## Role of the Gut–Liver Axis in Liver Inflammation, Fibrosis, and Cancer: A Special Focus on the Gut Microbiota Relationship

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The gut and the liver are anatomically and physiologically connected, and this "gut–liver axis" exerts various influences on liver pathology. The gut microbiota consists of various microorganisms that normally coexist in the human gut and have a role of maintaining the homeostasis of the host. However, once homeostasis is disturbed, metabolites and components derived from the gut microbiota translocate to the liver and induce pathologic effects in the liver. In this review, we introduce and discuss the mechanisms of liver inflammation, fibrosis, and cancer that are influenced by gut microbial components and metabolites; we include recent advances in molecular-based therapeutics and novel mechanistic findings associated with the gut–liver axis and gut microbiota. (*Hepatology Communications* 2019;3:456-470).

The intestinal tract and the liver are anatomically and physiologically connected. This relationship between the two has been called the "gut–liver axis," and the effects of intestinal metabolites on the liver are considered very important for the onset and progression of liver diseases.<sup>(1-4)</sup> The gut microbiota, in particular, has recently emerged as an important gut–liver axis-mediated factor. Attenuation of the gut barrier function by excessive intake of tissue damaging foods, such as alcohol and/or a high-fat diet (HFD), renders large amounts of gut microbial components (so-called microbe-associated molecular patterns [MAMPs]) and bacterial metabolites or even the gut microbiota itself susceptible to transfer to the liver. This can promote serious liver diseases, such as hepatic inflammation, fibrosis, and cancer.<sup>(3,4)</sup> Therefore, these gut microbial components and metabolites affect not only the intestine where the gut microbes reside but also organs distant from the intestine through their systemic circulation<sup>(5,6)</sup> (Fig. 1).

There are between 500 and 1,000 gut microbes in the human intestine on average, with the total microbial composition being 100 trillion or more. Gut microbiota coexist with the host by metabolizing substances that cannot be metabolized by the host. With the development of analytic technologies, such as next-generation sequencing and metabolome analysis, it has become possible to classify bacteria according to

Abbreviations:  $\alpha$ -SMA,  $\alpha$ -smooth muscle actin; ACC, acetyl-coenzyme A carboxylase; ASK, apoptosis signal-regulated kinase; BA, bile acid; CCL, chemokine (C-C motif) ligand; CCR, chemokine (C-C motif) receptor; CD, clusters of differentiation; COL, collagen; COX-2, cyclooxygenase 2; CX3CR1, chemokine (C-X3-C motif) receptor 1; CXCL, chemokine (C-X-C motif) ligand; CYGB, cytoglobin; CYP7A1, cholesterol 7a-bydroxylase; DAMP, damage-associated molecular pattern; DCA, deoxycholic acid; ECM, extracellular matrix; FGF, fibroblast growth factor; FXR, farnesoid X receptor; HCC, hepatocellular carcinoma; HE, hepatic encephalopathy; HFD, high-fat diet; HSC, bepatic stellate cell; IL, interleukin; JNK, c-jun N-terminal kinase; LPS, lipopolysaccharide; LSEC, liver sinusoidal endothelial cell; LTA, lipoteichoic acid; Ly6C, lymphocyte antigen 6 complex, locus C; MAMP, microbe-associated molecular pattern; MFB, myofibroblast; MMP, matrix metalloproteinase; NAFLD, nonalcoholic fatty liver disease; NASH, nonalcoholic steatohepatitis; NKT, natural killer T; NO, nitric oxide; NTCP, Na+/taurocholate cotransporting polypeptide; PAMP, pathogen-associated molecular pattern; PD-1, programmed cell death protein 1; PDGF, platelet-derived growth factor; PD-L1, programmed cell death ligand 1; PGE2, prostaglandin E2; PPAR, peroxisome proliferator-activated receptor; ROS, reactive oxygen species; SASP, senescence-associated secretory phenotype; TGF, transforming growth factor; TGR5, Takeda G-protein-coupled receptor 5; TLR, toll-like receptor; TMA, trimethylamine; TMAO, trimethylamine oxide; TNF, tumor necrosis factor; TRAIL, tumor necrosis factor-related apoptosis-inducing ligand; VDR, vitamin D receptor.

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the DNA sequences of the 16S ribosomal RNA gene and other microbial genes.<sup>(7,8)</sup> Indeed, gut microbial metabolites have various effects on human physiology and pathology. For example, short-chain fatty acids, such as butyric and acetic acids (the end products of dietary fiber fermentation by gut microbiota) can suppress inflammation through induction of regulatory T cells by an epigenetic mechanism.<sup>(9)</sup> Moreover, short-chain fatty acids bind to G protein-coupled receptors and are involved in controlling obesity.<sup>(10)</sup> On the other hand, lipopolysaccharide (LPS), an outer membrane component of gram-negative bacteria, and lipoteichoic acid (LTA), a cell wall component of grampositive bacteria, interact with toll-like receptor (TLR) 4 and TLR2, respectively, and induce inflammation by innate immune responses, facilitating liver fibrosis and cancer depending on the physiological context.<sup>(11-13)</sup> Moreover, bile acids (BAs) modulate metabolic pathways in hepatocytes or intestinal epithelial cells through nuclear receptor transcription factors by acting as their ligands to maintain the homeostasis of the liver.<sup>(14)</sup> However, the excess amount of secondary BAs, such as deoxycholic acid (DCA) and lithocholic acid (LCA) produced by gut microbiota, provokes liver damage and induces stress response signaling, thereby possibly promoting liver cancer.<sup>(15)</sup>

In this review, we introduce recent studies from the viewpoint of liver diseases through the gut–liver axis with a special focus on the effects of bacterial cell components and their metabolites not only in hepatocytes but also in stromal cells, such as hepatic stellate cells (HSCs) and Kupffer cells.

# HSCs and Their Roles in Fibrosis and Liver Cancer

HSCs are one of the hepatic sinusoid-constituent cells along with liver sinusoidal endothelial cells (LSECs), Kupffer cells, pit cells, dendritic cells, and natural killer T (NKT) cells and were originally discovered by Karl von Kupffer in 1876.<sup>(16,17)</sup> They are located in the space of Disse, a space between hepatocyte lineage cells and LSECs. Wake<sup>(18)</sup> illustrated their striking three-dimensional structure using Golgi staining, showing that HSCs wrap LSECs on one side and contact hepatocytes on the other side with their well-developed cytoplasmic processes. According to this anatomic disposition, HSCs are liver-specific "pericytes," although an evident basement membrane is lacking in the case of hepatic sinusoids.

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**FIG. 1.** The gut–liver axis. The intestinal tract and the liver are anatomically and physiologically connected. This relationship between the intestine and liver has been called the "gut–liver axis." Impaired tight junction results in the breakage of the gut barrier function and renders large amounts of MAMPs and bacterial metabolites or even the gut microbiota itself susceptible to transfer to the liver. BAs are actively absorbed by the BA transporter in terminal ileum and enter the colon epithelium through passive diffusion. Secondary BAs, such as DCA, are known to be toxic and elicit DNA damage and thereby producing SASP factors in the HSCs. The gut microbiota is also involved in choline metabolism by converting it into choline metabolites, such as TMA. It is transferred to liver and converted into TMAO, which causes liver inflammation and damage. Abbreviation: SCFA, short-chain fatty acid.

Physiologically, the principal function of HSCs is their storage of lipid droplets consisting of retinyl esters, triglycerides, cholesterol esters, cholesterol, and phospholipids. Accordingly, HSCs were previously called "lipocytes," "fat-storing cells," or "vitamin A-storing cells."<sup>(19)</sup> HSCs also take part in regulating sinusoidal microcirculation through their contractility in response to vasoactive substances, including endothelin-1, angiotensin II, and nitric oxide (NO),<sup>(20,21)</sup> and in storing gaseous mediators, such as O<sub>2</sub>, NO, and carbon monoxide, by expressing cytoglobin (CYGB).<sup>(22)</sup>

Following chronic liver injury or culture *in vitro*, HSCs undergo an "activation" process and transdifferentiate into myofibroblast-like cells that express  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) and generate extracellular matrix (ECM) materials, including collagen (COL) I, collagen III, laminin, and fibronectin,

contributing to the progression of fibrosis. Activation of HSCs is initiated by their exposure to extracellular stimuli derived from 1) damaged hepatocytes, which produce reactive oxygen species (ROS), lipid peroxides, and damage-associated molecular patterns (DAMPs); 2) Kupffer cells and profibrotic macrophages, which produce ROS, NO, and cytokines (such as plateletderived growth factor [PDGF], transforming growth factor [TGF]-β1, monocyte chemoattractant protein 1, and chemokines, such as chemokine (C-C motif) ligand 2 [CCL2] and CCL18); and 3) platelets, which secrete PDGF subunit B, serotonin, 5-hydroxytryptamine B2, and chemokine (C-X-C motif) ligand 4 (CXCL4). Activated HSCs are characterized by high expression of  $\alpha$ -SMA and the PDGF receptor and down-regulation of peroxisome proliferator-activated receptor gamma (PPARy) and LIM homeobox 2 (Lhx2).<sup>(16,23,24)</sup>Activation of HSCs is perpetuated

by proliferation, fibrogenic pathways, growth factors, such as 1) TGF- $\beta$ ; 2) vascular endothelial growth factor; 3) PDGF; 4) connective tissue growth factor; 5) cytokines and/or adipocytokines (leptin, adiponectin, interleukin [IL]-15 or IL-17, IL-20, IL-22); 6) innate immune signaling (TLRs, LPS, DAMPs, and pathogen-associated molecular patterns [PAMPs]); 7) nuclear receptors (liver X receptor, farnesoid X receptor [FXR], vitamin D receptor [VDR], PPARs, Rev-erb, and nuclear receptor subfamily 4 group A member 1 [NR4A1]); 8) epigenetic factors (microR-NAs, DNA methylation, histone modifications); and 9) oxidative/endoplasmic reticulum stress<sup>(25,26)</sup> (Fig. 2).

The survival of activated HSCs depends on the paracrine and autocrine stimuli listed above. In the resolution stage of liver fibrosis, they are able to undergo (1) apoptosis by Fas (clusters of differentiation [CD]95), tumor necrosis factor (TNF) receptor 1, p75(neurotrophin receptor; p75NTR), and tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) receptors by combining with their ligands<sup>(27)</sup>; (2) senescence<sup>(28)</sup>; and (3) reversion to "inactivated" HSCs.<sup>(29)</sup> We showed that senescent HSCs were present in the obesity-associated liver tumor microenvironment.<sup>(30)</sup> Senescent HSCs are a-SMA positive, but they do not seem to proliferate or produce collagens (Fig. 2). Although reverted HSCs fail to resume the complete phenotype of quiescent HSCs, they exhibit lower expression of fibrosis-related genes, such as actin alpha 2, smooth muscle (acta2) and transforming growth factor, beta receptor 1 (tgfbr1). Regarding "inactivated" HSCs, we recently observed that human recombinant fibroblast growth factor 2 (FGF2) was able to revert  $\alpha$ -SMA<sup>+</sup>  $COL^+$  PPAR $\gamma^-$  CYGB<sup>-</sup> -activated human HSCs to the  $\alpha$ -SMA<sup>-</sup> COL<sup>-</sup> PPAR $\gamma^+$  CYGB<sup>+</sup> inactivated state.<sup>(31)</sup> This suggests the occurrence of inactivation in humans as well as rodent HSCs.

HSCs also play important roles in the development of the tumor microenvironment. This microenvironment consists of inflammatory and immunologic reactions as well as neovascularization and fibrosis; this is considered to support the formation of an optimal growth milieu for cancer cells. For example, Nielsen et al.<sup>(32)</sup> described a mechanism involving a tumorstromal interaction in the metastatic progression of pancreatic ductal adenocarcinoma in the liver; metastasis-associated macrophage-derived granulin activated HSCs to produce periostin, which enhanced the

deposition of ECM at the site of metastasis. Mogler et al.<sup>(33)</sup> demonstrated that activated HSCs highly expressed the orphan receptor endosialin (CD248), which in turn attenuated the growth of hepatocellular carcinoma (HCC) and down-regulated cell proliferation-associated molecules, such as insulin-like growth factor 2, retroviral binding protein 4, Dickkopf-1, and CCL5 in HSCs. Duran et al.<sup>(34)</sup> reported that p62, which is increased in hepatocytes but decreased in HSCs in HCC samples, played critical roles in the activation status of HSCs, resulting in the promotion of HCC development by reducing VDR-retinoid X receptor interactions, and in the impaired repression of fibrosis and inflammation by the VDR. We also demonstrated the susceptibility of cygb-knockout mice to develop liver cancer when treated with diethylnitrosamine or a choline-deficient L-amino aciddefined diet, implicating the regulatory role of CYGB in HSCs for the development of the tumor microenvironment.<sup>(35,36)</sup> In addition, senescent HSCs showing the senescence-associated secretory phenotype (SASP) produce a variety of secreted proteins, such as cytokines, chemokines, and proteases,<sup>(37,38)</sup> that promote liver tumor progression and are present in an obesity-associated liver tumor microenvironment<sup>(13,30)</sup> (Fig. 2). Thus, the interaction between HCC and stroma-associated HSCs has attracted attention in the development of molecular-targeted therapy for liver cancer.

## Liver Macrophages and Their Roles in Inflammation and Fibrosis in the Liver

Liver macrophages consist of Kupffer cells (resident liver macrophages) and monocyte-derived macrophages and function as scavengers and perform phagocytosis to remove DAMPs, PAMPs, bacteria, and fungi from portal blood flow.<sup>(39-41)</sup> While LPS are derived from gut microbiota associated with the increased permeability of the intestine and in the liver, PAMPs are derived from damaged hepatocytes. These antimicrobial and phagocytotic functions of liver macrophages are largely impaired in patients with advanced liver disease. Human Kupffer cells are identified by their expression of CD14<sup>+</sup>, CD68<sup>+</sup>,



FIG. 2. Pathways of HSC activation and their fate in the resolution stage. In intact liver, HSCs localize in the Disse space and contain lipid droplets consisting of mainly vitamin A. They show a nonproliferative phenotype and express quiescent markers, such as LRAT and Lhx2. HSCs take part in the regulation of sinusoidal microcirculation through their contractility. When liver injury occurs by, e.g., HBV/HCV infection, alcohol abuse, or obesity (NASH), HSCs are exposed to oxidative stress signals (reactive oxygen intermediates), DAMPs, PAMPs, LPS, and paracrine stimuli, including cytokines, chemokines, and growth factors (PDGF, VEGF, TGF<sup>β1</sup>, FGF2, CTGF, ANG II) secreted from neighboring cells, such as hepatic Kupffer cells/bone marrow-derived macrophages, sinusoidal endothelial cells, hepatocytes, and platelets, and undergo the process of "activation." Activated HSCs exhibit a number of specific phenotypes, including proliferation, contractility (mediated by ET-1, ANG-II, NO/CO, and ECM production), altered matrix degradation, chemotaxis, immune modulation, inflammatory signaling, and contribution to the cancer microenvironment. In the resolution stage of the underling liver disease, activated HSCs undergo apoptosis through Fas (CD95), TNFR1, p75NTR, and TRAIL; senescence showing p16, p21, yH2AX, and SASP; and inactivation exhibiting low TGFB and collagen production and high expression of PPARy and CYGB. Restorative macrophages take part in fibrolysis basically by producing MMP-9, MMP-12, and MMP-13. Abbreviations: ANG-II, angiotensin II; CTGF, connective tissue growth factor; ET-1, endothelin 1; yH2AX, gamma-histone family member X; HBV, hepatitis B virus; HCV, hepatitis C virus; Lhx2, LIM homeobox 2; LRAT, lecithin:retinol acyltransferase; MT-1-MMP, membrane-type matrix metalloproteinase 1; N-CAM, neural cell adhesion molecule; p75NTR, p75neurotrophin receptor; TIMP-1, tissue inhibitor of metalloproteinase 1; TNFR1, tumor necrosis factor receptor 1; VEGF, vascular endothelial growth factor.

TLR4<sup>+</sup>, and chemokine (C-X3-C motif) receptor 1 (CX3CR1)<sup>-</sup>, while mouse Kupffer cells are identified by their expression of CD11b<sup>+/low</sup>, F4/80<sup>+</sup>, CD68<sup>+</sup>, TLR4<sup>+</sup>, TLR9<sup>+</sup>, and CX3CR1<sup>-</sup>. Monocyte-derived macrophages are positive for chemokine (C-C motif) receptor (CCR)2<sup>+</sup> (mice and human), CX3CR1<sup>+</sup>, and lymphocyte antigen 6 complex locus C (LY6C<sup>+/-</sup>) (mice).<sup>(42)</sup>

On inflammation, liver macrophages become activated and initiate the biological response. LPS binds to TLR4 with coreceptors CD14 and lymphocyte antigen 96 (MD-2), leading to the activation of the myeloid differentiation primary response 88 (MyD88)-dependent pathway to induce nuclear factor kappa B and p38/c-jun N-terminal kinase (JNK) activation. Activated liver macrophages produce PDGF, TGF- $\beta$ 1, TNF- $\alpha$ , IL-1, IL-6, IL-10, IL-18, CXCL1, CXCL2, CXCL8, macrophage chemotactic protein-1 (also known as CCL2), regulated upon activation, normal T cell expressed, and secreted (RANTES, also known as CCL5), macrophage inflammatory protein (MIP)-1 $\alpha$  (CCL3), MIP-1 $\beta$  (CCL4), ROS, and prostaglandins, among others, which influence HSC activation and lead to the infiltration of bonemarrow-derived monocytes and neutrophils into the damaged liver.<sup>(43,44)</sup>

In vivo macrophage depletion or blockade experiments revealed a major role for macrophages in liver fibrogenesis. Ide et al.<sup>(45)</sup> showed that depletion of Kupffer cells using GdCl<sub>2</sub> suppresses  $\alpha$ -SMA-positive myofibroblasts (MFBs) and ameliorates hepatic fibrosis by thioacetamide administration. A model of conditionally depleted macrophages (CD11b<sup>+</sup>F4/80<sup>+</sup>) in CD-11b-diphtheria toxin receptor transgenic mice showed marked reduction of ECM deposition and the number of α-SMA-positive MFBs under CCl<sub>4</sub> intoxication for 12 weeks.<sup>(46)</sup> Karlmark et al.<sup>(47)</sup> demonstrated that Gr1<sup>+</sup> (Ly6C<sup>hi</sup>) inflammatory monocytes are recruited into the liver in a CCR2-dependent manner during CCl<sub>4</sub>-induced chronic liver injury in mice and give rise to inducible NO synthase-positive CD11b<sup>+</sup>F4/80<sup>+</sup> intrahepatic macrophages that promote the activation of HSCs. Thus, infiltrating Ly6C<sup>hi</sup> macrophages play central roles in the profibrogenic response compared to mature resident Kupffer cells.

Macrophages are also crucial in the resolution process of liver fibrosis. They are a source of fibrolytic matrix metalloproteinase (MMP)-9, MMP-12, and MMP-13 and also express TRAIL, which promotes apoptosis of activated HSCs/MFBs.<sup>(48)</sup> Ramachandran et al.<sup>(49)</sup> illustrated that the Ly6C<sup>low</sup>CD11b<sup>hi</sup>F4/80<sup>int</sup> subset of macrophages is the most abundant in the liver in the resolution stage and represents the principle MMP-expressing subset. Ly6C<sup>low</sup> macrophages are derived from a phenotypic transition of the profibrogenic Ly6C<sup>hi</sup> macrophages.

There have been several reports to translate these functions of macrophages into antifibrotic therapy. Bone marrow-derived cell therapies, basically with monocytes, together with granulocyte colony-stimulating factor (G-CSF) accelerate the resolution stage of liver fibrosis by  $CCl_4$  in mice, while administration of G-CSF with or without CD133-positive hematopoietic stem cells failed to improve the hepatic

function in patients with compensated cirrhosis.<sup>(50,51)</sup> Ceniciviroc, a dual antagonist for CCR2 and CCR5, is currently being evaluated in a clinical trial phase IIb (CENTAUR study) for its efficacy against nonalcoholic steatohepatitis (NASH) with fibrosis.<sup>(52-54)</sup> In a proof-of-concept study, selonsertib, an inhibitor for apoptosis signal-regulated kinase 1 (ASK1) (discussed later),<sup>(55,56)</sup> improved fibrosis in patients with NASH after only 24 weeks of therapy.<sup>(57)</sup> Thus, research on macrophage function will give rise to the development of novel antifibrotic therapy available in human chronic liver disease and cirrhosis.

## Gut Microbiota and Liver Cirrhosis (Including Cirrhosis-Associated HCC)

Chronic hepatitis, caused by continuous damage to the liver, leads to liver cirrhosis and often liver cancer. The translocation of intestinal bacteria and their components is known to be increased in patients with chronic liver diseases because of the associated dysfunction of the intestinal barrier.<sup>(58)</sup> Indeed, LPS levels in the portal vein were reported to increase according to the Child-Turcotte-Pugh cirrhosis stage and also in alcoholic liver disease.<sup>(27,58)</sup> In mouse models, hepatic translocation of LPS has been shown to be important for the development of liver cirrhosis and liver cancer.<sup>(11,12)</sup> Seki et al.<sup>(11)</sup> reported that continuous signals from TLR4 induced by LPS promoted liver cirrhosis. TLR4-mediated innate immunity activates the TGF- $\beta$  signal in HSCs by inhibiting the expression of the TGF- $\beta$  pseudoreceptor Bmp and activin membrane-bound inhibitor (BAMBI), thereby promoting liver fibrosis (Fig. 3). Moreover, a gut microbiota profiling analysis in patients with liver cirrhosis was recently reported.<sup>(59)</sup> In this report, gramnegative bacteria, such as Prevotella and Veillonella, were increased in patients with cirrhosis.<sup>(59,60)</sup> Therefore, it is possible that the mechanism associated with the LPS-TLR4 axis<sup>(11)</sup> is involved in liver cirrhosis. Furthermore, the same group showed that continuous exposure to a low concentration of LPS promoted hepatocarcinogenesis in a CCl<sub>4</sub>-induced liver cirrhosis mouse model.<sup>(12)</sup> They found that the LPS-TLR4 pathway promotes HCC by increasing



FIG. 3. The role of the gut microbiota in liver cancer. In the leaky gut situation, a large amount of gut microbial components and metabolites are transferred to the liver and are likely to promote liver cancer progression. HCCs in the cirrhotic liver were associated with continuous exposure of LPS from gram-negative bacteria of the gut microbiota. The development of NASH/NAFLD is also associated with LPS exposure. In addition, LTA from gram-positive bacteria of the gut microbiota is likely to be involved in noncirrhotic NASH-associated HCC. The HSCs in this type of HCC tumor region undergo cellular senescence and SASP. These HSCs do not appear to be proliferating or producing collagens.

proliferative and anti-apoptotic signals by hepatocyte growth factor and epiregulin in resident hepatocytes.<sup>(12)</sup> Based on these findings, inflammation by the LPS-TLR4 pathway could be involved in the induction of hepatocarcinogenesis associated with liver cirrhosis (Fig. 1). It was also shown that CD14, a cofactor of the innate immune receptor TLR4, which recognizes LPS as a ligand, is up-regulated in fatty liver along with activated LPS-TLR4 signaling, also suggesting that long-term exposure to low concentrations of LPS may cause fatty liver-associated chronic hepatitis (Fig. 1).<sup>(61)</sup> According to these key roles of the TLR4 pathway in liver fibrogenesis and NASH pathogenesis, TLR4 antagonists, such as TAK-242 and E5564, have been discussed as therapeutic drugs.<sup>(2,62)</sup>

Alcohol is known to be injurious to the intestinal epithelium, and secondary BAs, such as DCA, is known to have membrane destabilizing effects on the intestinal epithelium.<sup>(63,64)</sup> DCA may influence early stage liver cirrhosis when 7 $\alpha$ -dehydroxylating (DCA producing) bacteria, such as Lachonospiraceae and Ruminococcaceae, are fairly abundant<sup>(60)</sup>; these bacteria have been shown to be greatly decreased in the decompensated stage of cirrhosis.<sup>(60,65)</sup> The excessive intake of an HFD increases blood DCA level,<sup>(13,30)</sup> and an HFD promotes the destruction of tight junctions of gut epithelial cells.<sup>(66)</sup> These effects promote a leaky gut, thereby rendering gut microbial components and metabolites more likely to be absorbed.<sup>(67)</sup> Clinically, the significant increase in blood DCA level in patients with NASH has also been shown.<sup>(68)</sup>

## Gut Microbial Metabolites and Hepatic Encephalopathy

Hepatic encephalopathy (HE) is a serious complication of liver cirrhosis associated with neuropsychiatric impairment.<sup>(69)</sup> HE is characterized by a high level of blood ammonia, which is known to be produced abundantly by gut microbiota expressing deaminating enzymes and/or urease operons and also by the host's organs, such as the liver and kidney.<sup>(70)</sup> HE is caused by liver dysfunction, such as liver fibrosis, leading to impaired detoxication of ammonia and creation of portosystemic shunts, thereby facilitating the direct efflux of ammonia into the brain. In the aspect of gut

microbiota, gut microbial dysbiosis and small intestinal bacterial overgrowth are often observed in patients with cirrhosis, where the dominant species have been shown to be in the families Streptococcaceae and Veillonellaceae.<sup>(59,60,71,72)</sup> These species correlated significantly with blood ammonia levels and decreased cognitive function. Several reports have shown that patients with cirrhosis using proton-pump inhibitors (PPIs) are more likely to develop HE,<sup>(73,74)</sup> presumably because PPIs cause destruction of the stomach acid barrier, leading to gut dysbiosis, which promotes HE. Moreover, by their comparative metagenomic analysis, Qin et al.<sup>(59,63)</sup> reported that ammonia production and gamma-aminobutyric acid biosynthesis by gut microbiota were enriched in patients with liver cirrhosis. Other HE-associated neurotransmitters, such as indoles, glutamine, and serotonin, known to be produced by the gut microbiota, are thought to be associated with HE onset. Recently, to target these HE-associated gut bacteria, unabsorbed antibiotics, such as rifaximin and norfloxacin, have been used for patients with HE. Rifaximin reportedly changes the gut microbial profile to a gut barrier-protective profile wherein Lactobacillus is the most abundant genus.<sup>(75)</sup> Moreover, probiotic therapies, such as VSL#3 (a mixture of eight different strains of bacteria including four strains of Lactobacilli, three strains of Bifidobacteria, and one strain of Streptococcus thermophilus), are reportedly effective for patients with HE.<sup>(76)</sup>

### Gut Microbiota and NASH

Recently, the development of therapies and methods for controlling hepatitis B virus and hepatitis C virus infections has led to a reduction in the mortality rate of viral HCC worldwide.<sup>(77,78)</sup> On the other hand, the incidence of NASH, the aggressive inflammatory form of nonalcoholic fatty liver disease (NAFLD) without viral etiology, and NASH-associated cirrhosis and HCC is rapidly increasing.<sup>(78-81)</sup> Moreover, molecular-based therapies for NASH have been developed, and these therapies could also help us understand the progression mechanisms of NASH and NASH-associated HCC. FXR agonists, apoptosis acetyl-coenzyme A carboxylase (ACC) inhibitors, ASK-1 inhibitors, and other therapeutic drugs are being developed to treat NASH,<sup>(82-86)</sup> and the gut-liver axis and gut microbiota are, at least in part, involved in these pathways (Fig. 4).

#### BA AND ITS MEDIATED SIGNALING

BAs are mainly synthesized in hepatocytes from cholesterol by cholesterol 7a-hydroxylase (CYP7A1), a rate-limiting enzyme of BA biosynthesis. De novo synthesized BAs are called primary BAs. In humans, the primary BAs are mainly cholic acid (CA) and chenodeoxycholic acid (CDCA).(87,88) These BAs are subsequently conjugated with glycine or taurine to render them less toxic in the liver. BAs are transported from the hepatocytes into the bile canaliculi and released into the duodenum. In the intestine, deconjugation and 7- $\alpha$ -dehydroxylation of the primary BAs are facilitated by gut microbiota to produce secondary BAs. DCA and LCA, formed from CA and CDCA, respectively, are major secondary BAs in humans. DCA has been reported to activate ß-catenin signaling to promote cell proliferation<sup>(89)</sup> and to enhance the production of ROS,<sup>(15)</sup> both of which could provoke oncogenic signaling. In the ileum, active uptake of conjugated BAs occurs by the apical sodium-dependent BA transporter. In the colon, however, unconjugated BAs enter the colon epithelium through passive diffusion. In total, about 95% of the BAs are reabsorbed into intestinal epithelial cells. The remaining 5% is excreted through feces, and this loss is compensated by de novo BA synthesis in the liver. BAs can be recycled 4-12 times per day between hepatocytes in the liver and enterocytes in the intestine; this is called the enterohepatic circulation.<sup>(90-94)</sup> BAs can act as ligands for nuclear receptors, such as FXR, and Takeda G-protein-coupled receptor 5 (TGR5).<sup>(87)</sup>

FXR agonists reduce the expression of CYP7A1 and Na+/taurocholate cotransporting polypeptide (NTCP), one of the key transporters in hepatocytes that uptake BAs from the sinusoids, but up-regulate the expression of bile salt export pump, the major transporter for the excretion of BAs from hepatocytes into bile canaliculi<sup>(95,96)</sup> (Fig. 4). FXR activation reduces the excess BA pool in the hepatocyte, thereby preventing cholestasis and/or accumulation of toxic secondary BAs, such as DCA and LTA.<sup>(97)</sup> FXR also stimulates the production of FGF15 (mice) or 19 (human) which, after binding to FGF receptor



**FIG. 4.** BA-mediated signaling pathways and related therapeutic drugs for NASH. In the leaky gut situation, a large amount of BAs as well as MAMPs, such as LPS and LTA, transfer to the liver through the portal vein. FXR agonists reduce the expression of CYP7A1, a rate limiting enzyme for BA synthesis, and NTCP, one of the key transporters in hepatocytes that uptake BAs from the sinusoids but up-regulate the expression of BSEP, the major transporter for the excretion of BAs from hepatocytes into bile canaliculi. FXR activation reduces the excess BA pool in the hepatocyte, thereby preventing cholestasis and/or accumulation of toxic secondary BAs, such as DCA (drawn in the hepatocyte on the left). The secondary BA, DCA, the level of which is known to be increased in individuals with NASH, provokes DNA damage as well as stress response signals, such as JNK and p38-mediated signaling pathways. Lipotoxicity derived from lipid storage in hepatocytes in NASH also activate these signals. ASK-1 inhibitors suppress these stress response signals and improve NASH-associated inflammation (drawn in the hepatocyte on the right). Abbreviation: BSEP, bile salt export pump.

4 in liver cells, represses BA synthesis and promotes hepatic glycogen storage and fatty acid oxidation.<sup>(14)</sup> BAs also activate TGR5 in muscle and adipose tissues, increasing thermogenesis and energy expenditure. Moreover, activation of TGR5 in the intestine promotes glucagon-like peptide 1 release from L cells, promoting insulin release from pancreatic  $\beta$ cells.<sup>(14)</sup> Signaling from another nuclear receptor, constitutive androstane receptor (CAR), was shown to activate ß-catenin signaling, thereby promoting NASH-associated liver cancer.<sup>(98)</sup> Furthermore, these nuclear receptors are strongly linked to the circadian rhythms in the liver. Therefore, disorders of circadian rhythm facilitate the progression of NAFLD to fibrotic NASH, presumably through CAR.<sup>(98)</sup>

#### TRIMETHYLAMINE OXIDE-MEDIATED HEPATIC STEATOSIS AND ACC

There are several reports mentioning that gut microbial-dependent metabolites from choline are strongly associated with NAFLD/NASH. Choline facilitates lipid transport in hepatocytes and prevents the abnormal accumulation of lipids in the liver, while choline deficiency usually leads to hepatic steatosis.<sup>(99,100)</sup> The gut microbiota is also involved in choline metabolism by converting it into choline metabolites (dimethylamine, trimethylamine [TMA], dimethylglycine, betaine), which is transferred to liver and converted into trimethylamine oxide (TMAO), which causes liver inflammation and damage<sup>(101,102)</sup> (Fig. 1). TMA production results in choline deficiency, thereby facilitating hepatic steatosis.<sup>(101,102)</sup> ACC inhibitors reduce lipogenesis in the liver by inhibiting the production of maronyl coenzyme A, thereby reducing hepatic steatosis,<sup>(103)</sup> and are thus expected to be promising therapeutic drugs for NASH/NAFLD. In mouse models of hepatic steatosis induced by a choline-deficient diet, ACC up-regulation is usually observed, suggesting the efficacy of ACC inhibitors in TMAO-mediated hepatic steatosis.<sup>(104,105)</sup> Moreover, gut microbial fatty acids, such as 10-hydroxy-12(Z)-octadecenoic acid (18:1) and its derivative Keto A, mainly produced by *Lactobacillus* species,<sup>(106,107)</sup> have been reported to reduce ACC expression<sup>(108)</sup> and could therefore be potential probiotic candidates.

#### STRESS RESPONSES AND THE ASK-1 PATHWAY

DCA is known to increase intracellular ROS and is involved in liver damage and induces stress responses.<sup>(15)</sup> Inhibitors of ASK-1, a serine/threonine kinase, improve NASH pathology by inhibiting stress response pathways, such as phosphorylation of p38 mitogen-activated kinase and JNK, which leads to hepatic inflammation, apoptosis, and fibrosis<sup>(57,109)</sup> (Fig. 4).

## DCA and NASH-Associated Liver Cancer

Similar to viral hepatitis-associated liver cancer, NASH-associated liver cancer in many cases also results from a state of chronic inflammation, hepatic fibrosis, and cirrhosis. However, there are some cases of liver cancer (approximately 10%-20%) that develop with little fibrotic background.<sup>(110-113)</sup> These NASH-associated liver cancers with less fibrosis could have their own carcinogenic mechanism. Recently, we and others reported that DCA could be associated with noncirrhotic NASH-associated HCC as described below.

In brief, obesity-associated liver cancer was promoted by increased DCA in serum.<sup>(30)</sup> We confirmed that almost all mice fed an HFD and treated with 7,12-dimethylbenzathracene in the neonatal stage developed HCC. The enterohepatic circulation of DCA provoked cellular senescence and an SASP that could create a tumor-promoting microenvironment,<sup>(13,30)</sup> which in turn facilitated HCC progression. In this system, the promoted excretion of BAs by ursodeoxycholic acid reduced HCC development.<sup>(30)</sup>

Moreover, we found that long-term HFD feeding altered the gut microbial profile to increase grampositive bacteria, such as *Clostridium* species. The resulting hepatic translocation of LTA, a gram-positive microbial component, and the enhanced LTA-TLR2 axis facilitated HCC development. LTA enhanced the SASP of HSCs in collaboration with DCA to up-regulate the expression of not only SASP factors but also cyclooxygenase-2 (COX-2) and TLR2 (Fig. 3). Interestingly, COX-2-mediated prostaglandin E2 (PGE2) production suppresses antitumor immunity through PGE2 receptor 4 (EP4), thereby contributing to HCC progression. Pretreatment of the EP4 antagonist was shown to be effective to reduce HCC development. COX-2 overexpression and excessive PGE2 production were detected in HSCs of human noncirrhotic NASH, indicating that a similar mechanism could function in humans.<sup>(13)</sup> A recent report showed that DCA also promotes HCC progression by activating the mammalian target of rapamycin pathway.<sup>(114)</sup> It was found that a new class of a steatohepatitisinducing HFD, STHD-01, could promote HCC without chemical carcinogen administration.<sup>(114</sup>

Moreover, it was reported that short-chain fatty acids, such as butyrate fermented by gut bacteria from dietary soluble fibers, which are considered broadly health promoting, strongly promoted HCC while improving metabolic dysfunction. An inulin-enriched HFD intake induced both dysbiosis and HCC in wild-type mice and was accompanied by cholestasis and increased BA levels, including DCA. Interestingly, administrating soluble fibers, such as inulin, but not insoluble fibers, such as cellulose, induced HCC. This report shows a dark side of fermentation by gut microbiota and a deleterious role of cholestasis for HCC development.<sup>(115)</sup>

## Gut Microbiota and Antitumor Immunity

In addition to our findings that an enhanced LTA-TLR2 axis up-regulates PGE2 production and

suppresses antitumor immunity,<sup>(13)</sup> Ma et al.<sup>(116)</sup> found that altering the commensal gut bacteria by antibiotics in mice induced a liver-specific antitumor effect, with an increase of hepatic chemokine (C-X-C motif) receptor 6 (CXCR6)-positive NKT cells and heightened interferon- $\gamma$  production following antigen stimulation. The accumulation of NKT cells was regulated by CXCL16 expression of LSECs, which was controlled by gut microbiome-mediated BAs by the gut–liver axis. Antibiotic administration decreased the amount of secondary BAs; however, many primary BAs were increased. NKT cells were strongly activated, particularly by one of the primary BAs, tauro- $\beta$ -muricholic acid.<sup>(116)</sup>

Furthermore, gut microbiota species facilitating the effect of immune checkpoint therapy have recently been identified. Antitumor immunity becomes ineffective as cancer progresses because immune checkpoints are activated by cancer cells. Although the immune checkpoint mechanism is a necessary system for suppressing excessive inflammation, it is disadvantageous in cancer tissues as it allows the progression of cancer. Therefore, in recent years, immune checkpoint inhibitors have been developed as promising molecular targeting agents for the treatment of many types of cancer. For example, antibodies against immunosuppressive molecules, such as programmed cell death protein 1 (PD-1) and its ligand PD-L1, and cytotoxic T-lymphocyte associated protein 4 (CTLA-4), are used clinically as immune checkpoint inhibitors.

Unfortunately, immune checkpoint inhibitors are not effective in all cancer patients. It has recently been reported that certain species of the gut microbiota enhance antitumor immunity and assist in the activity of immune checkpoint inhibitors.<sup>(117,118)</sup> In a mouse model, Bifidobacteria activated dendritic cells to enhance the antitumor effect of an anti-PD-L1 antibody.<sup>(117)</sup> Another report showed that Bacteroides fragilis increased the effectiveness of an anti-CTLA-4 antibody in a colon cancer model.<sup>(118)</sup> More recently, the blockage of the PD-1/PD-L1 axis was facilitated by gut microbiota in humans. In patients with malignant melanoma who showed an effect of immune checkpoint inhibitors, members of the Ruminococcaceae family<sup>(119)</sup> and Bifidobacterium longum<sup>(120)</sup> increased significantly in the gut. Moreover, in epithelial cancers, such as colorectal and non-small cell lung cancer, Akkermansia species were

increased.<sup>(121)</sup> Gut microbiota derived from patients in whom immune checkpoint inhibitors were effective was transplanted into germ-free mice.<sup>(121)</sup> These mice also showed a significant antitumor effect of immune checkpoint inhibitors. These findings suggest that alterations of the gut microbiota profile can be expected to synergistically increase the therapeutic effect of immune checkpoint inhibitors, which may be applicable to liver cancer, judging from the preclinical and clinical study of immune checkpoint therapy for liver cancer.<sup>(122)</sup> However, the precise molecular mechanism (in which gut-microbial metabolites are involved) that facilitates antitumor immunity is still unclear.

## **Concluding Remarks**

The important role of gut microbiota in modulating liver diseases, including cancer, has been emerging. Thus, the mechanisms by which liver cancer development are promoted or suppressed through the gut-liver axis have become attractive research topics. Gut microbial components, such as LPS and LTA, are associated with hepatic fibrosis and HCC progression. Furthermore, gut microbial metabolites, including secondary BAs and fatty acids, as well as unknown microbial metabolites and toxins could influence liver pathology. We have recently elucidated the mechanism of HCC progression by DCA and LTA, at least in part.<sup>(13)</sup> DCA causes DNA damage in HSCs, thereby inducing cellular senescence. This is accompanied by the expression of a series of cytokines and COX-2, creating liver tumor-promoting microenvironments. Recently, NKT cells were shown to be strongly activated, particularly by the primary BA tauro-β-muricholic acid.<sup>(116)</sup> Moreover, FXR agonists have now been approved as therapeutic agents for NASH, and it is expected that signals from this nuclear transcription factor suppress lipogenic and fibrotic signals in the liver.<sup>(14,97)</sup> Elucidation of the detailed molecular mechanisms linked to gut microbial metabolites by the gut-liver axis may lead to the development of promising preventive and therapeutic drugs using advanced techniques for liver diseases. Moreover, liver cancer-specific gut microbiota and the associated therapeutics using gut microbial species might be uncovered in the near future.

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