ORIGINAL RESEARCH Clinical Impact and Risk Factors of Nonsusceptibility to Third-Generation Cephalosporins Among Hospitalized Adults with Monomicrobial Enterobacteriaceae Bacteremia in Southern Taiwan: A Multicenter Study

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Tsao-Chin Lin^{1,2,*} Yuan-Pin Hung^{3,4,*} Ching-Chi Lee Wei-Tang Lin⁶ Li-Chen Huang⁶ Wei Dai⁷ Chi-Shuang Kuo⁸ Wen-Chien Ko (D^{4,9} Yeou-Lih Huang

¹Department of Medical Laboratory and Biotechnology, Kaohsiung Medical University, Kaohsiung, Taiwan; ²Medical Laboratory, Sinying Hospital, Ministry of Health and Welfare, Tainan, Taiwan; ³Departments of Internal Medicine, Tainan Hospital, Ministry of Health and Welfare, Tainan, Taiwan; ⁴Department of Internal Medicine, National Cheng Kung University Hospital, College of Medicine, National Cheng Kung University, Tainan, Taiwan; ⁵Clinical Medicine Research Center, National Cheng Kung University Hospital, College of Medicine, National Ćheng Kung University, Tainan, Taiwan; ⁶Medical Laboratory, Chiayi Hospital, Ministry of Health and Welfare, Chiayi, Taiwan; ⁷Experiment and Diagnosis, Tainan Hospital, Ministry of Health and Welfare, Tainan, Taiwan; ⁸Medical Laboratory, Pingtung Hospital, Ministry of Health and Welfare, Pingtung, Taiwan; ⁹Department of Medicine, College of Medicine, National Cheng Kung University, Tainan, Taiwan

*These authors contributed equally to this work

Correspondence: Yeou-Lih Huang Department of Medical Laboratory and Biotechnology, Kaohsiung Medical University, No. 100, Shin-Chuan 1st Road, Sanmin Dist., Kaohsiung, 80708, Taiwan Tel +886 7 3121101 Ext. 2273 Fax +886 7 3113449 Email yelihu@kmu.edu.tw



Background: Reducing the effectiveness of broad-spectrum cephalosporins against Enterobacteriaceae infections has been recognized. This study aimed to investigate risk factors and clinical significance of third-generation cephalosporin nonsusceptibility (3GC-NS) among the cases of monomicrobial Enterobacteriaceae bacteremia (mEB) at regional or district hospitals.

Methods: The study was conducted at three hospitals in southern Taiwan between Jan. 2017 and Oct. 2019. Only the first episode of mEB from each adult (aged \geq 20 years) was included. The primary outcome was in-hospital crude mortality.

Results: Overall there were 499 episodes of adults with mEB included, and their mean age was 74.5 years. Female predominated, accounting for 53% of all patients. Escherichia coli (62%) and Klebsiella pneumoniae (21%) were two major causative species. The overall mortality rate was 15% (73/499), and patients infected by 3GC-NS isolates (34%, 172/499) had a higher mortality rate than those by 3GC-susceptible isolates (66%, 327/499) (21% vs 11%, P=0.005). By the multivariate analysis, 3GC-NS was the only independent prognostic determinant (adjusted odds ratio [AOR], 1.78; P=0.04). Of note, male (AOR 2.02, P=0.001), nosocomial-acquired bacteremia (AOR 2.77, P<0.001), and usage of nasogastric tube (AOR 2.01, P=0.002) were positively associated with 3GC-NS, but P. mirabilis bacteremia (AOR 0.28, P=0.01) and age (AOR 0.98, P=0.04) negatively with 3GC-NS.

Conclusion: For adults with *Enterobacteriaceae* bacteremia, 3GC-NS signifies a significant prognostic impact. Efforts to rapid identification of such antimicrobial resistance profiles should be incorporated into antimicrobial stewardship programs to achieve favorable outcomes.

Keywords: third-generation cephalosporin, nonsusceptible, Enterobacteriaceae, Klebsiella pneumoniae, male, nasogastric tube

Introduction

Enterobacteriaceae isolates are responsible for a wide variety of nosocomial and community-acquired infections and third-generation cephalosporins (3GCs) are administered as the main choice for the treatment of infections caused by these microorganisms.¹⁻⁷ However, along with the over-prescription of 3GCs by

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clinicians, reducing the therapeutic efficacy of these antimicrobial agents for Enterobacteriaceae infections^{1,7-11} and the increasing trend in nonsusceptibility (NS) to 3GCs were recently evidenced.¹² Moreover, infections caused by 3GC-resistant Enterobacteriaceae were significantly associated with the increasing hazard of death and excess length of stay and costs.¹³ Taking Escherichia coli as an example, the total cost attributable to excess hospital stays for bloodstream infections caused by 3GC-resistant isolates was estimated up to 18.1 million Euros each year in Europe.¹⁴ Furthermore, for patients with Enterobacter bacteremia, the 30-day mortality rate of patients infected by 3GC-resistant isolates was significantly higher than those by 3GC-susceptible isolates, regardless of whether stratified by infection sites or by the initial presence of septic shock.¹⁵

In a medical center in northern Taiwan, the overall proportion of 3GC resistance in community-onset E. coli bacteremia has been up to 19.7%.¹⁶ Nevertheless, the clinical impact of 3GC resistance on the prognoses of patients with Enterobacteriaceae bacteremia in the district or regional hospitals in Taiwan was not reported yet. Accordingly, the aim of the present multicenter study investigate factors 3GC-NS was to risk of Enterobacteriaceae bacteremia and their adverse influence on outcomes.

Methods Study Design and Population

The study was conducted at three hospitals of the Ministry of Health and Welfare in southern Taiwan: Tainan Hospital (A, a 300-bed district hospital), Sinying Hospital (B, a 78-bed regional hospital), and Chiayi Hospital (C, a 237-bed regional hospital). There were infection-disease specialists dealing with antibiotic stewardship program at the study hospitals. The study periods spanned between Jan. 1, 2017 and Oct. 31, 2019. The episodes of monomicrobial *Enterobacteriaceae* bacteremia (mEB) in hospitalized adults (aged \geq 20 years) were analyzed, and only the first episode in each patient was included during the study period. The study was reviewed and approved by the Institutional Review Board of National Cheng Kung University Hospital (A-ER-105-183).

Data Collection

By reviewing the electronic medical charts, a predetermined form was adapted to collect clinical

characters, in terms of patient gender, age, hospitalization duration, usage of vasopressor agents and antimicrobials, types and severity (Charlson comorbidity index) of comorbidities, laboratory data, and clinical outcomes. The primary outcome was the in-hospital crude mortality after bacteremia onset. Patients were excluded if they had incomplete clinical information.

Microbiological Methods

Blood cultures were processed in the BD BACTEC 9240 system (Becton Dickinson, USA). Bacterial species were identified by the morphology and color in the chromogenic agar, and confirmed by BD GNB ID or BD E/NF crystal kit (Becton Dickinson, USA). Antibiotic susceptibility was determined by the disk diffusion method, in accordance with the procedures of the Clinical and Laboratory Standards Institute (CLSI), and was interpreted according to the zone criteria of CLSI issued in 2018 (M100-S21).¹⁷ The drugs tested included ampicillin, ampicillin/sulbactam, gentamicin, amikacin, cefazolin, cefuroxime, ceftriaxone, ceftazidime, cefepime, ciprofloxacin, ertapenem, and imipenem or meropenem. Susceptibility to 3GCs was defined as the clear zone diameter of ceftriaxone \geq 23 mm, cefotaxime \geq 26 mm, or ceftazidime \geq 21 mm,¹⁷ and susceptibility to fluoroquinolones as the clear zone diameter of levofloxacin >17 mm or ciprofloxacin >21 mm.¹⁷

Definitions

Bacteremia was defined as bacterial growth of blood cultures drawn from central or peripheral venipuncture. As previously described,^{18,19} the administration of antimicrobial therapy was considered to be appropriate when all the following criteria were fulfilled: (i) the administrated antimicrobial was in vitro active against causative microorganisms isolated from blood cultures, based on the contemporary CLSI breakpoints.¹⁷ (ii) the route and dosage of antimicrobials were administered as recommended in accordance with the Sanford Guide to Antimicrobial Therapy 2018.²⁰ As per the previous definition,²¹ antimicrobials administered within 3 days after bacteremia onset were regarded as empirical therapy, and those administered after 3 days of onset when the identification and susceptibility data of bacteremic isolates were available were referred to as definitive therapy. Nosocomial bacteremia was defined as the onset of bacteremia occurring at \geq 48 hours after admission.²²

Septic shock was defined as a mean arterial pressure of <75 mmHg and usage of vasopressor administration.²³ Comorbidities were defined as described previously.²⁴

Malignancies included hematological malignancies and solid tumors. The severity of preexisting medical diseases was assessed by a previously delineated classification system (Charlson comorbidity index).²⁵ Crude mortality was defined as death from all causes.

Statistical Analysis

Statistical analysis was performed by the statistical software (SPSS, version 13.0). Descriptive statistics, including the means, standard deviations, and ranges, were used to analyze the continuous variables. For categorical variables, the percentages and confidence intervals were used. The independent-*t*-test was applied for the continuous variables and the chi-square test or Fisher's exact test for the categorical variables. To identify the predictors and impact of 3GC NS, the variables with a *P* value less than 0.1 recognized by the univariate analysis were processed by a stepwise, backward logistic regression model. A two-tailed *P* value of less than 0.05 was considered to be statistically significant.

Results

Patient Demographics

After excluding recurrent episodes and polymicrobial bacteremia, there were 293, 88, and 118 episodes of mEB in the hospital A, B and C, respectively, were included for the analysis (Figure 1). The crude in-hospital mortality rate was similar in three hospitals: 15%, 16%, and 13%, respectively. Overall, this study involved 499 adults with an average age of 75 years and their crude in-hospital mortality rate was 15%. Female gender (263, 53%) predominated. Common comorbidities of the included patients included hypertension, diabetes mellitus, old stroke, chronic kidney diseases, and malignancy, and in this study major microorganisms causing mEB were *E. coli, Klebsiella pneumoniae, Proteus mirabilis, Providencia stuartii*, and *Citrobacter koseri* (Table 1).

Risk Factors of In-Hospital Crude Mortality

As compared with the survivors, fatal patients with mEB were less likely to be infected by 3GC- (68% vs 51%, P=0.005) or ertapenem-susceptible isolates (95% vs 85%, P=0.009) and *E. coli* (65% vs 48%, P=0.008) (Table 1), but were often associated with *K. pneumoniae* infections (19% vs 33%, P=0.008). No significant association between the inhospital mortality and patient age, gender male, comorbidity types or Charlson comorbidity index was disclosed. Notably,

in the multivariate analysis for risk factors of in-hospital crude mortality, only one independent variable, 3GC-NS, was recognized (adjusted odds ratio [AOR], 1.78; 95% confident interval [CI] 1.02-3.11; P=0.04) (Table 2).

Predictors of 3GC-Nonsusceptibility Among Enterobacteriaceae Bacteremia

Patients infected by 3GC-NS isolates were older (mean age: 77 years vs 74 years; P=0.009) and more likely to be male gender (58% vs 42%, P<0.001), or to have comorbidities of chronic kidney diseases (23% vs 12%, P=0.003) or the use of nasogastric tubes (61% vs 37%, P<0.001) or urinary catheters (55% vs 38%, P<0.001) than those by 3GC-susceptible microorganisms, as shown in Table 3. Otherwise, less episodes of P. mirabilis bacteremia (3% vs 8%, P=0.03) and more K. pneumonia bacteremia (30% vs 16%, P<0.001) were noted in patients with 3GC-NS Enterobacteriaceae bacteremia (Table 3). Since chronic kidney disease was the only parameter in Charlson comorbidity index with statistically correlated with 3GC-NS, chronic kidney disease, instead of Charlson comorbidity index, was placed in the multivariate analysis. In the multivariate analysis, male patients (AOR 2.02, 95% CI 1.33-3.05; P=0.001), nosocomial-acquired bacteremia (AOR 2.77, 95% CI 1.72-4.47; P<0.001), and usage of nasogastric tube (AOR 2.01, 95% CI 1.28-3.16; P=0.002) were positively associated with 3GC-NS (Table 4). In contrast, P. mirabilis bacteremic episodes (AOR 0.28, 95% CI 0.10-0.77; P=0.01) and age (AOR 0.98, 95% CI 0.97-0.99; P=0.04) were negatively linked to 3GC-NS.

Antimicrobial Therapy and Clinical Outcomes

The common antimicrobials empirically administered for patients with 3GC-susceptible *Enterobacteriaceae* bacteremia were 3GCs (32%), 2GCs (16%), and piperacillintazobactam (14%). Appropriate empirical (30%vs.82%, P<0.001) or definitive (80% vs.94%, P<0.001) therapy was less commonly prescribed among patients infected by 3GC-NS isolates, compared to those by 3GCsusceptible isolates (Table 5). Furthermore, patients with 3GC-NS *Enterobacteriaceae* bacteremia more often had a septic shock at presentation (20% vs.11%, P=0.007) and had a higher in-hospital crude mortality rate (21%vs 11%, P=0.005) than those infected by 3GC-susceptible isolates (Table 5).

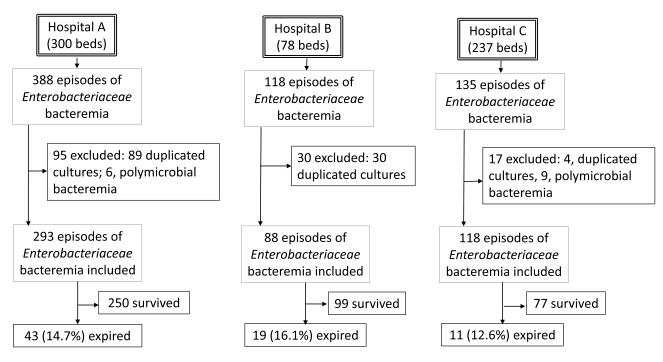


Figure I A flowchart of patient selection in three study hospitals.

Discussion

Patients with 3GC-NS mEB were associated with longer hospital or ICU stays and a worse outcome. However, such a result needs to be interpreted with caution, because patients isolates of infected by the antimicrobial-resistant were older Enterobacteriaceae and had more comorbidities.^{1,26} Moreover, the other explanation for unfavorable prognoses might result from delayed administration of appropriate antibiotics.^{18,19} Similar to the previous report,¹ inadequate empirical antibiotic therapy was more common in patients with 3GC-NS mEB than in those with bacteremia due to 3GC-susceptible Enterobacteriaceae isolates.

In our study, male patients, nosocomial-acquired bacteremia, and usage of nasogastric tube were positively associated with 3GC-NS; and in contrast, P. mirabilis bacteremic episodes and age were negatively linked to 3GC-NS. Factors correlating to the acquisition of Enterobacteriaceae strains harboring 3GC resistance have been identified before, including male gender,¹ prior exposure to antimicrobial agents,^{1,16,26} underlying disease,^{1,16,26} indwelling device or prosthesis,^{16,26} or surgery.²⁶ Likewise, several variables, including male gender, age, usage of nasogastric tube, and bacteremic episodes due to K. pneumoniae, were independently linked to 3GC-NS mEB in our cohort. However, some predictors identified in previous investigations,^{1,16,26} such as the

presence of an invasive prosthesis or intravascular catheter, recent surgery or hospitalization, or residence in nursing home or long-term care facility were not assessed in the present retrospective study.

The ratio of appropriate empirical therapy was lower among patients infected by either 3GC-NS (30%) or 3GC-susceptible isolates (82%) in our study. In a retrospective Dutch study of bacteremia episodes by 3GC-resistant and **3GC-sensitive** caused Enterobacteriaceae bacteremia in 2015, 56% and 94% were empirically treated with appropriate antibiotics, respectively.²⁷ Nevertheless, the 3GC-resistant rate in the former study was 8.3% (64/773),²⁷ much lower than the 3GC-NS rate of 34.5% (172/499) in our study. The higher nonsusceptible rates toward antimicrobial agents among Enterobacteriaceae bacteremia isolates in recent years led clinicians more difficulty in selecting appropriate empirical antimicrobial agents, especially for extended-spectrum β-lactamase (ESBL)-producing bacteria.²⁸ Though ESBL-producing phenotype was not examined, the high 3GC-NS rate among our Enterobacteriaceae isolates might partly be contributed by the presence of ESBL-producing isolates. In the era of 3GCs reduced susceptibility to among Enterobacteriaceae isolates, the information of the clinical parameters predictive of 3GC-NS identified in our study is helpful to select appropriate antimicrobial agents.

Table I Factors Associated with In-Hosp	pital Crude Mortality in Patients with	Monomicrobial Enterobacteriaceae Bacteremia
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Variables	Total	Patient Numbers (%)		P value
	n=499	Survived, n=426	Expired, n=73	
Age, years, mean ± SD	74.5 ± 12.9	74.1 ± 13.0	77.3 ± 11.5	0.05
Gender, male	236 (47.3)	201 (47.2)	35 (47.9)	1.00
Comorbidities				
Hypertension	286 (57.3)	246 (57.7)	40 (54.8)	0.70
Diabetes mellitus	207 (41.5)	177 (41.5)	30 (41.1)	1.00
Old stroke	105 (21.0)	86 (20.2)	19 (26.0)	0.28
Chronic kidney diseases	79 (15.8)	64 (15.0)	15 (20.5)	0.23
Malignancy	66 (13.2)	54 (12.7)	12 (16.4)	0.36
Coronary artery disease history	58 (11.6)	48 (11.3)	10 (13.7)	0.55
Liver cirrhosis	22 (4.4)	18 (4.2)	4 (5.5)	0.55
Congestive heart failure	14 (2.8)	13 (3.1)	I (1.4)	0.70
Charlson comorbidity index, mean ± SD	1.2±1.2	1.2± 1.1	1.4± 1.3	0.15
Nosocomial bacteremia	112 (22.4)	91 (21.4)	21 (28.8)	0.17
Time-to-positivity, hours	25.1± 17.4	24.5± 17.4	28.5± 19.4	0.10
Antimicrobial-susceptible isolates				·
Second GCs	223 (44.7)	197 (46.2)	26 (35.6)	0.10
Third GCs	327 (65.5)	290 (68.1)	37 (50.7)	0.005
Fourth GCs	363 (72.7)	316 (74.2)	47 (64.4)	0.09
Amoxicillin/clavulanic acid	297 (57.5)	250 (58.7)	37 (50.7)	0.20
Fluoroquinolones	259 (51.9)	224 (52.6)	35 (47.9)	0.53
Ertapenem	465 (93.2)	403 (94.6)	62 (84.9)	0.009
Major causative microorganisms				
Escherichia coli	310 (62.1)	275 (64.6)	35 (47.9)	0.009
Klebsiella pneumoniae	104 (20.8)	80 (18.8)	24 (32.9)	0.008
Proteus mirabilis	31 (6.2)	27 (6.3)	4 (5.5)	1.00
Providencia stuartii	(2.2)	10 (2.3)	I (1.4)	1.00
Citrobacter koseri	8 (1.6)	7 (1.6)	I (1.4)	1.00

Notes: Data are given as number (percent), unless otherwise specified. Boldface indicates statistical significance in the univariate analysis, ie, a P value of <0.05. Abbreviations: GC, generation cephalosporin; SD, standard deviation.

The rapid emergence of drug-resistant strains and novel viruses has motivated the search for new anti-infectious agents. In recent years, a reexamination of traditional medicines has become more common and has already provided several new antibiotics. Some new clinical therapies, such as pump inhibitors in association with common antibiotic therapies and natural molecules such as oils essential are already clinically available. For example, Usai D and other scholars have shown that novel antibiotics with new modes of action are urgently required to suppress the rise of MDR **Table 2** Multivariate Analysis of Risk Factors for In-Hospital Crude Mortality Among Adults with Monomicrobial EnterobacteriaceaeBacteremia

Characters	Adjusted Odds Ratio 95% Confidence Interval		P value
3GC nonsusceptibility	1.78	1.02-3.11	0.04
Ertapenem nonsusceptibility	1.55	0.61–3.91	0.36
Klebsiella pneumoniae	1.12	0.51–2.49	0.78
Escherichia coli	0.60	0.31-1.19	0.14

Note: Boldface indicates statistical significance in the univariate analysis, ie, a P value of <0.05.

 $\label{eq:abbreviation: 3GC, third-generation cephalosporin.} \\$

Table 3 Clinical Predictors of Third-Generation Cephalosporin Nonsusceptibility in the Episodes of Monomicrobial Enterobacteriaceae

 Bacteremia

Variables	Patient Numbers (%)		P value
	Susceptible, n=327	Nonsusceptible, n=172	
Age, years, mean ± SD	73.5 ± 13.0	76.6 ± 12.3	0.009
Charlson comorbidity index, mean ± SD	1.1 ± 1.1	1.4 ± 1.2	0.007
Gender, male	137 (41.9)	99 (57.6)	<0.001
Comorbidities	· ·		
Hypertension	190 (58.1)	96 (55.8)	0.64
Diabetes mellitus	133 (40.7)	74 (43.0)	0.63
Old stroke	68 (20.8)	37 (21.5)	0.91
Chronic kidney disease	40 (12.2)	39 (22.7)	0.003
Malignancy	43 (13.1)	23 (13.4)	1.00
Coronary artery disease	32 (9.8)	26 (15.1)	0.08
Liver cirrhosis	12 (3.7)	10 (5.8)	0.26
Congestive heart failure	8 (2.4)	6 (3.5)	0.57
Nosocomial bacteremia	48 (14.7)	64 (37.2)	<0.001
Catheter dependence	•		
Nasogastric tube	120 (36.9)	104 (60.8)	<0.001
Urinary catheter	124 (37.9)	94 (55.3)	<0.001
Major causative microorganisms	•		
Escherichia coli	210 (64.2)	100 (58.1)	0.21
Klebsiella pneumoniae	51 (15.6)	52 (30.2)	<0.001
Proteus mirabilis	26 (7.9)	5 (2.9)	0.03
Providencia stuartii	10 (3.1)	I (0.6)	0.11
Citrobacter koseri	6 (1.8)	2 (1.2)	0.72

Notes: Data are given as number (percent), unless otherwise specified. Boldface indicates statistical significance in the univariate analysis, ie, a P value of <0.05. Abbreviation: SD, standard deviation.

Characters	Adjusted Odds Ratio	95% Confidence Interval	P value
Patient demographics			
Male	2.02	1.33–3.05	0.001
Age, years	0.98	0.97–0.99	0.04
Nosocomial bacteremia	2.77	1.72-4.47	<0.001
Catheter dependence			
Nasogastric tubes	2.01	1.28–3.16	0.002
Urinary catheters	1.39	0.89–2.18	0.15
Causative microorganisms			
Klebsiella pneumoniae	1.48	0.90–2.45	0.13
Proteus mirabilis	0.28	0.10-0.77	0.01
Underlying chronic kidney diseases	1.58	0.93–2.69	0.09

 Table 4
 Multivariate
 Analysis
 of
 Risk
 Factors
 of
 Third-Generation
 Cephalosporin
 Nonsusceptibility
 Among
 the
 Episodes
 of

 Monomicrobial
 Enterobacteriaceae
 Bacteremia
 Bacteremia<

Note: Boldface indicates statistical significance in the univariate analysis, ie, a P value of <0.05.

Table 5 Bacteremia Severity, Antimicrobial Therapy and Outcomes of Patients with Monomicrobial Enterobacteriaceae Bacteremia,Stratified by Third-Generation Cephalosporin Susceptibility

Variables	Patient Numbers (%)		P value
	Susceptible, n=327	Nonsusceptible, n=172	
Bacteremia severity			·
Blood leukocyte, $\times 10^3$ /mm ³ , mean ± SD	13.3 ± 7.4	13.0 ± 6.0	0.72
Time-to-positivity, hours, mean ± SD (n=392)	24.5 ± 16.6	26.1 ± 19.5	0.39
Initial presentation of septic shock	36 (11.0)	35 (20.3)	0.007
Requirement of intensive care	61 (18.7)	33 (19.2)	0.90
Appropriate antimicrobial therapy		·	·
Empirical	267 (81.7)	52 (30.2)	<0.001
Definitive	307 (93.9)	138 (80.2)	<0.001
Outcomes		·	·
Length of hospitalization, days, mean ± SD	20.2 ± 58.1	26.9 ± 41.3	0.15
In-hospital crude mortality	37 (11.3)	36 (20.9)	0.005

Notes: Data are given as number (percent), unless otherwise specified. Boldface indicates statistical significance under the univariate analysis, ie, a *P* value of <0.05. **Abbreviation**: SD, standard deviation.

bacteria. An alternative approach would be to identify molecules that can interfere with the process of efflux.²⁹ In 2006, researchers discovered that the region around amino acid Val-610 in YhiV appears to be involved in determining recognition and efficiency of export of a number of MDR efflux pump substrates. This single

point mutation in the periplasmic loop of the pump can increase resistance to a given drug such as a fluoroquinolone while decreasing resistance to another one.³⁰ Moreover, South African scholars have shown that in vitro potentiation of carbapenems with tannic acid against carbapenemase-producing enterobacteriaceae.³¹

In addition, antiviral activities of essential oils from the leaves, rhizomes, and whole plant of Hornstedtiabella were investigated, the GC/MS analysis showed that β-pinene, E-βcaryophyllene, and α-humulene were found at high concentrations in the essential oils.³² Other researchers discovered that Biological activities of essential oil extracted from leaves of Atalantiasessiflora and Limnocitrus littoralis that showed antimicrobial activities against Gram-positive strains as Staphylococcus; Gram-negative bacteria such as Klebsiella pneumoniae and Escherichia coli.33,34 Furthermore, American scholars have pointed out that in vitro activity of essential oils against Gram-positive and Gram-negative clinisolates. including Carbapenem-Resistant ical Enterobacteriaceae.³⁵ Traditional medicine plants are likely to provide further new antibiotics in the future. However, the use of plant extracts or pure natural compounds in combination with conventional antibiotics may hold greater promise for rapidly providing affordable treatment options.

There were several limitations in this study. First, though there were only nearly 500 cases of mEB included in the cohort, it is a multicenter study conducted at three district and regional hospitals in southern Taiwan, representing the population other than the patients cared for at medical centers. Second, recall biases due to the retrospective nature of study design unavoidably exist, and the number of factors related to 3GC NS are likely to be underestimated. Third, not all 3GCs (such as cefotaxime, ceftriaxone, and ceftazidime) were tested for antimicrobial susceptibility. Overestimating or underestimating 3GC-NS among the included Enterobacteriaceae isolates is not known, and the study results should be interpreted cautiously. Last, other clinical variables, such as the degree or timing of source control, or the dosage or regimens of antimicrobial therapy were assessed as the potential ones affecting the prognosis of the cases of mEB.

Conclusion

In conclusion, the presence of 3GC-NS in the etiological pathogens of mEB is independently correlated with a poor prognosis. Rapid identification of antimicrobial resistance by clinical predictors or new methods of susceptibility testing shall be incorporated in antimicrobial stewardship programs to improve patient outcomes.

Ethics Approval and Consent to Participate

The study was reviewed and approved by the Institutional Review Board of National Cheng Kung University Hospital in accordance with the Declaration of Helsinki. Informed consent was waived because of the retrospective nature of the study and the analysis used anonymous clinical data.

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Disclosure

The authors report no conflicts of interest in this work.

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