

## Region 4 of the RNA polymerase $\sigma$ subunit counteracts pausing during initial transcription

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All cellular genetic information is transcribed into RNA by multisubunit RNA polymerases (RNAPs). The basal transcription initiation factors of cellular RNAPs stimulate the initial RNA synthesis via poorly understood mechanisms. Here, we explored the mechanism employed by the bacterial factor  $\sigma$  in promoter-independent initial transcription. We found that the RNAP holoenzyme lacking the promoter-binding domain σ4 is ineffective in *de novo* transcription initiation and displays high propensity to pausing upon extension of RNAs 3 to 7 nucleotides in length. The nucleotide at the RNA 3' end determines the pause lifetime. The  $\sigma$ 4 domain stabilizes short RNA:DNA hybrids and suppresses pausing by stimulating RNAP activecenter translocation. The antipausing activity of  $\sigma$ 4 is modulated by its interaction with the  $\beta$  subunit flap domain and by the σ remodeling factors AsiA and RbpA. Our results suggest that the presence of σ4 within the RNA exit channel compensates for the intrinsic instability of short RNA:DNA hybrids by increasing RNAP processivity, thus favoring productive transcription initiation. This "RNAP boosting" activity of the initiation factor is shaped by the thermodynamics of RNA:DNA interactions and thus, should be relevant for any factordependent RNAP.

Transcription initiation by DNA-dependent RNA polymerase (RNAP) is the first and highly regulated step in gene expression (1). After initiation of de novo RNA synthesis at promoters (initial transcription), RNAP fluctuates between promoter escape, which leads to productive RNA synthesis, and stalling at promoters, which leads to "abortive," reiterative RNA synthesis, a general phenomenon observed for all RNAPs (2-5). Nascent RNA chains longer than eight nucleotides (nt) form stable, 9- to 10-base pair (bp)-long RNA:DNA hybrids that are considered a hallmark of the productive elongation complex (6-10). Nascent RNA chains shorter than 9 nt form unstable hybrids with ssDNA templates and tend to dissociate from the RNAP active site (2, 7, 11). However, short RNAs (5-6 nt in length) can be stably bound in the paused initially transcribing complex (ITC) formed at the lacUV5 promoter

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in vitro (12-14) and in vivo (15). The initial transcription pause occurring after the synthesis of 6-nt RNA functions as a checkpoint on the branched pathway between productive and nonproductive transcription (14).

During RNA synthesis, RNAP performs a stepwise extension of the RNA chain by one nucleotide that is called nucleotide addition cycle (NAC) (reviewed by (16)). During the NAC, the fist initiating nucleoside triphosphate (NTP) or the 3' end of RNA occupies the product site (i-site) (pretranslocated state), while the incoming NTP enters in the substrate site (i+1-site). After formation of the phosphodiester bond, the RNA 3' end moves from the i+1-site to the i-site (posttranslocated state). The concerted translocation of RNA and DNA to the active site is controlled by the  $\beta'$  subunit trigger loop that folds into the trigger helix upon transition from the pretranslocated to the posttranslocated state (17, 18).

The basal transcription initiation factors (*i.e.*, bacterial  $\sigma$ subunit, archaebacterial TFB, and eukaryotic TFIIB) stimulate the initial steps of RNA synthesis (19–24) Specifically, the  $\sigma$ subunit region 3.2 hairpin loop ( $\sigma$ 3.2-finger) contacts the template ssDNA strand at positions -4/-5 and controls its positioning in the active site (25, 26). The  $\sigma$ 3.2-finger can indirectly modulate the priming of de novo RNA synthesis at promoters (19, 27) and promoter-like DNA templates, such as the M13 phage origin (23). The  $\sigma$  subunit may also exert an inhibitory effect on initial transcription. Indeed, the unstructured linker connecting domains  $\sigma$ 3 and  $\sigma$ 4 (formed by the  $\sigma$ regions 3.2 and 4.1) is located in the RNA-exit channel and represents a barrier for growing RNA chains. This linker is displaced by RNA upon promoter escape (28). A clash between the  $\sigma$ 3.2-finger and >4-nt RNA chains hinders RNA extension and may cause the formation of abortive RNAs, thus contributing to pausing during initial transcription (5, 13, 29–31).

Binding of the regions  $\sigma$ 3.2 and  $\sigma$ 4.1 within the RNA exit channel takes place during assembly of the RNAP holoenzyme when the  $\sigma$  subunit undergoes the transition from the "closed" to the "open" conformation (32). Recent single-molecule fluorescence resonance energy transfer studies demonstrated that in Mycobacterium tuberculosis, this transition is regulated by the activator protein RbpA (33) that interacts with the  $\sigma^2$ and  $\sigma$ 3.2 domains (34). Whether RbpA can influence initial transcription has never been explored.

This article contains supporting information.

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Several mechanisms to explain the  $\sigma$ 3.2-finger stimulatory activity during initial transcription have been proposed: (a) stabilization of the template ssDNA in the RNAP active site (30); (b) decreased K<sub>m</sub> for 3'-initiating NTP binding in the substrate i+1-site (19); and (c) stabilization of short RNAs in the active site (22, 23, 35). The last mechanism was also suggested for the B-reader domain of TFIIB, which is the structural homologue of the  $\sigma$ 3.2-finger (21, 36). As the  $\sigma$  subunit occludes the RNA path and contacts all principal regulatory domains of RNAP ( $\beta$ '-clamp,  $\beta$ -lobe,  $\beta$ -Flap), it may affect RNA synthesis in several ways: through ssDNA template positioning, RNA binding, or direct modulation of the RNAP domain motions.

Here, to discriminate among these different scenarios, we investigated how the  $\sigma$  subunit and RNA:DNA hybrid length affect branching between productive and nonproductive RNA synthesis during initial transcription by two RNAPs from phylogenetically distant bacterial lineages: Escherichia coli (EcoRNAP) and M. tuberculosis (MtbRNAP). Compared with *Eco*RNAP, *Mtb*RNAP presents several structure-specific features, particularly the lack of Eco-specific TL-insertion and the presence of the ~90 amino acid-long Actino*bacteria*-specific insertion in the  $\beta$ ' subunit ( $\beta$ '-SI) (37, 38). To analyze directly the effects of the  $\sigma$  subunit on the RNAP catalytic site activity, we used promoterless DNA scaffold templates (23, 26). DNA scaffolds have been widely used in structural studies on ITCs of bacterial and eukaryotic RNAPs (39, 40). The scaffold model allows bypassing the complexity of promoter-dependent initiation that is strongly influenced by the promoter configuration and by the interactions of  $\sigma$ with promoter elements. When complexed with RNAP, scaffold DNA templates harbor a "relaxed" conformation lacking the topological stress observed in the transcription bubble due to DNA scrunching during initial transcription at promoters. Moreover, as DNA scaffolds lack nontemplate strand ssDNA, transcription initiation should be less affected by interaction with the core recognition element (CRE) (41). We found that the promoter-binding domain  $\sigma 4$  (*i.e.*, the structural homologue of the eukaryotic TFIIB B-ribbon), located  $\sim$ 60 Å away from the active site, strongly stimulates RNAP translocation and stabilizes short RNA:DNA hybrids in the RNAP active site. The combination of these activities provides the basis for the initiation-to-elongation transition regulation by the auxiliary transcriptional factors that binds to the  $\sigma$  subunit.

### Results

# The $\sigma^{70}$ subunit is required for initial transcription from the promoterless scaffold DNA template

To explore the role of the  $\sigma$  subunit in initial transcription, we used two types of minimal DNA scaffold templates (Fig. 1*A*): a Short Duplex Template (SDT), which included the 9-bp downstream DNA (dwDNA) duplex, and a Long Duplex Template (LDT), which comprised the 18 bp dwDNA duplex. The dwDNA duplex of the LDT scaffold forms additional contacts with the  $\beta$ ' subunit residues 202 to 247 that stabilize

*e* caused by deletions in dom anit in initial transcription, DNA scaffold templates (SDT), which included the scription and exerts its

the RNAP–scaffold complex (10, 42). Previously, we demonstrated that extension of the 3-nt RNA primer on SDT DNA is strongly stimulated by the  $\sigma^{70}$  subunit (26). Here, we found that the RNAP core from *E. coli* (*Eco*RNAP) was inactive in *de novo* transcription initiation at SDT and LDT templates performed in the presence of  $[\alpha^{-32}P]$ -UTP, CTP, and GTP (Fig. 1*B*). Conversely, the  $\sigma^{70}$ -*Eco*RNAP holoenzyme synthesized a single 3-nt RNA (pppC $[\alpha^{-32}P]$ UpG) starting 8 nt downstream of the 3' end of the template DNA (designated as "+1") (Fig. 1*B*). This start site assignment was validated by using the antibiotic rifampicin that inhibits the synthesis of the second phosphodiester bond. Indeed, rifampicin addition abolished the formation of 3-nt RNA and induced the accumulation of radiolabeled 2-nt RNA (pppC $[\alpha^{-32}P]$ U) (Fig. 1*C*).

## The promoter-binding domain $\sigma 4$ is essential for de novo initiation of RNA synthesis

To identify the  $\sigma^{70}$  regions that influence RNA synthesis initiation on minimal scaffolds, we generated a panel of  $\sigma^{70}$ mutants (Fig. 1, *D* and *E*). The  $\sigma_{\Delta 3-4}$  fragment (residues 1–448) in which the regions 3 and 4 were deleted is inactive in promoter-dependent transcription initiation (43). The  $\sigma_{\Delta 4}$ fragment (residues 1-529) lacked part of region 3.2 and the entire  $\sigma$ 4 domain. In the  $\sigma_{\Delta 4,2}$  fragment (residues 1–553), only region 4.2 was deleted, but not the 4.1 α-helix, which binds inside the RNA exit channel (Fig. 1E). In agreement with previous studies (44, 45), the EcoRNAP holoenzymes harboring the  $\sigma_{\Delta 4}$  or  $\sigma_{\Delta 4,2}$  fragments were inactive in abortive transcription assays with the -10/-35 type lacUV5 promoter and displayed reduced transcriptional activity with the "extended –10" type galP1cons promoter (Fig. 1F). The  $\sigma_{\Delta 3.2}$ subunit, in which residues 513 to 519 in the  $\sigma$ 3.2-finger were deleted, was active in transcription initiation with both promoters. The EcoRNAP holoenzymes assembled with the mutant  $\sigma^{70}$  subunits were inactive in *de novo* transcription initiation on the SDT scaffold (Fig. 1B). We detected no synthesis of dinucleotide RNA products by the EcoRNAP core and by the holoenzyme, differently from what reported for initial transcription on the M13 minus-strand origin (23). This difference might be explained by the low NTP concentration (22 µM) used in our experiments. Conversely, on the LDT scaffold, the activity of  $\sigma_{\Delta 3,2}$  corresponded to 42% of the activity of full-length  $\sigma^{70}$ . Thus, strengthening the interaction between RNAP and the dwDNA duplex beyond position +10 can compensate for the lack of interaction between the  $\sigma$ 3.2finger and template-strand ssDNA. This result suggests that the  $\sigma$ 3.2-finger/DNA interaction contributes to, but is not essential for, initial transcription. The transcription defects caused by deletions in domain  $\sigma$ 4, which does not interact with scaffold DNA, cannot be compensated by the dwDNA interactions, suggesting that  $\sigma$ 4.2 is essential for initial transcription and exerts its activity through interaction with RNAP. Conversely, it has been suggested that  $\sigma$ 4 is dispensable for initial transcription on the M13 phage minus-strand origin (23). This discrepancy might be caused by differences in the DNA template architecture.





**Figure 1. The**  $\sigma$  **subunit stimulates** *de novo* **transcription initiation on promoterless DNA scaffolds.** *A*, scheme of the synthetic DNA scaffolds: <u>Short</u> Duplex Template (SDT) and Long Duplex Template (LDT). *Blue*, template strand; *red*, nontemplate DNA strand. The position of the  $\sigma$ 3.2-finger ( $\sigma$ 3.2) is indicated by a gray rectangle. *B*, transcription initiation by wild-type (WT) *Eco*RNAP, or harboring the indicated mutant  $\sigma^{70}$  variants, at the SDT and LDT scaffolds in the presence of CTP, GTP, and [ $\alpha$ -<sup>32</sup>P]-UTP. The *bar graph* shows the RNA product quantification. The RNA amount in each lane was normalized to the RNA synthesized in the presence of the full-length  $\sigma^{70}$  subunit. *C*, inhibition of transcription on SDT by 10 µM rifampicin (Rif). *D*, scheme showing the  $\sigma^{70}$  subunit with domains 1 to 4. NCR, nonconserved region. The regions interacting with the promoter –10 and –35 consensus elements are indicated. The organization of the mutant  $\sigma^{70}$  variants is shown with *black lines* underneath the scheme. The  $\sigma$ 3.2-finger sequence (amino acids 513–519) deleted in the  $\sigma_{\Delta_{3.2}}$  mutant is shown in *purple letters. E*, structural models of the *Eco*RNAP core (*left*) and holoenzyme (*right*) (PDB: 6C9Y) shown as semitransparent surfaces in complex with scaffold DNA (*blue-red*) (DNA and RNA from PDB: 2O5I). The core is in complex with 14-nt RNA (*yellow-orange-purple*), and the holoenzyme is in omplex with 4-nt RNA (*yellow-orange*). The RNA 5'-phosphates are shown as spheres. The 5' end nucleotide is colored in *orange*. The  $\sigma^{70}$  subunit is shown with the conserved regions colored as in panel D. The Ca atoms of amino acids 513 to 519 in region 3.2 are shown as *spheres*. *F*, transcriptional activity of the *Eco*RNAP holoenzyme harboring mutant  $\sigma^{70}$  variants. Transcription was initiated at the *lac*UV5 promoter by the ApA primer and [ $\alpha$ -<sup>32</sup>P]-UTP and at the *gal*P1-CON promoter by the CPA primer, [ $\alpha$ -<sup>32</sup>P]-UTP, and ATP. The RNA product sequences are indicated.

## dwDNA duplex and RNA primers suppress the translocation defect caused by deletions in the $\sigma$ subunit.

In promoter-dependent transcription initiation, short (≤3nt) RNA primers (pRNAs) can rescue the defects linked to deletions in the  $\sigma$ 3.2 and  $\sigma$ 4 regions (19, 22, 23). To determine whether they have the same effect also when using minimal scaffold templates, we carried out transcription in the presence of a 2-nt pRNA (GpC, pRNA2) the 3' end of which was complementary to the third position upstream of the DNA duplex (designated as position "+1") (Fig. 2A). We assumed that the first catalytic step, addition of  $[\alpha^{-32}P]$ -UTP to pRNA2 (synthesis of  $GpC[\alpha^{-32}P]U$ ), does not require translocation of the RNAP active center because the 3' end of pRNA2 binds to the "product-site" (i-site, facing the position +1 of the DNA template), thus leaving the "substrate site" (i + 1, facing position +2 of the DNA template) available for incoming NTP (Fig. 2B). This hypothesis is supported by the structures of the RNAP initiation complexes with synthetic scaffolds observed

in posttranslocated states (30, 39). The next catalytic steps (synthesis of the GpC[ $\alpha$ -<sup>32</sup>P]UpG and GpC[ $\alpha$ -<sup>32</sup>P]UpGpA products) require the translocation of the RNA 3' end from the i + 1 site to the i-site (Fig. 2, *A* and *B*). As only the first incorporated NTP (U) was labeled, the fraction of the longest reaction product (RNA[N + 2]) reflected the overall "efficiency of RNAP translocation" from register +2 to +4.

In the presence of GpC and three nucleotides ( $[\alpha^{-32}P]$ -UTP, GTP, and ATP), the *Eco*RNAP core synthesized two  $[^{32}P]$ -labeled RNA products (3-nt and 5-nt RNAs), with a bias toward the shorter one (overall translocation efficiency: ~ 40%, Fig. 2*C*). In the same conditions,  $\sigma^{70}$  strongly stimulated  $[\alpha^{-32}P]$ -UTP incorporation and translocation. Consequently, the 5-nt RNA was the major reaction product synthesized by the *Eco*RNAP holoenzyme (95% translocation efficiency). The observed low efficiency of the  $[\alpha^{-32}P]$ -UTP-addition reaction by the *Eco*RNAP core might reflect its low affinity for pRNA2. Indeed, increasing pRNA2 concentration increased  $[\alpha^{-32}P]$ -



**Figure 2. The**  $\sigma$  **subunit and DNA template architecture modulate forward translocation.** *A*, scheme of the primer extension reaction on the LDT scaffold. The RNA primers (pRNA) used to assemble Initial Transcribing Complexes (ITCs) are shown in *red*. Nucleotides added during initial transcription are in *purple*. The position of the  $\sigma$ 3.2-finger is indicated by a *gray rectangle. B*, simplified scheme of the nucleotide addition cycle (NAC) on scaffold DNA template (*blue*) with a 2-nt RNA primer (*red*) and initiating 3' UTP (*purple*). The active site registers are designated as i and i + 1. TH, trigger helix; TL, trigger loop. *C*, extension of 2-nt pRNA (pRNA2) by *Eco*RNAP on the LDT scaffold in the presence of wild-type (WT) or mutant  $\sigma^{70}$  variants. The stacked bar graph shows the RNA product quantification. The RNA amount in each lane was normalized to the total RNA synthesized in the presence of the full-length  $\sigma^{70}$  subunit. *D*, scheme of the SDT2 and SDT2+1 scaffolds with 6-nt nascent RNA. *Red*, sequence of the 3-nt pRNA; *purple*, nucleotides added during primer extension. *E*, transcription initiation by *Eco*RNAP at the SDT2 and SDT2+1 scaffolds with 3-nt pRNA (pRNA3) in the presence of mutant  $\sigma^{70}$  variants. The stacked bar graph shows the RNA product quantification. The amounts of 4-nt and 6-nt RNA in each lane were normalized to the total RNA (4-nt + 6-nt) amount in each lane.

UTP incorporation, but did not stimulate translocation (Fig. S1). Even the "minimal"  $\sigma_{\Delta 3-4}$  fragment strongly stimulated [ $\alpha$ -<sup>32</sup>P]-UTP incorporation, compared with the EcoR-NAP core (Fig. 2D, Fig. S2). Therefore, binding of domain  $\sigma$ 2 to the *Eco*RNAP core may strengthen its interaction with the scaffold DNA/RNA and/or direct it to the active site cleft.

Like with promoter DNA templates, the initiation defect on DNA scaffolds upon  $\sigma$ 3.2-finger deletion was rescued by pRNA2 (~80% translocation efficiency, Fig. 2*C*). The C-terminal deletions in the  $\sigma^{70}$  subunit ( $\sigma_{\Delta 3-4}$ ,  $\sigma_{\Delta 4}$  and  $\sigma_{\Delta 4.2}$ ) hindered the synthesis of 5-nt RNA (<50% translocation efficiency) leading to RNA patterns similar to those of the *Eco*RNAP core. As deletion of the region 4.2 and deletion of the entire  $\sigma$ 3 and  $\sigma$ 4 domains led to the same effect, we

conclude that  $\sigma$ 4.2 is a principal determinant for the efficient synthesis of the 5-nt RNA product. It is unlikely that the translocation defect conferred by deletion of the region  $\sigma$ 4.2 is due to a decreased affinity of the 3-nt RNA product (GpC [ $\alpha$ -<sup>32</sup>P]U) for the RNAP active site because the overall [ $\alpha$ -<sup>32</sup>P]-UTP incorporation was similar with full-length  $\sigma$ <sup>70</sup> and  $\sigma$ <sub> $\Delta$ 4.2</sub> (Fig. 2*C*). Our results suggest that RNAP translocation from register +2 to register +3 is a rate-limiting step for 5-nt RNA synthesis and is slower than [ $\alpha$ -<sup>32</sup>P]-UTP addition and the 3-nt RNA product dissociation. The  $\sigma$ 4 deletions had similar effects on translocation also when using the SDT scaffold and the 3-nt pRNA suppressed the transcription defects caused by the  $\sigma$ 4 deletion on the LDT but not the SDT scaffold, indicating that the

RNAP interaction with dwDNA stimulates translocation. To explore the effect of the DNA duplex on RNAP translocation, we used modified versions of the SDT scaffold (SDT2 and SDT2+1), with more stable dwDNA duplexes (Fig. 2D). Moreover, in the SDT2+1 scaffold, the 5' end upstream edge of the DNA duplex was extended by one base pair (G:C). Thus, translocation from register +2 to register +3 on SDT2+1 requires the unpairing of 1 bp of dwDNA followed by the formation of the contact between G at position +3 of the nontemplate DNA and the CRE pocket of the RNAP core that is known to counteract transcriptional pausing (41). Translocation was more efficient on the SDT2+1 scaffold compared with the SDT1 scaffold (Fig. 2E), suggesting that dwDNA duplex melting is not a barrier for translocation and that the interaction with CRE stimulates translocation. We conclude that *Eco*RNAP pauses after the addition of the first NTP to the RNA primer and that the interaction with the downstream DNA and RNA promotes forward translocation. The region  $\sigma$ 4.2 may act on translocation by strengthening this interaction.

## The $\sigma$ subunit regions 3.2 and 4.2 stabilize $\leq$ 4-nt RNAs in the RNAP active site

To determine whether the  $\sigma$  subunit can stabilize short RNAs in the RNAP active site, we immobilized ITCs on

### Regulation of initial-transcription pausing

Ni<sup>2+</sup>-agarose beads and tested their ability to retain RNAs by washing the complexes with transcription buffer. We used 2 to 6 nt-long pRNAs the 3' end of which was aligned to the same position of the template, designated as position "+1" (Fig. 3A) Control experiments in which complexes were formed by the core EcoRNAP on SDT and LDT scaffolds showed that after washing with the "high salt" buffer containing 1M NaCl (Fig. S3), 5-, 6-, 7-, 13-nt pRNAs were stably bound in ITCs. However, reduced retention of 5- and 6-nt pRNAs was observed with the SDT scaffold. This indicates that the dwDNA duplex contributes to the overall stabilization of the complex. Consequently, the LDT scaffold was used in the next experiments. To measure the retention efficiency, ITCs containing 2- to 6-nt RNAs were either washed with the transcription buffer containing 250 mM NaCl and then labeled with  $[\alpha^{-32}P]$ -UTP, or directly labeled without washing step (Fig. 3B). This experiment demonstrated that 2- to 4-nt pRNAs were weakly bound to ITCs compared with 5- to 6nt pRNAs (Fig. 3, B-E). Therefore, the 4-bp RNA:DNA hybrid is a conversion point between stable and unstable ITC states. Moreover, we observed a clear difference in the capacity to hold 4-nt pRNA by ITCs containing the full-length  $\sigma$  subunit (75% retention efficiency) and  $\sigma$  mutants ( $\sigma_{\Delta 3,2}$  and  $\sigma_{\Delta 4}$ ; <50% retention efficiency) (Fig. 3E). The defect was stronger for  $\sigma_{\Delta 4}$ -*Eco*RNAP than  $\sigma_{\Delta 3.2}$ -*Eco*RNAP. We obtained similar



**Figure 3. The osubunit regions 3.2 and 4 stabilize short RNAs in ITCs.** *A*, scheme of the LDT scaffold with the RNA primers (pRNA) used to assemble ITC2–ITC6. *B–D*,  $[\alpha^{-32}P]$ -UTP-labeled RNAs produced in primer extension reactions in the presence of  $\sigma^{70}$ ,  $\sigma\Delta3.2$ , and  $\sigma\Delta4$ , respectively. ITCs were immobilized on Ni<sup>2+</sup>-agarose beads and washed (Ni-bound) or not (Total) with buffer (TB), as indicated, before labeling. The experimental setup is shown schematically on top of panel *B*. *E*, quantification of the results shown in panels *B–D*. The fraction of labeled RNA retained in ITCn was calculated as the ratio between the RNA amount in the washed ITCn (Ni-bound) and the RNA amount in the unwashed ITCn (Total). *F*, comparison of the retention efficiency for 4-nt RNA in the presence of  $\sigma^{70}$  (WT),  $\sigma\Delta3.2$ , and  $\sigma\Delta4.2$ . The number of washing steps is indicated; w/o, without washes. *G*, quantification of the results shown in panel *F*. The fraction of labeled RNA retained in ITC4 is plotted as a function of the washing step number. The RNA amount in each lane was normalized to the RNA in unwashed ITC4.



### The $\sigma$ region 4.2 promotes extension of $\leq$ 7 nt-long RNAs

result with the SDT scaffold (Fig. S4). To determine whether the slight difference in 4-nt pRNA retention observed between  $\sigma_{\Delta 3.2}$  and  $\sigma_{\Delta 4}$  (Fig. 3*E*) was significant, we performed several washing steps on ITCs formed by  $\sigma_{\Delta 3.2}$ -*Eco*RNAP and  $\sigma_{\Delta 4.2}$ -*Eco*RNAP (Fig. 3, *F* and *G*). After the third washing step, almost no bound RNA was left in  $\sigma_{\Delta 4.2}$ -ITC (~10% retention relative to full-length  $\sigma^{70}$ ), while RNA retention was higher for  $\sigma_{\Delta 3.2}$ -ITC (~40% retention relative to full-length  $\sigma^{70}$ ). RNA binding remained stable with wild-type  $\sigma^{70}$ -ITC (60% retention relative to the "no washing" condition). We conclude that the  $\sigma^{70}$  subunit stabilizes 4-5-nt-long RNAs in the RNAP active site and that the region  $\sigma 4.2$  is a major determinant of this activity.

To explore the relationship between translocation efficiency and RNA:DNA hybrid stability, we performed 2-min primer extension reactions with pRNAs of various lengths in the presence of  $[\alpha^{-32}P]$ -UTP, GTP and ATP (Fig. 4*A*). In these experiments, to facilitate the detection of the initial pause, we used the SDT scaffold that displayed stronger  $\sigma$ -dependence in translocation. It should be noted that 3- to 4-nt RNA products displayed anomalous migration in denaturing PAGE, thus CGCU moved faster than GCU (Fig. 4*B*). The *Eco*RNAP core efficiently extended  $\geq$  8-nt pRNAs (>90% efficiency) (Fig. 4, *B* and *C*), and its translocation efficiency decreased gradually with the RNA length shortening. This dependence on RNA



**Figure 4. RNA:DNA hybrid and \sigma modulate RNAP translocation efficiency.** *A*, scheme of the SDT scaffold with primer RNAs (pRNA) of various lengths (*red*) used to assemble ITC2 to ITC7. Nucleotides added during initial transcription are in *purple. B*, primer extension reactions, shown schematically at the *top*, were performed with the pRNAs shown in panel *A* in the presence or absence of the indicated  $\sigma^{70}$  variants. *C*, quantification of the experiments shown in panel *B*. The values of RNA[n + 3] for each lane were normalized to the total RNA (RNA[n + 1] +RNA[n + 3]) in each lane and were plotted as a function of the RNA length. *D* and *E*, structural models of the  $\sigma^{70}$  subunit-DNA-RNA interactions in the RNA pmain channel. The  $\sigma$ 3.2 finger (PDB: 4YG2) is shown as a molecular surface. The coordinates of the DNA template strand (in *blue*) and RNA are from PDB: 2O5I. RNA 5'-phosphates are shown as *spheres* colored in function of the RNA length. The RNA 3' end is in yellow, the RNA 5' end nucleotides that clash with  $\sigma$ 3.2-finger residues (aa 513–519) deleted. Models were built with COOT (65) and UCSF Chimera (66).



length might be explained by the intrinsic instability of RNA:DNA hybrids and/or by the disengagement of the RNA 3' end from the active site. The translocation efficiency was independent from the RNA length when the wild-type EcoRNAP holoenzyme was used (Fig. 4, B and C). The  $\sigma_{\Delta 3.2}$ -EcoRNAP holoenzyme displayed strong translocation defects with 3-nt pRNA (~25% efficiency), moderate defects with 4- to 5-nt pRNAs (~80% efficiency), and no defect with 6-nt pRNA (>90% efficiency). On the basis of the RNAP-promoter complex structure data, the 5' end of  $\geq$  5-nt-long RNAs clashes with the  $\sigma$ 3.2-finger (Fig. 4D). Thus, the  $\sigma$ 3.2-finger should hinder the extension of RNAs longer than 5 nt and favor abortive initiation (5, 28). A model of the  $\sigma$ 3.2-finger deletion on the EcoRNAP structure (Fig. 4E) showed that 5to 7-nt RNAs can be accommodated in the active site cleft. Yet, the remaining segment of the region  $\sigma$ 3.2 can still contact the template DNA strand at positions -6/-7. Strikingly, in our experiments, "abortive" RNAs accumulated when using the *Eco*RNAP core and the  $\sigma_{\Delta 3.2}$ -*Eco*RNAP holoenzyme, but not with the wild-type EcoRNAP holoenzyme. This suggests that the  $\sigma$ 3.2-finger is not the primary cause of abortive RNA formation and that abortive RNA synthesis is not an obligatory event in initiation when the transcription bubble expansion and the RNAP upstream promoter contacts are missing.

Α

В

С



## Regulation of initial-transcription pausing

Unlike  $\sigma_{\Delta 3.2}$ -*Eco*RNAP, translocation stimulation was defective with the  $\sigma_{\Delta 4.2}$ -EcoRNAP holoenzyme and pRNAs shorter than 8 nt. The properties of the  $\sigma_{\Delta 4.2}$ -EcoRNAP holoenzyme were identical to those of the EcoRNAP core except with 5-nt-long RNA that displayed increased translocation efficiency with the *Eco*RNAP core. We did not investigate the reason of this unusual behavior. The results of the "RNAretention" experiments in combination with the "translocation-dependence" experiments demonstrated that there is no correlation between RNA:DNA hybrid stability and translocation efficiency. Indeed, 5- and 6-nt RNAs were stably bound to ITC, but still displayed  $\sigma$ -dependence for translocation. Thus, we conclude that the low efficiency in nascent RNA extension (any lengths) by the  $\sigma_{\Delta 4.2}$ -EcoRNAP holoenzyme is due to RNAP pausing after the first NTP addition and that the stimulation of RNAP translocation by  $\sigma$  is unlikely to occur through RNA:DNA hybrid stabilization.

### The RNA 3' end nucleotide identity determines the initialtranscription pause duration

To explore the impact of  $\sigma$ 4.2 on pausing, we studied the kinetics of 5-nt and 7-nt RNA synthesis initiated with pRNA3 (ITC3, unstable RNA:DNA hybrids) and pRNA5

**Figure 5. The RNA 3' end nucleotide modulates the initial pause duration.** *A*, scheme of SDT2 and SDT-G scaffolds with 3-nt primer RNA (pRNA3). The primer extension reactions were performed with  $[\alpha^{-32}P]$ -UTP on the SDT scaffold and with  $[\alpha^{-32}P]$ -CTP on the SDT-G scaffold. *B*, kinetics of primer extension at the SDT scaffold. C, quantification of the experiment shown in panel B. The left graph shows the synthesis of total RNA over time. The right graph shows the RNA product fractions, representing the different ITCs, over time. For each time point, values were normalized to the total RNA synthesized at that time point. D, pause half-life times (t<sub>1/2</sub>) calculated from the experiments shown in panels B and E. E, kinetics of primer extension on the SDT-G scaffold. F, quantification of the experiment shown in panel E.

Time, s

Time. s

(ITC5, stable RNA:DNA hybrids), respectively (Fig. 5A). The overall [ $\alpha$ -<sup>32</sup>P]-UTP addition rate was similar for ITC3 and ITC5 in the presence of the  $\sigma_{\Delta 4.2}$  and was the highest in the presence of the full-length  $\sigma^{70}$  (Fig. 5, *B* and *C*). Translocation from register +4 to +5 was at least 100-fold faster ( $t_{1/2} \sim 1.7$  s) in the presence of full-length  $\sigma^{70}$  than of the  $\sigma_{\Delta 4.2}$  mutant ( $t_{1/2} \sim 200$  s) (Fig. 5, *B* and *D*). Reactions were

completed in 120 s, without any further incorporation of  $[\alpha^{-32}P]$ -UTP. Therefore, the labeled 5-nt RNA product remained bound to RNAP. Extension of the pRNA 5' end by 2 nucleotides (pRNA5) accelerated the forward translocation only by twofold. Therefore, in agreement with the conclusion drawn in the previous section, the overall RNA:DNA hybrid stabilization has little effect on pausing.



**Figure 6. The**  $\sigma$ **-remodeling factors AsiA and RbpA modulate initial transcription.** *A*, structural model of the  $\sigma^{70}$ -*Eco*RNAP holoenzyme (PDB: 4YG2) in complex with the SDT scaffold DNA and 3-nt RNA (*yellow*). Core RNA is shown as molecular surfaces. The  $\beta$  subunit is shown as a transparent surface. The  $\sigma$  subunit is shown as a ribbon in which the  $\sigma^4$  domain is in *dark red*, the  $\sigma$  region 3.2 is in *cyan*, and the other regions are in *gray*. The  $\beta$ -Flap (G891-G907) is shown as a ribbon (*comflower blue*). The remodeled conformation of the  $\sigma^4$  domain ( $\sigma^4$ -AsiA) in the *Eco*RNAP-AsiA-MotA complex (PDB: 6K4Y) is shown in light green. The C $\alpha$  atoms of the  $\sigma^{70}$  residues 528 and 553, shown as spheres, mark the borders of the  $\sigma\Delta4$  and  $\sigma\Delta4.2$  deletions, respectively. *B*, transcription initiation at the *lac*UV5 promoter and SDT2 scaffold in the presence or not of AsiA. Transcription was initiated by ApA and [ $\alpha^{-32}$ P]-UTP (lanes 1 and 2), by the GpC primer, GTP, and [ $\alpha^{-32}$ P]-UTP (lanes 3–6), or by CTP, GTP, and [ $\alpha^{-32}$ P]-UTP (lanes 7 and 8). *C*, quantification of the experiments shown in panel *B*. For each experimental condition (-/+ AsiA), the amount of each RNA product was normalized to the total RNA synthesized without AsiA. Averages and standard errors of two independent experiments are shown. The individual data points are shown as *white circles*. *D*, structural model of  $\sigma^{A}$ -*Mtb*RNAP (PDB: 6EDT) in complex with RbpA (*green ribbon*) and the SDT scaffold DNA. The nomenclature and color code are the same as in panel *A*. *E*, primer extension reactions performed by the *Mtb*RNAP<sup>ΔFTH</sup> core and  $\sigma^{B}$ -*Mtb*RNAP<sup>ΔFTH</sup> holoenzyme on the SDT2 scaffold with and without RbpA. Transcription was initiated by GTP and [ $\alpha^{-32}$ P]-UTP. *G*, quantification of the results shown in panels *E* and *F*, performed as in Figure 4C.



In our assay, the RNA chain elongation starts with addition of U that forms an unstable U:dA pair with the DNA template (46). Therefore, the 3' end nucleotide might disengage from the active site and induce pausing. If this hypothesis is correct, the substitution of the U:dA pair by the more stable C:dG pair should suppress pausing and favor forward translocation. To test this assumption, we used a scaffold (SDT-G) harboring G instead of A at position +2 (Fig. 5A) and initiated the primer extension with  $[\alpha$ -<sup>32</sup>P]-CTP. Unlike UTP, the CTP addition rate with the  $\sigma_{\Delta 4.2}$ -EcoRNAP holoenzyme was close to that observed with the wild-type EcoRNAP holoenzyme (compare Fig. 5, C and F). Thus, without  $\sigma$ 4, EcoRNAP senses the difference between UTP and CTP, while CTP suppresses the effect of the  $\sigma$ 4 deletion. Irrespectively of the RNA length (4-nt or 6-nt), translocation of the mutant  $\sigma_{\Delta 4.2}$ -EcoRNAP from the register +2 to the register +3 was accelerated by approximately tenfold on SDT-G DNA compared with SDT-A DNA. This suggests that the stability of base pairing at the 3' end, but not the RNA:DNA hybrid length, is crucial for forward translocation. As  $\sigma_{\Delta 4.2}$ -EcoRNAP translocation rate was significantly reduced even when the RNA 3' end was stabilized, compared with wild-type EcoRNAP, we conclude that the region  $\sigma$ 4.2 may affect the active site cycling or the clamp opening-closing dynamics that control RNAP translocation.

#### The o4 remodeling coactivator AsiA stimulates pausing

Region  $\sigma$ 4.2 binds to the flap-tip-helix (FTH) of the RNAP  $\beta$  subunit (47, 48) that is implicated in the regulation of pausing (49). To test whether  $\sigma$ 4.2 exerts its effect on RNA synthesis through interaction with  $\beta$ -FTH, we used the T4 phage coactivator protein AsiA. AsiA remodels exactly the same region in the  $\sigma^{70}$  subunit (residues 528–613) that was deleted in the  $\sigma_{\Delta 4}$  mutant and disrupts the interaction between  $\sigma$ 4.2 and  $\beta$ -FTH (50, 51) (model in Fig. 6A). If the  $\sigma$ 4.2- $\beta$ -FTH contact were essential for RNA synthesis, AsiA should fully inhibit initial transcription. To test this hypothesis, we performed *de novo* and primed transcription by the  $\sigma^{70}$ -EcoRNAP and  $\sigma\Delta 4.2$ -EcoRNAP holoenzymes, with and without AsiA, on the SDT2 template (Fig. 6B). As control, we used an abortive transcription assay on the lacUV5 promoter. AsiA inhibited lacUV5-dependent transcription initiation by 85% (Fig. 6B, lanes 1 and 2 and Fig. 6C). Conversely, de novo initiation from the scaffold was much less sensitive to AsiA. Indeed, 3-nt RNA synthesis was inhibited only by 50%, which coincided with the accumulation of the short 2-nt RNA product (Fig. 6B, lanes 7 and 8 and Fig. 6C). AsiA also influenced transcription initiated with the GpC primer (Fig. 6B, lanes 3 and 4 and Fig. 6C). The amount of 3-nt RNA increased simultaneously with the increase in total  $[\alpha$ -<sup>32</sup>P]-UTP incorporation. Such effect was consistent with the AsiA-induced destabilization of short RNAs, leading to accumulation of "abortive" transcripts. The finding that AsiA did not affect  $[\alpha^{-32}P]$ -UTP incorporation with the  $\sigma \Delta 4$ -*Eco*RNAP holoenzyme (Fig. 6B, lanes 5 and 6 and Fig. 6C) indicates that AsiA modulates RNA

### Regulation of initial-transcription pausing

synthesis through  $\sigma$ 4. However, the weak impact of AsiA on initial transcription was in striking contrast with the strong inhibitory effect of the  $\sigma$ 4 deletion. The only possible explanation for this discrepancy can be that the  $\sigma$ 4 physical presence in the RNA exit channel is essential for initial transcription. In the presence of AsiA, the  $\sigma$ 4 domain remains bound inside the RNA exit channel (51), and therefore, AsiA exerts only a weak effect on scaffold-dependent transcription. We conclude that the interaction of  $\sigma$ 4.2 with  $\beta$ -FTH modulates the catalytic site activity, but is not essential for initial transcription.

## RbpA from M. tuberculosis stimulates translocation through the $\sigma 4.2/\beta$ -FTH interaction

To determine whether the  $\sigma$  subunit antipausing activity can be observed with RNAP from other bacteria, we studied initial transcription by *Mtb*RNAP. We used the *M. tuberculosis*  $\sigma^{B}$ subunit that requires the activator protein RbpA to stabilize its active conformation in the MtbRNAP holoenzyme (33). As RbpA N terminus binds within the RNA-exit channel, it could modulate  $\sigma$ 4.2 antipausing activity (model in Fig. 6D). First, we compared the kinetics of 5-nt pRNA extension by MtbRNAP and *Eco*RNAP on SDT2 DNA in the presence of  $[\alpha^{-32}P]$ -UTP and GTP (Fig. S5). As observed with EcoRNAP, MtbRNAP paused at the register +6 during initiation from pRNA5, and its translocation was stimulated by the  $\sigma^{B}$ -RbpA complex. To better understand the role of  $\sigma$ 4.2- $\beta$ -FTH interaction in initial pausing, we constructed a *Mtb*RNAP mutant in which the  $\beta$ subunit residues 811 to 825 were deleted ( $MtbRNAP^{\Delta FTH}$ ) and then assessed how the translocation activity of the mutant and wild-type enzymes were influenced by the pRNA length (Fig. 6, E and F). ITCs were assembled with 2, 3, 5, and 6-nt pRNAs (ITC2-6) in the presence of  $\sigma^{B}$  and RbpA, or without transcription factors, and supplemented with  $[\alpha^{-32}P]$ -UTP and GTP. As observed with EcoRNAP, MtbRNAP translocation efficiency increased gradually with the RNA length and reached 80% with the 6-nt pRNA. Like for  $\sigma^{70}$ , the  $\sigma^{B}$ -RbpA complex stimulated the forward translocation with short pRNAs (3- to 6-nt in length). However, the  $\sigma^{B}$  subunit alone did not stimulate translocation, in agreement with the fact that its conformation in the MtbRNAP holoenzyme differs from that of  $\sigma^{70}$  in the *Eco*RNAP holoenzyme. The deletion of  $\beta$ -FTH abolished  $\sigma^{B}$  antipausing activity with ITC2 and ITC3 (2and 3-nt pRNAs, respectively). Furthermore, the RNA amount produced by unstable ITC2/ITC3 formed by the  $MtbRNAP^{\Delta FTH}$  mutant increased by approximately fourfold, compared with the amount produced by the stable ITC6. (Fig. S6). This "abortive-like" behavior was observed only in the presence of the  $\sigma^{B}$  subunit and might be caused by a clash between the inappropriately positioned region 3.2 and RNA. Addition of RbpA only partially restored  $\sigma^{B}$  capacity to stimulate  $MtbRNAP^{\Delta FTH}$  translocation (Fig. 6F and Fig. S5). In agreement with the conclusion drawn from the experiments with AsiA, this result suggests that  $\sigma$ 4.2 interaction with  $\beta$ -FTH regulates, but is not essential for, the antipausing activity of  $\sigma^{B}$ . The  $\beta$ -FTH deletion should dramatically destabilize  $\sigma 4$ 

positioning/interaction within RNA channel and consequently enhance pausing and abortive transcription. RbpA compensates for the lack of  $\beta$ -FTH probably by facilitating  $\sigma$ 4 positioning within the RNA-exit channel.

### Discussion

Our study demonstrates that RNAPs from different bacterial species are inefficient in initial transcription and prone to pause upon extension of short RNAs (3- to 7-nt in length). The  $\sigma$  subunit region 4.2, which was implicated only in promoter binding, counteracts the initial transcription pausing and thus plays an essential role in organizing of the RNAP active center for efficient initiation of *de novo* RNA synthesis. The  $\sigma$ 4.2 region displays two distinct activities: RNA:DNA hybrid-stabilizing activity and translocationstimulating activity. Modulation of these activities by the  $\sigma$ -remodeling factors may be a general mechanism to tune initial transcription.

## Initial transcription pausing on the pathway to abortive transcription

A recent study on initial transcription in vivo (15) and our results suggest that at each nucleotide addition step, ITCs harboring 3 to 8 nt RNAs can enter into a paused state. The paused ITC (PITC) bifurcates in two pathways: abortive pathway in which nascent RNA dissociates from RNAP, and productive pathway in which nascent RNA remains bound to RNAP and slowly translocates to the next register (Fig. 7). PITC conversion to productive ITC is accelerated by (1) strengthening the dwDNA/RNAP interactions, (2) strengthening the RNA:DNA hybrid/RNAP interactions, and (3) stabilizing base pairing at the RNA 3' end. These observations can be explained by a simple model in which the lack of the stable 9-bp RNA:DNA hybrid impedes the concerted translocation of RNA and DNA. During NAC, the RNAP pincers formed by the  $\beta$ ' clamp and  $\beta$  lobe should transiently adopt an open or partially open conformation (52, 53). We speculate that this opening may weaken the RNAP



**Figure 7. Model of events during initial transcription.** *A*, schematic representation of RNAP in complex with DNA template. Bases corresponding to the scaffold DNA template are in *blue*. The RNA primer is in *red*. The  $\sigma$  subunit domains are shown as *rectangles* in *blue* ( $\sigma$ 2–3) and *green* ( $\sigma$ 4). The active site registers are indicated as "i" and "i + 1". *B*, scheme of events during transition from ITC to elongation complex (EC). The *upper images* show productive ITCs and paused ITCs (PITC) in complex with the LDT scaffold. Bases corresponding to the SDT scaffold are shown in blue. ITCs are shown in the pretranslocated (ITC<sup>Pre</sup>) and posttranslocated (ITC<sup>Pre</sup>) states. The *gray gradients* in the lower part of the panel indicate the probability level of abortive transcription and initial pausing and the accomplishment levels of RNA:DNA hybrid formation,  $\sigma$  displacement, and ejection.



interaction to hold together template DNA and RNA. Due to the thermal motions and the altered, misaligned structure of short RNA:DNA hybrids (39, 40), the RNA 3' end may be displaced from the active site. In addition, the 3'-U forms unstable base pairs (U:dA) that, in the absence of  $\sigma$ 4, may favor the formation of the frayed state, leading to backtracking and pausing (17, 54). Consequently, the PITC remains blocked in one of the inactive states (half-translocated, hypertranslocated, or backtracked) that slowly isomerize to an active posttranslocated state. The 3'-C that forms more stable (C:dG) base pairs remains in the active site, thus promoting forward translocation. In support to this model, the PITC half-life time was more strongly biased by the RNA 3' end nucleotide identity than by the RNA:DNA hybrid length. Indeed, the RNA 3' end nucleotide also modulated the translocation bias in stable elongation complexes with 8- 9-bp RNA:DNA hybrids (55). The  $\sigma$  subunit may restrain RNA and DNA motions by strengthening the RNAP core interactions with RNA and DNA, thus allowing the correct alignment of the template to the active site and promoting translocation. The σmediated stabilization of short RNAs in the active site and stimulation of the forward translocation should drastically reduce the probability of abortive transcription and shift the equilibrium toward promoter escape. As the half-life time of the initial pause strongly depends on the RNA 3' end nucleotide identity, it should be a promoter-specific event determined by the initial transcribing DNA sequence.

## Two steps in the maturation of RNA:DNA hybrids and DNA scrunching

Our results underline two phases in initial transcription: (1) transition from unstable to stable RNA:DNA hybrids when RNA length reaches 5 nt; and (2) transition from ITC prone to pause to productive ITC/EC when RNA length exceeds 8 nt (Fig. 7B). Before the first phase, abortive transcription is predominant and the RNA:DNA hybrid stability depends on  $\sigma$ . Before the second phase, pausing is predominant and translocation depends on  $\sigma$ . These phases perfectly fit with the three ITC types observed at natural promoter templates: unstable ITCs with RNAs <4 nt, intermediate stability ITCs with RNAs of 4 to 8 nt, and stable productive ITC with RNAs >8 nt (2, 11). Our results on RNA:DNA hybrid stability are in agreement with structural studies on eukaryotic RNAPII showing that ITCs with 4- to 5-nt RNAs form distorted or mismatched RNA:DNA hybrids, while the 6 to 8 bp hybrids harbor identical canonical structures (40). Unlike ITCs formed on promoters, ITCs formed on our DNA scaffolds lack the upstream part of the transcription bubble and nontemplate ssDNA as well as the  $\sigma$ -promoter contacts. Therefore, the initial transcription on scaffold is not affected by the stress arising due to DNA scrunching, which is a major cause of abortive transcription (56). The lack of stress in DNA templates may explain the quantitative retention of 4- to 7-nt RNAs in  $\sigma$ containing ITCs formed on scaffolds, compared with the a small fraction of 5 to 7-nt RNAs retained in ITCs formed on promoter DNA templates (12, 13). Taking into account the described differences between scaffolds and natural promoter

### Regulation of initial-transcription pausing

templates, one can expect that  $\sigma$  impact on initial transcription pausing should be quantitatively different when using the fulllength promoter compared with scaffolds.

### $\sigma 4$ versus $\sigma 3.2$ -finger

We found that the region 4.2 of the  $\sigma$  subunit stimulates *de* novo initiation, stabilizes short RNA:DNA hybrids, and selectively stimulates UTP addition to the RNA 3' end. These activities were previously attributed to the  $\sigma$ 3.2-finger (19, 23, 27) that stabilizes template ssDNA in the active site (26, 30). Based on our data, we conclude that  $\sigma 4$  interaction with core RNAP is a major determinant of the  $\sigma$  subunit transcriptionstimulatory activities described here. Indeed,  $\sigma$ 3.2-finger deletion abolished RNA retention in ITCs, but had only a moderate effect on translocation. Conversely,  $\sigma$ 4 deletion strongly affected RNA retention and also translocation. Furthermore, the  $\sigma$ 3.2-finger was dispensable for *de novo* initiation on scaffold template harboring a long dwDNA duplex, while  $\sigma$ 4.2 was essential. Yet, as the regions 3.2 and 4.2 are physically connected to each other and can mutually affect their interactions with RNAP, we cannot exclude that deletion of one will not affect the other.

### The $\sigma$ subunit domain 4 as allosteric regulator of NAC

The domain  $\sigma$ 4 does not make any contact with the scaffold DNA or RNA and therefore exerts its antipausing activity through interaction with the RNAP core. In the RNAP holoenzyme, the region 4.1 of  $\sigma$ 4 is located in the RNA exit channel and occupies the place of RNA, 13 to 16 nucleotides from the RNA 3' end. Region 4.2 of  $\sigma$ 4 interacts with  $\beta$ -FTH. Therefore,  $\sigma$ 4 may influence translocation, like the RNA structures formed within the RNA-exit channel that can affect RNAP active site conformational cycling (trigger loop folding/ unfolding) through  $\beta$ -flap interaction (57–59). In addition,  $\sigma$ 4.2 interaction with the Zn-binding domain of the  $\beta$ '-clamp might influence clamp conformational motions during translocation. Paused elongation complexes are characterized by several changes in RNAP structure: rotation of the swivel module (comprising the  $\beta$ ' clamp), disordered  $\beta$ -flap (49), widened RNA exit channel, and partially open clamp state (53). The PITC complexes formed in our assay likely resemble the crystallized elemental paused elongation complexes (ePEC) formed with scaffolds, the architecture of which was almost identical to ours (lacking nontemplate strand ssDNA), and that were trapped in a partially open clamp conformation (53). We hypothesize that in the absence of 9-bp RNA:DNA hybrids and  $\sigma$ 4, RNAP may be blocked in the open-clamp/nonswiveled state, thus inhibiting forward translocation. The  $\sigma$ 4 interaction with the RNA exit channel/clamp may promote conformational motions of the swivel module/clamp and thus stimulate translocation during initial transcription.

## Analogy in function of the basal transcription factors from different kingdoms of life

We hypothesize that the function mechanism of archaeal TFB and eukaryotic TFIIB, which are implicated in RNA

synthesis priming, might be similar to that of the  $\sigma$  subunit. Indeed, TFIIB, in which B-reader and B-ribbon are topological homologues of  $\sigma$ 3.2 and  $\sigma$ 4, respectively (9, 60), can stabilize 5nt RNA in ITC (21) and stimulate *de novo* transcription initiation on scaffold templates (24). Furthermore, on mismatchbubble promoter templates, eukaryotic RNAPII was inactive in *de novo* initiation with NTPs in the absence of TBP/TFIIB (61) while it was active in the presence of RNA primers (62).

### **Experimental procedures**

### Proteins, DNA templates, and RNA oligonucleotides

Recombinant core RNAP (harboring the C-terminal 6xHistag on rpoC) of E. coli (expression plasmid pVS10) and M. tuberculosis (expression plasmid pMR4) were expressed in E. coli BL21(DE3) cells and purified as described before (63, 64). The  $\sigma^{70}$  and  $\sigma^{B}$  subunits and their mutants (harboring an N-terminal 6xHis-tag) were constructed and produced as described (43, 63, 64). RNA oligonucleotides were purchased from Eurogentec and DNA oligonucleotides from Sigma-Aldrich. All oligonucleotides were HPLC purified. AsiA was a generous gift from Dr Deborah Hinton. The 116 bp lacUV5 was prepared by PCR amplification (63). The 72-bp galP1cons promoter fragment (promoter positions -50 to +22) was prepared by annealing two oligonucleotides (upper strand oligonucleotide labeled by Cy3 at the 5' end: 5'-GTTTATTCCA TGTCACACTT TTCGCATCTT TTC GTTGCTA TAATTATTTC ATACCAAAAG CCTAATG-GAG CG-3', and bottom strand: 5'-CGCTCCATTA GGCTTTTGGT ATGAAATAAT TATAGCAACG AAAA-GATGCG AAAAGTGTGA CATGGAATAA AC-3') followed by purification on 10% PAGE. To assemble DNA scaffolds, oligonucleotides were heated in transcription buffer (TB; 40 mM HEPES pH 7.9, 5 mM MgCl<sub>2</sub> 50 mM NaCl, 5% glycerol) at 65 °C for 5 min and then annealed by lowering the temperature to 16 °C for 30 min.

#### Scaffold-based transcription assays

Transcription reactions were performed in 5 µl of TB. In total, 240 nM RNAP core was mixed with 1 µM of full-length  $\sigma$  or 2  $\mu$ M of  $\sigma$  mutants and incubated at 37 °C for 5 min In total, 1 µM RbpA was added when indicated. Samples were supplemented with 0.8 µM (final concentration) scaffold DNA and 50 µM (final concentration) pRNA. The primer extension kinetics were evaluated using 100 µM pRNA. Samples were incubated on ice for 5 min, then at 22 °C for 5 min, and supplemented with 0.4  $\mu$ M [ $\alpha$ -<sup>32</sup>P]-UTP or  $[\alpha^{-32}P]$ -CTP and 22  $\mu$ M of the indicated NTPs (HPLC purified). Transcription was performed at 22 °C for 2 min or for the indicated time and stopped by adding an amount of loading buffer (8 M Urea, 50 mM EDTA, 0.05% bromophenol blue) equal to the reaction volume. Samples were heated at 65 °C for 2 min, and RNA products were resolved on 26% PAGE (acrylamide: bis-acrylamide ratio 10:1) with 7 M urea and 1× TBE.

#### RNA retention assay

EcoRNAP-scaffold DNA-RNA complexes were assembled as described above except that 100 µM of 2- to 3-nt pRNA and 10 µM of 4- to 5-nt pRNA were used. pRNA7 and pRNA13 were mixed with scaffold DNA before annealing. Complexes formed in 5 µl TB in Axygen 1.7 ml MaxyClear Microtubes were incubated at 18 °C for 5 min. Then, 5 µl of Ni-NTA agarose beads slurry (Qiagen) in TB was added, and tubes were shaken using an Eppendorf ThermoMixer at 18 °C for 5 min. To separate the Ni-bound RNAP fraction, 0.5 ml of TB/ 250 mM NaCl was added. Samples were briefly stirred, pelleted by centrifugation at 1000g for 1 min, and supernatants were discarded. A second washing step was performed with 50 µl of TB as before. Supernatants were removed to leave a sample volume of 10  $\mu$ l. [ $\alpha$ -<sup>32</sup>P]-UTP (0.4  $\mu$ M final concentration) was added to all samples that were then incubated at 22 °C for 3 min. Reactions were quenched and analyzed as above.

### Promoter-based transcription assays

Transcription on the *lac*UV5 and *gal*P1cons promoters was performed with 200 nM *Eco*RNAP, 500 nM full-length  $\sigma^{70}$  or 2  $\mu$ M  $\sigma^{70}$  mutants, and 300 nM DNA template in TB. Samples were incubation at 37° for 10 min and supplemented with 100  $\mu$ M ApA (*lac*UV5 assay) or CpA (*gal*P1cons assay) and 0.4  $\mu$ M [ $\alpha^{-32}$ P]-UTP. Transcription reactions were performed at 37 °C for 10 min.

### AsiA inhibition assay

In total, 500 nM  $\sigma^{70}$  was first mixed with 1  $\mu$ M AsiA and then with 100 nM *Eco*RNAP core. Samples were incubated at 30 °C for 10 min. Next, DNA templates were added and samples were incubated at 30 °C (with *lac*UV5 promoter) and at 22 °C (with SDT2 scaffold) for 5 min. Transcription from the *lac*UV5 promoter (50 nM) was initiated by adding 100  $\mu$ M ApA and 0.4  $\mu$ M [ $\alpha$ -<sup>32</sup>P]-UTP at 37 °C for 5 min. Transcription from trom scaffold DNA (0.8  $\mu$ M) was performed in the presence of 0.4  $\mu$ M [ $\alpha$ -<sup>32</sup>P]-UTP and 25  $\mu$ M NTPs or 50  $\mu$ M GpC and carried out at 22 °C for 3 min.

#### Calculation of the pause half-life times

The pause half-life times  $(t_{1/2} = ln2/k)$  were calculated by fitting the fractions of RNA in pause  $[P_i^{PS}]/[P_i^{total}]$  in function of the time *t* using the following single-exponential equation:  $[P_i^{PS}]/[P_i^{total}] = A_0 \cdot exp(-k \cdot t) + R$ , where  $[P_i^{PS}]$  is the RNA in pause at the time point *i* and  $[P_i^{total}]$  is the total RNA at the time point *i*.  $A_0$  is the amplitude, and *R* is the residual.

### **Data availability**

All data are contained within the article.

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*Abbreviations*—The abbreviations used are: CRE, core recognition element; ITC, initially transcribing complex; LDT, long duplex template; NAC, nucleotide addition cycle; NTP, nucleoside triphosphate; RNAP, RNA polymerase; SDT, short duplex template; WT, wild-type.

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