Mechanism of HflX-mediated recycling of E. coli ribosome studied by 1 time-resolved cryo-EM 2 3 Sayan Bhattacharjee^{1,#}, Xiangsong Feng^{1,#}, Suvrajit Maji¹, Zuben P Brown^{1,2}, 4 Joachim Frank^{1*}. 5 6 7 ¹Biochemistry and Molecular Biophysics & Biological Sciences, Columbia University, New 8 York, USA 9 ²Current address: Thermo Fisher Scientific, Oregon, USA 10 [#] These authors contributed equally * Corresponding author 11 12 13 14 Recycling of stalled, translationally inactive ribosomes is one of the response 15 mechanisms underlying resistance to environmental stress. HflX, a bacterial 16 GTPase overexpressed upon heat shock and exposure to antibiotics, catalyzes 17 the splitting of stalled ribosomes¹⁻⁶. Due to its rapid rate, an attempt to follow 18 this process in real time at room temperature requires time-resolved techniques 19 on the scale of tens of milliseconds¹. Here we show the time course of the 20 splitting of E. coli 70S ribosome by HflX in the presence of GTP using time-21 resolved cryo-EM with a microfluidic device. We report the structures of three 22 short-lived intermediate states within 140 ms of mixing 70S ribosomes with 23 HflX and GTP. Binding of HflX and GTP hydrolysis induces breakage of 24 multiple intersubunit bridges and opening of the 70S ribosome in a clamshell-25 like manner as the 30S subunit rotates around an axis with hinges formed by 26 the remaining intersubunit bridges B3 and B7a. At 900 ms virtually all 27 ribosomes are dissociated, while HflX remains bound to the 50S subunit. Our 28 analysis of the structural intermediates not only allows the mechanism of HflX-29 catalyzed ribosome splitting to be followed in molecular detail but also to shed 30 light on related processes: recycling of the post-termination complex by 31 RRF/EF-G. 32 33 34 35 36

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In Escherichia coli (E. coli), GTPase High frequency of lysogenization X 39 (HflX), a universally conserved protein for prokaryotes, acts as a ribosome-40 splitting factor during the heat shock response^{1,6,7}. Recent studies revealed that 41 HflX splits antibiotic-stalled 70S ribosomes from pathogenic Mycobacterium 42 abscessus and nonpathogenic Mycobacterium smegmatis³, dissociates 43 hibernating homodimeric 70S into 50S and 30S subunits in Staphylococcus 44 *aureus*⁸, regulates hypoxia-induced replication arrest in *Mycobacterium bovis*², 45 binds to the 50S subunit of Chlamydophila pneumoniae⁵ and Sulfolobus 46 *solfataricus*⁹, and acts as a gatekeeper by regulating the manganese level in E. 47 coli⁴. Thus, HflX is a remarkably multi-functional protein with a common 48 mode of action: the splitting of stalled 70S ribosomes into its subunits in a wide 49 range of prokaryotes. Given that HflX shares structural similarities with 50 Release Factors 3 (RF3) and Ribosome Recycling Factor (RRF) on the 51 ribosome and that it occupies the A-site tRNA position on the 50S subunit 52 similar to the release factor's GGQ motif-containing loop¹, the time-dependent 53 structural study on the progressive splitting of the 70S by HflX could reveal 54 the mechanism of ribosome recycling, as well. Furthemore understanding the 55 detailed structural mechanism of splitting could benefit the development of a 56 new class of broad-spectrum antibiotics. 57

E. coli HflX consists of four domains: N-terminal domain (NTD), GTP binding domain (GBD), C-terminal domain (CTD), and helical linker domain (HLD)^{1,10}. The cryo-EM structure of the HflX-50S complex in the presence of GMP-PNP, a non-hydrolysable GTP analog, reveals that the HLD and NTD of HflX bind to the peptidyl transferase center in a way similar to RRF, causing significant conformational changes in the intersubunit bridge B2a (h44:H69),

and thereby promoting the dissociation of the 70S ribosome¹. According to the 64 dissociation kinetics measured by light scattering, the rate of dissociation of 65 vacant ribosomes (without tRNA and mRNA) by HflX in the presence of 66 GMP-PNP or GTP is $k_{obs HfIX-GMP-PNP} = 0.22 \pm 0.003 \text{ s}^{-1}$ and $k_{obs GTP} = 0.2 \pm 0.003 \text{ s}^{-1}$ 67 0.002 s^{-1} , respectively¹. This rapid rate makes the progress of ribosome 68 splitting by HflX difficult to study at room temperature using the conventional 69 blotting method of cryo-EM sample preparation¹¹. So far, the only HflX-bound 70 ribosome structure is the 50S-GNP-PNP complex, which was solved at a 71 resolution of 4.5 Å and considered to represent the state immediately after 72 subunit dissociation¹. Thus, due to the absence of any structures of short-lived 73 reaction intermediates the mechanism of HflX-mediated ribosome splitting has 74 remained unknown. To capture on-pathway intermediates in an in vitro 75 reaction of HflX-GTP with 70S ribosomes, we used time-resolved cryo-EM¹²⁻ 76 ¹⁶. We were able to capture three intermediate states, which allowed us to 77 follow the recycling process of 70S ribosomes catalyzed by HflX at room 78 temperature. 79

HflX acts on the 70S ribosome in a nucleotide-dependent way, and light 80 scattering analysis revealed that the rate of ribosome splitting by HflX-GTP 81 (with GTP and RRF-EF-G-GTP the rates are $k_{obs HflX-GTP} = 0.002 \text{ s}^{-1}$ and k_{obs} 82 $_{RRF-EF-G-GTP} = 0.005 \text{ s}^{-1}$, respectively^{6,17}) is very similar to the rate of ribosome 83 dissociation by the combined action of RRF and EF-G-GTP^{6,17}. The fraction 84 of ribosomes split into subunits at room temperature within a reaction time of 85 140 ms is close to 50%, according to our earlier TR cryo-EM experiment on 86 *E. coli* ribosome recycling in the presence of RRF, EF-G, and GTP¹⁵. In view 87 of these findings, we aimed our TR cryo-EM study at a 140 ms reaction time 88

and added both shorter (10 ms and 25 ms) time points and one longer one (900 89 ms) toward the reaction's completion. We mixed 70S ribosomes with the HflX-90 GTP complex in our mixing-spraying TR cryo-EM apparatus using different 91 PDMS-based microfluidic chips (Methods and Extended Data Fig. 2). As in 92 our previous TR studies^{15,16}, 3D classification was performed on the entire, 93 pooled dataset. The 3D classification produced seven distinct classes, which 94 we characterized by examination of the corresponding reconstructed density 95 maps. (Here "rotated" and "nonrotated" refers to the presence or absence of 96 intersubunit rotation¹⁸): (1) rotated 70S without HflX ($r70S_{noHflX}$); (2) non-97 rotated 70S without HflX ($nr70S_{noHflX}$); (3) 70S-like intermediate-I with HflX 98 (i70S_{HflX}-I); (4) 70S like-intermediate-II with HflX (i70S_{HflX}-II); (5) 70S-like 99 intermediate-III with HflX (i70S_{HflX}-III); (6) 50S with HflX (50S_{HflX}); and (7) 100 30S (Methods and Extended Data Fig. 5, and 6). The splitting reaction kinetics 101 of the 70S ribosome, as evaluated by following the number of particles 102 obtained upon 3D classifications from 10 ms to 900 ms, is found to follow a 103 similar roughly exponential behavior as reported from dissociation kinetics 104 measured by light scattering¹ (Fig 1G). Furthermore, we noticed a rapid 105 increase in the number of free 30S particles from 140 ms to 900 ms, which 106 implies that the final separation of the subunits commences not earlier than 107 with state i70S_{Hflx}-III (Fig. 1G). 108

The three HflX-containing intermediates and 50S_{HflX} -- four of the seven 3D classes we found -- were selected for additional structural analysis (Methods and Extended Data Fig. 5, and 6A-E). Furthermore, focused 3D classification and subsequent reconstruction of HflX binding regions from each of the resulting class reconstructions yielded high-resolution density maps

for four states of HflX: (1) HflX-I, (2) HflX-II, (3) HflX-III, and (4) HflX-IV 114 (Extended Data Fig. 5). Refinement on the three i70S_{HflX} class reconstructions 115 yielded high-resolution on-pathway intermediates i70S_{HflX}-I, i70S_{HflX}-II, and 116 i70S_{HflX}-III (resolutions are indicated in Extended Data Fig. 7 and Extended 117 Data Table 2). The kinetics of the reaction can be followed from the histogram 118 of particle counts in the respective classes (Fig. 1G). Intermediates i70S_{HflX}-I, 119 i70S_{HflX}-II, and i70S_{HflX}-III are each dominated by contributions from 10 ms, 120 25 ms, and 140 ms, respectively. Comparison of the atomic models obtained 121 for these intermediates with one another and with the apo-70S revealed that the 122 opening and splitting of the 70S ribosome occurs in the following steps: 123

First, the ribosome opens slightly to accommodate the initial binding of 124 HflX in i70S_{HflX}-I (Fig. 1A). Using the tool previously developed¹⁹ we find 125 that in this first intermediate, the 30S subunit has rotated by 5.9° around an 126 axis (Axis I) that passes through the intersubunit bridges B1b, B2a, B3, and B4 127 (Extended Data Fig. 8A, D, G, and 8J-K), and this rotation has moved protein 128 S6 of 30S into close vicinity to protein L2 of 50S (Fig. 1E-F). Apparently, the 129 insertion of HflX along with the prying apart of the 70S ribosome and the 130 rotation of the 30S subunit are facilitated by the increased backbone entropy 131 of L2 in i70S_{HfIX}-I compared to apo-70S (the predicted binding and solvation 132 free energies between L2 and S6 are same for apo-70S and i70S_{HflX}-I; see 133 Methods and Extended Data Table 3) since we find indication of disorder: the 134 density of L2 is not resolved well in i70S_{HflX}-I (Fig. 1F) compared to all its 135 other manifestations in apo-70S, i70S_{HflX}-II and i70S_{HflX}-III (Fig. 1E, and 2D-136 F). Comparison of the 50S subunit in i70S_{HflX}-I and apo-70S shows that H69 137 has moved by 6.7 Å, apparently by a push by HflX since fitting the model of 138

HflX to apo-70S reveals a steric clash with H69 (Fig. 1H, I). In i70S_{HflX}-I HflX is blurred, indicating motion-induced heterogeneity (Extended Data Fig. 5).

Going from this first intermediate to i70SHflX-II and i70SHflX-III we 141 observe stepwise rotations, by 7.9° and 8.2°, respectively, of the 30S subunit 142 around a new axis (Axis II) passing through intersubunit bridges B3 and B7a, 143 which are both located along helix h44 (Fig. 1B-C, and Extended Data Fig. 144 8B-C, 8E-F, 8H-I, and 8J-K). In the first step of rotation around this new axis, 145 protein S6 of the 30S subunit moves away from protein L2 (Fig. 2A-B, 2D-E, 146 and Extended Data Fig. 8B, 8E, 8H, and 8J-K). This movement is enabled by 147 a 6.5 Å pull of C1965 of H71 by the loop-helix motif (G74-V100) of HflX 148 NTD (Fig. 3C, and Extended Data Fig. 9A-C). As a consequence bridge B3 149 (h44:H71) as well as bridges B7b and B7bR have become unstable (binding 150 and solvation free energies between L2 and S6 of i70S_{HflX}-II decrease from 151 corresponding apo-70S, see Extended Data Table 3). While the conformation 152 of the 30S subunit remains the same from apo-70S to first intermediate, the 153 change from first to second intermediate is accompanied by a rotation of the 154 30S subunit head by 2.1° around another axis, Axis III (Extended Figure 10A-155 C). 156

In the second step of the 30S subunit rotation around Axis II, from i70S_{HflX}-II to i70S_{HflX}-III, protein S6 has continued to move away from protein L2 (Fig. 2B-C, 2E-F, and Extended Data Fig. 8C, 8F, 8I, and 8J-K). Bridges B7b and B7bR are now entirely disrupted (no contacts found between L2 and S6 in free energy prediction, see Extended Data Table 3), allowing the flexible loop (E323-G349) of HflX's GTD to readily access the small subunit protein

163 S12, thus positioned to jettison the 30S subunit from the 70S ribosome (Fig.164 3D).

Finally, the reconstruction of the stable 50S-HfIX complex, at 3.6 Å resolution (Extended Data Fig. 11A), shows no longer any trace of density from the 30S subunit (Fig. 1D, 3A-B and Extended Data Fig. 11A, and D). This class mainly contains particles from 900 ms (Fig. 1G). The map agrees very well with the map of HfIX-50S-GNP-PNP previously published¹ (Extended Data Fig. 11A-C).

Comparison of the atomic models built for the three intermediate states 171 reveals that HflX undergoes considerable conformational and positional 172 changes on the 70S ribosome, specifically in its CTD, HLD, and NTD (see 173 movie 1 in Supplementary Material). The domain movements associated with 174 the CTD and HLD match quite well with the dynamics of apo-HflX predicted 175 from 1000 ns of molecular dynamics simulations (Extended Data Fig. 12A-C). 176 Interestingly, the loop-helix motif (G74-V100) of NTD makes stable contact 177 with H71 of the 50S subunit in state HflX-II (Fig. 3C, and Extended Data Fig. 178 9E-G). 179

In trying to understand the actions of HflX, we investigated the 180 nucleotide state of GTP in the different states of HflX. At 25 ms, with 181 exception of their NTDs, the densities of HflX in states HflX-I, HflX-II and 182 associated GTPs are not resolved as well as they are for the other two classes, 183 indicating mobility and preventing determination of nucleotide state (Extended 184 Data Fig. 5). In an attempt to fit the atomic model of GTP to the corresponding 185 186 densities in HflX-III and HflX-IV, we observed that the density in the region of the nucleotide site on HflX-III is a better fit for GDP·Pi than GTP or GDP 187

(Extended Data Fig. 9A-B) A similar matching effort resulted in a decent
match of GTP to the density of HflX bound to the 50S subunit, even though
the GDP state is expected to be found at this stage (Extended Data Fig. 9C-D).

Since at the 140 ms time point Pi is still associated with GDP and none 191 of the 30S subunits have been cleaved, we conclude that the energy for the 192 breaking of bridges B3 and B7a and the final dissociation of the 30S subunit is 193 set free by Pi release. It is unclear without further investigation if GTP 194 hydrolysis plays a role in the initial stages of splitting, from intermediate I to 195 III, since it is known that HflX can perform the splitting in the absence of GTP, 196 albeit with a slower rate¹. The likely explanation for the observation of GTP 197 on the 50S subunit-bound HflX molecule at 900 ms is that by that time both Pi 198 and GDP have left and that a new GTP molecule has taken their place 199 (Extended Data Fig. 9C-D). 200

With the axes and angles of 30S subunit rotation known, as well as the 201 location of bridges relative to the axes, we were able to determine the distances 202 between constituent residues of all intersubunit bridges. From these distances 203 we can determine at which time points the intersubunit bridges are ruptured. 204 According to these geometric calculations, the bridges B1a, B1b, B2a, and B2b 205 are already broken at 10 ms. B5, B6 and B7b break within 140 ms. Finally, the 206 last two bridges B3 and B7a, which formed the hinges of Axis II, give way 207 between 140 ms and 900 ms. Bridge B4 (H34:S15) presents an interesting case 208 as it behaves like a spring: its 50S constituent H34 is initially compressed in 209 the step from apo-70S to i70SHflX-I, as HflX is accommodated within the first 210 10 ms, but in the next two steps (10 ms to 25 ms to 140 ms) it is extended. This 211

bridge finally breaks along with B3 and B7a after 140 ms (Extended Data Fig.9H-I).

Our study leaves open the question on how HflX recognizes the stalled 214 state of the ribosome. Here our observation of 30S subunit head rotation from 215 apo-70S to i70SHfIX-I may offer a clue. Puromysine-treated polysomes, 216 having deacylated tRNA in the P site, display greatly enhanced HflX splitting 217 activity, and this state was proposed as the natural substrate for HflX¹. In this 218 state, the ribosome is known to undergo spontaneous intersubunit rotation²⁰ 219 which goes hand in hand with 30S subunit head 'swivel' rotation²¹. This would 220 suggest that HflX initially binds to the ribosome in its rotated conformation 221 and forces it into the unrotated conformation observed in i70SHflX-I, with 222 residual 30S subunit head rotation. 223

Another interesting question is whether our findings about the time 224 course and stepwise process of HflX-catalyzed ribosome splitting may inform 225 us about the time course of RRF/EF-G-GTP-induced splitting of the post-226 termination complex. (A previous TR cryo-EM study of this process in vitro 227 by our group¹⁵ was unable to observe early states of subunit separation). We 228 see two striking similarities between the two processes: (1) in RRF-bound post-229 termination complex^{15,22}, the helix-loop-helix motif of domain I approaches 230 helix 71 within 140 ms, in a position that is similar to the position of the loop-231 helix motif (G74-V100) of NTD of HflX in intermediate i70S_{HflX}-II, similarly 232 capable of pulling on H71 (Extended Data Fig. 9A-C and 10D-G). (2) The final 233 dissociation of the 30S subunit occurs through an interaction of RRF domain 234 II with protein S12, at the expense of hydrolysis of GTP associated with EF-235 G, in a way that is quite similar to that of the flexible loop (E323-G349) of 236

HflX GTD (Extended Data Fig. 10D-G). (3) A third similarity holds if our
hypothesis of HflX binding to the rotated ribosome is correct since the latter is
the substrate of RRF/EF-G-GTP binding, as well^{23,24}.

Here, we have shown how time-resolved cryo-EM can capture high-240 resolution structures of intermediates on-the-fly to reveal short-lived reaction 241 intermediates that represent snapshots of an unfolding molecular mechanism. 242 A combination of experimental and theoretical approaches has been used to 243 study the molecular mechanism of bacterial resistance under stress. Based on 244 our cumulative observations from the examination of the three intermediates, 245 we propose that within 10 ms, the initial binding of HflX is followed by the 246 stepwise clamshell-like opening of the ribosome around an axis that closely 247 aligns with helix 44 of the 30S subunit. By the time 900 ms has elapsed, the 248 combined dynamics of CTD (according to experimental evidence⁶ and 249 prediction from MD simulation, the CTD moves by up to 60 Å; see Extended 250 Data Fig. 12B) and NLD enable HflX to split the 30S from the 50S subunit at 251 the cost of energy set free by GTP hydrolysis and release of the inorganic 252 phosphate (Fig. 4). 253

Given that the combined actions of RRF and EF-G promote ribosome of post-termination ribosomes and that the rate of recycling is comparable to the rate of ribosome splitting by HflX, our observed mechanism of ribosome splitting by HflX can fill in some gaps in explaining details of the general ribosome recycling mechanism.

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262 FIGURES.



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265 Fig. 1 | Accommodation of HfIX on the 70S ribosome and time-dependent 70S splitting: (A), 266 Superimposition of reconstructions (Coulomb densities) to show rearrangement of the 30S subunit 267 from apo-70S (yellow) to $i70S_{HflX}$ -I (red) to accommodate HflX. The green line represents the 268 initial axis of 30S rotation, Axis I. (B) and (C), reconstructions of second and third intermediates 269 overlapped with first intermediate, showing the stepwise opening of the 70S by HflX by rotation 270 of the 30S subunit around Axis II (green line). (D), reconstruction of the 50S-HflX complex after 271 the departure of the 30S subunit, overlapped with the first 70S intermediate. In (A) through (D), 272 all reconstructions are aligned on the 50S subunit. (E) and (F), zoomed views of Coulomb densities 273 in yellow for apo-70S and red for i70S_{HflX}-I and corresponding atomic models (gray) showing the 274 rearrangement of the intersubunit bridges B7b and B7bR. (H) and (I), Coulomb densities, and 275 corresponding ribbon models of H69 from apo-70S (yellow), and i70S_{HflX}-I (red), respectively, 276 showing the movement of helix H69. HflX is shown in magenta. (G) Kinetics of the splitting 277 reaction in terms of the number of particles per class as a function of time, obtained by 3D 278 classification.



Fig. 2 | Molecular details of subunit interface during the progressive opening of the 70S: (A),
(B), and (C), coulomb density maps of intermediates i70S_{HflX}-I, i70S_{HflX}-II, and i70S_{HflX}-III,
respectively, in a view showing the separation of the subunits. All maps are aligned on the 50S
subunit. (D), (E), and (F), zoomed views showing the time course of splitting of intersubunit
bridges B7b and B7bR, and restoration of L2 from disordered (in (D) to its original conformation
(in (E) and (F)).



Fig. 3 | Involvement of HflX in 70S splitting. (A) Coulomb density of the 70S in i70S_{HflX}-III
(blue) and associated HflX (magenta) with the fitted atomic model. (B) Coulomb density of the
final state (gray) showing the 50S subunit with HflX (magenta) along with atomic model. (C)
Pulling of H71 by the NTD of HflX. (D) Pushing of S12 by the HflX GBD flexible loop (E323G349), leading to dissociation of the 30S subunit from the 70S ribosome.





Fig. 4 | **Schematic representation of ribosome recycling mechanism.** Stepwise splitting of 70S ribosome is shown in the cartoon. The 50S, 30S, and HflX are shown in blue, yellow, and magenta, respectively. The final dissociation of the 30S from 70S occurs after ~140 ms leaving $50S_{HflX}$ as the last state of recycling, where the energy required for a power stroke is set free upon Pi release from GTP·Pi. A new GTP was found to be associated with HflX in the $50S_{HflX}$.

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