1.31	0.98-1.73	.065	1.32	1.00-1.73	.049	1.37	1.03-1.82	.031		0.96-1.68	.094	1.26	0.95-1.65	₽.
Patient mobilization	1.32	0.96-1.82	.086	1.27	0.94-1.73	.122	I.28	0.94-1.73	.116	1.09	0.79-1.50	.594	1.36	0.99-1.87	.057	I.09	0.81-1.47	.5
Body mass index	0.75	0.55-1.04	.087	0.81	0.59-1.10	.175	0.80	0.58-1.09	.152	0.80	0.59-1.09	.152	0.76	0.55-1.04	.084	0.87	0.65-1.17	

(Continued)	
Table 3.	

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	HR	95% CI	٩	뜻	95% CI	٩	뚯	95% CI	٩	ЯН	95% CI	٩	ЯН	95% CI	٩	HR	95% CI	P
Respondent demographics																		
Age	1.16	0.74-1.83	.523	0.93	0.60-1.44	.748	00 <sup>.</sup> I	0.64-1.56	966.	1.17	0.75-1.81	.490	1.13	0.73-1.74	.577	1.02	0.67-1.56	.930
Specialty	0.77	0.49-1.20	.241	0.92	0.60-1.40	.682	0.77	0.51-1.18	.231	0.68	0.43-1.05	.082	06.0	0.59-1.37	.610	0.82	0.53-1.26	.365
Fellowship trained	0.95	0.56-1.62	.847	0.80	0.49-1.32	.385	0.72	0.44-1.17	.184	0.78	0.47-1.30	.343	0.85	0.51-1.42	.534	0.73	0.45-1.20	.220
Years Posttraining	0.90	0.65-1.24	.508	0.96	0.71-1.31	.815	0.92	0.67-1.25	.598	0.86	0.63-1.16	.322	0.89	0.65-1.22	.469	0.92	0.69-1.24	.595
Continent	0.84	0.69-1.04	901.	0.89	0.74-1.08	.25	0.90	0.75-1.10	311	0.82	0.1-89.0	.055	0.84	0.69-1.03	.092	0.92	0.76-1.11	.365
Practice type	0.91	0.71-1.18	.484	0.97	0.76-1.23	.776	0.89	0.70-1.13	.339	0.89	0.70-1.14	.363	10.1	0.78-1.29	.967	0.90	0.71-1.14	.392
Volume	I.03	0.84-1.25	.788	I.08	0.91-1.30	.376	1.10	0.91-1.32	319	1.05	0.87-1.26	.624	10.1	0.83-1.23	.935	I.04	0.87-1.25	.643
General factors considered																		
Fellowship training	1.21	0.92-1.60	.168	1.01	0.78-1.32	.940	1.12	0.86-1.45	.395	1.15	0.89-1.49	.298	1.18	0.90-1.53	.230	I.08	0.84-1.38	.548
Expert opinion	0.96	0.75-1.23	.751	0.84	0.66-1.07	.150	0.95	0.75-1.20	.668	0.96	0.76-1.22	.760	0.99	0.78-1.25	908	0.95	0.75-1.19	.634
Studies	1.08	0.84-1.39	.526	0.96	0.75-1.24	.773	1.13	0.88-1.45	.338	1.02	0.80-1.30	.877	1.02	0.81-1.30	.850	1.03	0.81-1.32	.789
Guidelines	1.05	0.87-1.29	.599	0.95	0.79-1.15	.614	0.99	0.82-1.19	.879	1.05	0.86-1.27	.640	1.06	0.87-1.29	.558	0.99	0.83-1.19	910
Patient-specific factors for initiation																		
Length of procedure	1.16	0.75-1.78	.512	1.21	0.81-1.81	.351	1.16	0.78-1.73	473	1.14	0.74-1.74	.556	1.07	0.70-1.63	.758	1.16	0.77-1.74	.468
Number of operative levels	1.02	0.66-1.56	945	0.86	0.58-1.28	457	0.87	0.58-1.31	510	0.96	0.63-1.48	860	102	0.67-1.54	930	0.89	0.59-1.33	565
Estimated blood loss	0.76	0.54-1.07		0.75	0.54-1.03	077	0.87	0.59-1.14	235	0.77	0.56-1.07	611	0.78	0.56-1.08	137	0.77	0.56-1.06	601
	1 33	0.99-1.80	047	1 2 7	0 94-1 71	173	811	0.86-1.60	305	171	0 91-1 60	061	66 1	CZ 1-79.0	080	1 28	0 96-1 77	195
Parient mobilization	1 03	0.75-1.40	200		0.94-1.82	C	1 24	021-160	174	1 05	0 79-1 41	775	801	0 79-1 48	-00- 64	1 26	0 97-1 74	151
Body mass index	0.95	0.70-1.30	769	0.74	0.53-1.03	079	0.84	0.61-1.15	.275	0.97	0.72-1.31		0.96	0.70-1.30		0.79	0.58-1.10	.162
	Cardiac	Cardiac stent (on clopidogrel)	dogrel)	Cardiac	Cardiac stent (on other agent)	r agent)												
	HR	95% CI	٩	붜	95% CI	٩												
Respondent demographics																		
А <i>р</i> е С	1.03	0.69-1.54	.887	1.12	0.74-1.71	596												
Specialty	0.84	0.56-1.25	383	0.80	0.52-1.22	300												
Fellowship trained	0.68	0.42-1.10	113	0.65	0.40-1.07	060												
Years Posttraining	0.93	0.69-1.24	604	0.84	0.63-1.13	259												
Continent	0.90	0.74-1.09	.271	0.83	0.68-1.01	.057												
Practice type	0.91	0.72-1.14	.407	0.94	0.75-1.19	.632												
Volume	I.08	0.90-1.29	.426	1.04	0.86-1.25	.709												
General factors considered																		
Fellowship training	1.13	0.88-1.45	.340	1.12	0.87-1.44	.378												
Expert opinion	1.03	0.82-1.30	.784	1.00	0.79-1.26	.988												
Studies	I.09	0.86-1.38	.493	0.93	0.74-1.17	.544												
Guidelines	1.05	0.87-1.26	.614	1.07	0.89-1.30	.462												
Patient-specific factors for initiation																		
Length of procedure	1.13	0.76-1.68	.542	1.13	0.74-1.71	.578												
Number of operative levels	0.86	0.58-1.28	.455	0.94	0.62-1.42	.754												
Estimated blood loss	0.87	0.64-1.18	.365	0.79	0.58-1.08	.135												
Drain output	1.10	0.84-1.45	.485	1.25	0.95-1.64	.108												
Patient mobilization	I.I8	0.88-1.59	.266	1.09	0.81-1.47	.582												
Body mass index	16.0	0.68-1.22	.527	0.94	0.70-1.27	.682												

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		Hx of DVT			Hx of PE		-	Hx of CAD		-	Hx of A-Fib		Ť	Hx of cardiac valve	lve	Ť	Hx of cardiac stent	tent
	HR	95% CI	Ρ	HR	95% CI	٩	HR	95% CI	٩	HR	95% CI	٩	HR	95% CI	Ρ	HR	95% CI	Ρ
Respondent demographics																		
Age	0.95	0.62-1.47	.821	I.I7	0.73-1.86	.514	0.97	0.62-1.52	908	0.92	0.58-1.45	.710	1.12	0.69-1.79	.651	0.95	0.60-1.51	.839
Specialty	0.98	0.61-1.56	.925	0.72	0.43-1.20	.212	0.83	0.53-1.31	.426	0.68	0.42-1.11	.123	0.70	0.43-1.16	.166	0.79	0.49-1.25	.313
Fellowship trained	0.89	0.54-1.48	.660	0.82	0.49-1.38	.457	0.77	0.47-1.27	.304	0.86	0.51144	.564	0.93	0.55-1.57	.773	0.75	0.45-1.24	.260
Years posttraining	1.03	0.76-1.38	.865	0.89	0.65-1.22	.470	00 <sup>.</sup> I	0.74-1.35	.984	I.02	0.75-1.38	.903	0.94	0.69-1.29	.718	I.02	0.75-1.38	.912
Continent	0.95	0.79-1.13	.550	0.92	0.75-1.12	414.	0.93	0.77-1.13	.477	0.92	0.76-1.11	.387	0.94	0.78-1.15	.553	0.97	0.80-1.16	.712
Practice type	0.92	0.72-1.17	.484	0.96	0.75-1.23	.754	0.86	0.67-1.09	.198	0.88	0.69-1.12	.294	0.94	0.73-1.21	.642	0.89	0.70-1.14	.362
Volume	1.10	0.93-1.31	.277	I.I0	0.92-1.32	.308	I.02	0.86-1.21	.825	1.07	0.89-1.27	.488	1.06	0.88-1.28	.516	10.1	0.84-1.20	.949
General factors considered																		
Fellowship training	0.82	0.64-1.05	.112	0.90	0.70-1.16	.412	1.09	0.86-1.21	.501	0.99	0.78-1.25	.924	0.96	0.75-1.24	.773	1.04	0.81-1.34	.737
Expert opinion	0.91	0.73-1.15	.426	0.85	0.67-1.08	.180	00 <sup>.</sup> I	0.85-1.38	.987	0.91	0.72-1.15	.425	0.86	0.67-1.09	.212	1.04	0.82-1.32	.731
Studies	I.04	0.81-1.32	.760	I.I5	0.89-1.50	.292		0.80-1.26	.426	1.06	0.82-1.37	.637	1.17	0.89-1.52	.259	=:	0.86-1.45	.421
Guidelines	0.91	0.76-1.08	.280	0.86	0.71-1.03	.107	I.03	0.86-1.23	.776	0.97	0.81-1.17	.740	0.88	0.73-1.06	.183	1.04	0.86-1.26	.678
Patient-specific factors for initiation																		
Length of procedure	0.75	0.51-1.11	.150	0.89	0.59-1.35	.577	0.83	0.57-1.21	.329	0.93	0.63-1.39	.733	0.82	0.54-1.25	.359	0.79	0.54-1.17	.238
Number of operative levels	1.23	0.83-1.84	.306	I.I0	0.71-1.70	.680	1.21	0.82-1.77	.340	1.17	0.77-1.78	.459	1.22	0.79-1.90	.369	I. 18	0.79-1.76	.408
Estimated blood loss	0.71	0.50-1.00	.050	0.86	0.59-1.25	.417	0.74	0.53-1.02	690.	0.71	0.50-1.01	.058	0.78	0.54-1.13	.192	0.78	0.56-1.10	.154
Drain output	1.26	0.94-1.69	.125	I.04	0.77-1.41	809.	1.26	0.94-1.70	.128	1.21	0.91-1.61	.193	I.I0	0.81-1.49	.536	I.I5	0.86-1.54	.349
Patient mobilization	I.40	I.04-I.88	.029	1.27	0.92-1.75	.142	1.31	0.97-1.78	.083	I.36	1.00-1.85	.054	1.26	0.92-1.72	. I 48	I.34	0.99-1.82	.056
Body mass index	0.82	0.60-1.12	.219	0.81	0.58-1.13	.209	0.81	0.60-1.11	.192	0.76	0.56-1.04	160.	0.83	0.61-1.15	.263	0.83	0.60-1.13	.230
	enous th	rombosis; PE, p	oulmonar	y embol	ism; CAD, co	oronary	artery c	ilism; CAD, coronary artery disease; A-Fib, atrial fibrillation; HR, hazard ratio	, atrial fi	brillatior	ı; HR, hazard	ratio.						

Abbreviations: HX, history: UY 1, ueep venous un un unusus, <sup>a</sup> Boldfaced values indicate statistical significance at P < .05.

**Table 5.** Cox Proportional Hazards Model for Perioperative Bridge Timing by Pharmacologic Agent Irrespective of Operative Location (Patient Previously on Pharmacologic Agent).

		ASA			Warfarin			Clopidogre	I		Other		Any	y anticoagu	lant
	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р
Respondent demographics															
Age	0.90	0.57-1.41	.653	0.97	0.62-1.50	.875	0.95	0.61-1.48	.825	1.01	0.64-1.62	.954	1.46	0.43-4.93	.542
Specialty	1.33	0.85-2.09	.213	0.87	0.55-1.35	.527	0.81	0.54-1.22	.313	0.98	0.62-1.55	.932	1.70	0.56-5.14	.351
Fellowship trained	1.39	0.82-2.35	.222	0.92	0.57-1.49	.734	0.81	0.51-1.29	.379	0.72	0.43-1.20	.207	0.86	0.26-2.86	.801
Years posttraining	1.13	0.83-1.55	.435	0.98	0.73-1.32	.914	1.03	0.76-1.39	.849	0.92	0.67-1.26	.606	0.88	0.35-2.25	.796
Continent	1.04	0.86-1.25	.709	0.92	0.77-1.11	.404	0.97	0.81-1.16	.723	0.95	0.79-1.15	.613	1.06	0.70-1.61	.776
Practice type	0.89	0.69-1.16	.396	0.94	0.74-1.19	.598	0.88	0.70-1.12	.306	0.89	0.70-1.14	.368	0.81	0.46-1.45	.483
Volume	1.12	0.93-1.34	.221	1.04	0.87-1.24	.665	1.05	0.89-1.24	.589	1.11	0.94-1.32	.233	0.88	0.60-1.31	.538
General factors considered															
Fellowship training	0.94	0.73-1.22	.655	0.98	0.76-1.25	.849	1.09	0.91-1.50	.491	1.05	0.81-1.36	.711	1.98	0.97-4.04	.060
Expert opinion	0.96	0.75-1.22	.728	0.85	0.67-1.08	.179	0.99	0.85-1.38	.933	0.95	0.74-1.21	.662	2.16	1.00-4.65	.050
Studies	1.14	0.88-1.48	.306	1.05	0.82-1.36	.681	1.17	0.79-1.24	.229	1.10	0.84-1.44	.494	1.88	0.88-4.02	.105
Guidelines	0.94	0.78-1.14	.517	0.95	0.79-1.14	.557	1.02	0.91-1.50	.860	0.98	0.81-1.18	.813	1.52	0.92-2.50	.099
Patient-specific factors for initiation															
Length of procedure	0.74	0.49-1.11	.142	0.84	0.58-1.22	.357	0.85	0.59-1.23	.392	0.91	0.62-1.34	.638	0.69	0.34-1.41	.306
Number of operative levels	1.39	0.93-2.08	.111	1.16	0.78-1.70	.468	1.18	0.81-1.72	.395	1.16	0.79-1.72	.448	1.60	0.64-4.00	.315
Estimated blood loss	0.75	0.54-1.06	.102	0.71	0.50-0.99	.046	0.82	0.60-1.12	.219	0.81	0.58-1.14	.237	1.07	0.32-3.56	.916
Drain output	1.22	0.91-1.64	.178	1.25	0.93-1.67	.136	1.10	0.84-1.46	.485	1.27	0.93-1.74	.125	0.82	0.32-2.08	.677
Patient mobilization	1.26	0.93-1.73	.142	1.34	0.98-1.82	.067	1.32	0.98-1.77	.068	1.11	0.82-1.50	.492	1.30	0.58-2.90	.518
Body mass index	0.88	0.64-1.21	.433	0.82	0.60-1.13	.232	0.85	0.63-1.15	.300	0.85	0.62-1.16	.306	1.56	0.62-3.94	.344

Abbreviations: ASA, acetylsalicylic acid; HR, hazard ratio.

<sup>a</sup>Boldfaced values indicate statistical significance at P < .05.

the most important patient-specific factors when considering anticoagulation initiation. These opinions may be reflective of the notion that patients who have high BMI or who may be slow to mobilize in the perioperative period may be at higher risk for VTE and may require earlier initiation of anticoagulation. Identification of these risk factors for VTE in the perioperative period has been shown in previously reported literature. Goz and associates<sup>3</sup> created a VTE risk index based on a review of 710154 spinal fusions and included the presence of obesity as a significant factor in predicting VTE. Pannucci et al<sup>24</sup> reviewed a Michigan statewide surgical quality collaborative to identify predictors of 90-day VTE in postsurgical patients and found that among several other patient factors, BMI greater than 40 kg/m<sup>2</sup> was associated with higher risk of VTE. McLynn and colleagues<sup>25</sup> confirmed these findings in their review of 109 609 patients from the National Surgical Quality Improvement Program (NSOIP) who underwent elective spine surgery, finding that increased BMI was a risk factor for VTE. Additionally, in a cohort of 1975 patients, Takahashi et al<sup>26</sup> reported a significantly lower symptomatic PE rate in patients who had early mobilization after spine surgery, compared to those patients who did not. To this end, the opinions expressed by the surgeons in our survey study are consistent with the current literature. Alternatively, some authors have linked VTE to length of procedure, number of levels, and intraoperative blood loss, although the respondents of our survey seemed to give less importance to these specific factors.<sup>25,27</sup>

In several patient scenarios, opinions regarding the importance of EBL and drain output were associated with the timing of anti-coagulation initiation in the perioperative periods. Increased intraoperative blood loss may alert the surgeon to an underlying or iatrogenic coagulopathy that may be exacerbated by the use of anticoagulants and/or antiplatelet medications. Awad et al<sup>28</sup> reviewed the records of 14932 patients undergoing spine surgery and found that one of the risk factors for the development of postoperative spinal epidural hematoma included a high EBL (blood loss >1 L). Given this association with the development of postoperative spinal hematoma, our results show a prudent decision by spine experts to consider EBL of importance when determining when to begin anticoagulation. Similar to perioperative anti-coagulation management in spine surgery, closed suction drains represent another area of management where obvious consensus remains unestablished. Several authors have found no difference in symptomatic postoperative hematoma or wound complications after lumbar decompression and/or fusions with or without the use of closed suction drains.<sup>28-32</sup> In fact, Chimenti and Molinari<sup>33</sup> reported that all four patients suffering from catastrophic epidural hematoma (ie, neurologic deficit to American Spinal Injury Association grade B or worse) in their series of 1750 patients had subfascial drains which failed to prevent this complication. Other authors have disputed that drains do not play an important role in preventing postoperative hematoma. Aono et al<sup>34</sup> reported the factors associated with the development of

	1	No prior H	x		Hx of DVT		H>	c of superfi DVT	cial		Hx of CAD	)		Hx of A-Fit	>
	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р
Respondent demographics															
Age	1.44	0.44-4.68	.548	1.37	0.60-3.13	.454	1.40	0.50-3.89	.521	1.30	0.52-3.23	.579	0.82	0.34-1.94	.645
Specialty	1.56	0.53-4.58	.423	1.73	0.79-3.76	.168	1.31	0.54-3.14	.551	1.89	0.83-4.29	.128	1.42	0.65-3.10	.375
Fellowship trained	0.85	0.26-2.75	.789	0.95	0.36-2.50	.918	0.87	0.33-2.28	.771	0.72	0.27-1.92	.516	1.34	0.48-3.71	.573
Years posttraining	0.90	0.36-2.28	.831	0.79	0.40-1.54	.481	0.83	0.35-1.94	.663	0.79	0.38-1.68	.547	1.28	0.63-2.60	.502
Continent	1.08	0.71-1.62	.725	0.91	0.61-1.36	.654	0.97	0.62-1.50	.874	0.92	0.63-1.34	.660	0.84	0.56-1.26	.397
Practice type	0.81	0.46-1.42	.459	0.84	0.51-1.37	.480	0.68	0.39-1.20	.183	0.96	0.56-1.63	.867	0.90	0.55-1.48	.677
Volume	0.89	0.61-1.31	.561	0.97	0.72-1.29	.814	0.96	0.69-1.34	.816	0.98	0.71-1.34	.893	1.03	0.76-1.40	.840
General factors considered															
Fellowship training	1.76	0.89-3.49	.105	1.01	0.65-1.56	.958	1.17	0.69-2.00	.563	1.04	0.63-1.70	.887	1.10	0.68-1.78	.707
Expert opinion	1.94	0.92-4.07	180.	1.09	0.68-1.75	.713	1.34	0.74-2.40	.327	0.97	0.60-1.56	.901	1.16	0.74-1.84	.513
Studies	1.72	0.82-3.59	.151	1.22	0.76-1.94	.412	1.29	0.73-2.29	.386	1.42	0.85-2.37	.176	1.19	0.71-1.98	.512
Guidelines	1.38	0.85-2.23	.190	0.97	0.62-1.50	.888	1.00	0.61-1.65	.993	0.85	0.57-1.28	.440	0.98	0.67-1.43	.932
Patient-specific factors for initiation															
Length of procedure	0.74	0.38-1.43	.371	0.75	0.35-1.58	.447	0.68	0.30-1.52	.346	0.83	0.37-1.84	.640	0.70	0.35-1.42	.325
Number of operative levels	1.56	0.63-3.87	.335	1.72	0.70-4.21	.237	1.64	0.63-4.27	.315	2.06	0.79-5.40	.140	1.83	0.78-4.26	.162
Estimated blood loss	1.04	0.32-3.41	.948	0.88	0.41-1.89	.744	0.90	0.39-2.07	.810	0.63	0.28-1.42	.261	0.84	0.38-1.82	.655
Drain output	0.82	0.32-2.07	.673	1.11	0.67-1.83	.692	1.05	0.59-1.89	.866	1.05	0.58-1.91	.868	1.03	0.58-1.82	.927
Patient mobilization	1.20	0.55-2.64	.652	1.04	0.53-2.02	.919	1.15	0.56-2.36	.712	1.21	0.61-2.42	.581	0.67	0.35-1.29	.231
Body mass index	1.52	0.63-3.70	.354	1.28	0.63-2.59	.490	1.35	0.60-3.05	.471	1.17	0.58-2.37	.654	1.75	0.87-3.56	.119

**Table 6.** Cox Proportional Hazards Model for Perioperative Anticoagulation Irrespective of Operative Location (Patient Not Previously on Pharmacologic Agent).<sup>a</sup>

HX, history; DVT, deep venous thrombosis; CAD, coronary artery disease; A-Fib, atrial fibrillation; HR, hazard ratio. <sup>a</sup>Boldfaced values indicate statistical significance at P < .05.

postoperative spinal epidural hematoma after surgery in their series of 6356 patients, finding that over half of the hematomas became symptomatic after closed suction drains were removed. They suggested that removal of such drains was the key trigger in a number of cases to allow the accumulation of hematoma to the point of neurologic deficit. Additionally, Kao and associates<sup>35</sup> found that drain output was significantly associated with the development of symptomatic epidural hematoma postoperatively (ie, patients with neurologic deficit were more likely to have lower drain output). Although our survey did not allow a detailed explanation as to the reasoning behind the association between anticoagulation timing and drain output among spine experts, this finding likely reflects the desire to use drain output to guide anticoagulation initiation at a point when epidural hematoma formation is unlikely. Literature is unclear at this point whether the opinion held by our respondents is a valid consideration in determining anticoagulation timing.

This study is strengthened by the inclusion of numerous patient scenarios that encompass the most common clinical dilemmas regarding anticoagulation facing spine surgeons. Although survey length limitations prevent an exhaustive list of clinical scenarios, pharmacologic options, and patient factors, the data is comprehensive enough to provide some insight into the decision making regarding anticoagulation initiation timing. Additionally, this study is the largest of its kind, with a global perspective that has not yet been described in the literature. This global perspective improves our findings' generalizability, and provides promise that studies utilizing our data for follow-up explorations may be applicable to a wider population.

There are a number of limitations to our study. First, this is a survey study and limitations inherent to a study that does not include empiric data exists. Additionally, questions were not formatted in a way to allow the creation of specific guidelines. Rather, this data will be utilized to create focal points that may be useful in future follow-up survey studies, prospective, randomized studies or Delphi-esque consensus statements. In addition, survey distribution was limited to current spine surgeon members of the AOSpine network that opted-in to receive email notifications, and as such, there is still questionable generalizability despite our large sample size. Finally, the survey was sent out to 3805 spine surgeons worldwide with only 316 responses (8.3%). Although the response rate may appear low, perhaps we have captured respondents who take special interest in this topic and have placed greater thought to their anticoagulation practices. Other authors have found that a low response rate does not necessarily mean the study results have low validity, although there is a greater risk of this; therefore, low response rates should not be taken as an indicator of low validity.<sup>36</sup> Nonetheless, such a response rate is in line with other previous surveys circulated to a mass membership, such as AOSpine. Although these limitations exist, our work remains the largest, international survey to date focused on perioperative anticoagulation practices during spine surgery.

# Conclusions

This AOSpine Anticoagulation Global Survey is the largest to date focusing on perioperative anticoagulation attitudes, practices, and beliefs among spine surgeons worldwide for VTE prophylaxis. The survey noted certain patient factors (ie, patient mobilization and BMI), certain practice-specific factors (ie, expert opinion and fellowship training), and certain surgical factors (ie, EBL and drain output) when faced with specific patient scenarios to be associated with decision making regarding anticoagulation timing in the perioperative period. Although certain areas of interest were identified in this survey study, we encountered significant heterogeneity in practices regarding decision making in timing of anticoagulation. Future studies will utilize these findings to develop more robust prospective studies to examine optimal anticoagulation timing in the perioperative period and create consensus guidelines that may more uniformly lead practice.

#### **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

#### Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

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