

## Left Ventricular Assist Device Support Complicates the Exercise Physiology of Oxygen Transport and Uptake in Heart Failure

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### Abstract

Low-output forward flow and impaired maximal exercise oxygen uptake ( $\text{VO}_2\text{max}$ ) are hallmarks of patients in advanced heart failure. The continuous-flow left ventricular assist device is a cutting-edge therapy proven to increase forward flow, yet this therapy does not yield consistent improvements in  $\text{VO}_2\text{max}$ . The science of how adjustable artificial forward flow impacts the exercise physiology of heart failure and physical  $\text{O}_2$  transport between the central and peripheral systems is unclear. This review focuses on the exercise physiology of axial continuous-flow left ventricular assist device support and the impact that pump speed has on the interactive convective and diffusive components of whole-body physical  $\text{O}_2$  transport and  $\text{VO}_2$ .

### Keywords

Chronic heart failure, Fick principle, exercise intolerance, aerobic exercise capacity, left ventricular assist device, mechanical circulatory support

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The maximal exercise oxygen uptake ( $\text{VO}_2\text{max}$ ) response physiologically reflects the clinical status of patients with low-output left heart failure (HF). The failure of  $\text{VO}_2\text{max}$  to rise above 12 ml/kg/min is a hallmark of deteriorated clinical status, impaired oxidative metabolic capacity and indicates advanced medical therapy is required to prolong life.<sup>1,2</sup> The continuous-flow left ventricular assist device (cf-LVAD) is a progressive cutting-edge therapy increasingly recommended to end-stage patients for the management of signs and symptoms of HF, including impaired  $\text{VO}_2\text{max}$ .<sup>1–7</sup>

Contemporary evidence also suggests that while cf-LVAD support extends life, intentional device optimisation methods, including pump-speed modification during exercise, yield ambiguous and often confusing interpretations of  $\text{VO}_2$ .<sup>1,4–7,8–13</sup> Without the assurance that  $\text{VO}_2$  will increase post-implantation, it remains uncertain which key elements, beyond enhanced forward flow, contribute to  $\text{VO}_2$  and oxidative metabolic capacity in cf-LVAD recipients.<sup>8–16</sup> What has yet to be examined in depth is how the exercise physiology of  $\text{VO}_2$  and physical  $\text{O}_2$  transport between the central and peripheral systems are impacted by artificial forward flow.

This article examines the physical elements of exercise physiology that should be considered when evaluating the aerobic exercise capacity of patients in advanced HF who are dependent on axial cf-LVAD support. As adjustable forward flow is a key feature of cf-LVAD therapy, particular emphasis is placed on the impact that pump speed has on the physical and physiological features of whole-

body  $\text{O}_2$  transport and exercise  $\text{VO}_2$ . Although studies have tested the effect of centrifugal cf-LVAD support on  $\text{VO}_2$ , this research is not reviewed herein as the literature is too complex for a combined discussion involving axial flow devices. The unique mechanical and physiological properties of centrifugal versus axial flow require special consideration when interpreting the impact pump-speed optimisation methods can have on  $\text{VO}_2$ .<sup>17–19</sup>

### Mass and Local Oxygen Transport

The prominent and integrative failure of heart rate, stroke volume, preload and afterload, arterial pressure and systemic vascular resistance prevent cardiac output (Q) and physical  $\text{O}_2$  transport from intrinsically and reliably accommodating sudden changes in the oxidative metabolic demand of skeletal muscles in cf-LVAD candidates. These physiological interactions are highly complex. Even after the introduction of mechanical circulatory support, patients are not immune from physical  $\text{O}_2$  transport limitations that will impact  $\text{VO}_2$ .<sup>3,8–11,13–16,20–23</sup>

Despite similar patient phenotypes, physical haemodynamic constraints and modest margins for physiological adaptation due to advanced whole-body disease, cf-LVAD therapy considerably reduces the debilitating physical consequences of HF in select recipients.<sup>3,8–11,13–16,20–23</sup> However, it is not only physical contributions from classical determinants of central haemodynamics that are involved in such changes. Peripheral haemodynamics and the local and micro-level  $\text{O}_2$  transport environment play an underappreciated

role in affecting aerobic exercise capacity, as demonstrated by the signs and symptoms of patients with refractory HF, including the worsening maximal  $\text{VO}_2$  seen in some patients post-implant.<sup>3,16,24–30</sup>

### Haemodynamics: Convective and Conductive (Diffusive) Oxygen Transport

Despite obvious links between the rise in oxidative metabolic demand of skeletal muscle and increase in  $Q$  (with subcomponents) during exercise,  $Q$  only represents a portion of the contribution of physical  $\text{O}_2$  transport to  $\text{VO}_2$ . Convective  $\text{O}_2$  delivery ( $\text{DO}_2$ ) to skeletal muscle, microcirculation and hundreds of square meters of capillary surface area required for  $\text{O}_2$  diffusion ( $\text{D}_m\text{O}_2$ ) – the other major component of  $\text{O}_2$  transport – is also greatly dependent on physical chemistry. The  $\text{O}_2$ -carrying capacity of blood and arterial  $\text{O}_2$  content ( $\text{CaO}_2$ ), both of which are signalled by oxyhaemoglobin ( $\text{O}_2\text{Hb}$ ) dissociation curve dynamics, play pivotal roles in facilitating the physical elements of  $\text{VO}_2$ .<sup>24–28,31–33</sup> Indeed, the confluence of cardiovascular system contributions to  $\text{O}_2$  transport is estimated to account for at least 70% of aerobic exercise capacity.<sup>31</sup> Thus, it is not by unilaterally increasing total  $Q$  that tissue-specific peripheral haemodynamics,  $\text{DO}_2$  and  $\text{D}_m\text{O}_2$  successfully meet the rapid and maximal  $\text{O}_2$  needs of mitochondria and related processes.<sup>24,26,28,34–36</sup> For most adults, the ‘bulk  $\text{O}_2$  flow’ response (i.e.  $Q$ ) to physical exertion does not typically limit exercise until high-metabolic-demand phases are achieved (i.e. the isocapnic buffering period is reached or exceeded); nor should it be expected that  $\text{DO}_2$  itself limits aerobic exercise.<sup>24,25,33,34,37–39</sup>

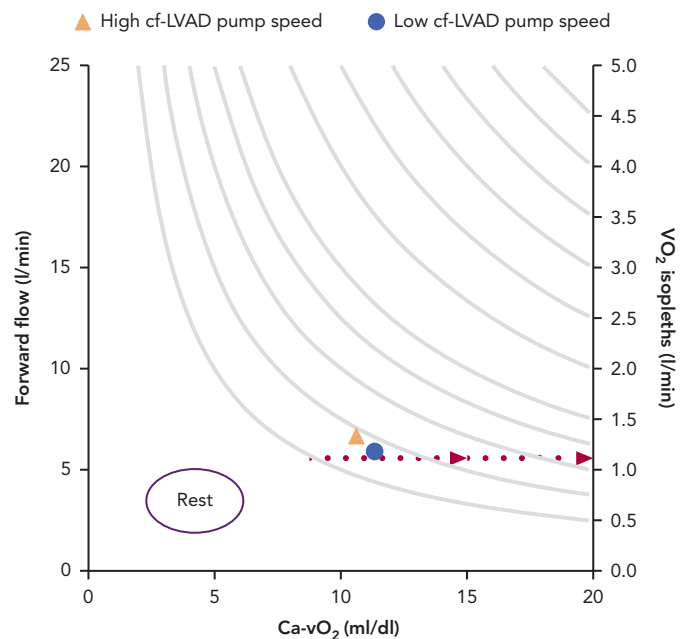
Current data suggest that while the mechanised central circulation undoubtedly works to recover part of the impaired native forward flow, this action alone cannot be assumed to translate to and coincide with equivalent and interactive improvements in  $\text{DO}_2$ ,  $\text{D}_m\text{O}_2$  and/or  $\text{VO}_2$ .<sup>3,8–11,14,15,20–23</sup> Examining the nature of convective and conductive  $\text{O}_2$  transport relationships will be crucial in better understanding  $\text{VO}_2$ , how to optimise pump speeds and where to focus when evaluating limitations in exercise.<sup>24–29</sup>

### Optimising Fixed Pump Speed Submaximal Exercise

Vignati et al. and, more recently, Apostolo et al. from the same group have comprehensively studied the impact of cf-LVAD speed (maximum rpm 12,000; flow rate 7 l/min) on upright aerobic exercise performance.<sup>9,10</sup> Fick principle calculations were used to study how total  $Q$  (via inert gas rebreathing) changed as a function of adjustments in arteriovenous  $\text{O}_2$  content difference ( $\text{Ca-vO}_2$ ). For 15 patients who performed two mirrored bouts of sub-anaerobic threshold constant-load cycle exercise (mean 35 W), decreased pump speed from 11,000 rpm to 9,000 rpm translated into down-and-right-shifted coupling between  $\text{Ca-vO}_2$  and  $\text{VO}_2$  without affecting heart rate (Figure 1).<sup>10</sup> This also means that Fick principle-derived  $\text{VO}_2$  isopleths (e.g. 1.25 l/min in Figure 1), can be taken to suggest increased  $Q$  from low-to-high pump speed is perhaps unnecessary given that  $\text{VO}_2$  was not impacted by pump speed while remaining proportionate to  $\text{Ca-vO}_2$ .<sup>10</sup>

The varying sensitivities of  $Q$ ,  $\text{Ca-vO}_2$  and  $\text{VO}_2$  to pump speed dynamics further suggests that within the rpm ranges studied, not only does the rate of forward  $\text{O}_2$  flow differential have a negligible impact on sub-anaerobic threshold  $\text{VO}_2$ , but it is also possible that harm can be caused when pump speed is increased beyond that required to meet oxidative metabolic demand and overcome afterload.<sup>40–42</sup> Excessive central-to-peripheral haemodynamic redistribution can overload hydrostatic pressure in the arterial and venous ends of skeletal muscle

**Figure 1: Relationships between Cardiac Output, Arteriovenous Oxygen Content Difference and Oxygen Uptake during Sub-anaerobic Threshold Exercise**



Fick principle relationships between cardiac output ( $Q$ ) as a function of calculated skeletal muscle arteriovenous oxygen content difference ( $\text{Ca-vO}_2$ ); and the relationship of both these variables to oxygen uptake ( $\text{VO}_2$ ).  $Q$  and  $\text{VO}_2$  are group means for patients in advanced heart failure dependent on continuous-flow left ventricular device performing pump-speed-dependent mirrored bouts of constant-load cycle exercise set at 60% of  $\text{VO}_{2,\text{max}}$ .<sup>10</sup> Orange triangle: exercise at a fixed pump speed of 11,000 rpm ( $Q = 6.69$  l/min;  $\text{VO}_2 = 0.71$  l/min). Purple circle: exercise at a fixed pump speed of 9,000 rpm ( $Q = 5.91$  l/min;  $\text{VO}_2 = 0.67$  l/min). The difference in  $Q$  was significant ( $p=0.014$ ), whereas the difference in  $\text{VO}_2$  was non-significant ( $p=0.241$ ). Red dotted line: critical  $\text{O}_2$  extraction ratio ( $\text{O}_2\text{ER} = \text{VO}_2/\text{DO}_2$ ) is  $\approx 70\text{--}75\%$ , coinciding with the physiological need to stop exercising. cf-LVAD = continuous flow left ventricular assist device;  $\text{DO}_2$  = oxygen delivery;  $Q$  = cardiac output;  $\text{VO}_2$  = oxygen uptake. Source: Apostolo et al. 2018.<sup>10</sup>

capillaries, resulting in exaggerated outward fluid flux, reduced inward fluid flux and a physical barrier to  $\text{O}_2$  transport.<sup>43–45</sup>

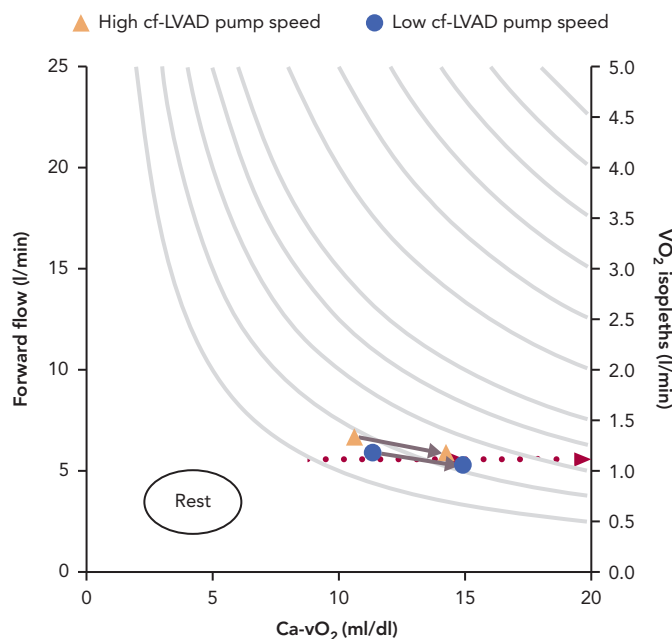
Based on what is already known about Starling forces and the determinants of  $\text{O}_2$  diffusion at the alveolar–capillary membrane interface, poor filtration and uncontrolled capillary hydrostatic pressure alongside imbalanced preload sensitivity to changes in afterload could be expected to result in or exacerbate the accumulation of peripheral interstitial fluid.<sup>46–53</sup> Here, even a relatively small rise in capillary hydrostatic pressure, particularly at the venous end, would disrupt interstitial fluid balance.<sup>47,52</sup>

Measured changes in arterial pressure during exercise are also unlikely to be sensitive enough in cf-LVAD recipients to detect incremental changes in peripheral interstitial fluid accumulation that would limit  $\text{D}_m\text{O}_2$ . While this hypothesis has yet to be tested in cf-LVAD recipients, the implication of rapid interstitial fluid accumulation is that the resulting physical barrier reduces  $\text{D}_m\text{O}_2$  and leads to inadequate  $\text{O}_2$  transport to the mitochondria for oxidative phosphorylation.<sup>46–50</sup> Thus, in the acute setting, it can be hypothesised that limitations to aerobic exercise in cf-LVAD recipients can be physical at the peripheral level and partly reversible by optimisation of pump speed relative to work-rate.

### When No Increase in Submaximal $\text{VO}_2$ is Beneficial

Based on available data, it is unclear whether pump speed-mediated increases in sub-anaerobic threshold  $\text{VO}_2$  for a fixed work-rate are beneficial.<sup>10</sup> When patients performed mild-to-moderate intensity

**Figure 2: Relationships between Cardiac Output, Arteriovenous Oxygen Content Difference and Oxygen Uptake comparing Sub-anaerobic Threshold Exercise with Maximal Exercise**



Right-most orange triangle: exercise at a fixed pump speed of 11,000 rpm ( $Q = 5.9$  l/min;  $VO_2 = 0.84$  l/min). Right-most purple circle: exercise at a fixed pump speed of 9,000 rpm ( $Q = 5.3$  l/min;  $VO_2 = 0.79$  l/min). Differences in  $Q$  ( $p < 0.01$ ) and  $VO_2$  ( $p = 0.01$ ) were significant. However, there was no significant difference for  $Ca-vO_2$  at different pump speeds (15.7 ml/dl at 9,000 rpm and 15.1 ml/dl at 11,000 rpm).  $Ca-vO_2$  = arteriovenous oxygen content difference; cf-LVAD = continuous flow left ventricular assist device;  $Q$  = cardiac output;  $VO_2$  = oxygen uptake. Source: Vignati et al. 2017<sup>9</sup> and Apostolo et al. 2018.<sup>10</sup>

exercise they did not demonstrate pump speed reliance, and hence O<sub>2</sub> flow-dependent reliance, on physical O<sub>2</sub> transport (e.g.  $Q$ ) for  $VO_2$ .<sup>10</sup> This result is consistent with the findings for healthy adults.<sup>24,25</sup> During sub-anaerobic threshold exercise, cellular and biochemical pathways involving the mitochondria and oxidative phosphorylation primarily drive  $VO_2$ .<sup>24,25</sup> Thus, since no appreciable differences in sub-anaerobic threshold  $VO_2$  occurred with the change from low to high pump speed,<sup>10</sup> it is possible that despite the potential to deliver more O<sub>2</sub> on a rapid basis via cf-LVAD support, the metabolic necessity for O<sub>2</sub> can still in part be regulated, even in severely advanced HF.

Numerous exercise studies across disciplines also report that the amount of excess  $VO_2$  for a given work-rate is key for assessing aerobic exercise capacity.<sup>54-60</sup> A high  $VO_2$ :W ratio (i.e.  $> 10$  ml/min  $VO_2$  per W) signals an inappropriate 'gain' in  $VO_2$  closely linked to metabolic inefficiency and supra-reliance on substrate-level phosphorylation for energy regeneration.<sup>54-60</sup> This means that although the sub-anaerobic threshold  $VO_2$  is not significantly impacted by pump speed, the 'gain' in  $VO_2$  (calculated herein) increases at high versus low pump speed (20.3 versus 19.0 ml/min/W, respectively), suggesting exercise metabolic efficiency is slightly better when performed at lower pump speed.<sup>10</sup> Thus, while the 'gain' in  $VO_2$  for both pump settings was greater than expected for comparable healthy adults,<sup>54-60</sup> the interpretability of  $VO_2$  within any phase of exercise can be improved when evaluated relative to power.

## Maximal Exercise

When maximal exercise data are plotted with submaximal data, see Figure 2, it appears that the insensitivity of  $VO_2$  to physical O<sub>2</sub> transport

during mild-to-moderate intensity exercise does not persist to maximal stress.<sup>9,10</sup> At maximal exercise there was a better balance between  $Q$  and  $VO_2$ , which coincided with a high pump speed (11,000 rpm). This observation is consistent with the increased  $VO_2$  max linked to 'responsive' residual left ventricular function (indicated by a resting left ventricular ejection fraction  $\geq 40\%$ ) at high pump speed (9,000 rpm) in the HeartMate II treadmill studies.<sup>12</sup>

However, the impact of pump speed on coupling between  $VO_2$  and  $Ca-vO_2$  did not differ at maximal versus sub-anaerobic threshold exercise.<sup>9,10</sup> The exact reasons for why  $VO_2$  demonstrated higher sensitivity to  $Q$  as opposed to  $Ca-vO_2$  at maximal exercise ( $\Delta VO_2$  52 ml/min;  $p = 0.01$ ) cannot be independently explained by pump speed. The same pump speed settings were used for both exercise intensities.<sup>9</sup> Maximal heart rate also did not differ between pump speeds, consistent with the heart rate responses reported in HeartMate II studies.<sup>8,12</sup> Thus, peripheral haemodynamics, oxidative metabolic demand and skeletal muscle O<sub>2</sub> transport mechanisms should not be overlooked as key interactive features linking pump speed and forward flow to changes in  $VO_2$  at maximal exercise.

Without reviewing additional data it can only be hypothesised that as oxidative metabolic demand of skeletal muscle rises towards maximal exertion in cf-LVAD recipients – coinciding with increased skeletal muscle pump activity, speed and frequency of venous return and exercise power – so does skeletal muscle recruitment.<sup>9,10,12,61</sup> Therefore, increased total metabolic demand plus greater muscle recruitment should demonstrate a closer association with high rather than low pump speed assuming there is also negligible residual left ventricular function. As such, understanding the relationship between metabolically active skeletal muscle relative to total  $Q$  reveals the potential impact the microcirculation can have on  $DO_2$ ,  $D_M O_2$ ,  $Ca-vO_2$ ,  $VO_2$  and exercise capacity.<sup>29,62</sup> The evaluation of cf-LVAD recipients' aerobic exercise capacity should include an integrated assessment highlighting the extent of multilevel (un)coupling across pump speed, forward flow,  $VO_2$ , exercise power, skeletal muscle availability and recruitment.

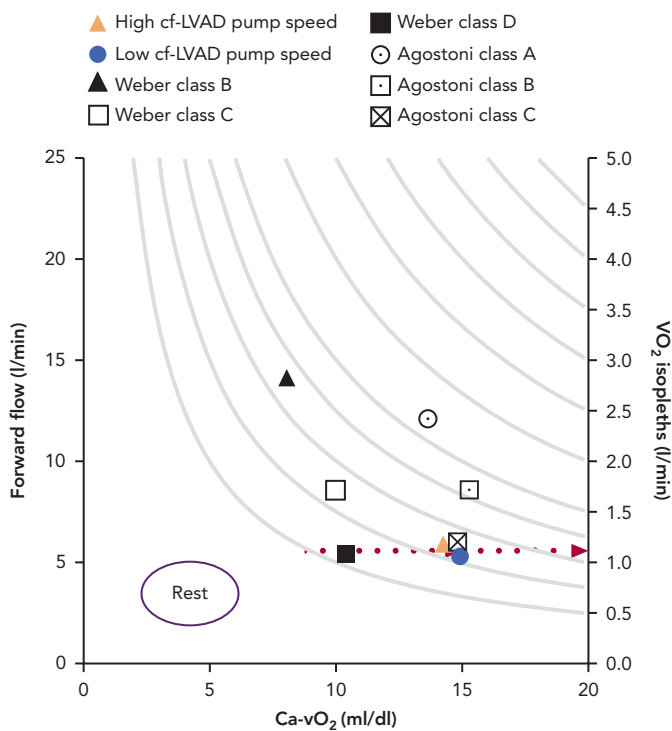
## Skeletal Muscle Recruitment and Maximal Exercise

The ability to recruit skeletal muscle during aerobic exercise involves the collateral recruitment and perfusion of capillaries. In comparison to rest, the larger total capillary surface area available during exercise allows skeletal muscle to accommodate increased  $Q$ , and with this a greater potential for maximising physical O<sub>2</sub> transport.

Viewing the collective differences between maximal  $Q$ ,  $VO_2$  and  $Ca-vO_2$  as exercise capacity and clinical status worsen from Weber class A to D (Figure 3), a widening of  $Ca-vO_2$  reflects not only the peripheral response to oxidative metabolic demand, but also information concerning the inseparable links between  $Q$  and active skeletal muscle that allow  $DO_2$  and  $D_M O_2$  to serve as physical determinants of  $VO_2$ .<sup>63,64</sup> Thus, when the recipient (i.e. skeletal muscle) of enhanced forward flow is appropriately responsive (e.g. capillaries are recruited), cf-LVAD support can be highly effective for improving aerobic exercise capacity.

Unfortunately, for most end-stage patients, the capacity for proper  $Ca-vO_2$  expansion relative to increasing oxidative metabolic demand is compromised by skeletal muscle disease(s). This clinical phenotype is not unique to advanced stage HF and can include cachexia, capillary rarefaction, low capillary surface area reserve, small type I-to-II muscle

Figure 3: Relationships Between Cardiac Output, Arteriovenous Oxygen Content Difference and Oxygen Uptake in Heart Failure Patients with Reduced Ejection Fraction Performing Maximal Exercise



Heart failure severity worsens from Weber class A to D according to the following maximal exercise  $VO_2$  criteria: A = >20 ml/kg/min; B = 16–20 ml/kg/min; C = 10–15 ml/kg/min; and D = <10 ml/kg/min.<sup>53,64</sup> Overall, as heart failure severity worsens maximal cardiac output (Q) decreases. In order for maximal  $VO_2$  to increase,  $VO_2$  is forced to become more reliant on the expansion of  $Ca-vO_2$ . Importantly, cf-LVAD support does not appear to markedly improve total Q when compared with similar severity Weber class D patients without cf-LVAD support; instead, the cf-LVAD plays a significant role in allowing the widening of  $Ca-vO_2$  and increase in maximal  $VO_2$  at both pump speeds.  $Ca-vO_2$  = arteriovenous oxygen content difference; cf-LVAD = continuous flow left ventricular assist device; Q = cardiac output;  $VO_2$  = oxygen uptake. Source: Apostolo et al. 2018.<sup>10</sup>

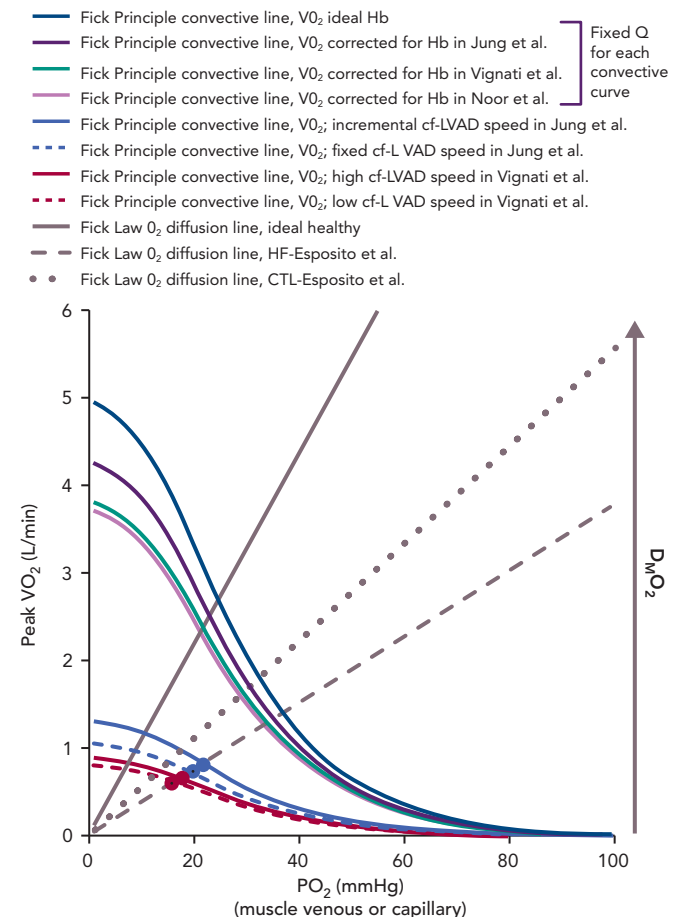
fibres ratios and, in most cases, a composite of these.<sup>64–70</sup> Therefore, with the high likelihood that skeletal muscle pathophysiology progressively worsens in the lead-up to cf-LVAD support, ‘peripheral’ components of HF reinforce the view that whole-body physical  $O_2$  transport and aerobic exercise capacity can only be partially restored with artificial forward flow. Indeed, beyond cf-LVAD support alone, post-implantation participation in cardiac rehabilitation – including regular aerobic and strength training exercise – can lead to significant improvements in  $VO_2$  max.<sup>3,16,22,23</sup>

Is an Increase in Maximal  $VO_2$  an Improvement?

The acute ‘benefit’ of high pump speed on  $VO_2$  max may be outweighed by the negative impact long-term excessive rapid blood flow can have on shortened  $O_2$  microcirculatory transit times, imbalanced Starling forces and dysregulated hydrostatic and osmotic pressure gradients. Similar to what has been reported for the cardiopulmonary circulation, it can be hypothesised that for optimal coupling between Q,  $DO_2$  and  $D_MO_2$  to occur in skeletal muscle, blood transit time needs to allow  $O_2$  to diffuse from the  $O_2$ -rich microcirculation, across the membrane barrier, to the  $O_2$ -poor interstitial fluid and finally the mitochondria.<sup>27,29,51,71</sup>

In the absence of an adequate capillary-to-interstitial partial pressure of oxygen ( $PO_2$ ) gradient,  $O_2$  microcirculatory transit time and capillary

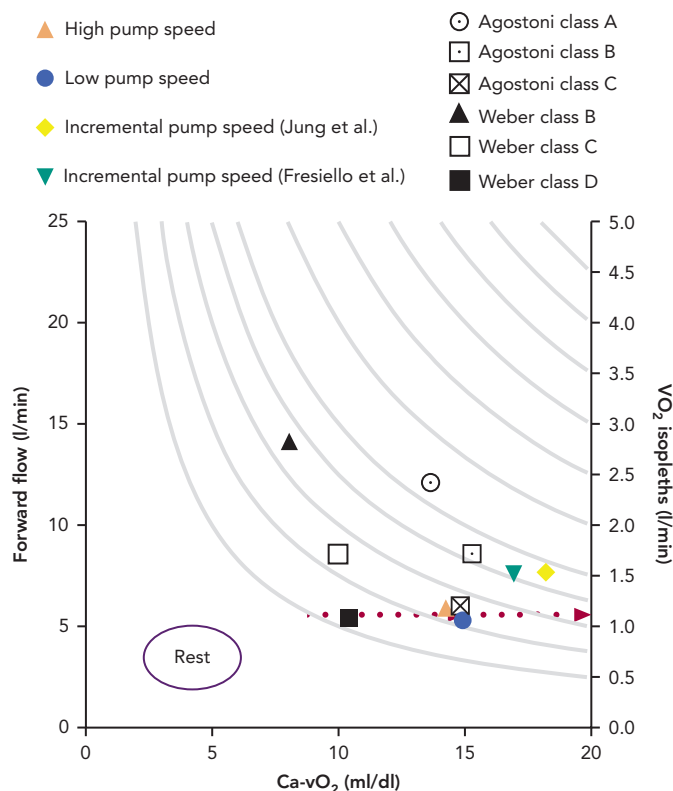
Figure 4: Physical and Physiological  $O_2$  Transport Contribution to Maximal Exercise Oxygen Uptake Described by Fick’s First Law and Fick Principle



The Wagner model demonstrates how the physical and physiological  $O_2$  transport contribution to maximal exercise  $VO_2$  is the result of interactions between the conductive (i.e. diffusive) movement of  $O_2$  described by Fick’s first law ( $VO_2 = D_MO_2 \times [PO_2 - PmO_2]$ ) and the convective movement of  $O_2$  described by the Fick principle ( $VO_2 = 1.34 \times Q \times Hb \times Sa-vO_2$ ).<sup>27,28,38,73</sup> This model illustrates that  $VO_2$  is subject to impairments in either  $D_MO_2$  or  $DO_2$  or, in most cases, a combination of both. Taken further, because of how  $DO_2$  is derived, it also clear that factors (i.e. the alkaline Bohr effect and Haldane effect) influencing the oxyhaemoglobin dissociation curve also impact  $VO_2$ . This is illustrated by comparing maximal  $VO_2$  responses as a result of  $DO_2$  constrained to the same Q but corrected for haemoglobin content values reported for cf-LVAD patients.<sup>8,9,12</sup> Data plotted for the diffusive movement of  $O_2$  (straight grey lines) reflect group means at maximal exercise for both heart failure with reduced ejection fraction and controls.<sup>74</sup> The impact of cf-LVAD speed, as well as the type of pump speed increase on  $O_2$  transport,  $DO_2$  and  $VO_2$  are represented by low versus high fixed comparisons<sup>9</sup> and fixed versus incremental comparisons.<sup>8,12</sup> cf-LVAD = continuous flow left ventricular assist device;  $D_MO_2$  = oxygen diffusion;  $DO_2$  = oxygen delivery; Hb = haemoglobin;  $PmO_2$  = mitochondrial partial pressure of oxygen;  $PO_2$  = partial pressure of oxygen; Q = cardiac output;  $Sa-vO_2$  = arteriovenous oxygen saturation difference;  $VO_2$  = oxygen uptake. Source: Jung et al. 2014,<sup>8</sup> Vignati et al. 2017,<sup>9</sup> Noor et al. 2012<sup>12</sup> and Esposito et al. 2010.<sup>74</sup>

surface area, it would be nearly impossible for  $D_MO_2$ ,  $O_2$  transport to mitochondria and oxidative phosphorylation to occur. This is because high hydrostatic pressure of the interstitial space, precipitated by capillary flooding secondary to excessive pump speed, would prevent  $D_MO_2$ . Moreover, if pump speed is too rapid to allow arterial reservoirs to fill, the impact the skeletal muscle pump has on shuttling venous return will be marginalised.<sup>72</sup> This will result in progressively worsening preload to overcome high left-heart afterload.<sup>53</sup> Thus, while transient low-to-high shifts in pump speed may temporarily increase  $VO_2$  max, whether this symbolises physiological adaptation, what is considered a sustainable ‘improvement’ in aerobic exercise capacity and the associated clinical benefit should be interpreted with caution.<sup>8–10,12</sup>

**Figure 5: Effect of Incrementally Increased Pump-speed on Relationships Between Cardiac Output, Arteriovenous Oxygen Content Difference and Oxygen Uptake During Maximal Exercise**



An extension of Figure 3 with additional data reported in Jung et al.<sup>8</sup> (yellow diamond) and Fresiello et al.<sup>13</sup> (inverted green triangle), who tested whether incremental increases in cf-LVAD speed during upright cycle cardiopulmonary exercise testing was associated with greater maximal  $VO_2$ , compared with that which occurs at fixed pump speed matched for exercise intensity. Only data for the exercise bout performed with the incremental increase in pump speed could be calculated using the Fick principle and plotted based on physiologically plausible values. See text for an explanation of why only data for incremental pump speed tests are plotted.  $Ca-vO_2$  = arteriovenous oxygen content difference; cf-LVAD = continuous flow left ventricular assist device;  $D_MO_2$  = oxygen diffusion;  $VO_2$  = oxygen uptake. Source: Jung et al. 2014<sup>8</sup> and Fresiello et al. 2016<sup>13</sup>.

### Linking Convective and Diffusive Oxygen Transport

$D_MO_2$  at skeletal muscle during exercise, as it relates to  $VO_2$ , can be closely estimated using Fick's first law.<sup>27,28,38,73</sup> The equation

$$VO_2 = D_MO_2 \times (PO_2 - PmO_2)$$

suggests the intra- to extra-capillary flux of  $O_2$  is directly proportional to its conductance coefficient constant and a known  $PO_2$  gradient between the capillaries and mitochondria ( $PmO_2$ ).<sup>27,28,38,73</sup> The foundational Wagner model for physical  $O_2$  transport was the first to illustrate how  $D_MO_2$  integrates with elements underlying the Fick principle ( $VO_2 = 1.34 \times Q \times Hb \times$  arteriovenous oxygen saturation difference) and the  $O_2Hb$  dissociation curve to jointly compose  $VO_2$ .<sup>27,28,38,73</sup> The interdependence of such relationships, where  $VO_2$  is derived using both the Fick principle and Fick's first law, are plotted together in Figure 4 as a function of the expected change in skeletal muscle  $PO_2$  accompanying maximal exercise (capillary [ $PcO_2$ ],  $PvO_2$  or mixed venous [ $PmvO_2$ ]).<sup>27,28,38,73</sup> The  $D_MO_2$  and  $DO_2$  lines intersect closely, representing the total physical contribution of  $O_2$  transport to aerobic exercise capacity, regardless of patient type.<sup>12,27,28,38,73</sup>

Indeed, if maximal exercise  $D_MO_2$  (mean 12.6 ml/min/mmHg) is set at levels reported for HF without cf-LVAD<sup>74</sup>, the point where  $D_MO_2$  (grey hashed line) and  $DO_2$  (blue and red curved lines) intersect for

cf-LVAD (Figure 4) illustrates failing  $D_MO_2$  and  $DO_2$  together but not separately physically limit  $VO_2$ .<sup>8-10</sup> Given the Fick principle, the direct impact that  $O_2Hb$  association and dissociation capacity have on  $DO_2$  and subsequently  $D_MO_2$  is also apparent. This physical chemistry factor is capable of predetermining the  $VO_2$  ceiling, irrespective of pump speed and total  $Q$ .<sup>12,27,28,75,76</sup> To illustrate this point, the  $DO_2$  curves (light purple, teal and dark purple) corrected for haemoglobin (mean 11.2 g/dl, 11.5 g/dl and 12.9 g/dl) demonstrate a clear downward shift from the ideal  $DO_2$  curve (dark teal) reflecting normal haemoglobin (15.0 g/dl; Figure 4).<sup>8,9,12</sup> The ensuing differences in  $VO_2$  reflect the robust influence of the  $O_2Hb$  dissociation curve independent of haemodynamic differences, since  $Q$  was set at the same fixed constant for  $DO_2$  curves.

If  $D_MO_2$  increases towards levels reflective of healthy controls (mean 18.5 ml/min/mmHg; grey dotted line in Figure 4), it becomes apparent that improving  $D_MO_2$  by increasing capillary unit and surface area recruitment could compensate for low  $DO_2$  and facilitate a rise in  $VO_2$ .<sup>74</sup> However, the promise of this compensatory mechanism means it is unlikely that further increases in  $D_MO_2$  are plausible for cf-LVAD recipients if the level for HF reported in Esposito et al. even slightly overestimates what could be expected for more severe HF.<sup>74</sup> This is because the critical exercise termination thresholds for the  $O_2$  extraction ratio ( $O_2ER = VO_2/DO_2 \approx 70-75\%$ ) and  $PO_2$  for capillary and femoral venous blood (<25 mmHg) align vertically at the intersection of the HF  $D_MO_2$  line and  $DO_2$  curves.<sup>71,77</sup> Thus, all of this collectively suggests that true maximal  $VO_2$  was achieved by cf-LVAD recipients in reviewed studies and no further increase in  $D_MO_2$  could be expected to safely occur.

### Does Incremental Pump Speed Improve Exercise Capacity?

In contrast to optimising the cf-LVAD for exercise using a fixed pump speed,<sup>9,12</sup> a number of studies have tested whether modifying pump speed (HeartMate II maximal rpm = 15,000; flow = 10 l/min) between fixed and incremental settings impacts maximal  $VO_2$  and  $Q$ .<sup>8,11,13</sup> In Figure 5, separate upright cycle maximal exercise data for incremental pump speeds of +200 rpm/min and +400 rpm/2 min (maximal mean for both = 10,843 rpm) suggest similar  $VO_2$ , cf-LVAD flow,  $Ca-vO_2$ , heart rate and  $W$  responses.<sup>8,13</sup> Conversely, the study by Fresiello et al. reported that pump speed type had no effect on  $VO_2$ , whereas Jung et al. identified an increased  $VO_2$  response associated with incremental pump speed, consistent with observations made by Apostolo et al.<sup>8,10,13</sup>

Interestingly, Fick principle data for  $VO_2$  (1.22 and 1.27 l/min) and cf-LVAD flow (6.0 and 6.2 l/min) could not be plotted for fixed tests at 9,371 and 9,357 rpm as values calculated for  $Ca-vO_2$  were atypically high (20.3 and 20.5 ml/dl, respectively).<sup>8,13</sup> Even when considering that the effects of the  $O_2Hb$  dissociation curve and variability in reported data are not accounted for by calculating  $Ca-vO_2$  using means, it is physiologically unlikely that  $Ca-vO_2$  was supra-responsive and mixed venous  $O_2$  saturation drastically reduced during fixed versus incremental studies. Based on available data and the Fick principle, it can only be hypothesised that subtle contributions from native  $Q$  during fixed tests makes up for lower cf-LVAD flow while reducing  $Ca-vO_2$  to more plausible levels. Native  $Q$  may make different contributions to gross flow depending on pump speed type and right and left heart interactions involving unique cf-LVAD sensitivity to preload and afterload, as briefly discussed below.

## Right Heart Considerations when Interpreting cf-LVAD Contributions to Aerobic Exercise Capacity

In lieu of a strong body of evidence to support the current understanding of right heart function during exercise testing in cf-LVAD recipients, *in silico* studies suggest the cf-LVAD exhibits a considerably lower preload sensitivity than the intact human heart.<sup>53,78</sup> On the other hand, simulations demonstrate that, within a given patient, left heart preload sensitivity lessens as afterload increases whereas left heart afterload sensitivity is nominally impacted by changes in preload.<sup>53</sup> All of this means that, while right ventricular function and preload have a clear impact on cf-LVAD function, proposed associations between preload and afterload suggest the strongest interactions occur when the afterload is highest.<sup>6,53,79</sup> However, afterload, even in cases of advanced stage HF, does not necessarily peak at maximal exercise or relate to whether native left ventricular function is able to contribute to 'normal' aortic valve function.<sup>8,12,80–82</sup> Classical resting evaluations of right heart function may not fairly indicate the heart's ability to perform under conditions where the active skeletal muscle pump and drop in afterload have favourable influences on haemodynamics.

On a real-time basis, non-physiological elements confound what is understood about the influence right heart function has on left heart forward flow and  $\text{VO}_2$ . For example, low preload sensitivity during exercise has implications for cf-LVAD haemodynamics because the presence of high afterload must be offset by adequate venous circulation and right ventricular function in order to decrease the chance of 'suckdown'.<sup>53,78</sup> This also means factors, such as gravity and

body position, which directly affect the venous circulation must be taken into account when evaluating exercise and right heart function in cf-LVAD recipients.<sup>40,83–86</sup> Collective effects associated with venous return, right ventricular function, skeletal muscle pump activity and left heart afterload are likely to have less of an impact on how cf-LVAD support integrates with native Q and gross physical  $\text{O}_2$  transport during supine exercise in comparison to the upright position.<sup>40,83–86</sup> Caution should be taken when translating (semi)supine studies to the upright position, particularly when evaluating the combined effects of right ventricular function, native Q and cf-LVAD support on the various subcomponents of physical  $\text{O}_2$  transport and aerobic exercise capacity.

## Conclusion

The physiological concepts proposed in this review suggest that for cf-LVAD pump speed transitions to be effective and safely impact  $\text{VO}_2$  and aerobic exercise capacity, the rapidity of the pump must not be set so the rate of forward flow grossly exceeds skeletal muscle oxidative metabolic demand, microcirculatory net filtration capacity and exercise work-rate. The unique role skeletal muscle plays in accommodating and facilitating physical  $\text{O}_2$  transport and performing oxidative metabolism highlights the therapeutic role that cardiac rehabilitation and aerobic exercise training can have on maximising the benefit of cf-LVAD support. Clinical and mechanistic studies are needed to explore and test the validity of the proposed links between pump speed, forward flow, whole-body physical  $\text{O}_2$  transport, and the physical and physiological features of skeletal muscle when oxidative metabolic demand is expected to be highest, during maximal exercise. ■

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