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Oscillatory ventilation redux: alternative perspectives on ventilator-induced lung injury in the acute respiratory distress syndrome

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For patients with the acute respiratory distress syndrome (ARDS), ventilation strategies that limit end-expiratory derecruitment and end-inspiratory overdistension are the only interventions to have significantly reduced the morbidity and mortality. For this reason, the use of high-frequency oscillatory ventilation (HFOV) was considered to be an ideal protective strategy, given its reliance on very low tidal volumes cycled at very high rates. However, results from clinical trials in adults with ARDS have demonstrated that HFOV does not improve clinical outcomes. Recent experimental and computational studies have shown that oscillation of a mechanically heterogeneous lung with multiple simultaneous frequencies can reduce parenchymal strain, improve gas exchange, and maintain lung recruitment at lower distending pressures compared to traditional 'single-frequency' HFOV. This review will discuss the theoretical rationale for the use of multiple oscillatory frequencies in ARDS, as well as the mechanisms by which it may reduce the risk for ventilator-induced lung injury.

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Introduction

Of the many pathologies associated with respiratory failure, the acute respiratory distress syndrome (ARDS) is perhaps the most devastating in terms of outcome. Respiratory failure from ARDS is associated with mortality approaching 40% [1]. Survivors may also be burdened with substantial morbidity, including long-term physical and mental health impairments [2]. ARDS thus imposes significant burdens on public health resources worldwide,

and only minimal improvements in outcomes have occurred over recent decades [3]. Risks for developing ARDS include a diverse range of predisposing factors and initiating insults, such as aspiration, trauma, sepsis, pneumonia, inhalation injury, blood product transfusion, or burns. Regardless of etiology, the syndrome results in a progressive deterioration of lung function towards a final common pathway: hypoxemic respiratory failure characterized by alveolar flooding, derecruitment, reduced compliance, increased shunt fraction, and increased dead space. A key pathologic feature of ARDS is the heterogeneous structural derangements to the lung tissues, arising from inflammation, edema, surfactant dysfunction, and fibroproliferation [4**].

Endotracheal intubation and supportive conventional mechanical ventilation (CMV) remain the mainstays of treatment for the early management of ARDS. However CMV may exacerbate existing lung injury, due to cyclic, intratidal overdistention (*volutrauma*) and repeated, asynchronous opening and closing of airspaces with each inflation (*atelectrauma*). The mechanical stresses associated with these phenomena, as well as the temporal rates at which they are applied [5], result in the release of cytokines and other inflammatory mediators (*biotrauma*) that can further exacerbate the existing injury [6,7]. This *ventilator-induced lung injury* (VILI) is thus a direct result of the mechanical heterogeneity of injured parenchyma, leading to maldistribution of ventilation and corresponding impairments in gas exchange. Since ventilation distribution in ARDS is governed by a heterogeneous distribution of regional mechanics, the most appropriate distending pressure, ventilation frequency, or tidal volume for one lung region may not necessarily be the same for another, even within the same patient. This conundrum of minimizing injurious stretch, while maintaining life-supporting gas exchange, is central to the ventilator management of these complex patients [8].

Ventilation strategies that limit this end-expiratory derecruitment and end-inspiratory overdistension are the only interventions to have significantly reduced the morbidity and mortality of ARDS, using low tidal volume (V_T) or driving pressure (ΔP) to reduce inspiratory overdistension [9,10], and appropriate levels of positive end-expiratory pressure (PEEP) to limit end-expiratory opening and closing [11*]. Such 'protective' ventilation strategies, however, may result in significant hypoventilation of the injured lung. Increasing respiratory rate is the only

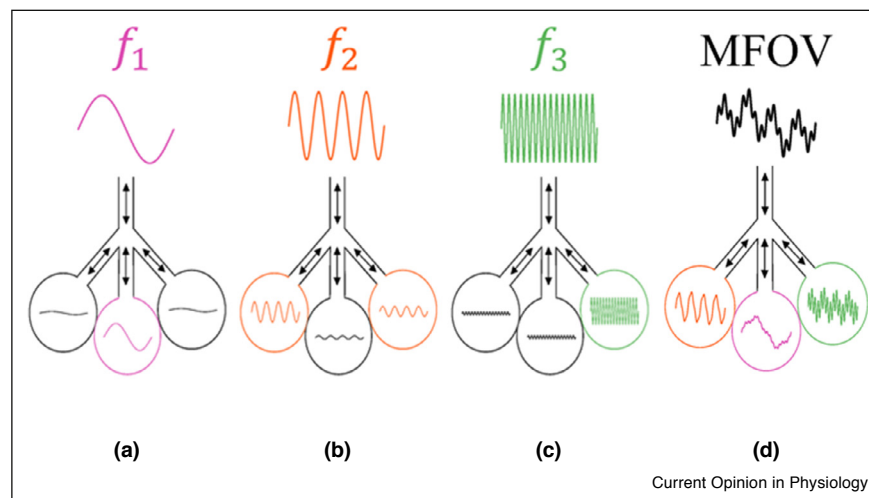
means available to increase CO_2 removal during CMV, but may be largely ineffective given the increased dead space and ventilation-to-perfusion mismatch associated with ARDS [12]. Adjustments to V_T , ΔP , or PEEP based on such criteria provide little insight into how such interventions impact regional gas transport in the injured lung, or how to customize ventilator management for the pathophysiology of an individual patient. For example, optimal PEEP for a given patient depends much more on the unique pattern of injury and the amount of recruitable lung [13], rather than on oxygenation alone [14]. Moreover, there may still exist focal regions of high stress and strain within the lung, despite apparently modest distending pressures transduced at the airway opening [15,16**]. Thus the ability to improve, if not optimize, non-injurious ventilation in patients with ARDS is a consideration not only for improving in clinical outcomes, but also for appropriate management of scarce resources.

The rise and fall of high frequency oscillatory ventilation in ARDS

High-frequency oscillatory ventilation (HFOV) is an alternative form of mechanical ventilation utilizing tidal volumes smaller than anatomic dead space, 10-fold to 50-fold higher respiratory frequencies than CMV, and high instantaneous flows. Compared to CMV which relies on convective transport as the dominant mechanism for gas exchange [17], HFOV relies on several different mechanisms, including turbulence, pendelluft, asymmetric velocity profiles, Taylor dispersion, molecular diffusion, collateral ventilation, and cardiogenic mixing [18,19]. The relative contributions for any of these gas transport mechanisms are highly dependent on the frequency and amplitude of oscillation, the

branching morphometry of the airway tree, as well as the size and mechanical properties of the lung [20,21*]. HFOV thus appears to achieve many of the goals of a lung protective strategy, utilizing appropriate mean airway pressures to sustain recruitment, and small tidal volumes to limit overdistention. Consequently, HFOV was initially thought to be panacea for ARDS and VILI [22–24]. Despite some initial promising results [25–28], subsequent large randomized clinical trials and meta-analyses indicated that HFOV did not reduce mortality in adults with ARDS [29–31]. Moreover, HFOV has not been shown to be superior to CMV in preterm or low birth weight infants [32]. This failure of HFOV to reduce mortality suggested suboptimal, if not injurious, ventilation of the injured lung, potentially arising from variable (and unpredictable) effects of frequency, amplitude, and mean airway pressure [33–35]. The primary determinant of ventilation distribution in the injured lung is the distribution of regional mechanical properties of the airways and parenchyma, such as local resistance, inertance, and elastance [33]. Even within the same patient, the most appropriate distending pressure, ventilation frequency, or flow/volume amplitude for one region of the lung may not necessarily be the same for another [36]. When the lung exhibits such spatial mechanical heterogeneity, local ventilation distribution becomes highly frequency-dependent [20,21*,34,37,38], and the most effective frequency for optimal gas exchange in a given region will vary depending on its local mechanical properties [33,35]. Such frequency-dependence of regional ventilation may also lead to regional hyperinflation and/or derecruitment of the lung in the setting of mechanically heterogeneous disease [33,35,39,40]. Consistent with this notion, both computational and experimental studies have

Figure 1



Schematic of the concept of multifrequency oscillatory ventilation (MFOV) using a three compartment lung model. Each lung unit preferentially receives convective flows at different oscillatory frequencies, depending on its compliance (or elastance). One unit prefers lower frequencies (a), while the others prefer intermediate (b) or higher (c) frequencies. When these distinct oscillatory frequencies are simultaneously combined into a spectrally broadband MFOV waveform (d), each compartment can selectively ‘filter out’ its non-preferential frequencies.

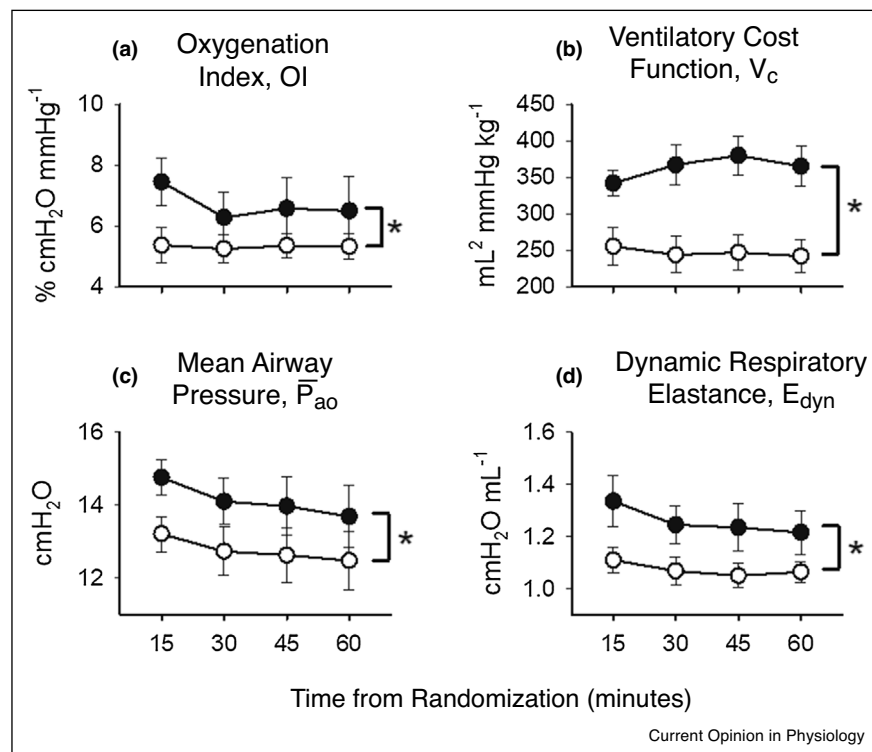
demonstrated that oscillatory ventilation at a single high frequency results in some regions of the lung being under-ventilated and subjected to the risk of atelectrauma, while other regions are overventilated and at risk for volutrauma [21*,33,34,41]. Such data indicate that small amplitude volume oscillation at a single, arbitrary frequency is not suitable for maintaining effective gas transport and exchange throughout a spatially heterogeneous lung. In addition, the use of very high mean airway pressures during HFOV may impair venous return and cardiac output, with implications for end-organ perfusion [42].

Oscillatory ventilation revisited: the use of multiple simultaneous frequencies

Strategies to optimize CMV or HFOV based on arbitrary targets for V_T , ΔP , PEEP, or mean airway pressure neglect the important influence of regional mechanical heterogeneity on ventilation distribution [33–35,43]. Recent studies have proposed that mechanical function and gas exchange in the lung can be significantly improved if volume oscillations are applied at multiple frequencies simultaneously, rather than at a single high frequency,

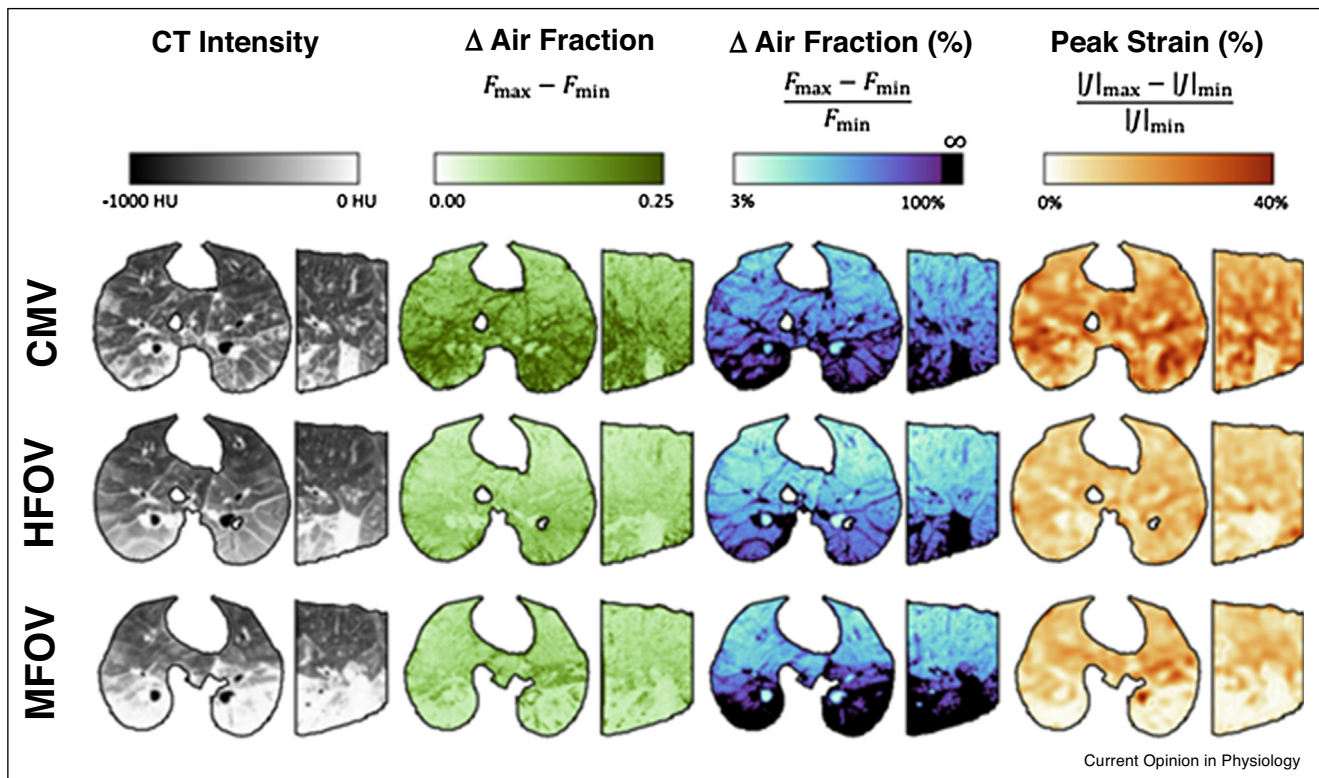
due to more even distribution of ventilation to different regions in accordance with local mechanical properties [44–48]. This unique modality has been termed *Multi-Frequency Oscillatory Ventilation* (MFOV), as a natural extension of HFOV. MFOV is specifically designed to complement the heterogeneity of the injured lung, by relying on the local mechanical impedances of the airways and parenchyma, which can selectively filter out flows of ‘less-desirable’ frequencies, while simultaneously allowing flows at frequencies more ‘optimal’ for a particular region to participate in gas exchange (Figure 1). With further adjustments in oscillatory volume amplitude and mean airway pressure, MFOV may improve gas exchange in the injured lung while minimizing the detrimental effects of cyclic alveolar overdistention and derecruitment [44]. MFOV attempts to *exploit* mechanical heterogeneity in the lung, by the design and implementation of flow waveforms with spectral content more appropriate for ARDS. Accordingly, MFOV challenges current paradigms for ventilator management in ARDS that seek to reduce the influence of regional mechanical heterogeneity on gas exchange and exacerbation of injury [49].

Figure 2



Summary of (a) oxygenation index OI, (b) ventilator cost function V_c , (c) mean airway pressure \bar{P}_{ao} , and (d) dynamic respiratory elastance E_{dyn} versus time in thirteen lambs randomly assigned to receive traditional single-frequency HFOV (closed symbols) or MFOV (open symbols) in a crossover design study. OI was computed as $(F_{I}O_2 \bar{P}_{ao})/P_aO_2$, where $F_{I}O_2$ denotes the fraction of inspired O_2 and P_aO_2 arterial O_2 tension. V_c was computed as $(V_{rms}^2 P_aCO_2)/Wt$, where V_{rms}^2 denotes the root mean square of the airway volume waveform, P_aCO_2 denotes the arterial CO_2 tension, and Wt denotes subject weight. *Significant difference between SFOV and MFOV modalities, using two-way repeated-measures ANOVA with Tukey Honest Significant Difference criterion. All data are expressed as mean \pm standard error. Modified from Ref. [44], with permission.

Figure 3



Example transverse and sagittal maps of original CT Hounsfield intensities, absolute and relative changes (Δ) in air fraction, and peak strain for a representative porcine subject with acute lung injury induced with oleic acid. Changes in air fraction F are computed based on Hounsfield intensities, assuming air and tissues have intensities -1000 HU and 0 HU, respectively. Peak strain is computed based on the change in the Jacobian determinant $|J|$ of the image registration deformation matrix. See Ref. [47] for details.

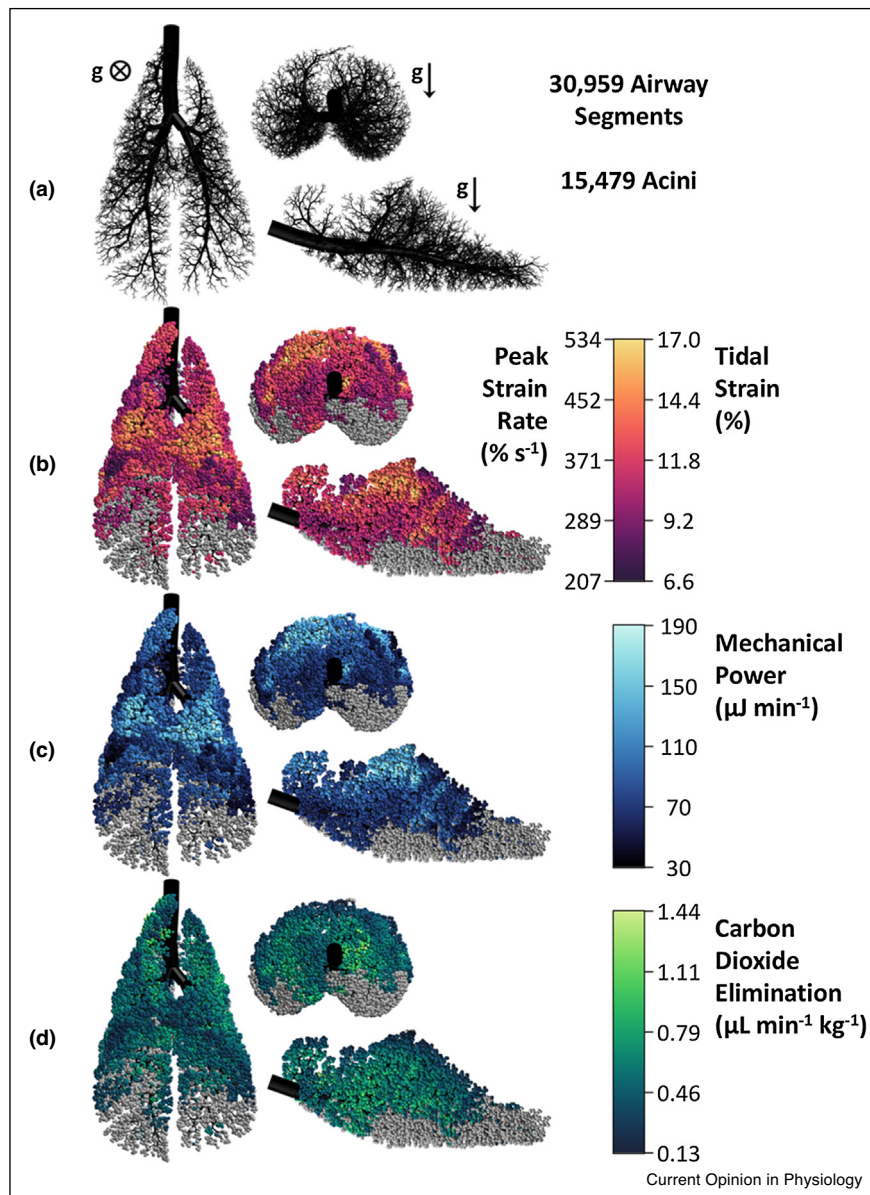
However, its use requires a sophisticated, higher order understanding of the interplay of regional mechanics, ventilation distribution, and gas exchange. Such understanding has been made possible by recent experimental and computer simulation studies.

A previous study in preterm lambs demonstrated that a generic MFOV waveform, generated by a commercially available hybrid pediatric ventilator-oscillator, could achieve significantly better oxygenation (Figure 2-a) and more efficient CO_2 elimination (Figure 2-b) compared to traditional single-frequency HFOV in preterm lambs [44]. These improved indices of gas exchange could also be maintained with significantly lower mean airway pressures (Figure 2-c), possibly indicating that the additional frequencies in the MFOV waveform enhanced lung recruitment. Moreover, respiratory system elastance was also significantly lower during MFOV compared to HFOV (Figure 2-d), consistent with enhanced lung recruitment at lower distending airway pressures. These data therefore indicate that MFOV can be a more efficient ventilatory modality in preterm lungs compared to traditional HFOV, and can maintain

lung recruitment at lower mean airway pressures. More recently in a dynamic CT imaging study of porcine lung injury [47], both HFOV and MFOV improved gas exchange efficiency and reduced intratidal variations in regional strain compared to CMV (Figure 3). These results also indicated that parenchymal strain during oscillatory ventilation was regionally heterogeneous and dependent on frequency. MFOV also significantly reduced the average regional intratidal strain throughout the injured lung compared to either CMV or HFOV, and reduced the spatial gradients of strain compared to CMV.

Consistent with the premise for MFOV, computer simulations in three-dimensional canine, porcine, and human lungs have demonstrated that ventilation distribution and CO_2 elimination is spatially clustered [20,21,45,50], and highly dependent on both the degree of heterogeneity as well as oscillatory frequency (Figure 4). Such regional and frequency-dependent differences in gas exchange support the notion that MFOV is ideally suited for the heterogeneously injured lung. More importantly, reduced heterogeneity in the distributions of ventilation and

Figure 4



Computational model of a porcine lung with heterogeneous injury. The airway tree is shown in black (a), while the colored acini denote tidal strain (b), mechanical power (c), and CO_2 elimination (d) throughout the model during oscillatory ventilation at 10 Hz and 46.7 mL. These oscillatory settings resulted in $5.5 mL min^{-1} kg^{-1}$ of total CO_2 elimination for the whole model. Derecruited acini are shown in gray (~39% of all acini in the model). Direction of gravity g is into the page (\otimes) or toward the bottom of the page (\downarrow) as indicated. Modified from Ref. [46], with permission.

parenchymal strain can be achieved by the superposition of multiple simultaneous oscillatory frequencies, potentially reducing the risk for VILI compared to traditional single-frequency HFOV [45]. It is also theoretically possible that lung-protective MFOV waveforms can be specifically ‘tuned’ to mechanically heterogeneous lungs of individual patients [46], with sufficiently low flow/volume amplitudes to reduce parenchymal overdistention, while still being inflated with appropriate distending pressures to reduce cyclic recruitment/derecruitment. However,

this likely would require more advanced imaging techniques [47].

Conclusions

In the light of recent clinical trials, debates on the merits of oscillatory ventilation as management strategy for ARDS has been tempered [51–53], even though it may still hold promise for patients with severe hypoxemia [54]. Perhaps this indicates a premature abandonment of this very unique, albeit nonintuitive, ventilatory modality in

adults [55], before a sufficient understanding of its physiologic risks and benefits could be achieved. Nonetheless, there remains considerable room for improvement in the delivery of oscillatory ventilation in patients [56,57]. By taking advantage of the unique relationship between oscillatory frequency and ventilation distribution in the mechanically heterogeneous lung, MFOV may hold promise as a protective oscillatory ventilation strategy in ARDS and other forms of acute respiratory failure. However, its eventual use in patients will require further preclinical studies to understand its potential applications in other pathophysiologies relevant to ARDS. For example, exacerbations of asthma, COPD, or pneumonia also manifest themselves in a very spatially heterogeneous manner throughout the lung. Thus MFOV may also have implications for the management of acute respiratory failure from other etiologies. The possibility that MFOV can more efficiently penetrate 'difficult-to-reach' regions of the lung also has implications for the optimal delivery of aerosols and drugs, such as beta agonists, steroids, or even inhaled volatile anesthetics [58,59]. Moreover, the ability to enhance gas exchange at lower mean airway pressures may make MFOV a more appropriate ventilator modality in patients with impaired cardiac function [60], or in pathologies for which a structurally weakened parenchyma increases the risk barotrauma [61,62]. Whether other therapeutic interventions that are known to be efficacious in ARDS, such as prone positioning [63], confer additional lung protection when used in combination with MFOV is of course only speculative at this point. Thus while MFOV may have potential to change current ventilator management in critically ill patients, there remain fundamental questions regarding the mechanisms by which it improves gas exchange and mechanical function. It is also unclear if there are limits on the degree of lung mechanical heterogeneity for which MFOV can still maintain protective ventilation and efficacious gas exchange [33,45,46,64]. The preliminary experimental and computational studies presented in this review suggest that MFOV has potential to reduce the risk of VILI compared to CMV or traditional HFOV, at least based on short term physiologic and mechanical metrics. The potential for MFOV or other oscillatory modalities to reduce the morbidity and mortality associated with ARDS must of course await future clinical trials.

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Conflict of interest statement

Dr Kaczka is a co-founder and shareholder of OscillaVent, Inc., and is a co-inventor on a patent involving multi-frequency oscillatory ventilation.

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