

1 **Cost-effectiveness of public health strategies for COVID-19 epidemic control**
2 **in South Africa: a microsimulation modelling study**

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65 **Keywords:** COVID-19, cost-effectiveness, resource-limited setting, South Africa, resource
66 allocation

67

68 **Running title:** Cost-effectiveness of COVID-19 interventions in South Africa

69

70 **Abstract Word Count:** 299

71

72 **Main Text Word Count:** 3,493

73

74 **ABSTRACT**

75 **Background**

76 Healthcare resource constraints in low and middle-income countries necessitate selection of
77 cost-effective public health interventions to address COVID-19.

78

79 **Methods**

80 We developed a dynamic COVID-19 microsimulation model to evaluate clinical and economic
81 outcomes and cost-effectiveness of epidemic control strategies in KwaZulu-Natal, South Africa.
82 Interventions assessed were Healthcare Testing (HT), where diagnostic testing is performed
83 only for those presenting to healthcare centres; Contact Tracing (CT) in households of cases;
84 Isolation Centres (IC), for cases not requiring hospitalisation; community health worker-led Mass
85 Symptom Screening and molecular testing for symptomatic individuals (MS); and Quarantine
86 Centres (QC), for household contacts who test negative. Given uncertainties about epidemic
87 dynamics in South Africa, we evaluated two main epidemic scenarios over 360 days, with
88 effective reproduction numbers (R_e) of 1.5 and 1.2. We compared *HT*, *HT+CT*, *HT+CT+IC*,
89 *HT+CT+IC+MS*, *HT+CT+IC+QC*, and *HT+CT+IC+MS+QC*, considering strategies with
90 incremental cost-effectiveness ratio (ICER) <US\$3,250/year-of-life saved (YLS) cost-effective.
91 In sensitivity analyses, we varied R_e , molecular testing sensitivity, and efficacies and costs of
92 interventions.

93

94 **Findings**

95 With R_e 1.5, *HT* resulted in the most COVID-19 deaths over 360 days. Compared with *HT*,
96 *HT+CT+IC+MS+QC* reduced mortality by 94%, increased costs by 33%, and was cost-effective
97 (ICER \$340/YLS). In settings where quarantine centres cannot be implemented,
98 *HT+CT+IC+MS* was cost-effective compared with *HT* (ICER \$590/YLS). With R_e 1.2,
99 *HT+CT+IC+QC* was the least costly strategy, and no other strategy was cost-effective.

100 *HT+CT+IC+MS+QC* was cost-effective in many sensitivity analyses; notable exceptions were
101 when R_e was 2.6 and when efficacies of ICs and QCs for transmission reduction were reduced.

102

103 **Interpretation**

104 In South Africa, strategies involving household contact tracing, isolation, mass symptom
105 screening, and quarantining household contacts who test negative would substantially reduce
106 COVID-19 mortality and be cost-effective. The optimal combination of interventions depends on
107 epidemic growth characteristics and practical implementation considerations.

108

109

110 **Funding**

111 National Institutes of Health, Royal Society, Wellcome Trust

112

113 **INTRODUCTION**

114 By early September 2020, 16 countries in sub-Saharan Africa (SSA) had reported over 10,000
115 COVID-19 cases.¹ High urban density, limited opportunities for physical distancing, and poor
116 access to hygiene interventions raise the risk of severe epidemics in the region.² The existing
117 public health infrastructure for epidemic response in SSA is also of concern: testing capacity,
118 surveillance infrastructure, isolation facilities, and intensive care (ICU) services are sparse.^{3,4}

119
120 Low and middle-income countries (LMICs) are implementing epidemic control programs. The
121 World Health Organization (WHO) promotes establishment of disease surveillance platforms,
122 contact tracing, and isolation facilities.⁵ Epidemiologic models of these interventions have
123 generally suggested that their efficacy depends on intervention adherence and transmission
124 dynamics.^{6,7} Yet few studies have included resource costs to examine their cost-effectiveness
125 and feasibility. Limitations in human resources, public health financing, and healthcare facility
126 availability necessitate particular attention to these issues in LMICs.

127
128 We used a dynamic microsimulation model to compare medical outcomes and costs for a range
129 of COVID-19 control measures in KwaZulu-Natal, South Africa. Our objective was to inform
130 policy decision making by projecting clinical and economic outcomes, cost-effectiveness, and
131 budget impact of alternative control strategies, focusing on those proposed or currently in use in
132 South Africa. Though the first wave of diagnosed COVID-19 cases in South Africa peaked in
133 July 2020, this analysis can inform preparation for or response to a resurgence or subsequent
134 waves.

135 **METHODS**

136 **Analytic Overview**

137 We developed the Clinical and Economic Analysis of COVID Interventions (CEACOV) dynamic
138 state-transition Monte Carlo microsimulation model to reflect COVID-19 natural history,
139 diagnosis, and treatment. We compared six public health intervention strategies (figure S1). In
140 all strategies: (a) testing consists of polymerase chain reaction (PCR) for severe acute
141 respiratory syndrome coronavirus 2 (SARS-CoV-2) on a nasopharyngeal specimen; (b) those
142 awaiting test results are instructed to self-isolate; (c) those severely ill (with dyspnoea and/or
143 hypoxemia), regardless of test result, are admitted to hospital until hospital capacity is reached;
144 (d) those with a negative test result are advised to practice physical distancing and hand
145 hygiene; (e) those with an initial negative test result can present for repeat testing if they
146 develop new or worsening symptoms; (f) those not initially admitted to hospital can be admitted
147 later if they develop severe illness. Unique characteristics of each modelled strategy are:

148

149 1) *Healthcare Testing (HT)*: Approximately 30% of people with mild/moderate COVID-
150 19-like symptoms and all with severe symptoms self-present to a healthcare centre for
151 testing. Those with a positive result and not severely ill are instructed to self-isolate at
152 home.

153

154 2) *Contact Tracing (HT+CT)*: In addition to *HT*, household contacts of COVID-19 cases
155 are tested. Those with a positive result are instructed to self-isolate at home.

156

157 3) *Contact Tracing + Isolation Centre (HT+CT+IC)*: In addition to *HT+CT*, cases who are
158 not severely ill are referred to an isolation centre (IC) offering food, shelter, and basic
159 medical care without supplemental oxygen. They are discharged after 14 days.

160

161 4) *Contact Tracing + Isolation Centre + Mass Symptom Screening (HT+CT+IC+MS)*: In
162 addition to *HT+CT+IC*, community healthcare workers screen the entire population for
163 COVID-19 symptoms every 6 months and refer those with symptoms for PCR testing.
164 Individuals with a positive PCR test but not severely ill are referred to an IC. As a frame
165 of reference, epidemic control measures in South Africa in June 2020 included
166 combinations of HT, CT, IC, and MS.

167
168 5) *Contact Tracing + Isolation Centre + Quarantine Centre (HT+CT+IC+QC)*: In addition
169 to *HT+CT+IC*, household contacts with a negative test result who cannot safely
170 quarantine at home are referred to a quarantine centre (QC) where they receive food
171 and shelter. They are discharged after 14 days, unless they develop COVID-19-like
172 symptoms, in which case they are referred to ICs or hospitals, as available.

173
174 6) *Contact Tracing + Isolation Centre + Mass Symptom Screening + Quarantine Centre*
175 *(HT+CT+IC+MS+QC)*: This is a combination of all measures described above.

176
177 Starting with SARS-CoV-2 infection prevalence of 0.1%, we simulated COVID-19-specific
178 outcomes over 360 days, including daily and cumulative infections (detected and undetected),
179 deaths, resource utilization, and healthcare costs from the health sector perspective without
180 discounting. Outside the model, we calculated the average lifetime years-of-life saved (YLS)
181 from each averted COVID-19 death during the 360-day model horizon, which equated to 16.8
182 life-years (appendix p.5-6). The primary outcome was the incremental cost-effectiveness ratio
183 (ICER), the difference in healthcare costs (2019 US dollars [US\$]) divided by the difference in
184 life-years between strategies. We did not include costs beyond the 360-day model horizon.
185 Average non-HIV public health expenditures in South Africa are approximately \$600/year per
186 capita;^{8,9} including those annual costs over a lifetime yields a lifetime ICER approaching

187 \$600/YLS. Therefore, our ICER estimates include healthcare costs during the 360-day model
188 horizon and YLS over a lifetime from averted COVID-19 deaths during the 360-day model
189 horizon. Recognizing no established threshold, we judged an ICER less than \$3,250/YLS cost-
190 effective, based on an opportunity cost approach (appendix p.2).¹⁰

191

192 **Model Structure**

193 *Health States and Natural History*

194 At simulation initiation, each individual is either susceptible to, or infected with, SARS-CoV-2
195 according to age-stratified probabilities (0-19, 20-59, ≥60 years). Once infected, an individual
196 transitions to the pre-infectious latency state. Each individual faces an age-dependent
197 probability of developing asymptomatic, mild/moderate, severe, or critical disease (appendix
198 p.2, table S1, figure S2). Those with critical disease face daily probabilities of death. If they
199 survive, they pass through a recuperation state (remaining infectious) before going to the
200 recovery state. Those in other disease states can transition directly to the recovery state.
201 “Recovered” individuals pose no risk of transmission and are assumed immune from repeat
202 infection for the simulation duration. All simulated individuals advance through the model
203 simultaneously to capture infection transmission dynamics. To validate our natural history
204 assumptions, we compared model-projected COVID-19 deaths with those reported in KwaZulu-
205 Natal (appendix p.4).

206

207 *Transmission*

208 Individuals in asymptomatic, mild/moderate, severe, critical, or recuperation states of COVID-19
209 may transmit infection to susceptible individuals at state-dependent daily rates. The number of
210 daily infections is a function of the proportion of susceptible people in the population, the
211 distribution of disease states among those with COVID-19, and interventions that influence
212 transmission (appendix p.3).

213

214 *Testing and Interventions*

215 PCR testing specifications include sensitivity, specificity, time from testing to result, and
216 cost. Interventions influence testing probability (e.g., CT and MS), infection transmission rate
217 (e.g., IC and QC), and costs.

218

219 *Resource Utilization*

220 Individuals with severe or critical disease are referred to hospitals and ICUs, respectively. If
221 those resources are not available, the individual receives the next lower available intervention,
222 which is associated with a different mortality risk and cost (e.g., if a person needs ICU care
223 when no ICU beds are available, s/he receives non-ICU hospital care).

224

225 **Model Calibration**

226 We populated CEACOV with COVID-19 natural history data from published literature (table
227 1). We used estimates of the basic reproduction number (R_0) and viral shedding duration in
228 various disease states to calculate transmission rates. We then calibrated transmission rates to
229 construct an effective reproduction number (R_e) corresponding to South African estimates in
230 May 2020, after implementation of physical distancing and lockdown policies (appendix p.4).¹¹

231

232 **Input Parameters**

233 *Cohort Characteristics*

234 We defined cohort demographic characteristics using 2019 population estimates (table 1).¹² In
235 KwaZulu-Natal, 40.26% were aged 0-19 years, 51.48% were 20-59 years, and 8.26% were over
236 60 years. Day 0 of the model represents a provincial 0.1% prevalence (approximately 11,000
237 individuals) of active SARS-CoV-2 infection, with the remainder of the population susceptible to
238 infection.

239

240 *Natural History*

241 For those newly infected with SARS-CoV-2, average pre-infectious latency was 2.6 days. Table
242 S1 indicates duration in each state, age-dependent probability of developing severe or critical
243 disease, and age-dependent mortality for those with critical disease.

244

245 *Transmission*

246 We stratified transmission rates by disease state (table 1). We adjusted transmission rates to
247 reflect $R_e=1.5$.¹¹ Given uncertainty over R_e , both in the past and future, we also simulated
248 alternative epidemic growth scenarios with lower (1.1 or 1.2) or higher (2.6) R_e (appendix p.4).

249

250 *Testing and Interventions*

251 In the base case, we assumed a 70% sensitivity, 100% specificity, and five days to PCR result
252 return and action across all active infection states.¹³ We defined the probability of undergoing
253 testing based on the health state and intervention strategy in place (table S2, appendix p.7).
254 Given limited data about the precise efficacy of each intervention for reducing SARS-CoV-2
255 transmission rates (e.g., IC), we made assumptions about efficacies and varied them in
256 sensitivity analysis. Ongoing transmission after diagnosis was reduced by 50% from HT alone
257 and by 95% when HT was combined with ICs or QCs (table S2).

258

259 *Resource Utilization and Costs*

260 The maximum capacity of hospital and ICU beds was 26,220 and 748 per 11 million people, as
261 reported for KwaZulu-Natal (table 1).¹⁴ We assumed that IC and QC beds were available to all
262 who needed them. We applied costs of PCR testing, contact tracing, and mass symptom
263 screening, as well as daily costs of hospitalisation, ICU stay, and IC/QC stays based on
264 published estimates and/or cost quotes obtained in KwaZulu-Natal (appendix p.6).

265

266 **Resource Utilization and Budget Impact Analysis**

267 We conducted resource utilization and budget impact analysis from a combined public/private
268 health sector perspective for KwaZulu-Natal (population 11 million). We projected the total
269 resources, including testing, hospital/ICU beds, and IC/QC beds, that would be used in each
270 intervention strategy. IC/QC beds are offered to those who meet criteria, and we assumed in the
271 base case that all offered would be used. In budget impact analysis, we projected total and
272 component healthcare costs associated with each strategy over 360 days and compared them
273 with the 2019 KwaZulu-Natal Department of Health budget of \$3.12 billion.¹⁵ Because ICU care
274 is relatively expensive and mostly in the private sector, we also considered costs exclusive of
275 ICU care.

276

277 **Sensitivity Analysis**

278 We conducted one-way sensitivity analysis by varying key parameters across plausible ranges
279 to determine the impact on clinical and cost projections (table 1, table S2). To extrapolate to
280 other settings, we limited hospital and ICU bed availability to the average numbers in SSA
281 countries (22,275 and 371 per 11 million people).¹⁶ We performed multi-way sensitivity analysis
282 in which we varied parameters influential in one-way sensitivity analysis, including reducing
283 IC/QC efficacy and costs to reflect the impact of home-based isolation and quarantine
284 strategies.

285 RESULTS

286 For an epidemic with $R_e=1.5$, we projected that *HT* would result in the most COVID-19
287 infections and deaths, most life-years lost, and lowest costs over 360 days (table 2, figures S3-
288 S4). *HT+CT+IC+MS+QC* provided the greatest clinical benefit and was cost-effective (ICER
289 \$340/YLS) (figure 1). *HT+CT+IC+MS+QC* decreased life-years lost by 94% and increased costs
290 by 33% compared with *HT*. All other strategies resulted in higher costs while providing less
291 clinical benefit than *HT+CT+IC+MS+QC*. In settings where quarantine centres cannot be
292 implemented, *HT+CT+IC+MS* was the cost-effective strategy (ICER \$590/YLS compared with
293 *HT*).

294
295 With $R_e=1.2$, *HT+CT+IC+QC* was cost-saving compared with *HT* (table 2). *HT+CT+IC+MS+QC*
296 resulted in 48% fewer life-years lost but was not cost-effective (ICER \$27,590/YLS) compared
297 with *HT+CT+IC+QC*. *HT+CT+IC* was the least costly strategy in settings where quarantine
298 centres cannot be implemented, and other strategies were not cost-effective compared with
299 *HT+CT+IC*.

300
301 Regarding resource utilization, with $R_e=1.5$, *HT* resulted in the highest peak daily use of hospital
302 beds (table 3). Compared with *HT*, *HT+CT+IC+MS+QC* increased cumulative PCR test usage
303 by 2.6 times (though with lower peak daily PCR use) and reduced peak daily hospital bed use
304 by 86% (due to fewer cumulative infections), while requiring 12,380 IC beds and 18,140 QC
305 beds at peak daily use. Only the *HT+CT+IC+MS*, *HT+CT+IC+QC*, and *HT+CT+IC+MS+QC*
306 strategies maintained peak daily ICU bed demand below provincial capacity.

307
308 With $R_e=1.2$, compared with *HT*, *HT+CT+IC+MS+QC* increased cumulative PCR test usage by
309 4.1 times and reduced peak daily hospital bed use by 66%, while requiring 1,860 IC beds and

310 3,480 QC beds at peak daily use. All strategies except *HT* maintained peak daily ICU bed
311 demand below capacity.

312

313 Over 360 days, for an epidemic with $R_e=1.5$, PCR testing contributed 9-27% to overall costs,
314 depending on the strategy (figure 2). In strategies with QCs, these centres contributed 26-30%
315 to overall costs. In strategies without QCs, ICU care was the largest contributor to costs, ranging
316 from 38-71%. Costs exclusive of ICU care were \$125 million (*HT*), \$413 million
317 (*HT+CT+IC+MS*), and \$461 million (*HT+CT+IC+MS+QC*), reflecting approximately 4%, 13%,
318 and 15% of the 2019 KwaZulu-Natal Department of Health budget. CT and MS together
319 contributed $\leq 10\%$ and ICs contributed 22-31% to overall costs in strategies in which they were
320 used. For an epidemic with $R_e=1.2$, costs exclusive of ICU care were \$71 million (*HT*), \$159
321 million (*HT+CT+IC+MS*), and \$167 million (*HT+CT+IC+MS+QC*), reflecting 2%, 5%, and 5% of
322 the 2019 KwaZulu-Natal Department of Health budget.

323

324 In sensitivity analyses, results were similar to the base case (i.e., *HT+CT+IC+MS+QC* remained
325 cost-effective) when varying costs of CT and MS (table S3) and hospitalisation (table S4);
326 varying PCR sensitivity and time to result (table S5) and PCR cost (table S6); and varying
327 availability of hospital and ICU beds (table S7). When PCR sensitivity increased to 90%, both
328 *HT+CT+IC+MS* (ICER \$440/YLS) and *HT+CT+IC+MS+QC* (ICER \$1,660/YLS) used resources
329 efficiently.

330

331 Conversely, our projected ICERs changed meaningfully in a model with $R_e=2.6$ – resource
332 requirements increased substantially, making *HT+CT+IC+MS+QC* no longer cost-effective
333 (ICER \$25,040/YLS), and all strategies had ICERs above our cost-effectiveness threshold
334 compared with *HT* (table S8). The pattern of results with $R_e=1.1$ was similar to that with $R_e=1.2$
335 (table S8). When the efficacies of CT and MS to detect infections were halved from the base

336 case values, $HT+CT+IC+MS+QC$ was no longer cost-effective (ICER \$5,930/YLS) (table S9).
337 When the efficacy of ICs/QCs for transmission reduction was decreased from 95% to 75%,
338 $HT+CT+IC+MS+QC$ was not cost-effective (\$12,490/YLS) (table S10). When the IC/QC costs
339 decreased, $HT+CT+IC+MS+QC$ became more favourable in terms of cost-effectiveness, and it
340 remained cost-effective when IC/QC costs were double those of the base case (table S11).
341
342 In a multi-way sensitivity analysis that varied CT/MS efficacy and reduced IC/QC efficacy and
343 cost to assess lower-cost but potentially lower-efficacy home-based IC and QC programs,
344 $HT+CT+IC+MS+QC$ or $HT+CT+IC+QC$ were cost-effective in nearly all scenarios in which
345 CT/MS efficacy for case detection was double that of the base case efficacy (figure S5). When
346 CT/MS efficacy for case detection was half that of the base case efficacy, strategies involving
347 quarantine centres were less likely to be cost-effective. If quarantine centers were not an option,
348 then $HT+CT+IC+MS$ was cost-effective in most scenarios (figure S6).
349

350 **DISCUSSION**

351 Public health strategies combining contact tracing, isolation of those with confirmed COVID-19,
352 community-based mass symptom screening, and quarantine of household contacts of confirmed
353 cases will substantially reduce infections, hospitalisations, and deaths while efficiently using
354 healthcare resources in KwaZulu-Natal, South Africa. We estimate that a strategy combining all
355 interventions would cost an additional \$340 per year-of-life saved, which compares favourably
356 with the cost-effectiveness of many established public health interventions in South Africa,
357 including tuberculosis diagnostic testing¹⁷ and cervical cancer screening.¹⁸ In scenarios in which
358 implementation of quarantine centres cannot be accomplished, a strategy of contact tracing,
359 isolation centres, and mass symptom screening would be cost-effective.

360
361 Notably, the cost-effectiveness of strategies was sensitive to epidemic growth conditions. We
362 conducted sensitivity analyses intended to generalize to other settings with resource
363 constraints, to epidemics at varying degrees of acceleration (including published estimates in
364 South Africa^{11,19}), and with varying intervention costs.²⁰ With low epidemic growth (R_e 1.1-1.2),
365 *HT+CT+IC+QC* was the optimal strategy; QCs remained cost-effective but adding MS was not
366 cost-effective. With high epidemic growth (R_e 2.6), when the epidemic outpaced control
367 measures and costs increased substantially, no combination of the modelled interventions was
368 cost-effective compared with *HT* alone.

369
370 Our model parameters and specifications were selected for their relevance to LMICs. Our
371 estimates are based on the population structure of KwaZulu-Natal, with a median age of 25
372 years (compared with 38 years in the USA), and thus are likely to reflect epidemic scenarios in
373 LMICs with similarly young age structures. We chose intervention scenarios based on prior work
374 supporting their efficacy for epidemic control, WHO recommendations, and particular relevance
375 to settings with limitations in formal healthcare infrastructure.⁵⁻⁷ We did not limit the PCR testing

376 availability – so that the total number of tests needed and associated costs could be estimated –
377 and peak PCR use reached approximately 10,000-15,000 tests/day in the optimal strategies,
378 marginally above established capacity in KwaZulu-Natal during the recent surge.²¹ We specified
379 the model to reflect the number of available hospital and ICU beds in KwaZulu-Natal,¹⁴ and
380 results were similar when we further restricted bed availability to that elsewhere in SSA.¹⁶
381 Contact tracing and community-based screening have been frequently used for case-finding in
382 LMICs.²² Many SSA countries are thus theoretically poised to implement such interventions
383 through established networks of community health workers. Finally, isolation centres, which are
384 likely to require the greatest investment in new infrastructure, have been implemented
385 successfully in response to Ebola epidemics in West Africa and the Democratic Republic of
386 Congo, where healthcare resources are among the lowest in the world.²³ South Africa has
387 rapidly implemented and expanded COVID-19 related services in recent months, but further
388 scale-up would be required to meet demand in some of our modelled scenarios.^{21,24}
389
390 Isolation centres in our model are designed as housing facilities for people with confirmed
391 COVID-19 who do not require hospital-level care but cannot safely isolate at home. We
392 estimated that their use reduces ongoing transmission after a confirmed diagnosis from 50% (in
393 the *HT* strategy) to 5%. They are likely to be most effective in areas with high household density
394 and limited capacity for in-home isolation, as is the case for many urban centres in SSA.
395 Quarantine centres, which include optional housing for contacts who test negative and cannot
396 safely distance during the latency period, have also been proposed for interrupting epidemic
397 spread and were implemented in the early phases of the COVID-19 response in China. They
398 were effective in our model at reducing the deleterious impact of the epidemic and were cost-
399 effective in many modelled scenarios.

400

401 Importantly, there are critical social and human rights considerations to implementation of
402 isolation and quarantine in many settings, due to trade-offs between public health benefits and
403 civil liberties.²⁵ In our model, both interventions are provided optionally for those who cannot do
404 so safely at home, but we conservatively included costs to reflect needs should they be used.
405 We also considered the use of home-based isolation and quarantine in a multi-way analysis that
406 reduced efficacies and costs of both. We found that isolation and quarantine remained cost-
407 effective in some lower efficacy scenarios, particularly if their costs were also reduced. On
408 balance, from a public health perspective, our findings support use of quarantine centres in
409 areas with individual and community support for their use.

410
411 Our model should be interpreted within the context of several limitations. We did not account for
412 heterogenous mixing within the population. Instead, we assumed that contact patterns were
413 random, as commonly done in infectious disease models. We assumed that the age-adjusted
414 prevalence of non-communicable co-morbidities in South Africa would be similar to that in the
415 US and that age would be the primary driver of COVID-19 outcomes as demonstrated in
416 multiple settings.^{26–28} In line with most published studies, we conservatively assumed no
417 modifying effect of HIV on the severity of COVID-19, though additional data are needed from
418 HIV-endemic countries to support this.^{28,29} If the high prevalence of non-communicable diseases
419 and/or HIV in South Africa does worsen COVID-19 outcomes compared with resource-rich
420 settings, then the benefit of public health interventions in terms of years-of-life saved and cost-
421 effectiveness will likely be greater than our estimates. Nonetheless, in extending projections
422 beyond the 360-day model horizon, we accounted for South Africa-specific mortality rates in our
423 calculations of life expectancy and years-of-life lost. It will be crucial to consider how resources
424 and interventions implemented in response to COVID-19 will impact available resources for
425 other regional healthcare priorities. We did not include lifetime costs of healthcare beyond
426 COVID-19 or of sequelae among the recovered, and we did not account for impacts of COVID-

427 19 interventions on other economic sectors. As with all modelling exercises, our estimates are
428 determined by assumptions of input parameters. We selected COVID-19 clinical parameters
429 based on the published literature, which are largely derived from high-income settings.
430 Intervention efficacy estimates were hypothesized based on other model parameters, existing
431 literature where available, or expert opinion if no data were available. Recognizing a lack of
432 empiric data for some of these estimates, we focused our sensitivity analyses on varying those
433 for which data was lacking. Finally, costing data were derived from the literature and direct cost
434 estimates from local suppliers in KwaZulu-Natal and therefore might not reflect costs in other
435 contexts nor full implementation and scale-up costs. Nonetheless, our primary findings and
436 policy conclusions were largely consistent across a range of costing estimates.

437
438 We recommend that policymakers consider contact tracing, isolation of confirmed cases, mass
439 symptom screening, and quarantine of household contacts of cases to address COVID-19
440 epidemic control efficiently. Where quarantine centres are not feasible – for example, due to
441 budget constraints or lack of public support – a strategy that includes the other interventions
442 would still provide clinical benefit in an economically efficient manner.

443 **AUTHOR ROLES**

444 All authors contributed substantively to this manuscript in the following ways: study and model
445 design (all authors), data analysis (KPR, FMS, JHAF, GH, KPF, KAF, PK, MJS), interpretation
446 of results (all authors), drafting the manuscript (KPR, MJS), critical revision of the manuscript
447 (all authors) and final approval of submitted version (all authors).

448

449 **CONFLICTS OF INTEREST AND FINANCIAL DISCLOSURES**

450 The authors have no conflicts of interest or financial disclosures.

451

452 **DATA SHARING STATEMENT**

453 This modelling study involved the use of published or publicly available data. The data used and
454 the sources are described in the manuscript and appendix. No primary data were collected for
455 this study. Model flowcharts are in the appendix.

456

457 **ACKNOWLEDGMENTS**

458 This work was supported by the National Institutes of Health [R37 AI058736, K24 AR057827,
459 and T32 AI007433] and by a fellowship from the Royal Society and Wellcome Trust
460 [210479/Z/18/Z].

461
462 The funding sources had no role in the study design, data collection, data analysis, data
463 interpretation, writing of the manuscript, or in the decision to submit the manuscript for
464 publication. The content is solely the responsibility of the authors and does not necessarily
465 represent the official views of the funding sources.

466

467 The authors thank Nicole McCann for technical assistance.

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482 [sprp-unct-guidelines.pdf?sfvrsn=81ff43d8_4](https://www.who.int/docs/default-source/coronaviruse/covid-19-sprp-unct-guidelines.pdf?sfvrsn=81ff43d8_4) (accessed June 24, 2020).
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557 **TABLES**

558

559

Table 1. Input parameters for a model-based analysis of COVID-19 intervention strategies in KwaZulu-Natal, South Africa.

Parameter	Base Case Value (Range)	Source
Cohort Characteristics		
Age distribution, %		12
0-19y	40.26	
20-59y	51.48	
≥60y	8.26	
Natural History		
Proportion in each health state at model start, %		Asm.
Susceptible	99.900	
Infected		
Pre-infectious latency	0.030	
Asymptomatic	0.030	
Mild/moderate disease	0.030	
Severe disease	0.005	
Critical disease	0.005	
Recuperation after critical disease	0.000	
Recovered	0.000	
Transmission		
Probability of onward transmission, daily, stratified by health state*		See Appendix
Asymptomatic	0.1556	
Mild/moderate disease	0.1266	
Severe disease	0.0088	
Critical disease	0.0070	
Recuperation after critical disease	0.0088	
Effective reproductive number (R_e , range)	1.5 (1.1-2.6)	11

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564

565 **Table 1, continued.**

PCR Testing		
Sensitivity [†] , nasopharyngeal specimen, % (range)	70 (50-90)	30,31
Specificity, nasopharyngeal specimen, %	100	Asm.
Cost, 2019 US\$ (range)	26 (13-52)	AHRI
Time to result return and action, days (range)	5 (1-7)	AHRI
Resource Utilization		
Resources available per 11,000,000 people, n		
Hospital beds	26,220	14
ICU beds	748	14
Isolation centre beds	As needed, no capacity limitation	Asm.
Quarantine centre beds	As needed, no capacity limitation	Asm.
Cost, per person, 2019 US\$ (range)		
Hospital bed, daily	165 (83-330)	32
ICU bed, daily	2,059 (1,030-4,118)	33
Contact tracing/mass symptom screen, per instance	3 (2-6)	AHRI
Isolation centre bed, daily	44 (22-88)	AHRI
Quarantine centre bed, daily	37 (19-74)	AHRI

566
 567 COVID-19: coronavirus disease 2019. y: years. Asm.: assumption. PCR: polymerase chain reaction. US\$: United States dollars. ICU:
 568 intensive care unit. AHRI: Africa Health Research Institute (KwaZulu-Natal, South Africa; personal communication).

569
 570 Values indicated are those applied in the base case analyses or, in parentheses, the ranges evaluated in sensitivity analysis.

571 *These values reflect transmission probabilities in a scenario in which R_e is 1.5.

572 [†]Test sensitivity does not vary by disease stage, except that it is 0% in the pre-infectious latency phase.

Table 2. Model-projected life-years lost, healthcare costs, and cost-effectiveness of COVID-19 intervention strategies in KwaZulu-Natal, South Africa.

Effective reproduction number (R_e)	Strategy	Total life-years lost,* n	Healthcare costs over 360 days, US\$ [†]	ICER, US\$/YLS [‡]
1.5	<i>HT</i>	450,940	437,000,000	--
	<i>HT+CT+IC+MS+QC</i>	27,220	581,000,000	340
	<i>HT+CT</i>	322,970	588,000,000	DOMINATED
	<i>HT+CT+IC+MS</i>	60,930	668,000,000	DOMINATED
	<i>HT+CT+IC</i>	128,890	780,000,000	DOMINATED
	<i>HT+CT+IC+QC</i>	60,190	965,000,000	DOMINATED
1.2	<i>HT+CT+IC+QC</i>	3,890	139,000,000	--
	<i>HT+CT+IC</i>	6,850	141,000,000	DOMINATED
	<i>HT+CT+IC+MS</i>	4,260	183,000,000	DOMINATED
	<i>HT+CT+IC+MS+QC</i>	2,040	190,000,000	27,590
	<i>HT+CT</i>	32,040	276,000,000	DOMINATED
	<i>HT</i>	97,600	393,000,000	DOMINATED

COVID-19: coronavirus disease 2019. ICU: intensive care unit. US\$: United States dollars. ICER: incremental cost-effectiveness ratio. YLS: year-of-life saved. HT: healthcare testing. CT: contact tracing within households. IC: isolation centre. MS: mass symptom screen. QC: quarantine centre. DOMINATED: strong dominance, resulting in more life-years lost and higher costs than an alternative strategy.

Strategies are listed in order of ascending costs, per convention of cost-effectiveness analysis. The cost-effective strategy is highlighted in light grey in each R_e scenario. The displayed life-years and costs are rounded, but the ICER was calculated with non-rounded life-years and costs.

*We assumed that each death results in 16.8 life-years lost, on average, based on our derivation (appendix).

[†]This reflects costs to the healthcare sector.

*The ICER is the difference between two strategies in costs divided by the difference in undiscounted life-years (16.8 YLS per averted COVID-19 death, see appendix p.5-6). We considered a strategy cost-effective if its ICER was less than US\$3,250/YLS.¹⁰ When we used life-years discounted 3%/year (12.5 discounted YLS per averted COVID-19 death), cost-effectiveness interpretations were unchanged: in the $R_e=1.5$ scenario, $HT+CT+IC+MS+QC$ remained cost-effective with ICER \$460/YLS compared with HT ; in the $R_e=1.2$ scenario, $HT+CT+IC+MS+QC$ had ICER \$37,210/YLS compared with $HT+CT+IC+QC$.

574 **Table 3. Model-projected resource utilization of COVID-19 intervention strategies in KwaZulu-Natal, South Africa.**

Effective reproduction number (R_e)	Strategy	Cumulative PCR tests performed over 360 days, n	Peak daily resource use, n				
			PCR tests	Hospital beds (non-ICU)	ICU beds*	Isolation centre beds	Quarantine centre beds
1.5	<i>HT</i>	1,527,450	14,820	4,690	748	--	--
	<i>HT+CT+IC+MS+QC</i>	3,904,230	12,900	640	341	12,380	18,140
	<i>HT+CT</i>	5,951,180	31,050	3,440	748	--	--
	<i>HT+CT+IC+MS</i>	4,639,280	16,930	1,320	715	21,260	--
	<i>HT+CT+IC</i>	4,904,010	19,340	1,930	748	30,510	--
	<i>HT+CT+IC+QC</i>	4,478,770	16,710	1,380	737	26,710	39,470
1.2	<i>HT+CT+IC+QC</i>	2,963,280	9,870	590	363	1,840	3,110
	<i>HT+CT+IC</i>	3,025,260	9,870	590	363	1,620	--
	<i>HT+CT+IC+MS</i>	3,159,950	10,520	570	396	1,510	--
	<i>HT+CT+IC+MS+QC</i>	3,120,800	10,520	570	396	1,860	3,480
	<i>HT+CT</i>	3,647,570	12,450	770	506	--	--
	<i>HT</i>	766,140	4,440	1,680	748	--	--

COVID-19: coronavirus disease 2019. PCR: polymerase chain reaction. ICU: intensive care unit. HT: healthcare testing. CT: contact tracing within households. IC: isolation centre. MS: mass symptom screen. QC: quarantine centre.

Strategies are listed in order of ascending costs as indicated in table 2, per convention of cost-effectiveness analysis. The cost-effective strategy is highlighted in light grey in each R_e scenario.

*The total number of available ICU beds was 748.

575 **FIGURE LEGENDS**

576

577 **Figure 1. Cost-effectiveness efficiency frontier: COVID-19 intervention strategies in**

578 **KwaZulu-Natal, South Africa.**

579

580 COVID-19: coronavirus disease 2019. HT: healthcare testing. CT: contact tracing within

581 households. IC: isolation centre. MS: mass symptom screen. QC: quarantine centre.

582

583 Model results are shown for an effective reproduction number of 1.5. Strategies that are below the

584 line are dominated – i.e., an inefficient use of resources compared with other strategies. For non-

585 dominated strategies, ICERs are shown below the strategy label.

586

587

588 **Figure 2. Budget impact analysis: contributors to healthcare costs of COVID-19**

589 **intervention strategies in KwaZulu-Natal, South Africa.**

590

591 SARS-CoV-2: severe acute respiratory syndrome coronavirus 2. COVID-19: coronavirus disease

592 2019. R_e : effective reproduction number. HT: healthcare testing. CT: contact tracing within

593 households. IC: isolation centre. MS: mass symptom screen. QC: quarantine centre.

594

595 Panel A shows results for an epidemic with $R_e=1.5$, and Panel B shows results for an epidemic

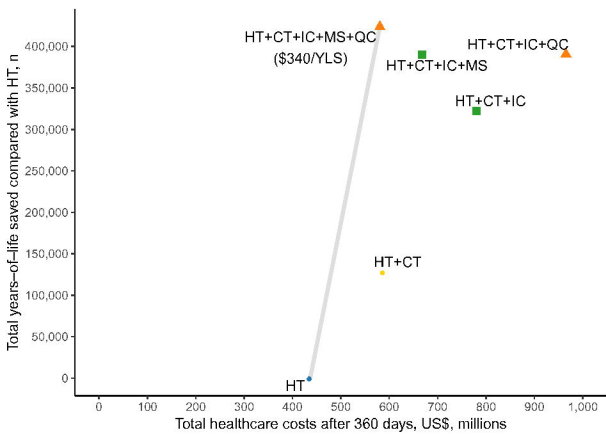
596 with $R_e=1.2$. The figures show the total and component COVID-19-related healthcare costs, from

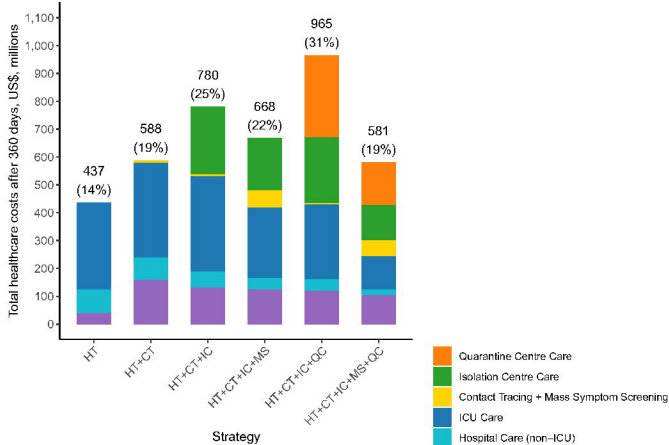
597 a health sector perspective, associated with different intervention strategies when applied to the

598 entire KwaZulu-Natal population of 11 million people. The costs are derived from model-generated

599 results. Percentages in parentheses represent the proportion of the 2019 KwaZulu-Natal

600 Department of Health budget.



(A) $R_e=1.5$ (B) $R_e=1.2$ 