

BMJ Open Prehospital trauma death review in the State of Victoria, Australia: a study protocol

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ABSTRACT

Introduction Regionalised trauma systems have been shown to improve outcomes for trauma patients. However, the evaluation of these trauma systems has been oriented towards in-hospital care. Therefore, the epidemiology and care delivered to the injured patients who died in the prehospital setting remain poorly studied. This study aims to provide an overview of a methodological approach to reviewing trauma deaths in order to assess the preventability, identify areas for improvements in the system of care provided to these patients and evaluate the potential for novel interventions to improve outcomes for seriously injured trauma patients.

Methods and analysis The planned study is a retrospective review of prehospital and early in-hospital (<24 hours) deaths following traumatic out-of-hospital cardiac arrest that were attended by Ambulance Victoria between 2008 and 2014. Eligible patients will be identified from the Victorian Ambulance Cardiac Arrest Registry and linked with the National Coronial Information System. For patients who were transported to hospital, data will be linked the Victoria State Trauma Registry. The project will be undertaken in four phases: (1) survivability assessment; (2) preventability assessment; (3) identification of potential areas for improvement; and (4) identification of potentially useful novel technologies. Survivability assessment will be based on predetermined anatomical injuries considered unsurvivable. For patients with potentially survivable injuries, multidisciplinary expert panel reviews will be conducted to assess the preventability as well as the identification of potential areas for improvement and the utility of novel technologies.

Ethics and dissemination The present study was approved by the Victorian Department of Justice and Regulation HREC (CF/16/272) and the Monash University HREC (CF16/532 – 2016000259). Results of the study will be published in peer-reviewed journals and reports provided to Ambulance Victoria, the Victorian State Trauma Committee and the Victorian State Government Department of Health and Human Services.

BACKGROUND

The global burden of traumatic injuries is well established. Trauma remains the leading cause of death and disability in people aged between 1 and 44 years old.¹ However, temporal improvements in outcomes for trauma patients have been observed, which

Strengths and limitations of this study

- This study will be a population-based detailed review of prehospital and early in-hospital deaths following trauma.
- This review will identify opportunities to improve each component of the acute continuum of care for severely injured patients.
- Amid declining autopsy rates, the availability of full autopsies may limit the proportion of cases that can undergo detailed review.

has been linked to the establishment of regionalised trauma systems.^{2–5} Nevertheless, the focus of the evaluation of these trauma systems has been oriented towards in-hospital care. As a result, little is known about the epidemiology and care delivered to those patients who die in the prehospital setting.⁶

A recent systematic review including 27 studies on prehospital trauma death assessment highlighted the heterogeneity of methodology and terminology used in prehospital trauma death studies.⁷ Furthermore, the heterogeneity and the lack of standardisation between the prehospital trauma death studies often precluded comparison between systems limiting potential improvements and translation from research to prehospital care.⁷ Reviewing trauma death cases has been a core component of trauma research.⁸ In addition to assessing the quality of clinical care delivered relative to a standard of care, reviewers may also assess preventability of death and potential areas for improvement. Furthermore, deaths may be used as a performance indicator, measure of health service quality, help identify new strategies to improve the clinical care, injury prevention and implementation of novel technologies.⁷ There is an urgent need for a consensus-based methodological approach and terminology standardisation to enable the current limitations of the prehospital death studies to continue the improvement of trauma patient care.

Drawing on existing literature, this study aims to provide an overview of a methodological approach to reviewing trauma deaths in order to assess the survivability and preventability, identify areas for improvements in the system of care provided to these patients and evaluate the potential for novel interventions to improve outcomes for seriously injured trauma patients.

METHODS

Study design

We will perform a retrospective review of prehospital and early in-hospital (<24 hours) deaths following traumatic out-of-hospital cardiac arrest (OHCA) that were attended by Ambulance Victoria during the period of 2008–2014. Paediatric (<16 years old) and adults (≥16 years old) will be assessed concomitantly but will be analysed separately. This manuscript does not differentiate between these two populations.

Unlike previous prehospital trauma death studies, we decided to include early in-hospital deaths, because we believe they are likely to have been influenced by the prehospital system and the care received. We believe focusing solely on prehospital deaths and ignoring early in-hospital deaths may underestimate the scope for improvement in the prehospital setting.

Patient and public involvement

Patients and/or the public were not involved in the design of this study.

Data sources

Prehospital and in-hospital deaths following traumatic OHCA will be identified from the Victorian Ambulance Cardiac Arrest Registry (VACAR). To obtain causes of death and detailed injury information, data will be linked with the National Coronial Information System (NCIS). For patients who were transported to hospital but subsequently died in-hospital, data will be linked with the Victorian State Trauma Registry (VSTR).

Victorian Ambulance Cardiac Arrest Registry

The VACAR is a population-based registry of all OHCA events attended by emergency medical services (EMS) in the state of Victoria, Australia. The registry captures infield treatment data electronically, and a highly sensitive search filter is used to identify potential cardiac arrest cases before manual review by registry personnel. The registry methodology, including data capture and completeness, and quality assurance processes have been described previously.⁹ All deaths attended by paramedics are collected in VACAR.

National Coronial Information System

All deaths directly or indirectly resulting from injury or non-natural causes are reported to coroners. The NCIS is an internet-based data storage and retrieval system for Australian coronial cases (<http://www.ncis.org.au>) and includes every death reported to the coroner since

2000. The NCIS contains coded data fields, including the intent, mechanism of injury and event location. In addition to these coded data fields, the NCIS contains full-text documents, including the police report on the circumstances of the death, the autopsy report and the forensic toxicology report.

The coroner is responsible for making a determination about whether a full autopsy (complete internal and external examination) is required, or if an external examination only is sufficient to establish a cause of death. The senior next of kin has the right to object to an autopsy being performed.

To enable a robust evaluation of the system of care provided to each patient, there is a need to have complete coronial records. As a result, this study will exclude cases that do not have a full autopsy. It is known that this can be limited by the unavailability of 'open' coronial cases.¹⁰ Furthermore, autopsy rates are declining over time,¹¹ which may reduce the proportion of cases with full autopsies.

Victorian State Trauma Registry

The population-based VSTR collects data about all hospitalised major trauma patients in Victoria.¹² A case is included in VSTR if any of the following criteria are met: (1) death due to injury; (2) an Injury Severity Score (ISS) >12 (Abbreviated Injury Scale (AIS) 2005–2008 update); (3) admission to an intensive care unit for more than 24 hours; and (4) urgent surgery.² The VSTR collects AIS-coded injury information and data on the in-hospital management of major trauma patients.

Data linkage

Data linkage between VACAR and NCIS will be achieved using a combination of deterministic and probabilistic linkage methods. Identifiable information (full name, date of birth, event date, event address and residential address) is available in both VACAR and NCIS to enable linkage. Where the full name or date of birth is not available in VACAR, the event date and event address will be used for linkage.

Approach to the problem

During our prehospital trauma death study, the following phases will be undertaken and are detailed in this manuscript: (1) survivability assessment; (2) preventability assessment; (3) identification of potential areas for improvement; and (4) identification of potential novel technologies to improve the care of acutely injured patients.

REVIEWING TRAUMA DEATHS

The focus of this study will be on those cases that received attempted resuscitation from paramedics. This represents approximately 28% of trauma deaths attended by Ambulance Victoria.¹³ It is acknowledged that there may be areas for improvement in the system of care provided to

Box 1 List of injuries considered 'unsurvivable'

Laceration to the heart (more than 2 cm or ≥ 2 transmural holes).*
 Laceration to the aorta or thoracoabdominal great vessels.†
 Massive brain tissue damage.*
 Massive brain hematoma.*
 Brainstem herniation.*
 Diffuse brainstem haemorrhage.
 Spinal column dissociation.
 C1–C3 fracture or dislocation associated with spinal cord involvement (compression, tear or hematoma).
 Cranio-cervical (or atlanto-occipital) fracture or dislocation with spinal cord involvement.
 Complete tracheal rupture.
 Fatal chemical exposure.
 Burns with charrings.*

*Injuries used by Davis *et al.*¹⁶

†Included as unsurvivable were the following vascular injuries: (A) aorta (thoracic or abdominal), (B) innominate artery, (C) subclavian artery and (D) thoracic or diaphragmatic vena cava.

a subset of patients who did not receive attempted resuscitation; however, this is outside the scope of the current study.

Phase 1: survivability assessment

It is anticipated that there will be greater than 700 prehospital and early in-hospital deaths following traumatic OHCA that received attempted resuscitation from paramedics over the 7-year study period. As a result, it is not feasible to use an expert panel review methodology on all of these cases. Previous studies have used a preliminary 'survivability' assessment as a filtration method to identify a specific subset of cases that have the potential for improved outcomes.¹⁴ However, the methods used to define 'survivability' have been varied.⁷ While some studies relied solely on expert clinical opinion, most previously published studies have used a consensus-based approach. However, the inter-rater reliability is known to be poor.¹⁵ Recently, studies have started to use strict predetermined criteria with the expectation that it would decrease the subjectiveness regarding survivability assessment.¹⁶

In this study, each case will undergo detailed review to determine whether the anatomical injuries were 'survivable'; that is, cases in which the anatomical injuries were potentially survivable in ideal situations but the patient subsequently died. Two clinicians with experience in trauma management generated a list of 13 injuries that were deemed unsurvivable. This list was adapted from Davies *et al.*¹⁶ (box 1). Two clinicians will independently review each autopsy to determine whether the anatomical injuries were survivable. All disagreement will be solved by consensus first, then a third clinician will be involved if the disagreement remains unsolved. Despite being frequently used in prehospital trauma death studies, we decided to avoid using any survival prediction algorithms or validated scores such as the ISS, because we consider them potentially misleading. They have been associated

with significant missed opportunities for improvement when used for case selection in trauma quality improvement programmes.¹⁷

Phase 2: preventability assessment**Definition of preventability**

Drawing from classifications of preventability from the WHO's Guidelines for Trauma Quality Improvement Programs, Shackford *et al.*,¹⁸ MacKenzie *et al.*,¹⁵ Vioque *et al.*,¹⁹ and Oliver and Walter,⁷ preventability will be classified using the following classification:

Not preventable

- ▶ System provided appropriate and timely care.
- ▶ Evaluation and management appropriate according to relevant clinical guidelines at the time the death occurred.

Potentially preventable

- ▶ System generally provided appropriate and timely care, although potential for improvement.
- ▶ Evaluation and management generally appropriate.
- ▶ Some deviations from standard of care that may, directly or indirectly, have been implicated in patient's death.

Preventable

- ▶ Delivery of care was suboptimal.
- ▶ Avoidable error is judged to have directly caused the outcome.

Preventability assessment using an expert panel review methodology

Assessment of the care delivered was frequently performed using a panel of experts in previous studies on prehospital trauma death.²⁰ The underlying methods used have evolved substantially over the years. The initial studies used small panels relying mainly on subjective impressions and implicit criteria leading to low reproducibility of implicit judgements when they are made by different experts.¹⁴ Recent studies have more consistently used a standardised approach based on explicit criteria²⁰ leading to an increase in the inter-rater reliability.^{21–24} However, while most prehospital trauma death studies use a panel at some point during their study, the review process and the panel's objectives were widely divergent. Moreover, the panel composition has varied in terms of member training levels and number of participants. While most studies included at least one doctor with clinical experience in the care of injured patients (emergency physician, trauma physician, general surgeon or others), the inclusion of a multidisciplinary team involving members of the prehospital team was less frequent.⁷

Multidisciplinary panels will be used to identify components of the system of care where current best evidence care was not delivered. To ensure that the number of cases reviewed by each panellist is manageable, we will use smaller subpanels to independently review cases.

We plan to use four subpanels that will comprise at least one intensive care paramedic, one emergency physician/traumasurgeon and one other (eg, advanced life support paramedic, nurse, forensic pathologist and injury epidemiologist).

Two weeks prior to the review, panellists will be provided with all relevant data related to each case in deidentified form. This will include the full autopsy, police report, toxicology data and the patient care records for each of the attending ambulance crews. For patients who survived to hospital but subsequently died early (<24 hours) in their hospital stay, data on all hospital interventions and timing of these interventions will be provided. Prior to the expert panel review, each panellist will make an independent assessment of preventability that will be submitted to the research team. At the panel review meeting, the case will be discussed in depth, and if 100% agreement is achieved on preventability, this will be used as the final decision. If there is any disagreement, these cases will go to a larger panel review subsequent to the small subpanel reviews. This wider panel review will comprise at least two intensive care paramedics, two emergency physicians/trauma surgeons and one other.

To measure the interpanel reliability, a random selection of ~20 cases will undergo review by two independent panels. Following these reviews, a percentage of agreement and kappa coefficient will be measured.

Phase 3: identification of potential areas for improvement

Only a few studies have evaluated the potential areas for improvement during the prehospital care of severely injured patients using a standardised approach.²⁵

To facilitate the identification of areas for improvement, we will use the Joint Commission's (formerly the Joint Commission on Accreditation of Healthcare Organisations) patient safety event taxonomy.²⁶ This has been recommended by the WHO's Guidelines for Trauma Quality Improvement Programs²⁷ and is similar to that used by McDermott *et al.*²⁸ This classification uses five interacting root nodes:

1. Impact

- The impact or outcome/harm to the patient is death in all cases.

2. Type (see below for a list of potential factors)

- Describes the implied or observed events/processes that failed or were faulty.
- These are categorised into factors that relate to:
 - *The system*: failure or insufficiency of the trauma system to deliver care appropriately and in a timely fashion.
 - *Diagnosis*: injury not diagnosed because of misinterpretation, inadequacy or lack of clinical examination or delay in diagnosis.
 - *Treatment/management*: therapeutic or diagnostic decision made contrary to available data/management plan and not in accordance with recommended optimal standards of care.

3. Domain

Box 2 Specific areas for improvement based on the Joint Commission's patient safety event taxonomy

System factors

- ▶ Long response time.

Diagnostic factors

- ▶ Missed/incorrect diagnosis.
- ▶ Delayed diagnosis.

Treatment/management factors

- ▶ Delayed treatment.
- ▶ Incorrect procedure.
- ▶ Correct procedure, but with complication.
- ▶ Correct procedure, incorrectly performed.
- ▶ Equipment failure.
- ▶ Inaccurate prognosis.
- ▶ Excessive on-scene time.
- ▶ Triage error.

- Implies the setting in which the factor occurred (eg, prehospital setting), the discipline of staff providers involved, as well as the target of the intervention (therapeutic or diagnostic).

4. Cause

- Refers to the factors and agents that led to the incident. This is commonly grouped into:
 - *System*: includes organisational (eg, management, organisational culture, protocols/processes and training) and physical (eg, facilities, equipment and infrastructure); and
 - *Human*: factors that involve direct contact with the patient. Grouped as:
 - *Diagnostic factors*: data are incorrectly perceived, incorrect intention formulated and wrong action is performed.
 - *Intention factors*: data are correctly perceived, but incorrect intention is developed and wrong action is performed.
 - *Execution factors*: data are correctly perceived and correct intention is developed but wrong or unintended action is performed.

5. Prevention and mitigation

- Measures enacted to prevent further occurrence of the event.
- Commonly classified as: universal, selective or indicated.

Specific areas for improvement have been identified a priori and are contained in [box 2](#).

Phase 4. identification of potential novel technologies to improve the care

A list of potential technologies and interventions was defined a priori ([box 3](#)). These interventions, either unavailable at the time of the trauma death or not considered as part of the standard of care by the treating paramedic team, are interventions believed to be potentially helpful in the care of severely injured patients. These interventions are expected to potentially improve the notification system, the access to the trauma patient, the

Box 3 List of potential novel technologies and interventions

Technologies and interventions unavailable during the study period

- ▶ Early notification systems (such as crash detection systems or smartphones to alert emergency medical services).
- ▶ Ultrasound.
- ▶ Resuscitative Endovascular Balloon Occlusion of the Aorta.
- ▶ Resuscitative thoracotomy.
- ▶ Ultrasound-guided needle pericardiocentesis.
- ▶ Prehospital decompressive burr hole drainage.
- ▶ Haemorrhagic control via pelvic packing, abdominal packing or abdominal junctional tourniquet.
- ▶ Tranexamic acid.
- ▶ Freeze-dried plasma.
- ▶ Decision support.

Technologies and interventions implemented during or after the study period

- ▶ Red cell concentrate/packed red blood cells.
- ▶ Arterial tourniquets.
- ▶ Finger thoracostomy.

initial diagnostic accuracy or potentially helpful as a therapeutic measure.

As part of the expert panel reviews, we will assess the potential role and impact of novel interventions in the prehospital setting to improve survival of severe traumatic injuries. Furthermore, during the expert panel reviews, panellists will have the opportunity to suggest interventions in addition to those defined a priori.

STATISTICAL ANALYSES

Descriptive statistics will be used to describe the sample using percentages for categorical variables and median and IQR for non-normally distributed continuous variables. Comparisons between those with and without a full autopsy and comparisons between potentially preventable/preventable deaths and non-preventable deaths will be made using χ^2 test or Kruskal-Wallis tests. Data analysis will be performed using Stata (V.14.2). A p value <0.05 will be considered significant.

DISSEMINATION

Results of the study will be published in peer-reviewed journals and reports provided to Ambulance Victoria, the Victorian State Trauma Committee and the Victorian State Government Department of Health and Human Services.

LIMITATIONS

Amid declining autopsy rates, the availability of full autopsies may limit the proportion of cases that can undergo detailed review. Furthermore, a proportion of trauma deaths that are not attended by EMS, or are attended by EMS but do not undergo attempt resuscitation, may

be preventable from a systems perspective but will not undergo expert panel review.

DISCUSSION

This state-wide study will provide novel and detailed data on the epidemiological profile of death occurring in the prehospital and early in-hospital phases. This is a unique opportunity to capture relevant trauma case fatality information and use expert panellists to review the system of care provided to these patients. This comprehensive review will identify opportunities to improve each component of the acute continuum of care for severely injured patients, including detection, initial dispatch, initial response, clinical management, transport and communication. Additionally, the evaluation of potentially novel interventions by a panel of experts will identify potentially beneficial interventions to implement during the prehospital care of severely injured patients that may reduce mortality. Finally, the data acquired by this study will allow the development of targeted injury prevention programmes using a comprehensive review of recent fatal traumatic events.

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Contributors This study protocol was developed by all authors. EM and BB prepared the first draft of this summary protocol paper and revised in light of comments from PAC and KS. All authors approved the final version of the manuscript.

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Competing interests None declared.

Patient consent Not required.

Ethics approval The Victorian Ambulance Cardiac Arrest Registry has approval from the Victorian Department of Health and Human Services Human Research Ethics Committee (HREC) (No. 08/02). The Victoria State Trauma Registry has approval from the Victorian Department of Health and Human Services HREC for 138 trauma-receiving hospitals in Victoria (DHHREC 11/14) and the Monash University HREC (CF13/3040 – 2001000165). The present study was approved by the Victorian Department of Justice and Regulation HREC (CF/16/272), the Monash University HREC (CF16/532 – 2016000259), the Ambulance Victoria Research Committee and the Victorian State Trauma Outcomes Registry Monitoring Group.

Provenance and peer review Not commissioned; externally peer reviewed.

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