

Citation: Kinoshita F, Tagawa T, Yamashita T, Takenaka T, Matsubara T, Toyokawa G, et al. (2021) Prognostic value of postoperative decrease in serum albumin on surgically resected earlystage non-small cell lung carcinoma: A multicenter retrospective study. PLoS ONE 16(9): e0256894. https://doi.org/10.1371/journal.pone.0256894

Editor: Hyun-Sung Lee, Baylor College of Medicine, UNITED STATES

Received: April 1, 2021

Accepted: August 18, 2021

Published: September 2, 2021

Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: https://doi.org/10.1371/journal.pone.0256894

Copyright: © 2021 Kinoshita et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: Sharing of deidentified data sets is restricted by the Kyushu University Research Ethics Review Committee RESEARCH ARTICLE

Prognostic value of postoperative decrease in serum albumin on surgically resected earlystage non-small cell lung carcinoma: A multicenter retrospective study

Fumihiko Kinoshita¹, Tetsuzo Tagawa¹*, Takanori Yamashita², Tomoyoshi Takenaka¹, Taichi Matsubara³, Gouji Toyokawa⁴, Kazuki Takada¹, Taro Oba¹, Atsushi Osoegawa¹, Koji Yamazaki⁴, Mitsuhiro Takenoyama³, Mototsugu Shimokawa⁵, Naoki Nakashima², Masaki Mori¹

 Department of Surgery and Science, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan, 2 Medical Information Center, Kyushu University Hospital, Fukuoka, Japan, 3 Department of Thoracic Oncology, National Hospital Organization Kyushu Cancer Center, Fukuoka, Japan, 4 Department of Thoracic Surgery, Clinical Research Institute, National Hospital Organization, Kyushu Medical Center, Fukuoka, Japan, 5 Department of Biostatistics, Graduate School of Medicine, Yamaguchi University, Yamaguchi, Japan

* t_tagawa@surg2.med.kyushu-u.ac.jp

Abstract

Background

Preoperative nutritional status is an important host-related prognostic factor for non-small cell lung carcinoma (NSCLC); however, the significance of postoperative changes in nutritional status remains unclear. This study aimed to elucidate the significance of postoperative decreases in serum albumin (Δ Alb) on the outcomes of early-stage NSCLC.

Methods

We analyzed 443 training cohort (TC) and 642 validation cohort (VC) patients with pStage IA NSCLC who underwent surgery and did not recur within 1 year. We measured preoperative serum albumin levels (preAlb) and postoperative levels 1 year after surgery (postAlb), and calculated Δ Alb as (preAlb – postAlb)/preAlb × 100%. A cutoff value of 11% for Δ Alb was defined on the basis of the receiver operating characteristic curve for the TC.

Results

Patients were divided into Δ Alb-Decreased and Δ Alb-Stable groups, including 100 (22.6%) and 343 (77.4%) in the TC, and 58 (9.0%) and 584 (90.1%) in the VC. Δ Alb-Decreased was associated with male sex (p = 0.0490), smoking (p = 0.0156), and non-adenocarcinoma (p<0.0001) in the TC, and pT1b (p = 0.0169) and non-adenocarcinoma (p = 0.0251) in the VC. Multivariable analysis identified Δ Alb as an independent prognostic factor for disease-free survival (DFS) and overall survival (OS) in both cohorts (VC: DFS, HR = 1.9, 95%CI: 1.10–3.15, p = 0.0197; OS, HR = 2.0, 95%CI: 1.13–3.45, p = 0.0173). Moreover, subgroup

because they contain patient information that may be identified. Please contact the Kyushu University Research Ethics Review Board or the Corresponding Author when sending a data request. Kyushu University Research Ethics Review Committee: 3-1-1, Maidashi, Higashi-ku, Fukuoka, 812-8582, Japan TEL: 092-642-5774 FAX: 092-642-5775 E-MAIL: ijkseimei@jimu. kyushu-u.ac.jp.

Funding: The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing interests: The authors have declared that no competing interests exist.

analysis demonstrated that the prognostic value of Δ Alb was consistent for age, sex, smoking history, surgical procedure, and histological type.

Conclusion

We demonstrated a negative impact of postoperative decrease of the serum albumin on the prognosis of patients with early-stage NSCLC. Postoperative changes in nutritional status might be important in NSCLC outcomes.

Introduction

The preoperative nutritional status of patients, reflected by factors such as serum albumin [1], Controlling Nutritional Status score [2,3], geriatric nutritional risk index [4], prognostic nutritional index [5,6], Glasgow prognostic score [7], body mass index [8,9], and skeletal muscle area (SMA) [10–13], has attracted much attention as an important host-related prognostic factor in patients with non-small cell lung carcinoma (NSCLC).

A previous study showed that a postoperative decrease in serum albumin (Δ Alb) 1 day after surgery was associated with postoperative pulmonary complications in patients with NSCLC [14]. However, the prognostic significance of Δ Alb on the long-term outcomes of NSCLC patients after surgery remains unclear.

We previously showed that a decrease in SMA 1 year after surgery was associated with a poor prognosis of NSCLC [12,13]. Furthermore, a decrease in SMA correlated with exacerbation of nutritional indices, and postoperative deterioration of nutritional status was related to a poor prognosis of NSCLC [13].

In this study, we analyzed the clinical significance of Δ Alb at 1 year after surgery, as a convenient marker of nutritional status, on the long-term outcomes of patients with pathological stage (pStage) IA NSCLC.

Patients and methods

Study patients

This study was reviewed and approved by our institutional review boards (Kyushu University Hospital [KUH], IRB No. 2019–232; Kyushu Cancer Center [KCC], IRB No. 2019–56; Kyushu Medical Center [KMC], IRB No. 19D152). Regarding participant consent, the informed consent for this research was waived by the ethics committee.

This study included 1210 Japanese patients with pStage IA NSCLC who underwent surgical resection between January 2003 and December 2014 at KUH (545 patients) and between January 2009 and December 2014 at KCC (324 patients) and KMC (341 patients). Of these, 59 patients who recurred within 1 year after surgery were excluded (KUH, 44 patients; KCC, six patients; KMC, nine patients). Furthermore, because of incomplete resection, pretreatment before surgery, or lack of data, 66 patients were also excluded (KUH, 58 patients; KCC, three patients; KMC, five patients). Patients who received chemotherapy within 1 year after surgery including adjuvant chemotherapy were also excluded from this study. Finally, 1085 patients were enrolled in this study (KUH, 443 patients; KCC, 315 patients; KMC, 327 patients). We first examined patients from KUH as a training cohort (TC). Subsequently, we validated our findings in an independent validation cohort (VC) composed of patients from KCC and

KMC. A total of 443 and 642 patients were enrolled in the TC and VC, respectively. The consort diagram of patient selection was shown in Fig 1.

Clinicopathological characteristics, disease-free survival (DFS), and overall survival (OS) were analyzed retrospectively. Clinicopathological characteristics included age, sex, smoking history, pulmonary comorbidities, surgical procedure, pathological T status (pT), histological type, vascular invasion, and lymphatic invasion. Pulmonary comorbidities included interstitial pneumonia, chronic obstructive pulmonary disease, or asthma. The pStage was defined according to the 7th edition of TNM classification [15]. The clinical information and follow-up data were obtained from the patients' medical records.

Evaluation of serum albumin

Preoperative serum albumin levels (preAlb) were measured before surgery and postoperative serum albumin levels (postAlb) at the closest point to 12 months at 9–15 months after surgery. For measuring serum albumin levels, all of three institutions used the modified bromocresol purple method. Δ Alb was calculated as (preAlb – postAlb)/preAlb × 100%, as in previous reports [14,16,17].

Patients with pStage IA NSCLC (n=1210)

- Kyushu University Hospital (n=545)
- Kyushu Cancer Center (n=324)
- Kyushu Medical Center (n=341)

Recurrence within 1 year after surgery (n=59)



Fig 1. Consort diagram of patient selection. pStage, pathological stage; NSCLC, non-small cell lung carcinoma.

https://doi.org/10.1371/journal.pone.0256894.g001

Statistical analysis

All statistical analyses were performed using JMP pro 14.0 software (SAS Institute). Patient characteristics were analyzed by Pearson's χ^2 test. DFS was defined as the time between surgery and the date of last follow-up, recurrence, or death; OS was the time between surgery and the date of last follow-up or death. Survival curves were estimated by the Kaplan–Meier method with the log-rank test. Hazard ratios for positive risk factors were estimated by Cox proportional hazards regression analysis with backwards elimination. As for multivariable analysis, after applying multivariable analysis to all factors used in univariable analysis at the same time, we eliminated less important factors with high p-values one by one and reduced factors so that only factors with p<0.05 were included. All results were considered statistically significant at p<0.05.

Results

Patient characteristics

A total of 1085 patients with pStage IA NSCLC who underwent complete surgical resection and did not recur within 1 year were enrolled in this study. We classified 443 patients from KUH as the TC, and 642 patients from KCC and KMC as the VC. The detailed clinicopathological characteristics of the two cohorts are shown in **S1 Table**. In the TC, 214 (48.3%), 223 (50.3%), and 232 (52.4%) patients were of older age (\geq 70 years), male, and smoked, respectively. The median follow-up period was 5.47 years (range, 1.01–15.97 years). In terms of the VC, 279 (43.5%), 283 (44.1%), and 295 (46.0%) patients were of older age (\geq 70 years), male, and smoked, respectively, and the median follow-up period was 5.75 years (range, 1.36–10.59 years).

In terms of serum albumin, the median preAlb and Δ Alb in the TC were 4.3 g/dL (range, 3.1 to 5.3 g/dL) and 4.8% (range, -29.2 to 79.2%), respectively. In the VC, the median preAlb and Δ Alb in the TC were 4.3 g/dL (range, 3.0 to 5.4 g/dL) and 2.4% (range, -30.0 to 43.9%), respectively. The histograms of distributions of preAlb and Δ Alb in each cohort were shown in S1 Fig.

Clinicopathological characteristics associated with ΔAlb

The cutoff value of Δ Alb was set as 11% based on the receiver operating characteristic curve (ROC) curve for 5-year OS in the TC (**Fig 2**). One hundred (22.6%) patients in the TC and 58 (9.0%) patients in the VC were classified as Δ Alb-Decreased (Δ Alb \geq 11%), respectively. The association between clinicopathological characteristics and Δ Alb is shown in **Table 1**. Δ Alb-Decreased in the TC was significantly associated with male sex (p = 0.0490), smoking (p = 0.0156), and non-adenocarcinoma (p < 0.0001). In the VC, Δ Alb-Decreased was significantly associated with pT1b (p = 0.0169) and non-adenocarcinoma (p = 0.0251).

Survival analysis according to Δ Alb

We evaluated the difference in survival between the Δ Alb-Decreased and Δ Alb-Stable groups by Kaplan–Meier analysis. DFS and OS of the Δ Alb-Decreased group in the TC were significantly poorer compared with the Δ Alb-Stable group (5-year DFS, 77.2% versus 94.8%, p<0.0001; 5-year OS, 84.1% versus 98.2%, p<0.0001) (**Fig 3A and 3B**). Furthermore, in the VC, DFS and OS were also significantly worse in the Δ Alb-Decreased group compared with the Δ Alb-Stable group (5-year DFS, 78.0% versus 87.7%, p = 0.0015; 5-year OS, 83.4% versus 90.6%, p = 0.0010) (**Fig 3C and 3D**).

Survival analysis according to preAlb

The cutoff value of preAlb was set as 4.1 g/dL based on the ROC curve for 5-year OS in the TC (S2 Fig). The clinicopathological characteristics according to preAlb are shown in S2



Fig 2. Receiver operating characteristic curve (ROC) of training cohort (TC). ROC curves for postoperative decrease in serum albumin (Δ Alb) in the TC. AUC: Area under the curve.

https://doi.org/10.1371/journal.pone.0256894.g002

<u>Table</u>, and preAlb-Low was significantly associated with poor prognosis in both the TC and VC (<u>S3 Fig</u>).

Univariable and multivariable analyses of survival

We performed univariable and multivariable analyses of survival. In the TC, multivariable analysis identified smoker (p = 0.0233), lobectomy (p = 0.0175), adenocarcinoma (p = 0.0187), lymphatic invasion (p = 0.0180), and Δ Alb-Decreased (p = 0.0003) as independent prognostic factors for DFS, and lobectomy (p = 0.0015), adenocarcinoma (p = 0.0004), Δ Alb-Decreased (p < 0.0001), and preAlb-Low (p = 0.0010) as independent factors for OS (S3 Table). In the VC, multivariable analysis identified older age (p < 0.0001), male sex (p < 0.0001), pulmonary comorbidity (p = 0.0017), and Δ Alb-Decreased (p = 0.0186) as independent prognostic factors for DFS, and older age (p < 0.0001), male sex (p = 0.0013), pulmonary comorbidity (p = 0.0001), male sex (p = 0.0001), pulmonary comorbidity (p = 0.0001), male sex (p = 0.0001), pulmonary comorbidity (p = 0.0001), male sex (p = 0.0001), pulmonary comorbidity (p = 0.0001), male sex (p = 0.0001), pulmonary comorbidity (p = 0.00247), and Δ Alb-Decreased (p = 0.0145) as independent prognostic factors for OS (Table 2).

Furthermore, a forest plot of the subgroup analysis demonstrated that the prognostic value of Δ Alb was almost consistent across different subgroups in both the TC (S4 Fig) and VC (Fig 4).

Combined survival analysis of Δ Alb and preAlb

To elucidate the prognostic significance of Δ Alb in more detail, we performed a combined analysis of Δ Alb and preAlb. Patients were categorized into the following four groups: Alb-Low-Decreased (preAlb <4.1g/dL and Δ Alb ≥11%), Alb-Low-Stable (preAlb <4.1g/dL and Δ Alb <11%), Alb-High-Decreased (preAlb ≥4.1g/dL and Δ Alb ≥11%), and Alb-High-Stable (preAlb ≥4.1g/dL and Δ Alb <11%). DFS and OS differed significantly among the four groups

			ohort	Validation cohort						
Characteristics		ΔΑ	lb							
	Decreased (n = 100)		Stable (n = 343)		<i>p</i> value	Decreased (n = 58)		Stable (n = 584)		<i>p</i> value
Age, years										
<70	50	(50.0%)	179	(52.2%)	0.7002	26	(44.8%)	337	(57.7%)	0.0592
\geq 70	50	(50.0%)	164	(47.8%)		32	(55.2%)	247	(42.3%)	
Sex										
Female	41	(41.0%)	179	(52.2%)	0.0490	26	(44.8%)	333	(57.0%)	0.0744
Male	59	(59.0%)	164	(47.8%)		32	(55.2%)	251	(43.0%)	
Smoking										
Never smoker	37	(37.0%)	174	(50.7%)	0.0156	27	(46.6%)	320	(54.8%)	0.2296
Smoker	63	(63.0%)	169	(49.3%)		31	(53.4%)	264	(45.2%)	
Pulmonary comorbidity										
Absent	93	(93.0%)	332	(96.8%)	0.1440	53	(91.4%)	552	(94.5%)	0.3678
Present	7	(7.0%)	11	(3.2%)		5	(8.6%)	32	(5.5%)	
Surgical procedure										
≥Lobectomy	64	(64.0%)	221	(64.4%)	0.9368	41	(70.7%)	416	(71.2%)	0.9306
Sublobar resection	36	(36.0%)	122	(35.6%)		17	(29.3%)	168	(28.8%)	
рТ										
Tla	73	(73.0%)	256	(74.6%)	0.7420	32	(55.2%)	411	(70.4%)	0.0169
T1b	27	(27.0%)	87	(25.4%)		26	(44.8%)	173	(29.6%)	
Histological type										
Adenocarcinoma	74	(74.0%)	310	(90.4%)	< 0.0001	46	(79.3%)	525	(89.9%)	0.0251
Non-adenocarcinoma	26	(26.0%)	33	(9.6%)		12	(20.7%)	59	(10.1%)	
Vascular invasion										
Negative	87	(87.0%)	315	(91.8%)	0.1686	57	(98.3%)	568	(97.3%)	0.6459
Positive	13	(13.0%)	28	(8.2%)		1	(1.7%)	16	(2.7%)	
Lymphatic invasion										
Negative	96	(96.0%)	337	(98.3%)	0.1824	53	(91.4%)	546	(93.5%)	0.5391
Positive	4	(4.0%)	6	(1.7%)		5	(8.6%)	38	(6.5%)	

Table 1.	Associations of	clinicopathological	characteristics with ΔAlb .
----------	-----------------	---------------------	-------------------------------------

 Δ Alb, postoperative decrease in serum albumin; pT, pathological T status.

https://doi.org/10.1371/journal.pone.0256894.t001

in both the TC (p<0.0001 and p<0.0001, respectively) and VC (p = 0.0006 and p = 0.0001, respectively) (Fig 5A and 5B). The 5-year DFS rates in the Alb-Low-Decreased, Alb-Low-Stable, Alb-High-Decreased, and Alb-High-Stable groups were 48.3%, 92.8%, 82.4%, and 95.3% in the TC, respectively, and 68.6%, 81.4%, 79.2%, and 89.2% in the VC, respectively (Fig 5C and 5D). The 5-year OS rates were 54.3%, 93.9%, 89.3%, and 99.4% in the TC, respectively, and 68.6%, 86.0%, 85.3%, and 91.5% in the VC, respectively. The Alb-Low-Decreased group had the worst prognosis for DFS and OS; however, DFS and OS of the Alb-Low-Stable group were not significantly worse compared with the Alb-High-Decreased group and the Alb-High-Stable group in both cohorts.

Discussion

The present study demonstrated the prognostic impact of Δ Alb on the long-term outcomes of patients with pStage IA NSCLC. Moreover, our subgroup analysis demonstrated that the prognostic value of Δ Alb was consistent for age, sex, smoking history, surgical procedure, and histological type. In addition, combined survival analysis of Δ Alb and preAlb suggested that, even





https://doi.org/10.1371/journal.pone.0256894.g003

if nutritional status was poor before surgery, patients with maintained nutritional status had a better prognosis.

Serum albumin is one of the most convenient and important indicators for assessing nutritional status, and previous reports have identified preAlb as an essential prognostic factor for several cancers, including NSCLC [1,18]. Nutritional status is an important determinant of the immune response, and decreased albumin levels may be associated with an impaired antitumor immune response [19,20]. In addition, low levels of serum albumin reflect systemic inflammation, which is significantly associated with cancer progression [21–23]. Serum albumin is also an endogenous antioxidant [24,25]. Although the association between endogenous antioxidants and cancer progression remains unclear, a previous study demonstrated that loss of albumin resulted in a plasma redox imbalance and promoted cancer growth and metastasis [25]. These findings may help to explain the association between serum albumin and the prognosis of NSCLC.

We previously showed the prognostic significance of postoperative changes in nutritional status in patients with NSCLC by analyzing the postoperative decrease in SMA 1 year after surgery [12,13]. Despite its usefulness, evaluation of SMA requires complicated analysis of computed tomography results. In contrast, serum albumin can be conveniently measured by

		Disease-free survival							Overall survival						
			Univariable analysis		Multivariable analysis			Univariable analysis			Multivariable analysis				
Characteristics		HR	95% CI	<i>p</i> value	HR	95% CI	<i>p</i> value	HR	95% CI	<i>p</i> value	HR	95% CI	<i>p</i> value		
Age	≥70	2.3	1.53-3.34	< 0.0001	2.4	1.59-3.54	< 0.0001	2.9	1.87-4.52	< 0.0001	3.0	1.93- 4.74	< 0.0001		
Sex	Male	2.6	1.74-3.92	< 0.0001	2.4	1.61-3.64	< 0.0001	2.8	1.79-4.37	< 0.0001	2.7	1.70- 4.21	< 0.0001		
Smoking	Smoker	2.2	1.48-3.29	0.0001				2.2	1.44-3.43	0.0003					
Pulmonary comorbidity	Present	2.6	1.48-4.73	0.0010	2.6	1.43-4.73	0.0017	3.0	1.64-5.55	0.0004	3.0	1.60- 5.64	0.0006		
Surgical procedure	≥Lobectomy	0.7	0.47-1.04	0.0793				0.6	0.41-0.97	0.0355	0.6	0.40- 0.94	0.0247		
рТ	T1b	1.6	1.10-2.39	0.0152				1.6	1.05-2.45	0.0288					
Histological type	Adenocarcinoma	0.4	0.24-0.61	< 0.0001				0.3	0.21-0.56	< 0.0001					
Vascular invasion	Positive	1.9	0.69-5.10	0.2175				2.2	0.81-6.05	0.1198					
Lymphatic invasion	Positive	1.8	0.92-3.37	0.0911				1.7	0.81-3.48	0.1601					
ΔAlb	Decreased	2.3	1.35-3.82	0.0020	1.9	1.11-3.16	0.0186	2.5	1.41-4.30	0.0015	2.0	1.15- 3.52	0.0145		
preAlb	Low	1.6	1.00-2.46	0.0490				1.7	1.03-2.70	0.0371					

HR, hazard ratio; CI, confidence interval; pT, pathological T status; ΔAlb, postoperative decrease in serum albumin; preAlb, preoperative serum albumin levels.

https://doi.org/10.1371/journal.pone.0256894.t002

simple blood testing, suggesting that this may be a suitable marker for monitoring the nutritional condition of patients.

In the cancer-bearing state, it is well known that albumin consumption by cancer cells causes albumin depletion [26]. Therefore, when considering the significance of ΔAlb , recurrence within 1 year must be a confounding factor in the association between ΔAlb and the outcomes of NSCLC. To eliminate the influence of recurrence within 1 year, this study excluded patients who recurred within 1 year; then, the prognostic impact of Δ Alb seemed to be independent from recurrence within 1 year. However, in patients with early-stage NSCLC who underwent complete resection, postoperative albumin depletion might reflect a potential cancer recurrence before an apparent cancer recurrence is identified by tests such as computed tomography and tumor markers. Several studies to detect circulating cancer cells [27-30] and circulating tumor DNA [31-33] in blood are underway to investigate potential cancer existence and metastasis in NSCLC. Our results might be supportive to these studies, and it seems useful to investigate changes in serum albumin along with techniques for detection of micro cancer cells. Although adjuvant chemotherapy after complete resection is not currently recommended for pStage IA NSCLC, future improvements in techniques for detecting micro cancer cells, including decreased albumin, might allow the selection of cases that would benefit from adjuvant chemotherapy.

Our combined survival analysis of preAlb and Δ Alb clarified the clinical significance of Δ Alb in terms of the outcomes of patients with NSCLC. In particular, the prognosis of patients in the Alb-Low-Stable group was not significantly worse compared with those of the Alb-High-Decreased and the Alb-High-Stable groups. This result suggested that, even if the preoperative nutritional status was poor, the prognosis of patients with a maintained nutritional



B **Overall Survival** ∆Alb-Decreased ∆Alb-Stable 95% CI HR p value **__** All 58/642 584/642 2.5 1.41-4.30 0.0015 <70 337/363 0.0237 26/363 Age 3.0 1.16-7.93 ≥70 32/279 247/279 0.95-3.69 0.0722 1.9 26/359 333/359 Sex Female 1.9 0.26-14.0 0.5276 Male 32/283 251/283 3.1 1.68-5.55 0.0003 Smoking history Never Smoke 27/347 320/347 1.5 0.46-4.97 0.5004 31/295 264/295 Smoker 2.7 1.45-5.16 0.0020 Surgical procedure 41/457 416/457 1.16-4.89 0.0176 >L obectomy 2.4 17/185 168/85 0.0402 Sublobar resection 25 1.04-6.10 32/443 рT T1a 411/443 3.3 1.62-6.85 0.0010 T1b 26/199 173/199 1.4 0.57-3.33 0.4709 0.0007 Histological type Adenocarcinoma 46/571 525/571 2.9 1.58-5.51 Others 12/71 59/71 1.0 0.30-3.46 0.9827 0.1 Favors ∆Alb-Decreased Favors ∆Alb-Stable

Fig 4. Forest plot of subgroup analysis for postoperative decrease in serum albumin (Δ Alb) in the validation cohort (VC). Hazard ratios of Δ Alb for (A) disease-free survival and (B) overall survival in the VC. HR: Hazard ratio, CI: Confidence interval.

https://doi.org/10.1371/journal.pone.0256894.g004

status was favorable. From another point of view, even in the preAlb-High group, the prognosis of the Alb-High-Decreased group, in which serum albumin levels were decreased after surgery, was worse than that of the Alb-High-Stable group, and equal to or worse than that of the Alb-Low-Stable group.

Several drugs, including megestrol acetate, ghrelin agonists, and anti-myostatin peptides, are expected to improve cancer cachexia, in addition to nutritional support and physical exercise [34–37]. Recently, anamorelin, which is an orally active ghrelin receptor agonist, has been shown the usefulness for improving cancer cachexia [38–40]. Although there has been no direct evaluation of serum albumin levels, blood sampling data on nutrition, such as prealbumin, have been shown to improve with oral anamorelin [38], and we expect that serum albumin levels may also improve by anamorelin. In addition, in the perioperative period of





https://doi.org/10.1371/journal.pone.0256894.g005

NSCLC, enteral nutrition in addition to active rehabilitation has been shown to improve albumin levels [41], and a randomized controlled trial has been conducted to determine the changes in nutritional status when enteral nutrition is added to perioperative rehabilitation of NSCLC [42]. Therefore, we consider that these strategies might be applied to NSCLC patients after surgery to maintain their nutritional status, leading to improved outcomes.

This study had several limitations. This was a retrospective study; however, the study cohort was relatively large, and 1085 patients were enrolled. We excluded patients who recurred or died within 1 year, and the prognostic impact of preAlb was therefore probably underestimated. In addition, we analyzed Δ Alb at 1 year after surgery in this study, and Δ Alb at 1 year was significantly associated with the subsequent prognosis of early-stage NSCLC; however, to further clarify how Δ Alb is related to the recurrence and prognosis of NSCLC, more detailed examinations of changes in serum albumin over time using serum albumin levels at other time points, such as 1 month, 3 months, and 6 months after surgery, may be required. Furthermore, serum albumin can be influenced by various diseases as well as cancer, such as heart, liver, and renal disease, and care is therefore needed in interpreting the meaning of serum albumin levels in clinical practice. In addition, postoperative smoking status might be one of the related

factors with the postoperative nutritional changes of the patients; however, we have not been able to collect the data on postoperative smoking status and evaluate the influence of postoperative smoking status in this study. There were several limitations in pathological information, such as the TNM classification and adenocarcinoma subtypes; then, it seems necessary to perform the analysis using 8th edition or detailed adenocarcinoma subtypes in the future.

In conclusion, the results of this study demonstrated that Δ Alb was significantly associated with the prognosis of early-stage NSCLC. Therefore, postoperative changes in nutritional status might be important for the outcomes of NSCLC.

Supporting information

S1 Fig. The histograms of distributions of preoperative serum albumin levels (preAlb) and postoperative decrease in serum albumin (Δ Alb). The histograms of distributions of preAlb and Δ Alb in the training cohort (A, B) and in the validation cohort (C, D). (TIF)

S2 Fig. Receiver operating characteristic curve (ROC) of training cohort (TC). ROC curves for preoperative serum albumin levels (preAlb) in the TC. AUC: area under the curve. (TIF)

S3 Fig. Survival analysis according to preoperative serum albumin levels (preAlb). Disease-free survival and overall survival of the preAlb-Low and preAlb-High groups in the training cohort (A, B) and in the validation cohort (C, D). (TIF)

S4 Fig. Forest plot of subgroup analysis for postoperative decrease in serum albumin (Δ Alb) in the training cohort (TC). Hazard ratios of Δ Alb for (A) disease-free survival and (B) overall survival in the TC. HR: hazard ratio, CI: confidence interval. (TIF)

S1 Table. Clinicopathological characteristics of patients with pStage IA non-small cell lung carcinoma.

(DOCX)

S2 Table. Associations of clinicopathological characteristics with preAlb. (DOCX)

S3 Table. Univariable and multivariable analyses of disease-free survival and overall survival in the training cohort. (DOCX)

Acknowledgments

We thank Takashi Kinoshita from the Medical Information Center, Kyushu University Hospital, for invaluable help with the data collection. We also thank H. Nikki March, PhD, and Susan Furness, PhD, from Edanz Group (<u>https://en-author-services.edanz.com/ac</u>) for editing a draft of this manuscript.

Author Contributions

Conceptualization: Fumihiko Kinoshita, Tetsuzo Tagawa, Takanori Yamashita.

Data curation: Fumihiko Kinoshita, Tetsuzo Tagawa, Takanori Yamashita, Taichi Matsubara, Gouji Toyokawa, Kazuki Takada, Taro Oba, Atsushi Osoegawa.

- Formal analysis: Fumihiko Kinoshita, Tetsuzo Tagawa, Tomoyoshi Takenaka, Kazuki Takada, Taro Oba, Atsushi Osoegawa, Mototsugu Shimokawa.
- **Investigation:** Fumihiko Kinoshita, Taichi Matsubara, Gouji Toyokawa, Mototsugu Shimokawa.
- Methodology: Fumihiko Kinoshita, Takanori Yamashita, Kazuki Takada, Mototsugu Shimokawa.
- Project administration: Tetsuzo Tagawa, Tomoyoshi Takenaka.
- Supervision: Tetsuzo Tagawa, Tomoyoshi Takenaka, Koji Yamazaki, Mitsuhiro Takenoyama, Mototsugu Shimokawa, Naoki Nakashima, Masaki Mori.

Validation: Tetsuzo Tagawa, Tomoyoshi Takenaka, Mototsugu Shimokawa.

Visualization: Tetsuzo Tagawa.

Writing - original draft: Fumihiko Kinoshita.

Writing - review & editing: Tetsuzo Tagawa, Naoki Nakashima, Masaki Mori.

References

- Miura K, Hamanaka K, Koizumi T, et al. (2017) Clinical significance of preoperative serum albumin level for prognosis in surgically resected patients with non-small cell lung cancer: Comparative study of normal lung, emphysema, and pulmonary fibrosis. Lung Cancer. 111:88–95. https://doi.org/10.1016/j. lungcan.2017.07.003 PMID: 28838406
- Shoji F, Haratake N, Akamine T, et al. (2017) The preoperative controlling nutritional status score predicts survival after curative surgery in patients with pathological stage i non-small cell lung cancer. Anticancer Res. 37:741–748. https://doi.org/10.21873/anticanres.11372 PMID: 28179325
- Toyokawa G, Kozuma Y, Matsubara T, et al. (2017) Prognostic impact of controlling nutritional status score in resected lung squamous cell carcinoma. J Thorac Dis. 9:2942–2951. <u>https://doi.org/10.21037/jtd.2017.07.108</u> PMID: 29221266
- Shoji F, Matsubara T, Kozuma Y, et al. (2017) Preoperative Geriatric Nutritional Risk Index: A predictive and prognostic factor in patients with pathological stage I non-small cell lung cancer. Surg Oncol. 26:483–488. https://doi.org/10.1016/j.suronc.2017.09.006 PMID: 29113668
- Okada S, Shimada J, Kato D, et al. (2017) Clinical significance of prognostic nutritional index after surgical treatment in lung cancer. Ann Thorac Surg. 104:296–302. <u>https://doi.org/10.1016/j.athoracsur</u>. 2017.01.085 PMID: 28433217
- Li D, Yuan X, Liu J, et al. (2018) Prognostic value of prognostic nutritional index in lung cancer: A metaanalysis. J Thorac Dis. 10:5298–5307. https://doi.org/10.21037/jtd.2018.08.51 PMID: 30416777
- Yotsukura M, Ohtsuka T, Kaseda K, et al. (2016) Value of the Glasgow Prognostic Score as a prognostic factor in resectable non–small cell lung cancer. J Thorac Oncol. 11:1311–1318. https://doi.org/10. 1016/j.jtho.2016.04.029 PMID: 27234603
- Morel H, Raynard B, d'Arlhac M, et al. (2018) Prediagnosis weight loss, a stronger factor than BMI, to predict survival in patients with lung cancer. Lung Cancer. 126:55–63. https://doi.org/10.1016/j. lungcan.2018.07.005 PMID: 30527193
- Shepshelovich D, Xu W, Lu L, et al. (2019) Body mass index (BMI), BMI change, and overall survival in patients with SCLC and NSCLC: A pooled analysis of the International Lung Cancer Consortium. J Thorac Oncol. 14:1594–1607. https://doi.org/10.1016/j.jtho.2019.05.031 PMID: 31163278
- Martin L, Birdsell L, MacDonald N, et al. (2013) Cancer cachexia in the age of obesity: Skeletal muscle depletion is a powerful prognostic factor, independent of body mass index. J Clin Oncol. 31:1539– 1547. https://doi.org/10.1200/JCO.2012.45.2722 PMID: 23530101
- Buentzel J, Heinz J, Bleckmann A, et al. (2019) Sarcopenia as prognostic factor in lung cancer patients: A systematic review and meta-analysis. Anticancer Res. 39:4603–4612. <u>https://doi.org/10.21873/anticanres.13640 PMID: 31519557</u>
- Takamori S, Toyokawa G, Okamoto T, et al. (2018) Clinical impact and risk factors for skeletal muscle loss after complete resection of early non-small cell lung cancer. Ann Surg Oncol. 25:1229–1236. https://doi.org/10.1245/s10434-017-6328-y PMID: 29327178

- Takamori S, Tagawa T, Toyokawa G, et al. (2020) Prognostic impact of postoperative skeletal muscle decrease in non-small cell lung cancer. Ann Thorac Surg. 109:914–920. <u>https://doi.org/10.1016/j.</u> athoracsur.2019.09.035 PMID: 31655044
- Li P, Li J, Lai Y, et al. (2018) Perioperative changes of serum albumin are a predictor of postoperative pulmonary complications in lung cancer patients: A retrospective cohort study. J Thorac Dis. 10:5755– 5763. https://doi.org/10.21037/jtd.2018.09.113 PMID: 30505483
- Goldstraw P, Crowley J, Chansky K, et al. (2007) The IASLC lung cancer staging project: Proposals for the revision of the TNM stage groupings in the forthcoming (seventh) edition of the TNM classification of malignant tumours. J Thorac Oncol. 2:706–714. <u>https://doi.org/10.1097/JTO.0b013e31812f3c1a</u> PMID: 17762336
- Liu ZJ, Ge XL, Ai SC, et al. (2017) Postoperative decrease of serum albumin predicts short-term complications in patients undergoing gastric cancer resection. World J Gastroenterol. 23:4978–4985. <u>https:// doi.org/10.3748/wjg.v23.i27.4978 PMID: 28785152</u>
- Spolverato G, Kim Y, Ejaz A, et al. (2015) Effect of relative decrease in blood hemoglobin concentrations on postoperative morbidity in patients who undergo major gastrointestinal surgery. JAMA Surg. 150:949–956. https://doi.org/10.1001/jamasurg.2015.1704 PMID: 26222497
- Gupta D, Lis CG. (2010) Pretreatment serum albumin as a predictor of cancer survival: A systematic review of the epidemiological literature. Nutr J. 9:1–16. https://doi.org/10.1186/1475-2891-9-1 PMID: 20056003
- Walrand S, Moreau K, Caldefie F, et al. (2001) Specific and nonspecific immune responses to fasting and refeeding differ in healthy young adult and elderly persons. Am J Clin Nutr. 74:670–678. https://doi. org/10.1093/ajcn/74.5.670 PMID: 11684537
- Marcos A, Nova E, Montero A. (2003) Changes in the immune system are conditioned by nutrition. Eur J Clin Nutr. 57:S66–S69. https://doi.org/10.1038/sj.ejcn.1601819 PMID: 12947457
- Coussens LM, Werb Z. Coussens LM, et al. (2002) Inflammation and cancer. Nature. 420:860–867. https://doi.org/10.1038/nature01322 PMID: 12490959
- Mantovani A, Allavena P, Sica A, et al. (2008) Cancer-related inflammation. Nature. 454:436–444. https://doi.org/10.1038/nature07205 PMID: 18650914
- Allin KH, Bojesen SE, Nordestgaard BG. (2016) Inflammatory biomarkers and risk of cancer in 84,000 individuals from the general population. Int J Cancer. 139:1493–1500. <u>https://doi.org/10.1002/ijc.30194</u> PMID: 27194008
- Kühn T, Sookthai D, Graf ME, et al. (2017) Albumin, bilirubin, uric acid and cancer risk: Results from a prospective population-based study. Br J Cancer. 117:1572–1579. https://doi.org/10.1038/bjc.2017. 313 PMID: 28898231
- Inoue M, Nakashima R, Enomoto M, et al. (2018) Plasma redox imbalance caused by albumin oxidation promotes lung-predominant NETosis and pulmonary cancer metastasis. Nat Commun. 9:1–11. <u>https:// doi.org/10.1038/s41467-017-02088-w PMID: 29317637</u>
- Finicle BT, Jayashankar V, Edinger AL. (2018) Nutrient scavenging in cancer. Nat Rev Cancer. 18:619–633. https://doi.org/10.1038/s41568-018-0048-x PMID: 30097614
- Ichimura H, Nawa T, Yamamoto Y, et al. (2020) Detection of circulating tumor cells in patients with lung cancer using metallic micro-cavity array filter: A pilot study. Mol Clin Oncol. 12:278–283. <u>https://doi.org/ 10.3892/mco.2020.1973</u> PMID: 32064107
- Dandachi N, Tiran V, Lindenmann J, et al. (2017) Frequency and clinical impact of preoperative circulating tumor cells in resectable non-metastatic lung adenocarcinomas. Lung Cancer. 113:152–157. https://doi.org/10.1016/j.lungcan.2017.10.003 PMID: 29110843
- Sawabata N, Nakamura T, Kawaguchi T, et al. (2020) Circulating tumor cells detected only after surgery for non-small cell lung cancer: Is it a predictor of recurrence? J Thorac Dis. 12:4623–4632. <u>https://doi.org/10.21037/jtd-20-1636 PMID: 33145035</u>
- Tamminga M, de Wit S, van de Wauwer C, et al. (2020) Analysis of released circulating tumor cells during surgery for non-small cell lung cancer. Clin Cancer Res. 26:1656–1666. <u>https://doi.org/10.1158/</u> 1078-0432.CCR-19-2541 PMID: 31772122
- Liang W, Zhao Y, Huang W, et al. (2019) Non-invasive diagnosis of early-stage lung cancer using highthroughput targeted DNA methylation sequencing of circulating tumor DNA (ctDNA). Theranostics. 9:2056–2070. https://doi.org/10.7150/thno.28119 PMID: 31037156
- Chen K, Zhao H, Shi Y, et al. (2019) Perioperative dynamic changes in circulating tumor DNA in patients with lung cancer (Dynamic). Clin Cancer Res. 25:7058–7067. <u>https://doi.org/10.1158/1078-0432.CCR-19-1213 PMID: 31439586</u>
- Chae YK, Oh MS. (2019) Detection of Minimal Residual Disease Using ctDNA in Lung Cancer: Current Evidence and Future Directions. J Thorac Oncol. 14:16–24. https://doi.org/10.1016/j.jtho.2018.09.022 PMID: 30296486

- Argilés JM, López-Soriano FJ, Stemmler B, et al. (2017) Novel targeted therapies for cancer cachexia. Biochem J. 474:2663–2678. https://doi.org/10.1042/BCJ20170032 PMID: 28751550
- Sadeghi M, Keshavarz-Fathi M, Baracos V, et al. (2018) Cancer cachexia: Diagnosis, assessment, and treatment. Crit Rev Oncol Hematol. 127:91–104. <u>https://doi.org/10.1016/j.critrevonc.2018.05.006</u> PMID: 29891116
- Argilés JM, López-Soriano FJ, Stemmler B, et al. (2019) Therapeutic strategies against cancer cachexia. Eur J Transl Myol. 29:4–13. https://doi.org/10.4081/ejtm.2019.7960 PMID: 31019661
- **37.** Del Fabbro E. (2019) Combination therapy in cachexia. Ann Palliat Med. 8:59–66. https://doi.org/10. 21037/apm.2018.08.05 PMID: 30180745
- Katakami N, Uchino J, Yokoyama T, et al. (2018) Anamorelin (ONO-7643) for the treatment of patients with non-small cell lung cancer and cachexia: Results from a randomized, double-blind, placebo-controlled, multicenter study of Japanese patients (ONO-7643-04). Cancer. 124:606–616. https://doi.org/ 10.1002/cncr.31128 PMID: 29205286
- Takayama K, Katakami N, Yokoyama T, et al. (2016) Anamorelin (ONO-7643) in Japanese patients with non-small cell lung cancer and cachexia: results of a randomized phase 2 trial. Support Care Cancer. 24:3495–505. https://doi.org/10.1007/s00520-016-3144-z PMID: 27005463
- 40. Temel JS, Abernethy AP, Currow DC, et al. (2016) Anamorelin in patients with non-small-cell lung cancer and cachexia (ROMANA 1 and ROMANA 2): results from two randomised, double-blind, phase 3 trials. Lancet Oncol. 17:519–531. https://doi.org/10.1016/S1470-2045(15)00558-6 PMID: 26906526
- Ding Q, Chen W, Gu Y, et al. (2020) Accelerated rehabilitation combined with enteral nutrition in the management of lung cancer surgery patients. Asia Pac J Clin Nutr. 29:274–279. <u>https://doi.org/10.6133/apjcn.202007_29(2).0010 PMID: 32674235</u>
- 42. Ji X, Ding H. (2020) The efficacy of enteral nutrition combined with accelerated rehabilitation in nonsmall cell lung cancer surgery: A randomized controlled trial protocol. Medicine (Baltimore). 99:e23382. https://doi.org/10.1097/MD.00000000023382 PMID: 33235112